

# Assignment 3 of Algorithm

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## 1. Problem 1

### Question:

Given a list of  $n$  natural numbers  $d_1, d_2, \dots, d_n$ , show how to decide in polynomial time whether there exists an undirected graph  $G = (V, E)$  whose node degrees are precisely the numbers  $d_1, d_2, \dots, d_n$ .  $G$  should not contain multiple edges between the same pair of nodes, or “loop” edges with both endpoints equal to the same node.

### Solution:

1. initialize  $d[n] = \{d_1, d_2, \dots, d_n\}$ ,  $flag = True$
2. for  $i = 1$  to  $n$ ,
  - sort  $d[n]$  in descending order, choose  $d[0]$  to add edges, if  $d[0] = 0$  break
  - $d[k] = d[k] - 1$ ,  $k = 1, 2, \dots, d[0]$ , if  $d[k] < 0$  then  $flag = False$ , stop
  - $d[0] = 0$
3. if  $flag = True$ , there exists such a graph; otherwise, no graph can meet the needs.

### Correctness:

### Time complexity:

using quick-sort costs  $O(n \log n)$ , thus the time complexity is  $O(n^2 \log n)$ .

## 2. Problem 2

sort  $f_i$  in descending order, the maximum has high priority on supercomputer.

## 3. Problem 3—subsequence

### a). Description

**Goal:** given two strings  $s$  and  $t$ , check whether  $s$  is subsequence of  $t$ .

**Solution:** let  $m, n$  be the length of  $s, t$  respectively and  $j = 0$ , then scan the string  $t$  from start to end using index  $i$ ,  $j = j + 1$  when  $t[i] = s[j]$ . Finally, if  $j$  is equivalent to the length of  $m$ , that means  $s$  is the subsequence of  $t$ ; otherwise,  $s$  is not the subsequence of  $t$ .

**pseudo-code:**

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**Algorithm 1** Is subsequence

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**Input:** two string  $s, t$ **Output:** whether  $s$  is subsequence of  $t$ 

```
1: function ISSUBSEQUENCE( $s, t$ )
2:   initialize  $m = \text{len}(s), n = \text{len}(t), j = 0$ 
3:   for  $i = 0$  to  $n - 1$  do
4:     if  $s[j] = t[i]$  then
5:        $j = j + 1$ 
6:     end if
7:   end for
8:   if  $j = m$  then
9:     return true
10:  else
11:    return false
12:  end if
13: end function
```

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**b). Greedy choice property and optimal structure**

If  $s$  is the subsequence of  $t$ , then the substring of  $s$  should be the subsequence of  $t$ . In words, given  $s = \{s_0 s_1 \dots s_{m-1}\}$ , let  $s' = \{s_0 s_1 \dots s_{j-1}\} \subset s$  be the subsequence of  $t$ ,  $t' = t - \{t_0 t_1 \dots t_k\}$ ,  $s'$  is the subsequence of substring  $\{t_0 t_1 \dots t_k\}$  which has the minimum length. If  $s_j$  can be found in the remainder substring  $t'$ , we add  $s_j$  to the subset  $s'$ . Finally,  $s$  is the subsequence of  $t$  if and only if  $s' = s$ .  
the optimal structure can be written as

$$s' = \begin{cases} s' \cup \{s_j\}, & \text{if } s_j \in t' \\ s', & \text{otherwise} \end{cases}$$

**c). correctness**

suppose that we obtain a optimal substring  $s' = \{s_0 s_1 \dots s_k\} \subset s$  using the method mentioned above, but there exists an another optimal substring  $s'' \subset s$ .

- If  $\text{len}(s'') \leq \text{len}(s')$ ,  $s'$  is the final optimal substring.
- If  $\text{len}(s'') > \text{len}(s')$ , suppose that  $s'' = \{s_0 s_1 \dots s_k s_{k+1} s_{k+2} \dots\} \subset s$ . However,  $s'$  is the subsequence of  $t - t'$  and  $s_{k+1} \in t'$ , according to the rule above,  $s_{k+1}$  should be added to  $s'$ , the same condition is also applied to  $s_{k+2}, s_{k+3}, \dots$ , which is contrary to the hypothesis. Thus  $s'$  should be equivalent to  $s''$ .

In summary,  $s'$  is the final optimal subsequence of  $t$ , Hence the algorithm is correct.

**d). complexity**

it costs  $O(n)$  time.

## 4. Problem 4

**a). Description**

**Goal:** given two sets  $A$  and  $B$ , maximize  $\prod_{i=1}^n a_i^{b_i}$ .

**Solution:** We rearrange the order of  $A$  and  $B$  in descending order, then  $\prod_{i=1}^n a_i^{b_i}$  is the maximum payoff.

**pseudo-code:**

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**Algorithm 2** Maximize payoff

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```

1: function MAX-PAYOFF( $A = \{a_1, a_2, \dots, a_n\}, B = \{b_1, b_2, \dots, b_n\}$ )
2:   sort  $A, B$  in descending order
3:   return  $\prod_{i=1}^n a_i^{b_i}$ 
4: end function

```

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**b). Greedy choice property and optimal structure**

For simplicity, let  $P_i = \prod_{j=1}^i a_j^{b_j}$ ,  $A_i, B_i (i = 1, 2, \dots, n)$  are the subsets of  $A, B$  respectively with the length of  $i$ .

If  $P_n^*$  is the optimal payoff of  $A_n$  and  $B_n$ , then  $P_{n-1}^*$  should be the optimal payoff of  $A_{n-1}$  and  $B_{n-1}$ , hence  $P_1^* = a_1^{b'_1}$  should be the optimal payoff of  $A_1$  and  $B_1$ ,  $P_1^*$  is given by  $a'_1 = \max(A_n), b'_1 = \max(B_n)$ , thus  $P_2^* = P_1^* a_2^{b'_2}$  is given by  $a'_2 = \max(A_n - \{a'_1\}), b'_2 = \max(B_n - \{b'_1\})$ , etc. So we just need to rearrange the two sets in descending order. the optimal structure is give by

$$P_i^* = \begin{cases} a_1^{b'_1}, & \text{if } i = 1 \\ P_{i-1}^* a_i^{b'_i}, & \text{otherwise} \end{cases}$$

**c). correctness**

Let  $P = \prod_{i=1}^n a_i^{b_i}$  be the optimal payoff ( $a_1 > a_2 > \dots > a_n, b_1 > b_2 > \dots > b_n$ ). Suppose that there exists an another optimal solution  $P'$  in which  $a_1$  is paired with  $b_q$  and  $a_q$  is paired with  $b_1$ , then

$$\begin{aligned} \frac{P'}{P} &= \frac{\prod_{i=1}^n a_i^{b'_i}}{\prod_{i=1}^n a_i^{b_i}} \\ &= \frac{a_1^{b_q} a_q^{b_1}}{a_1^{b_1} a_q^{b_q}} \\ &= \left(\frac{a_1}{a_q}\right)^{b_q - b_1} \end{aligned}$$

note that  $a_1 > a_q, b_1 > b_q$ , thus  $P'/P < 1$ ; to change other pairs gives the same answer. Thus  $P$  is the optimal payoff.

**d). complexity**

If the two sets are already sorted, the time complexity is  $O(n)$ ; otherwise, sort the sets first and the time complexity is  $O(n \log n)$ .

## 5. Problem 5 Huffman code

the result is showed below, the compression ratio is near 0.5.

```
joseph_chen@JosephChen-Desktop:~/Documents/algorithms_course/c_plus_plus/huffman_coding$ g++ -g main.cpp -o test -std=c++11
joseph_chen@JosephChen-Desktop:~/Documents/algorithms_course/c_plus_plus/huffman_coding$ ./test
compressing file...
read 2094720 bytes from file:graph.txt
write 930543 bytes to file:compress_graph.bin
compression ratio:0.444233
compressing file...
read 190066 bytes from file:Aesop_Fables.txt
write 108761 bytes to file:compress_Aesop_Fables.bin
compression ratio:0.572228
decompressing file ...
read 930543 bytes from file:compress_graph.bin
extract 2094720 bytes from :compress_graph.bin output file:recover_graph.txt
decompressing file ...
read 108761 bytes from file:compress_Aesop_Fables.bin
extract 190066 bytes from :compress_Aesop_Fables.bin output file:recover_Aesop_Fables.txt
```