

Chapter 11 Graph

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Graph Applications

- Modeling computer networks
- Representing maps
- Finding paths from start to goal (AI)
- Ordering tasks
- Modeling relationships (families, organizations)

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Content

- 11.1 terminology and representations
- 11.2 Graph implementations
- 11.3 Graph Traversals
- 11.4 Shortest-Paths Problem
- 11.5 Minimum-Cost Spanning Trees

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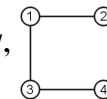
11.1 Terminology and Representations

Terminology (1)

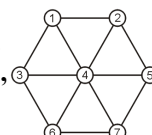
A **graph** $G = (V, E)$ consists of **a set of vertices (顶点)** V , and **a set of edges (边)** E , such that **each edge in E** is a connection between **a pair of vertices in V** .

The **number** of vertices is written $|V|$, and the **number** edges is written $|E|$.

Example 1: given $G_1 = (V, E)$, $V = \{1, 2, 3, 4\}$, $E = \{\{1, 2\}, \{1, 3\}, \{3, 4\}\}$ $|V| = 4$, $|E| = 3$



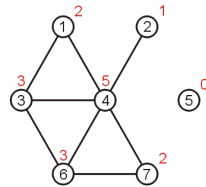
Example 2: given $G_2 = (V, E)$, $V = \{1, 2, 3, 4, 5, 6, 7\}$, $E = \{\{1, 2\}, \{1, 3\}, \{1, 4\}, \{2, 4\}, \{2, 5\}, \{3, 4\}, \{3, 6\}, \{4, 5\}, \{4, 6\}, \{4, 7\}, \{5, 7\}, \{6, 7\}\}$, $|V| = 7$, $|E| = 12$



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Terminology (2)

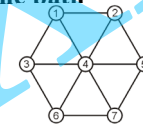
- **Adjacent(邻接):** two vertices are said to be **adjacent** if there exists an edge between the two vertices
 - for example: if there has a edge $\{a, b\}$ in E , then a is adjacent to b , and b is adjacent to a
 - We will assume that a vertex is **not adjacent to itself**, that is, each edge in E is made up of **two distinct vertices**
- **Degree(度):** the degree of a vertex is the **number** of its adjacent vertices



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Terminology (3)

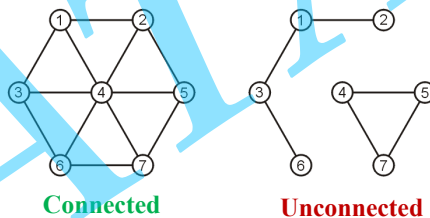
- **Path:** an ordered sequence of vertices $(v_0, v_1, v_2, \dots, v_k)$ is called a path, where $\{v_{i-1}, v_i\}$ is an edge in E for $i = 1, \dots, k$
- **Length of path:** the number of edges in the path
 - (1, 2, 4, 3, 6, 7, 5): 6
 - (1, 4, 2, 4, 3, 4, 5, 4, 6, 4, 7): 10
 - (2, 4, 1, 2, 4, 2, 1): 6
 - (2, 4, 1, 2): 3
 - (1): 0
- **Simple path:** vertices no repetitions except perhaps the first and last vertices
- **Cycle(环):** a simple path that the first and last vertices are equal



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Terminology (4)

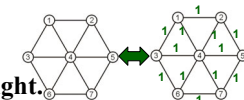
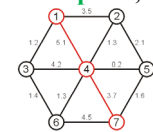
- **Connectedness (连通)**
 - two vertices v_i, v_j are said to be **connected** if there exists a **path** from v_i to v_j
 - A graph is **connected** if **any two** vertices are **connected**.



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Terminology (5)---Weighted Graphs

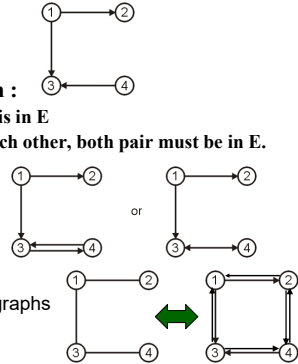
- A **weight(权值)** may be associated with each **edge** in a graph, which could represent **distance, energy consumption, cost**, etc.
- **weighted graph:** each edge has a weight.
 - The **length of a path** within weighted graph is the sum of the weight in the path. (1,4,7), 8.8
- There may be **multiple paths** between two vertices, each with a different weighted length
 - (1,4,5,7), 6.9
- **Shortest path:** the path with the **shortest length** between two vertices.
 - (1, 3, 6, 4, 5, 7), 5.7
- **unweighted graph:** edge no associated weight.
 - can be regarded to be a weighted graph with all edges have weight 1



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Terminology (6)----Directed Graphs/有向图

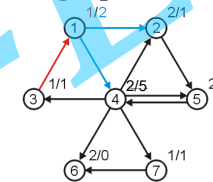
- The edges on a graph may be associated with a **direction**
 - all edges are ordered pairs (v_i, v_j) , where this does denote a connection **from** v_i to v_j , does not a connection **from** v_j to v_i
- Such a graph is termed a **directed graph**
 - For example,
 $V = \{1, 2, 3, 4\}$,
 $E = \{(1, 2), (1, 3), (4, 3)\}$
- Adjacent(邻接) in a directed graph :**
 - A vertex v_i is adjacent to v_j if (v_i, v_j) is in E
 - For two vertices to be adjacent to each other, both pair must be in E .
 - For example
 $V = \{1, 2, 3, 4\}$,
 $E = \{(1, 2), (1, 3), (3, 4), (4, 3)\}$
- undirected graphs**
 - can be considered to be directed graphs with edges in both directions



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Terminology (7)----Directed Graphs

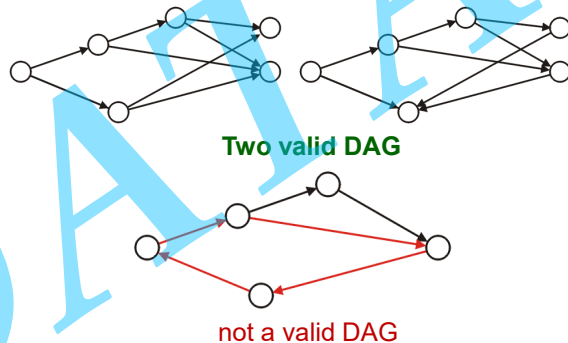
- for a vertices in a Directed Graphs
 - out-degree:** is the number of vertices which are adjacent to the given vertex number of arrows go out
 - in-degree:** is the number of vertices which this vertex is adjacent to, number of arrows coming in
- For example, the **in/out** degrees of each of the vertices in this graph are listed next to the vertex



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Terminology (8)----Directed Acyclic Graphs

Directed Acyclic Graph(有向无环图DAG): a directed graph which has no cycles

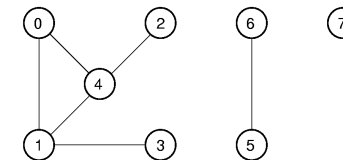


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Terminology (9)---- Connected Components

Subgraph: $G_s = (V_s, E_s)$, if $V_s \subseteq V$, $E_s \subseteq E$, we say G_s is a subgraph of $G = (V, E)$

Connected components(连通分量): the **maximally connected subgraphs** of an **undirected** graph.

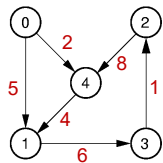


包含3个连通分量的图

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Graph Representation (1)

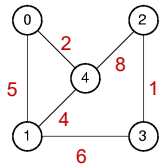
---- Adjacency Matrix/邻接矩阵



	0	1	2	3	4
0	0	5	0	0	8
1	0	0	0	6	0
2	0	0	0	0	4
3	0	0	1	0	0
4	0	4	0	0	0

space cost:
 $O(|V|^2)$

请思考：若graph用邻接矩阵描述，如何获取各顶点的出/入度呢？



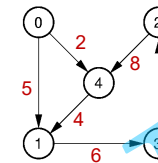
	0	1	2	3	4
0	0	5	0	0	8
1	5	0	0	6	0
2	0	0	0	1	0
3	0	6	1	0	0
4	8	0	0	0	0

非加权图的邻接矩阵呢？

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Graph Representation

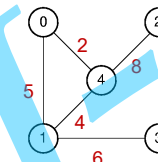
----Adjacency List/邻接链表



	0	1	2	3	4
0		5			8
1				6	
2				1	
3					4
4					

space cost:
 $O(|V|+|E|)$
 $= O(|V|^2)$

再思考：若graph用邻接链表描述，如何获取各顶点的出/入度呢？



	0	1	2	3	4
0		5			8
1				6	
2				1	
3					4
4					

非加权图的邻接链表呢？

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11.2 Graph implementations

- Graph ADT Class
- Adjacency Matrix implementation
- Adjacency List implementation

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Graph ADT

- Data: 顶点集
- Relation: 边集
- Basic Operation:
 - 赋值类: `setEdge(i,j,w)`
 - 获得信息类: `n()`, `e()`, `first(i)`, `next(i,j)`, `weight(i,j)`
 - 其他: `delEdge(i,j)`

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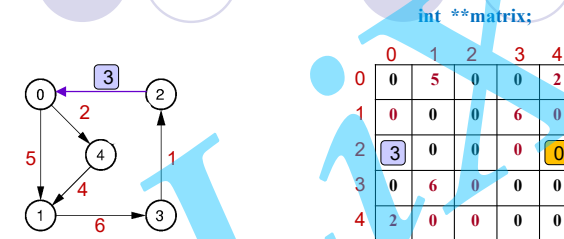
Graph ADT Class

```
class Graph { // Graph abstract class
public:
    virtual int n()=0; // get number of vertices
    virtual int e()=0; // get number of edges
    virtual int first(int v)=0; // Return index of first neighbor of vth vertex
    virtual int next(int v, int w)=0; // Return index of next neighbor of vth vertex
    virtual void setEdge(int v, int w, int)=0; // Set new edge between vth and wth vertices
    virtual void delEdge(int v, int w)=0; // Delete edge connecting vth and wth vertex
    virtual int weight(int v, int w)=0; // return weight of edge connecting vth and wth vertices
    virtual int getMark(int v)=0; //Get the mark value of the vth vertex
    void setMark(int v, int)=0; //Set the mark value of the vth vertex
};
```

图的基本操作

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Adjacency Matrix implementation(1)



- ① 1个2D数组描述图的邻接矩阵
- ② 1个整形变量numV保存顶点个数
- ③ 1个整形变量numE保存边的条数

```
i = e();
i = first(1);
i = next(1, 3);
i = next(0, 1);
setEdge(2,0,3);
delEdge(2,4);
i = weight(4, 2);
```

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Adjacency Matrix implementation(2)

```
Class GraphM: public Graph { //implement adjacency matrix
    int numVertex, numEdge; // number of vertices and edges
    int **matrix;
    int *mark; //pointer to a mark array
public:
    GraphM(int n){
        int i,j;
        numVertex = n;
        numEdge = 0;
        mark = new int[numVertex];
        for (i=0;i<n;i++) mark[i]=0;
        matrix = (int **) new int * [numVertex];
        for(i=0;i<n;i++) matrix[i]= new int[numVertex];
        for(i=0;i<n;i++)
            for(j=0;j<n;j++) matrix[i][j]= 0;
    }
```

0	0	0	0	0
0	0	0	0	0
0	0	0	0	0
0	0	0	0	0
0	0	0	0	0

```
int *mark;
0 0 0 0 0
```

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Adjacency Matrix implementation(3)

```
~GraphM (){
    delete []mark;
    for(i=0;i<n;i++) delete []matrix[i];
    delete []matrix;
}
int n() { return numVertex; }
int e() { return numEdge; }
//int setN(int n) { numVertex = n ; }
int first(int v) {
    int i;
    for (i=0; (i < numVertex) && (matrix(v,i) ==0); i++);
    return i;
}
int next(int v, int w) {
    int i;
    for (i=w+1; (i<numVertex) && (matrix(v,i) ==0); i++);
    return i;
}
```

思考：若没找到，返回值为？

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Adjacency Matrix implementation(4)

```
void setEdge(int v, int w, int wgt) {
    Assert(wgt > 0, "Illegal weight value");
    if (matrix[v][w]==0) numEdge++;
    matrix[v][w]=wgt;
}

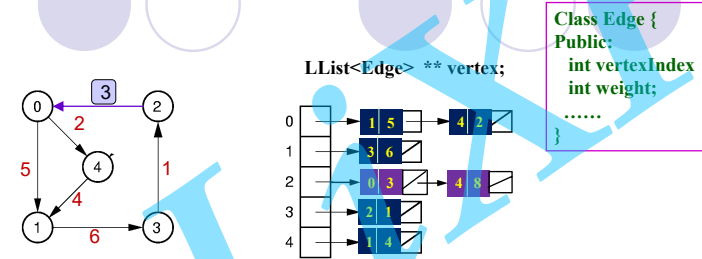
void delEdge(int v, int w) {
    if (matrix[v][w]!=0) {numEdge --; matrix[v][w]=0;}
}

int weight(int v, int w) { return matrix[v][w]; }
int getMark(int v) { return mark[v]; }
void setMark (int v, int val) { mark[v]=val; }
};
```

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Adjacency List implementation(1)



- ① 1个数组 (元素为链表) 描述图的邻接链表
- ② 1个整形变量numV保存顶点个数
- ③ 1个整形变量numE保存边的条数

```
i = first(1);      3
i = next(1, 3);    5
i = next(0, 1);    4
setEdge(2,0,3);
delEdge(2,4);
i = weight(4, 2);  0
```

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Adjacency List implementation(2)

```
Class Edge {
Public:
    int vertexIndex
    int weight;
    Edge() { vertexIndex=-1; weight = -1;}
    Edge(int v, int w) { vertexIndex = v; weight = w;}
    Edge operator = (Edge e1) {
        vertexIndex=e1.vertexIndex;
        weight=e1.yweight;
        return *this; }
};

Class GraphL: public Graph {
private:
    int numVertex, numEdge; // number of vertices and edges
    LList<Edge> ** vertex; // linked list header;
    int *mark; //pointer to a mark array
```

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Adjacency List implementation(3)

```
public:
    GraphL(int n){
        int i, j;
        numVertex=n; numEdge=0;
        mark = new int[numVertex]; for (i=0;i<n;i++) mark[i]=0;
        vertex = (LList<Edge>**) new LList<Edge> *[numVertex];
        for(i=0;i<n;i++) vertex[i]= new LList<Edge> [numVertex];
    }

    ~GraphL(){
        delete [] mark;
        for(i=0;i<n;i++) vertex[i]->clear;
        delete [] vertex;
    }
```

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Adjacency List implementation(4)

```
int n() { return numVertex; }
int e() { return numEdge; }
// int setN(int n) { numVertex = n; }
int first(int v) { //若没有, 返回numVertex
    if (vertex[v] -> length() == 0) return numVertex;
    vertex[v] -> moveToStart();
    return (vertex[v] -> getValue()).vertexIndex;
}
int weight(int v, int w) { //若v,w之间没有弧, 返回0
    Edge curr; int l = vertex[v] -> length();
    curr = vertex[v] -> getValue();
    if (curr.vertexIndex != w)
        for (vertex[v] -> moveToStart(); vertex[v] -> currPos() < l; vertex[v] -> next())
            { curr = vertex[v] -> getValue(); if (curr.vertexIndex >= w) break; }
    if (curr.vertexIndex == w) return curr.weight;
    else return 0; }
```

在线性表 vertex[v] 中寻找合适的结点

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Adjacency List implementation(5)

```
int next(int v, int w) { //若没有, 返回numVertex
    Edge curr = vertex[v] -> getValue();
    int l = vertex[v] -> length();
    if (curr.vertexIndex != w)
    {
        vertex[v] -> moveToStart();
        for (; vertex[v] -> currPos() < l; vertex[v] -> next())
        {
            curr = vertex[v] -> getValue();
            if (curr.vertexIndex >= w) break; }
    }
    if (curr.vertexIndex == w)
    { vertex[v].next(); return (vertex[v] -> getValue()).vertexIndex; }
    else return numVertex;
}
```

在线性表 vertex[v] 中寻找合适的结点

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Adjacency List implementation(6)

```
void setEdge(int v, int w, int wgt) {
    Assert(wgt > 0, "Illegal weight value");
    int l = vertex[v] -> length();
    Edge it(w, wgt);
    Edge curr = vertex[v] -> getValue();
    if (curr.vertexIndex != w) {
        vertex[v] -> moveToStart();
        for (; vertex[v] -> currPos() < l; vertex[v] -> next())
            { curr = vertex[v] -> getValue();
              if (curr.vertexIndex >= w) break; } }
    if (curr.vertexIndex == w) vertex[v] -> remove(curr);
    else numEdge++;
    vertex[v] -> insert(it);
}
```

在线性表 vertex[v] 中寻找合适的结点

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Adjacency List implementation(7)

```
void delEdge(int v, int w) {
    Edge curr;
    curr = vertex[v] -> getValue();
    if (curr.vertexIndex != w) {
        vertex[v] -> moveToStart();
        for (; vertex[v] -> currPos() < l; vertex[v] -> next())
            { curr = vertex[v] -> getValue(); if (curr.vertexIndex >= w) break; }
    }
    if (curr.vertexIndex == w) { vertex[v] -> remove(); numEdge--; }
}
int getMark(int v) { return mark[v]; }
void setMark(int v, int val) { mark[v] = val; }
};
```

在线性表 vertex[v] 中寻找合适的结点

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11.3 Graph Traversals

11.3.1 Graph Traversal

11.3.2 Depth First Search

11.3.3 Breadth First Search

11.3.4 Topological Sort

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11.3.1 Graph Traversal

➤ Some applications require visiting every vertex in the graph exactly once. (无条件)

➤ Depth First Search: DFS

➤ Breadth-First Search: BFS

➤ The application may require that vertices be visited in some special order based on graph topology. (有条件)

➤ Topological Sort

➤ Application Examples:

➤ Connected components analysis

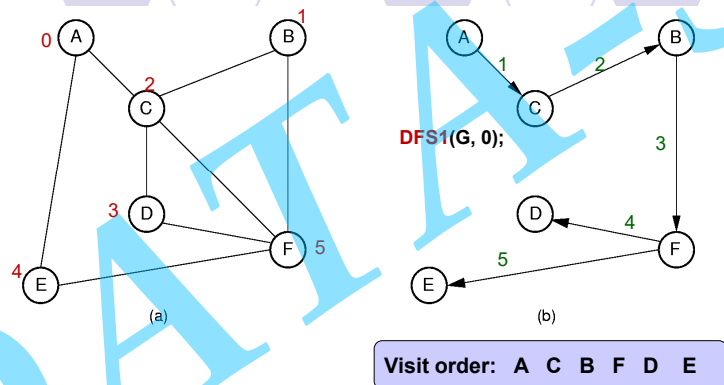
➤ Shortest paths problems

➤ Artificial Intelligence Search

To insure visiting all vertices once and only exactly once

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11.3.2 Depth First Search of connected graph (1)



上述DFS(root-Cs)类似 与树的前根遍历

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Depth First Search of connected graph(2)

// Depth first search---root-Cs

```
void DFS-RootCs(Graph* G, int v) {
```

```
    G->setMark(v, VISITED);
```

```
    printf("%d\n", v); // print visited vertex
```

```
    for (int w=G->first(v); w<G->n(); w = G->next(v,w))
```

```
        if (G->getMark(w) == UNVISITED)
```

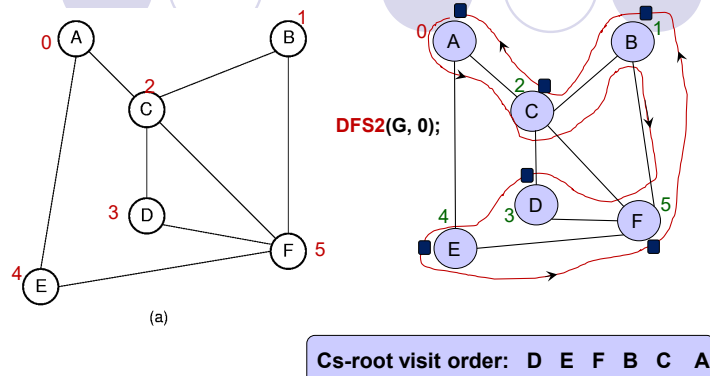
```
            DFS-RootCs(G, w);
```

```
}
```

Cost: $\Theta(|V| + |E|)$.

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11.3.2 Depth First Search of **connected graph** (3)



上述DFS类似 与树的后根遍历

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Depth First Search of connected graph(4)

// Depth first search--- Cs--root

```
void DFS-CsRoot(Graph* G, int v) {
```

```
    G->setMark(v, VISITED);
```

```
    for (int w=G->first(v); w<G->n(); w = G->next(v,w))
```

```
        if (G->getMark(w) == UNVISITED)
```

```
            DFS-CsRoot(G, w);
```

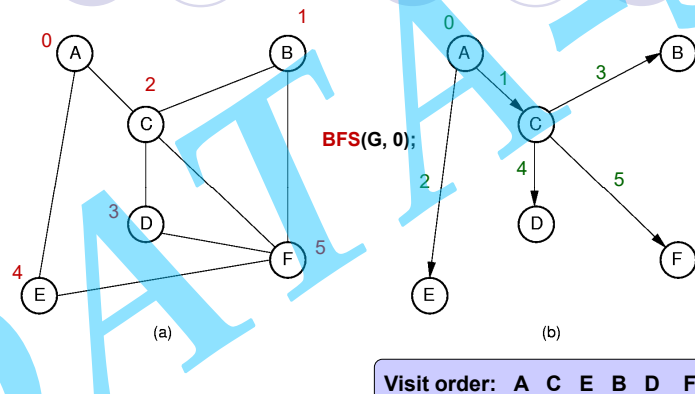
```
    printf("%d\n", v); // print visited vertex
```

```
}
```

Cost: $\Theta(|V| + |E|)$.

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11.3.3 Breadth-First Search of connected graph (1)



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Breadth-First Search of connected graph (2)

```
void BFS(Graph* G, int start) {
```

```
    int v, w; LQueue<int> Q;
```

```
    Q.enqueue(start);
```

```
    G->setMark(start, VISITED);
```

```
    printf("%d\n", start); // print visited vertex
```

```
    while (Q.length() != 0) { // Process Q
```

```
        v=Q.dequeue();
```

```
        for(w=G->first(v); w<G->n(); w=G->next(v,w))
```

```
            if (G->getMark(w) == UNVISITED) {
```

```
                Q.enqueue(w);
```

```
                G->setMark(w, VISITED);
```

```
                printf("%d\n", w); // print visited vertex
```

```
            }
```

```
    }
```

```
}
```

Visit vertex's
neighbors before
continuing deeper
in the graph.

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Connected Graph Traversal conclusion

- Depth First Search of graph

- based on 栈/递归的方式实现

- Breadth-First Search

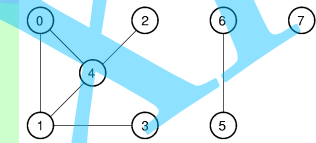
- based on 队列的方式实现

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General Graph Traversal (无条件)

```
void graphTraverse(const Graph* G) {
    int v;
    for (v=0; v<G->n(); v++)
        G->setMark(v, UNVISITED); // Initialize
    for (v=0; v<G->n(); v++)
        if (G->getMark(v) == UNVISITED)
            doTraverse(G, v);
}
```

BFS(G,v);
or DFS-RootCs(G,v);
or DFS-CsRoot(G,v);



若G为无向图。doTraverse
的执行次数等于图中连通
分量的个数。

思考：若G为有向图呢？

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11.3.4 Topological Sort

- Given: a number of tasks, there are often a number of constraints between the tasks:

For example: tasks: A,B,C,D,E

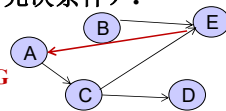
非DAG/有环图?

- 先决条件
- task A must be completed before tasks C can start
 - tasks C, B must be completed before task E can start
 - tasks C must be completed before task D can start

- Problem: Output the tasks in an order that does not violate any of the prerequisites (先决条件).

- Solution:

- modeling the problem using a DAG
- Topological Sort the DAG

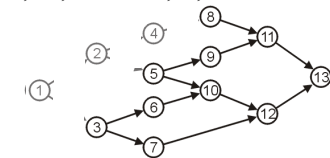


The process of laying out the vertices of a DAG in a linear order to meet the prerequisites rules is called Topological Sort

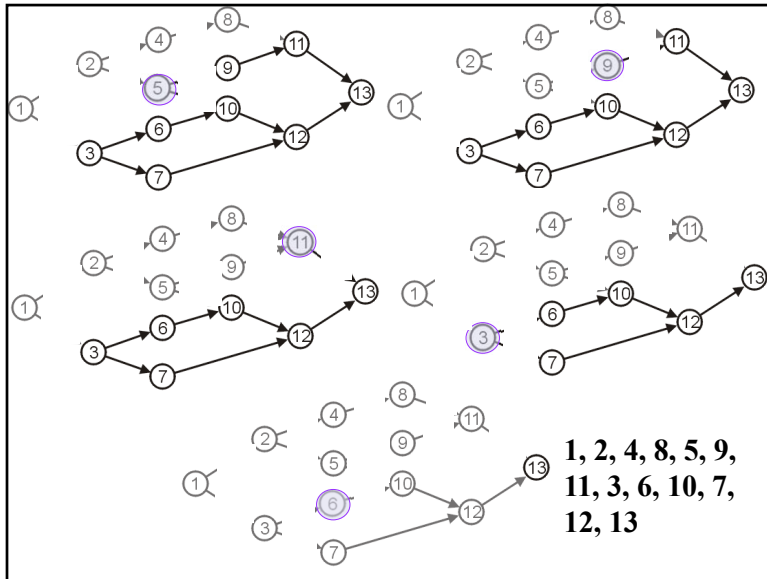
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Topological Sort

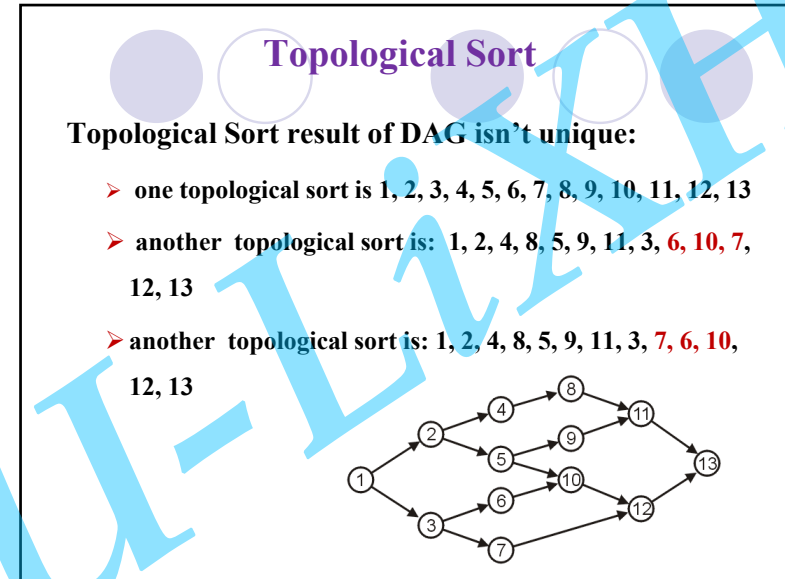
- To generate a topological sort, we must start with a vertex with an in-degree of zero: for example: 1
- Then, we may ignore/delete those edges which connect vertex 1 to other vertices, and choose a vertex with an in-degree of zero such as 2 or 3: 1, 2
- then ignore/delete all edges which extend from 2, and choose a vertex with an in-degree of zero, we may choose from vertices 4, 5, or 3: 1, 2, 4
- and then..... 1, 2, 4, 8



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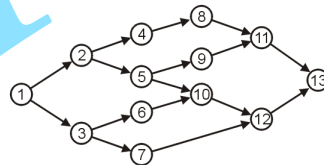
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Queue-Based(BFS-based) Topsort Implementation

步骤:

1. 建一个空队列Q
2. 将所有入度为0的顶点入Q (按顶点的序号)
3. 从Q中出队一顶点v, 按下列步骤处理v
 - 1) 访问(即输出)v;
 - 2) 对v的每一邻接顶点, 将其入度减1, 若入度变为0则将其入队Q
4. 重复步骤3, 直到Q为空

**BFS based Topological Sort
result of DAG is unique**

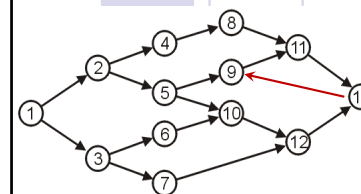


思考:

什么情况下会出现直到Q为空, 依然有顶点没输出?

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Queue-Based Topsort ---implementation



初始:

1入队:

1出队, 2,3入队:

2出队, 4,5入队:

3出队, 6,7入队:

4出队, 8入队:

5出队, 9入队:

6出队, 10入队:

7出队:

8出队:

9出队, 11入队:

10出队, 12入队:

11出队, :

12出队, 13入队:

13出队:

Q output

初始:

1

2 3

3 4 5

4 5 6 7

5 6 7 8

6 7 8 9

7 8 9 10

8 9 10

9 10

10 11

11 12

12

13

1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13

当输入graph为有环图时, 会出现直到Q为空, 依然有顶点没输出的现象。

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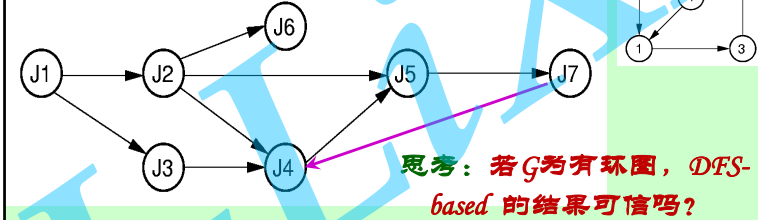
Queue-Based Topsort Implementation (3)

```
void topsort(Graph* G, Queue<int>* Q) {
    int v, w, *inDegree; // inDegree 用来存放每个顶点的入度
    inDegree = new int[G->n()];
    for (v=0; v<G->n(); v++) inDegree[v] = 0;
    for (v=0; v<G->n(); v++) // set inDegree[] according edges
        for (w=G->first(v); w<G->n(); w = G->next(v,w))
            inDegree[w]++; // increase w's inDegree/入度
    for (v=0; v<G->n(); v++) // Initialize Q: 将入度为0的顶点入队Q
        if (inDegree[v] == 0) Q->enqueue(v); // 入度为0, No prereqs
    while (Q->length() != 0) {
        v = Q->dequeue(); cout<<v<<endl; // Process for V such as print
        for (w=G->first(v); w<G->n(); w = G->next(v,w)) {
            inDegree[w]--; // w入度 (prereqs) 减1
            if (inDegree[w] == 0) Q->enqueue(w); // w入度为0, 入队
        }
    }
}
```

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DFS-based TopSort Implementation

1. 基于Cs-root(后根)遍历的graph traversal
2. Reversed order



Cs-root result: J7,J5,J4,J6,J2,J3, J1

Reversed, we get the Topological Sort :

J1,J3,J2,J6,J4,J5, J7

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Conclusion of two Topsort methods

➤ Queue-Based(BFS-based) Topsort(可以判定输入Graph是否为有环图, 从而可提醒输入的非DAG不适合Topsort: 当Q为空时, 依然有顶点没输出, 说明该graph为有环图, 即图中有环, 不适合Topsort。

➤ DFS-based TopSort 不管输入graph是否为DAG, 总会输出所有顶点, 无输入不当提醒功能: 当输入graph为DAG时, 输出结果可信, 但当其为非DAG时, 结果不可信。

不建议使用

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