

The type of Schemes is not modal

Tim Lichtnau

May 2024

Consider a modality L , such that

L1 Any $r : R$ invertible L -merely has a squareroot.

L2 \perp is modal

1 Line with two origins

Lemma 1.1. *Closed propositions are connected.*

Proof. Let $P = (I = 0)$ be a closed proposition, for $I \subset R$ an ideal. We wish to show, that the map $\mathbf{Bool} \rightarrow \mathbf{Bool}^P$ is surjective. Maps $\mathrm{Spec} A \rightarrow \mathbf{Bool} = \mathrm{Spec}(R \times R)$ biject with idempotents of $\mathrm{Spec} A$ (Indeed the image of $R \times R$ classifies idempotents by the image of $(1, 0)$ in A). In our case $A = R/I$ has only the two trivial idempotents 0 and 1: Indeed: any idempotent of A can be lifted to an idempotent of R , using locality of R . But then, using locality of R again, we conclude. \square

Lemma 1.2. *For any $x : R$: the map*

$$\begin{aligned} f_x : \mathrm{Susp}(x \neq 0) &\rightarrow (\mathbf{Bool}^{x=0}) \\ N &\mapsto \lambda_.\mathrm{true} \\ S &\mapsto \lambda_.\mathrm{false} \end{aligned}$$

is an equivalence.

In particular we have two equivalent models of the line with two origins

$$\sum_{x:R} \mathbf{Bool}^{x=0} \simeq \sum_{x:R} \mathrm{Susp}(x \neq 0)$$

Proof. It is well-defined: We have to check that $f_x(N) = f_x(S)$ if $x \neq 0$. But in this case the function type $\mathbf{Bool}^{x=0}$ is contractible.

It is surjective as closed propositions are connected 1.1. It is injective: As $\mathbf{Bool} \rightarrow \mathrm{Susp}(x \neq 0)$ is surjective, we may only study the points N and S . By case-analysis we only need to show, that if $f_x(N) = f_x(S)$, then $x \neq 0$. But if $x = 0$, then $\mathrm{true} =_{\mathbf{Bool}} \mathrm{false}$, a contradiction. \square

Lemma 1.3 (0 is a regular point of R). *If $0 \in U \subset R$ is an open neighborhood, then the restriction map $R^U \rightarrow R^{U \setminus \{0\}}$ is injective.*

Proof. We may assume that $U = D(f)$ is a principal open neighborhood. Then, the element $X : R[X]_f$ is regular, as $X : R[X]$ is regular: Indeed if $XPf^n =_{R[X]} 0$ for some $P : R[X]$, then $Pf^n = 0$, thus $P =_{R[X]_f} 0$.

In other words, the map $R[X]_f \rightarrow R[X]_{fX}$ is injective, which is a reformulation of the goal. \square

Remark 1.4. One can define regularity of a point 0 in a scheme X generally by asking that it admits a open affine neighborhood $0 \in \text{Spec } A \subset X$ such that $\text{Spec } A \setminus \{0\} = D(g_1, \dots, g_n)$ for $A \rightarrow \prod_{i=1}^n A_{g_i}$ injective. This yields a well-behaved notion. [2]

Lemma 1.5. *There is no open affine subset of the line with two origins L containing both points.*

Proof. Let us write $p : L \rightarrow R$ for the first projection. Assume there is an open affine subset of the line with two origins such that $\text{fib}_p(0) \subset U \subset L$. Then $p(U) \subset R$ is an open neighborhood of 0 , as

$$x \in p(U) \leftrightarrow (x, \text{true}) \in U \vee (x, \text{false}) \in U$$

Claim: the map $R^{p(U)} \rightarrow R^U$ is an equivalence. If we have shown that: As U is affine we conclude that the map

$$\begin{aligned} U &\rightarrow \text{Spec}(R^{p(U)}) \\ x &\mapsto \phi \mapsto (\phi(px)) \end{aligned}$$

is an equivalence, which is a contradiction to the assumption, that U contains both (distinct!) origins.

Proof of claim: First the Proof idea: As U is a subset of a quotient of $R + R$, the function $U \rightarrow R$ determines two (partially defined on open domain) functions to R that coincide away from the origin, which is a regular point. Thus by 1.3 they coincide everywhere. More precisely:

Injectivity: If two maps $f, g : p(U) \rightarrow R$ coincide after precomposing with $U \rightarrow p(U)$, then they coincide away from 0 so conclude by 1.3.

Surjectivity: Given a map $L \supset U \rightarrow R$, by pulling back along $f : R + R \rightarrow L$ we can view it as a map $R + R \supset f^{-1}(U) \rightarrow R$ defined at both origins, so in particular as a pair of maps to R defined on some open neighborhood of 0 in R . They coincide away from 0 so by 1.3 they are equal. \square

2 Twisted line with double origin

Lemma 2.1. *Let $2 \neq 0$. Let $r \neq 0$. Denote $C_r = R[X]/(X^2 + r)$*

1. *Given $y, x : \text{Spec } C_r$ we have $y = x$ or $y = -x$.*
2. *Any embedding $\text{Bool} \hookrightarrow \text{Spec } C_r$ is already an equivalence*
3. *$\|\text{Spec } C_r\| \leftrightarrow \|\text{Bool} \simeq \text{Spec } C_r\|$*

Proof. 1. We have

$$(x + y)(x - y) = x^2 - y^2 = x^2 + r = 0$$

we know that one of the factors is invertible by locality ($y \neq -y$) so the other factor is zero.

2. Any embedding

$$\begin{aligned} \text{Bool} &\rightarrow \text{Spec } C_r \\ \text{true} &\mapsto i \\ \text{false} &\mapsto i' \end{aligned}$$

is already an equivalence: We apply 1. twice: From $i' \neq i$ we get $i' = -i$ and the above map is surjective.

3.

' \leftarrow ' Obvious

' \rightarrow ' Because $i \neq -i$, this determines an embedding. □

Lemma 2.2. *Let $r : R^\times$. Denote*

$$C_r = R[X]/(X^2 + r)$$

Consider an open subset $U \subset \text{Spec } C_r$, such that $\neg(U = \text{Spec } C_r)$. Then U is an open proposition.

Proof. Note, that U is a proposition: If $x, x' : U$, then $x = x' \simeq \neg\neg(x = x')$ by decidable equality of U , using that $\text{Spec } C_r$ is a formally étale affine. But if $x \neq x'$, then $\{x, x'\} \hookrightarrow \text{Spec } C_r$ is an embedding, so by 2.1 an equivalence, but then $U = \text{Spec } C_r$, contradiction.

We first reduce to the case where U is a principal open of $\text{Spec } C$. By [1] we find $f_1, \dots, f_n : C_r$ such that $U = \bigcup_{i=1}^n D(f_i)$. As the left hand side is a proposition we have

$$U \leftrightarrow \bigvee_{i=1}^n D(f_i)$$

so we may show, that each $D(f_i) \subset \text{Spec } C_r$ is an open proposition.

Let $f : C_r = R[X]/(X^2 - r)$ such that $D(f)$ is a proposition. As C_r as an R -module is free with basis $1, X$, we may choose a representative $a + bX : R[X]$ with $a, b : R$. Let us show $(2a \neq 0) \leftrightarrow D(f)$, which is a modal proposition, as open propositions are $\neg\neg$ -stable, thus modal. By (L1) we may assume $x : \text{Spec } C_r$. Using that $D(f) \subset \text{Spec } C_r = \{x, -x\}$ and that $D(f)$ is a proposition we have

$$D(f) = (a + bx \neq 0) + (a - bx \neq 0) \xrightarrow{\sim} (a + bx \neq 0) \vee (a - bx \neq 0) \quad (1)$$

We may show both implications $2a \neq 0 \leftrightarrow (a + bx \neq 0) \vee (a - bx \neq 0)$.

' \rightarrow ' $(a + bx) + (a - bx)$ is invertible, so by locality one of the summands is invertible.

' \leftarrow ' by symmetry wlog $a + bx \neq 0$. Then by the first equation of (1) and the fact that $D(f)$ is a proposition, $\neg\neg(a - bx = 0)$. Thus $\neg\neg(a + a = a + bx \neq 0)$, hence $2a \neq 0$. □

The rest of this section is devoted for the proof of 3.1.

$\mathcal{B} \rightarrow \mathcal{C}$ we have $p : X_r \rightarrow R$ the first projection so we may use $Y_x := \text{fib}_p x$ and the evident equivalence $\text{fib}_p(0) \simeq \text{Spec } C_r$. There is no open affine subset of X_r containing $\text{fib}_p(0)$: Indeed as the goal is $\neg\neg$ -stable, it is modal by L2. So we may assume X_r being the line with two origins, using (L1). So we can conclude by 1.5.

$\mathcal{A} \rightarrow \mathcal{B}$ if $\|\text{Spec } C_r\|$, then X_r is a scheme:

$\sum_{x:R} \text{Bool}^{x=0}$ is the line with two origins by 1.2, which is known to be a scheme. So by 2.1, $X_r \equiv \sum_{x:R} (\text{Spec } C_r)^{x=0}$ is a scheme as well.

$\mathcal{C} \rightarrow \mathcal{A}$ Let $p : X \rightarrow R$ be a map out of a scheme that comes with an equivalence $\text{fib}_p(0) \simeq \text{Spec } C_r$, such that X does not admit an open affine neighborhood of $\text{fib}_p(0)$. We wish to show $\|\text{Spec } C_r\|$. Any finite open affine cover of X can be restricted to a finite open affine cover $\text{Spec } C_r = \bigcup_{j=0}^n U_j$ of the basefiber $\text{Spec } C$ consisting of strictly smaller open subsets, using the assumption that $\text{fib}_p(0)$ does not have an open affine neighborhood. Then the goal is

$$\|\text{Spec } C_r\| = \left\| \bigcup_{j=0}^n U_j \right\| \leftrightarrow \left\| \sum_{j=0}^n U_j \right\| = \bigvee_j U_j$$

an open proposition by 2.2, thus $\neg\neg$ -stable, hence modal by (L2). So it is inhabited, as $L\|\text{Spec } C_r\|$ is inhabited (L1).

3 The type of schemes is not modal

The key ingredient to prove that \mathbf{Sch} is not modal, is the following:

Proposition 3.1. *Let $2 \neq 0$. Let $r : R^\times$. Denote*

$$C_r = R[X]/(X^2 + r)$$

$$X_r = \sum_{x:R} (\text{Spec } C_r)^{x=0}$$

The following types (referred as $\mathcal{A} \mathcal{B} \mathcal{C}$) are logically equivalent, i.e. we find functions

$$\begin{array}{c} \parallel \text{Spec } C_r \parallel \\ \downarrow \\ \text{isScheme}(X_r) \\ \downarrow \\ \sum_{Y:R \rightarrow \mathbf{Sch}} Y_0 =_{\mathbf{Sch}} \text{Spec } C_r \times (\nexists \text{ an open affine neighborhood of } Y_0 \text{ in } \sum_{x:R} Y_x) \end{array}$$

Remark 3.2. If \mathbf{Sch} is a modal type, the advantage of \mathcal{C} is that it is modal, even if schemes are not assumed to be modal.

Corollary 3.3. *The type of Schemes \mathbf{Sch} is not modal*

Proof. Assume \mathbf{Sch} is modal. Lets call $C_r = R[X]/(X^2 + r)$. By [1] A . 0.3. its enough to show $\parallel \text{Spec } C_r \parallel$ for all $r : R^\times$.

Let $r : R^\times$. First I give a conceptual proof in the case where every scheme is modal only needing $\mathcal{A} \leftrightarrow \mathcal{B}$:

$$\begin{array}{l} L1 \rightarrow L \parallel \text{Spec } C_r \parallel \\ \xrightarrow{\mathcal{A} \rightarrow \mathcal{B}} L(X_r \in \mathbf{Sch}) \\ \xleftrightarrow{*} (X_r \in \mathbf{Sch}) \\ \xrightarrow{\mathcal{B} \rightarrow \mathcal{A}} \parallel \text{Spec } C_r \parallel \end{array}$$

where at $(*)$ we used that $(X_r \in \mathbf{Sch}) \simeq \sum_{X:\mathbf{Sch}} (X = X_r)$ is modal: because both X and X_r are modal, the type of equivalences $X \simeq X_r$ is modal as well, so conclude by univalence

Now we give a proof for the general case, where morally we replace \mathcal{B} in the previous proof by the modal type \mathcal{C} . If \mathbf{Sch} is a modal type, then the type \mathcal{C} is modal:

- modal types are stable under \sum
- function types into modal types are modal,
- identity types in \mathbf{Sch} are modal,
- \perp is modal (L2).

Then conclude by

$$(L1) \rightarrow L \parallel \text{Spec } C_r \parallel \xrightarrow{\mathcal{A} \rightarrow \mathcal{C}} LC \simeq \mathcal{C} \rightarrow \mathcal{A}$$

□

References

- [1] Felix Cherubini, Thierry Coquand, and Matthias Hutzler. *A Foundation for Synthetic Algebraic Geometry*. 2023. arXiv: 2307.00073 [math.AG]. URL: <https://arxiv.org/abs/2307.00073>.
- [2] Tim Lichtnau. “Higher Geometric Stacks in SAG”. In: (2024). URL: <https://raw.githubusercontent.com/timlichtnau/MasterThesis/Main/main.pdf>.