# PyPIC physics manual

Giovanni Iadarola CERN - Geneva, Switzerland

### 1 Space charge

We assume that the bunch travels rigidly along *s* with velocity  $\beta_0 c$ :

$$\rho(x, y, s, t) = \rho_0(x, y, s - \beta_0 ct) \tag{1}$$

$$\mathbf{J}(x,y,s,t) = \beta_0 c \,\rho_0(x,y,s-\beta_0 ct)\,\hat{\mathbf{i}}_s \tag{2}$$

We define an auxiliary variable  $\zeta$  as the position along the bunch:

$$\zeta = s - \beta_0 ct. \tag{3}$$

We call K the lab reference frame in which we have defined all equations above, and we introduce a boosted frame K' moving rigidly with the reference particle. The coordinates in the two systems are related by a Lorentz transformation [3]:

$$ct' = \gamma_0 \left( ct - \beta_0 s \right) \tag{4}$$

$$x' = x \tag{5}$$

$$y' = y \tag{6}$$

$$s' = \gamma_0 \left( s - \beta_0 ct \right) = \gamma_0 \zeta \tag{7}$$

The corresponding inverse transformation is:

$$ct = \gamma_0 \left( ct' + \beta_0 s' \right) \tag{8}$$

$$x = x' \tag{9}$$

$$y = y' \tag{10}$$

$$s = \gamma_0 \left( s' + \beta_0 c t' \right) \tag{11}$$

The quantities  $(c\rho, J_x, J_y, J_s)$  form a Lorentz 4-vector and therefore they are transformed between K and K' by relationships similar to the Eqs. 4-6 [3]:

$$c\rho'\left(\mathbf{r'},t'\right) = \gamma_0\left[c\rho\left(\mathbf{r}\left(\mathbf{r'},t'\right),t\left(\mathbf{r'},t'\right)\right) - \beta_0 J_s\left(\mathbf{r}\left(\mathbf{r'},t'\right),t\left(\mathbf{r'},t'\right)\right)\right]$$
(12)

$$J_{s}'(\mathbf{r}',t') = \gamma_{0} \left[ J_{s}(\mathbf{r}(\mathbf{r}',t'),t(\mathbf{r}',t')) - \beta_{0}c\rho(\mathbf{r}(\mathbf{r}',t'),t(\mathbf{r}',t')) \right]$$
(13)

where the transformations  $\mathbf{r}(\mathbf{r'},t')$  and  $t(\mathbf{r'},t')$  are defined by Eqs. 8 and 11 respectively. The transverse components  $J_x$  and  $J_y$  of the current vector are invariant for our transformation, and are anyhow zero in our case.

Using Eq. 2 these become:

$$\rho'\left(\mathbf{r'},t'\right) = \frac{1}{\gamma_0} \rho\left(\mathbf{r}\left(\mathbf{r'},t'\right),t\left(\mathbf{r'},t'\right)\right) \tag{14}$$

$$J_s'\left(\mathbf{r}',t'\right) = 0 \tag{15}$$

Using Eqs. 1 and 8-10, we obtain:

$$\rho(x', y', s(s', t'), t(s', t')) = \rho_0(x', y', s(s', t') - \beta_0 c t(s', t'))$$
(16)

From Eq. 7 we get:

$$s(s',t') - \beta_0 c \, t(s',t') = \frac{s'}{\gamma_0} \tag{17}$$

where the coordinate t' has disappeared. We can therefore write:

$$\rho'\left(x',y',s',t'\right) = \frac{1}{\gamma_0}\rho_0\left(x',y',\frac{s'}{\gamma_0}\right) \tag{18}$$

The electric potential in the bunch frame is solution of Poisson's equation:

$$\frac{\partial^2 \phi'}{\partial x'^2} + \frac{\partial^2 \phi'}{\partial y'^2} + \frac{\partial^2 \phi'}{\partial s'^2} = -\frac{\rho'(x', y', s')}{\varepsilon_0}$$
(19)

From Eq. 18 we can write:

$$\frac{\partial^2 \phi'}{\partial x'^2} + \frac{\partial^2 \phi'}{\partial y'^2} + \frac{\partial^2 \phi'}{\partial s'^2} = -\frac{1}{\gamma_0 \varepsilon_0} \rho_0 \left( x', y', \frac{s'}{\gamma_0} \right) \tag{20}$$

We now make the substitution:

$$\zeta = \frac{s'}{\gamma_0} \tag{21}$$

obtained from Eq. 7, which allows to rewrite Eq. 20 as:

$$\frac{\partial^{2} \phi'}{\partial x^{2}} + \frac{\partial^{2} \phi'}{\partial y^{2}} + \frac{1}{\gamma_{0}^{2}} \frac{\partial^{2} \phi'}{\partial \zeta^{2}} = -\frac{1}{\gamma_{0} \varepsilon_{0}} \rho_{0} \left( x, y, \zeta \right) \tag{22}$$

Here we have dropped the "''" sign from x and y as these coordinates are unaffected by the Lorentz boost.

The quantities  $\left(\frac{\phi}{c}, A_x, A_y, A_s\right)$  form a Lorentz 4-vector, we can show that the s component of the vector potential in the lab frame vanishes:

$$\phi = \gamma_0 \left( \phi' + \beta_0 c A_s' \right) \tag{23}$$

$$A_s = A_s' + \beta_0 \frac{\phi'}{c} \tag{24}$$

In the bunch frame the charges are at rest therefore  $A_x'=A_y'=A_z'=0$  therefore:

$$\phi = \gamma_0 \phi' \tag{25}$$

$$A_s = \beta_0 \frac{\phi'}{c} = \frac{\beta_0}{\gamma_0 c} \phi \tag{26}$$

Combining Eq. 25 with Eq. 27 we obtain the equation in  $\phi$ :

$$\frac{\partial^2 \phi}{\partial x^2} + \frac{\partial^2 \phi}{\partial y^2} + \frac{1}{\gamma_0^2} \frac{\partial^2 \phi}{\partial \zeta^2} = -\frac{1}{\varepsilon_0} \rho_0(x, y, \zeta)$$
 (27)

#### 2 Lorentz force

We stay in the thin lens approximation so we approximate the velocity vector of the particle as:

$$\mathbf{v} = \beta c \,\hat{\mathbf{i}}_s \tag{28}$$

We want to compute the Lorentz force acting on the particle:

$$\mathbf{F} = q \left( -\nabla \phi - \frac{\partial \mathbf{A}}{\partial t} + \beta c \, \hat{\mathbf{i}}_s \times (\nabla \times \mathbf{A}) \right)$$

$$= q \left( -\nabla \phi - \frac{\beta_0}{\gamma_0 c} \frac{\partial \phi}{\partial t} \hat{\mathbf{i}}_s + \beta c \, \hat{\mathbf{i}}_s \times (\nabla \times \mathbf{A}) \right)$$
(29)

We compute the vector product:

$$\mathbf{\hat{i}}_{s} \times (\nabla \times \mathbf{A}) = \left(\frac{\partial A_{s}}{\partial x} - \frac{\partial A_{x}}{\partial s}\right) \mathbf{\hat{i}}_{x} + \left(\frac{\partial A_{s}}{\partial y} - \frac{\partial A_{y}}{\partial s}\right) \mathbf{\hat{i}}_{y} 
= \left(\frac{\partial A_{s}}{\partial x} - \frac{\partial A_{x}}{\partial s}\right) \mathbf{\hat{i}}_{x} + \left(\frac{\partial A_{s}}{\partial y} - \frac{\partial A_{y}}{\partial s}\right) \mathbf{\hat{i}}_{y} + \underbrace{\left(\frac{\partial A_{s}}{\partial s} - \frac{\partial A_{s}}{\partial s}\right)}_{=0} \mathbf{\hat{i}}_{s} 
= \nabla A_{s} - \frac{\partial \mathbf{A}}{\partial s}$$
(30)

 $= VA_S - \frac{1}{C}$ 

We replace:

$$\mathbf{F} = q \left( -\nabla \phi - \frac{\beta_0}{\gamma_0 c} \frac{\partial \phi}{\partial t} \hat{\mathbf{i}}_s + \beta \beta_0 \nabla \phi - \frac{\beta \beta_0}{\gamma_0} \frac{\partial \phi}{\partial s} \hat{\mathbf{i}}_s \right)$$
(31)

The potentials will have the same form as the sources (this can be shown explicitly using the Lorentz transformations):

$$\phi(x,y,s,t) = \phi\left(x,y,t - \frac{s}{\beta_0 c}\right) \tag{32}$$

For a function in this form we can write:

$$\frac{\partial \phi}{\partial s} = \frac{\partial}{\partial \zeta} = -\frac{1}{\beta_0 c} \frac{\partial \phi}{\partial t} \tag{33}$$

obtaining:

$$\mathbf{F} = q \left( -\nabla \phi + \frac{\beta_0^2}{\gamma_0} \frac{\partial \phi}{\partial \zeta} \hat{\mathbf{i}}_s + \beta \beta_0 \nabla \phi - \frac{\beta \beta_0}{\gamma_0} \frac{\partial \phi}{\partial \zeta} \hat{\mathbf{i}}_s \right)$$
(34)

Reorganizing:

$$\mathbf{F} = -q(1 - \beta\beta_0)\nabla\phi - \frac{\beta_0(\beta - \beta_0)}{\gamma_0}\frac{\partial\phi}{\partial\zeta}\hat{\mathbf{i}}_s$$
 (35)

Explicit dependencies:

$$F_{x}(x,y,\zeta(t)) = -q(1-\beta\beta_0)\frac{\partial\phi}{\partial x}(x,y,\zeta(t))$$
(36)

$$F_{y}(x, y, \zeta(t)) = -q(1 - \beta\beta_0) \frac{\partial \phi}{\partial y}(x, y, \zeta(t))$$
(37)

$$F_z(x, y, \zeta(t)) = -q \left( 1 - \beta \beta_0 - \frac{\beta_0(\beta - \beta_0)}{\gamma_0} \right) \frac{\partial \phi}{\partial \zeta}(x, y, \zeta(t))$$
(38)

Over the single interaction we neglect the particle slippage:

$$\beta = \beta_0 \tag{39}$$

$$\zeta(t) = \zeta \tag{40}$$

gives the following simplification:

$$F_{x}(x,y,\zeta) = -q(1-\beta_{0}^{2})\frac{\partial\phi}{\partial x}(x,y,\zeta)$$
(41)

$$F_{y}(x,y,\zeta) = -q(1-\beta_0^2)\frac{\partial\phi}{\partial y}(x,y,\zeta)$$
 (42)

$$F_z(x, y, \zeta) = -q(1 - \beta_0^2) \frac{\partial \phi}{\partial \zeta}(x, y, \zeta)$$
(43)

In this way the force over the single interaction becomes independent on time and therefore we can compute the kicks simply as:

$$\Delta \mathbf{P} = \frac{L}{\beta_0 c} \mathbf{F} \tag{44}$$

from which we can compute the kicks on the normalized momenta ( $P_0 = m_0 \beta_0 \gamma_0 c$ ):

$$\Delta p_x = \frac{\Delta P_x}{P_0} = -\frac{qL(1-\beta_0^2)}{m_0\gamma_0\beta_0^2c^2}\frac{\partial\phi}{\partial x}(x,y,\zeta) \tag{45}$$

$$\Delta p_y = \frac{\Delta P_y}{P_0} = -\frac{qL(1-\beta_0^2)}{m_0\gamma_0\beta_0^2c^2}\frac{\partial\phi}{\partial y}(x,y,\zeta)$$
 (46)

$$\Delta\delta \simeq \Delta p_z = \frac{\Delta P_z}{P_0} = -\frac{qL(1-\beta_0^2)}{m_0\gamma_0\beta_0^2c^2} \frac{\partial\phi}{\partial\zeta} (x, y, \zeta)$$
 (47)

Of your beam includes particles of different species (tracking of fragments), note that heree q is the charge of the kicked particle while  $m_0$  is the mass of the reference particle.

#### 2.1 2.5D approximation

For large enough values of  $\gamma_0$ , Eq. 27 can be approximated by:

$$\frac{\partial^2 \phi}{\partial x^2} + \frac{\partial^2 \phi}{\partial y^2} = -\frac{1}{\varepsilon_0} \rho_0(x, y, \zeta) \tag{48}$$

which means that we can solve a simple 2D problem for each beam slice (ideentified by its  $\zeta$ ).

#### 2.2 Modulated 2D

Often the beam distribution can be factorized as:

$$\rho_0(x, y, \zeta) = Nq_0 \lambda_0(\zeta) \rho_{\perp}(x, y) \tag{49}$$

where:

$$\int \lambda_0(z) \, dz = 1 \tag{50}$$

$$\int \rho_{\perp}(x,y) \, dx \, dy = 1 \tag{51}$$

In this case the potential can be factorized as:

$$\phi(x, y, \zeta) = q_0 \lambda_0(\zeta) \phi_{\perp}(x, y) \tag{52}$$

where  $\phi_{\perp}(x,y)$  is the solution of the following 2D Poisson equation:

$$\frac{\partial^2 \phi_{\perp}}{\partial x^2} + \frac{\partial^2 \phi_{\perp}}{\partial y^2} = -\frac{1}{\varepsilon_0} \rho_{\perp} (x, y)$$
 (53)

The kick can be expressed as:

$$\Delta p_x = \frac{\Delta P_x}{P_0} = -\frac{qq_0 NL(1-\beta_0^2)}{m_0 \gamma_0 \beta_0^2 c^2} \lambda_0(\zeta) \frac{\partial \phi}{\partial x}(x, y)$$
 (54)

$$\Delta p_y = \frac{\Delta P_y}{P_0} = -\frac{qq_0NL(1-\beta_0^2)}{m_0\gamma_0\beta_0^2c^2}\,\lambda_0(\zeta)\,\frac{\partial\phi}{\partial y}\,(x,y) \tag{55}$$

$$\Delta\delta \simeq \Delta p_z = \frac{\Delta P_z}{P_0} = -\frac{qq_0NL(1-\beta_0^2)}{m_0\gamma_0\beta_0^2c^2} \frac{d\lambda_0}{d\zeta}(\zeta) \phi(x,y)$$
 (56)

## **Bibliography**

- G. Iadarola and G. Rumolo, "Electron cloud effects', proceedings of the ICFA Mini-Workshop on Impedances and Beam Instabilities in Particle Accelerators, Benevento, Italy, 2018.
- [2] G. Rumolo, F. Ruggiero, and F. Zimmermann, "Simulation of the electron-cloud build up and its consequences on heat load, beam stability, and diagnostics", Phys. Rev. ST Accel. Beams 4, 012801, 2001.
- [3] J.D. Jackson, "Classical electrodynamics", Wiley New York, 1999.
- [4] D. Griffith, "Introduction to electrodynamics", Prentice Hall, 1999.
- [5] F. Zimmermann, "A Simulation Study of Electron-Cloud Instability and Beam-Induced Multipacting in the LHC", CERN LHC Project Report 95, SLAC-PUB-7425 (1997).
- [6] G. Rumolo and F. Zimmermann, "Practical user guide for HEADTAIL", SL-Note-2002-036-AP.
- [7] G. Iadarola, "Electron cloud studies for CERN particle accelerators and simulation code development", CERN-THESIS-2014-047, 2014.
- [8] G. Iadarola, E. Belli, K. S. B. Li, L. Mether, A. Romano, and G. Rumolo, "Evolution of Python Tools for the Simulation of Electron Cloud Effects" proceedings of the 8th International Particle Accelerator Conference (IPAC'17), Copenhagen, Denmark, May 2017.
- [9] R. De Maria, A. Mereghetti, M. Fitterer, M. Fjellstrom, A. Patapenka, "Sixtrack physics manual", http://sixtrack.web.cern.ch/SixTrack/docs/ physics\_manual.pdf.
- [10] G. Iadarola, "Properties of the electromagnetic fields generated by a circular-symmetric e-cloud pinch in the ultra-relativistic limit", CERN-ACC-NOTE-2019-0017.