HY/FMQP/1222/C

22-SEP-2022

Subject: Financial Market Management N			Max. Marks: 60	
Grade	: 12		Time:3 Hrs	
Name:		Section:	Roll No:	
I.				
		rt A Employability skills		
		er any 4 questions (1 X 4)		
1.	Hearing isprocess.			
	a. Passive			
	b. Active			
	c. Both of the Above			
2.	Which of the following is not advantages of the spreadsheet?			
	a. Built-in functions make calculations easier, faster, and more accurate.			
	b. Large volumes of data cannot be manipulated.			
	c. Data can be exported to or imported from other software			
3.	Which of the following can be a	parrier to active listening?		
	a. Noisy environment			
	b. Not maintaining an eye contact with the speaker and Not being attentive			
	c. All of the above			
4.	The correct order of steps for entering data in a spreadsheet is:			
	a. type the data, click the cell and press Enter.			
	b. click the cell, type the data and	press Enter.		
	c. click the cell, press Enter and type the data.			
5.		come a personality disorder. Wha	t should she do?	
	a. Talk to her sister			
	b. Engage her in hobbies and help	her to boost confidence		
	c. All of the above			
6.	If you are aware of your own va	lues, likes, dislikes, strengths, and	shortcomings as an	
	individual. It denotes the fact that you are			
	a. Self-Confident			
	b. Self-Aware			
	c. Self Motivated			
	Answer	any three questions (2 X 3)		

- Mr Verma shares the computer in his office with other colleagues. He wants to make sure no one sees the financial data he saves on the computer. What should he do?
- 8. Why Self Motivation is important?
- Write the few components of Open Office Impress Window? 9.
- **10.** Expand the acronym 'SMART'.
- **11.** what is Text alignment?

Part B - subject specific skills Answer any 10 questions (1 X 10)

- 12. Define Index.
- **13.** What is OTC?
- **14.** Name the clearing corporation of NSE
- **15.** What is the purpose of Basket trading?
- **16.** What is Rolling settlement?
- 17. Define the term Valuation Debit?
- **18.** What is 'XD'?
- 19. Name the two depositories in India.
- **20.** What is Alpha membership?
- **21.** What do you mean by contract note?
- 22. Write a note on 'ISIN'.
- 23. What are the types of margins payable by the trading member in the cash market segment?

Answer any five questions $(2 \times 5 = 10 \text{ marks})$

- 24. Explain the term margin.
- 25. Under What condition the relevant authority suspend the business of trading member?
- **26.** What do you mean by custodians?
- **27.** What are the functions of NSCCL?
- **28.** What is secondary market?
- **29.** Mr Sam sold 2000 shares of a scrip @Rs.100. what is the maximum brokerage that the client can be charged?
- **30.** Who is a Sub Broker?

Answer any five questions $(3 \times 5 = 15 \text{ marks})$

- **31.** Discuss the key indicators of securities Market.
- **32.** What do you mean by short delivery?
- **33.** List the advantages of SBTS.
- **34.** What are the special features of Previous Trades?
- **35.** How the interest of investors are protected by Investor Service Cell.
- **36.** Differentiate funds payin and funds payout.
- **37.** Identify and match the different status displayed for an auction security.

Column A	Column B
S	Auction is deleted
M	Auction is pending and yet to begin.
F	Auction is in solicitor period
X	System is matching the order
P	Auction is over

Answer three questions (5 x 3 = 15 Marks)

- **38.** A Stock Broker is an intermediary in the stock market. What are the conditions to be satisfied by a stock broker?
- **39.** Explain the steps in the transaction cycle.
- **40.** NSE provides trading in four different segments'. Throw light on this statement and explain the different market segments and their products,
- **41.** Write a note on the term Risk in settlement.
- **42.** List out the windows of NEAT Screen