

# Category Theory Reverse-the-Arrows Duality Across the Sciences

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PSSL 109

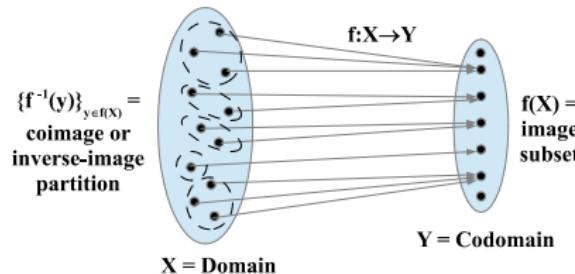
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# A Fundamental Duality in the Sciences

Fund. Duality	Subset side "Its"	Partition side "Dits"
Logic	Logic of subsets	Logic of partitions
Quantitative logic	Logical probability	Logical information
CT Duality	Subobj. & limits	Quot. obj.& colimits
Physics	Classical: fully definite	Quantum: indefinite
Biology	Selectionist Mechanism	Generative Mech.

# Duality starts in the dual logics of subsets & partitions: I

- Boolean logic mis-specified as logic of *propositions*; should be logic of *subsets*.
- Category theory duality gives subset-partition duality:



- “The dual notion (obtained by reversing the arrows) of ‘part’ is the notion of partition.” (Lawvere) Also Partition = Equivalence relation = Quotient set.

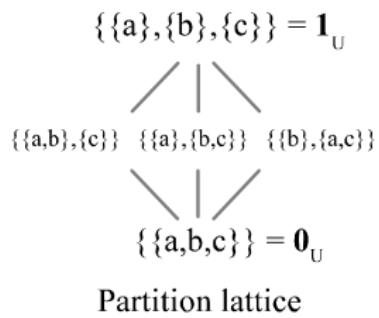
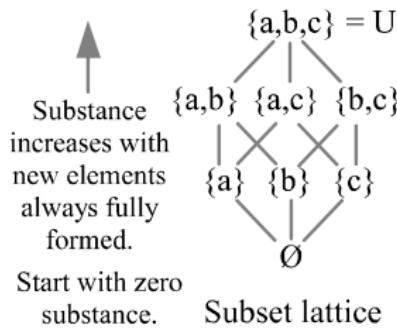
# Logical algebras of subsets and partitions: I

- Given universe set  $U = \{u_1, \dots, u_n\}$ , there is the *Boolean algebra of subsets*  $\wp(U)$  with inclusion as partial ordering and the usual union and intersection, and enriched with implication or conditional:  $S \supset T := S^c \cup T$  for  $S, T \subseteq U$ .
- A *partition*  $\pi = \{B_1, \dots, B_m\}$  on  $U$  is a set of non-empty subsets (blocks) of  $U$  that are disjoint and union is  $U$ .
- A *distinction* or *dit* of  $\pi$  is an ordered pair of elements of  $U$  in different blocks of  $\pi$ . The set of all dits of  $\pi$  is  $\text{dit}(\pi)$  and its complement in  $U \times U$  –  $\text{dit}(\pi) = \text{indit}(\pi)$  is the associated equivalence class.
- Given universe set  $U$ , there is the *algebra of partitions*  $\Pi(U)$  with join and meet enriched by implication where refinement is the partial ordering:  $\sigma \precsim \pi$  defined by  $\text{dit}(\sigma) \subseteq \text{dit}(\pi)$ .

# Logical algebras of subsets and partitions: II

- Most refined partition is discrete partition  
 $\mathbf{1}_U = \{\{u_1\}, \{u_2\}, \dots, \{u_n\}\}.$

$$S \subseteq T \text{ iff } S \supseteq T = U.$$
$$\sigma \preceq \pi \text{ iff } \sigma \Rightarrow \pi = \mathbf{1}_U$$



# Logical algebras of subsets and partitions: III

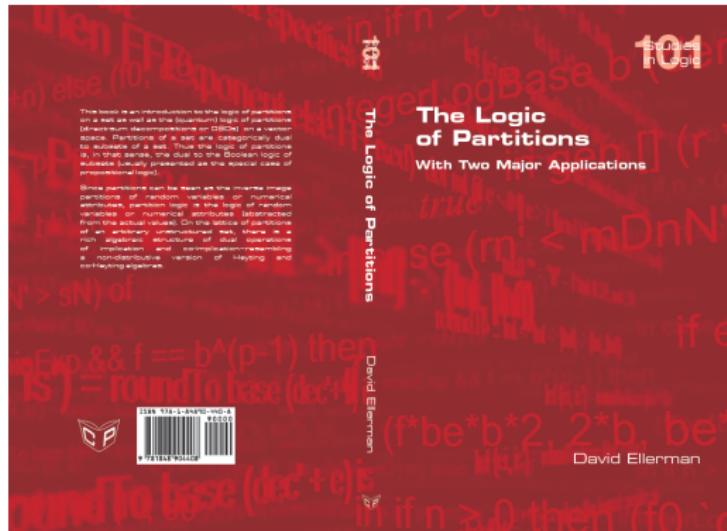
- Elements (Its) of subsets are dual to distinctions (Dits) of partitions.

Lattice of subsets $\wp(U)$	Lattice of partitions $\Pi(U)$
Its = Elements of subsets	Dits = Distinctions of partitions
1 is separator	2 is coseparator
PO Incl. of subsets $S \subseteq T$	PO $\sigma \precsim \pi$ iff $\text{dit}(\sigma) \subseteq \text{dit}(\pi)$
Join: $S \vee T = S \cup T$	Join: $\text{dit}(\sigma \vee \pi) = \text{dit}(\sigma) \cup \text{dit}(\pi)$
Top: $U$ all elements	Top: $\mathbf{1}_U$ with all dits
Bottom: $\emptyset$ no elements	Bottom: $\mathbf{0}_U$ with no dits

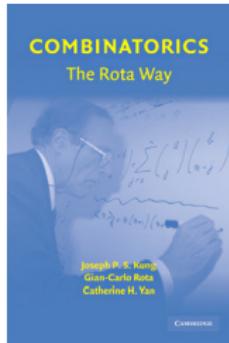
# Tautologies in subset and partition logics: I

- A *subset tautology* is any formula which evaluates to  $U$  ( $|U| \geq 1$ ) regardless of which subsets were assigned to the atomic variables.
- A *partition tautology* is any formula which always evaluates to  $\mathbf{1}_U$  (the discrete partition) regardless of which partitions on  $U$  ( $|U| \geq 2$ ) were assigned to the atomic variables.
- Every partition tautology is a subset tautology since  $\Pi(2) \cong \wp(1)$ .
- Partition tautologies neither included in nor include Intuitionistic tautologies (Heyting algebra validities). The weak law of excluded middle  $\neg\sigma \vee \neg\neg\sigma$  is a partition but not an intuitionistic tautology and distributivity is a intuitionistic but not partition tautology.

# Tautologies in subset and partition logics: II



# Quant. Partition Logic = *Logical Entropy*: I



“The lattice of partitions plays for information the role that the Boolean algebra of subsets plays for size or probability.”

$$\frac{\text{Subsets}}{\text{Probability}} \approx \frac{\text{Partitions}}{\text{Information}}$$

- Boole's quantitative logic of subsets = finite prob. theory = normalized number of elements in  $S \subseteq U$ , i.e.,  $\Pr(S) = \frac{|S|}{|U|}$ .

# Quant. Partition Logic = *Logical Entropy*: II

- Rota, in his Fubini Lectures, said since “Probability is a measure on the Boolean algebra of events” that gives quantitatively the “intuitive idea of the size of a set”, we may ask by “analogy” for some measure “which will capture some property that will turn out to be for [partitions] what size is to a set.”
- Answer is *distinctions* or *dits* of a partition, i.e., ordered pairs of elements in different blocks.

$$\frac{\text{Subsets}}{\text{Probability}} \approx \frac{\text{Partitions}}{\text{Information}} \text{ and } \frac{\text{Its}}{\text{Subsets}} \approx \frac{\text{Dits}}{\text{Partitions}}$$

- Then the definition of “logical information” or *logical entropy* is clear:

# Quant. Partition Logic = *Logical Entropy*: III

$$h(\pi) = \frac{|\text{dit}(\pi)|}{|U \times U|} = \frac{|U \times U| - |\cup_j B_j \times B_j|}{|U \times U|} = 1 - \sum_j \left( \frac{|B_j|}{|U|} \right)^2 = \\ 1 - \sum_j \Pr(B_j)^2 = \sum_{j \neq k} \Pr(B_j) \Pr(B_k).$$

- For a probability dist. on  $U$ ,  $p = (p_1, \dots, p_n)$ ,  
$$h(p) = 1 - \sum_i p_i^2 = \sum_{j \neq k} p_j p_k.$$
- $\Pr(S)$  = prob. of one draw from  $U$  getting an it of  $S$ .
- $h(\pi)$  = prob. of two draws from  $U$  getting a dit of  $\pi$ .
- $h(p)$  = prob. of two draws of different indices  $p_i$  and  $p_j$ .
- If  $p_i = 1$ , its occurrence gives no information, so information is measured by the complement. But there are two complements of 1.
- The additive 1-complement of  $p_i$  is  $1 - p_i$ .

# Quant. Partition Logic = *Logical Entropy*: IV

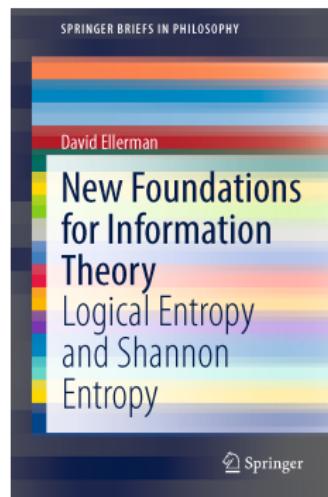
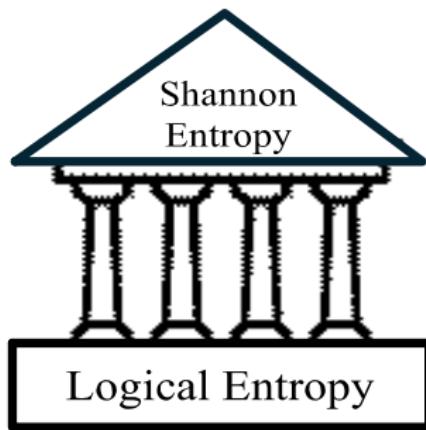
- The multiplicative 1-complement of  $p_i$  is  $\frac{1}{p_i}$ .
  - The additive probability average of the additive 1-complements is the logical entropy  $h(p) = \sum_i p_i (1 - p_i)$ .
  - The multiplicative probability average of the mult. 1-complements is the log-free Shannon entropy  $\prod_i \left(\frac{1}{p_i}\right)^{p_i}$ .
  - Choose a log, e.g., to base 2, and the  $\log_2$  gives the usual Shannon entropy:

$$H(p) = \log_2 \left( \prod_i \left(\frac{1}{p_i}\right)^{p_i} \right) = \sum_i p_i \log_2 \left(\frac{1}{p_i}\right).$$

- Hence the Shannon entropy is the log of the multiplicative version of (additive) logical entropy.

# Quant. Partition Logic = *Logical Entropy*: V

- Logical information theory as the quantitative version of the logic of partitions provides a new *logical* foundation for information theory.



# CT Duality = interchange Its & Dits in Sets: I

- A binary relation  $R \subseteq X \times Y$  preserves (or transmits) elements (Its) if for each element  $x \in X$ , there is an ordered pair  $(x, y) \in R$  for some  $y \in Y$ .
- A binary relation  $R \subseteq X \times Y$  reflects elements (Its) if for each element  $y \in Y$ , there is an ordered pair  $(x, y) \in R$  for some  $x \in X$ .
- A binary relation  $R \subseteq X \times Y$  preserves (or transmits) distinctions (Dits) if for any pairs  $(x, y)$  and  $(x', y')$  in  $R$ , if  $x \neq x'$ , then  $y \neq y'$ .
- A binary relation  $R \subseteq X \times Y$  reflects distinctions (Dits) if for any pairs  $(x, y)$  and  $(x', y')$  in  $R$ , if  $y \neq y'$ , then  $x \neq x'$ .
- A set function  $f : X \rightarrow Y$  is usually characterized as being defined everywhere and single-valued, but:

# CT Duality = interchange Its & Dits in *Sets*: II

- "Defined everywhere" is the same as "preserves elements" and
- "Being single-valued" is the same as "reflecting distinctions."

Binary relation is a *function* iff it preserves Its and reflects Dits.

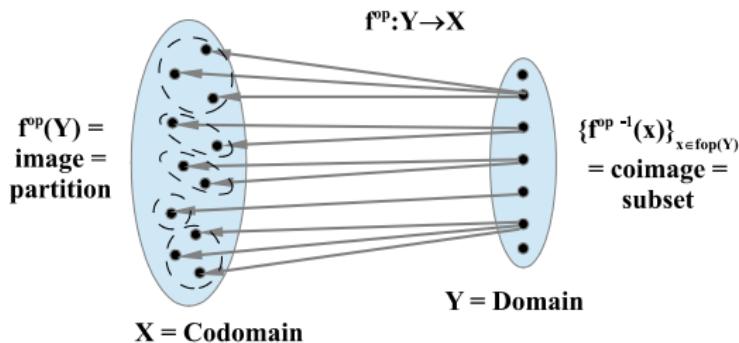
- Defining a function  $f \subseteq X \times Y$  as a relation "everywhere defined and single-valued" gives *no hint of duality*.
- Its & Dits-definition says just interchange Its & Dits like interchanging points and lines in plane proj. geometry.
- Interchange roles of Its & Dits in a function  $f \subseteq X \times Y$  gives a *cofunction*  $f^{op} \subseteq Y \times X$  in  $Sets^{op}$ :

# CT Duality = interchange Its & Dits in *Sets*: III

Function: A binary relation that preserves Its and reflects Dits.



Cofunction: A binary relation that preserves Dits and reflects Its.



- *Sets – Sets<sup>op</sup>* duality is then abstracted to make the reverse-the-arrows duality in abstract category theory.

# Classical vs. Quantum Physics: Definiteness vs. Indefiniteness: I

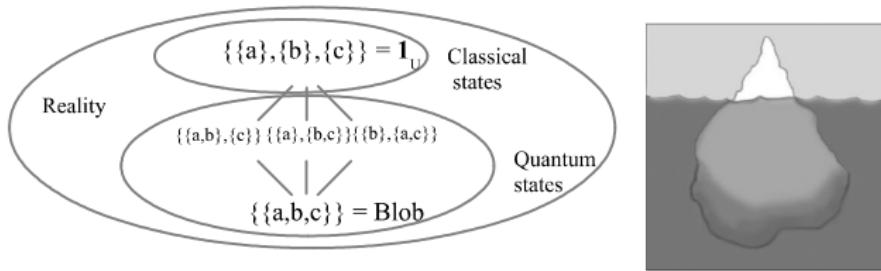
- Leibniz's Principle of Identity of Indistinguishables (PII) or Kant's Principle of Complete Determination:

"Definite all the way down": If two entities are distinct, then there is always a distinguishing property. Hence if indistinguishable, then they are same thing.

- At logical level, math for indefiniteness = equivalence relations (i.e., partitions).
- Reduce Hilbert space vectors to sets by taking *support sets*: From particle state  $|\psi\rangle = \alpha_i |u_i\rangle + \alpha_j |u_j\rangle + \alpha_k |u_k\rangle$  to partition block  $\{u_i, u_j, u_k\}$ .

# Classical vs. Quantum Physics: Definiteness vs. Indefiniteness: II

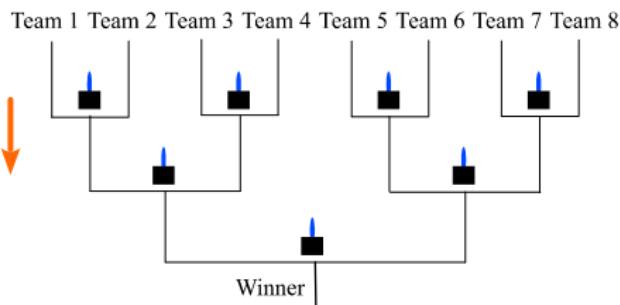
- Partition version of PII defining classicality: For all  $u, u' \in U$ , if  $(u, u') \in \text{indit}(\mathbf{1}_U)$ , then  $u = u'$ .



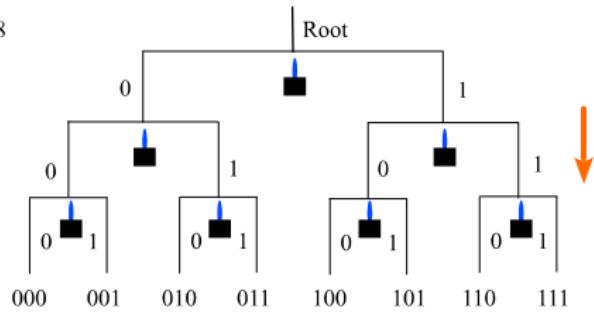
- Quantum underworld = States with indefinite values.
- Ellerman, David. 2024. "A Fundamental Duality in the Exact Sciences: The Application to Quantum Mechanics." *Foundations* 4 (2): 175–204.  
<https://doi.org/10.3390-foundations4020013>.

# The Fundamental Duality in Biology

- *Generative mechanism* = a mechanism that implements codes (e.g., as distinctions or symmetry breakings), e.g., generative grammar, DNA-RNA mechanism, and stem cells.
- Dual is *Selectionist mechanism*, e.g., a single-elimination or knock-out tournament.

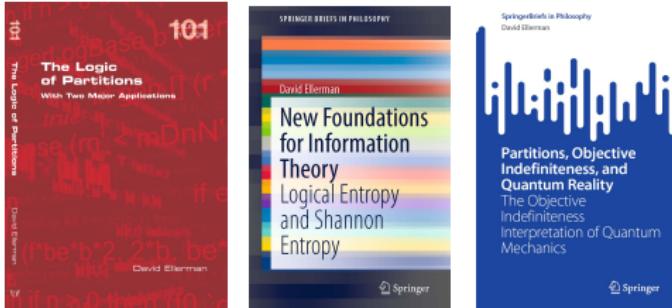


Single Elimination Tournament as a Selectionist Mechanism



Code Implementation as a Generative Mechanism

# Summary



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