# Xfields physics manual

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#### 1 FFT method

We illustrate the method in a single dimension then extend to multiple dimensions.

### 2 Space charge

We assume that the bunch travels rigidly along *s* with velocity  $\beta_0 c$ :

$$\rho(x, y, s, t) = \rho_0(x, y, s - \beta_0 ct) \tag{1}$$

$$\mathbf{J}(x,y,s,t) = \beta_0 c \,\rho_0(x,y,s-\beta_0 ct)\,\hat{\mathbf{i}}_s \tag{2}$$

We define an auxiliary variable  $\zeta$  as the position along the bunch:

$$\zeta = s - \beta_0 ct. \tag{3}$$

We call K the lab reference frame in which we have defined all equations above, and we introduce a boosted frame K' moving rigidly with the reference particle. The coordinates in the two systems are related by a Lorentz transformation [3]:

$$ct' = \gamma_0 \left( ct - \beta_0 s \right) \tag{4}$$

$$x' = x \tag{5}$$

$$y' = y \tag{6}$$

$$s' = \gamma_0 \left( s - \beta_0 ct \right) = \gamma_0 \zeta \tag{7}$$

The corresponding inverse transformation is:

$$ct = \gamma_0 \left( ct' + \beta_0 s' \right) \tag{8}$$

$$x = x' \tag{9}$$

$$y = y' \tag{10}$$

$$s = \gamma_0 \left( s' + \beta_0 c t' \right) \tag{11}$$

The quantities  $(c\rho, J_x, J_y, J_s)$  form a Lorentz 4-vector and therefore they are transformed between K and K' by relationships similar to the Eqs. 4-6 [3]:

$$c\rho'\left(\mathbf{r'},t'\right) = \gamma_0\left[c\rho\left(\mathbf{r}\left(\mathbf{r'},t'\right),t\left(\mathbf{r'},t'\right)\right) - \beta_0 J_s\left(\mathbf{r}\left(\mathbf{r'},t'\right),t\left(\mathbf{r'},t'\right)\right)\right]$$
(12)

$$J'_{s}\left(\mathbf{r'},t'\right) = \gamma_{0}\left[J_{s}\left(\mathbf{r}\left(\mathbf{r'},t'\right),t\left(\mathbf{r'},t'\right)\right) - \beta_{0}c\rho\left(\mathbf{r}\left(\mathbf{r'},t'\right),t\left(\mathbf{r'},t'\right)\right)\right]$$
(13)

where the transformations  $\mathbf{r}(\mathbf{r'},t')$  and  $t(\mathbf{r'},t')$  are defined by Eqs. 8 and 11 respectively. The transverse components  $J_x$  and  $J_y$  of the current vector are invariant for our transformation, and are anyhow zero in our case.

Using Eq. 2 these become:

$$\rho'\left(\mathbf{r'},t'\right) = \frac{1}{\gamma_0}\rho\left(\mathbf{r}\left(\mathbf{r'},t'\right),t\left(\mathbf{r'},t'\right)\right) \tag{14}$$

$$J_s'(\mathbf{r}',t') = 0 \tag{15}$$

Using Eqs. 1 and 8-10, we obtain:

$$\rho(x', y', s(s', t'), t(s', t')) = \rho_0(x', y', s(s', t') - \beta_0 c t(s', t'))$$
(16)

From Eq. 7 we get:

$$s(s',t') - \beta_0 c \, t(s',t') = \frac{s'}{\gamma_0} \tag{17}$$

where the coordinate t' has disappeared.

We can therefore write:

$$\rho'\left(x',y',s',t'\right) = \frac{1}{\gamma_0}\rho_0\left(x',y',\frac{s'}{\gamma_0}\right) \tag{18}$$

The electric potential in the bunch frame is solution of Poisson's equation:

$$\frac{\partial^2 \phi'}{\partial x'^2} + \frac{\partial^2 \phi'}{\partial y'^2} + \frac{\partial^2 \phi'}{\partial s'^2} = -\frac{\rho'(x', y', s')}{\varepsilon_0}$$
(19)

From Eq. 18 we can write:

$$\frac{\partial^2 \phi'}{\partial x'^2} + \frac{\partial^2 \phi'}{\partial y'^2} + \frac{\partial^2 \phi'}{\partial s'^2} = -\frac{1}{\gamma_0 \varepsilon_0} \rho_0 \left( x', y', \frac{s'}{\gamma_0} \right)$$
 (20)

We now make the substitution:

$$\zeta = \frac{s'}{\gamma_0} \tag{21}$$

obtained from Eq. 7, which allows to rewrite Eq. 20 as:

$$\frac{\partial^{2} \phi'}{\partial x^{2}} + \frac{\partial^{2} \phi'}{\partial y^{2}} + \frac{1}{\gamma_{0}^{2}} \frac{\partial^{2} \phi'}{\partial \zeta^{2}} = -\frac{1}{\gamma_{0} \varepsilon_{0}} \rho_{0}(x, y, \zeta)$$
 (22)

Here we have dropped the "''" sign from x and y as these coordinates are unaffected by the Lorentz boost.

The quantities  $\left(\frac{\phi}{c}, A_x, A_y, A_s\right)$  form a Lorentz 4-vector, we can show that the s component of the vector potential in the lab frame vanishes:

$$\phi = \gamma_0 \left( \phi' + \beta_0 c A_s' \right) \tag{23}$$

$$A_s = A_s' + \beta_0 \frac{\phi'}{c} \tag{24}$$

In the bunch frame the charges are at rest therefore  $A'_x = A'_y = A'_z = 0$  therefore:

$$\phi = \gamma_0 \phi' \tag{25}$$

$$A_s = \beta_0 \frac{\phi'}{c} = \frac{\beta_0}{\gamma_0 c} \phi \tag{26}$$

Combining Eq. 25 with Eq. 22 we obtain the equation in  $\phi$ :

$$\frac{\partial^2 \phi}{\partial x^2} + \frac{\partial^2 \phi}{\partial y^2} + \frac{1}{\gamma_0^2} \frac{\partial^2 \phi}{\partial \zeta^2} = -\frac{1}{\varepsilon_0} \rho_0(x, y, \zeta)$$
 (27)

#### 3 Lorentz force

We stay in the thin lens approximation so we approximate the velocity vector of the particle as:

$$\mathbf{v} = \beta c \,\hat{\mathbf{i}}_{s} \tag{28}$$

We want to compute the Lorentz force acting on the particle:

$$\mathbf{F} = q \left( -\nabla \phi - \frac{\partial \mathbf{A}}{\partial t} + \beta c \, \hat{\mathbf{i}}_s \times (\nabla \times \mathbf{A}) \right)$$

$$= q \left( -\nabla \phi - \frac{\beta_0}{\gamma_0 c} \frac{\partial \phi}{\partial t} \hat{\mathbf{i}}_s + \beta c \, \hat{\mathbf{i}}_s \times (\nabla \times \mathbf{A}) \right)$$
(29)

We compute the vector product:

$$\mathbf{\hat{i}}_{s} \times (\nabla \times \mathbf{A}) = \left(\frac{\partial A_{s}}{\partial x} - \frac{\partial A_{x}}{\partial s}\right) \mathbf{\hat{i}}_{x} + \left(\frac{\partial A_{s}}{\partial y} - \frac{\partial A_{y}}{\partial s}\right) \mathbf{\hat{i}}_{y} 
= \left(\frac{\partial A_{s}}{\partial x} - \frac{\partial A_{x}}{\partial s}\right) \mathbf{\hat{i}}_{x} + \left(\frac{\partial A_{s}}{\partial y} - \frac{\partial A_{y}}{\partial s}\right) \mathbf{\hat{i}}_{y} + \underbrace{\left(\frac{\partial A_{s}}{\partial s} - \frac{\partial A_{s}}{\partial s}\right)}_{=0} \mathbf{\hat{i}}_{s}$$
(30)

$$= \nabla A_s - \frac{\partial \mathbf{A}}{\partial s}$$

We replace:

$$\mathbf{F} = q \left( -\nabla \phi - \frac{\beta_0}{\gamma_0 c} \frac{\partial \phi}{\partial t} \hat{\mathbf{i}}_s + \beta \beta_0 \nabla \phi - \frac{\beta \beta_0}{\gamma_0} \frac{\partial \phi}{\partial s} \hat{\mathbf{i}}_s \right)$$
(31)

The potentials will have the same form as the sources (this can be shown explicitly using the Lorentz transformations):

$$\phi(x,y,s,t) = \phi\left(x,y,t - \frac{s}{\beta_0 c}\right) \tag{32}$$

For a function in this form we can write:

$$\frac{\partial \phi}{\partial s} = \frac{\partial}{\partial \zeta} = -\frac{1}{\beta_0 c} \frac{\partial \phi}{\partial t} \tag{33}$$

obtaining:

$$\mathbf{F} = q \left( -\nabla \phi + \frac{\beta_0^2}{\gamma_0} \frac{\partial \phi}{\partial \zeta} \hat{\mathbf{i}}_s + \beta \beta_0 \nabla \phi - \frac{\beta \beta_0}{\gamma_0} \frac{\partial \phi}{\partial \zeta} \hat{\mathbf{i}}_s \right)$$
(34)

Reorganizing:

$$\mathbf{F} = -q(1 - \beta\beta_0)\nabla\phi - \frac{\beta_0(\beta - \beta_0)}{\gamma_0}\frac{\partial\phi}{\partial\zeta}\hat{\mathbf{i}}_s$$
(35)

Explicit dependencies:

$$F_{x}(x,y,\zeta(t)) = -q(1-\beta\beta_0)\frac{\partial\phi}{\partial x}(x,y,\zeta(t))$$
(36)

$$F_{y}(x, y, \zeta(t)) = -q(1 - \beta\beta_0) \frac{\partial \phi}{\partial y}(x, y, \zeta(t))$$
(37)

$$F_z(x, y, \zeta(t)) = -q \left( 1 - \beta \beta_0 - \frac{\beta_0(\beta - \beta_0)}{\gamma_0} \right) \frac{\partial \phi}{\partial \zeta}(x, y, \zeta(t))$$
 (38)

Over the single interaction we neglect the particle slippage:

$$\beta = \beta_0 \tag{39}$$

$$\zeta(t) = \zeta \tag{40}$$

(in any case one would need to take into account also the dispersion in order to have the right slippage).

gives the following simplification:

$$F_x(x,y,\zeta) = -q(1-\beta_0^2)\frac{\partial \phi}{\partial x}(x,y,\zeta) \tag{41}$$

$$F_{y}(x,y,\zeta) = -q(1-\beta_0^2)\frac{\partial \phi}{\partial y}(x,y,\zeta) \tag{42}$$

$$F_z(x, y, \zeta) = -q(1 - \beta_0^2) \frac{\partial \phi}{\partial \zeta}(x, y, \zeta)$$
(43)

In this way the force over the single interaction becomes independent on time and therefore we can compute the kicks simply as:

$$\Delta \mathbf{P} = \frac{L}{\beta_0 c} \mathbf{F} \tag{44}$$

from which we can compute the kicks on the normalized momenta ( $P_0 = m_0 \beta_0 \gamma_0 c$ ):

$$\Delta p_x = \frac{m_0}{m} \frac{\Delta P_x}{P_0} = -\frac{qL(1-\beta_0^2)}{m\gamma_0\beta_0^2c^2} \frac{\partial \phi}{\partial x} (x, y, \zeta)$$
(45)

$$\Delta p_y = \frac{m_0}{m} \frac{\Delta P_y}{P_0} = -\frac{qL(1-\beta_0^2)}{m\gamma_0\beta_0^2c^2} \frac{\partial \phi}{\partial y} (x, y, \zeta)$$
(46)

$$\Delta\delta \simeq \Delta p_z = \frac{m_0}{m} \frac{\Delta P_z}{P_0} = -\frac{qL(1-\beta_0^2)}{m\gamma_0\beta_0^2c^2} \frac{\partial\phi}{\partial\zeta} (x, y, \zeta)$$
(47)

Of your beam includes particles of different species (tracking of fragments), note that heree q is the charge of the kicked particle while  $m_0$  is the mass of the reference particle.

#### 3.1 2.5D approximation

For large enough values of  $\gamma_0$ , Eq. 22 can be approximated by:

$$\frac{\partial^2 \phi}{\partial x^2} + \frac{\partial^2 \phi}{\partial y^2} = -\frac{1}{\varepsilon_0} \rho_0(x, y, \zeta) \tag{48}$$

which means that we can solve a simple 2D problem for each beam slice (identified by its  $\zeta$ ).

#### 3.2 Modulated 2D

Often the beam distribution can be factorized as:

$$\rho_0(x, y, \zeta) = Nq_0 \lambda_0(\zeta) \rho_\perp(x, y) \tag{49}$$

where:

$$\int \lambda_0(z) \, dz = 1 \tag{50}$$

$$\int \rho_{\perp}(x,y) \, dx \, dy = 1 \tag{51}$$

In this case the potential can be factorized as:

$$\phi(x, y, \zeta) = q_0 \lambda_0(\zeta) \phi_{\perp}(x, y) \tag{52}$$

where  $\phi_{\perp}(x,y)$  is the solution of the following 2D Poisson equation:

$$\frac{\partial^2 \phi_{\perp}}{\partial x^2} + \frac{\partial^2 \phi_{\perp}}{\partial y^2} = -\frac{1}{\varepsilon_0} \rho_{\perp} (x, y)$$
 (53)

The kick can be expressed as:

$$\Delta p_x = \frac{m_0}{m} \frac{\Delta P_x}{P_0} = -\frac{qq_0 NL(1 - \beta_0^2)}{m\gamma_0 \beta_0^2 c^2} \lambda_0(\zeta) \frac{\partial \phi}{\partial x}(x, y)$$
 (54)

$$\Delta p_y = \frac{m_0}{m} \frac{\Delta P_y}{P_0} = -\frac{qq_0 NL(1-\beta_0^2)}{m\gamma_0\beta_0^2c^2} \lambda_0(\zeta) \frac{\partial \phi}{\partial y}(x,y)$$
 (55)

$$\Delta\delta \simeq \Delta p_z = \frac{m_0}{m} \frac{\Delta P_z}{P_0} = -\frac{qq_0 NL(1-\beta_0^2)}{m\gamma_0 \beta_0^2 c^2} \frac{d\lambda_0}{d\zeta}(\zeta) \phi(x,y)$$
 (56)

#### 4 FFT solver

We start from a 1D case for illustration and then we generalize.

We assume free space. The potential can be written as the convolution of a Green function with the charge distribution:

$$\phi(x) = \int_{-\infty}^{+\infty} \rho(x') G(x - x') dx'$$
(57)

We assume that the source is limited to a region of measure *L*:

$$\rho(x) = \rho(x) \prod \left(\frac{x}{L}\right) \tag{58}$$

where  $\Pi(x)$  is a rectangular window defined as:

$$\Pi(x) = \begin{cases} 1 & \text{for } -\frac{1}{2} < x < \frac{1}{2} \\ 0 & \text{elsewhere} \end{cases}$$
 (59)

We are interested in the electric potential only the region occupied by the sources, so we can compute:

$$\phi_L(x) = \phi(x)\Pi\left(\frac{x}{L}\right) \tag{60}$$

We replace Eq. (58) and Eq. (60) into Eq.(57), obtaining:

$$\phi_L(x) = \Pi\left(\frac{x}{L}\right) \int_{-\infty}^{+\infty} \Pi\left(\frac{x'}{L}\right) \rho(x') G(x - x') dx'$$
 (61)

We change variable into x'' = x - x':

$$\phi_L(x) = \int_{-\infty}^{+\infty} \Pi\left(\frac{x}{L}\right) \Pi\left(\frac{x - x''}{L}\right) \rho(x - x'') G(x'') dx''$$
 (62)

The integrand vanishes outside the set of the (x, x'') defined by:

$$\begin{cases} -\frac{L}{2} < x < \frac{L}{2} \\ -\frac{L}{2} < (x'' - x) < \frac{L}{2} \end{cases}$$
 (63)

Combining the two equations we obtain:

$$-L < -\frac{L}{2} + x < x'' < \frac{L}{2} + x < L \tag{64}$$

i.e. the integrand is zero for |x''| < L. Therefore in equation (62) we can replace the G(x'') with its truncated version:

$$G_{2L}(x'') = G(x'') \Pi\left(\frac{x''}{2L}\right)$$
(65)

obtaining:

$$\phi_L(x) = \int_{-\infty}^{+\infty} \Pi\left(\frac{x}{L}\right) \Pi\left(\frac{x - x''}{L}\right) \rho(x - x'') G_{2L}(x'') dx''$$
 (66)

Since the two window function force the integrand to zero outside the region |x''| < L we can replace  $G_{2L}(x'')$  with its replicated version:

$$G_{2LR}(x'') = \sum_{n = -\infty}^{+\infty} G_{2L}(x' - 2nL) = \sum_{n = -\infty}^{+\infty} G(x'' - 2nL) \prod \left(\frac{x'' - 2nL}{2L}\right)$$
(67)

obtaining:

$$\phi_L(x) = \int_{-\infty}^{+\infty} \Pi\left(\frac{x}{L}\right) \Pi\left(\frac{x - x''}{L}\right) \rho(x - x'') G_{2LR}(x'') dx''$$
 (68)

We can go back to the initial coordinate by substituting x'' = x - x':

$$\phi_L(x) = \Pi\left(\frac{x}{L}\right) \int_{-\infty}^{+\infty} \rho(x') G_{2LR}(x - x') dx'$$
(69)

This is a cyclic convolution, so we can proceed as followd. We split the integral:

$$\phi_L(x) = \Pi\left(\frac{x}{L}\right) \sum_{n=-\infty}^{+\infty} \int_{-L+2nL}^{L+2nL} \rho(x') G_{2LR}(x-x') dx'$$
 (70)

In each term I replace x''' = x' + 2nL:

$$\phi_L(x) = \Pi\left(\frac{x}{L}\right) \sum_{n=-\infty}^{+\infty} \int_{-L}^{L} \rho(x''' - 2nL) G_{2LR}(x - x''' - 2nL) dx'$$
 (71)

But  $G_{2LR}$  is periodic:

$$\phi_{L}(x) = \Pi\left(\frac{x}{L}\right) \sum_{n=-\infty}^{+\infty} \int_{-L}^{L} \rho(x''' - 2nL) G_{2LR}(x - x''') dx'''$$

$$= \Pi\left(\frac{x}{L}\right) \int_{-L}^{L} \sum_{n=-\infty}^{+\infty} \rho(x''' - 2nL) G_{2LR}(x - x''') dx'''$$
(72)

I can define a replicated version of  $\rho(x)$ :

$$\rho_{2LR}(x) = \sum_{n=-\infty}^{+\infty} \rho(x - 2nL) \tag{73}$$

obtaining: But  $G_{2LR}$  is periodic:

$$\phi_L(x) = \Pi\left(\frac{x}{L}\right) \int_{-L}^{L} \sum_{n = -\infty}^{+\infty} \rho_{2LR}(x') G_{2LR}(x - x') dx'$$
 (74)

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