

# Homework1

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## 1 Question1

a). **algorithm description:**

Let “query( $X, k$ )” denotes the  $k^{th}$  smallest number of  $A$  or  $B$  and we call two databases  $A = \{a_1, a_2, \dots, a_i, \dots, a_n\}$ ,  $B = \{b_1, b_2, \dots, b_j, \dots, b_n\}$  arranged in ascending order (In fact, it does not matter to care the sequence order owing to “query”).

query( $A, i$ ) can be written as  $a_i$ , as well as query( $B, j$ ). Making sure  $i + j = n$ ,

- if  $a_i < b_j$ , the median lies in  $\{a_{i+1}, \dots, a_n\} \cup \{b_1, b_2, \dots, b_j\}$ ;
- if  $a_i = b_j$ , the median is  $a_i$  or  $b_j$ ;
- if  $a_i > b_j$ , the median lies in  $\{a_1, a_2, \dots, a_i\} \cup \{b_{j+1}, \dots, b_n\}$

We initialize  $i = n/2$ ,  $j = n - i$ , that equals to comparing the median of each database. Then the search area can be narrowed down to half length of the last until we just need to find the 1<sup>th</sup> smallest number between two separate arrays.

pseudo-code:

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**Algorithm 1** finding the median of two separate databases via query

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**Input:** Two separate databases  $A, B$ , length  $n$ . (initializing  $i, j = 0, k = n$ )**Output:** the median (the  $n^{th}$  smallest) of  $A \cup B$ 

```
1: function FIND_KTH( $A, i, B, j, k$ )
2:   if  $k = 1$  then
3:     return  $\min\{\text{query}(A, i + 1), \text{query}(B, j + 1)\}$ 
4:   end if
5:   if  $i = 0$  (initial) then
6:      $i \leftarrow k/2, j \leftarrow k - i$ 
7:   end if
8:   if  $\text{query}(A, i) < \text{query}(B, j)$  then
9:      $k \leftarrow k - k/2$  (each discards  $k/2$  numbers)
10:     $i \leftarrow i + k/2, j \leftarrow j - k/2$ 
11:    if  $k = 1$  then
12:       $j \leftarrow j - 1$ 
13:    end if
14:    return FIND_KTH( $A, i, B, j, k$ )
15:  else if  $\text{query}(A, i) > \text{query}(B, j)$  then
16:     $k \leftarrow k - k/2, i \leftarrow i - k/2, j \leftarrow j + k/2$ 
17:    if  $k = 1$  then
18:       $i \leftarrow i - 1$ 
19:    end if
20:    return FIND_KTH( $A, i, B, j, k$ )
21:  else
22:    return  $\text{query}(A, i)$ 
23:  end if
24: end function
```

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b). subproblem reduction graph

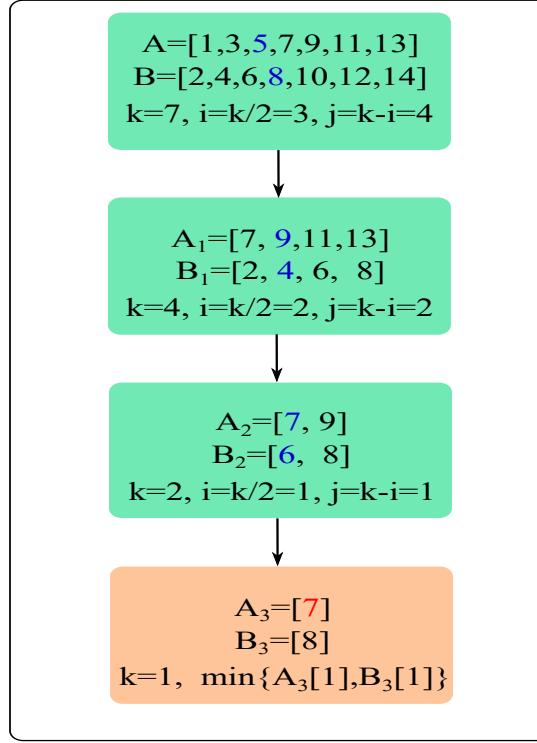


Figure 1: problem instance

c). **proof of the correctness**

Obviously,  $k = 1$  means that we just want the  $1^{th}$  smallest number of  $A \cup B$ . In order to find the median of  $A \cup B$ , we initialize  $i = n/2, j = n - i, k = n$ . Let “ $A[i]$ ” denotes “query( $A, i$ )”, then compare  $A[i]$  with  $B[j]$ :

- i. if  $A[i] < B[j]$ , we can surely say that  $\{A[1], A[2], \dots, A[i]\}$  must lies in the left of the median and  $\{B[j + 1], \dots, B[n]\}$  must lies in the right of the median. For instance, if  $B[j + 1]$  is the median, then there has  $i + j = n$  numbers smaller than  $B[j + 1]$  (each element of  $\{A[1], \dots, A[i]\} \cup \{B[1], B[2], \dots, B[j]\}$  is smaller than  $B[j + 1]$  ).
- ii. if  $A[i] = B[j]$ , the median is  $A[i]$  (or  $B[j]$ ).
- iii. if  $A[i] > B[j]$ , it's the opposite of i.

in each iteration, we discard  $k/2$  numbers until  $k = 1$ .

d). **complexity of the algorithm**

The size of original problem is reduced to half at each iteration, and “query” costs  $O(1)$ , thus

$$T(n) = T(n/2) + cO(1) = O(\log n)$$

## 2 Question2

a). **algorithm description:**

- first, we randomly choose  $v$  from the array  $A$ ;

- second, we split  $A$  into three categories : elements greater than  $v$ , those equal to  $v$ , and those smaller than  $v$ . Call these  $A_L, A_v, A_R$  respectively.
- then, we have

$$\text{select}(A, k) = \begin{cases} \text{select}(A_L, k) & \text{if } k \leq \text{len}(A_L) \\ v & \text{if } \text{len}(A_L) < k \leq \text{len}(A_L) + \text{len}(A_v) \\ \text{select}(A_R, k - \text{len}(A_L) - \text{len}(A_v)) & \text{if } k > \text{len}(A_L) + \text{len}(A_v) \end{cases}$$

here “len” represents the length of an array.

pseudo-code:

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**Algorithm 2** find the  $k^{\text{th}}$  largest element in an unsorted array

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**Input:** An unsorted array  $A$  and  $k$

**Output:** the  $k^{\text{th}}$  largest number of  $A$

```

1: function SELECT( $A, k$ )
2:   if  $k \leq 0$  or  $k > \text{len}(A)$  then
3:     return error
4:   end if
5:   randomly choose  $v$  of  $A$ 
6:    $A_L = \{\}, A_v = \{\}, A_R = \{\}$ 
7:   for  $i = 1$  to  $\text{len}(A)$  do
8:     if  $A[i] > v$  then
9:        $A_L = A_L \cup \{A[i]\}$ 
10:    else if  $A[i] = v$  then
11:       $A_v = A_v \cup \{A[i]\}$ 
12:    else
13:       $A_R = A_R \cup \{A[i]\}$ 
14:    end if
15:  end for
16:  if  $k \leq \text{len}(A_L)$  then
17:    return SELECT( $A_L, k$ )
18:  else if  $k \leq \text{len}(A_L) + \text{len}(A_v)$  then
19:    return  $v$ 
20:  else
21:    return SELECT( $A_R, k - \text{len}(A_L) - \text{len}(A_v)$ )
22:  end if
23: end function

```

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b). subproblem reduction graph

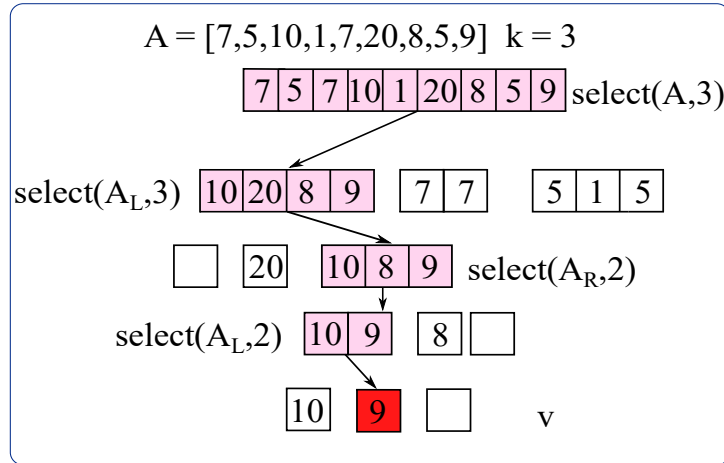


Figure 2: problem instance

c). **proof of the correctness**

In terms of the input constraints, it should throw an exception given  $k \leq 0$  or  $k > \text{len}(A)$ . What we want is finding the  $k^{\text{th}}$  largest number of array  $A$ , there is no need to sort the array. In every recursion, the search can be narrowed down to one of three sublists until we choose the correct one of singletons.

d). **complexity of the algorithm**

Splitting  $A$  into three parts costs linear time.

- The most worst situation is that we choose the smallest number every times, then it would force our algorithm to perform

$$T(n) = T(n - 1) + O(n)$$

or  $O(n^2)$  operations.

- The best-case scenario is that we select the median at each iteration, thus it would perform

$$T(n) = T(n/2) + O(n)$$

or  $O(n)$  operations.

- good choice: select a nearly-central element ,  $\text{len}(A_L) \geq \epsilon \text{len}(A), \text{len}(A_R) \geq \epsilon \text{len}(A)$  for a fixed  $0 < \epsilon < 1$ ,

$$\begin{aligned} T(n) &\leq T((1 - \epsilon)\text{len}(A)) + O(n) \\ &\leq cn + c(1 - \epsilon)n + c(1 - \epsilon)^2n + \dots \\ &= O(n) \end{aligned}$$

### 3 local minimum search

start search from the root of tree  $T$ ,

- if *root* has no children, then return *root*

- if  $left < root$ , then  $root = left$ , continue search
- else if  $right < root$ , then  $root = right$ , continue search
- else return  $root$

the worst condition is that searching from the root to the leaf , which costs  $O(\log n)$

## 4 Divide and Conquer

### Question:

Suppose now that you're given an  $n \times n$  grid graph  $G$ . (An  $n \times n$  grid graph is just the adjacency graph of an  $n \times n$  chessboard. To be completely precise, it is a graph whose node set is the set of all ordered pairs of natural numbers  $(i, j)$ , where  $1 \leq i \leq n$  and  $1 \leq j \leq n$ ; the nodes  $(i, j)$  and  $(k, l)$  are joined by an edge if and only if  $|i - k| + |j - l| = 1$ .)

We use some of the terminology of problem 3. Again, each node  $v$  is labeled by a real number  $x_v$ ; you may assume that all these labels are distinct. Show how to find a local minimum of  $G$  using only  $O(n)$  probes to the nodes of  $G$ . (Note that  $G$  has  $n^2$  nodes.)

### Solution:

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## 5 Question5

well,it's a second bracketing problem and the answer is a **Catalan number**. I don't know how to analysis it using the method of divide and conquer, so I just put up the implementation of the math formula:

$$\text{tri}(n) = \text{tri}(2) * \text{tri}(n - 1) + \text{tri}(3) * \text{tri}(n - 2) + \dots + \text{tri}(n - 1) * \text{tri}(2)$$

where  $\text{tri}(2) = \text{tri}(3) = 1$ ,  $\text{tri}(n)$  represents the number of triangulations of a convex polygon with  $n$  vertices. the test result is showed below:

n	tri(n)	n	tri(n)
3	1	9	429
4	2	10	1430
5	5	11	486
6	14	12	16796
7	42	13	58786
8	132	14	208012

Table 1: test result

Obviously,the complexity of the algorithm is  $O(n^2)$ .

## 6 special inversion counting

### Question:

Recall the problem of finding the number of inversions. As in the course, we are given a sequence of  $n$  numbers  $a_1, \dots, a_n$ , which we assume are all distinct, and we define an inversion to be a pair  $i < j$  such that  $a_i > a_j$ . We motivated the problem of counting inversions as a good measure of how different two orderings are. However, one might feel that this measure is too sensitive. Let's call a pair a *significant inversion* if  $i < j$  and  $a_i > 3a_j$ . Given an  $O(n \log n)$  algorithm to count the number of significant inversions between two orderings.

### Solution:

#### a.) Algorithm description:

Unlike the trivial counting inversion problem, there is a point that how to count the significant inversion, it must be done with  $O(n)$ . pseudo-code:

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#### Algorithm 3 counting the special inversion

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**Input:** An unsorted sequence  $a_1, a_2, \dots, a_n$

**Output:** the inversion number

```
1: function MERGE( $L = \{A_1, A_2, \dots, A_m\}, R = \{B_1, B_2, \dots, B_n\}$ )
2:    $inv\_num = 0, i = 1, j = 1$ 
3:   while  $i < m$  and  $j < n$  do
4:     if  $A_i > 3 * B_j$  then
5:        $inv\_num = inv\_num + m + 1 - i$ 
6:        $j = j + 1$ 
7:     else
8:        $i = i + 1$ 
9:     end if
10:  end while
11:   $C = \{\}, i = 1, j = 1$ 
12:  for  $k = 1$  to  $m + n$  do
13:    if  $A_i \leq B_j$  then
14:       $append(C, A_i)$ 
15:    else
16:       $append(C, B_j)$ 
17:    end if
18:  end for
19:  return ( $inv\_num, C$ )
20: end function
21: function MERGE-COUNT( $A = \{a_1, a_2, \dots, a_n\}$ )
22:  if  $n = 1$  then
23:    return ( $0, A$ )
24:  end if
25:  split the sequence into two halves  $L, R$ 
26:   $(r_L, L) = \text{MERGE-COUNT}(L)$ 
27:   $(r_R, R) = \text{MERGE-COUNT}(R)$ 
28:   $(r_{LR}, L') = \text{MERGE}(L, R)$ 
29:  return ( $r_L + r_R + r_{LR}, L'$ )
30: end function
```

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b.) **correctness**

- correctness of “merge”: since  $L$ ,  $R$  are sorted sequence in ascending order, we scan these two lists. If  $A_i > 3B_j$  then  $A_i, A_{i+1}, \dots, A_m > 3B_j$ , there is no need to continue scanning  $L$  for  $B_j$ , then move to  $B_{j+1}$ , as  $B_{j+1} > B_j, \forall k < i, A_k \leq 3B_j$ , thus  $A_k \leq 3B_{j+1}$ , we just need to scan  $L$  from last end point  $A_i$ , once we reach each end point of  $L, R$ , we get the inversion number of two sorted lists
- for an unsorted sequence  $A$ , we can split it into two halves  $L, R$ , each part can be reduced into two halves iteratively, then we combine the results of two halves to get the final result.

c.) **time complexity**

“merge” manipulation costs  $O(n)$ , original problem is divided into two subproblems, thus

$$T(n) = 2T(n/2) + O(n)$$

$$T(n) = O(n \log n)$$

## 7 local maximum in Array

**Question:** Given an input array  $num[0, 1, \dots, n-1]$  where  $num[i] \neq num[i+1]$  for all  $i = 0, 1, \dots, n-2$ , suppose  $num[-1] = num[n] = -\infty$ . find one local maximum and report its position, for instance  $[1, 2, 3, 2, 1]$ , 3 is the maximum element and report the index number 2. give an algorithm with  $O(\log n)$  complexity.

**Solution:**

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**Algorithm 4** find the local maximum in Array

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**Input:** An array  $num[0, 1, \dots, n-1]$

**Output:** the position of one local maximum

```

1: function LOCAL-MAX(nums, start, end)
2:   if (end - start) <= 1 then
3:     if nums[start] > nums[end] then
4:       return start
5:     else
6:       return end
7:     end if
8:   end if
9:   mid = (start + end) / 2
10:  if arr[mid] > arr[mid - 1] and arr[mid] > arr[mid + 1] then
11:    return mid
12:  else if arr[mid] < arr[mid - 1] then
13:    return LOCAL-MAX(nums, start, mid - 1)
14:  else
15:    return LOCAL-MAX(nums, mid + 1, end)
16:  end if
17: end function
18: function SOLUTION(num[0, 1, ..., n - 1])
19:   return LOCAL-MAX(num, 0, n - 1)
20: end function

```

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time complexity:

$$T(n) = T(n/2) + c$$

$$T(n) = O(\log n)$$