

Session 1

Tree basics

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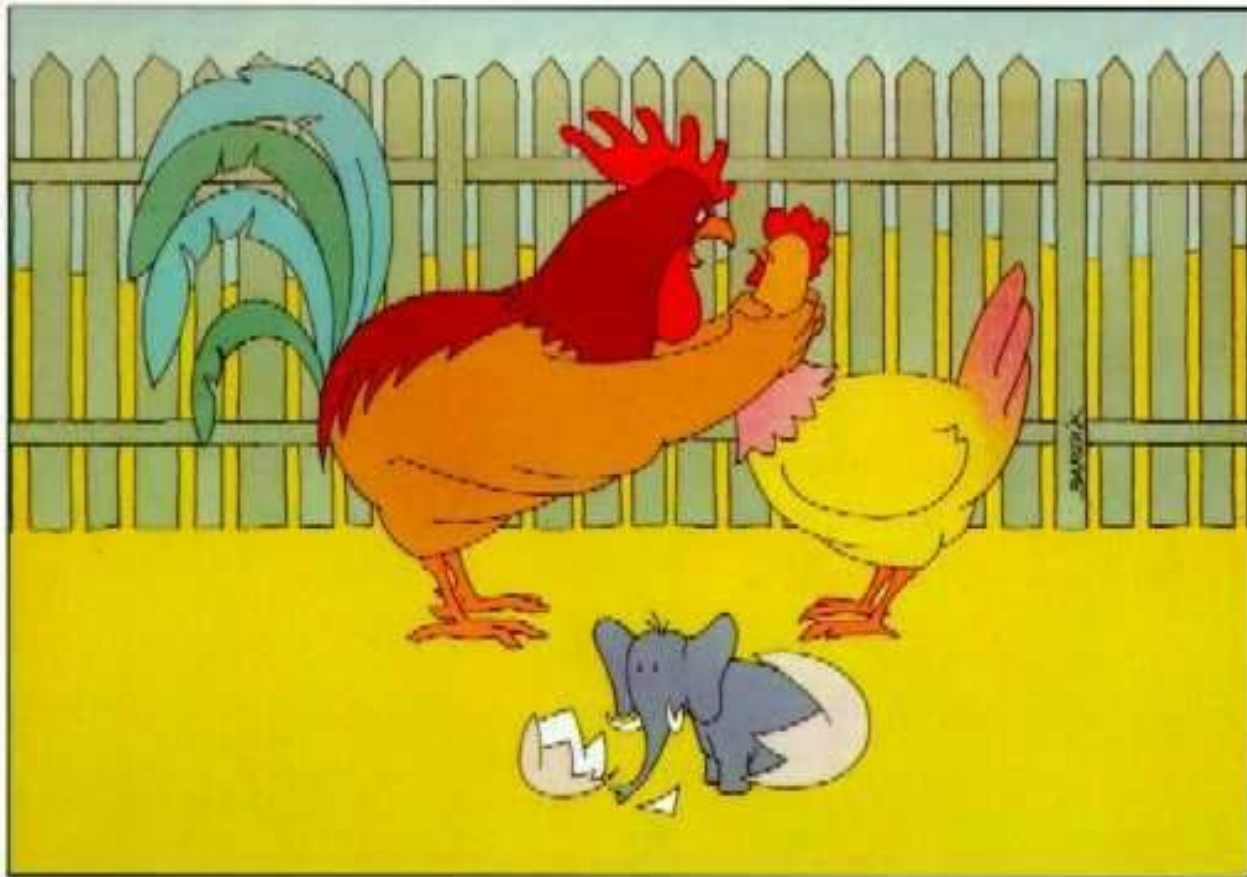
Before we start

- Some basic rules
 - Please, if you want to contact me, use the oscar.lao@ibe.upf-clisc.es email (I also check the ESCI one, but less).
- Classroom dynamic
 - Each session is divided in two parts of ~55 minutes.
 - Two hours of theory. Two hours of practical (bring your own laptop!)
- Assessments
- Project shared with ASAB
 - To be done in groups of ~four people.
- Exams
 - Midterm and final exam

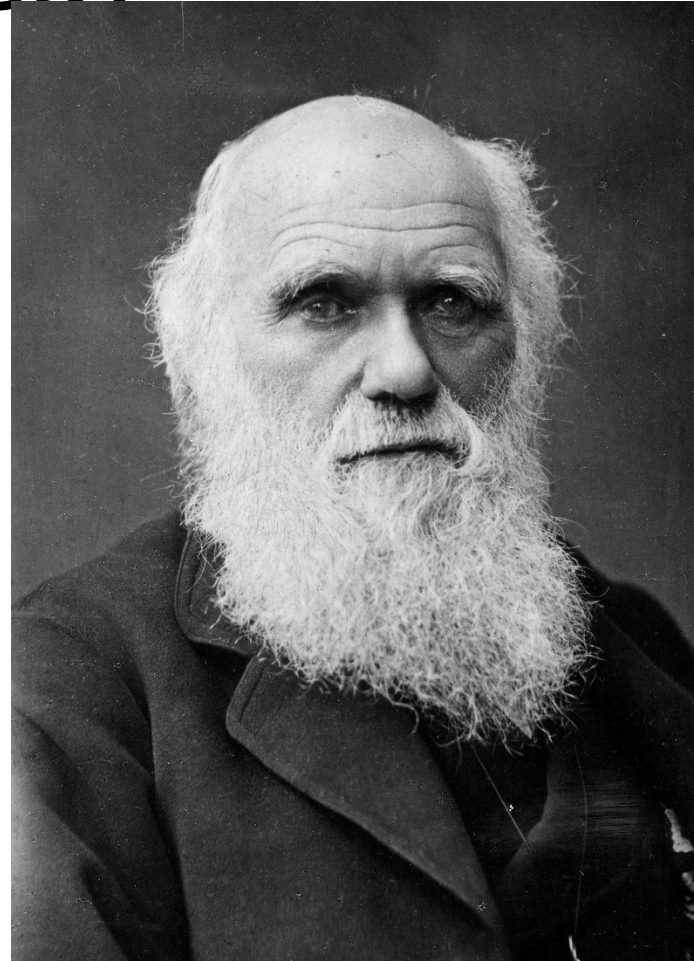
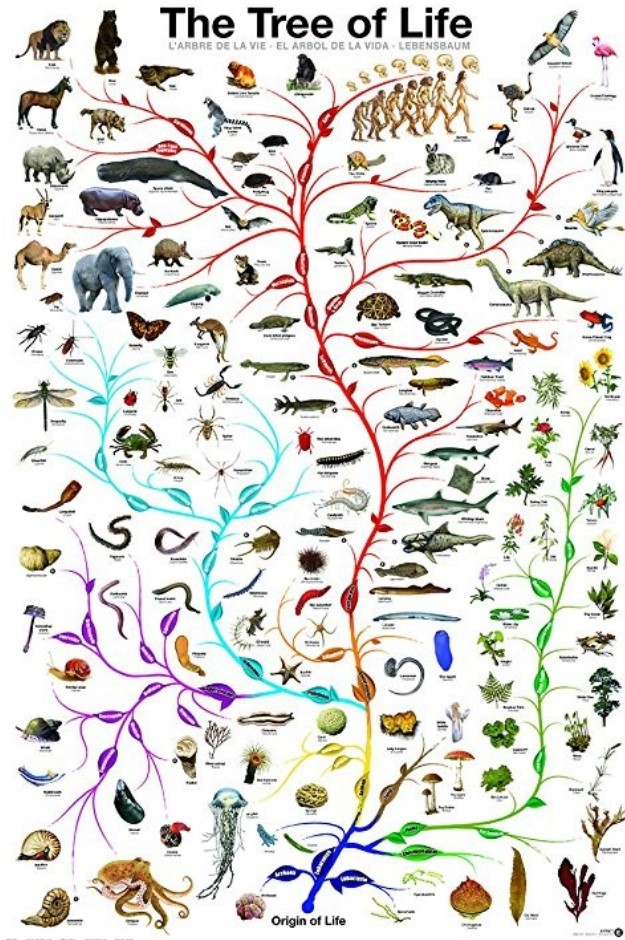
Before we start

- Weekly Assignments are individual, NOT BY PAIRS.
- Plagiarism
- Python
- Comment your code

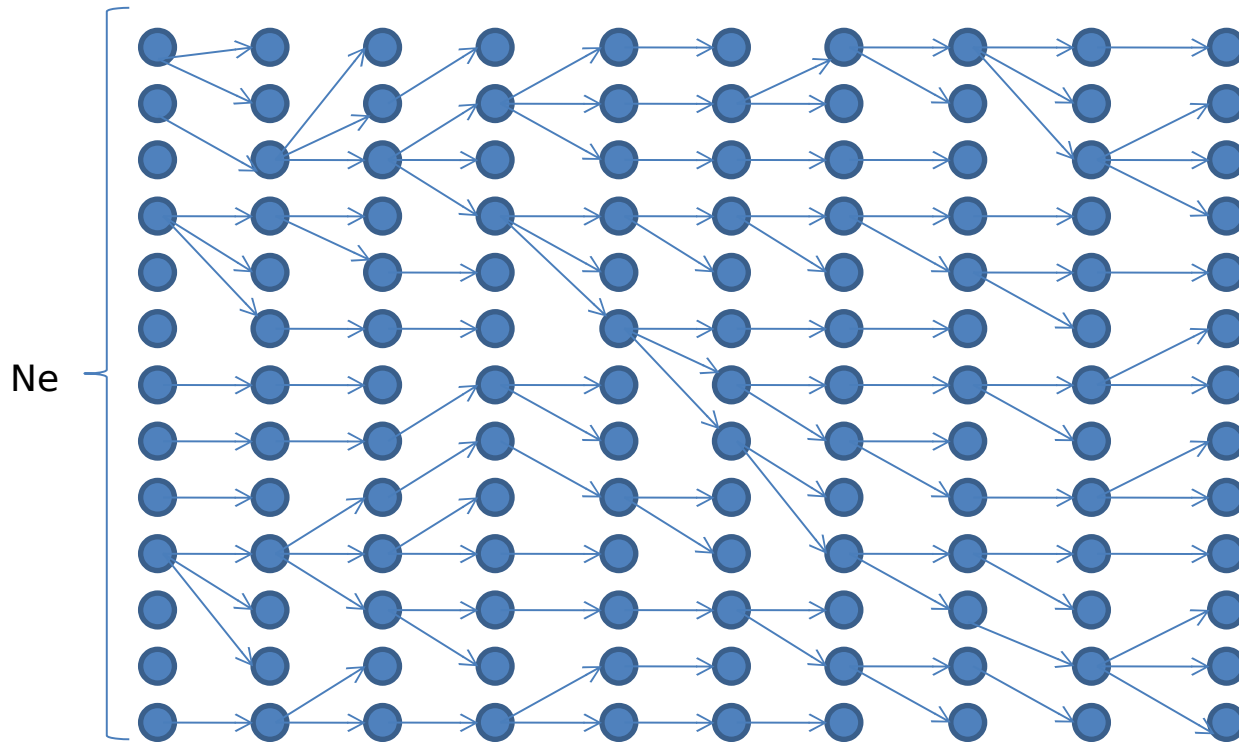
WHY IS THIS A JOKE?



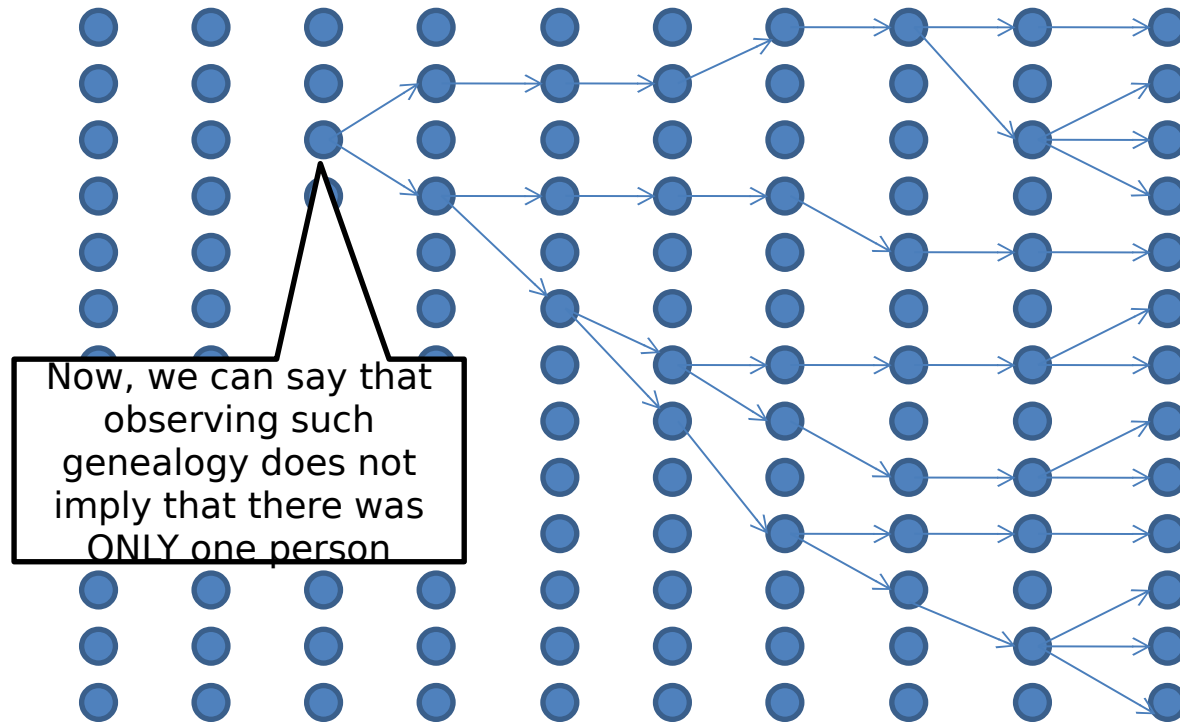
Why do we have to think in trees?



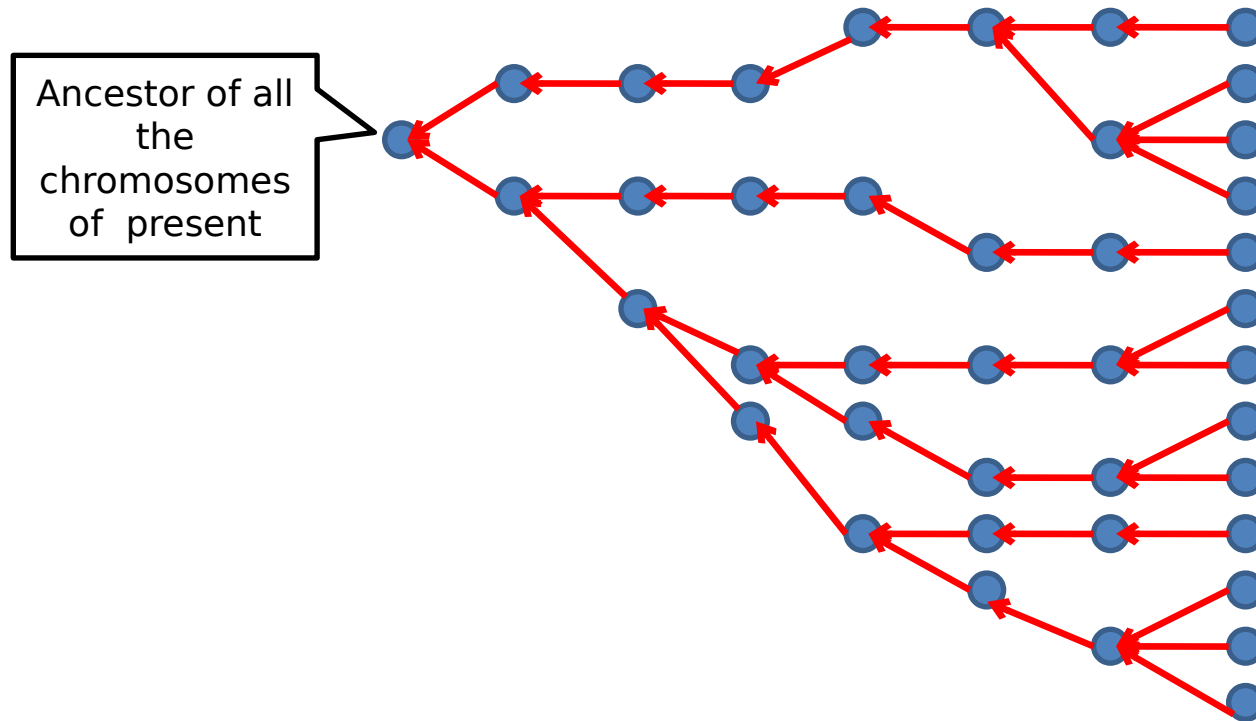
Why do we have to think in trees?



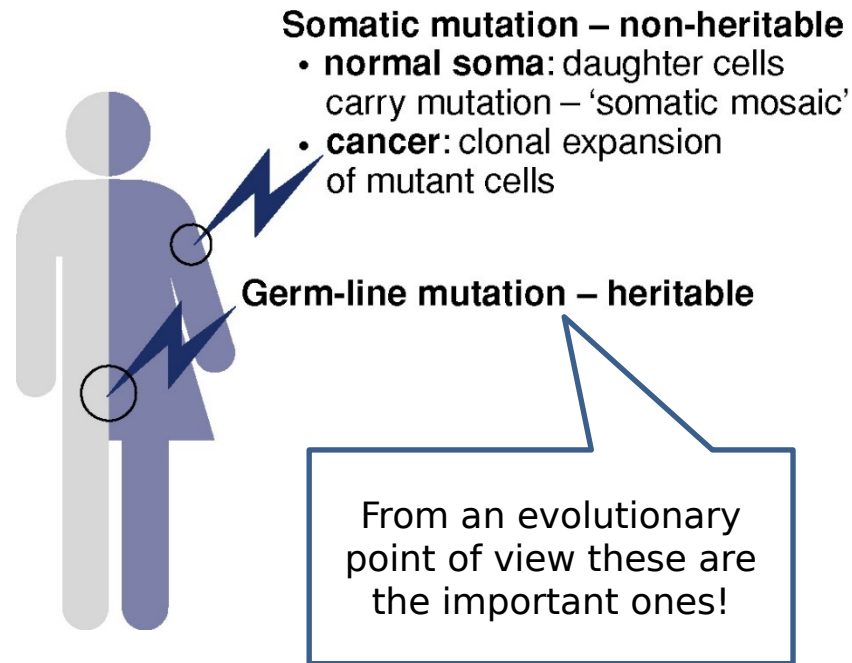
Why do we have to think in trees?



Why do we have to think in trees?

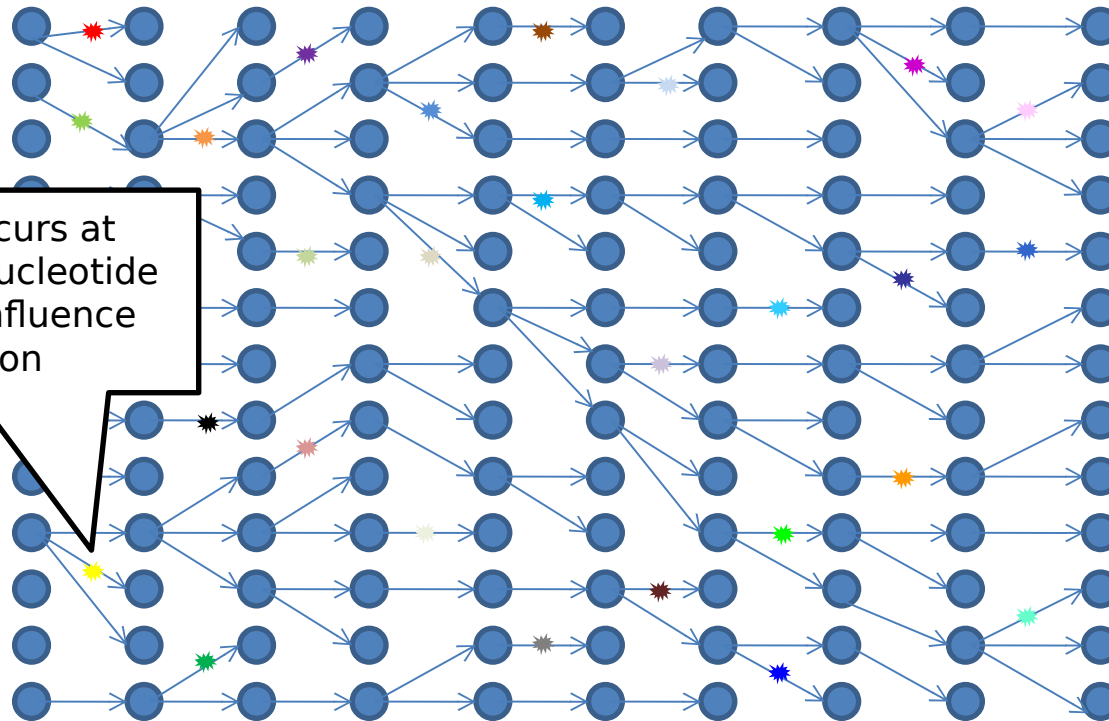


Why do we have to think in trees?

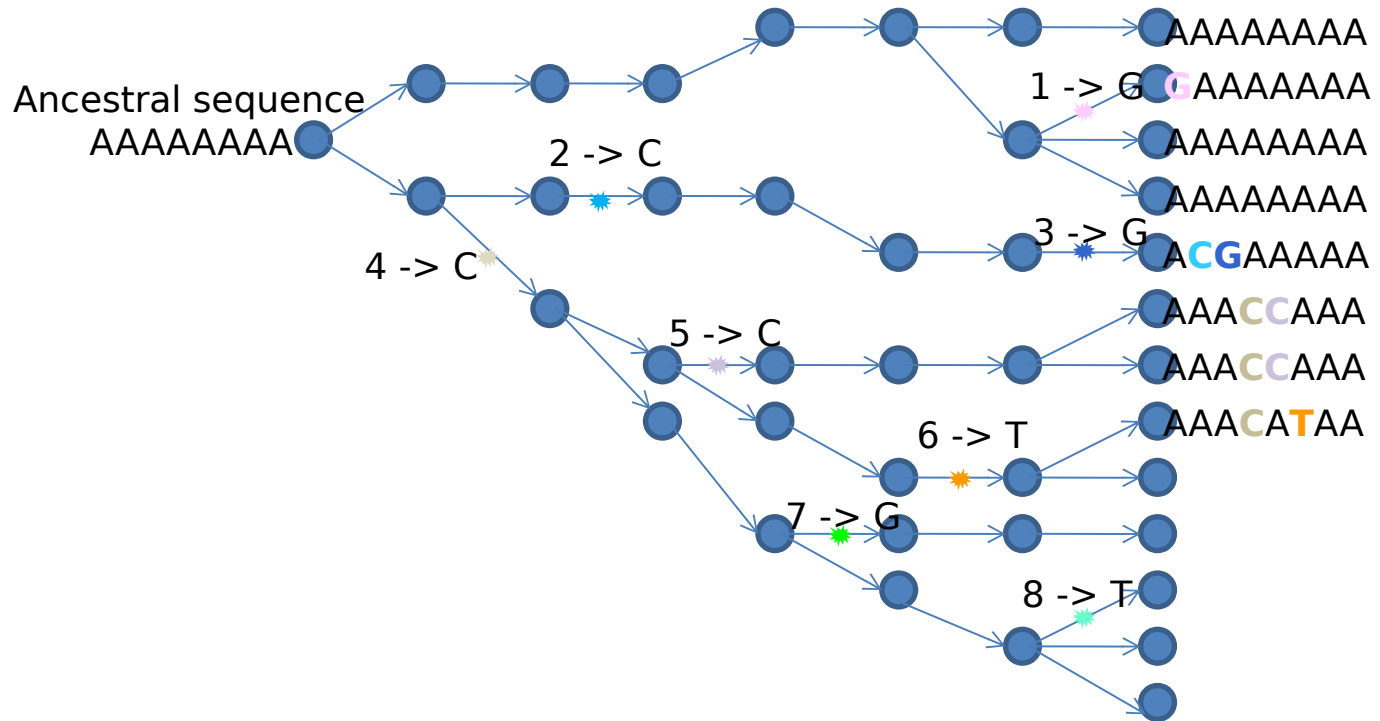


Human evolutionary genetics

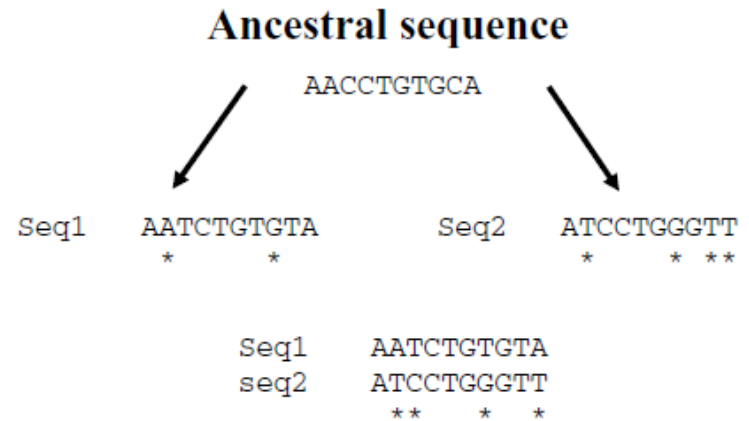
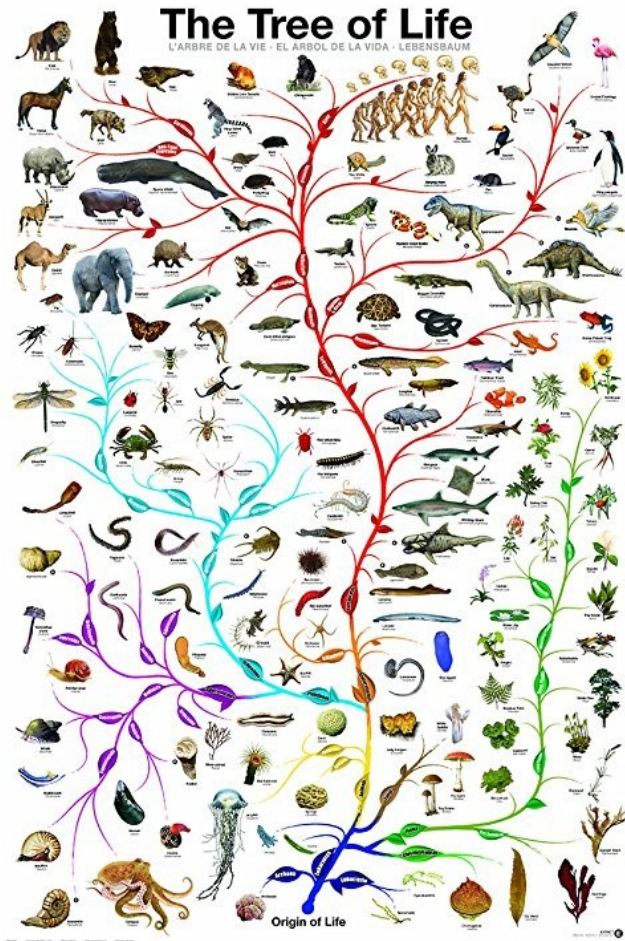
Why do we have to think in trees?



Why do we have to think in trees?

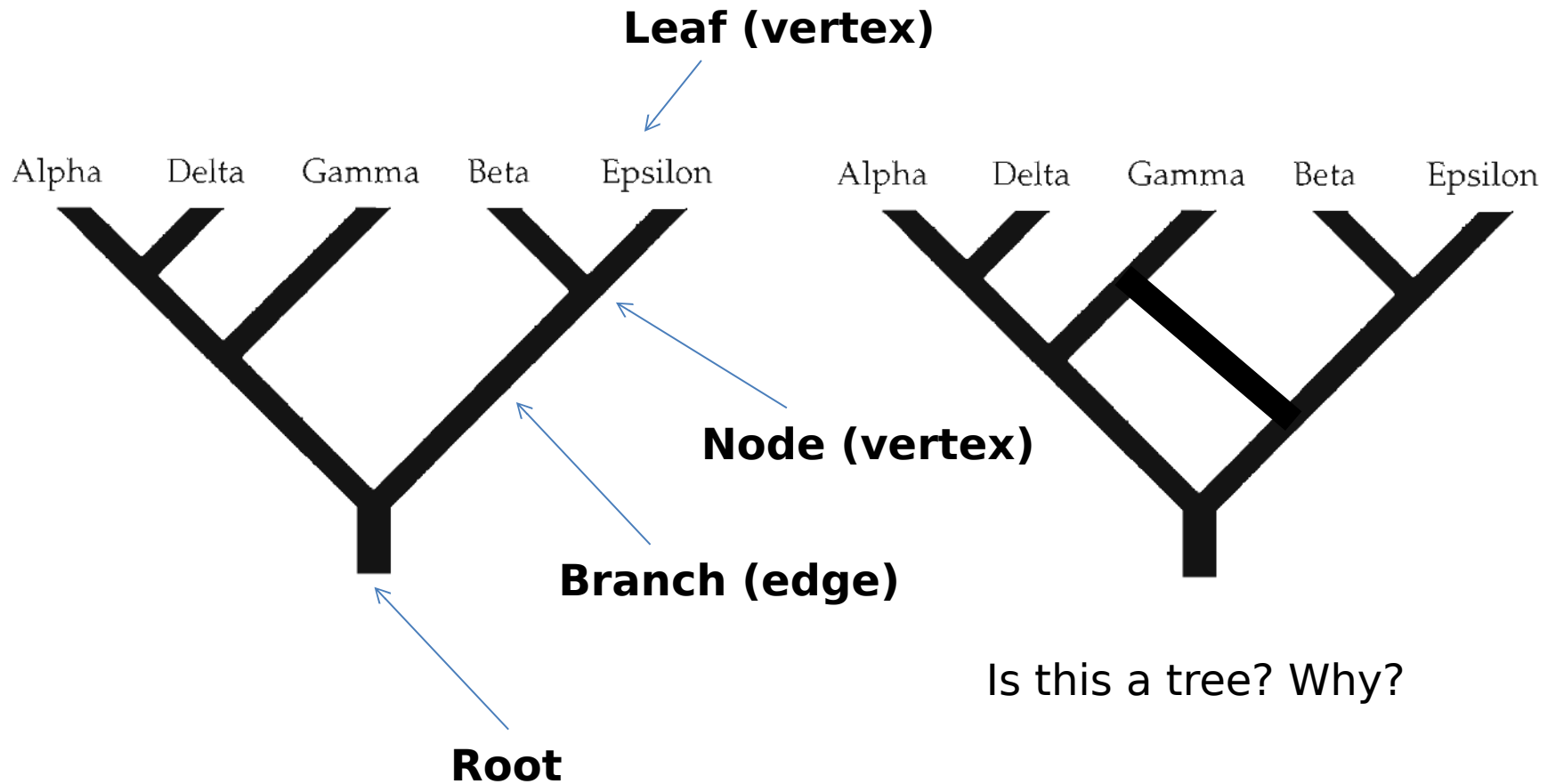


Why do we have to think in trees?



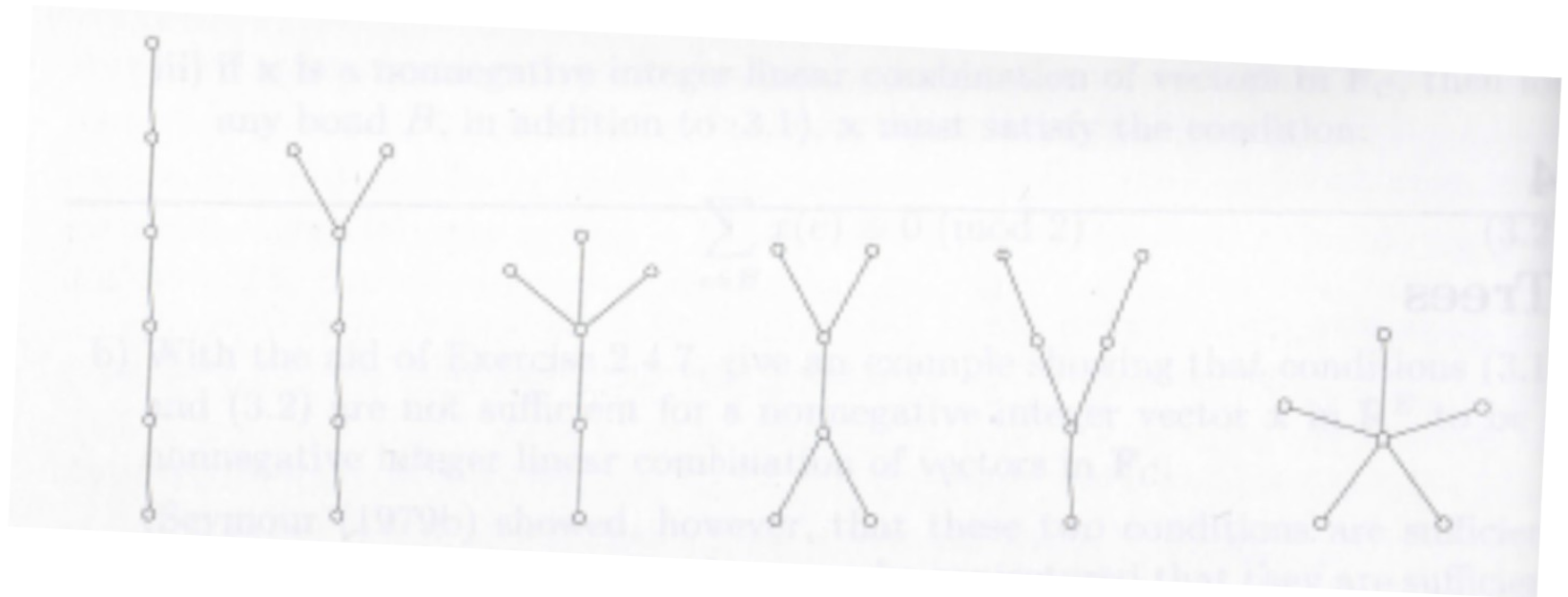
P-distance = 4

What is a tree?



Is this a tree? Why?

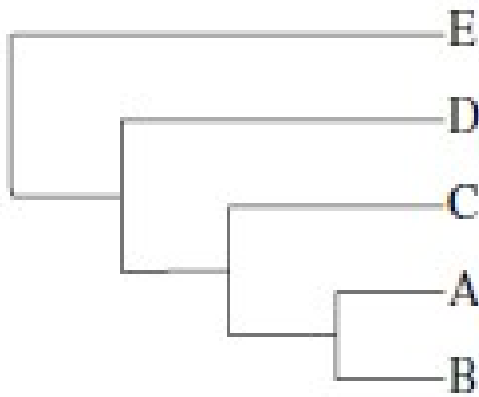
What is a tree?



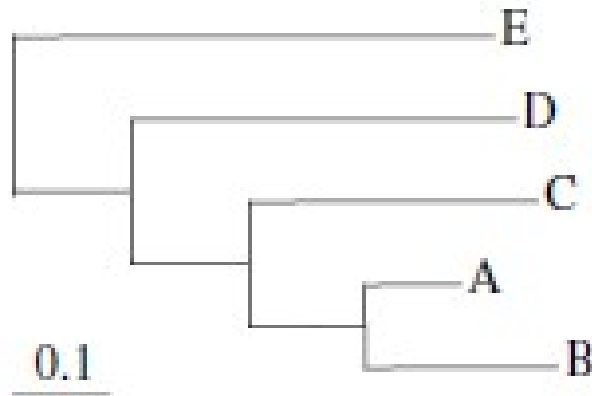
What is a tree

- Type of graph
- Connected acyclical graph
 - Leaf: vertex of degree one
- Depicts the relationship between Operational Taxonomic Unit (OTUs)

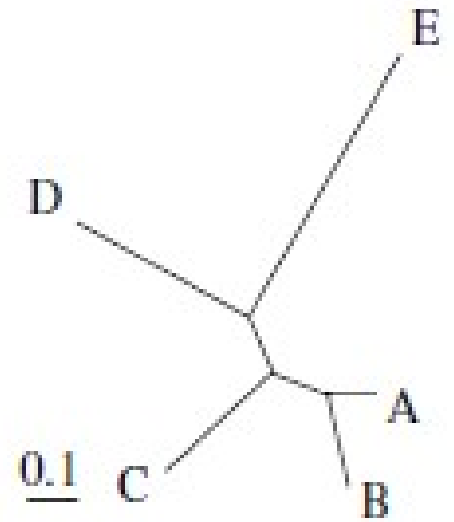
Ways of describing a tree



(a) Cladogram



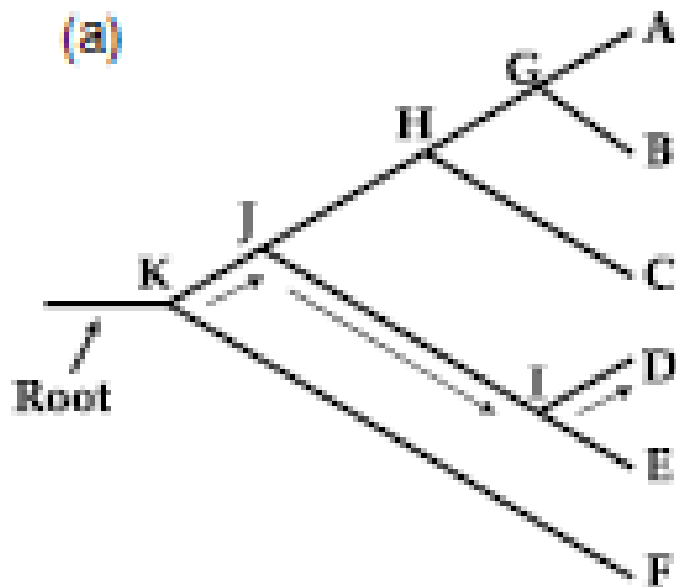
(b) Phylogram



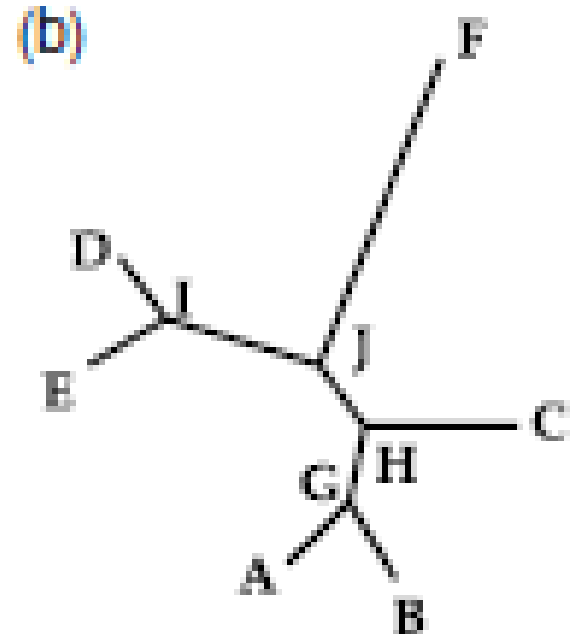
(c) Unrooted tree

Ways of describing a tree

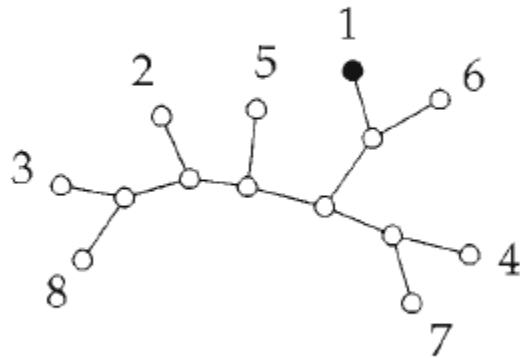
Rooted



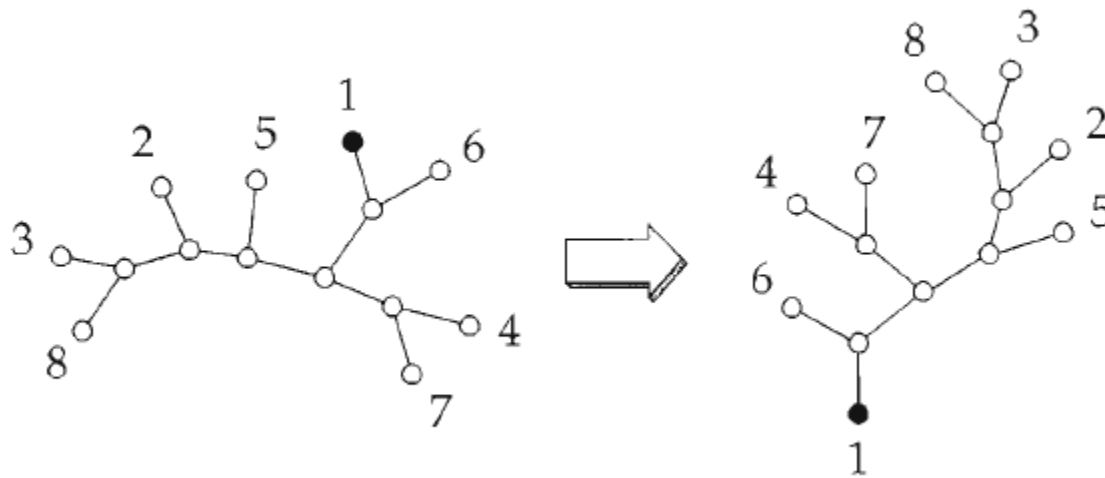
Unrooted



How do we root a tree?



How do we root a tree?

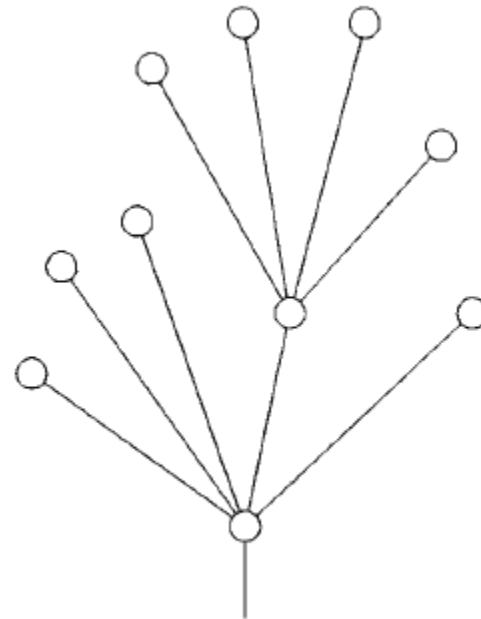
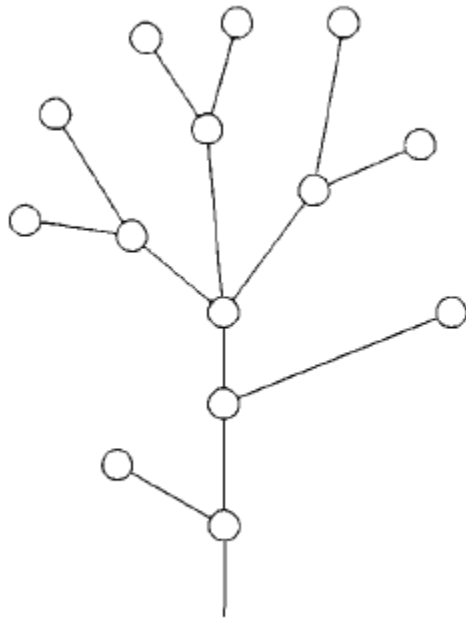


In the context of graph theory, what is a root?

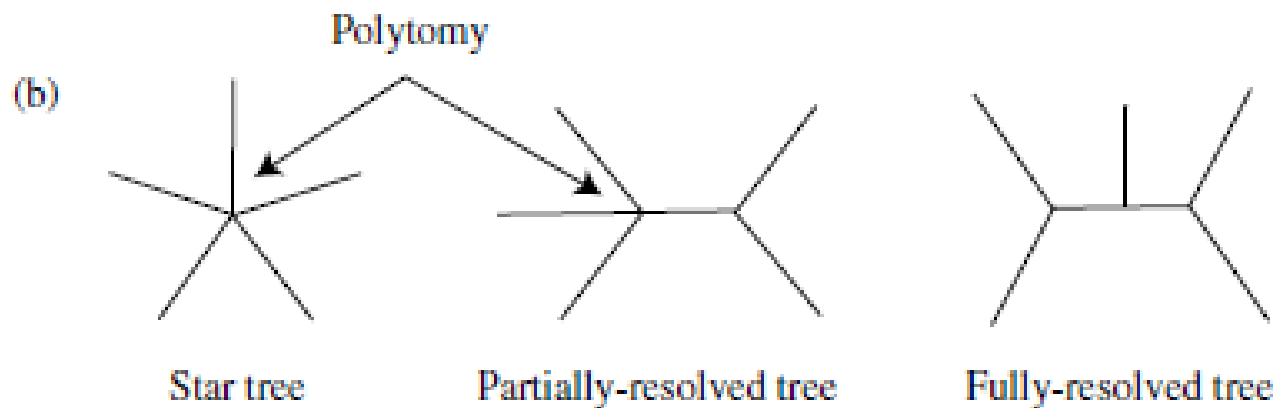
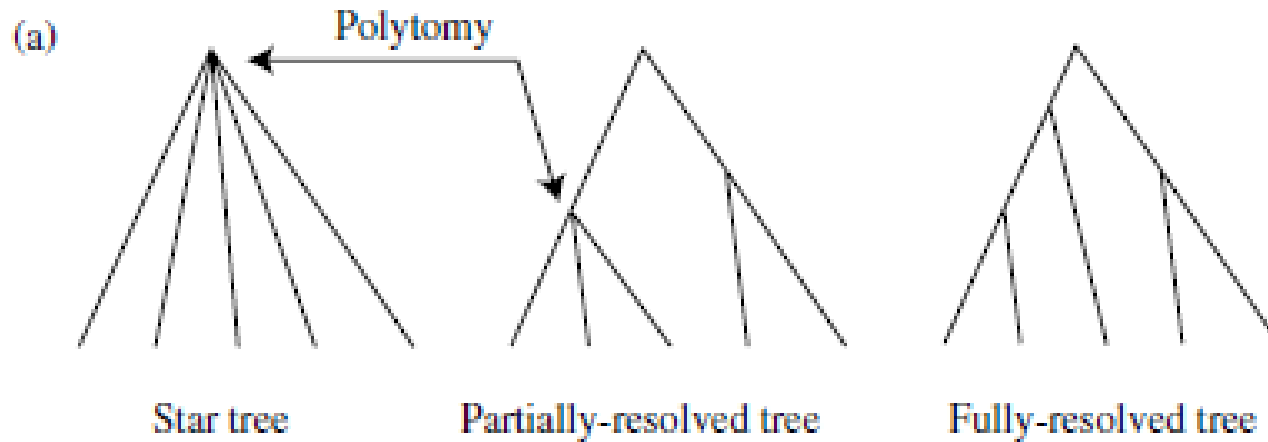
-

In the context of a tree, what does a root mean?

Bifurcated vs multifurcated

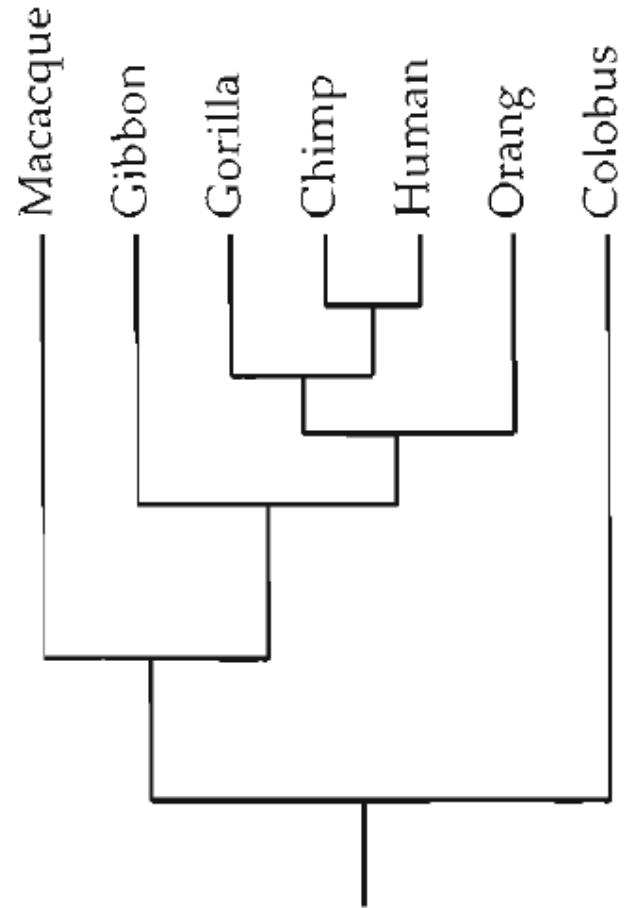
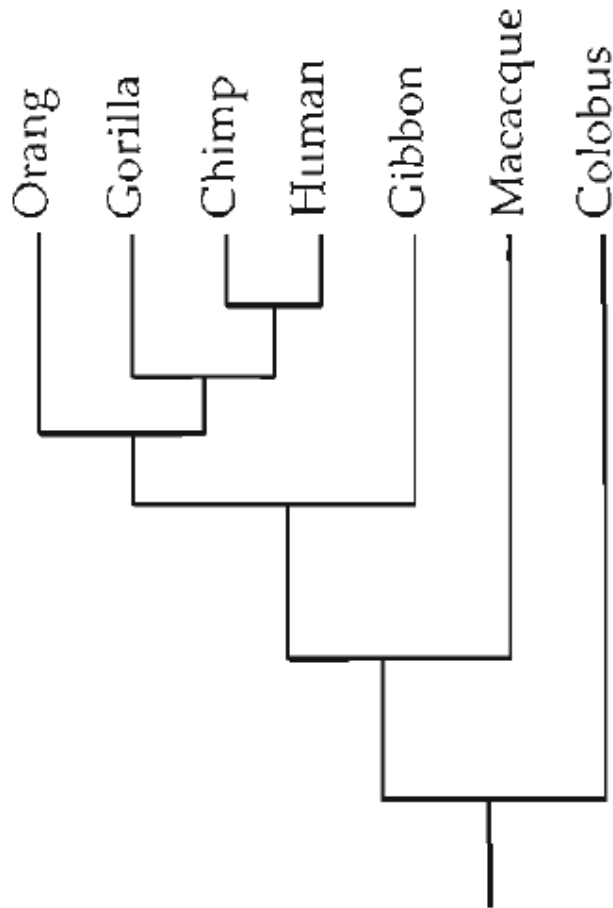


Resolved vs unresolved



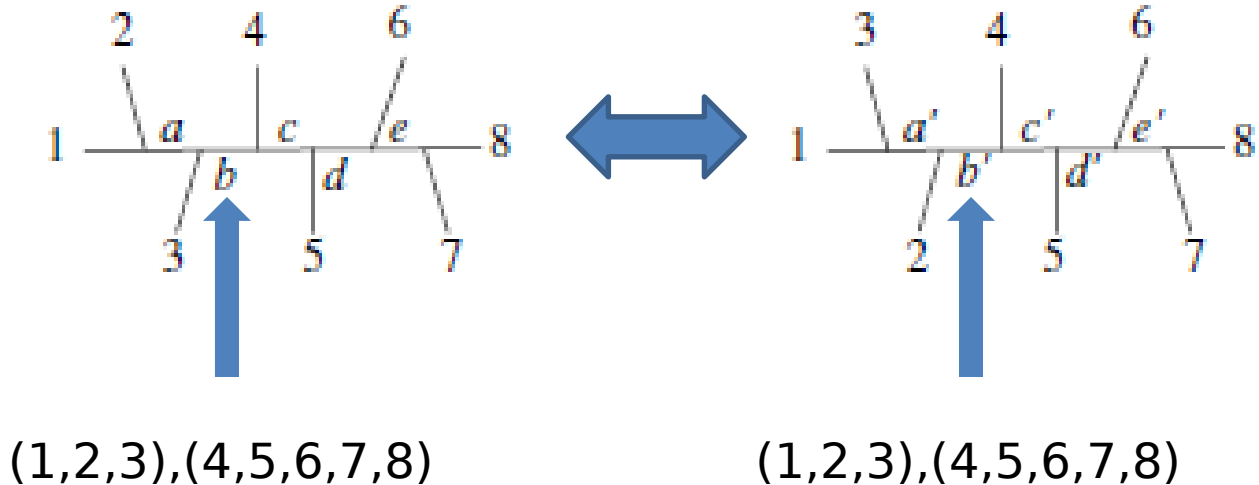
How do we interpret a tree?

- Tree topology



How do we interpret a tree?

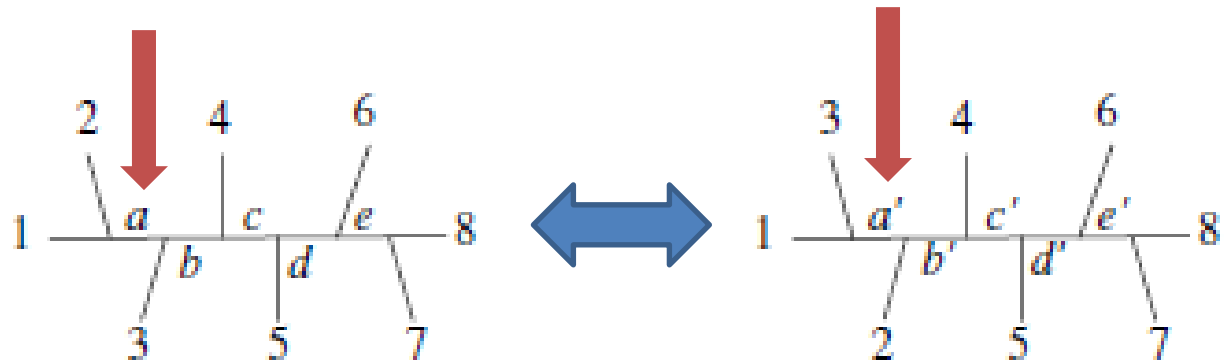
- Distance between trees



***total number of bipartitions that are in one tree
but not in the other***

How do we interpret a tree?

- Distance between trees

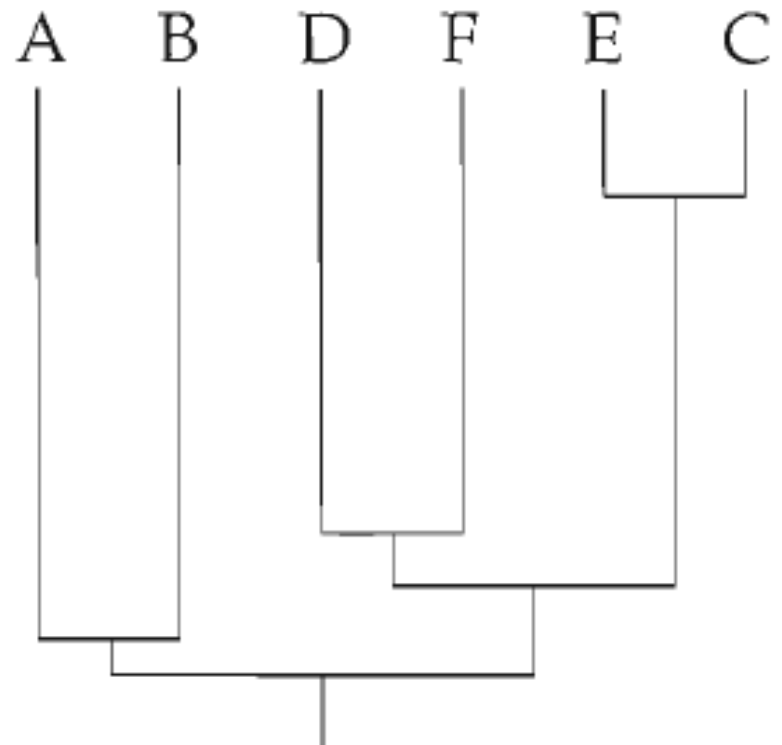
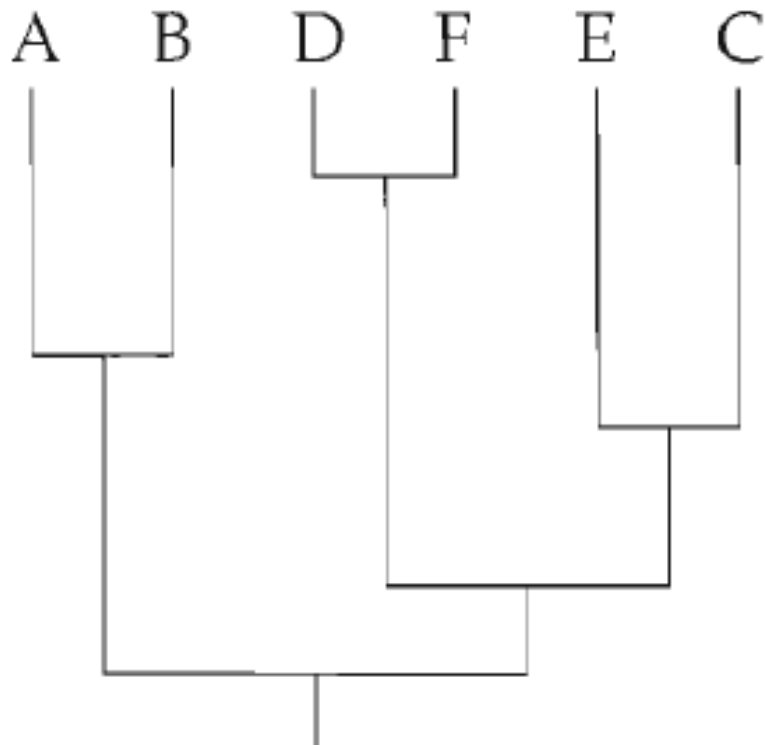


$(1,2),(3,4,5,6,7,8)$

$(1,3),(2,4,5,6,7,8)$

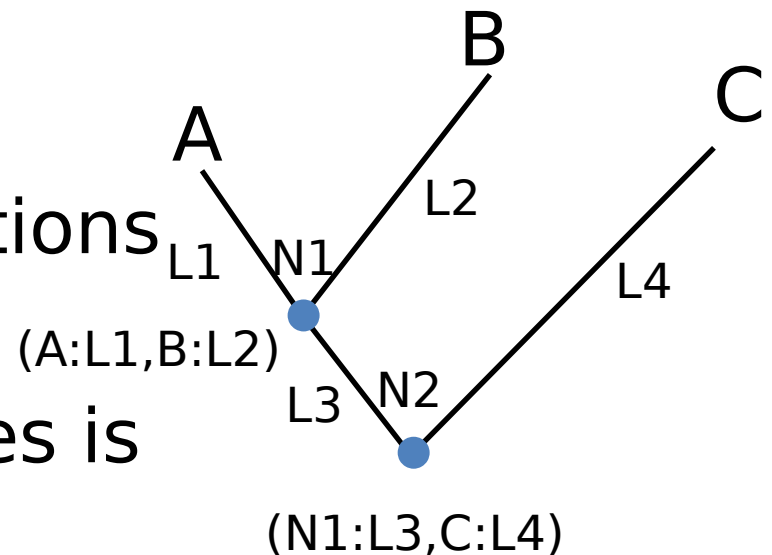
How do we interpret a tree?

- Branch length



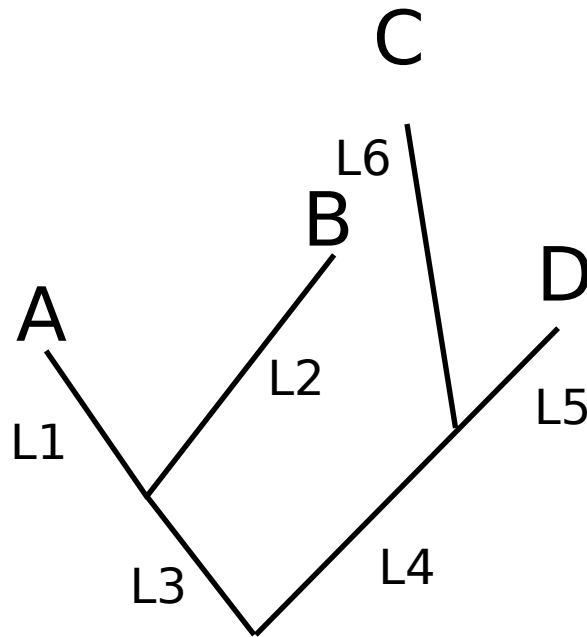
Ways of describing a tree

- Newick format
 - Each internal node is defined by the connections
(,)
 - Length between nodes is defined by
:



((A:L1,B:L2):L3,C
:L4)
(C:L4,
(A:L1,B:L2):L3)

Ways of describing a tree



Ways of describing a tree

(A:L1,((C:L6,B:L3):L2,D:L5):L4)

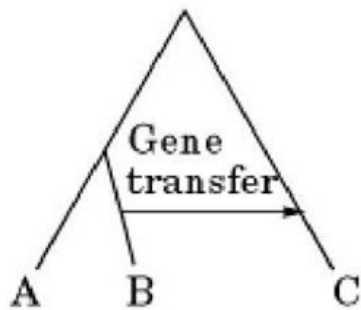
Trees vs reality

When a tree is not reflecting reality?

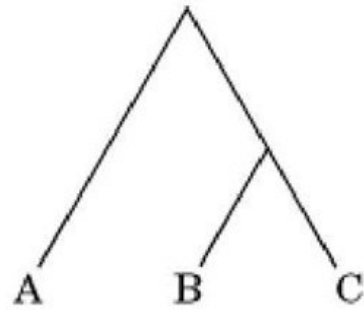


Trees vs reality

Horizontal gene transfer



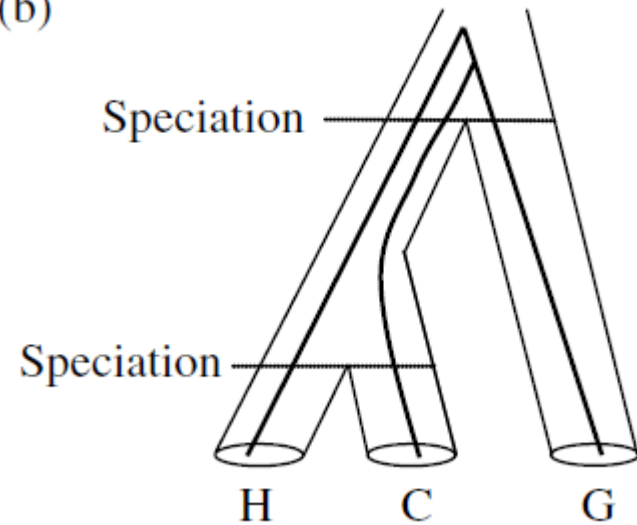
(a)



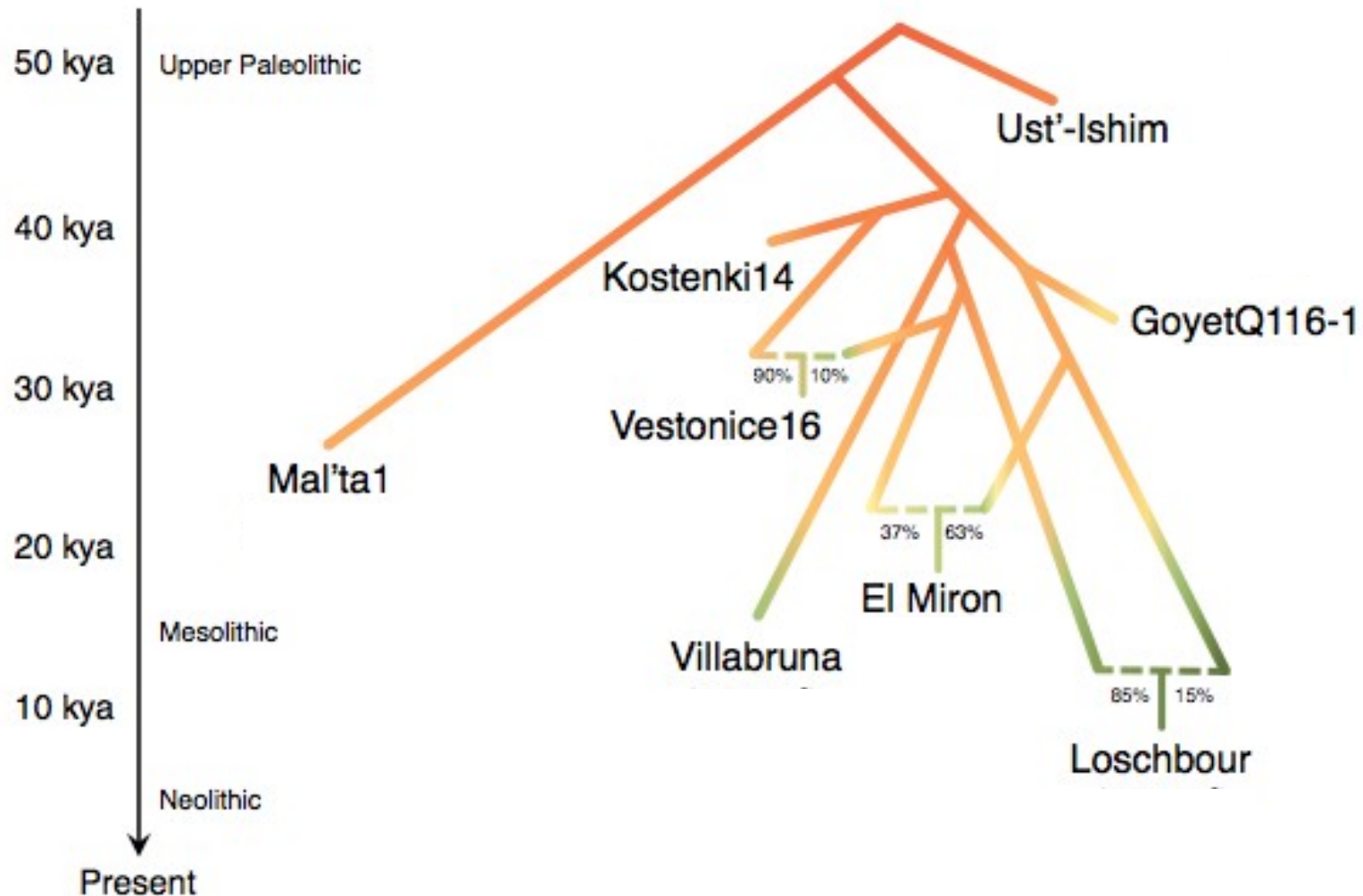
(b)

Recent speciation

(b)



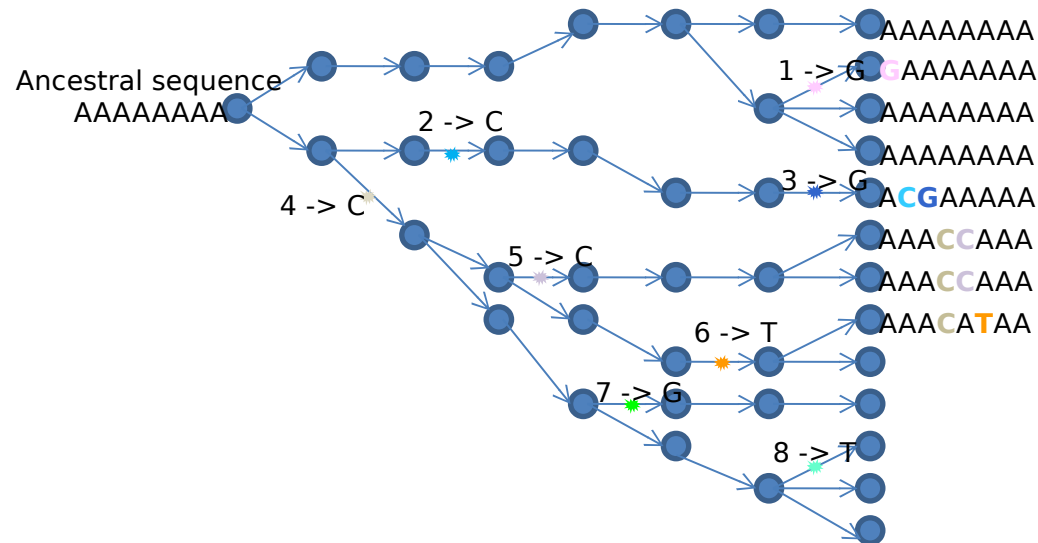
Trees vs reality



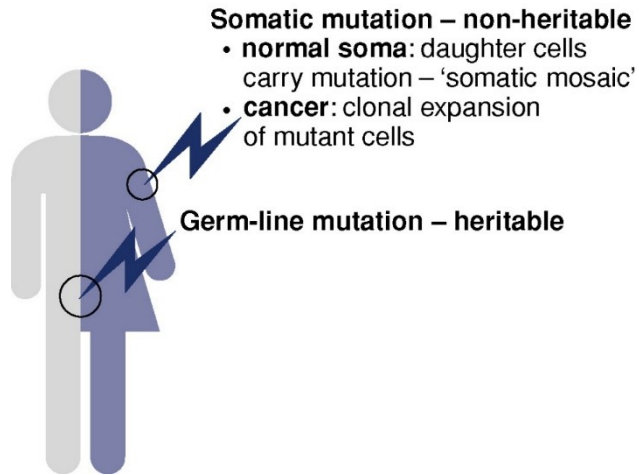
TIME FOR A
BREAK



Remember: each locus observed in all current sequences comes from a single ancestor



More than just substitutions



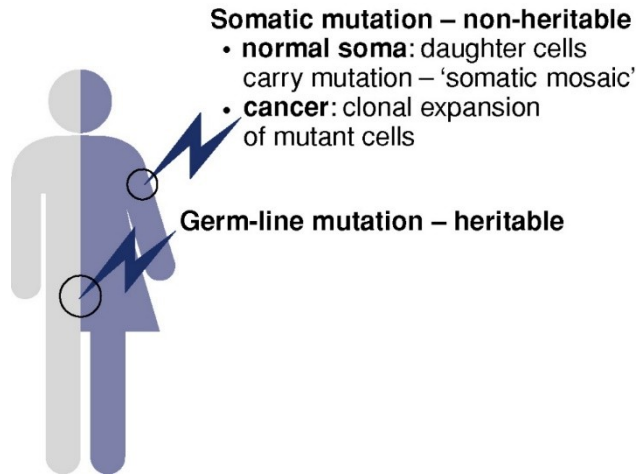
ACGTACTGACTG



Substitution

ACG**G**ACTGACTG

More than just substitutions

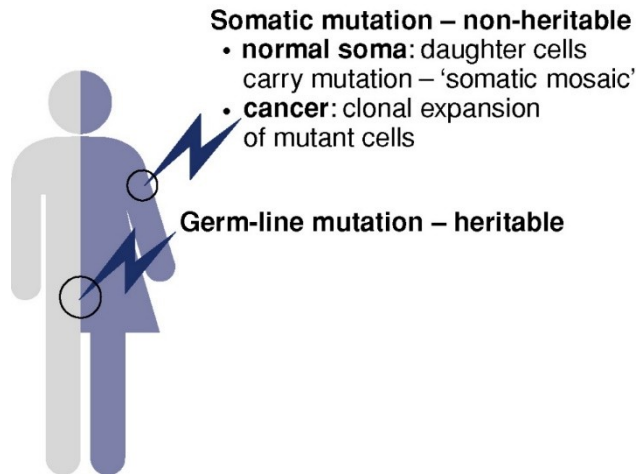


ACGTACTGACTG

Insertion

ACGT**G**ACTGACTG

More than just substitutions



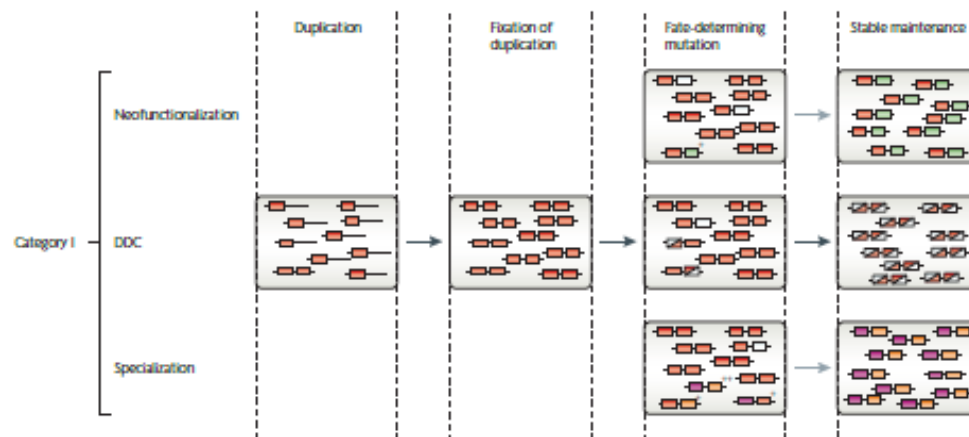
ACGTACTGACTG

↓

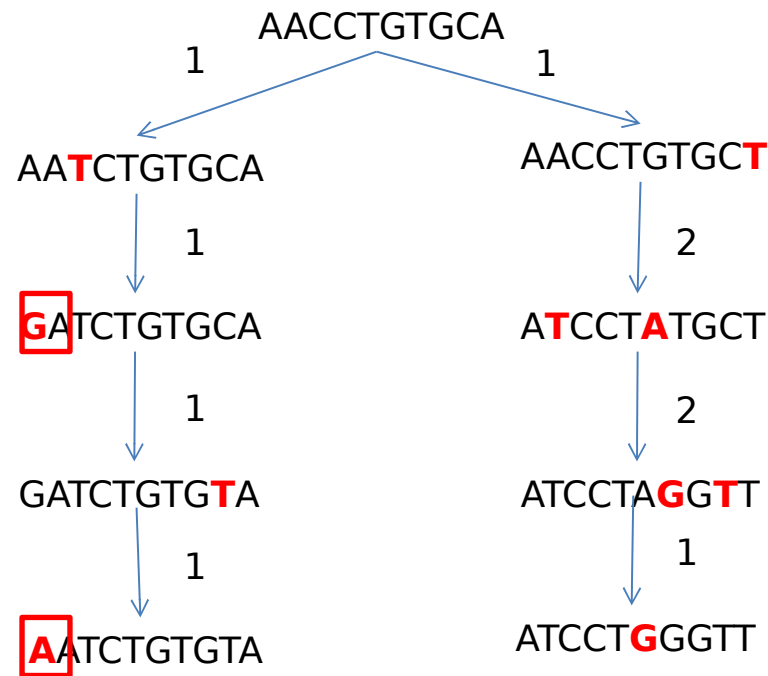
ACG_ACTGACTG

Deletion

More than just substitutions: gene duplications and deletions



Genetic divergence is a measure of **TIME** divergence



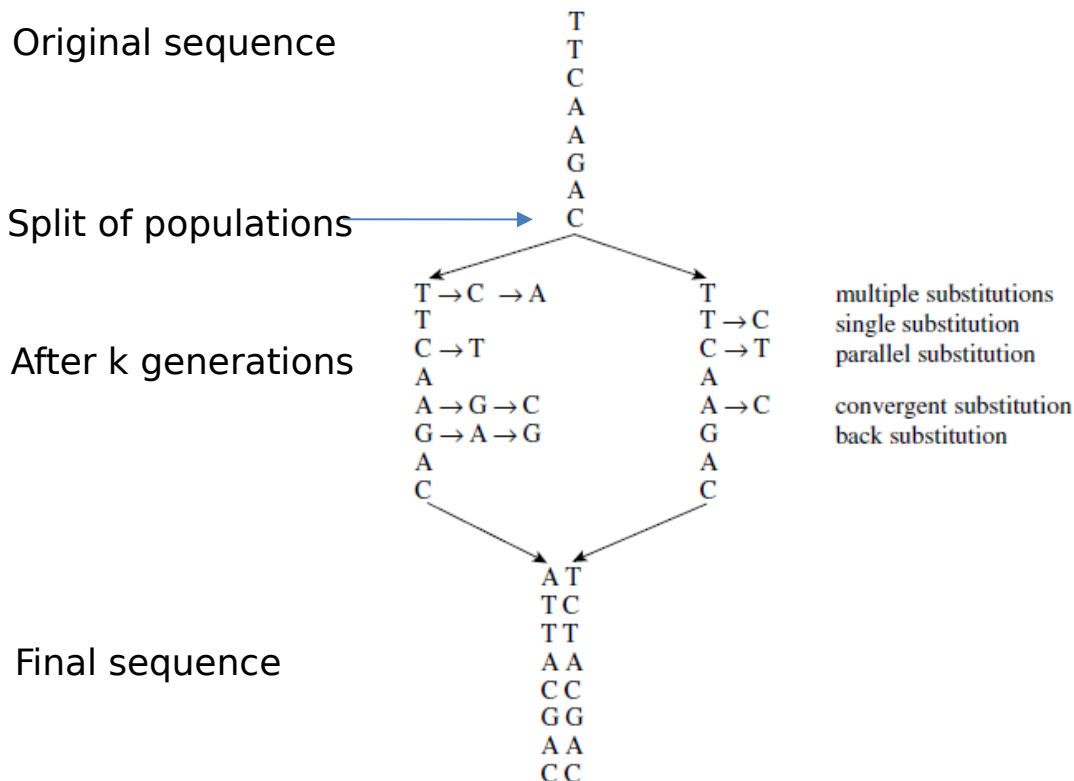
How to model the relationship between mutation and time

- Assume t , an amount of time
- Assume μ , a mutation rate
- Which is the probability that n mutations occur in a branch of t length with μ mutation rate?

Why is this formula right?

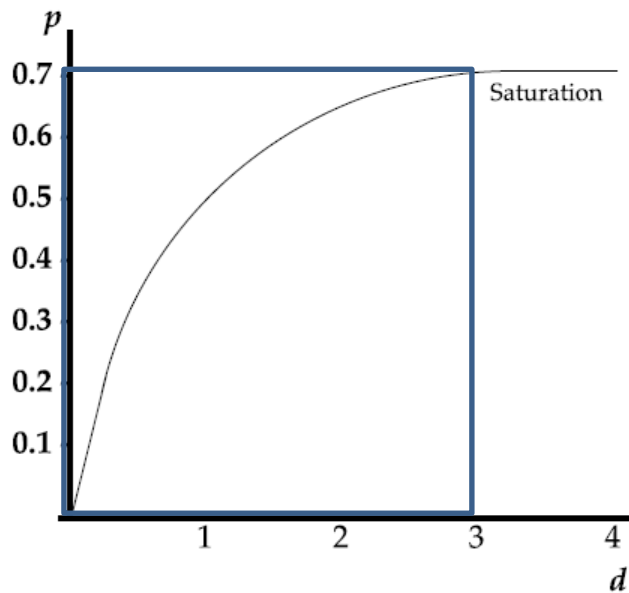
Why is this formula wrong?

Recurrent mutations blur everything!



From *Phylogenetic Handbook*:
“The proportion of different homologous sites is called observed distance, sometimes also called p-distance, and it is expressed as the number of nucleotide differences per site. The p-distance is a very intuitive measure. Unfortunately, it suffers from a severe shortcoming: if the degree of divergence is high, p-distances are generally not very informative with regard to the number of substitutions that actually occurred”

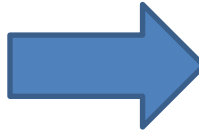
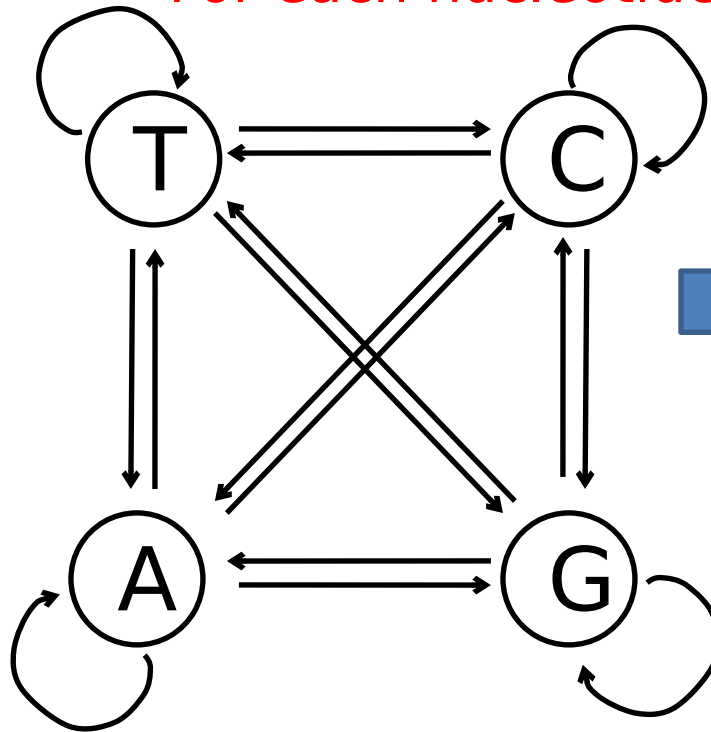
Recurrent mutations blur everything!



Once we reach this point, the genetic distance is no more a proxy for the time of separation

How to model this process?

For each nucleotide at generation t



Substitution matrix

$Q =$ Comes from

	A	C	T	G
A				
C				
T				
G				

Rate at which one nucleotide change to another

Transition matrix

$P =$ Comes from

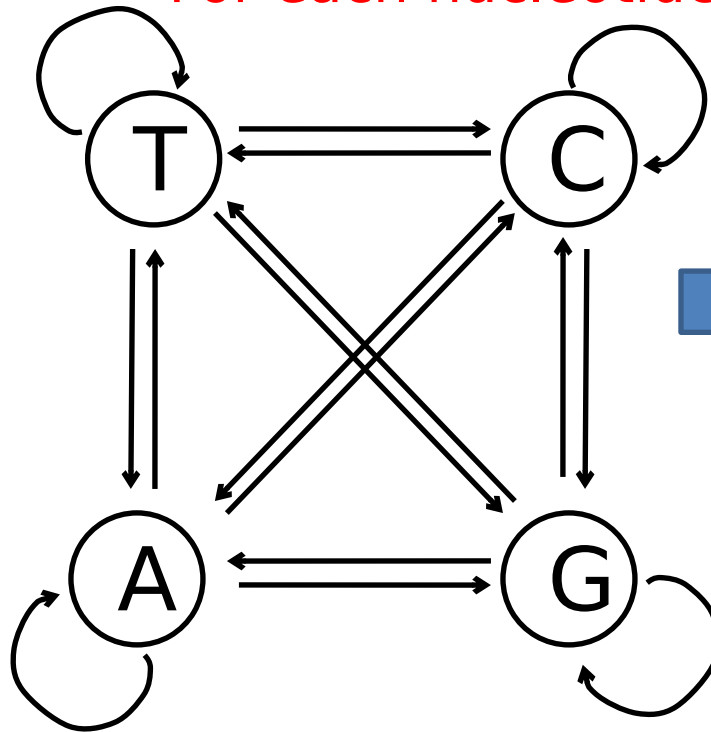
	A	C	T	G
A				
C				
T				
G				

Probability to change of one nucleotide from one generation to another

How to model this process?

For each nucleotide at generation t

Substitution matrix



$Q =$

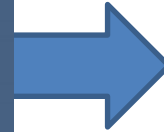
Comes from

	A	C	T	G
A				
C				
T				
G				

Instantaneous rate of substitution from nucleotide G to nucleotide C

How to model this process?

For each nucleotide at generation t



$P =$

Comes from

	A	C	T	G
A				
C				
T				
G				

Transition matrix

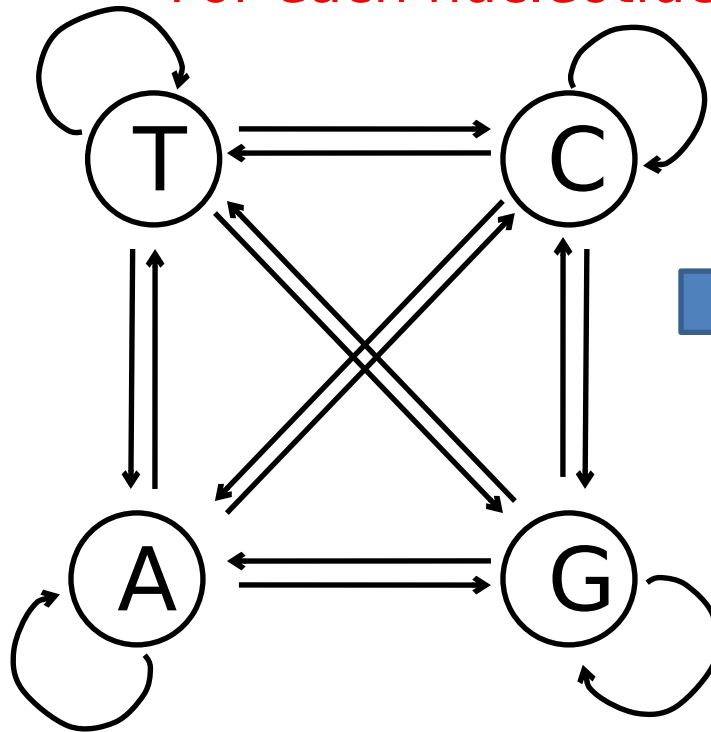
Probability that if I am at
time t in G, I will move to C
at $t+1$

$$P(t) = e^{Qt} = \sum_{n=0}^{\infty} Q^n \frac{t^n}{n!}$$

How to model this process?

For each nucleotide at generation t

Substitution matrix



$$Q = \begin{pmatrix} -\mu(a\pi_C + b\pi_G + c\pi_T) & a\mu\pi_C & b\mu\pi_G & c\mu\pi_T \\ g\mu\pi_A & -\mu(g\pi_A + d\pi_G + e\pi_T) & d\mu\pi_G & e\mu\pi_T \\ h\mu\pi_A & i\mu\pi_C & -\mu(h\pi_A + j\pi_C + f\pi_T) & f\mu\pi_T \\ j\mu\pi_A & k\mu\pi_C & l\mu\pi_G & -\mu(i\pi_A + k\pi_C + l\pi_G) \end{pmatrix}$$

Instantaneous rate matrix Q . Each entry in the matrix represents the instantaneous substitution rate from nucleotide i to nucleotide j (rows, and columns, follow the order **A**, **C**, **G**, **T**). m is the mean instantaneous substitution rate; $a, b, c, d, e, f, g, h, i, j, k, l$, are relative rate parameters describing the relative rate of each nucleotide substitution to any other. $\pi_A, \pi_C, \pi_T, \pi_G$, are frequency parameters corresponding to the nucleotide frequencies (Yang, 1994). Diagonal elements are chosen so that the sum of each row is equal to zero.

$$\Lambda = \begin{pmatrix} \lambda_1 & \dots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \dots & \lambda_4 \end{pmatrix},$$

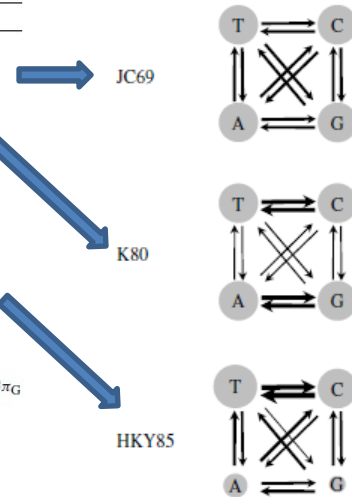
$$P(t) = e^{Qt} = e^{U^{-1}(\Lambda t)U} = U^{-1} e^{\Lambda t} U$$

How to model the change over time?

Table 1.1 Substitution-rate matrices for commonly used Markov models of nucleotide substitution

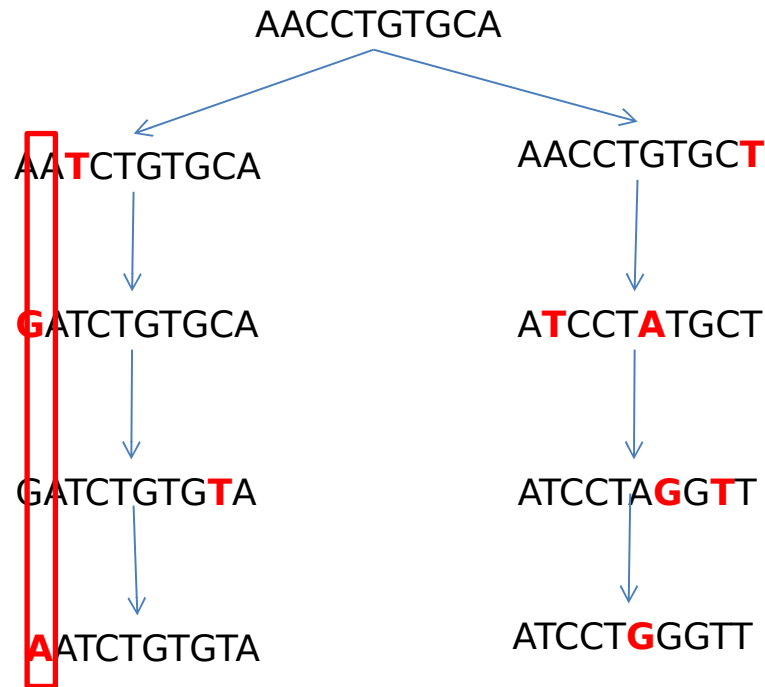
	From	To			
		T	C	A	G
JC69 (Jukes and Cantor 1969)	T	.	λ	λ	λ
	C	λ	.	λ	λ
	A	λ	λ	.	λ
	G	λ	λ	λ	.
K80 (Kimura 1980)	T	.	α	β	β
	C	α	.	β	β
	A	β	β	.	α
	G	β	β	α	.
F81 (Felsenstein 1981)	T	.	π_C	π_A	π_G
	C	π_T	.	π_A	π_G
	A	π_T	π_C	.	π_G
	G	π_T	π_C	π_A	.
HKY85 (Hasegawa <i>et al.</i> 1984, 1985)	T	.	$\alpha\pi_C$	$\beta\pi_A$	$\beta\pi_G$
	C	$\alpha\pi_T$.	$\beta\pi_A$	$\beta\pi_G$
	A	$\beta\pi_T$	$\beta\pi_C$.	$\alpha\pi_G$
	G	$\beta\pi_T$	$\beta\pi_C$	$\alpha\pi_A$.
F84 (Felsenstein, DNAML program since 1984)	T	.	$(1 + \kappa/\pi_Y)\beta\pi_C$	$\beta\pi_A$	$\beta\pi_G$
	C	$(1 + \kappa/\pi_Y)\beta\pi_T$.	$\beta\pi_A$	$\beta\pi_G$
	A	$\beta\pi_T$	$\beta\pi_C$	$(1 + \kappa/\pi_R)\beta\pi_A$	$(1 + \kappa/\pi_R)\beta\pi_G$
	G	$\beta\pi_T$	$\beta\pi_C$.	.
TN93 (Tamura and Nei 1993)	T	.	$\alpha_1\pi_C$	$\beta\pi_A$	$\beta\pi_G$
	C	$\alpha_1\pi_T$.	$\beta\pi_A$	$\beta\pi_G$
	A	$\beta\pi_T$	$\beta\pi_C$.	$\alpha_2\pi_G$
	G	$\beta\pi_T$	$\beta\pi_C$	$\alpha_2\pi_A$.
GTR (REV) (Tavaré 1986; Yang 1994b; Zharkikh 1994)	T	.	$a\pi_C$	$b\pi_A$	$c\pi_G$
	C	$a\pi_T$.	$d\pi_A$	$e\pi_G$
	A	$b\pi_T$	$d\pi_C$.	$f\pi_G$
	G	$c\pi_T$	$e\pi_C$	$f\pi_A$.
UNREST (Yang 1994b)	T	.	q_{TC}	q_{TA}	q_{TG}
	C	q_{CT}	.	q_{CA}	q_{CG}
	A	q_{AT}	q_{AC}	.	q_{AG}
	G	q_{GT}	q_{GC}	q_{GA}	.

The diagonals of the matrix are determined by the requirement that each row sums to 0. The equilibrium distribution is $\pi = (1/4, 1/4, 1/4, 1/4)$ under JC69 and K80, and $\pi = (\pi_T, \pi_C, \pi_A, \pi_G)$ under F81, F84, HKY85, TN93, and GTR. Under the general unrestricted (UNREST) model, it is given by the equations $\pi Q = 0$ under the constraint $\sum_i \pi_i = 1$.

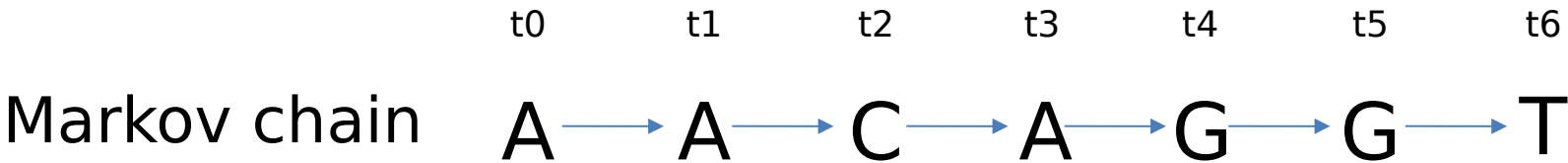


Remember: divergence is a measure of **TIME**
of divergence

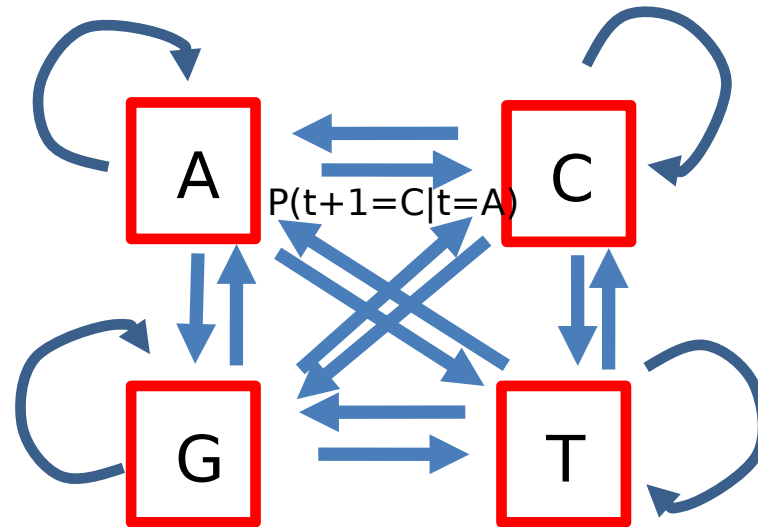
The trajectory of a
particular nucleotide
follows a
Markov Chain
A -> G -> G -> A



Markov Chains

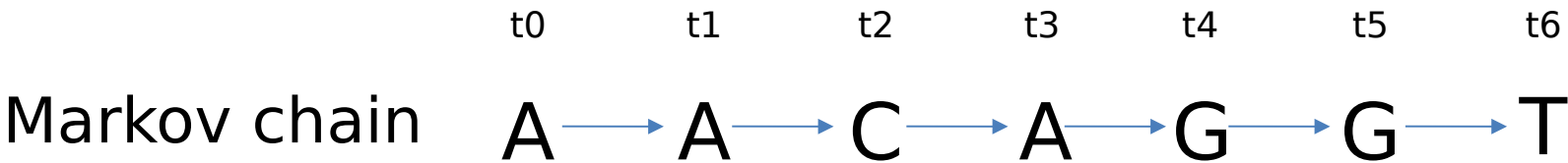


Four
possible
states



Probability
transition
matrix

Markov Chains



$$P(AACAGGT) = P(AACAGG)P(T \vee AACAGG)$$

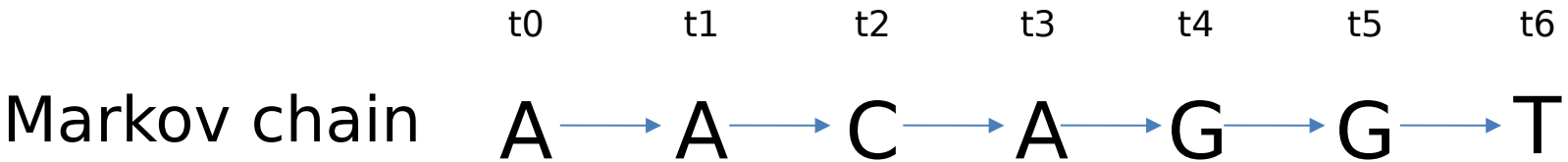
$$P(AACAGGT) = P(AACAG)P(G \vee AACAG)P(T \vee AACAGG)$$

$$\text{From } P(X;Y) = P(Y)P(X|Y)$$

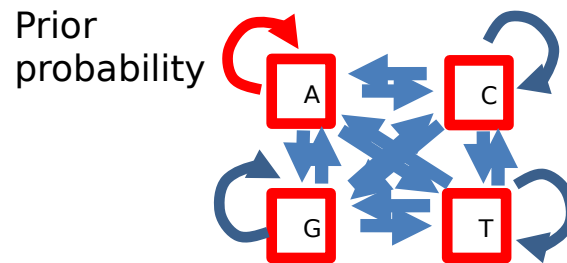
$$P(AACAGGT) = P(A)P \text{ } \textcolor{red}{\text{!}}$$

However, in a MC, the probability at a position depends ONLY on the previous state

Markov Chains



$$P(AA \boxed{C} \boxed{A} GG T) = P(A) P(\text{...})$$



Markov chains

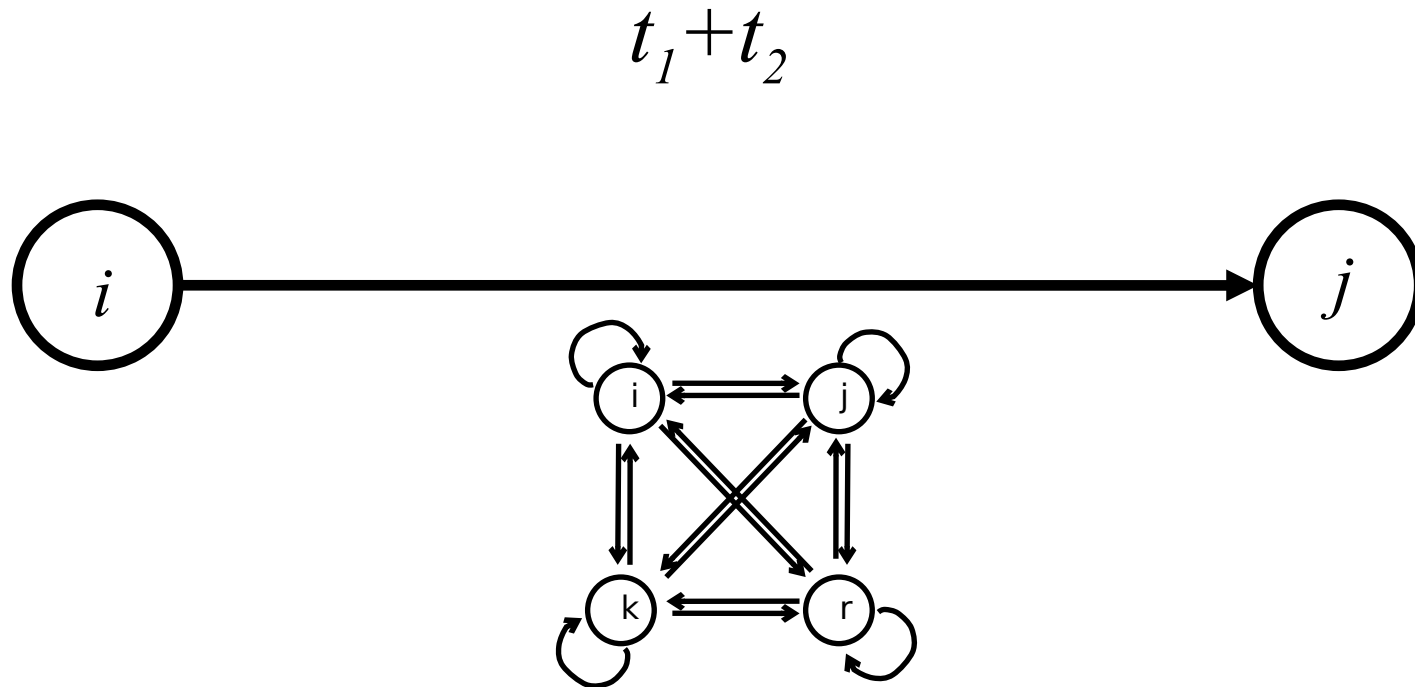
time-homogeneous time continuous stationary

Underlying assumptions:

- (1) At any given site in a sequence, the rate of change from base i to base j is independent from the base that occupied that site prior i (*Markov property*).
- (2) Substitution rates do not change over time (**homogeneity**).
- (3) The relative frequencies of A, C, G, and T ($\pi_A, \pi_C, \pi_G, \pi_T$) are at equilibrium (**stationarity**).

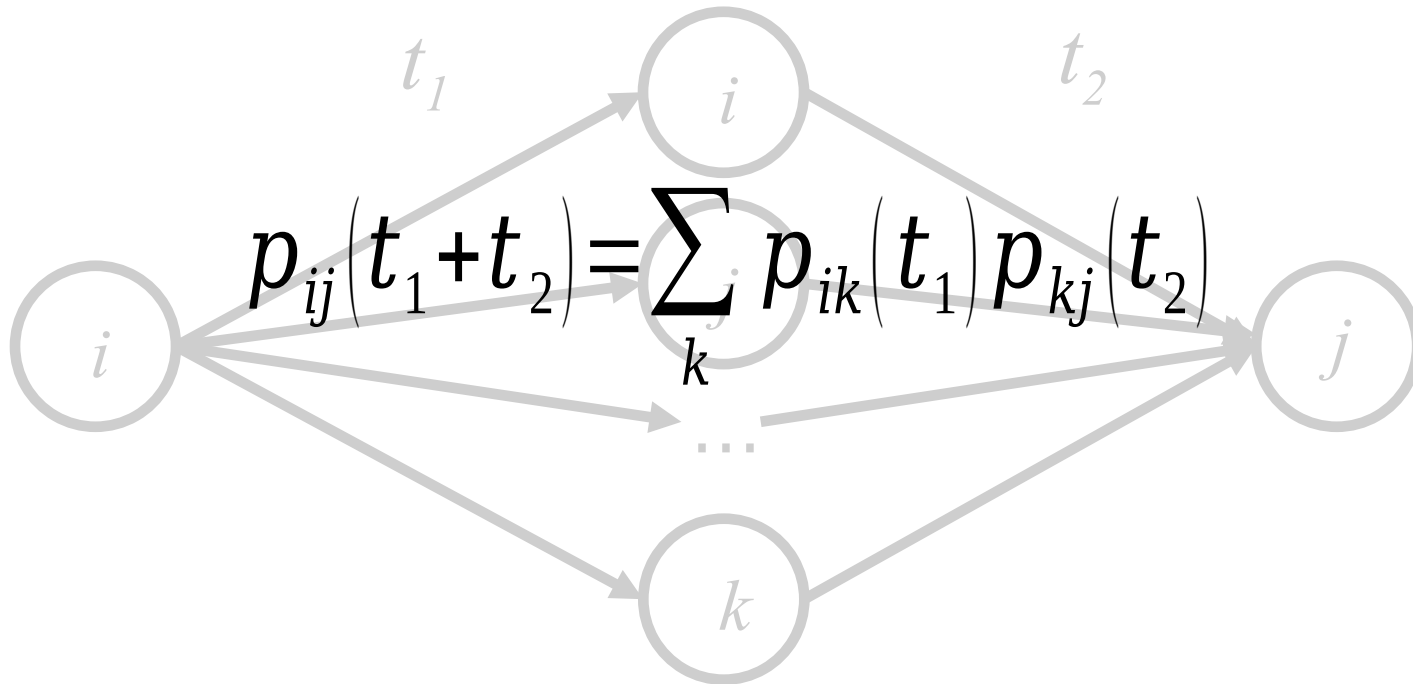
How to compute the probability of
changing from nucleotide i to j after
 t_1+t_2 times?

The Chapman-Kolmogorov theorem



How to compute the probability of changing from nucleotide i to j after t_1+t_2 times?

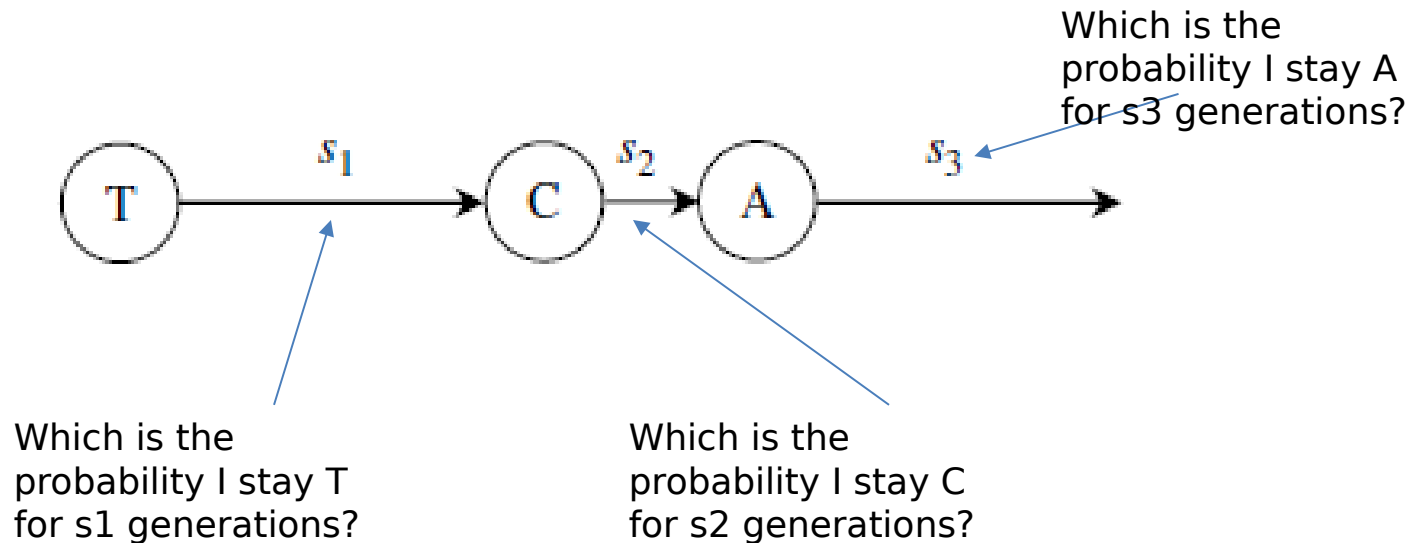
The Chapman-Kolmogorov theorem



Can you propose an algorithm forward in time for simulating the evolution of a sequence over t generations?

Can you propose another algorithm forward in time for simulating the evolution of a sequence over t generations?

Imagine a single nucleotide and different s times



Can you propose another algorithm forward in time for simulating the evolution of a sequence over t generations?

Imagine a single nucleotide and different s times



When I am going to
change in
probability?

The **exponential distribution**

RANDOM VARIABLE GENERATOR

- Transformation method
 - A function of a random variable is itself a random variable

$u \sim U(0,1)$ Random variable uniformly distributed in the range $[0,1]$

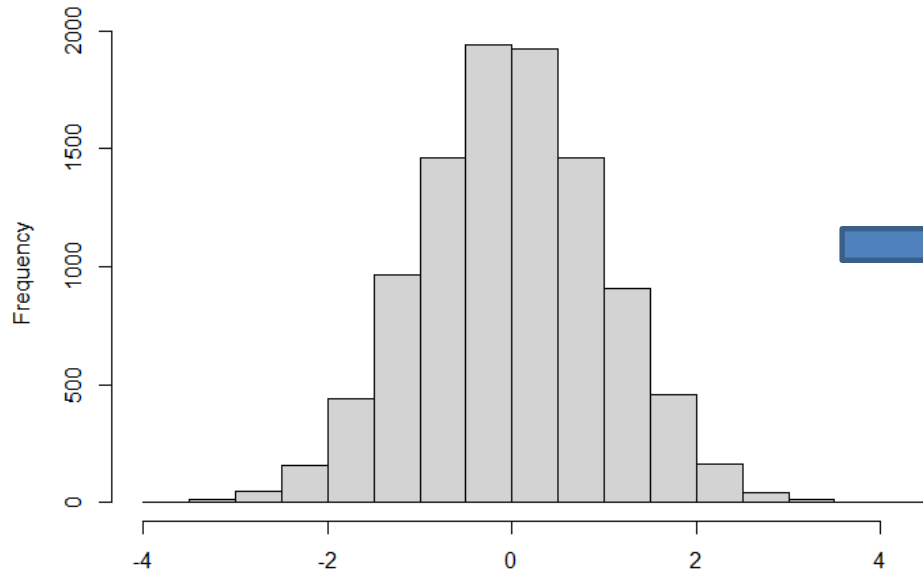
$CDF_{\theta}(x)$ Cumulative density function of variable X

How to get a random sample of x ?

RANDOM VARIABLE GENERATOR

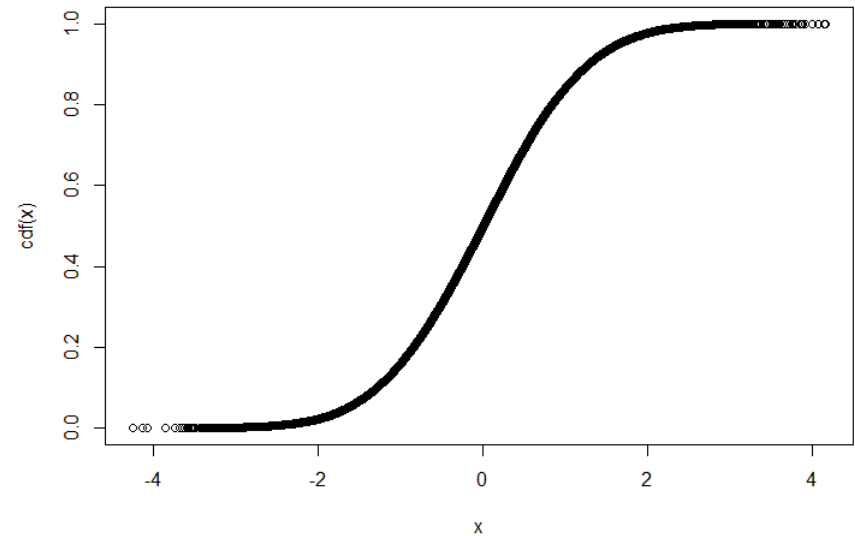
Probability density function $f(x)$ from which I want to get random samples

Distribution of X



Cumulative density function from which I want to get random samples

CDF



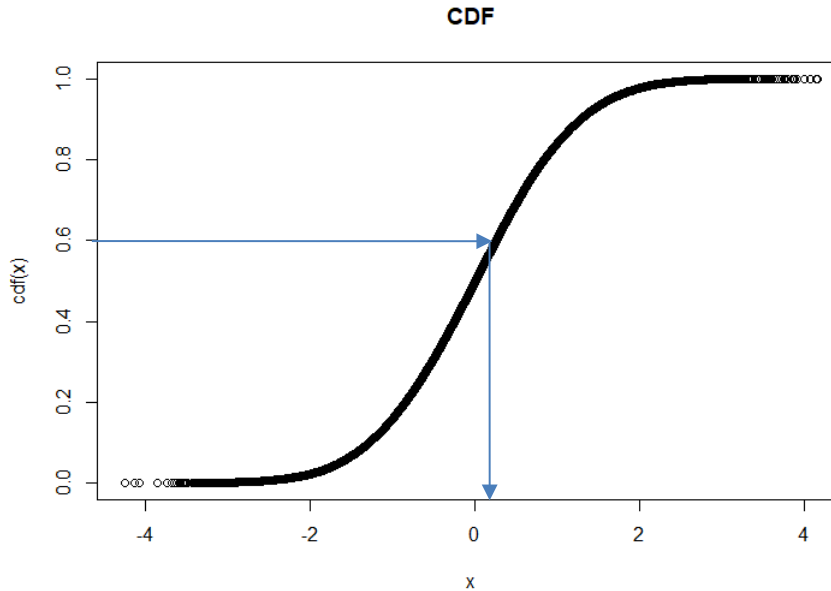
$N(\mu=0, \sigma=1)$ ← $\theta \in [\mu, \sigma]$ Parameters that define a normal distribution

RANDOM VARIABLE GENERATOR

Transformation method Cumulative density function from which I want to get random samples

Inverse distribution of the cumulative density function using parameters θ from which we want to get random samples

$$u \sim U(0,1)$$



$$x \sim F_{\theta}$$

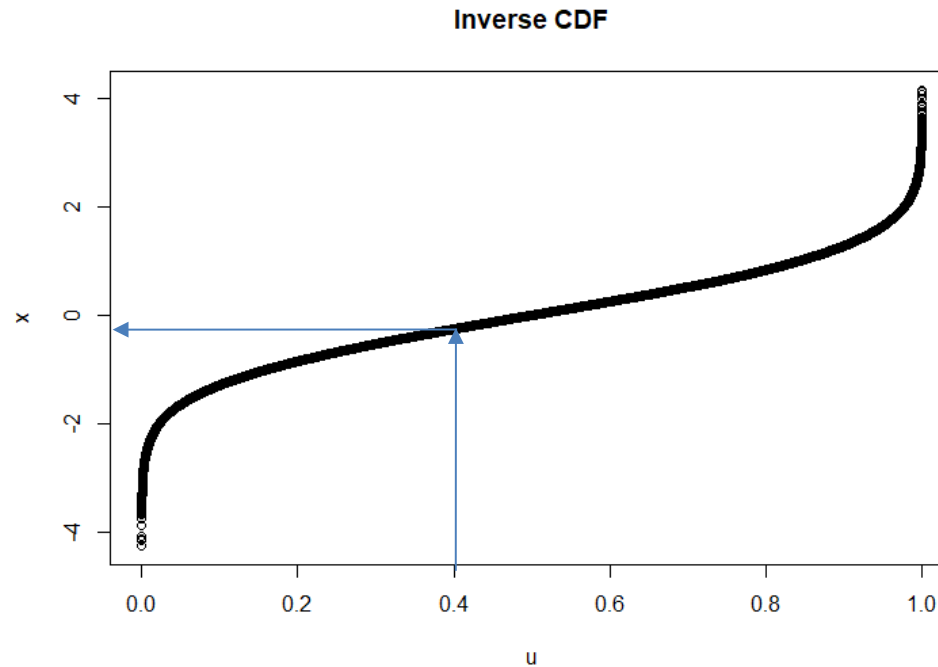
$$x = CDF_{\theta}^{-1}(u)$$

$$u \sim U(0,1)$$

RANDOM VARIABLE GENERATOR

Transformation method

Inverse Cumulative density function from
which I want to get random samples



RANDOM VARIABLE GENERATOR

Bernoulli distribution with parameter p

$$u \sim U(0,1)$$

$$x = \begin{cases} 0 & \text{if } u < p \\ 1 & \text{if } u \geq p \end{cases}$$

RANDOM VARIABLE GENERATOR

Example: The Exponential distribution

$$f(x) = \theta^{-1} e^{\frac{-x}{\theta}}$$

$$CDF_{\theta}(x) = 1 - e^{\frac{-x}{\theta}}$$

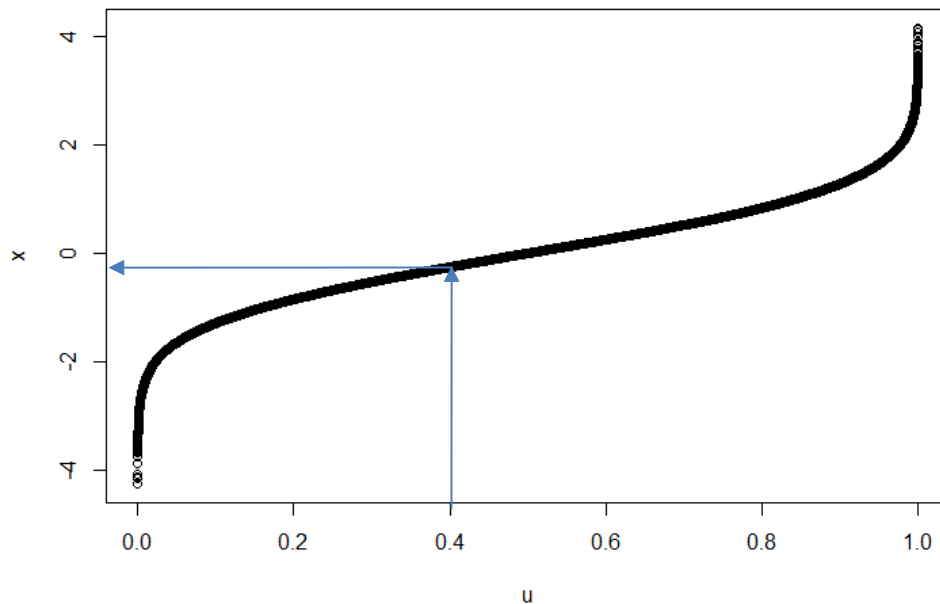
$$u = 1 - e^{\frac{-x}{\theta}}$$

$$x = ?$$

RANDOM VARIABLE GENERATOR

Inverse Cumulative density function from
which I want to get random samples

Inverse CDF



For this particular case where the function is Normal

$$N(\theta)$$

$$\theta \in [\mu, \sigma]$$

$$\Phi^{-1}(u) = \sqrt{2} \operatorname{erf}^{-1}(2u - 1)$$

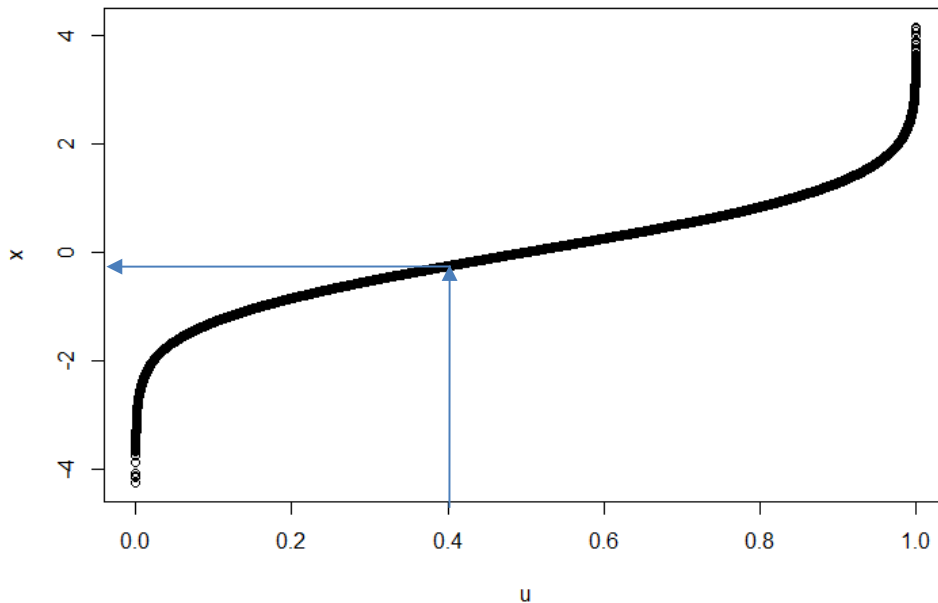
$$CDF_{\theta}^{-1}(u) = \mu + \sigma \Phi^{-1}(u)$$

Not very easy to compute

RANDOM VARIABLE GENERATOR

Approximations (for Normal distribution)

Inverse CDF



Box and Muller (1958)

$$u_1 \sim U(0,1)$$

$$u_2 \sim U(0,1)$$

$$x_1 = \sqrt{-2 \log(u_1)} \sin(2\pi u_2),$$

$$x_2 = \sqrt{-2 \log(u_1)} \cos(2\pi u_2)$$

RANDOM VARIABLE GENERATOR

Simulating random variables from an arbitrary discrete distribution with a finite number of cells (categories)
 $\{0, 35, 0, 15, 0, 4, 0.1\}$

1st Approach

- Generate the CDF
 - Sort from the smallest to the largest value
- Estimate CDF
- Generate
- Pick category x such that

RANDOM VARIABLE GENERATOR

Approach

Problem: For each trial, it requires looking until we suffix the condition

$$\underbrace{CDF}_{\text{Unlikely events, but I have to check them}} = i$$

Unlikely events, but I have to check them

RANDOM VARIABLE GENERATOR

approach: The alias method (Walker 1974; Kronmal and Peterson 197

“Any discrete distribution with n cells can be expressed as an equiprobable mixture of n two-point distributions”

$$p_i = \frac{1}{n} \sum_{m=1}^n q_i^{(m)}$$

Probability of cell i

Point distribution

RANDOM VARIABLE GENERATOR

approach: The alias method (Walker 1974; Kronmal and Peterson 1979)

i	A	C	T	G	Sum
	0.1	0.3	0.2	0.4	1
	0.4	1.2	0.8	1.6	4

← Each row must add to 1

Maximum value of the column **T** in which row the other cell refers to ("alias")

RANDOM VARIABLE GENERATOR

approach: The alias method (Walker 1974; Kronmal and Peterson 197

i	A	C	T	G	Sum
	0.1	0.3	0.2	0.4	1
	0.4	1.2	0.8	1.6	4
					1
					1
					1
					1

Maximum value of the column
To which row the other cell refers to

From each row, only two cells can be occupied. One of the cells must be a column with the same id as row

RANDOM VARIABLE GENERATOR

approach: The alias method (Walker 1974; Kronmal and Peterson 1979)

Box 9.2

Algorithm: generate the cutoff and alias vectors F and L for the alias method (Kronmal and Peterson 1979)

(Summary: this creates two vectors F_i and L_i $i = 1, 2, \dots, n$)

1. (Initialize.) Set $F_i \leftarrow np_i$, $i = 1, 2, \dots, n$.
2. (initialize the indicator table I_i , $i = 1, 2, \dots, n$.) Let $I_i = -1$ if $F_i < 1$ or $I_i = 1$ if $F_i \geq 1$.
3. (Main loop.) Repeat the following steps until none of I_i is -1 . (Pick up a cell j with $I_j = -1$ and a cell k with $I_k = 1$. Generate distribution $q^{(j)}$, finalizing F_j and L_j for cell j .)
 - 3a. Scan the I vector to find a j such that $I_j = -1$ and a cell k such that $I_k = 1$.
 - 3b. Set $L_j \leftarrow k$. Set $F_k \leftarrow F_k - (1 - F_j)$. ($1 - F_j$ is the probability on cell k used up by distribution $q^{(j)}$.)
 - 3c. (Update I_j and I_k .) Set $I_j \leftarrow 0$. If $F_k < 1$, set $I_k \leftarrow -1$.

RANDOM VARIABLE GENERATOR

approach: The alias method (Walker 1974; Kronmal and Peterson 197

i	A	C	T	G	Sum
	0.1	0.3	0.2	0.4	1
	0.4	1.2	0.8	1.6	4

RANDOM VARIABLE GENERATOR

approach: The alias method (Walker 1974; Kronmal and Peterson 197

1. (Initialize.) Set $F_i \leftarrow np_i, i = 1, 2, \dots, n$.

i	A	C	T	G	Sum
	0.1	0.3	0.2	0.4	1
	0.4	1.2	0.8	1.6	4
	0.4	1.2	0.8	1.6	

RANDOM VARIABLE GENERATOR

approach: The alias method (Walker 1974; Kronmal and Peterson 1979)

2. (initialize the indicator table I_i , $i = 1, 2, \dots, n$.) Let $I_i = -1$ if $F_i < 1$ or $I_i = 1$ if $F_i \geq 1$.

i	A	C	T	G	Sum
	0.1	0.3	0.2	0.4	1
	0.4	1.2	0.8	1.6	4
	0.4	1.2	0.8	1.6	
	-1	1	-1	1	

RANDOM VARIABLE GENERATOR

approach: The alias method (Walker 1974; Kronmal and Peterson 1979)

3a. Scan the I vector to find a j such that $I_j = -1$ and a cell k such that $I_k = 1$.

i	A	C	T	G	Sum
	0.1	0.3	0.2	0.4	1
	0.4	1.2	0.8	1.6	4
	0.4	1.2	0.8	1.6	
	-1	1	-1	1	

RANDOM VARIABLE GENERATOR

approach: The alias method (Walker 1974; Kronmal and Peterson 1979)

3b. Set $L_j \leftarrow k$.

i	A	C	T	G	Sum
	0.1	0.3	0.2	0.4	1
	0.4	1.2	0.8	1.6	4
	0.4	1.2	0.8	1.6	
	4				
	-1	1	-1	1	

RANDOM VARIABLE GENERATOR

approach: The alias method (Walker 1974; Kronmal and Peterson 1979)

3b. Set $L_j \leftarrow k$. Set $F_k \leftarrow F_k - (1 - F_j)$. ($1 - F_j$ is the probability on cell k used up by distribution $q^{(j)}$.)

i	A	C	T	G	Sum
	0.1	0.3	0.2	0.4	1
	0.4	1.2	0.8	1.6	4
	0.4			1-0.4	1
	0.4	1.2	0.8	1.6-(1-0.4)	
	4	1	-1	1	

RANDOM VARIABLE GENERATOR

approach: The alias method (Walker 1974; Kronmal and Peterson 197

3c. (Update I_j and I_k .) Set $I_j \leftarrow 0$. If $F_k < 1$, set $I_k \leftarrow -1$.

i	A	C	T	G	Sum
	0.1	0.3	0.2	0.4	1
	0.4	1.2	0.8	1.6	4
	0.4			0.6	1
				1	1
0.4 1.2 0.8 1					
4					
	0	1	-1	0	

RANDOM VARIABLE GENERATOR

approach: The alias method (Walker 1974; Kronmal and Peterson 1979)

- (Main loop.) Repeat the following steps until none of I_i is -1 . (Pick up a cell j with $I_j = -1$ and a cell k with $I_k = 1$. Generate distribution $q^{(j)}$, finalizing F_j and L_j for cell j .)

i	A	C	I	G	sum
	0.1	0.3	0.2	0.4	1
	0.4	1.2	0.8	1.6	4
	0.4			0.6	
				1	
	0.4	1.2	0.8	1	
	4				
	0	1	-1	0	

RANDOM VARIABLE GENERATOR

approach: The alias method (Walker 1974; Kronmal and Peterson 197

i	A	C	T	G	Sum
	0.1	0.3	0.2	0.4	1
	0.4	1.2	0.8	1.6	4
	0.4			0.6	1
				1	1
0.4 1.2 0.8 1					
4					
	0	1	-1	0	

RANDOM VARIABLE GENERATOR

approach: The alias method (Walker 1974; Kronmal and Peterson 1979)

i	A	C	T	G	Sum
	0.1	0.3	0.2	0.4	1
	0.4	1.2	0.8	1.6	4
	0.4			0.6	1
		1			1
		0.2	0.8		1
				1	
0.4 1.0 0.8 1					
4 2					
	0	0	0	0	

RANDOM VARIABLE GENERATOR

approach: The alias method (Walker 1974; Kronmal and Peterson 1979)

i	A	C	T	G	Sum
	0.1	0.3	0.2	0.4	1
	0.4	1.2	0.8	1.6	4
	0.4			0.6	1
		1			1
		0.2	0.8		1
				1	
0.4 1.0 0.8 1					
4 2					
	0	0	0	0	

RANDOM VARIABLE GENERATOR

approach: The alias method (Walker 1974; Kronmal and Peterson 1977)

Box 9.1

Alias algorithm (To generate a random variable i from the specified discrete distribution $p_i, i = 1, 2, \dots, n$, using the cutoff and alias vectors F and L .)

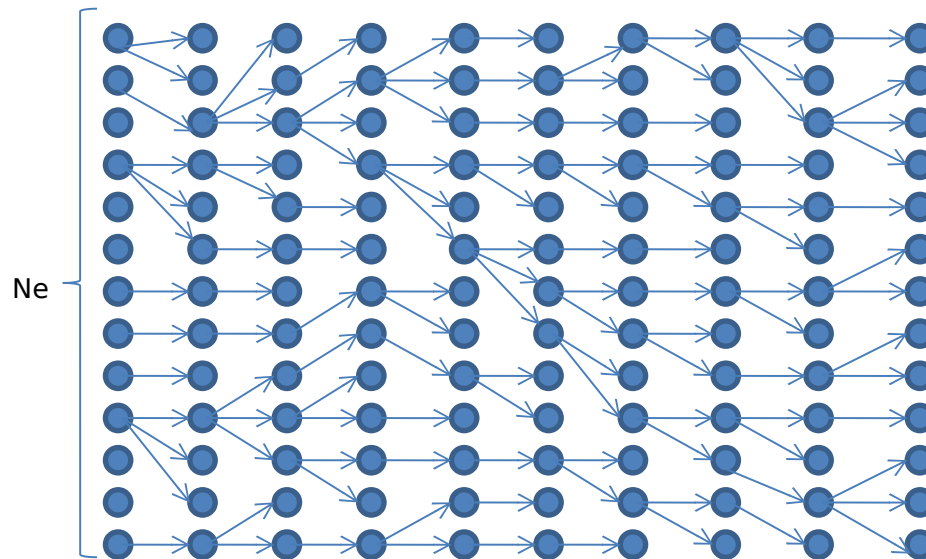
1. (Stimulate a random integer k over $1, 2, \dots, n$, and a random number $r \sim U(0, 1)$.)
Generate random number $u \sim U(0, 1)$. Set $k \leftarrow [nu] + 1$ and $r \leftarrow nu + 1 - k$
2. (Sample from $q^{(k)}$.) If $r \leq F_k$, set $i \leftarrow k$; otherwise, set $i \leftarrow L_k$.

RANDOM VARIABLE GENERATOR

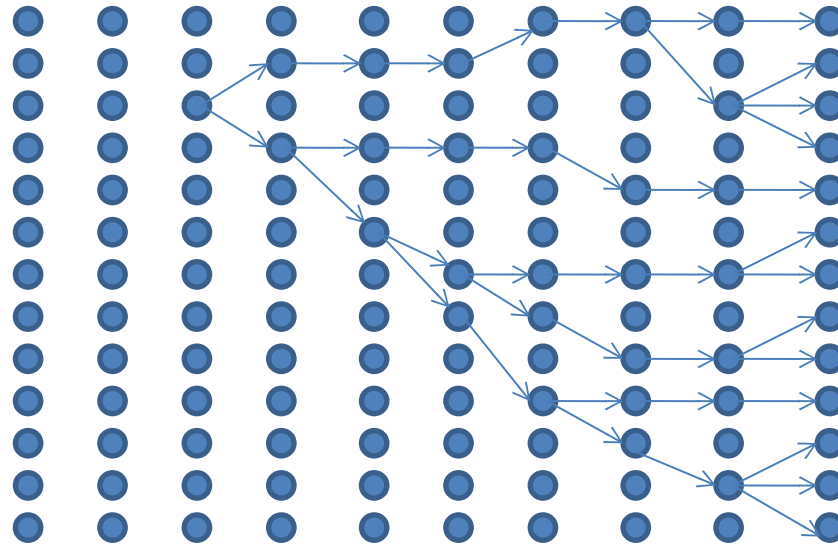
Approach Bis: Extension of the Alias by Vose (A Linear Algorithm For Generating Random Numbers With a Given Distribution)

i	A	C	T	G	Sum
	0.1	0.3	0.2	0.4	1
	0.4	1.2	0.8	1.6	4
	0.4			0.6	1
		1			1
		0.2	0.8		1
				1	
0.4 1.0 0.8 1					
4 2					
	0	0	0	0	

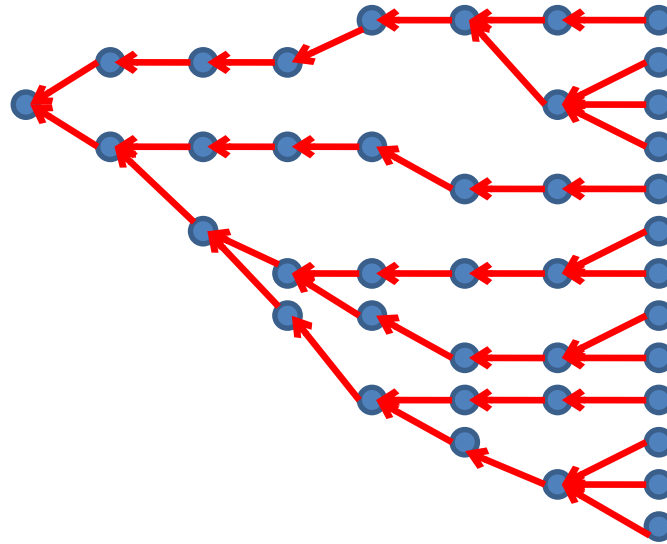
A backward approach



A backward approach



A backward approach



Can you propose an algorithm backward in time for simulating the evolution of K sequences until complete coalescence?