

# Report: Dialog Act Classification

## using Word Embeddings & Acoustic Features

Jens Beck

jens.beck1@ims

Fabian Fey

fabian.fey@ims

Richard Kollotzek

richard.kollotzek@ims

### Abstract

Nam dui ligula, fringilla a, euismod sodales, sollicitudin vel, wisi. Morbi auctor lorem non justo. Nam lacus libero, pretium at, lobortis vitae, ultricies et, tellus. Donec aliquet, tortor sed accumsan bibendum, erat ligula aliquet magna, vitae ornare odio metus a mi. Morbi ac orci et nisl hendrerit mollis. Suspendisse ut massa. Cras nec ante. Pellentesque a nulla. Cum sociis natoque penatibus et magnis dis parturient montes, nascetur ridiculus mus. Aliquam tincidunt urna. Nulla ullamcorper vestibulum turpis. Pellentesque cursus luctus mauris.

## 1 Introduction

The general task is to classify lexical and auditory speech into one of four predefined *dialog act classes*. A *dialog act*, in this context, represents informal information of how a dialog system should respond to a users input. The four provided classes are *statement*, *opinion*, *question* and *backchannel*. To solve this task we developed *convolutional neural networks* (CNN) that use lexical and acoustic features. For the development and training of the systems a subset of the *Switchboard Dialog Act Corpus* was used. In next chapters we discuss the development of the systems and subsequently to that the research question **INSERT HERE**.

## 2 Data & Data Preperation

In this section we discuss the *Switchboard Dialog Act Corpus* and the extraction of the lexical and acoustic features.

### 2.1 The Switchboard Dialog Act Corpus

The *Switchboard Dialog Act Corpus* [2], from now on abbreviated as *SwDA*, consists of recordings

	training set	dev set	test set
opinion (~17%)	4984	1068	1070
question (~8%)	2150	460	463
backchannel (~24%)	6792	1455	1458
statement (~51%)	14459	3098	3099
sum	28385	6081	6090

**Table 1:** Displays the distribution of the four classes in the three data sets.

with corresponding transcripts. Each of these recordings is assigned to one of 42 *dialog act classes*. For this project we reduced the amount of classes down to four which are *statement*, *opinion*, *question* and *backchannel*. These classes are supersets of the 42 *dialog act classes* defined in the *SwDA*. The distribution of the four classes within the training, development and test set are shown in Table 1. The numbers illustrate a huge imbalance between the *statement* class and the other three classes. However, we decided against reducing the data into equally distributed sets because this would exclude at least one third of the training data. This is important to keep in mind for the evaluation of the systems because an educated guess would have an accuracy of around 51% by assigning all test examples to the *statement* class.

### 2.2 Data Preprocessing

Two different kinds of features are employed in our systems, lexical and acoustic features. For the lexical features we used pretrained word embeddings which are freely accessible

### **3 Baseline Systems**

### **4 Results**

### **5 Research Question: None**

### **6 Conclusion**

### **References**

- [1] F. Eyben, M. Wöllmer, and B. Schuller. Opensmile: The munich versatile and fast open-source audio feature extractor. In *Proceedings of the 18th ACM International Conference on Multimedia*, MM '10, pages 1459–1462, New York, NY, USA, 2010. ACM.
- [2] J. J. Godfrey, E. C. Holliman, and J. McDaniel. Switchboard: Telephone speech corpus for research and development. In *Proceedings of the 1992 IEEE International Conference on Acoustics, Speech and Signal Processing - Volume 1*, ICASSP'92, pages 517–520, Washington, DC, USA, 1992. IEEE Computer Society.
- [3] Y. Kim. Convolutional neural networks for sentence classification. *CoRR*, abs/1408.5882, 2014.
- [4] T. Mikolov, I. Sutskever, K. Chen, G. Corrado, and J. Dean. Distributed representations of words and phrases and their compositionality. *CoRR*, abs/1310.4546, 2013.