Relating Justification Logic Modality and Type Theory in Curry–Howard fashion

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Abstract

This thesis is a work in the intersection of *Justification Logic* (JL) and *Curry–Howard Isomorphism* (CHI). Justification logic is an umbrella of modal logics of knowledge with explicit evidence. Justification logics have been used to tackle traditional problems in proof theory (in relation to Godel's provability), philosophy (Gettier examples, Russel's barn paradox). The Curry–Howard Isomorphism or *proofs–as–programs* is an understanding of logic that places logical studies in conjunction to type theory and – in current developments – category theory. The point being that the understanding a system as a logic, as a typed calculus and as a language of a class of categories constitutes a useful discovery that can have many applications. The application that we will be mainly concerned with is type systems for useful programming language constructions. This work is structured in three parts: The first part (CHAPTERS x,y,x) is a revision of my second examination paper and constitutes a bird's eye view into my research topics: Logic, Constructive Modality and Type Theory. The relevant systems are introduced syntactically together with main metatheoretic proof techniques which will be useful in the rest of the thesis. The second part constitutes my main contributions: I will propose a modal type system that extends simple type theory (or, speaking from the logical side of CHI, intuitionistic propositional logic) with elements of justification logic and will argue about its computational significance.

More specifically, I will show that the obtained calculus characterizes certain computational phenomena that abound in modern programming language semantics. I will present full metatheoretic results obtained for this logic/ calculus using and extending techniques from the first part and will provide proofs in the Appendix. In Chapter X, I will show the first steps in extending the calculus towards the full propositional universe of justification logic and will provide some obtained metatheoretic results. Finally, the third part exercises an implementation of the calculus as a programming language (to showcase the concept of *proofs–as–programs* in practice). To achieve this "proof of concept" result we define our language together with its type system in the metaprogramming framework "MAKAM", which we will discuss briefly, and we obtain a type checker for our calculus. Finally, we conclude this work with a small "outro" where we discuss possibilities for future work and relations of the calculus with other current developments in the theory of functional programming.

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Contents

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Introduction

The CHI [29, 45] was first established as a deep connection between explicit proofs in intuitionistic logic and programs of a simple programming language that includes pairs, functions and union types [65, 72]. This relation has been a central topic of study in the field of type theory and has turned into the standard foundational approach to studying and designing programming

languages especially of the functional paradigm. Since this relation has been established the isomorphism has been extended to more complex logics and correspondingly to more complex programming language constructs. In the following I will be using *Curry–Howard Isomorphism* (CHI) and *proofs-as-programs* interchangeably.

There are great benefits both for a logician and the programming language designer in viewing things through the lenses of such a relation. On the programming language perspective certain linguistic phenomena are given categorical characterizations that do not depend on implementation specifics. For example the designer of the next hot programming language knows that adding pairs would have to adhere to the corresponding constructs of logical conjunction (conjunction introduction as pairing, conjunction eliminations as projections). In addition, adding more complex design features (e.g. state, concurrency, exceptions etc) can be done in a structured, orthogonal, and modular way by enriching the underlying logic and, correspondingly, the type system(see e.g. [38, 28, 59, 30]).

It should not be a surprise that languages of the typed functional paradigm have been gaining traction and more functional design principles are being added to languages of the object oriented paradigm. There are two main reasons for this, an old and a new. The older reason is mathematical correctness which is strongly related to the fact that reasoning about programs (of the lambda calculus and its extensions) can be done in an *equational way*, a property that is heavily connected to their underlying foundational principles

as we will see. Another, reason is that the addition of features such as side effects or concurrency to the language is reflected in the typing. For example, a program that changes global state has a type that has to says so, a program that uses explicitly goto mechanisms - if permitted - would also say so in its type. Even "unpure", non-functional constructs (state, mutable references) are added in a mathematical/ algebraic fashion under the CHI disciple. As a result reasoning about properties of such programs is significantly simpler. Moreover, under a strongly typed doctrine, important properties of programs are checked statically by the type-checker and prior to their execution. As a result, the need for testing is reduced to verify only non-trivial properties.

The renewed interest in functional programming owes a lot to the difficulties of scaling concurrent programs in traditional programming paradigms. It is very hard to scale programs that make unlimited use of side effects (such as state change) in an implicit way (i.e. without leaving any trace in their typing) from sequential to multithreaded computation. Programming freedoms in traditional languages (plus the easiness and textbook familiarity with the Von Neumann model of computation) come at large cost regarding (reasoning about) correctness and need for testing. The "purity" of programs in the lambda calculus – and delimited "impurity" in its extensions – makes writing high-quality concurrent code an easier task. It is exciting to see that important metatheoretic results in the area of combinatory logic as e.g. the Church–Rosser property are the backbone of models for concurrent computation in modern functional languages.

On the other hand, the logician has good reasons to study logics as rules of program formation and reduction. First of all, such designs make logics implementable "for free" in modern theorem provers using the programmistic side of the correspondence. Secondly the study of logic in such a way has put upfront a Gentzen-style treatment of logical connectives where emphasis is given to the notion of proof, proof geometry and proof reduction. This has sparked studies for more refined versions of proof relevant deduction than the ones discovered under the standard "axiomatic" approach (i.e. linear logics, substructural logics etc). As we will see, the Gentzen– Brouwer initiative to logic does not merely call for change of axiomatization but for a "proof relevant" interpretation of connectives that comes with a computational taste. Metatheory is also standardized once one studies logic this way; scalable techniques have been developed within the area of "proof-theoretic" semantics that make the passing from natural deduction of a logic to its cut free calculus pretty standard [70, 61]. In other words, by trying to recast known logics within a Curry – Howard environment gives to logic a great organizing principle. Finally, proof relevant treatments of logic – pushed further by ideas of *Martin-Löf Type Theory* [55] have sparked a renewed interest in a foundations of mathematics that begins from a treatment of proofs as *the* primitive objects of mathematics. Programs like *Homotopy Type Theory* (HoTT) promise a future in which submission of proofs that accompany conference and journal papers would no longer be hand-written or typeset arguments but executables that can run and be tested within a theorem

prover.

In this work, we are interested in the study of extending *Curry–Howard Isomorphism* (CHI) with basic constructive necessity of justification logic. There is a good reason to believe that this should be doable. Justification logic is a logic that relates the concept of necessity with the existence of a proof construct and that is exactly what working in realm of proof relevancy and CHI calls for. There are challenges to this task, both syntactical and semantical. First of all, there is a resemblance of the justification logic syntax with that of simple type theory (e.g. the use of the semicolon $a : A$) that initially might call for an antagonistic relation between the two systems. Of course, this is not a substantial issue since the two typing relations can be "colored" in a syntactical way. But resolving the syntactical overload would still leave a "meaning" question open; namely, how can one read, both intuitively and formally, the need of having two proofs of the "same thing" in a system. In the last Chapter of this work I will introduce my research work [66] and show how such a relation of binding two kinds of proof systems is quite natural and gives a basic reading of validity and necessity on first, proof-theoretic principles. We will treat justification logic as a logic of *proof relevant validity.* To give a hint, one should trace justification logic back to its origin as an explicit, classical semantics to *Brower-Heyting-Kolmogorov* (BHK) proof constructs. We will present a modal logic that is based on this relation and we will argue that such phenomena of binding two kinds of constructions abound both in the realm of mathematical proofs (and corresponding logics)

but also in programming language design when related constructs such as modules and dynamic linkers.

This text is structured as follows: the first chapter gives a working account of Brouwer's approach to logic and its connections with Gentzen's work as it is being understood within the realm of modern type theory. We make the proofs-as-programs relation clear by showing how the programming constructs of the lambda calculus transliterate the proof constructs of natural deduction for intuitionistic propositional logic and, correspondingly, how lambda terms obtain computational value based on proof tree reduction and composition in such a system. In chapter 2, we make this relation in even bolder terms by showing the correspondence between proof normalization (cut-eliminaation) and computation (as program reduction). In Chapter 3 we give an account of justification logic, present established results about its connection with standard modal logic axiomatizations and go through its kripkean semantics. Finally, in Chapter 4, I introduce my own work in establishing a reading of basic necessity under Curry–Howard correspondence utilizing justification logic.

2

Intuitionistic Logic

2.1 Intuitionism

In this Chapter, I will be presenting foundational work in the intersection of *Intuitionistic Logic* and *Type Theory*. The presentation is scaffolding following Prof. Robert Harper's lecture videos in *Homotopy Type Theory* [39] and the

accompanying notes by students of the class [43]. I will often deviate to standard textbooks in the field [18], [35], [65] to present further important results.

2.1.1 A bird's eye view

In a nutshell, *Intuitionistic mathematics* is a program in foundations of mathematics that extends *Brouwer's program* [26]. Brouwer, in an almost Kantian fashion, viewed mathematical reasoning as a human faculty and mathematics as a language of the "creative subject" aiming to communicate mathematical concepts. The concept of *algorithm* as a step–by–step constructive process is brought in the foreground in Brouwer's program. As a result, intuitionistic theories are amenable to computational interpretations. In the following I will be using the terms intutionistic and *constructive* interchangeably.

For the purposes of this paper, the main diverging point of Brouwer's program, later explicated by Heyting [44] and Kolmogorov [47] [15], lies in the treatment of proofs. In contrast to classical approaches to foundations that treat proof objects as external to theories, the constructive approach treats proofs as the fundamental forms of construction and hence, as first class citizens. As a result, the constructive view of logic draws heavily from proof theory and Gentzen's developments [34]. For the reader interested also in the philosophical implications of constructive foundations and *antirealism*, Dummet's treatment is a classic in the field [31].

It has to be emphasized that proofs in the intuitionistic approach are

treated as stand–alone and are not bound to formal systems (i.e the notion of proof *precedes* that of a formal system). It is necessary, hence, to draw a distinction between the notion of *proof as construction* and the typical notion of *proof in a formal system* [42, 41].

A *formal proof* is a proof given in a fixed formal system, such as Peano Arithmetic, and arises from the application of the inductively defined rules in that system. Formal proofs can, thus, be viewed as strings or gödelizations of textual derivation in some fixed system.

Although every formal proof (in a specific system) is also a proof (assuming soundness of the system) the converse is not true. This conforms with Gödel's Incompleteness Theorem, which precisely states that there exist true propositions (with a proof in *some* formal system), but for which there cannot be given a formal proof within the formal system in question. This *openness* of the nature of proofs is necessary for a foundational treatment of proofs that respects Gödelian phenomena.

Following the same line of thought, and adopting the doctrine of *proof relevance* for obtaining true judgments, leads to another main difference of the constructive approach and the classical one i.e the (default) absence of the *law of excluded middle*. More specifically, systems that rely on *Martin-Löf Type Theory* [55] do not necessarily exclude *LEM* but they might permit its delimited usage, locally, in a proof.

2.2   IPL

Intuitionistic Propositional Logic (IPL) can be viewed as "the logic of *proof relevance*" conforming with the intuitionistic view described in 2.1. To judge a fact as *true* one may provide a *proof* appropriate of the fact. *Proofs* can be synthesized to obtain proofs for more complex facts (*introduction rules*) and consumed to provide proofs relevant for other facts (*elimination rules*). The importance of the interplay between introduction and elimination rules was developed by Gentzen. A discussion on the meaning of the logical connectives that is prevalent in *MLTT* can be found in [51] Following the presentation style by Martin-Löf we split the notions of *judgment* and *proposition*. We have two main kinds of judgments:

- *Judgments* that are logical arguments about the truth(or, equivalently, proof) of a *proposition*. They might, optionally, involve assumptions on the truth (or, equivalently, proof) of other propositions. We might call these *logical judgments*.

- Judgments on *propositionality* or typeability. *Propositions* are the *subjects* of *logical judgments*. If something is judged to be a proposition then it belongs to the universe of discourse and can be mentioned in *logical judgments*.

In addition, since a *logical judgment* might involve a set Γ of assumptions (or a *context*), it is convenient to add a third kind of judgment of the form Γ ctx

Thus, in IPL, we get the judgments $\phi \in$ Prop, ϕ true and Γ ctx:

$\phi \in$ Prop ϕ is a (well-formed) proposition

ϕ true Proposition ϕ is true

   i.e., has a proof.

Γ ctx Γ is a (well-formed) context of assumptions

The natural deduction system of IPL is given below:

Prop Formation

$$\frac{}{P_i \in \mathsf{Prop}} \ \textsc{Atom} \qquad \frac{}{\top \in \mathsf{Prop}} \ \textsc{Top} \qquad \frac{}{\bot \in \mathsf{Prop}} \ \textsc{Bottom}$$

$$\frac{\phi_1 \in \mathsf{Prop} \qquad \phi_2 \in \mathsf{Prop}}{\phi_1 \supset \phi_2 \in \mathsf{Prop}} \ \textsc{Arr} \qquad \frac{\phi_1 \in \mathsf{Prop} \qquad \phi_2 \in \mathsf{Prop}}{\phi_1 \wedge \phi_2 \in \mathsf{Prop}} \ \textsc{Conj}$$

$$\frac{\phi_1 \in \mathsf{Prop} \qquad \phi_2 \in \mathsf{Prop}}{\phi_1 \vee \phi_2 \in \mathsf{Prop}} \ \textsc{Disj}$$

Context Formation

$$\frac{}{\mathsf{nil} \ \mathsf{ctx}} \ \textsc{Nil} \qquad \frac{\Gamma \ \mathsf{ctx} \qquad \phi \in \mathsf{Prop}}{\Gamma, \phi \ \mathsf{true} \ \mathsf{ctx}} \ \Gamma\text{-}\textsc{Add}$$

Context Reflection

$$\frac{\Gamma \text{ ctx} \qquad \phi \text{ true} \in \Gamma}{\Gamma \vdash \phi \text{ true}} \; \Gamma\text{-}\textsc{Refl}$$

Top Introduction – Bottom Elimination

$$\frac{}{\Gamma \vdash \top \text{ true}} \; \top\text{I} \qquad\qquad \frac{\Gamma \vdash \bot \text{ true}}{\Gamma \vdash \phi \text{ true}} \; \bot\text{E}$$

Implication Introduction and Elimination

$$\frac{\Gamma, \phi_1 \text{ true} \vdash \phi_2 \text{ true}}{\Gamma \vdash \phi_1 \supset \phi_2 \text{ true}} \; \supset\text{I} \qquad\qquad \frac{\Gamma \vdash \phi_1 \supset \phi_2 \text{ true} \qquad \Gamma \vdash \phi_1 \text{ true}}{\Gamma \vdash \phi_2 \text{ true}} \; \supset\text{E}$$

Conjunction Introduction and Elimination

$$\frac{\Gamma \vdash \phi_1 \text{ true} \qquad \Gamma \vdash \phi_2 \text{ true}}{\Gamma \vdash \phi_1 \wedge \phi_2 \text{ true}} \; \wedge\text{I}$$

$$\frac{\Gamma \vdash \phi_1 \wedge \phi_2 \text{ true}}{\Gamma \vdash \phi_1 \text{ true}} \; \wedge\text{E}_\text{L} \qquad\qquad \frac{\Gamma \vdash \phi_1 \wedge \phi_2 \text{ true}}{\Gamma \vdash \phi_2 \text{ true}} \; \wedge\text{E}_\text{R}$$

Disjunction Introduction and Elimination

$$\frac{\Gamma \vdash \phi_1 \text{ true}}{\Gamma \vdash \phi_1 \vee \phi_2 \text{ true}} \vee I_L \qquad \frac{\Gamma \vdash \phi_2 \text{ true}}{\Gamma \vdash \phi_1 \vee \phi_2 \text{ true}} \vee I_R$$

$$\frac{\Gamma \vdash \phi_1 \vee \phi_2 \text{ true} \quad \Gamma, \phi_1 \text{ true} \vdash \phi \text{ true} \quad \Gamma, \phi_2 \text{ true} \vdash \phi \text{ true}}{\Gamma \vdash \phi \text{ true}} \vee E$$

2.2.1 Basic Properties of Intuitionistic Entailment

Reflexivity

$$\frac{}{\Gamma, \phi \text{ true} \vdash \phi \text{ true}}$$

Transitivity

$$\frac{\Gamma \vdash \psi \text{ true} \quad \Gamma, \psi \text{ true} \vdash \phi \text{ true}}{\Gamma, \phi \text{ true} \vdash \phi \text{ true}}$$

Contraction

$$\frac{\Gamma, \phi\ \text{true}, \phi\ \text{true} \vdash \psi\ \text{true}}{\Gamma, \phi\ \text{true} \vdash \psi\ \text{true}}$$

Exchange

$$\frac{\Gamma \vdash \phi\ \text{true}}{\pi(\Gamma) \vdash \phi\ \text{true}}$$

2.3 Order Theoretic Semantics: *Heyting Algebras*

IPL viewed order theoretically gives rise to a *Heyting Algebra(HA)*. To define *HA* we need the notion of a *lattice*. For our purposes we define it as follows[1]:

Definition: A *lattice* is a non-empty *pre–order* with finite meets and joins.

In addition, we define *bounded lattice* as follows:

---

[1]One can take a lattice being a partial order. The same results hold with slight modifications.

> **Definition:** A *bounded lattice* $(L, \leq)$ is a lattice that additionally has a greatest element 1 and a least element 0, which satisfy
>
> $\quad 0 \leq x \leq 1$ for every x in L

Finally, we can define *HA*:

> **Definition:** A *HA* is a bounded lattice $(L, \leq, 0, 1)$ s.t. for every $a, b \in L$ there exists an x (we name it $a \to b$) with the properties:
>
> 1. $a \wedge x \leq b$
>
> 2. x is the greatest such element

Axiomatization of HAs

We can axiomatize the meet (i.e. greatest lower bound)($\wedge$) of ϕ, ψ for any lower bound χ.

$$\overline{\phi \wedge \psi \leq \phi} \qquad\qquad \overline{\phi \wedge \psi \leq \psi}$$

$$\frac{\chi \leq \phi \quad \chi \leq \psi}{\chi \leq \phi \wedge \psi}$$

We can axiomatize the join $(\vee)$(i.e. the least upper bound) of ϕ, ψ for any upper bound χ as follows .

$$\overline{\phi \leq \phi \vee \psi} \qquad\qquad \overline{\psi \leq \phi \vee \psi}$$

$$\frac{\phi \leq \chi \quad \psi \leq \chi}{\phi \vee \psi \leq \chi}$$

We can axiomatize the existence of a greatest element as follows:

$$\overline{\chi \leq 1}$$

which says that 1 is the greatest element.

We can axiomatize the existence of a least element as follows:

$$\overline{0 \leq \chi}$$

which says that 0 is the least element.

Finally, to axiomatize *HAs* we require the existence of exponentials for every ϕ, ψ as follows:

$$\overline{\phi \wedge (\phi \supset \psi) \leq \psi} \qquad\qquad \frac{\phi \wedge \chi \leq \psi}{\chi \leq \phi \supset \psi}$$

Soundness and Completeness

Theorem. $\Gamma \vdash_{IPL} \phi$ true iff for any *Heyting Algebra* H we have $\Gamma^+ \le \phi^*$ where $*$ is defined as the lifting of any map of Props to elements of H and $(+)$ is defined inductively on the length of Γ as follows

$$
\begin{aligned}
nil^+ &= \top \\
(\Gamma, \phi)^+ &= \Gamma^+ \wedge \phi*
\end{aligned}
$$

3

Lambda Calculus With Types

3.1 From intuitionistic provability to proof trees

IPL can be viewed as a declarative axiomatization of proof constructs. Take the introduction rule for conjunction as an example:

$$\frac{\Gamma \vdash \phi_1 \text{ true} \qquad \Gamma \vdash \phi_2 \text{ true}}{\Gamma \vdash \phi_1 \wedge \phi_2 \text{ true}} \wedge \text{I}$$

The rule says, "given the existence a proof of ϕ and a proof of ψ from assumptions Γ, there exists a proof of $\phi \wedge \psi$ from assumptions Γ at hand ".

We used the description "declarative" because in this format *IPL* sequents $\Gamma \vdash$ true do not describe how such existentials are realized. It is in essence a logic of "proof relevant truth" but it does not involve the proofs themselves as first class objects.

An alternative presentation is to explicate proof constructs by directly providing a system of "proof trees". Such, an approach was actually championed in Gentzen's natural deduction systems and is the necessary move to obtain

proof calculi. Once we have proof explicit proof objects (either as trees, or as we will, see as terms) the system is enriched with equality principles involving such objects. Such rules give computational value ("proof dynamics") to the constructs and are the driver idea in the "Curry–Howard Isomorphism" and its extensions.

Here we provide such a formulation in proof trees of judgments together with the equality rules on trees, essentially following Gentzen. Proof trees of judgments have the following shape:

$$J_1, \ldots, J_i$$
$$\vdots$$

$$J$$

We focus one judgments J of the form A true. Here are the the rules for constructing proof trees with labeled assumptions[1]. First, the deductions using reflection on hypothesis are valid:

$$x_1 : A_1 \text{ true}, \ldots, x_i : A_i \text{ true} \qquad\qquad x_1 : A_1 \text{ true}, \ldots, x_i : A_i \text{ true}$$
$$\vdots \qquad\qquad\qquad\qquad\qquad\qquad\qquad \vdots$$
$$A_{j \in 1 \ldots i} \text{ true} \qquad\qquad\qquad\qquad\qquad\qquad \top \text{ true}$$

---

[1]Essentially the constructs are directed acyclic graphs since assumptions with the same label are "bind" and substitutable together but we will be cavalier with such a details

$$\frac{\begin{array}{cc} \mathcal{D} & \mathcal{E} \\ A \text{ true} & B \text{ true} \end{array}}{A \wedge B \text{ true}}$$

$$\frac{\begin{array}{c} \mathcal{D} \\ A \wedge B \text{ true} \end{array}}{A \text{ true}} \qquad \frac{\begin{array}{c} \mathcal{D} \\ A \wedge B \text{ true} \end{array}}{B \text{ true}}$$
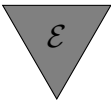
$$\frac{\begin{array}{c} \mathcal{D} \\ A \text{ true} \end{array}}{A \vee B \text{ true}} \qquad \frac{\begin{array}{c} \mathcal{D} \\ B \text{ true} \end{array}}{A \vee B \text{ true}}$$
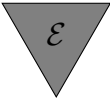
$$\frac{\begin{array}{ccc} & A \text{ true} & B \text{ true} \\ \mathcal{D} & \mathcal{E} & \mathcal{F} \\ A \vee B \text{ true} & C \text{ true} & C \text{ true} \end{array}}{C \text{ true}}$$

$$\frac{\begin{array}{c}\mathcal{D}\\ \bot \text{ true}\end{array}}{C \text{ true}}$$

3.1.1 Properties of Intuitionistic Entailment Redux

Proof trees by their nature satisfy the properties of entailment in 2.2.1. We will not bother with reflection and contraction. The first is trivial and the second can be shown by simple induction on the structure of trees with the proof highlighting that reflection on hypothesis is order-irrelevant. Transitivity is established by *compositionality* of proof trees and reflects the essence of hypothetical reasoning: proof trees of the appropriate proposition can be "plugged in" for assumptions to create new valid trees.

Theorem. If $\begin{array}{c}x:A\\ \mathcal{D}\\ B \text{ true}\end{array}$ and $\begin{array}{c}\mathcal{E}\\ A \text{ true}\end{array}$ are valid proof trees their composition denoted as $\begin{array}{c}\mathcal{E}\\ A \text{ true}\\ \mathcal{D}\\ B \text{ true}\end{array}$, defined by substituting all occurrences of $x:A$ for E in $\mathcal{D}$, is a valid proof tree for B true.

3.1.2 Equating Proof Trees

Having proof objects as first class citizens, permits for developing logics, essentially, as theories of (typed) equality among such objects. This idea stemmed from Gentzen's work on natural deduction and cut elimination and it is what gives to proofs computational content. Here are the proposed equalities for the proof relevant *IPL* introduced initially by Gentzen as the driver of the proof cut elimination. We will be revisiting these very same equalities and reframe them as equalities among proof terms in the next section. Nevertheless, they can be expressed in proof tree form. We show indicatively the equalities regarding the $\supset$ connective proofs reserving the rest for the more concise notation.

$$
\cfrac{\cfrac{\begin{array}{c} x:A \\ \mathcal{D} \\ B \text{ true} \end{array}}{A \supset B \text{ true}} \quad \begin{array}{c} \mathcal{E} \\ A \text{ true} \end{array}}{B \text{ true}} \quad = \quad \begin{array}{c} \mathcal{E} \\ A \text{ true} \\ \mathcal{D} \\ B \text{ true} \end{array}
$$

$$\cfrac{\cfrac{A \supset B \text{ true} \qquad \overline{x : A}}{B \text{ true}}}{A \supset B} \quad = \quad \begin{array}{c} \mathcal{D} \\ A \supset B \text{ true} \end{array}$$

(with $\mathcal{D}$ above $A \supset B$ true on the left)

3.2   Linear representation of trees with proof terms: λ calculus

Proof terms provide an alternative linear representation for proof trees. The simply typed lambda calculus and its equational system can, thus, be viewed as a calculus for proof trees and proof reductions for intuitionistic logic. What's more, following the doctrine of proof relevance and of characterizing connectives by their proof reductions, i.e. working in the realm of Curry – Howard Isomorphism, we hit two birds with one stone: we both develop proof relevant logics and we get typed programming languages that reflect their computational content. The "simplest" language obtained within this program is the simply typed lambda calculus, but we will see that the same doctrine extends to different logics with different judgmental constructs.

Simply typed lambda calculus

Type Formation

$$\frac{}{P_i \in \mathsf{Type}} \ \text{Atom} \qquad \frac{}{\top \in \mathsf{Type}} \ \text{Top} \qquad \frac{}{\bot \in \mathsf{Type}} \ \text{Bottom}$$

$$\frac{\phi_1 \in \mathsf{Type} \qquad \phi_2 \in \mathsf{Type}}{\phi_1 \to \phi_2 \in \mathsf{Type}} \ \text{Arr} \qquad \frac{\phi_1 \in \mathsf{Type} \qquad \phi_2 \in \mathsf{Type}}{\phi_1 \times \phi_2 \in \mathsf{Type}} \ \text{Prod}$$

$$\frac{\phi_1 \in \mathsf{Type} \qquad \phi_2 \in \mathsf{Type}}{\phi_1 + \phi_2 \in \mathsf{Type}} \ \text{Union}$$

Context Formation

$$\frac{}{\mathsf{nil} \ \mathsf{ctx}} \ \text{Nil} \qquad \frac{\Gamma \ \mathsf{ctx} \qquad \phi \in \mathsf{Type} \qquad x \ \text{fresh in} \ \Gamma}{\Gamma, \ x : \phi \ \mathsf{ctx}} \ \Gamma\text{-Add}$$

Context Reflection

$$\frac{\Gamma \ \mathsf{ctx} \qquad x : \phi \in \Gamma}{\Gamma \vdash x : \phi} \ \Gamma\text{-Refl}$$

Top Introduction – Bottom Elimination

$$\frac{}{\Gamma \vdash \langle \rangle : \top} \ \top\text{I} \qquad \frac{\Gamma \vdash M : \bot}{\Gamma \vdash abort[\phi](M) : \phi} \ \bot\text{E}$$

Function Construction and Application

$$\frac{\Gamma, x : \phi_1 \vdash M : \phi_2}{\Gamma \vdash \lambda x.M : \phi_1 \to \phi_2} \; \lambda-\text{ABS} \qquad \frac{\Gamma \vdash M : \phi_1 \to \phi_2 \qquad \Gamma \vdash M^{'} : \phi_1}{\Gamma \vdash (MM^{'}) : \phi_2} \; \text{APP}$$

Tuple Construction and Projections

$$\frac{\Gamma \vdash M : \phi_1 \qquad \Gamma \vdash M^{'} : \phi_2}{\Gamma \vdash \langle M, M^{'} \rangle : \phi_1 \times \phi_2} \; \text{TUP}$$

$$\frac{\Gamma \vdash M : \phi_1 \times \phi_2}{\Gamma \vdash \text{fst}(M) : \phi_1} \; \text{LPRJ} \qquad \frac{\Gamma \vdash M : \phi_1 \times \phi_2}{\Gamma \vdash \text{snd}(M) : \phi_2} \; \text{RPRJ}$$

Union Construction and Elimination

$$\frac{\Gamma \vdash M : \phi_1}{\Gamma \vdash inj_l[\phi_2](M) : \phi_1 + \phi_2} \; \text{INJL} \qquad \frac{\Gamma \vdash M : \phi_2}{\Gamma \vdash inj_r[\phi_1](M) : \phi_1 + \phi_2} \; \text{INJR}$$

$$\frac{\Gamma \vdash M : \phi_1 + \phi_2 \qquad \Gamma, x : \phi_1 \vdash N : \phi \qquad \Gamma, y : \phi_2 \vdash O : \phi}{\Gamma \vdash \text{case } M \text{ of } inj_l(x) \longmapsto N \mid inj_r(y) \longmapsto O : \phi} \; \vee\text{E}$$

3.2.1 Definitional Equality: Proof tree equalities as term equalities

Gentzen's principles transliterate to an equational system for terms. In the following we are defining a congruence relation on proof terms which is usually coined as *definitional equality* and denoted $M \equiv M' : A$. We want definitional equality $\equiv$ to be the least congruence closed under the β, η rules that directly reflect Gentzen's principles in term form.

Definition A *congruence* is

- an equivalence relation (i.e. reflexive, symmetric and transitive)
- that commutes with operators E.g.

$$\frac{\Gamma \vdash M \equiv M' : A \wedge B}{\Gamma \vdash \mathrm{fst}(M) \equiv \mathrm{fst}(M') : A}$$

Informally , we should be able to replace "equals with equals" everywhere in a term.

Inversion Principle

Gentzen's Inversion Principle captures the idea that "elim is post-inverse to intro," or "local soundness", which is the informal notion that the elimination rules should cancel the introduction rules. The so called β equality rules are as follows:

$$\frac{\Gamma \vdash M : \phi_1 \qquad \Gamma \vdash N : \phi_2}{\Gamma \vdash \mathrm{fst}(\langle M, N \rangle) \equiv M : \phi_1} \; \beta\wedge_1$$

$$\frac{\Gamma \vdash M : \phi_1 \qquad \Gamma \vdash N : \phi_2}{\Gamma \vdash \mathrm{snd}(\langle M, N \rangle) \equiv N : \phi_2} \; \beta\wedge_2 \qquad \frac{\Gamma, x : A \vdash M : B \qquad \Gamma \vdash N : A}{\Gamma \vdash (\lambda x.M)(N) \equiv [N/x]M : B} \; \beta \supset$$

$$\frac{\Gamma, x : \phi_1 \vdash N : \psi \qquad \Gamma, y : \phi_2 \vdash O : \psi \qquad \Gamma \vdash P : \phi_1}{\Gamma \vdash (\text{case } inj_l(P) \text{ of } inj_l(x) \longmapsto N| \; inj_r(y) \longmapsto O) \equiv [P/x]N : \psi} \; \beta\vee_1$$

$$\frac{\Gamma, x : \phi_1 \vdash N : \psi \qquad \Gamma, y : \phi_2 \vdash O : \psi \qquad \Gamma \vdash Q : \phi_2}{\Gamma \vdash (\text{case } inr_r(Q) \text{ of } inj_l(x) \longmapsto N| \; inj_r(y) \longmapsto O) \equiv [Q/y]O : \psi} \; \beta\vee_2$$

Uniqueness of Forms

Gentzen's Uniqueness Principles on the other hand capture the idea that "intro is post-inverse to elim" (a.k.a "local completeness"). There should be only one way – modulo definitional equivalence – to prove something. The "β" rules give rise to computational dynamics via reduction. The so called "η" equality rules impose properties that the computational model should satisfy.

The η rules (a.k.a. *identity expansion*)are given below:

$$\frac{\Gamma \vdash M : \top}{\Gamma \vdash M \equiv \langle \, \rangle : \top} \; \eta\top \qquad \frac{\Gamma \vdash M : A \wedge B}{\Gamma \vdash M \equiv \langle \mathrm{fst}(M), \mathrm{snd}(M) \rangle : A \wedge B} \; \eta\wedge$$

$$\frac{\Gamma \vdash M : \phi \supset \psi}{\Gamma \vdash M \equiv \lambda x.Mx : \phi \supset \psi} \; \eta \supset$$

$$\frac{\Gamma \vdash M : \phi_1 + \phi_2}{\Gamma \vdash M \equiv \mathrm{case} \; M \; \mathrm{of} \quad | inj_l(x) \mapsto inj_l(x)} \; \eta\vee$$
$$inj_r(y) \mapsto inj_r(y)) : \psi$$

3.3 Operational (a.k.a "term") Semantics

It is obvious that the system is consistent in terms of provability. It's forgetful projection is exactly IPL for which we have provided order-theoretic models. We would like to show consistency for the proof relevant model. One way is operational semantic.

The first step toward operational semantics is to break the symmetry of the definitional equivalence and construct a one-way reduction relation on lambda terms. Towards this definition we first define the notion of a *redex*:

Definition. • A β-redex is every term of the form:

$$(\lambda x : \phi.N)M \,|\, \mathrm{fst}\langle M, N \rangle \,|\, \mathrm{snd}\langle M, N \rangle$$

- An η-redex is every term of the form:

$$\lambda x : Mx | \langle \text{fst}, M \, \text{snd} \, M \rangle$$

A *normal form* is a term where no redex occurs. To make the term surrounding the redex explicit, we can use a *term context*, i.e. a term with a single term hole, such as $\lambda x : [], (e[\bullet]), [\bullet]e$, where a hole can be substituted for a term to give a larger term. To be strict all single hole terms have the following diagram:

$$H := [\bullet] | \ (M[\bullet]) | \ ([\bullet]M) | \ \lambda x : A.H | \ \langle H, M \rangle | \ \langle M, H \rangle$$

Now we have enough tools to define the *(one-step) $\beta\eta$-reduction* between two terms can be defined on terms that include redexes as subterms as follows :

One-step $\mapsto_{\beta\eta}$ reduction

$$(\lambda x : \phi.N)M \mapsto [M/x]N \ (\beta) \qquad \text{fst}\langle M, N \rangle \mapsto M \ (\beta)$$

$$\text{snd}\langle M, N \rangle \mapsto N \ (\beta) \qquad \lambda x : Mx \mapsto M \ (\eta) \qquad \langle \text{fst} \, M \, \text{snd} \, M \rangle \mapsto M \ (\eta)$$

$$\frac{M \mapsto M'}{H[M] \mapsto H[M']} \ (\text{SUBTERM})$$

Now we can define the reflexive, transitive closure of the previous relation as $\mapsto_{\beta\eta}^*$ to denote zero or more reduction steps. The following facts – leading

to a computational proof of consistency – hold:

> **Theorem. Church – Rosser for** $\mapsto_{\beta\eta}$ For every term M, if $M \mapsto_{\beta\eta} N_1$
> and $M \mapsto_{\beta\eta} N_2$ then there exists N' s.t. $N_1 \mapsto N'$ and $N_2 \mapsto N'$
>
> **Theorem. Church – Rosser for** $\mapsto_{\beta\eta}^*$ For every term M, if $M \mapsto_{\beta\eta}^* N_1$
> and $M \mapsto_{\beta\eta}^* N_2$ then there exists N' s.t. $N_1 \mapsto_{\beta\eta}^* N'$ and $N_2 \mapsto_{\beta\eta}^* N'$

The first consistency result for the equational system comes straight from the Church–Rosser properties. Since, it is easy to show that for any terms M, N s.t where $\Gamma \vdash M \equiv N : A$ based on the $\equiv$ axiomatization there exists a finite sequence of terms $N_0, \ldots, N_i$ such that $M \mapsto_{\beta\eta}^* N_0 \twoheadleftarrow_{\beta\eta}^* N_1 \mapsto_{\beta\eta}^* N_2 \twoheadleftarrow_{\beta\eta}^* \ldots \twoheadleftarrow_{\beta\eta} N_i$. Now we can obtain:

> **Theorem. Definitional equality implies common contractum** For any terms, M,N if $\Gamma \vdash M \equiv N : A$ then there exists tern L s.t. $M, N \mapsto_{\beta\eta}^* L$

And as a result:

> **Theorem. Consistency of definitional equality of terms** The definitional equality $\equiv$ is not trivial i.e. it won't equate any two terms.

Moving toward consistency of the whole system (i.e. there is not term of type $\perp$), we prove a theorem for the existence of normal forms.

> **Theorem. Weak normalization theorem** For any term M, there exists a finite sequence of terms s.t. $M \mapsto_\beta N_0 \mapsto_\beta N_1 \mapsto_\beta N_2 \mapsto_\beta \ldots \mapsto_\beta N_i$ where N_i is a β normal form.

It is common place in metatheoretic proofs for such systems that induction on the structure of the term does not "go through". Intuitively, a reduction can be "enlarging" the term but, yet, it is doing progress based on a different kind of metric. We build this metric based on the following definitions and facts. The idea is that we can choose a reduction strategy such that the number of *redexes of a specific type* (to be defined soon) reduce. Here are the steps towards the proof. We omit redexes related to disjunction but the proof extends to such cases pretty easily.

> **Definition** The *degree of a type A* is defined as follows:
> - $\theta(P_i) = 1$ if P_i is atomic
> - $\theta(A \times B) = \theta(A \to B) = \theta(A) + \theta(B) + 1$

> **Definition** The *degree of a redex* is defined as follows:
> - Given that the type of $\lambda x.M$ is of type $A \to B$ then $d((\lambda x.M)N) = \theta(A \to B)$
> - Similarly, $d(\text{fst}\langle M, N \rangle) = \theta(A \times B)$ where $A \times B$ is the type of $\langle M, N \rangle$
> - Similarly for the other kinds of redexes.

> **Definition** The *degree of a term $d(t)$* is defined as the supremum of the degrees of its redexes.

Now we can prove the following facts:

> **Theorem.** 1. The degree of redex r is strictly larger than the degree of its type A: $\theta(A) < d(r)$
>
> 2. The degree of a redex (r) seen as term (t) can be smaller than its redex degree since it might include other redexes: $d(r) \leq d(t)$.
>
> 3. The term resulting from a substitution $M[N/x]$ has degree : $d(M[N/x]) \leq$ $max(d(M), d(N), \theta(A))$ where A is the declared type of x in the type context.

Which give us the following fact that suggests the induction principle that succeeds toward the proof.

> **Theorem.** If $M \mapsto M'$ then $d(M) < d(N)$ and hence, if $M \mapsto^+ N$ then $d(M) < d(N)$.

As a result we get a weak normalization theorem by induction on pairs $(d(M), k)$ where k is the number of redexes with degree $d(M)$.

> **Theorem. Weak Normalization Theorem** For every term $\Gamma \vdash M : A$ there exists a normalization strategy such that $M \mapsto^*_\beta N$ and N is a normal form.

Combining with previous results we get:

Theorem. Consistency There is no (closed) term M for which $\vdash M : \bot$

Suppose the opposite and obtain a contradiction using the previous theorem: there is no way to obtain a normal form of a bottom type from the rules.

A stronger result is the strong normalization theorem that says that *every* strategy in normalizing. This result is important in concurrent implementations of reduction since it implies that the order in which redexes are consumed does not matter during the evaluation of expression.

The important idea behind the technique – that generalizes to proof of strong normalization for more complex calculi– is the concept of reducibility predicates. Reducibility predicates give a stronger induction principle that delivers the desired result as a lemma. Reducibility predicates are sets of terms classified by their type. and are defined by induction on the structure of their type. We show strong normalization only for the $\rightarrow, \times$ fragment of the calculus but the technique generalizes (see, e.g. [69]).

Definition We define the predicate Red_A for a type A as follows and for closed terms M as follows:

- $M \in Red_{P_i}$ iff M is of atomic type P_i and M is normalizable.

- $M \in Red_{A \rightarrow B}$ iff M is of type $A \rightarrow B$ and $\forall N.N \in Red_A \implies (MN) \in Red_B$

- $M \in Red_{A \wedge B}$ iff M is of type $A \wedge B$, fst$(M) \in Red_A$ and snd$(M) \in Red_B$

Reducibility has the important following properties below where by *neutral*

we mean terms of the form $\langle M, N \rangle$, $\lambda x.M$

Theorem. 1. $M \in Red_A$ implies that M is normalizable.

2. $M \in Red_A$ and $M \mapsto_\beta^* N$ then $N \in Red_A$

3. If M is neutral then, $\forall Ns.tM \mapsto^\beta N.N \in Red_A \implies M \in Red_A$

4. If M is neutral and normal, then $M \in Red_A$

The proof goes by induction on the structure of type A. Now we are able to prove the following theorems:

> **Theorem.** • If M, N are reducible then so is $\langle M, N \rangle$
>
> • For all reducible M of type A if $N[M/x]$ is reducible then so is $\lambda x.N$

These results suffice to show the following theorem: Given $x_1 : A_1, \ldots, x_i : A_i \vdash M : A$ then and reducible terms $v_1 \in Red_{A_1}, \ldots v_i \in Red_{A_i}$ then $M[v_1/x_1 \ldots v_i/x_i] \in Red_A$ Out of which we get:

> **Theorem. Strong Normalization Theorem**
>
> Every term $\vdash M : A$ is strongly normalizing

3.3.1 The essence of proofs–as–programs

The proofs of normalization above are essentially of the same "proof strength" (induction principles) as the logical proof of cut elimination. In a nutshell, eliminating cuts is the same as normalizing proof terms (and the corresponding proof trees).

In reality, the slogan of the Curry-Howard isomorphism and, in general, of a type theoretic treatment to logic should be "Normalization as Cut Elimination". This aspect of the isomorphism can be shown explicitly follow Sieg's extraction method [70], that showcases how a construction of the Cut-free sequent calculus comes naturally from an analysis of normal proofs in the natural deduction. This result has been extremely useful through my research and I am expecting to revisit it in more detail at my thesis work.

3.3.2 Propositions as Types

There is a correspondence between propositions and types:

Propositions	Types
$\top$	1
$A \wedge B$	$A \times B$
$A \supset B$	function $A \to B$ or B^A
$\bot$	0
$A \vee B$	$A + B$

3.4 Categories for proof relevant *IPL*

In a Heyting Algebra, we have a preorder (or, partial order in the "textbook" definition) $\phi \leq \psi$ when ϕ implies ψ. *HAs* are insufficient, however, for the treatment of proof objects (there can be at most one instance of $\phi \leq \psi$ for specific ϕ,ψ). We can keep track of proofs, so if M is a proof from Γ to ψ, we want to think of it as a map $M : \Gamma+ \to \psi+$. In category theory [16], the analog

of a Heyting Algebra is that of a Bi–Cartesian Closed Category (*BiCCC*).
That is a category with all finite products, co–products and exponentials. For
an exposition of BiCCCs and their relation with intuitionistic logic [49]. The
axiomatization of a category (in general), finite (and nullary) products and
co-products and exponentials is given in this section.

3.4.1 Definitions and Axioms of a Category

A category has *objects* $\phi, \psi, \ldots$ and *arrows* $f, g, h \ldots$ Each arrow goes from
an object to an object. To say that g goes from ϕ to ψ we write $g : \phi \to \psi$, or
say that ϕ is the domain of g, and ψ the *co–domain*. We write $Dom(g) = \phi$
and $Cod(g) = \psi$. We say that two arrows f and g are *composable* with
$Dom(f) = Cod(g)$. If f and g are composable, they have a *composite*, an
arrow called $f \circ g$. There is an *identity* for every object ϕ.

$$\frac{}{\mathrm{id} : \phi \to \phi} \; \mathrm{ID}_{ex} \qquad\qquad \frac{f : \phi \to \psi \qquad g : \psi \to \chi}{g \circ f : \phi \to \chi} \; \textsc{Comp}$$

$$\frac{f : \phi \to \psi}{id_\psi \circ f = f : \phi \to \psi} \; \mathrm{ID}_l \qquad\qquad \frac{f : \phi \to \psi}{f \circ id_\phi = f : \phi \to \psi} \; \textsc{idr}$$

$$\frac{f : \phi \to \psi \qquad g : \psi \to \chi \qquad h : \chi \to \omega}{h \circ (g \circ f) = (h \circ g) \circ f : \phi \to \omega} \; \textsc{idr}$$

3.4.2 Terminal, Co-Terminal objects, Products and Co-Products

Now we can think about objects in the category that correspond to propositions given in the correspondence.

Terminal Object 1 is the terminal object, also called the final object, which corresponds to $\top$. For any object Γ there is a unique map $\Gamma \to 1$.

$$\frac{}{\langle\,\rangle : \phi \to 1}\ \text{Existence} \qquad \frac{M : \Gamma \to 1}{M = \langle\,\rangle : \Gamma \to 1}\ \text{Unicity}(\eta)$$

Product For any objects ϕ and ψ there is an object $\chi = \phi \times \psi$ equipped with arrows fst $: \phi \times \psi \to \phi$ and snd $: \phi \times \psi \to \psi$ that is the *product* of ϕ and ψ, which corresponds to the join $\phi \wedge \psi$. For any other object Γ with arrows $M : \Gamma \to \phi$ and $\Gamma \to \psi$ there exists *unique* arrow, $\langle M, N \rangle$ s.t. fst $\circ \langle M, N \rangle = M(\beta\times_1)$ and snd $\circ \langle M, N \rangle = N(\beta\times_2)$.

$$\frac{M : \Gamma \to \phi \qquad N : \Gamma \to \psi}{\langle M, N \rangle : \Gamma \to \phi \times \psi} \; \text{EXIST}_1$$

$$\frac{M : \Gamma \to \phi \qquad N : \Gamma \to \psi}{\text{fst} \circ \langle M, N \rangle : \Gamma \to \phi} \; \text{EXIST}_2(\beta_1)$$

$$\frac{M : \Gamma \to \phi \qquad N : \Gamma \to \psi}{\text{snd} \circ \langle M, N \rangle : \Gamma \to \phi} \; \text{EXIST}_3(\beta_2)$$

$$\frac{P : \Gamma \to \phi \times \psi \qquad \text{fst} \circ P = M : \Gamma \to \phi \qquad \text{snd} \circ P = N : \Gamma \to \psi}{P = \langle M, N \rangle : \Gamma \to \phi \times \psi} \; \text{UN}(\eta)$$

Diagrammatically:
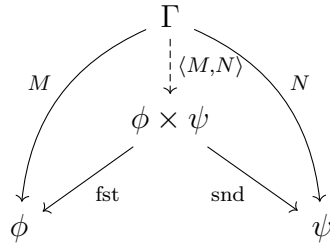
Exponentials Given objects A and B, an exponential B^A (which corresponds to $A \supset B$) is an object with the following universal property:

$$
\begin{array}{ccccc}
C & & C \times A & & \\
\Big\downarrow{\scriptstyle\lambda(h)} & & \Big\downarrow{\scriptstyle\lambda(h)\times\mathrm{id}_A} & \searrow{\scriptstyle h} & \\
B^A & & B^A \times A & \xrightarrow[\ \mathrm{ap}\ ]{} & B
\end{array}
$$

such that the diagram commutes.

This means that there exists a map $\mathrm{ap} : B^A \times A \to B$ (application map) that corresponds to implication elimination.

The universal property is that for all objects C that have a map $h :$ $C \times A \to B$, there exists a unique map $\lambda(h) : C \to B^A$ such that

$$
\mathrm{ap} \circ (\lambda(h) \times \mathrm{id}_A) = h : C \times A \to B
$$

This means that the diagram commutes. Another way to express the induced map is $\lambda(h) \times \mathrm{id}_A = \langle \lambda(h) \circ \mathrm{fst}, \mathrm{snd} \rangle$.

The map $\lambda(h) : C \to B^A$ is unique, meaning that

$$
\frac{\mathrm{ap} \circ (g \times \mathrm{id}_A) = h : C \times A \to B}{g = \lambda(h) : C \to B^A}
$$

Co–Products For any objects ϕ and ψ there is an object $\chi = \phi + \psi$ equipped with arrows $\mathrm{inl} : \phi \to \phi + \psi$ and $\mathrm{inr} : \psi \to \phi + \psi$ that is the

co-product of ϕ and ψ, which corresponds to the meet $\phi \wedge \psi$. For any other object ω with arrows $M : \omega \to \phi \vee \psi$ and $N : \omega \to \phi \vee \psi$ there exists *unique* arrow, M, N s.t. $\{M, N\} \circ \mathrm{inl} = M$ and $\{M, N\} \circ \mathrm{inr} = N$.

$$\frac{O : \Gamma \to \phi}{\mathrm{inl} \circ O : \Gamma \to \phi + \psi} \; \text{EXIST}_1 \qquad\qquad \frac{P : \Gamma \to \psi}{\mathrm{inr} \circ P : \Gamma \to \phi + \psi} \; \text{EXIST}_2$$

$$\frac{O : \Gamma \to \phi \qquad M : \phi \to \omega \qquad N : \psi \to \omega}{\{M, N\} \circ \mathrm{inl} \circ O = M \circ O : \Gamma \to \omega} \; \text{EXIST}_3(\beta_1)$$

$$\frac{P : \Gamma \to \psi \qquad M : \phi \to \omega \qquad N : \psi \to \omega}{\{M, N\} \circ \mathrm{inr} \circ P = N \circ P : \Gamma \to \omega} \; \text{EXIST}_3(\beta_2)$$

$$\frac{M : \Gamma \to \phi \qquad N : \Gamma \to \psi}{\mathrm{snd} \circ \langle M, N \rangle : \Gamma \to \phi} \; \text{EXIST}_3(\beta_2)$$

$$\frac{\begin{array}{c} O : \Gamma \to \phi \qquad P : \Gamma \to \psi \qquad U : \phi + \psi \to \omega \qquad M : \phi \to \omega \\ N : \psi \to \omega \qquad U \circ \mathrm{inl} \circ O = M \qquad U \circ \mathrm{inr} \circ N = M \end{array}}{U = \{M, N\}} \; \text{UN}(\eta)$$

Diagrammatically:

$$
\begin{array}{ccc}
\phi & & \psi \\
\end{array}
$$
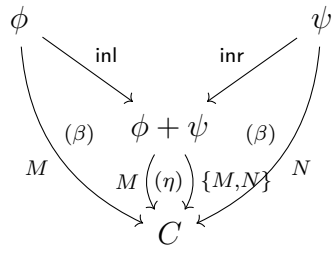
4

Justification Logic

In the second part of this paper I will give an overview of JL highlighting the parts that are closely related to constructivity to remain coherent with 2. I will emphasize LP, the very first logic of justification, and its deep relation with IPL. My scaffolding will be based upon [9], [8] that reflect this relation. Beforehand, I will allow for a more general discussion on JL following [5] and

other relevant papers.

4.1   A bird's eye view

According to [5]

> Justification logics are epistemic logics which allow knowledge
> and belief modalities to be "unfolded" into justification terms.

More specifically, in JL the modality in question is witnessed by a reason and propositions of the kind $\Box\phi$ become $t : \phi$ that reads "ϕ is justified by reason t". Witnesses in JL have structure and operations. Different choices of operators result in logics that explicate different modalities (K,T,$S4$,$S5$). In general, there is an infinite family of justification logics. For our purposes, and in addition to type theoretic approaches to logic, JL reveals a computational content for *validity* in classical terms. As we will see following [3], JL and especially its $S4$ counterpart *The Logic of Proofs* (LP), can provide a unified classical *semantics* for type theoretic formulations of intuitionistic logic. In addition, following TODO and [67], JL mechanics can be viewed type theoretically to provide for modal typed systems that enrich computational type theories with "semantical" notions such as explicit reflection and modular binding.

4.2   Minimal Justification Logic J_0

To permit for an account of reasons, the logic is enriched with an extra sort for j for justifications. The sort of propositions is then enriched with propositions of the kind $j : \phi$ with ϕ being a proposition. Here is the abstract syntax:

$$j :=s_i|\ C_i|j_1 * j_2|j_2 + j_2$$

$$\phi :=P_i|\ \bot|\ \phi_1 \wedge \phi_2|\ \phi_1 \vee \phi_2|\ \phi_2 \supset \phi_2|\ \neg\phi|\ j : \phi$$

Constants C_i are symbols that can be assigned to logic axioms that are assumed to be necessary. Weaker justifications logics exist without any assignment of constants (empty *constant specifications*) or with partial constant specifications. Nevertheless, in order for the *rule of necessitation* to be admissible each axiom instance of the underlying propositional logic has to be assigned a constant. We will be coming back to this topic in later sections. Symbols s_i stand for variables.

A Hilbert–style axiomatization of J_0 is given below. Its components are Hilbert's axioms for propositional logic together with two basic rules for justification: *applicativity* and *concatenation*. Concatenation internalizes weakening of proofs.

Propositional Axioms

P1. $\vdash \phi \supset (\psi \supset \phi)$

P2. $\vdash (\phi \supset (\psi \supset \chi)) \supset ((\phi \supset \psi) \supset (\phi \supset \chi))$

P3. $\vdash \phi \supset \psi \supset \phi \wedge \psi$

P4. $\vdash \phi \supset \psi \supset \psi \wedge \phi$

P5. $\vdash \phi \supset \phi \vee \psi$

P6. $\vdash \psi \supset \phi \vee \psi$

P7. $\vdash (\phi \supset \psi) \supset (\neg\psi \supset \neg\phi)$

Justification Axioms

Times. $\vdash \mathsf{j} : (\phi \supset \psi) \supset (\mathsf{j}' : \phi \supset \mathsf{j} * \mathsf{j}' : \psi)$

PlusL. $\vdash \mathsf{j} : \phi \supset (\mathsf{j} + \mathsf{j}' : \phi)$

PlusR. $\vdash \mathsf{j} : \phi \supset (\mathsf{j}' + \mathsf{j} : \phi)$

The rule of the system is *Modus Ponens*.

Modus Ponens

$$\frac{\phi \supset \psi \qquad \phi}{\psi} \ \text{MP}$$

For the rule of necessitation to be admissible, we need necessitation of axioms to be admissible. For that reason a constant specification is required. We focus here on axiomatically appropriate constant specification CS because of its relation to combinatorial calculi. An axiomatization of axiomatically appropriate CS given below. Elements of CS are pairs (C, ϕ) of polymorphic (i.e. *parametrized* over propositions) constants and propositions:

Axiomatic CS

$$\frac{}{\vdash (\mathsf{C_1}[\phi, \psi],\ \phi \to (\psi \to \phi)) \in \mathsf{CS}} \ \mathsf{C_1}$$

$$\frac{}{\vdash (\mathsf{C_2}[\phi, \psi, \chi],\ (\phi \supset (\psi \supset \chi)) \supset ((\phi \supset \psi) \supset (\phi \supset \chi)))) \in \mathsf{CS}} \ \mathsf{C_2}$$

$$\frac{}{\vdash (\mathsf{C_3}[\phi, \psi],\ \phi \supset \psi \supset \phi \wedge \psi) \in \mathsf{CS}} \ \mathsf{C_3}$$

$$\frac{}{\vdash (\mathsf{C_4}[\phi, \psi],\ \phi \supset \psi \supset \psi \wedge \phi) \in \mathsf{CS}} \ \mathsf{C_4}$$

$$\frac{}{\vdash (\mathsf{C_5}[\phi, \psi],\ \phi \supset \phi \vee \psi) \in \mathsf{CS}} \ \mathsf{C_5} \qquad \frac{}{\vdash (\mathsf{C_6}[\phi, \psi],\ \psi \supset \phi \vee \psi) \in \mathsf{CS}} \ \mathsf{C_6}$$

$$\frac{}{\vdash (\mathsf{C_7}[\phi, \psi],\ (\phi \supset \psi) \supset (\neg\psi \supset \neg\phi)) \in \mathsf{CS}} \ \mathsf{C_7}$$

$$\frac{}{\vdash (\mathsf{C_8}[\phi, \psi, j, j'],\ j : (\phi \supset \psi) \supset (j' : \phi \supset j * j' : \psi)) \in \mathsf{CS}} \ \mathsf{C_8}$$

$$\frac{\vdash (\mathsf{C}, \phi) \in \mathsf{CS}}{\vdash (\mathsf{C!},\ \mathsf{C} : \phi) \in \mathsf{CS}} \ C!$$

Finally we require reflection on CS:

Specification Reflection

$$\frac{\vdash (\mathsf{C}, \phi) \in \mathsf{CS}}{\vdash \mathsf{C} : \phi} \ \mathrm{CSR}$$

The system can be given a Natural Deduction formulation a la IPL since the following theorem holds:

Deduction Theorem For any set of propositional assumptions Γ, $\Gamma, \phi \vdash \psi$ implies $\Gamma \vdash \phi \supset \psi$

4.3   Epistemic motivation

JL as an epistemic logic departs from previous traditions of logic of knowledge based on universality judgments. From [5]

> The modal approach to the logic of knowledge is, in a sense, built around the universal quantifier: X is known in a situation if X is true in all situations indistinguishable from that one. Justifications, on the other hand, bring an existential quantifier into the picture: X is known in a situation if there exists a justification for X in that situation

This fresh approach on epistemic tradition has been utilized to solve many problems in formal epistemology (see [6]). We give here a solution to the famous 'Red barn problem' that is also a pedagogical example on how deduction in the system works.

The red barn problem can be stated as follows:

> Suppose I am driving through a neighborhood in which, unbeknownst to me, papier-mâché barns are scattered, and I see that

the object in front of me is a barn. Because I have barn-before-me

percepts, I believe that the object in front of me is a barn. Our

intuitions suggest that I fail to know barn. But now suppose that

the neighborhood has no fake red barns, and I also notice that the

object in front of me is red, so I know a red barn is there. This

juxtaposition, being a red barn, which I know, entails there being

a barn, which I do not, "is an embarrassment"

The red barn example can be represented in a system of modal logic where $\Box\phi$ represents knowledge of ϕ that, in contrast to the the justified approach, is forgetful with respect to reasons. The formalization and the accompanying problem go as follows:

1. $\Box B$, 'I believe that the object in front of me is a red barn'.

2. $\Box(B \wedge R)$, 'I believe that the object in front of me is a red barn'.

   At the metalevel, 2 is actually knowledge, whereas by the problem description, 1 is not knowledge.

3. $\Box(B \wedge R \supset B)$, a knowledge assertion of a logical axiom.

   Within this formalization, it appears that epistemic closure in its

   modal form (2) is violated:line 2, $\Box(B \wedge R)$, and line 3, $(B \wedge R \supset B)$

   are cases of knowledge whereas $\Box B$ (line 1) is not knowledge. The

   modal language here does not seem to help resolving this issue.

Of course, one can resolve this by introducing a second modality(e.g. for 'I

believe that'). But then similar problems can occur (e.g. by adding a third

modality read as 'it should be'). Indexing of modalities with reasons solves this problem in its generality: by permitting the applicative closure only on reasons of the same sort one can overcome this defect.

1. $u : B$, 'u is a reason to believe that the object in front of me is a barn';

2. $v : (B \wedge R)$, 'v is a reason to believe that the object in front of me is a red barn';

3. $a : (B \wedge R \supset B)$, because of logical awareness.

    On the metalevel, the problem description states that 2 and 3 are cases of knowledge, and not merely belief, whereas 1 is belief which is not knowledge. Here is how the formal reasoning goes:

4. $a : (B \wedge R \supset B) \supset (v : (B \wedge R) \supset a * v : B)$, by Times

5. $v : (B \wedge R) \supset a * v : B$, from 3 and 4, by propositional logic; $a * v : B$, from 2 and 5, by propositional logic.

4.4 Proof theoretic view

In 2 we gave an analytic account of the BHK principles of constructive proofs. In the paper "Eine Interpretation des intuitionistischen Aussagenkalküls ", Göedel gave a classical provability interpretation of BHK using the modal system S4.

The standard axiomatization of S4 is given below:

The system S4

P1 − P7

K. $\vdash \Box(\phi \supset \psi) \supset (\Box\phi \supset \Box\psi)$

T. $\vdash \Box\phi \supset \phi$

4. $\vdash \Box\phi \supset \Box\Box\phi$

Modus Ponens

$$\frac{\phi \supset \psi \qquad \phi}{\psi} \ \text{MP}$$

Göedel's result can be summarized in the following theorem:

Gödel-Tarski Translation of Intuitionistic Logic

$$\Gamma \vdash_{\mathsf{IPL}} \phi \to \Gamma \vdash_{\mathsf{S4}} \text{tr}(\phi)$$

where $\text{tr}(\phi)$ is obtained by ϕ by $\Box$-ing its subformulas.

After this result the state of the project of a classical interpretation of BHK semantics was as follows: IPC $\hookrightarrow$ S4 $\hookrightarrow$? $\hookrightarrow$ CLASSICAL PROOFS. Filling the missing part was the motivation behind LP, the first Justification Logic.

4.5 The Logic of Proofs

An axiomatization of LP with axiomatically appropriate constant specification as defined in 4.2 can be given as follows:

The system LP

$$\mathsf{P1} - \mathsf{P7}$$

$$\mathsf{Times.} \vdash j : (\phi \supset \psi) \supset (j' : \phi \supset j * j' : \psi)$$

$$\mathsf{PlusL.} \vdash j : \phi \supset (j + j' : \phi)$$

$$\mathsf{PlusR.} \vdash j : \phi \supset (j' + j : \phi)$$

$$\mathsf{T.} \vdash j : \phi \supset \phi$$

$$\mathsf{4.} \vdash j : \phi \supset (j! : j : \phi)$$

4.6 Metatheoretic Results

The *Deduction Theorem* holds for LP

Deduction Theorem Any deduction of the kind $\Gamma, \phi \vdash \psi$ implies $\Gamma \vdash \phi \supset \psi$.

Also, the lifting property can be obtained:

Lifting Lemma

Any deduction of the kind $\vec{j} : \Gamma, \Delta \vdash \phi$ implies $\vec{j} : \Gamma, \vec{s} : \Delta \vdash j'(\vec{j}, \vec{s}) : \phi$ where $\vec{j}$ is a vector metavariables to be substituted for arbitrary

polynomials and $\vec{s}$ is a vector of (object) variables.

In addition, LP is the forgetful projection of $S4$. More specifically, consider and formula of LP ϕ and the transformation $F_\Box(\phi)$ that replaces all subformulae of ϕ of the kind $j : \phi'$ with $\Box\phi'$. The following theorem holds:

Forgetful Projection Property

$\Gamma \vdash_{\mathsf{LP}} \phi$ implies $\Gamma \vdash_{\mathsf{S4}} F_\Box(\phi)$

The inverse also holds as the realization theorem says. Before introducing the realization procedure we give a motivating example.

Example: Realization of $\vdash_{\mathsf{S4}} \Box\phi \vee \Box\psi \supset \Box(\phi \vee \psi)$

1. $\phi \supset \phi \vee \psi$, $\psi \supset \phi \vee \psi$ Prop. Axioms;

2. $C : (\phi \supset \phi \vee \psi)$, $C' : (\psi \supset \phi \vee \psi)$ From CS rules.

3. $s : \phi \supset C * s : \phi \vee \psi$, From 1,2 andTimes and MP

4. $t : \psi \supset C' * t : \phi \vee \psi$, Similarly

5. $C*s : \phi\vee\psi \supset (C*s+C'*t) : \phi\vee\psi$ and $C'*t : \phi\vee\psi \supset (C*s+C'*t) : \phi\vee\psi$, From Rplus, Lplus

6. $s : \phi \supset (C * s + C' * t) : \phi \vee \psi$, From 3,5 by Propositional Logic.

7. $t : \psi \supset (C * s + C' * t) : \phi \vee \psi$, From 4,5 by Propositional Logic.

8. $s : \phi \vee t : \psi \supset (C * s + C' * t) : \phi \vee \psi$, From 6,7 and Propositional Logic.

4.6.1 Realization

The realization theorem gives an algorithmic process for transforming cut-free deductions in S4 to LP. By an LP-realization of a modal formula ϕ we mean an assignment of proof polynomials to all occurrences of the modality in ϕ. Let ϕ^r be the image of ϕ under a realization r.

The polarity of $\square$s in a formula is relevant in realizations. We define positive and negative occurrences of modality in a formula and a sequent.

$\square$ Polarities

1. The indicated occurrence of $\square$ in $\square\phi$ is of positive polarity;

2. any occurrence of $\square$ in the subformula ϕ of $\psi \supset \phi$, $\psi \wedge \phi$, $\phi \wedge \psi$, $\psi \vee \phi$, $\phi \vee \psi$, $\square\phi$, $\Gamma \Rightarrow \Delta, \phi$ – we will be defining $\Rightarrow$ momentarily – has the same polarity as the same occurrence of $\square$ in ϕ.

3. any occurrence of $\square$ in the subformula ϕ of $\neg\phi$, $\phi \supset \psi$, $\Gamma, \phi \Rightarrow \Delta$, has polarity opposite to the polarity of the very same occurrence of $\square$ in ϕ.

Next we give a a cut-free sequent formulation of S4 (reference) with sequents $\Gamma \vdash \Delta$, where Γ and Δ are finite multisets of modal formulas. The left hand multisets are to be read conjunctively and the right hand ones disjunctively. The rules are the rules given below together with the typical structural ones.

$$\frac{}{\Gamma, \phi \vdash \phi, \Delta} \text{ Refl} \qquad \frac{\Gamma \vdash \phi, \Delta}{\Gamma, \neg\phi \vdash \Delta} \neg\text{L} \qquad \frac{\phi, \Gamma \vdash \Delta}{\Gamma \vdash \neg\phi, \Delta} \neg\text{R}$$

$$\frac{\Gamma, \phi, \psi \vdash \Delta}{\Gamma, \phi \wedge \psi \vdash \Delta} \wedge\text{L} \qquad \frac{\Gamma \vdash \phi, \Delta \qquad \Gamma \vdash \psi, \Delta}{\Gamma \vdash \phi \wedge \psi, \Delta} \wedge\text{L}$$

$$\frac{\Gamma, \phi \vdash \Delta \qquad \Gamma, \psi \vdash \Delta}{\Gamma, \phi \vee \psi \vdash \Delta} \vee\text{L} \qquad \frac{\Gamma \vdash \phi, \psi, \Delta}{\Gamma \vdash \phi \vee \psi \vdash \Delta} \vee\text{R}$$

$$\frac{\Gamma \vdash \phi, \Delta \qquad \Gamma\psi \vdash \Delta}{\Gamma, \phi \supset \psi \vdash \Delta} \supset\text{L} \qquad \frac{\Gamma \vdash \phi, \Delta \qquad \Gamma\psi \vdash \Delta}{\Gamma, \phi \supset \psi \vdash \Delta} \supset\text{R}$$

$$\frac{\phi, \Gamma \vdash \Delta}{\Box\phi, \Gamma \vdash \Delta} \Box\text{L} \qquad \frac{\Box\Gamma \vdash \phi, \Delta}{\Box\Gamma \vdash \Box\phi, \Delta} \Box\text{R}$$

Relevant in the realization proof is the sequent formulation of LP, the system LPG which enjoys the cut-elimination property resulting in the system LPG$^-$. The rules relevant to justifications are given below.

$$\frac{\Gamma, \phi \vdash \phi, \Delta}{\Gamma, t : \phi \vdash \phi, \Delta} \; :\text{L} \qquad \frac{\Gamma \vdash t : phi, \Delta}{\Gamma \vdash !t : t : \phi, \Delta} \; !\text{R} \qquad \frac{\Gamma \vdash t : \phi, \Delta}{\Gamma \vdash (t + s) : \phi, \Delta} \; +\text{L}$$

$$\frac{\Gamma \vdash t : \phi, \Delta}{\Gamma \vdash (s + t) : \phi, \Delta} \; +\text{R} \qquad \frac{\Gamma \vdash s : \phi \supset \psi, \Delta \qquad \Gamma \vdash t : \phi, \Delta}{\Gamma \vdash s * t : \psi, \Delta} \; *\text{R}$$

$$\frac{\Gamma \vdash \phi, \Delta}{\Gamma \vdash c : \phi, \Delta} \; c\text{R}$$

Utilizing the previous systems the realization theorem shows:

Realization Theorem If $\Gamma \vdash_{\mathsf{S4}} \phi$ then there is a *normal* realization s.t. $\Gamma \vdash_{\mathsf{LP}} \phi^r$. By normal we mean a realization for which all occurrences of $\Box$ are realized by proof variables and the corresponding constant specification is injective.

4.6.2 Kripke - Fitting Semantics

In this section I will be discussing Kripke – Fitting Semantics[33] for Justification Logic $\mathsf{J_0} + \mathsf{CS}$ very briefly.

A possible world justification logic model for the system $\mathsf{J_0} + \mathsf{CS}$ is a structure $M = \langle G, R, E, V \rangle$. $\langle G, R \rangle$ is a standard K frame, where G is a set of possible worlds and R is a binary relation on it. V is a mapping from propositional variables to subsets of G, specifying atomic truth at possible

worlds. E is an evidence function that maps pairs of justification terms and formulas to sets of worlds.

Given such a model, we define the $\models$ relation as follows:

$\forall \Gamma \in G$

$\quad M, \Gamma \models P$ iff $\Gamma \in V(P)$ for P a propositional letter

- It is not the case that $M, \Gamma \models \bot$
- $M, \Gamma \models \phi \supset \psi$ iff it is not the case that $M, \Gamma \models \phi$ or $M, \Gamma \models Y$
- $M, \Gamma \models (j : \phi)$ if and only if $\Gamma \in E(j, \phi)$ and, $\forall \Delta \in G$ with $\Gamma R \Delta$, we have that $M, \Delta \models \phi$.

The following conditions on evidence functions are assumed:

$E(j, \phi \supset \psi) \cap E(j', \phi) \subseteq E(j * j', \psi)$

$E(j, \phi) \cup E(j', \phi) \subseteq E(j + j', \phi)$

Finally, the Constant Specification CS should be taken into account. Recall that constants are intended to represent reasons for basic assumptions that are accepted outright. A model $M = \langle G, R, E, V \rangle$ meets Constant Specification CS provided: if $(C, \phi) \in CS$ then $E(c, \phi) = G$.

Typical, soundness and completeness results can be shown for such models. They can also be extended for all other justification logics.

5

Curry – Howard view of justification logic

In this and the following chapter we suggest reading a constructive necessity of a formula ($\Box A$) as internalizing a notion of constructive truth of A (a proof within a deductive system I) and validity of A (a proof under an

interpretation $[\![A]\!]_J$ within some system J). An example of such a relation is provided by the simply typed lambda calculus (as I) and its implementation in SK combinators (as J). We utilize justification logic to axiomatize the notion of validity-under-interpretation and, hence, treat a "semantical" notion in a purely proof-theoretic manner. We present the system in Gentzen-style natural deduction formulation and provide reduction and expansion rules for the $\square$ connective. Finally, we add proof-terms and proof-term equalities to obtain a corresponding calculus (Jcalc^-) in the next chapter. The obtained system can be viewed as an extension of the Curry–Howard isomorphism with justifications. We provide standard metatheoretic results and suggest a programming language interpretation in languages with foreign function interfaces (*FFI*s).

5.1   Introduction: Necessity and Constructive Semantics

In his seminal "Explicit Provability and Constructive Semantics" [4] Artemov developed a constructive, proof-theoretic semantics for BHK proofs [74] in what turned out to be the first development of a family of logics that we now call justification logic. The general idea, upon which we build our calculus, is that semantics of a deductive system I can be viewed in a solely proof-theoretic manner as mappings of proof constructs of I into another proof system J (which we call justifications). As an example one could think

I being Heyting arithmetic and *J* some "stronger" system (e.g. a classical axiomatization of Peano arithmetic, a classical or intuitionistic set theory etc). In Artemov's work *I* is assumed to be based on intuitionistic logic and *J* on classical logic. We, initially, mute such assumptions to focus exclusively on the mechanics of necessity in this framework. We recover them later and study their relation to the Rule of Necessitation for our system. What's more, such a semantic relation can be treated logically giving rise to a modality of explicit necessity. Different sorts of necessity (*K*, *D*, *S*4, *S*5) have been offered an explicit counterpart under the umbrella of justification logic. Some of them have been studied within a Curry–Howard setting [14]. Our paper focuses on *K* modality and should be viewed as the counterpart of [21] with justifications as we explain in 6.2.

5.1.1 Deductive Systems, Validity and Necessity

Following a framework championed by Lambek [48, 50], let us assume two deductive systems *I* (with propositional universe U_I, a possibly non-empty signature of axioms Σ_I and an entailment relation $\Sigma_I; \Gamma \vdash_I A$) and *J* (resp. with U_J, Σ_J and $\Sigma_J; \Delta \vdash_J \phi$). We will be using Latin letters for the formulae of *I* and Greek letters for the formulae of *J*. We will be omitting the Σ signatures when they are not relevant.

For the entailment relations of the two systems we require the following elementary principles[1]:

---

[1]We are not excluding other connectives but by imposing such minimal requirements

1. *Reflexivity.* In both relations Γ and Δ are multisets of formulas (contexts) that enjoy reflexivity:

$$A \in \Gamma \Longrightarrow \Gamma \vdash_I A$$

$$\phi \in \Delta \Longrightarrow \Delta \vdash_J \phi$$

2. *Compositionality.* Both relations are closed under deduction composition:

$$\Gamma \vdash_I A \text{ and } \Gamma', A \vdash_I B \Longrightarrow \Gamma, \Gamma' \vdash_I B$$

$$\Delta \vdash_J \phi \text{ and } \Delta', \phi \vdash_J \psi \Longrightarrow \Delta, \Delta' \vdash_J \psi$$

3. *Top.* Both systems have a distinguished top formula $\top$ for which under any Γ, Δ:

$$\Gamma \vdash_I \top_I \text{ and } \Delta \vdash_J \top_J$$

Now we can define:

Definition. Given a deductive system I, an *interpretation for I*, noted by $[\![\bullet]\!]_J$, is a pair $(J, [\![\bullet]\!])$ of a deductive system J together with a (functional) mapping $[\![\bullet]\!] : U_I \to U_J$ on propositions of I into propositions of J extended to multisets of formulae of U_I with the following properties:

1. *Top preservation.* $[\![\top_I]\!] = \top_J$

---

we show that "necessity" ($\square$) connective can be treated generically and orthogonally of the presence of other connectives

2. *Structural interpretation of contexts.* For Γ contexts of the form $A_1, \ldots, A_n$:

$$[\![\Gamma]\!] = [\![A_1]\!], \ldots, [\![A_n]\!]$$

(trivially empty contexts map to empty contexts. As in [48] they can be treated as the $\top$ element).

Definition. Given a deductive system I and an interpretation $[\![\bullet]\!]_J$ for I we define a *corresponding validation of a deduction* $\Sigma_I; \Gamma \vdash_I A$ as a deduction $\Sigma_J; \Delta \vdash_J \phi$ in J such that $[\![A]\!] = \phi$ and $\Delta = [\![\Gamma]\!]$. We will be writing $[\![\Sigma_I; \Gamma \vdash_I A]\!]_J$ to denote such a validation.

Definition. Given a deductive system I, we say that an interpretation $[\![\bullet]\!]_J$ is *logically complete* when for all purely logical deductions $\mathcal{D}$ (i.e. deductions that make no use of Σ_I) in I there exists a corresponding (purely logical) validation $[\![\mathcal{D}]\!]$ in J. i.e.

$$\forall \mathcal{D}. \ \mathcal{D} : \Gamma \vdash_I A \Longrightarrow \exists [\![\mathcal{D}]\!] : [\![\Gamma \vdash A]\!]_J$$

2

---

[2]Note, that we require existence but not uniqueness. Nevertheless, if we treat deductive systems in a proof irrelevant manner as preorders the above definition gives uniqueness vacuously. In a more refined approach where I and J are viewed as categories of proofs the above "logical completeness" translates to the requirement that if the set of (purely logical) arrows $Hom_I(\Gamma, A)$ is non empty then $Hom_J([\![\Gamma]\!], [\![A]\!]_J)$ cannot be empty (i.e. that $[\![\bullet]\!]_J$ can be extended to a functor). We leave a complete categorical semantics of our logic for future work but we expect a generalization of the endofunctorial interpretations of K modality appearing in [21, 46].

Examples of triplets $(I,\ J,\ [\![\bullet]\!]_J)$ of logical systems that fall under the definition above are: any intuitionistic system mapped to a classical one under the embedding $[\![A \supset B]\!] = \tilde{\neg}A\tilde{\vee}B$ where $\tilde{\neg}$ and $\tilde{\vee}$ are classical connectives, the opposite direction under double negation translation, an intuitionistic system mapped to another intuitionistic system (i.e. a mapping of atomic formulas of I to atomic formulas of J extended naturally to the intuitionistic connectives or, simply, the identity mapping) etc. A vacuous validation (when $[\![\bullet]\!]_J$ maps everything to $\top$) gives another example.

The main thesis that is exposed in this chapter is that this notion of "double proof" (reasoning about proofs that exists in two related systems) provides for an understanding of necessity in proof theoretic terms. In addition, we argue, that this is the driver of (at least) the simplest form of necessity (K) that appears in justification logic (*necessity as internalization*). We will focus on the case where I (the propositional part of our logic) is based on the implicative fragment of intuitionistic logic and show how justification logic provides for an axiomatization of such logically complete interpretations $[\![\bullet]\!]_J$ of implicative intuitionistic logic. In what follows we provide a natural deduction for an intuitionistic system I (truth), an axiomatization/specification of $[\![\bullet]\!]_J$ (treated abstractly as a function symbol on types) and a treatment of basic necessity that relates the two deductions by internalizing a notion of "double truth" (proof in I and existence of corresponding validation in J).

5.2 Judgments of Jcalc⁻

We aim for a reading of necessity that internalizes a notion of "double proof" in two deductive systems. Motivated by the discussion and definitions in the previous section we will treat the notion of interpretation abstractly – as a function symbol on types – and axiomatize in accordance. Intuitively we want:

$$\Box A \text{ true} := A \text{ true } \& A \text{ valid} = A \text{ true in I } \& \llbracket A \rrbracket \text{ true in J}$$

We will be dropping indexes I, J since they can be inferred by the different kinds of assumption contexts. In addition, we omit signatures Σ since they do not offer anything from a logical perspective.

Logical entailment for the proposed $\Box$ connective can be summarized easily given our previous discussion. Given a deduction $\mathcal{D} : A \vdash B$ and the existence of validation $\llbracket \mathcal{D} \rrbracket : \llbracket A \rrbracket \vdash \llbracket B \rrbracket$ then given $\Box A$ (i.e. a proof of a $\vdash A$ and a validation $\vdash \llbracket A \rrbracket$) we obtain a double proof of B (and hence, $\Box B$) by *compositionality* of the underlying systems. Using standard, proof tree notation with labeled assumptions we formulate our rule of the connective in natural deduction:

$$\cfrac{\Box A \qquad \cfrac{\overset{\displaystyle \overline{}\, x}{A} \quad\quad \overset{\displaystyle \overline{}\, s}{\llbracket A \rrbracket}}{\begin{matrix} \vdots & \vdots \\ B & \llbracket B \rrbracket \end{matrix}}}{\Box B} \; I_{\Box B} E_{\Box A}^{x,s}$$

We can, easily, generalize to $\square$ed contexts (of the form $\square A_1, \ldots, \square A_i$) of arbitrary length:

$$
\cfrac{
\begin{array}{ccc}
& \cfrac{\rule{3cm}{0.4pt}}{\Gamma' : A_1, \ldots A_i} \vec{x} & \cfrac{\rule{3cm}{0.4pt}}{[\![\Gamma']\!] : [\![A_1]\!], \ldots [\![A_i]\!]} \vec{s} \\
\vdots & \vdots & \vdots \\
\square A_1 \ldots \square A_i & B & [\![B]\!]
\end{array}
}{\square B} \; I_{\square B} E^{\vec{x}, \vec{s}}_{\square A_1 \ldots \square A_i}
$$

We read as "Introducing $\square B$ after eliminating $\square A_1 \ldots \square A_i$ crossing out (vectors of) labels $\vec{x}, \vec{s}$". Interestingly, the same rule eliminates boxes and introduces new ones. This is not surprising for K modality (it is a left-right rule as we will see (5.2.4). See also discussion in [21, 23]). We will be referring to this rule as "$\square$ Intro–After–Elim" or, simply $\square_{IE}$, from now on.

Note that we define the $\square$ connective negatively, yet (pure) introduction rules for the $\square$ connective are derivable. Such are instances of the previous Intro–After–Elim rule when Γ' is empty which conforms exactly with the idea of necessity internalizing double theoremhood.

$$
\cfrac{\vdash B \qquad \vdash [\![B]\!]}{\square B} \; I_{\square B}
$$

In the next section, we provide the whole calculus in natural deduction format. As expected we will extend the implicational fragment of intuitionistic logic with

- Judgments about validity (justification logic).
- Judgments that relate truth and validity (modal judgments).

5.2.1   Natural Deduction for Jcalc$^-$

The treatment of necessity in the previous section is completely orthogonal
to the underlying systems (it just assumes the basic requirements stated
for the behavior $\llbracket \dot{\rrbracket}$). In this section we will provide a full calculus and in
congruence with justification logic we will assume that the underlying system
(I) is a fragment of intuitionistic logic (the 'negative' to be precise). The host
theory J can still remain unspecified, but the choice of I informs for some
specifications (in order to preserve completeness of logical deductions).

Following type theory conventions, we first provide rules underlying type
construction, then rules for well-formedness of (labeled) assumption contexts
and rules introducing and eliminating connectives. The rules below should
be obvious except for small caveat. On the one hand, the type universe of U_I
and the proof trees of I are inductively defined as usual; on the other hand,
the host theory J (its corresponding universe, connectives and proof trees)
is "black boxed". What we actually axiomatize are the properties that all
(logic preserving) interpretations of I should conform to, independently of
the specifics of the host theory. Validity judgments should thus be read as
specifications of provability (existence of proofs) of any candidate J.

When we write $\llbracket \Gamma \rrbracket \vdash \llbracket \phi \rrbracket$ it reads as there exists derivation $\mathcal{D} : \Delta \rrbracket \vdash_J \psi \rrbracket$
s.t. $\Delta = \llbracket \Gamma \rrbracket$ and $\psi = \llbracket \phi \rrbracket$)

We use Prop_0 to denote the type universe of I and $\llbracket \mathsf{Prop}_0 \rrbracket$ to denote its
image under an interpretation, Prop_1 denotes modal ("boxed") types and
Prop the union of $\mathsf{Prop}_0, \mathsf{Prop}_1$. We write P_k with k ranging in some subset

of natural numbers to denote atomic propositions in I.

Judgments on Type Universe(s)

$$\frac{\rule{0pt}{0pt}}{P_k \in \mathsf{Prop}_0} \ \textsc{Atom} \qquad \frac{\rule{0pt}{0pt}}{\top \in \mathsf{Prop}_0} \ \textsc{Top} \qquad \frac{A \in \mathsf{Prop}_i \qquad B \in \mathsf{Prop}_j}{A \wedge B \in \mathsf{Prop}_{\mathsf{max}(i,j)}} \ \textsc{Conj}$$

$$\frac{A \in \mathsf{Prop}_0}{\Box A \in \mathsf{Prop}_1} \ \textsc{Box} \qquad \frac{A \in \mathsf{Prop}_i \qquad B \in \mathsf{Prop}_j}{A \supset B \in \mathsf{Prop}_{\mathsf{max}(i,j)}} \ \textsc{Arr} \qquad \frac{A \in \mathsf{Prop}_0}{[\![A]\!] \in [\![\mathsf{Prop}_0]\!]} \ \textsc{Brc}$$

For labeled contexts of assumptions we require standard wellformedness conditions (i.e. uniqueness of labels). We use letters x_i, or simply x, for labels of contexts with assumptions in Prop_0, x_i' or simply x' for contexts with assumptions in Prop_1 and s_i, or simply s, for $[\![\mathsf{Prop}_0]\!]$ contexts. We use $\circ$ and $\Box\circ$ for the empty context of Prop_0 and Prop_1 respectively and $\dagger$ for the empty context of $[\![\mathsf{Prop}_0]\!]$. We abuse notation and write $x : A \in \Gamma$ (or, similarly, $s : [\![A]\!] \in \Delta$) to denote that the label x is assigned type A in Γ; or $\Gamma \in \mathsf{Prop}_0$ instead of $\Gamma \vdash \mathsf{wf}_0$ (resp. $\Gamma \in \mathsf{Prop}_1$, $\Delta \in [\![\mathsf{Prop}_0]\!]$) to denote that Γ is a wellformed context with co–domain of elements in Prop_0 (resp. in Prop_1, $[\![\mathsf{Prop}_0]\!]$). For $\Gamma \in \mathsf{Prop}_0$ we define $[\![\Gamma]\!]$ as the lifting of the context Γ through the $[\![\bullet]\!]$ symbol (with appropriate renaming of variables – e.g. $x_i \rightsquigarrow s_i$), similarily we define the $\Box\Gamma$ operation . For the vacuous cases when Γ is the empty context we require $[\![\circ]\!] = \dagger$ and $\Box\Gamma = \Box\circ$ to be well formed.

Judgments on Context Wellformedness

$$\frac{}{\circ \vdash \mathsf{wf}_0} \; \text{N{\small IL}} \qquad\qquad \frac{\Gamma \vdash \mathsf{wf}_0 \qquad A \in \mathsf{Prop}_0 \qquad x \notin \Gamma}{\Gamma, x : A \vdash \mathsf{wf}_0} \; \Gamma\text{-E{\small XT}}$$

$$\frac{}{\dagger = [\![\circ]\!] \vdash [\![\mathsf{wf}_0]\!]} \; [\![\; \text{N{\small IL}} \;]\!] \qquad \frac{\Gamma \vdash \mathsf{wf}_0}{[\![\Gamma]\!] \vdash [\![\mathsf{wf}_0]\!]} \; [\![\Gamma]\!] \qquad \frac{}{\Box\circ \vdash \mathsf{wf}_1} \; \Box\,\text{N{\small IL}} \qquad \frac{\Gamma \vdash \mathsf{wf}_0}{\Box\Gamma \vdash \mathsf{wf}_1} \; \Box\Gamma$$

In the following entry we define proof trees (in turnstile representation) of the intuitionistic source theory I. For all following rules we assume $\Gamma, A, B \in \mathsf{Prop}_0$:

Judgments on Truth $\Gamma, A, B \in \mathsf{Prop}_0$

$$\frac{x : A \in \Gamma}{\Gamma \vdash A} \; \Gamma_0\text{-R{\small EFL}} \qquad\qquad \frac{}{\Gamma \vdash \top} \; \top_0\text{I} \qquad\qquad \frac{\Gamma, x : A \vdash B}{\Gamma \vdash A \supset B} \; \supset_0\text{I}$$

$$\frac{\Gamma \vdash A \supset B \qquad \Gamma \vdash A}{\Gamma \vdash B} \; \supset_0\text{E}$$

For the calculus of interpretation (validity) we demand context reflexivity, compositionality and logical completeness with respect to intuitionistic implication. Logical completeness is specified axiomatically, since the host theory is "black boxed". Following justification logic, we use an axiomatic characterization of combinatory logic (for $\supset$) together with the requirement that the interpretation preserves modus ponens:

Judgments on Validity with $\Delta \in [\![\mathsf{Prop}_0]\!]$

$$\frac{s : [\![A]\!] \in \Delta}{\Delta \vdash [\![A]\!]} \; \Delta\text{-}\mathrm{Refl} \qquad \frac{}{\Delta \vdash [\![\top]\!]} \; \mathrm{Ax}_1 \qquad \frac{A, B \in \mathsf{Prop}_0}{\Delta \vdash [\![A \supset (B \supset A)]\!]} \; \mathrm{Ax}_2$$

$$\frac{A, B, C \in \mathsf{Prop}_0}{\Delta \vdash [\![A \supset (B \supset C) \supset ((A \supset B) \supset (A \supset C))]\!]} \; \mathrm{Ax}_3$$

$$\frac{A, B \in \mathsf{Prop}_0}{\Delta \vdash [\![A \supset (B \supset A \wedge B)]\!]} \; \mathrm{Ax}_4 \qquad \frac{A, B \in \mathsf{Prop}_0}{\Delta \vdash [\![A \wedge B \supset A]\!]} \; \mathrm{Ax}_5$$

$$\frac{A, B \in \mathsf{Prop}_0}{\Delta \vdash [\![A \wedge B \supset B]\!]} \; \mathrm{Ax}_6 \qquad \frac{\Delta \vdash [\![A \supset B]\!] \quad \Delta \vdash [\![A]\!]}{\Delta \vdash [\![B]\!]} \; \mathrm{MP}$$

Finally, we have judgments in the $\square$ed universe (Prop_1). These are context reflection, the $\square$ Intro-After-Elim rule, and the rules for intuitionistic implication between $\square$ed types [3].

---

[3] The implication and elimination rules in Prop_1 actually coincide with the ones in Prop_0 since we are focusing on the case where I is intuitionistic. This need not necessarily be the case as we have explained. Intuitionistic implication among $\square$ types should be read as "double proof of A implies double proof of B" and would still be defined even if we did not observe any kind of implication in I. Similarly, one could provide intuitionistic conjunction or disjunction between $\square$ types independently of I and, vice versa, one could add connectives in I that are not observed between $\square$ed types.

Judgments on Necessity with $\Gamma \in \mathsf{Prop}_1$**,** $\mathsf{length}(\Gamma) = i$**,** $1 \leq k \leq i$ **and,** $\Gamma', A, A_k, B \in$ Prop_0

$$\frac{x' : \Box A \in \Gamma}{\Gamma \vdash \Box A} \; \Gamma_1\text{-}\textsc{Refl}$$

$$\frac{(\forall A_i \in \Gamma'. \; \Gamma \vdash \Box A_i) \qquad \Gamma' \vdash B \qquad [\![\Gamma']\!] \vdash [\![B]\!]}{\Gamma \vdash \Box B} \; I_{\Box B} E^{\vec{x},\vec{s}}_{\Box A_1 \dots \Box A_i}$$

$$\frac{\Gamma, x' : \Box A \vdash \Box B}{\Gamma \vdash \Box A \supset \Box B} \; \supset_1 I \qquad\qquad \frac{\Gamma \vdash \Box A \supset \Box B \qquad \Gamma \vdash \Box A}{\Gamma \vdash \Box B} \; \supset_1 E$$

(Pure) $\Box I$ as derivable rule

We stress here that $\Box$ can be introduced positively with the previous rule with $\Gamma' = \circ$. The first premise reduces to a simple requirement that $\Gamma \in \mathsf{Prop}_1$.

$$\frac{\circ \vdash A \qquad \dagger \vdash [\![A]\!]}{\Gamma \vdash \Box A} \; I_{\Box A}$$

A simple derivation

We show here that the K axiom of modal logic is a theorem (omitting some obvious steps). In the following

$\Gamma := x'_1 : \Box(A \supset B), x'_2 : \Box A, \; \Gamma' = x_1 : A \supset B, x_2 : A, \; [\![\Gamma']\!] = s_1 : [\![A \supset B]\!], s_2 : [\![A]\!]$

$$\cfrac{\cfrac{\cfrac{\Gamma \vdash \Box(A \supset B) \quad \Gamma \vdash \Box A \quad \Gamma' \vdash B \quad [\![\Gamma']\!] \vdash [\![B]\!]}{\Box(A \supset B), \Box A \vdash \Box B} I_{\Box A} E^{x_1,x_2,s_1,s_2}_{\Box A \supset B, \Box A}}{\Box(A \supset B) \vdash \Box A \supset \Box B} \supset_1 I}{\circ \vdash \Box(A \supset B) \supset \Box A \supset \Box B} \supset_1 I$$

5.2.2 Logical Completeness, Admissibility of Necessitation and Completeness with respect to Hilbert Axiomatization

Here we give a Hilbert axiomatization of the $\supset$ fragment of intuitionistic K logic in order to compare it with our system. Here $\vdash^{\mathcal{H}}$ captures the textbook (metatheoretic) notion of "deduction from assumptions" in a Hilbert style axiomatization. We assume the restriction of the system to formulas up to modal degree 1.

Hilbert Style Formulation

$\text{AX}1.\ A \supset (B \supset A)$ $\qquad$ $\text{AX}2.\ (A \supset (B \supset C)) \supset ((A \supset B) \supset (A \supset C))$

$\qquad\qquad\qquad\qquad\qquad\qquad \text{MP}\ \cfrac{A \supset B \quad A}{B} \qquad \text{Nec}\ \cfrac{\vdash^{\mathcal{H}} A}{\Box A}$

$\text{K}.\ \Box(A \supset B) \supset \Box A \supset \Box B$

It is easy to verify that axioms 1, 2 are derived theorems of Jcalc$^-$ in Prop$_0$. The rule Modus Ponens is also admissible trivially, whereas axiom K was shown to be a theorem in the previous section (5.2.1). The rule of Necessitation is not obviously admissible though. In our reading of necessity

the admissibility of this rule is directly related to the requirement of "logical completeness of the interpretation" i.e. preservation of logical theoremhood. In general, adding more connectives in I would require additional specifications for the host theory to obtain necessitation.

The steps of the proof are given in the Appendix, but this is essentially the "lifting lemma" in justification logic [4]. The proof fully depends on the provability requirements imposed in the $[\![\mathsf{Prop_0}]\!]$ fragment.

$\Box$**Lifting Lemma** In $\mathsf{Jcalc^-}$, for every $\Gamma, A \in \mathsf{Prop_0}$ if $\Gamma \vdash A$ then $[\![\Gamma]\!] \vdash [\![A]\!]$ and, hence, $\Box\Gamma \vdash \Box A$.

We get admissibility of necessitation as a lemma for Γ empty:

Admissibility of Necessitation For $A \in \mathsf{Prop_0}$, if $\circ \vdash A$ then $\circ \vdash \Box A$.

As a result:

Completeness $\mathsf{Jcalc^-}$ is complete with respect to the Hilbert style formulation of degree-1 intuitionistic K modal logic.

5.2.3 Harmony: Local Soundness and Local Completeness

Before we move on to show (Global) Soundness we provide evidence for the so called "local soundness" and "local completeness" of the $\Box$ connective following Gentzen's dictum. The local soundness and completeness for the

$\supset$ connective is given elsewhere (e.g. [68]) and in Gentzen's original [**?**].

Gentzen's program can be described with the following two slogans:

a. Elim is left-inverse to Intro

b. Intro is right-inverse to Elim

Applied to the $\square$ connective, the first principle says that introducing a $\square A$ (resp. many $\square A_1, \ldots, \square A_i$) only to eliminate it (resp. them) directly is redundant. In other words, the elimination rule cannot give you more data than what were inserted in the introduction rule(s) ("elimination rules are not *too* strong"). We show first the "Elim-After-Singleton-Intro" sub-case.

$$
\cfrac{\cfrac{\begin{array}{cc} D & E \\ A & \llbracket A \rrbracket \end{array}}{\square A} \quad \begin{array}{cc} \cfrac{}{A} x & \cfrac{}{\llbracket A \rrbracket} s \\ \vdots & \vdots \\ B & \llbracket B \rrbracket \end{array}}{\square B}
\quad \Longrightarrow_R \quad
\cfrac{\begin{array}{cc} D & E \\ A & \llbracket A \rrbracket \\ \vdots & \vdots \\ B & \llbracket B \rrbracket \end{array}}{\square B}
$$

The exact same principle applies in the "Elim-after-Intro" of multiple $\square$s:

$$
\cfrac{\cfrac{\begin{array}{cc} D_1 & E_1 \\ A_1 & \llbracket A_1 \rrbracket \end{array}}{\square A_1} \quad \cdots \quad \cfrac{\begin{array}{cc} D_i & E_1 \\ A_i & \llbracket A_i \rrbracket \end{array}}{\square A_i} \quad \begin{array}{cc} \cfrac{}{A_1 \ldots A_i} \vec{x} & \cfrac{}{\llbracket A_1 \ldots A_i \rrbracket} \vec{s} \\ \vdots & \vdots \\ B & \llbracket B \rrbracket \end{array}}{\square B} \, I_{\square B} E_{\square A}^{x,s}
$$

$$
\Longrightarrow_R
$$

$$\frac{\begin{array}{cc} \overset{D_1}{A_1} \ldots \ldots \overset{D_i}{A_i} & \overset{E_1}{\llbracket A_1} \ldots \ldots \overset{E_i}{A_i \rrbracket} \\ \vdots & \vdots \\ B & \llbracket B \rrbracket \end{array}}{\Box B} \, I_{\Box B}$$

These equalities are of importance since they dictate (together with the corresponding principles for the $\supset, \land$ connectives) the proof dynamics of the calculus. The proof term assignment and the corresponding computational (β-)rules are directly instructed by these reduction principles. We see that eliminating (using) an introduced $\Box$ corresponds to double substitution in the corresponding judgments.

Dually, the second principle says eliminating a $\Box A$, should give enough information to directly reintroduce it ("elimination rules are not *too weak*"). This is an expansion principle.

$$\begin{array}{c} \mathcal{D} \\ \Box A \end{array} \implies_E \quad \frac{\Box A \qquad \overset{\mathcal{D}}{\overline{}\,x} \qquad \overline{}\,s}{\Box A} \, I_{\Box A} E^{x,s}_{\Box A}$$

5.2.4   (Global) Soundness

Soundness is shown by proof theoretic techniques. Standardly, we add the bottom type ($\bot$) to Jcalc$^-$ together with its elimination rule and show that the system is consistent ($\nvdash \bot$) by devising a sequent calculus and showing

admissibility of cut. We only present the calculus here and collect the theorems towards consistency in the Appendix.

In the following we use $\Gamma \Rightarrow A$ (where $\Gamma, A \in \mathsf{Prop_0} \cup \mathsf{Prop_1}$) to denote sequents modulo Γ permutations where Γ is a multiset of Prop (no labels) and $\Delta \Rightarrow [\![A]\!]$ for sequents corresponding to $[\![\mathsf{judgments}]\!]$ of the calculus modulo Δ permutations (with Δ (unlabeled) multiset of $[\![\mathsf{Prop_0}]\!]$). The multiset/ modulo permutation approach is instructed by standard structural properties. All properties are stated formally and proved in the Appendix.

The $[\![\Gamma]\!] \Rightarrow [\![A]\!]$ relation is defined directly from $\vdash$:

Sequent Calculus ($[\![\mathsf{Prop_0}]\!]$)

$$[\![\Gamma]\!] \Rightarrow [\![A]\!] := \exists \Gamma' \in \pi([\![\Gamma]\!]) \text{ s.t } \Gamma' \vdash [\![A]\!]$$

where $\pi([\![\Gamma]\!])$ is the collection of permutations of $[\![\Gamma]\!]$.

Sequent Calculus (Prop)

$$\frac{}{\Gamma, A \Rightarrow A} \; Id \qquad \frac{\Gamma, A \supset B, B \Rightarrow C \qquad \Gamma, A \supset B \Rightarrow A}{\Gamma, A \supset B \Rightarrow C} \; \supset_L$$

$$\frac{\Gamma, A \Rightarrow B}{\Gamma \Rightarrow A \supset B} \; \supset_R \qquad \frac{}{\Gamma, \bot \Rightarrow A} \; \bot_L \qquad \frac{\Box\Gamma, \Gamma \Rightarrow A \qquad [\![\Gamma]\!] \Rightarrow [\![A]\!]}{\Box\Gamma \Rightarrow \Box A} \; \Box_{LR}$$

Standardly, we extend the system with the Cut rule and we obtain the extended system $\Gamma \Rightarrow^+ A := \Gamma \Rightarrow A + \mathsf{Cut}$. We show Completeness of $\Rightarrow^+$

with respect to Natural Deduction and Admissibility of Cut that leads to the consistency result

Consistency of $\mathsf{Jcalc}^- \not\vdash \bot$

5.3 Order theoretic semantics

This chapter started by introducing mappings between deductive systems and motivating the reading of necessity as "double-proof under a map". As a result, it is unsuprising the the calculus is amenable to order theoretic sematics. We present them in this section.

In order to progress we first define the notion of a *semi-Heyting Algebra (semi-HA)*. To define semi-HA we need the notion of a *(meet) semi-lattice.*

> **Definition:** A *(meet) semi-lattice* is a non-empty *partial order* (i.e. reflexive, antisymmetric and transitive) with finite meets.

In addition, we define *meet semi-lattice* as follows:

> **Definition:** A *bounded (meet) semi-lattice* $(L, \leq)$ is a (meet) semi-lattice that additionally has a *greatest element* (we name it 1), which satisfies
> $x \leq 1$ for every x in L

Finally, we can define *semi-HA*:

> **Definition:** A *semi-HA* is a bounded (meet) semi-lattice $(L, \leq, 1)$ s.t.
> for every $a, b \in L$ there exists an *exponential* (we name it $a \to b$) with
> the properties:
>
> 1. $a \to b \times a \leq b$
>
> 2. $a \to b$ is the greatest such element

In addition, given two *semi-HAs*, we are interested in order preserving functions (functors) F that also preserve products and exponentials:

> **Definition** A function F between two (semi)-HAs (HA_1, HA_2) is order
> preserving and commutes with top, products and exponentials *iff* for
> every $\phi, \psi \in HA_1$
>
> 1. $\phi \leq_{HA_1} \psi \Rightarrow F\phi \leq_{HA_2} F\psi$
>
> 2. $F\top_{HA_1} = \top_{HA_2}$
>
> 3. $F(\phi \times \psi) = F(\phi) \times (F(\psi)$
>
> 4. $F(\phi \to \psi) = F(\psi) \to F(\phi)$

For the order theoretic models of Jcalc $^-$ the following structures (triplets) are of interest. We define a *Jcalc*-triplet as follows:

> **Definition** A *Jcalc-triplet* is
>
> 1. A semi-Hayting algebra HA
>
> 2. A partial order J
>
> 3. An order preserving function F from HA to J s.t.
>
>   (a) The image $F(HA)$ forms a semi-Heyting Algebra

> (b) F preserves top, products and exponentials

We are going to utilize the following definition:

Definition Given two partial orders $(K, \leq_K)$, $(L, \leq_L)$ and a function $(F : K \to L)$ we can define the algebra of F-points $(F : K \to L, \leq_{F:K \to L})$ where:

1. Elements of $F : K \to L$ are pairs of the form $\langle k, Fk \rangle$

2. $\langle k_1, Fk_1 \rangle \leq_F \langle k_2, Fk_2 \rangle$ iff $k_1 \leq_K k_2$ and $Fk_1 \leq_L Fk_2$

Theorem. For any triplet (K, L, F) of HAs with an order preserving function $F : K \to L$ the algebra of F-points is a partial order.

Proof. It is trivial to show that the algebra of F-points "inherits" reflexivity, transitivity and antisymmetry from the underlying algebras. $\square$

Given a *Jcalc*-triplet there is an induced F-point algebra:

Definition Given a *Jcalc*-triplet we define the algebra $\square^F HA$ as the induced F-point algebra.

By the definitions, the $\square^F HA$ point algebra has the following properties:

1. Elements are pairs $\langle A, FA \rangle$ (name them $\square^F A$) where $A \in HA$ and FA its image

2. For every two elements $\square^F A$, $\square^F B$:

   $\square^F A \leq \square^F B$ iff $A \leq_{HA} B$ and $FA \leq_J FB$

3. It is a Heyting algebra with:

- $\Box^F \top := \langle \top_{HA}, F\top_{HA} = \top_J \rangle$

- Elements of the form $\Box^F(A \times B)$ forming products (we name them $\Box^F A \times \Box^F B$)

- Elements of the form $\Box^F(A \to B)$ forming exponentials (name them $\Box^F A \to \Box^F B$)

The last property is not obvious so we will sketch the proof. We will be omitting indexes in the $\leq$ relations since they can be trivially inferred:

$\Box^F HA$ is Heyting

Proof. $\Box^F \top$ is a top element since for any $A \in HA$, $A \leq \top$ and thusly $FA \leq F\top = \top_J$ and thus by definition $\Box^F A \leq \Box^F \top$ for any $\Box^F A$.

For any two elements $\Box^F A$, $\Box^F B$, the element $\Box^F(A \times B)$ forms their product since, $A \times B \leq A$ in HA and $F(A \times B) = FA \times FB \leq FA$ in J, and thusly, $\Box^F(A \times B) \leq \Box^F A$ (in $\Box^F HA$). Analogously, $\Box^F(A \times B) \leq \Box^F A$.

In addition, $\Box^F(A \times B)$ is the product we need to show that is the greatest element with the previous property. I.e. for any $\Box^F C$ s.t. $\Box^F C \leq \Box^F A$ and $\Box^F C \leq \Box^F B$ we get $\Box^F C \leq \Box^F(A \times B)$. By the definition for any such $\Box^F C$ we have $C \leq A \times B$ and $FC \leq F(A \times B)$ which imply that $\Box^F C \leq \Box^F(A \times B)$

To show that $\Box^F(A \to B)$ is the exponential of $\Box^F A$, $\Box^F B$, we have to show, first that $\Box^F(A \to B) \times \Box^F A \leq \Box^F B$. By the $\Box^F HA$ product definition $\Box^F(A \to B) \times \Box^F A := \Box^F((A \to B) \times A)$. Also by the underlying exponentials we have $(A \to B) \times A \leq B$ and $F((A \to B) \times A) = (FA \to FB) \times FA \leq FB$ which by definition of $\Box^F HA$ gives $\Box^F((A \to B) \times A) \leq \Box^F B$ and hence, by definition, $\Box^F(A \to B) \times \Box^F A \leq \Box^F B$.

In addition we have to show that $\Box^F(A \to B)$ is the greatest element with the previous property. Consider any other $\Box^F C$ s.t. $\Box^F C \times \Box^F A \leq \Box^F B$, by definitions of $\Box^F$ and its products then, $C \times A \leq B$ and $FC \times FA \leq FB$. By the definitions of the underlying exponentials we get $C \leq A \to B$ and $FC \leq FA \to FB = F(A \to B)$. And again by definition of $\Box^F HA$, $\Box^F C \leq \Box^F(A \to B)$. $\qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad\Box$

Given a *Jcalc*-triplet we can define a *Jcalc*-algebra:

> **Definition** Given a J-triplet we define the corresponding *Jcalc* algebra as the union of the underlying relations of HA, $F(HA)$, $\Box^F HA$

Theorem. Soundness and completness

$\Gamma \vdash_{Jcal} \phi$ iff for any *Jcalc Algebra* JC (HA, F, J) and any $*$ map that extends a maps of atomic Props (p_i) to elements of HA with properties shown below

and (+) is defined inductively on the length of Γ as shown below then $\Gamma^+ \leq \phi^*$.

$$
\begin{array}{rcl}
(\top)* &=& \top \\[4pt]
(A \wedge B \in Prop_0)* &=& A* \times_{HA} B* \\[4pt]
(A \supset B \in Prop_0)* &=& A* \to_{HA} B* \\[4pt]
(\llbracket A \rrbracket)* &=& F(A*) \\[4pt]
(\Box A)* &=& \Box^F A* \\[4pt]
(\Box A \supset \Box B)* &=& \Box^F A* \to \Box^F B* \\[4pt]
(\Box A \wedge \Box B)* &=& \Box^F A* \times \Box^F B*
\end{array}
$$

$$
\begin{array}{rcl}
\circ^+ &=& \top \\[4pt]
\dagger^+ &=& \llbracket \top \rrbracket \\[4pt]
(\Box \circ)^+ &=& \Box^F \top \\[4pt]
(\Gamma, \phi \in \mathsf{Prop_0})^+ &=& \Gamma^+ \times_{HA} \phi* \\[4pt]
(\llbracket \Gamma \rrbracket, \llbracket \phi \rrbracket \in \llbracket \mathsf{Prop_0} \rrbracket)^+ &=& \Gamma^+ \times_J F\phi* \\[4pt]
(\Box \Gamma, \Box \phi \in \mathsf{Prop_1})^+ &=& \Gamma^+ \times_{\Box^F HA} \Box^F(\phi)*
\end{array}
$$

Proof. To prove soundness we go by induction on the derivations. For the

$\mathsf{Prop_0}$ fragment the proof is well-known from intutionistic logic semantics $(\Gamma \in \mathsf{Prop_0} \vdash \phi \in \mathsf{Prop_0} \Rightarrow \Gamma^+ \leq_{HA} \phi*)$. For the $\mathsf{Prop_1}$ part of the calculus again by induction. Reflexion, of contexts is trivial. For the axiomatic cases, it is a well known result that in any Heyting algebra (and thus in $F(HA)$ of any Jcalc algebra) elements of the shape of the axiomatic combinators are equivalent (equiprovable) to $\top$. For example in any Heyting algebra we have $\top \leq A^{B^A}$ (using the definition of exponentials twice from the fact $\top \times A \times B \leq A$) and as a result $\Gamma^+ \leq \phi^*$ for any $\Gamma \in \mathsf{Prop_0}$. For the modus ponens rule by the induction hypothesis and the definition of exponentials. The interesting part of the proof is the $\square$ rule which we present again here for readability:

Judgments on Necessity with $\Gamma \in \mathsf{Prop_1}$, $\mathsf{length}(\Gamma) = i$, $1 \leq k \leq i$ **and,** $\Gamma', A, A_k, B \in$

$\mathsf{Prop_0}$

$$\frac{(\forall A_i \in \Gamma'.\ \Gamma \vdash \square A_i) \qquad \Gamma' \vdash B \qquad [\![\Gamma']\!] \vdash [\![B]\!]}{\Gamma \vdash \square B} I_{\square B} E_{\square A_1 \dots \square A_i}^{\vec{x},\vec{s}}$$

By the induction hypothesis we have $(\Gamma')^+ \leq B^*$ and $([\![\Gamma']\!])^+ \leq FB^*$ or equivalently by the properties of F $F(\Gamma')^+ + \leq F(B^*)$ which gives $\square^F \Gamma'^+ \leq \square^F B$ Additionally from induction hypothesis, for every A_i in $\Gamma^+ \square^F A_i$ and by the product definition $\Gamma^+ \leq \Gamma'^+$ and thus $\Gamma^+ \leq \square^F B*$.

For the inverse we create a Lindenbaum construction. We sketch the construction:

- Create a preorder *pre-HA* with underlying set (isomorphic to) $\mathsf{Prop_0}$

- Define $\phi \leq \psi$ *iff* $\phi \vdash \psi$

- Define the equivalce relation $\phi \equiv \psi$ *iff* $\phi \leq \psi$ and $\psi \leq \phi$

- Define the quotient *pre-HA*$/_{\equiv}$

- Show that it is a Heyting Algebra with products the elements of of shape $\phi \wedge \psi$, top $\top$ and exponentials $\phi \supset \psi$

- Repeat the construction for the syntactic elements of $[\![Prop_0]\!]$, with $[\![\phi]\!] \leq$ $[\![\psi]\!]$ *iff* $[\![\phi]\!] \vdash [\![\psi]\!]$ show that it is a Heyting algebra J.

- Repeat the constuction for the syntactic elements of Prop_1 and $\Box\phi \leq \Box\psi$ *iff* $\Box\phi \vdash \Box\psi$

- Show that the union of the three relations above forms a Jcalc-algebra with $F := A \mapsto [\![A]\!]$. I.e. show that:

  - $A \vdash B \Rightarrow [\![A]\!] \vdash [\![B]\!]$ (Holds by the lifting lemma)

  - $[\![A \wedge B]\!]$ is product (trivial) and $[\![A \supset B]\!]$ (trivial given the deduction theorem which we have shown) in J

  - $\Box A \vdash \Box B$ *iff* $A \vdash B$ and $[\![A]\!] \vdash [\![A]\!]$ Easy by induction on the derivations and usage of the lifting lemma.

Now assume that $\Gamma^+ \leq \phi*$ for any Jcalc algebra and mapping $*$ and consider $*$ to extend the identity mapping into the (free) J-calc algebra defined above. It is easy to see that in JCalc $\Gamma \vdash \phi$. E.g. assume, without loss of generality, that Γ is of the form $\Box\phi_1, \ldots \Box\phi_n$ then $\Box\phi_1 \wedge \ldots \Box\phi_n \leq \phi$ in the free JCalc algebra under identity and thus $\Box\phi_1 \wedge \ldots \Box\phi_n \leq\vdash \phi$ by the construction above which gives trivially $\Gamma \vdash \phi$ (since $\Gamma \vdash \Box\phi_1 \wedge \ldots \Box\phi_n$)

$\Box$

6

The computational side of Jcalc $^-$

In this section we add proof terms to represent natural deduction constructions. The meaning of these terms emerges naturally from Gentzen's principles that give reduction (computational β-rules) and expansion (i.e. extensionality η-rules) equalities for the each construct. We focus on the new constructs of the calculus that emerge from the judgmental interpretation of the $\square$

connective as explained in section 5.2.

There will be no computational (reduction) rules on provability terms. This conforms with our reading of these terms as *references* to proof constructs of an *abstracted* theory J that can be realized differently for a concrete J.

6.0.1 Proof term assignment

The following rules and their correspondence with natural deduction constructs (5.2.1) should be obvious to the reader familiar with the simply typed λ-calculus and basic justification logic. We do not repeat here the corresponding β, η equality rules since they are standard.

Judgments on Truth $\Gamma, A, B \in \mathsf{Prop_0}$ **and** $M := x_i \mid <> \mid \lambda x : A.\ M \mid (MM)$

$$\frac{x : A \in \Gamma}{\Gamma \vdash x : A}\ \Gamma_0\text{-}\mathrm{REFL} \qquad \frac{}{\Gamma \vdash <> : \top}\ \top_0\mathrm{I} \qquad \frac{\Gamma, x : A \vdash M : B}{\Gamma \vdash \lambda x : A.\ M : A \supset B}\ \supset_0\mathrm{I}$$

$$\frac{\Gamma \vdash M : A \supset B \qquad \Gamma \vdash M' : A}{\Gamma \vdash (MM') : B}\ \supset_0\mathrm{E} \qquad\qquad + \beta\eta \text{ equalities for } \top, \supset$$

For judgments of $[\![\mathsf{Prop_0}]\!]$, we assume a countable set of constant names and demand that every combinatorial axiom of intuitionistic logic has a witness under the interpretation $[\![\bullet]\!]$. This is what justification logicians call "axiomatically appropriate constant specification". As usual we demand reflection of contexts in J and preservation of modus ponens – closedness under some notion of application (which we denote as $*$).

Judgments on Validity $\Delta \in [\![\mathsf{Prop}_0]\!]$ and $\mathsf{J} := s_i \mid C_i \mid \mathsf{J} * \mathsf{J}$

$$\frac{s : [\![A]\!] \in \Delta}{\Delta \vdash s : [\![A]\!]} \; \Delta\text{-}\mathrm{Refl} \qquad\qquad \frac{}{\Delta \vdash C_\top : [\![\top]\!]} \; \mathrm{Ax}_1$$

$$\frac{A, B \in \mathsf{Prop}_0}{\Delta \vdash C_{K^{A,B}} : [\![A \supset (B \supset A)]\!]} \; \mathrm{Ax}_2$$

$$\frac{A, B, C \in \mathsf{Prop}_0}{\Delta \vdash C_{S^{A,B,C}} : [\![A \supset (B \supset C) \supset ((A \supset B) \supset (A \supset C))]\!]} \; \mathrm{Ax}_3$$

$$\frac{\Delta \vdash \mathsf{J} : [\![A \supset B]\!] \qquad \Delta \vdash \mathsf{J}' : [\![A]\!]}{\Delta \vdash \mathsf{J} * \mathsf{J}' : [\![B]\!]} \; \mathrm{App}$$

If J is a proof calculus and $[\![\bullet]\!]_J$ is an interpretation such that the specifications above are realized, then J can witness intuitionistic provability. This can be shown by the proof relevant version of the lifting lemma that states:

$[\![\bullet]\!]$**Lifting Lemma** Given $\Gamma, A \in \mathsf{Prop}_0$ s.t. and a term M s.t. $\Gamma \vdash M : A$ then there exists J s.t $[\![\Gamma]\!] \vdash \mathsf{J} : [\![A]\!]$.

Proof term assignment and Gentzen Equalities for $\square$ Judgments

Before we proceed, we will give a small primer of *let*-bindings as used in modern programming languages to provide for some intuition on how such terms work. Let us assume a rudimentary programming language that supports some basic types, say integers (int), as well as pairs of such types. Moreover,

let us define a datatype Point as a pair of int i.e. as (int, int) In a language with *let*-bindings one can define a simple function that takes a Point and "shifts" it by adding 1 to each of its x and y coordinates as follows:

```
def shift (p:Point) =
let   (x,y) be p
in
(x+1,y+1)
```

If we call this function on the point (2,3), then the computation let (x,y) be (2,3) in (x+1,y+1) is invoked. This expression reduces following the *let* reduction rule (i.e. pattern matching and substitution) to (2+1,3+1); and as a result we obtain the value (3,4). As we will see, *let* bindings – with appropriate typing restrictions for our system – are used in the assignment of proof terms for the $\Box_{IE}$ rule. Moreover, the reduction principle for such terms (β-rule) – obtained following Gentzen's equalities for the $\Box$ connective – is exactly the one that we just informally described.

We can now move forward with the proof term assignment for the $\Box_{IE}$ rule. We show first the sub-cases for Γ' empty (pure $\Box_I$) and Γ' singleton and explain the computational significance utilizing Gentzen's principles appropriated for the $\Box$ connective. We are directly translating proof tree equalities from 5.2.3 to proof term equalities. We generalize for arbitrary Γ' in the following subsection. We have, respectively, the following instances:

$$\frac{\Gamma \in \mathsf{Prop}_1 \qquad \circ \vdash M : B \qquad \dagger \vdash \mathsf{J} : [\![B]\!]}{\Gamma \vdash M \& \mathsf{J} : \Box B}$$

$$\frac{\Gamma \vdash N : \Box A \qquad x : A \vdash M : B \qquad s : [\![A]\!] \vdash \mathsf{J} : [\![B]\!]}{\Gamma \vdash \mathsf{let}\ (x \& s\ \ \mathsf{be}\ N)\ \mathsf{in}\ (M \& \mathsf{J}) : \Box B}$$

Gentzen's Equalities for ($\Box$ terms)

Gentzen's reduction and expansion principles give computational meaning (dynamics) and an extensionality principle for linking terms. We omit naming the empty contexts for economy.

$$\Box_I\ \frac{\dfrac{\Gamma \in \mathsf{Prop}_1 \qquad \vdash M : A \qquad \vdash \mathsf{j} : [\![A]\!]}{\Gamma \vdash M \& \mathsf{j} : \Box A} \qquad x : A \vdash M' : B \qquad s : [\![A]\!] \vdash \mathsf{j}' : [\![B]\!]}{\Gamma \vdash \mathsf{let}\ (x \& s)\ \mathsf{be}\ (M \& \mathsf{J})\ \mathsf{in}\ (M' \& \mathsf{J}') : \Box B}\ I_{\Box B} E_{\Box A}^{x,s}$$

$$\Longrightarrow_R$$

$$\frac{\Gamma \in \mathsf{Prop}_1 \qquad \vdash M'[M/x] : B \qquad \vdash \mathsf{J}'[\mathsf{J}/s] : [\![B]\!]}{\Gamma \vdash M'[M/x] \& \mathsf{J}'[\mathsf{j}/s] : \Box B}\ I_{\Box B}$$

Where the expressions $M'[M/x]$ and $\mathsf{J}'[\mathsf{J}/\mathsf{s}]$ denote capture avoiding substitution, reflecting proof compositionality of the two calculi.

Following the expansion principle we obtain:

$$\Gamma \vdash M : \Box A \quad \Longrightarrow_E$$

$$\frac{\Gamma \vdash M : \Box A \qquad x : A \vdash x : A \qquad s : [\![A]\!] \vdash s : [\![A]\!]}{\Gamma \vdash \mathsf{let}\ (x \& s\ \mathsf{be}\ M)\ \mathsf{in}\ (x \& s) : \Box A}\ I_{\Box A} E_{\Box A}^{x,s}$$

That gives an η-equality as follows:

$$M : \Box A =_\eta \quad \mathsf{let}\ (x \& s\ \mathsf{be}\ M)\ \mathsf{in}\ (x \& s) : \Box A$$

The η equality demands that every $M : \Box A$ should be reducible to a form $M' \& \mathsf{J}'$.

Proof term assignment for the $\Box$ rule (Generically)

After understanding the computational meaning of let expressions in the $\Box_{IE}$ rule we can now give proof term assignment for the rule in the general case(i.e. for Γ' of arbitrary length). We define a helper syntactic construct $-\mathsf{let}^* \dots\ \mathsf{in}\ -$ as syntactic sugar for iterative let bindings based on the structure of contexts. The let^* macro takes four arguments: a context $\Gamma \in \mathsf{Prop_0}$, a context $\Delta \in [\![\mathsf{Prop_1}]\!]$, a possibly empty ($[\,]$) list of terms $Ns := N_1, \dots, N_i$ - all three of the same length - and a term M. It is defined as follows for the empty and non-empty cases:

let* (∘; †; [ ]) in $M := M$

let* $(x_1 : A_1, \ldots, x_i : A_i \; ; \; s_1 : \phi_1, \ldots, s_i : \phi_i; \; N_1, \ldots, N_i)$ in $M :=$

let $\{(x_1 \& s_1) \text{ be } N_1, \ldots, (x_i \& s_i) \text{ be } N_i\}$ in M

Using this syntactic definition the rule $\square_{IE}$ rule can be written compactly:

$\square_{IE}$ **With** $\Gamma \in \mathsf{Prop}_1$, $\Gamma' \in \mathsf{Prop}_0$, $\mathsf{length}(\Gamma) = i$, $Ns := N_1 \ldots N_i$, $1 \le k \le i$

$$\frac{\forall A_k \in \Gamma'. \; \Gamma \vdash N_k : \square A_k \qquad \Gamma' \vdash M : B \qquad [\![\Gamma']\!] \vdash \mathsf{J} : [\![B]\!]}{\Gamma \vdash \mathsf{let^*} \; (\Gamma', [\![\Gamma']\!], Ns) \text{ in } (M \& \mathsf{J}) : \square B} \; I_{\square B} E^{\vec{x}, \vec{s}}_{\square A_1 \ldots \square A_i}$$

It is obvious that all previously mentioned cases are captured with this formulation. The rule of β-equality can be given for multi-let bindings directly from Gentzen's reduction principle (5.2.3) generalized for the multiple intro case shown in the appendix (.3).

let$\{(x_1 \& s_1) \text{ be } (M_1 \& \mathsf{J}_1), \ldots, (x_i \& s_i) \text{ be } (M_i \& \mathsf{J}_i)\}$ in $(M \& \mathsf{J})$ $=_\beta$

$M[M_1/x_1, \ldots, M_i/x_i] \& \mathsf{J}[\mathsf{J}_1/s_1, \ldots, \mathsf{J}_i/s_i]$

6.0.2   Strong Normalization and small-step semantics

In the appendix (.4) we provide a proof of normalization for natural deduction (via cut elimination). This is "essentially" a strong normalization result for the proof term system also. In general we have shown the congruence obtained

from $=_{\beta\eta}$ rules gives a consistent equational system. Nevertheless, we leave this for an extended version of this paper. Instead, we sketch briefly a weaker result: normalization under a deterministic, "call-by-value" reduction strategy for β-rules. This gives an idea of how the system computes and we can use it in the applications in the next section. As usual we characterize a subset of the closed terms as values and we provide rules for the reduction of the non-value closed terms. Note that for the constants of validity and their applicative closure we do not observe reduction properties but treat them as values – again conforming with the idea of J (and its reduction principles) being "black boxed".

Small step, call-by-value reduction $\rightarrow$

$$\frac{}{\lambda x.M \text{ value}} \qquad \frac{}{C_i \quad \text{value}} \qquad \frac{\mathsf{J_1} \text{ value} \qquad \mathsf{J_2} \text{ value}}{\mathsf{J_1} * \mathsf{J_2} \text{ value}}$$

$$\frac{M \text{ value} \qquad \mathsf{J} \text{ value}}{M\&\mathsf{J} \text{ value}} \qquad \frac{M \rightarrow M'}{M\&\mathsf{J} \rightarrow M'\&\mathsf{J}}$$

$$\frac{N_1 \text{ value} \ \ldots \ N_{k-1} \text{ value} \qquad N_k \rightarrow N_k'}{\begin{array}{c} \mathsf{let}\{(x_1\&s_1) \text{ be } N_1, \ldots, \ (x_k\&s_k) \text{ be } N_k, \ldots\} \text{ in } M \rightarrow \\ \mathsf{let}\{(x_1\&s_1) \text{ be } N_1, \ldots, \ (x_k\&s_k) \text{ be } N_k', \ldots\} \text{ in } M \end{array}}$$

$$\frac{M_1\&\mathsf{J_1} \text{ value} \ \ldots \ M_i\&\mathsf{J_i} \text{ value}}{\begin{array}{c} \mathsf{let}\{(x_1\&s_1) \text{ be } (M_1\&\mathsf{J_1}), \ldots, (x_i\&s_i) \text{ be } (M_i\&\mathsf{J_i})\} \text{ in } (M\&\mathsf{J}) \rightarrow \\ M[M_1/x_1, \ldots, M_i/x_i]\&\mathsf{J}[\mathsf{J_1}/s_1, \ldots, \mathsf{J_i}/s_i] \end{array}}$$

$$\frac{M \rightarrow M'}{(MN) \rightarrow (M'N)} \qquad \frac{N \rightarrow N'}{((\lambda x.M)N) \rightarrow ((\lambda x.M)N')}$$

$$\frac{N \text{ value}}{((\lambda x.M)N) \rightarrow [N/x]M}$$

Using the reducibility candidates proof method [35]) we show:

Termination Under Small Step Reduction With $\rightarrow^*$ being the reflex-

ive transitive closure of $\rightarrow$: for every closed term M and $A \in \mathsf{Prop}$ if $\vdash M : A$ then there exists N value s.t. $\vdash N : A$ and $M \rightarrow^* N$.

6.1 A programming language view: Dynamic Linking and separate compilation

Our type system can be related to programming language design when considering *Foreign Function Interfaces*. This is a typical scenario in which a language I interfaces another language J which is essentially "black boxed". For example, OCaml code might call C code to perform certain computations. In such cases I is a client and J is a host that provides implementations for an interface utilized by the client. Through software development, often the implementations of such an interface might change (i.e. a new version of the host language, or more dramatically, a complete switch of host language). We want a language design that satisfies two interconnected properties. First, *separate compilation* i.e. when implementations change we do not have to recompile client code and, yet, secondly, *dynamic linking* we want the client code to be linked dynamically to its new "meaning".

We will assume that both languages are functional and based on the lambda calculus. I.e. our interpretation function should have the property $[\![A \supset B]\!]_J = [\![A]\!]_J [\![\supset]\!]_J [\![B]\!]_J$ where $[\![\supset]\!]_J$ is the implication type constructor in J. The specifics of the host J and the concrete implementations are unknown to I but during the linker construction we assume that both languages share

some basic types for otherwise typed "communication" of the two languages would be impossible. Simplifying, we consider that the only shared type is (int), i.e. the linker construction assumes $\bar{n} : [\![\text{int}]\!]$ for every integer $n : \text{int}$. Let us now assume source code in I that is interfacing a simple data structure, say an integer stack, with the following signature Σ:

```
using type intstack
empty: intstack, push: int -> intstack -> intstack,
pop: intstack -> int
```

And let us consider a simple program in I that is using the signature say,

```
pop(push (1+1) empty):int
```

This program involves two kinds of computations: a redex $(1 + 1)$ that can be reduced using the internal semantics of the language $1 + 1 \rightsquigarrow_I 2$ and the signature calls `pop (push 2 empty)` that are to be performed externally in whichever host language implements them. We treat dynamic linkers as "term re-writers" that map a computation to its meaning(s) based on different implementations. In the following we consider Σ to be the signature of the interface. Here are the steps towards the linker construction.

1. Reduce the source code based on the operational semantics of I until it doesn't have a redex: $\Sigma; \bullet \vdash \text{pop}(\text{push } (1 + 1) \text{ Empty}) \rightsquigarrow \text{pop}(\text{push } 2 \text{ Empty}) : \text{int}$

2. Contextualize the use of the signature at the final term in step 1:

$\Sigma; x_1 : \texttt{intstack}, x_2 : \texttt{int} \rightarrow \texttt{intstack} \rightarrow \texttt{intstack}, x_3 : \texttt{intstack} \rightarrow \texttt{int} \vdash x_3(x_2\ 2\ x_1) : \texttt{int}$

3. Rewrite the previous judgment assuming (abstract) implementations for the corresponding missing elements using the "known" specification for the shared elements.

$s_1 : [\![\texttt{instack}]\!], s_2 : [\![\texttt{int} \rightarrow \texttt{intstack} \rightarrow \texttt{intstack}]\!], s_3 : [\![\texttt{intstack} \rightarrow \texttt{int}]\!] \vdash s_3 * (s_2 * \bar{2} * s_1) : [\![\texttt{int}]\!]$

4. Combine the two previous judgments using the $\Box_{IE}$ rule.

$\Sigma; x_1' : \Box\texttt{intstack}, x_2' : \Box(\texttt{int} \rightarrow \texttt{intstack} \rightarrow \texttt{intstack}), x_3' : \Box(\texttt{intstack} \rightarrow \texttt{int}) \vdash$

$\texttt{let}\{x_1 \& s_1 \texttt{ be } x_1',\ x_2 \& s_2 \texttt{ be } x_2',\ x_3 \& s_3 \texttt{ be } x_3'\} \texttt{ in } (x_3(x_2\ 2\ x_1)\ \& \ s_3 * (s_2 * \bar{2} * s_1)) : \Box\texttt{int}$

5. Using λ-abstraction three times we obtain the dynamic linker:

$\Sigma; \circ \vdash$

$\texttt{linker} = \lambda x_1'.\ \lambda x_2'.\lambda x_3'.$

$\texttt{let}\{x_1 \& s_1 \texttt{ be } x_1',\ x_2 \& s_2 \texttt{ be } x_2',\ x_3 \& s_3 \texttt{ be } x_3'\} \texttt{ in}(x_3(x_2\ 2\ x_1)\ \& \ s_3 * (s_2 * \bar{2} * s_1))$

$: \Box(\texttt{instack}) \rightarrow \Box(\texttt{int} \rightarrow \texttt{intstack} \rightarrow \texttt{intstack}) \rightarrow \Box(\texttt{intstack} \rightarrow \texttt{int}) \rightarrow \Box\texttt{int}$

Let us see how it can be used in the presence of different implementations:

1. Suppose the developer responsible for the implementation of the interface is providing an array based implementation for the stack in some language

J i.e. we get references to typechecked code fragments of J as follows[1]:

create() : intarray, add_array : $\text{int}_\text{J} \to_\text{J} \text{intarray} \to_\text{J} \text{intarray}$

pop_array : $\text{intarray} \to_\text{J} \text{int}$

2. A unification algorithm check is performed to verify the conformance of the implementations to the signature taking into account fixed type sharing equalities ($\llbracket\text{int}\rrbracket = \text{int}_\text{J}$). In our case it produces:

$$\llbracket\to\rrbracket = \to_\text{J}, \llbracket\text{intstack}\rrbracket = \text{intarray}$$

3. We thus obtain typechecked links using the $\Box_I$ rule. For example:

$$\frac{\Sigma; \circ \vdash \text{push} : \text{int} \to \text{intstack} \to \text{intstack} \quad \bullet \vdash \text{add\_array} : \llbracket\text{int} \to \text{intstack} \to \text{intstack}\rrbracket}{\Sigma; \circ \vdash \text{push} \mathbin{\&} \text{add\_array} : \Box(\text{int} \to \text{intstack} \to \text{intstack})}$$

And analogously:

$$\Sigma; \circ \vdash \text{pop} \mathbin{\&} \text{pop\_array} : \Box(\text{intstack} \to \text{int})$$

$$\Sigma; \circ \vdash \text{empty} \mathbin{\&}\text{create}() : \Box\text{intstack}$$

4. Finally we can compute the next step in the computation for the expression applying the linker to the obtained pairings:

$$\Sigma; \bullet\vdash (\text{linker} (\text{empty} \mathbin{\&} \text{create}()) (\text{push} \mathbin{\&} \text{add\_array}) (\text{pop} \mathbin{\&} \text{pop\_array})) : \Box\text{int}$$

---

[1]We have changed the return type of pop to avoid products. This is just for economy and products can easily be handled.

which reduces to:

$\Sigma; \bullet \vdash \mathtt{let}\{(\mathtt{x_1 \& s_1})$ be $(\mathtt{empty} \,\&\mathtt{create())}, \;(\mathtt{x_2 \& s_2})$ be $(\mathtt{push} \;\&\; \mathtt{add\_array}), \;\;(\mathtt{x_3 \& s_3})$ be $(\mathtt{pop} \;\&\; \mathtt{pop\_}$

in $(\mathtt{x_3(x_2 \; 2 \; x_1)} \;\&\; \mathtt{s_3} * (\mathtt{s_2} * \bar{2} * \mathtt{s_1})) : \square\mathtt{int}$

The last expression reduces to (β-reduction for let):

$\Sigma; \bullet \vdash \; \mathtt{pop(push\ 2\ empty)} \;\&\; \mathtt{pop\_array} * (\mathtt{add\_array} * \bar{2} * \mathtt{empty}) : \square\mathtt{int}$

giving exactly the next step of the computation for the source expression. The good news is that the linker computes correctly the next step given any conforming set of implementations. It is easy to see that given a list implementation the very same process would produce a different computation step:

$\Sigma; \bullet \vdash \; \mathtt{pop(push\ 2\ empty)} \;\&\; \mathtt{pop\_list} * (\mathtt{Cons} * \bar{2} * [\,]) : \square\mathtt{int}$

We conclude with some remarks that:

- The construction gives a mechanism of abstractions that works not only over different implementations in the same language but even for implementations in different (applicative) languages.

- We assumed in the example that the two languages are based on the lambda calculus and implement a curried, higher-order function space. It is easy to see that such host satisfies the requirements for the $\llbracket \bullet \rrbracket$ (with C_S, C_K being the S, K combinators in λ form and $*$ translating to λ application).

- Often, the host language of a foreign call is not a language that satisfies such specifications. This situation occurs when we have bindings from a functional language to a lower level language [2]. Such cases can be captured by adding conjunction (and pairs), tuning the specifications of J accordingly and loosening the assumption that $[\![\bullet]\!]$ is total on types.

- Introduction of modal types is clearly relative to the $[\![\bullet]\!]$ function on types. It would be interesting to consider examples where $[\![\bullet]\!]$ is realized by non-trivial mappings such as $[\![A \supset B]\!] = !A \multimap B$ from the embedding on intuitionistic logic to intuitionistic linear logic [**?**]. That will showcase an example of modality that works when lifting to a completely different logic or, correspondingly, to an essentially different computational model.

- Finally, it should be clear from the operational semantics and this example that we did not demand any equalities (or, reduction rules) for the proofs in J, but mere existence of specific terms. This is in accordance to justification logic. Analogously, we did not observe computation in the host language but only the construction of the linkers as program transformers. We were careful, to say that our calculus corresponds to the dynamic linking part of separate compilation. This, of course, does not tell the whole story of program execution in such cases. Foreign function calls, return the control to the client after the result gets calculated in the external language. For example, the execution of the program `pop (push 2 empty) + 2` should "escape" the client to compute the stack calls and then return for the last

---

[2]In this setting the type signature of push would be: $\mathsf{int} \times \mathsf{intstack} \to \mathsf{instack}$

addition. Our modality is concerned only with passing the control from the client to the host dynamically and, as such, is a K (non-factive) modality. Capturing the continuation of the computation and the return of the control back to the source would require a factive modality and a notion of "reverse" of the mapping $[\![\bullet]\!]$. We would like to explore such an extension in future work.

6.2   Related and Future Work

Directly related work with our calculus, in the same fashion that justification logic and LP [4] are related to modal logic, is [21]. The work in [21] provides a calculus for explicit assignments (substitutions) which is actually a sub-case of Jcalc^- with $[\![\bullet]\!]$ identity. This sub-case captures dynamic linking where the host language is the very same one; such need appears in languages with module mechanisms (i.e. implementation hiding and separate compilation within the very same language). In general, the judgmental approach to modality is heavily influenced by [64]. In a sense, our treatment of validity-as-explicit-provability also generalizes the approach there without having to commit to a "factive" modality. Finally, important results on programming paradigms related to justification logic have been obtained in [14, 24, 20]. Immediate future developments would be to interpret modal formulas of higher degree under the same principles. This corresponds to dynamic linking in two or more steps (i.e., when the host becomes itself a client of another

interface that is implemented dynamically in a third level and, so on). Some preliminary results towards this direction have been developed in [67].

7

Notes on extending the calculus

In this chapter we will make an informal case about the scalability of the presented system. We will sketch how the calculus can quite easily be extended in different ways and make a case that such extensions are of interest from the trinitarian (logic/ type theory/ category theory) point of view.

7.1 Extending on higher order modal types

We saw in Chapter 6 how the calculus corresponds to Jcalc algebras which are essentially pairs of Heyting algebras under an order preserving function. The points of such functions correspond to $\Box$ed types.

$$
\begin{array}{ccc}
A & \xrightarrow{\ \Box A\ } & FA \\
\vdash & \vdash \cdot & \vdash \\
B & \xrightarrow{\ \Box B\ } & FB
\end{array}
$$

This structure is easily extensible to account for $\Box$ed types of higher degree. Instead of a pair of Heyting algebras we could have a stack of Heyting algebras related with order preserving functions as shown in the schema.

$$
\begin{array}{ccccc}
 & & \Box\Box A & & \\
A & \xrightarrow{\ \Box A\ } & F_0 A & \xrightarrow{\ \Box FA\ } & F_1 F_0 A \\
\vdash & & \vdash \cdot & & \vdash \\
B & \xrightarrow{\ \Box B\ } & F_0 B & \xrightarrow{\ \Box FB\ } & F_1 F_0 B \\
 & & \Box\Box B & &
\end{array}
$$

In a nutshell, instead of one function symbol $[\![\,]\!]$ the system can be axiomatized to reason about chains of composable function applications

7.2 From order theory to category theory

There is a classic passage from orders to categories, which corresponds to the passage of provability to proof relevance. In addition, order preserving

functions become functors in the categorical scenario. But functors are behave functionally on terms (i.e. preserve proof equalities, or essentially, normalization principles of the cut elimination process). To account, hence, for a categorical semantics of the system one has to account for equality

7.2.1 Adding equalities to the justification logic system

7.2.2 Factivity and adjunctions

Having an understanding of the system in categorical terms helps thinking about extensions in such terms too.

8

Jcalc and dependent typing

A plausible reading of Gödel's incompleteness results ([36]) is that the notion of "validity" diverges from that of "truth within a specific theory": given a theory that includes enough arithmetic, there are statements whose validity can only be established in a theory of larger proof-strength. This phenomenon can be shown even with non-Gödelian arguments in the relation e.g. between

$I\Delta_0$ and $I\Sigma_1$ arithmetic [60], $I\Sigma_1$ and PA, PA and ZF, etc. [71, 27]. The very same issues arise in automated theorem proving. A good example is given by type systems and interactive theorem provers (e.g. Coq, Agda) of the typed functional paradigm. In such systems, when termination of functions has to be secured, one might need to invoke stronger proof principles. The need for reasoning about two kinds of proof objects within a type system is apparent most of all when one wants to establish non-admissibility results for a theory T that can, in contrast, be proved in some stronger T'. The type system, then, has to reconcile the existence of a proof object of some type ϕ in some T' and a proof object of type $\neg\exists s.Prov_T(s, \phi)$ that witnesses the non-provability of ϕ (in T).

In this work, we argue that the explicit modality of Justification Logic [11] can be used to axiomatize relations between objects of two different calculi such as those mentioned above. It is well known that the provability predicate can be axiomatized using a modality [25], [13]. The Logic of Proofs LP [7] goes further and provides explicit proof terms (*proof polynomials*) to inhabit judgments on validity. By translating reasoning in Intuitionistic Propositional Calculus (IPC) to classical proofs, LP obtains a classical semantics for IPC through a modality (inducing a BHK semantics). In this paper we axiomatize the relation between the two kinds of proof objects explicitly, by creating a modal type theory that reasons about bindings or linking of objects from two calculi: a lower-level theory T, formulated as IPC with Church-style λ-terms representing intuitionistic proof objects; and a higher-level, possibly stronger

and classical (co-)theory T' fixed as foundational, with *justifications* expressing its proof objects. The axiomatization of such a (co-)theory follows directly the proof system of Justification Logic (here restricted to its applicative K-fragment) and is used to interpret classically (meaning *truth-functionally*) the constructions of the intuitionistic natural deduction. The underlying principle of our linking system is as follows:

$$constructive\ necessity = admissible\ validity = truth\ (in\ T) + validity\ (in\ T')$$

Necessity of a true (in T) proposition P is, thus, sensitive to the existence of a proof (witnessed by a justification) of its intended interpretation within T'. We assume an interpretation function on types $Just$ that maps the type universe of T into the type universe of T'. We employ judgments of the kind $M : P$ (read as "M is a proof of type P in T") that represent truths in T and judgments of the kind $j : \mathsf{Just}\ P$ (to be read as "j is a justification of the interpretation of P in T'") that represent truth in T' (validity). Incorporating them, the principle can be rewritten in a judgmental fashion:

$$M : P + j : \mathsf{Just}\ P \Rightarrow \Box^{\mathsf{j}} P\ true$$

Notice that the $\Box$-types are indexed by justifications ($\Box^{\mathsf{j}} P$) being sensitive to the interpretation (T') chosen. To complete the picture we need canonical elements of $\Box^{\mathsf{j}}$-types. Naturally, witnesses of this kind are *links* between proof objects from T and T' with corresponding types (P and $\mathsf{Just}\ \mathsf{P}$). For that

reason we introduce a *linking witness* constructor *Link*. This is how necessity is introduced: by proof-checking deductions of T with deductions of T', we reason constructively about admissibility of valid (via T') statements in T. The principle thus becomes:

$$M : P + j : \mathsf{Just}\ P \Rightarrow Link(M, j) : \Box^{\mathsf{j}} P$$

We show how this principle is admissible in our system.

A possible application of the presented type theory can be a refined type system for programming languages with modular programming constructs or external function calls as we show in section **??**. In these kinds of languages (e.g. of the ML family) a program or module can call for external definitions that are implemented elsewhere (in another module or, even in another language)[1]. We can read functions within $\Box$-types indexed by justifications as linking processes for such languages that perform the mapping of well–typed constructs importing and using module signatures into their residual programs. By residual programs we mean programs where all instances of module types and function calls are replaced by (i.e. *linked* to) their actual implementations, which remain hidden in the module. We show with a real example how, with slight modifications, our type system can find a natural application in this setting. Here we focus on the type system itself and not on its operational semantics.

The backbone of this work is the idea of representing the proof theoretic

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[1]See [40].

semantics for IPC through modality that stems from [9],[10]. An operational approach to modality related to this work can be found in [8]. The modularity of LP, i.e. its ability to realize other kinds of modal reasoning with proper changes in the axiomatization of proof polynomials, was shown with the development of the family of Justification Logics [11]. This ability is easily seen to be preserved here. Our work incorporates the rich type system and modularity of Justification Logic within the proofs-as-programs doctrine. For that reason, we obtain an extension of the Curry-Howard correspondence ([73], [35]) and adopt the judgmental approach of Intuitionistic Type Theory ([52], [53], [54], [57], [17]). Our system borrows from other modal calculi developed within the judgmental approach (e.g. [64], [37],[1] and especially [22] for the modal logic K). A main difference of our system with those systems, as well as with previous λ-calculi for LP ([2], [14]) is that our type system hosts a two-kinded typing relation for proof objects of corresponding formulae. It can be viewed as an attempt to add proof terms for validity judgments as presented in [64]. The resulting type system adopts dependent typing ([19], [58]) to relate the two kinds of proof objects with modality. The construction of the type universe as well as of justificational terms draws a lot from ideas in [12] and from [32]. Extending typed modal calculi with additional (contextual) terms of dependent typing can be also found in [56].

8.1   A road map for the type system

The present system can be viewed as a calculus of reasoning in three inter-leaving phases. Firstly, reasoning about proof objects in the implicational fragment of an intuitionistic theory T in absence of any metatheoretic assumptions of validity, introduced in Section 8.2. This calculus is formalized by the turnstile $\Gamma \vdash_{\mathsf{IPC}}{}^2$ where Γ contains assumptions on proofs of sentences in T. The underlying logic is intuitionistic, the system corresponding to the implicational fragment of simply typed lambda calculus. Secondly, reasoning with justifications, corresponding to reasoning about proof objects in some fixed foundational system: the (co-)theory T', We suppose that T' provides the intended semantics for the intuitionistic system T. The corresponding turnstile is $\Delta \vdash_{\mathsf{J}}$. Abstracting from any specific metatheory, all that matters from a purely logical point of view is that the theory of the interpretation should – at least – include as much logic as the implicational fragment of T and it should satisfy some minimal conditions for the provability predicate of T.

Finally, reasoning about existence of *links* between proof objects in the implicational fragment of *both* axiomatic systems, introduced in Section 8.4. This mode of reasoning is axiomatized within the full turnstile $\Delta; \Gamma \vdash_{\mathsf{JC}}$. The core of this system is the $\Box$-Introduction rule, which allows to express constructive reasoning on linking existence. The idea is – ignoring contextual

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[2]One could alternatively use an additional constant symbol null and write $\mathsf{null}; \Gamma \vdash_{\mathsf{IPC}}$ to denote reasoning purely in T and, thus, in absence of any metatheoretic environment.

reasoning for simplicity – that linking a construction in T with a justification of its corresponding type in T' we obtain a proof of a constructive (or, admissible in T) validity. The rule in full (i.e. including contexts) corresponds to the construction of a link for a compound term based on existing link on its subterms. The full turnstile $\Gamma; \Delta \vdash$ is, hence, a modal logic that "zips" mutual reasoning between the two calculi. Within this framework we obtain a computational reading for justification logic restricted to K modal reasoning. Before presenting this mutual reasoning at any arbitrary level of nesting (i.e. arbitrary modal types), we first introduce $\mathsf{JCalc_1}$ which is a restriction of the type universe up to 1 level of $\square$-nesting.

We fix a countable universe of propositions (P_i) that corresponds to sentences of T. The elements of this universe can be inhabited either by constructions or justifications. We will need, accordingly, two kinds of inhabitation relations for each proposition. We will be writing $M : \phi$ for a construction M of type ϕ in T. We will be writing $j : \mathsf{Just}\ \phi$ to express the fact that j is a justification (proof in T') of the proposition ϕ. When there is no confusion we will be abbreviating this by $j :: \phi$. A construction in $M : \phi$ in T does not entail its necessity: to this aim, a corresponding justification $j : \mathsf{Just}\ \phi$ from T' has to be obtained. Vice versa, the justification (j) of ϕ in T' alone entails its validity but not its admissibility in T (*constructive necessity*). This is expressed by the proposition – type $\square^{\mathsf{j}}\phi$. A construction of $\square^{\mathsf{j}}\phi$ can be obtained only when the (weaker) theory T actually "responds" with a construction M of the type ϕ to the valid fact ϕ known from T' by

deducing j. Hence, once (and only if) we have $j :: \phi$ then $\square^{\mathsf{j}}\phi$ can be regarded as a well formed proposition. The stronger theory might be able to judge about $\square^{\mathsf{j}}\phi$ (given $j :: \phi$) and prove e.g, $u :: \square^{\mathsf{j}}\phi$. In that case T' "knows" that ϕ is admissible in T. In other words, when reasoning with justifications, the universe of types is *contextual*. To speak about an admissible (or, constructive) necessity of a proposition we require the existence of a corresponding proof object j in T' that establishes its validity.

8.2 Reasoning without foundational assumptions: IPC

Reasoning about the implicational fragment of the constructive theory (T), without formulating provability statements, is done within the implicational fragment of the simply typed lambda calculus. We start by giving the grammar for the metavariable ϕ used in the rules.

$$\phi := P_i | \phi \to \phi$$

The calculus is presented by introducing: the universe of types Prop_0; rules for constructing well-formed contexts of simple propositional assumptions Γ_0; the rules governing $\vdash_{\mathsf{IPC}}$.

$$\frac{}{P_i \in \mathsf{Prop_0}} \; \text{Atom}_0 \qquad \frac{\phi_1 \in \mathsf{Prop_0} \qquad \phi_2 \in \mathsf{Prop_0}}{\phi_1 \to \phi_2 \in \mathsf{Prop_0}} \; \text{Impl}_0$$

$$\frac{}{\mathsf{nil} \vdash \mathsf{wf}} \; \text{Nil}_0 \qquad \frac{\Gamma_0 \vdash \mathsf{wf} \qquad \phi \in \mathsf{Prop_0}}{\Gamma_0, x : \phi \vdash \mathsf{wf}} \; \Gamma_0\text{-Exp}$$

$$\frac{\Gamma_0 \vdash \mathsf{wf} \qquad x : P_i \in \Gamma_0}{\Gamma_0 \vdash x : P_i} \; \Gamma\text{-Refl}$$

$$\frac{\Gamma_0, x : \phi_1 \vdash M : \phi_2}{\Gamma_0 \vdash \lambda x : \phi_1. \; M : \phi_1 \to \phi_2} \; {\to}\text{I} \qquad \frac{\Gamma_0 \vdash M : \phi_1 \to \phi_2 \qquad \Gamma_0 \vdash M' : \phi_1}{\Gamma_0 \vdash (MM') : \phi_2} \; {\to}\text{E}$$

8.3   Reasoning in the Presence of Foundations:

A calculus of Justifications J

Reasoning in the presence of minimal foundations corresponds to reasoning on the existence of proof objects in the foundational theory T'. The minimal foundational assumptions from the logical point of view is that T' "knows" at

least as much logic as T does. The more non-logical axioms in T, the more the specifications T' should satisfy (one needs stronger foundations to justify stronger theories). Abstracting from any particular T and T', and assuming only that T incorporates minimal logic, the specifications about existence of proofs in T' are:

- to have "enough" types to provide – at least – an intended interpretation of every type ϕ of T to a unique type Just ϕ. In other words a subset of the types of T' should serve as interpretations of types in T;

- to have – at least – proof objects for all the instances of the axiomatic characterization of the IPC fragment described above;[3]

- to include some modus ponens rule which translates as: the existence of proof objects of types Just $(\phi \to \psi)$ and of type Just ϕ in T' should imply the existence of a proof object of the type Just ϕ.

8.3.1   Minimal Justification Logic J-Calc$_1$

Under these minimal requirements, we develop a minimal justification logic that is able to realize modal reasoning as reasoning on the existence of links between proofs of T and T'. We first realize modal reasoning restricted to formulae of degree (i.e. level of $\Box$-nesting) 1. Such a calculus will be used as a base to build a full modal calculus with justifications for formulae of

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[3]If we extend our fragment we should extend our specifications accordingly but this can be easily done directly as in full justification logic. We choose to remain within this fragment for economy of presentation.

arbitrary degree. Here is the grammar for the metavariables appearing below:

$$\phi :=P_i|\Box^{\mathsf{j}}\phi|\phi_1 \to \phi_2$$

$$j :=s_i|C|j_1 * j_2$$

$$t :=x_i|\lambda x_i : \phi.t|Js :: \phi.t$$

$$C :=\mathsf{K}[\phi_1, \phi_2]|\mathsf{S}[\phi_1, \phi_2, \phi_3]|C_1 * C_2$$

$$\pi :=\Pi s :: \phi_1.\ \phi_2|\Pi s :: \phi_1.\ \pi$$

$$\mathsf{T} :=\phi|\mathsf{Just}\ \phi|\pi$$

$$s :=s_i$$

$$x :=x_i$$

Reasoning on minimal foundations J_0

Reasoning about such a minimal metatheory is axiomatized in its own turnstile $(\vdash_{J_0})$.[4] Henceforth, judgments on the justificational type universe of J_0 (corresponding to formualae in the (co-)theory T') together with wf predicate for Δ_0 contexts go as follows:

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[4]This is the part of the calculus that corresponds directly to the algebra of justifications restricted to the applicative fragment.

$$\frac{}{\textsf{nil} \vdash_{\textsf{J}_0} \textsf{wf}} \ \textsc{Nil} \qquad \frac{\Delta_0 \vdash_{\textsf{J}_0} \textsf{wf} \qquad \Delta_0 \vdash_{\textsf{J}_0} \phi \in \textsf{Prop}_0}{\Delta_0 \vdash_{\textsf{J}_0} \textsf{Just } \phi \in \textsf{jtype}_0} \ \textsc{Simple}$$

$$\frac{\Delta_0 \vdash_{\textsf{J}_0} \textsf{Just } \phi \in \textsf{jtype}_0 \qquad s \notin \Delta_0}{\Delta_0, s :: \phi \vdash_{\textsf{J}_0} \textsf{wf}} \ \Delta_0\text{-}\textsc{App}$$

$$\frac{\Delta_0 \vdash_{\textsf{J}_0} \textsf{wf} \qquad s :: \phi \in \Delta}{\Delta_0 \vdash_{\textsf{J}_0} s :: \phi} \ \Delta_0\text{-}\textsc{Refl}$$

We add logical constants to satisfy the requirement that $\textsf{J}_0$ includes an axiomatic characterization of – at least – a fragment of $\textsf{IPC}$. Following justification logic, we define a signature of polymorphic constructors including $\textsf{K}, \textsf{S}$ from combinatory logic. The values of those constructors are axiomatic constants that witness existence of proofs in T' of all instances of the corresponding logical validities. This axiomatic characterization of intuitionistic logic in $\textsf{J}_0$ together with rule scheme $\textsf{Times}$ (*applicativity of justifications*) satisfy the minimal requirement for T' to reason logically.

Right=K$\Delta_0 \vdash_{\textsf{J}_0} \textsf{Just } \ \phi_1 \to \phi_2 \to \phi_1 \in \textsf{jtype}_0 \Delta_0 \vdash_{\textsf{J}_0} \textsf{K}[\phi_1, \phi_2] :: \phi_1 \to \phi_2 \to \phi_1$

$$\frac{\Delta_0 \vdash_{\textsf{J}_0} \textsf{Just } \ (\phi_1 \to \phi_2 \to \phi_3) \to (\phi_1 \to \phi_2) \to (\phi_1 \to \phi_3) \in \textsf{jtype}_0}{\Delta_0 \vdash_{\textsf{J}_0} \textsf{S}[\phi_1, \phi_2, \phi_3] :: (\phi_1 \to \phi_2 \to \phi_3) \to (\phi_1 \to \phi_2) \to (\phi_1 \to \phi_3)} \ \textsf{S}$$

$$\frac{\Delta_0 \vdash_{\mathsf{J_0}} j_2 :: \phi_1 \to \phi_2 \qquad \Delta_0 \vdash_{\mathsf{J_0}} j_1 :: \phi_1}{\Delta_0 \vdash_{\mathsf{J_0}} j_2 * j_1 :: \phi_2} \; \text{Times}$$

Zipping: $\mathsf{J\text{-}Calc}_1 = \mathsf{IPC} + \mathsf{J}_0 + \Box-\mathsf{Intro}$

In this section we introduce $\mathsf{J\text{-}Calc}_1$ for reasoning on the existence of links i.e. constructions that witness the existence of proofs both in IPC (T) and J_0 (T'). By constructing a link we have a proof of a constructive necessity of a formula, showing that it is true and valid. Links have types of the form $\Box^{\mathsf{j}}\phi$ where j is a justification of the appropriate type. $\mathsf{J\text{-}Calc}_1$ realizes modal logic theoremhood in K up to degree 1 (i.e. formulae where its subformula includes up to 1 level of $\Box$).

We start by importing well-formedness judgments for contexts and justificational types (Δ_0Wf, JustWf respectively), and for the Prop_1 universe and its contexts:

$$\frac{\Delta_0 \vdash_{\mathsf{J_0}} \mathsf{wf}}{\Delta_0; \mathsf{nil} \vdash_{\mathsf{JC_1}} \mathsf{wf}} \; \Delta_0 \text{WF} \qquad \frac{\Delta_0; \Gamma_1 \vdash_{\mathsf{JC_1}} \mathsf{wf} \qquad \Delta_0 \vdash_{\mathsf{J_0}} j :: \phi}{\Delta_0; \Gamma_1 \vdash_{\mathsf{JC_1}} j :: \phi} \; \text{Just}_0\text{WF}$$

$$\frac{\phi \in \mathsf{Prop_0} \qquad \Delta_0; \Gamma_1 \vdash_{\mathsf{JC_1}} j :: \phi}{\Delta_0; \Gamma_1 \vdash_{\mathsf{JC_1}} \square^j \phi \in \mathsf{Prop_1}} \; \text{Prop}_1\text{-Intro}$$

$$\frac{\Delta_0; \Gamma_1 \vdash_{\mathsf{JC_1}} \phi \in \{\mathsf{Prop_0}, \mathsf{Prop_1}\} \qquad x \notin \Gamma_1}{\Delta_0; \Gamma_1, x : \phi \vdash_{\mathsf{JC_1}} \mathsf{wf}} \; \Gamma_1\text{-App}$$

From justifications of formulas in $\mathsf{Prop_0}$, we can reason about their admissibility in T. Hence, Γ_1 might include assumptions from the sorts $\mathsf{Prop_0}$ and $\mathsf{Prop_1}$. For the inhabitation of $\mathsf{Prop_0}, \mathsf{Prop_1}$, we first accumulate intuitionistic reasoning extended to the new type universe ($\mathsf{Prop_1}$), adapting the rules from Section 8.2:

$$\frac{\Delta_0; \Gamma_1 \vdash_{\mathsf{JC_1}} \mathsf{wf} \qquad x : \phi \in \Gamma_1}{\Delta_0; \Gamma_1 \vdash_{\mathsf{JC_1}} x : \phi} \ \Gamma_1\text{-}\textsc{Refl}$$

$$\frac{\Delta_0; \Gamma_1, x : \phi_1 \vdash_{\mathsf{JC_1}} M : \phi_2}{\Delta_0; \Gamma_1 \vdash_{\mathsf{JC_1}} \lambda x : \phi_1. \ M : \phi_1 \rightarrow \phi_2} \ \rightarrow\mathrm{I}$$

$$\frac{\Delta_0; \Gamma_1 \vdash_{\mathsf{JC_1}} M : \phi_1 \rightarrow \phi_2 \qquad \Delta_0; \Gamma_1 \vdash_{\mathsf{JC_1}} M' : \phi_1}{\Delta_0; \Gamma \vdash_{\mathsf{JC_1}} (MM') : \phi_2} \ \rightarrow\mathrm{E}$$

For relating the two calculi, a lifting rule is formulated for turning strictly $\mathsf{Prop_0}$ judgments to judgments on proof links ($\mathsf{Prop_1}$). In the rule, the $\downharpoonleft$-operator ensures that context list $\downharpoonleft \Gamma$ includes assumptions strictly in $\mathsf{Prop_0}$. The operator $\downharpoonleft$ can be viewed as the opposite of *lift* operation applied on context lists erasing one level of boxed assumptions at the top level as described below.

$$\downharpoonleft \Gamma \ := \mathbf{match} \ \Gamma \ \mathbf{with}$$

$$\mathsf{nil} \Rightarrow \mathsf{nil}$$

$$\mid \Gamma', x_i' : \Box^j \phi_i \Rightarrow \ \downharpoonleft \Gamma', \ x_i : \phi_i$$

$$\mid \Gamma', \_ \Rightarrow \ \downharpoonleft \Gamma'$$

A corresponding iterative *let-binding* construct (let^*) is introduced simultane-

ously with the context lifting. The purpose of the iterative let binding is to extract the target(s) (T' terms) of existing links on subterms ($x_1 \ldots x_n$) of some composite term M in T and compose them to the target of the whole term M creating its residual. We show the operation of this construct in the example from section **??**.

$$let^* \; \Gamma \; :=$$

match Γ with

$$\mathsf{nil} \Rightarrow let \; () = ()$$

$$\mid \Gamma', x_i' : \Box^j \phi_i \Rightarrow \; (let^* \; \Gamma') \; in \; let \; link(x_i, j_i) = x_i'$$

$$\mid \Gamma', \_ \Rightarrow let^* \; \Gamma'$$

The $\Box$-Introduction rule goes as follows:

$$\frac{; \downarrow \Gamma_1 \vdash_{\mathsf{JC_1}} M : \phi \qquad \Delta_0; \Gamma_1 \vdash_{\mathsf{JC_1}} j :: \phi}{\Delta_0; \Gamma_1 \vdash_{\mathsf{JC_1}} (let^* \; \Gamma) \; in \; link \; (M, j) : \Box^j \phi} \; \Box\text{-}\textsc{Intro}$$

Finally, under empty Γ_1, we are permitting abstraction from a non-empty Δ_0. The resulting abstractions ($J-$terms), as we will see, are the inhabitants of modal types and correspond to linking processes. Their typing is, naturally, of Π-kind since the typing of a link is sensitive to its target code. We introduce

Π-formation and inhabitation rules:

$$\frac{\Delta_0, s :: \phi_1; \vdash_{\mathsf{JC}_1} \phi_2 \in \{\mathsf{Prop}_0, \mathsf{Prop}_1\}}{\Delta_0; \vdash_{\mathsf{JC}_1} \Pi s :: \phi_1.\phi_2 \in \Pi} \;\; \Pi \;\text{TYPE}_0$$

$$\frac{\Delta_0, s :: \phi_1; \vdash_{\mathsf{JC}_1} \pi \in \Pi}{\Delta_0; \vdash_{\mathsf{JC}_1} \Pi s :: \phi_1.\pi \in \Pi} \;\; \Pi \;\text{TYPE}_1$$

$$\frac{\Delta_0, s :: \phi; \vdash_{\mathsf{JC}_1} t : \mathsf{T}}{\Delta_0; \vdash_{\mathsf{JC}_1} Js :: \phi.\; t : \Pi s :: \phi.\mathsf{T}} \;\; \Pi\text{-INTRO}$$

$$\frac{\Delta_0; \vdash_{\mathsf{JC}_1} t : \Pi s :: \phi.\mathsf{T} \qquad \Delta_0; \vdash_{\mathsf{JC}_1} j :: \phi}{\Delta_0; \vdash_{\mathsf{JC}_1} (t\; j) : \mathsf{T}[\mathsf{s} := \mathsf{j}]} \;\; \Pi\text{-ELIM}$$

8.4   The Full Calculus: J-Calc

$\mathsf{J\text{-}Calc}_1$ motivates the generalization to modal reasoning of arbitrary nesting: $\mathsf{J\text{-}Calc}$. To allow such generalization, we need justifications of types of the form $\mathsf{Just}\;\Box^{\mathsf{j}}\phi$. Let us revise: If ϕ is a proposition (or, a sentence in the language of T), then $\mathsf{Just}\;\phi$ corresponds to the intended interpetation of ϕ in some (co-)theory T'. In $\mathsf{J\text{-}Calc}_1$ we could reason logically about the constructive

admissibility of (valid according to T') facts of T. The existence of a link of a proof in T with an existing proof of the same type in T' would lead to constructions of a type of the form $\Box^j\phi$ with ϕ a simple type. To get modal theoremhood of degree 2 or more we have to assume that T' can express the existence of such links in itself. That is to say that T' can express the provability predicates both of T *and* of itself. Hence, supposing that $j :: \phi$, we can read a justification term of type $\mathsf{Just}\ \Box^{\mathsf{j}}\phi$ as a witness of a proof in T' of the fact $\exists x. Proof_T(x, \underline{\phi}) \wedge \exists x. Proof_{T'}(x, \ \underline{\mathsf{Just}\ \phi})$ expressed in T'. We will specify which of those types T' is expected to *capture* by introducing additional appropriate constants. Having this kind of justifications we can obtain $\mathsf{Prop_i}$ for any finite i as slices of a type universe in a mutual inductive construction. Schematically: $\mathsf{Prop_0} \Rightarrow \mathsf{Just\ Prop_0} \Rightarrow \mathsf{Prop_1} \Rightarrow \mathsf{Just\ Prop_1}$ and so on. This way we obtain full minimal justification logic. As different kinds of judgments are kept separated by the different typing relations, we do not need to provide distinct calculi as we did for $\mathsf{J\text{-}Calc_1}$ but we provide one "zipped" calculus directly. [5]

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[5]In fact, adjoining Γ contexts when reasoning within justifications is pure weakening so we could have kept those judgments separated in a single–context $\vdash$ relation. We gain something though: we can squeeze two premises ($\Delta \vdash j :: \phi$, $\Delta; \Gamma \vdash \mathsf{wf}$) to a single one ($\Delta; \Gamma \vdash j :: \phi$).

8.4.1 Justificational (Validity) Judgments

The justificational type system has to include: judgments on the wellformed-ness of contexts (wf);[6] judgments on what T' can reason about (jtype) under the requirement that it is a metatheory of T; judgments on the construction of the justificational type universe (jtype) and minimal requirements about its inhabitation (i.e, *a minimal signature of logical constants*). The grammar of terms is the same as in section 8.3.1, the difference now is that the restrictions on the *Prop* universe are dropped.

We introduce progressively: formation rules for Prop; the formation rule for jtype; rules to build well-formed contexts of propositions and justifications (where we will be abbreviating using the following equational rule: $\mathsf{nil}, s_1 ::$ $\phi_1, s_2 :: \phi_2, \ldots =^{def} s_1 :: \phi_1, s_2 :: \phi_2, \ldots$).

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[6]Analogous treatments of judgments on the validity of contexts can be found e.g. in [58].

$$\frac{}{\mathsf{nil}; \mathsf{nil} \vdash_{\mathsf{JC}} \mathsf{wf}} \ \text{NIL} \qquad \frac{\Delta; \Gamma \vdash_{\mathsf{JC}} \mathsf{wf}}{\Delta; \Gamma \vdash_{\mathsf{JC}} P_i \in \mathsf{Prop}} \ \text{ATOM}$$

$$\frac{\Delta; \Gamma \vdash_{\mathsf{JC}} \phi_1 \in \mathsf{Prop} \qquad \Delta; \Gamma \vdash_{\mathsf{JC}} \phi_2 \in \mathsf{Prop}}{\Delta; \Gamma \vdash_{\mathsf{JC}} \phi_1 \to \phi_2 \in \mathsf{Prop}} \ \text{IMPL}$$

$$\frac{\Delta; \Gamma \vdash_{\mathsf{JC}} j :: \phi}{\Delta; \Gamma \vdash_{\mathsf{JC}} \square^j \phi \in \mathsf{Prop}} \ \text{BOX} \qquad \frac{\Delta; \Gamma \vdash_{\mathsf{JC}} \phi \in \mathsf{Prop}}{\Delta; \Gamma \vdash_{\mathsf{JC}} \mathsf{Just}\ \phi \in \mathsf{jtype}} \ \text{JTYPE}$$

$$\frac{\Delta; \Gamma \vdash_{\mathsf{JC}} \mathsf{Just}\ \phi \in \mathsf{jtype} \qquad s \notin \Delta}{\Delta, s :: \phi; \Gamma \vdash_{\mathsf{JC}} \mathsf{wf}} \ \Delta\text{-APP}$$

$$\frac{\Delta; \Gamma \vdash_{\mathsf{JC}} \phi \in \mathsf{Prop} \qquad x \notin \Gamma}{\Delta_0; \Gamma, x : \phi \vdash \mathsf{wf}} \ \Gamma\text{-APP}$$

Prop **Inhabitation**

Here is the first part of logical propositional reasoning of the system.

$$\frac{\Delta; \Gamma \vdash_{\mathsf{JC}} \mathsf{wf} \qquad x : \phi \in \Gamma}{\Delta; \Gamma \vdash_{\mathsf{JC}} x : \phi} \ \Gamma\text{-REFL}$$

$$\frac{\Delta; \Gamma, x : \phi_1 \vdash_{\mathsf{JC}} M : \phi_2}{\Delta; \Gamma \vdash_{\mathsf{JC}} \lambda x : \phi_1.\ M : \phi_1 \to \phi_2} \to\!\mathrm{I}$$

$$\frac{\Delta; \Gamma \vdash_{\mathsf{JC}} M : \phi_1 \to \phi_2 \qquad \Delta; \Gamma \vdash_{\mathsf{JC}} M' : \phi_1}{\Delta; \Gamma \vdash_{\mathsf{JC}} (MM') : \phi_2} \to\!\mathrm{E}$$

jtype **Inhabitation**

Now we move to the core of the system. In the judgments below we provide the constructions of canonical elements of justificational types (jtype). The judgments reflect the minimal requirements for T' to be a metatheory of some T as presented in Section 8.3.1 together with specifications on internalizing proof links reasoning in itself. More specifically, we demand that T' can *capture* reasoning on links (between proof objects of T and itself) *within* itself and also, internalize modus ponens of T. To capture these provability conditions we add the constant constructors ! (*bang*) and Kappa. Although introduction of links is axiomatized in the next section, the judgments concerning the ! and Kappa constructors should be viewed in conjunction with $\Box - Intro$. They witness the fact that T' internalizes modus ponens (of T) and linking existence (again of T).

$$\frac{\Delta; \Gamma \vdash_{\mathsf{JC}} \mathsf{Just}\ \ \phi_1 \to \phi_2 \to \phi_1 \in \mathsf{jtype}}{\Delta; \Gamma \vdash_{\mathsf{JC}} \mathsf{K}[\phi_1, \phi_2] :: \phi_1 \to \phi_2 \to \phi_1} \ \mathrm{K}$$

$$\frac{\Delta; \Gamma \vdash_{\mathsf{JC}} \mathsf{Just}\ \ (\phi_1 \to \phi_2 \to \phi_3) \to (\phi_1 \to \phi_2) \to (\phi_1 \to \phi_3) \in \mathsf{jtype}}{\Delta; \Gamma \vdash_{\mathsf{JC}} \mathsf{S}[\phi_1, \phi_2, \phi_3] :: (\phi_1 \to \phi_2 \to \phi_3) \to (\phi_1 \to \phi_2) \to (\phi_1 \to \phi_3)} \ \mathrm{S}$$

$$\frac{\Delta; \Gamma \vdash_{\mathsf{JC}} j_2 :: \phi_1 \to \phi_2 \qquad \Delta; \Gamma \vdash_{\mathsf{JC}} j_1 :: \phi_1}{\Delta \vdash_{\mathsf{J}} j_2 * j_1 :: \phi_2} \ \mathrm{Times}$$

$$\frac{\Delta; \mathsf{nil} \vdash_{\mathsf{JC}} M : \Box^{\mathsf{C}} \phi}{\Delta; \Gamma \vdash_{\mathsf{JC}} !C :: \Box^{\mathsf{C}} \phi} \ \mathrm{Bang}$$

$$\frac{\Delta; \Gamma \vdash_{\mathsf{JC}} \mathsf{Just}\ \Box^{\mathsf{j}'} \phi_1 \in \mathsf{jtype} \qquad \Delta; \Gamma \vdash_{\mathsf{JC}} \mathsf{Just}\ \Box^{\mathsf{j}} (\phi_1 \to \phi_2) \in \mathsf{jtype}}{\Delta; \Gamma \vdash_{\mathsf{JC}} \mathsf{Kappa}[j, j', \phi_1, \phi_2] :: \Box^{\mathsf{j}} (\phi_1 \to \phi_2) \to \Box^{\mathsf{j}'} \phi_1 \to \Box^{\mathsf{j} * \mathsf{j}'} \phi_2} \ \mathrm{Kappa}$$

8.4.2 Proof Links

Our next task is to formulate the main rule for the K modality as a lifting rule for going from reasoning about constructions to reasoning about admissibility of validities via proof linking. To reflect the modal axiom K in Natural Deduction we have to obtain a rule that reflects the following provability principle:

$$\frac{\phi_1 \text{ true}, \ldots, \phi_n \text{ true} \vdash \phi \text{ true} \qquad \phi_1 \text{ valid}, \ldots, \phi_n \text{ valid} \vdash \phi \text{ valid}}{\Box\phi_1 \text{ true}, \ldots, \Box\phi_n \text{ true}, \ldots \vdash \Box\phi \text{ true}} \ \Box\text{-Intro}$$

We proceed with giving inhabitants analogously to what was explained in Section 8.3.1:[7]

$$\frac{\Delta; \downarrow \Gamma \vdash_{\mathsf{JC}} M : \phi \qquad \Delta; \Gamma \vdash_{\mathsf{JC}} j :: \phi}{\Delta; \Gamma \vdash_{\mathsf{JC}} (let^* \ \Gamma) \ in \ link \ (M, j) : \Box^j \phi} \ \Box\text{-Intro}$$

Finally, abstraction from Δ contexts over empty Γ contexts applies in the extended type universe:

$$\frac{\Delta, s :: \phi; \vdash_{\mathsf{JC}} t : \mathsf{T}}{\Delta; \vdash_{\mathsf{JC}} Js :: \phi. \ t : \Pi s :: \phi.\mathsf{T}} \ \Pi\text{-Intro}$$

$$\frac{\Delta; \vdash_{\mathsf{JC}} t : \Pi s :: \phi.\mathsf{T} \qquad \Delta_0; \vdash_{\mathsf{JC}} j :: \phi}{\Delta; \vdash_{\mathsf{JC}} (t \ j) : \mathsf{T}[\mathsf{s} := \mathsf{j}]} \ \Pi\text{-Elim}$$

---

[7]We prefer this to the mouthful but equivalent:

$$\frac{\Delta; x_1 : \phi_1, \ldots, x_i : \phi_i \ as \ \Gamma \vdash M : \phi}{\forall \phi_i \in \Gamma. \ \Delta'; \mathsf{nil} \vdash j_i :: \phi_i \qquad \Delta'; \mathsf{nil} \vdash j :: \phi \qquad \Delta'; x_1 : \Box^{j_1}\phi_i, \ldots, \Box^{j_i}\phi_i \vdash \mathsf{wf}}{\Delta'; x_1 : \Box^{j_1}\phi_i, \ldots, \Box^{j_i}\phi_i \vdash JBox \ j : \Box^j \phi} \ \Box\text{-Intro}$$

8.5 Further Results and Conclusions

Standard meta-theoretical results can be proven for J-Calc. We just mention here that the iterative *let* operator satisfies standard commutativity with the substitution rule for justifications and that structural rules can be proven. We will be skipping the index in $\vdash_{\mathsf{JC}}$.

Weakening J-Calc satisfies Weakening in both modes of reasoning:

1. If $\Delta; \mathsf{nil} \vdash j :: \phi$, and $\Delta; \Gamma \vdash \mathsf{wf}$ then, $\Delta; \Gamma \vdash j :: \phi$.

2. If $\Delta; \Gamma \vdash j :: \phi$, then $\Delta, s :: \phi'; \Gamma \vdash j :: \phi$, with s fresh.

3. If $\Delta; \Gamma \vdash M : \phi$, then $\Delta; \Gamma, x : \phi' \vdash M : \phi$, with x fresh.

Proof. For all items by structural induction on the derivation trees of the two kinds of constructions. The proof of the first is vacuous since Γ contexts are irrelevant in justification formation. As a result, its inverse can also be shown. $\qquad\square$

Contraction J-Calc satisfies Contraction:

1. If $\Delta, s :: \phi, t :: \phi; \mathsf{nil} \vdash j :: \phi'$, then $\Delta, u :: \phi; \mathsf{nil} \vdash j[s \equiv t/u] :: \phi'$.

2. If $\Delta, s :: \phi, t :: \phi; \Gamma \vdash \mathsf{wf}$, then, $\Delta, u :: \phi; \Gamma[s \equiv t/u] \vdash \mathsf{wf}$.

3. If $\Delta, s :: \phi, t :: \phi; \Gamma \vdash M : \phi'$, then, $\Delta, u :: \phi; \Gamma[s \equiv t/u] \vdash M[s \equiv t/u] : \phi'[s \equiv t/u]$.

4. If $\Delta; \Gamma, x : \phi, y : \phi \vdash M : \phi'$, then $\Delta; \Gamma, z : \phi \vdash M[x \equiv y/z] : \phi'$.

Proof. First item by structural induction on the derivation trees of justifications (validity judgments). Note, as mentioned in the previous theorem, that it can be shown for arbitrary Γ. For the second, nested induction on the structure of context Γ (treated as list) and the complexity of formulas. Vacuously in the nil case. For the non-empty case: case analysis on the complexity of the head formula using the inductive hypothesis on the tail. Cases of interest are with $\Box^s\phi$ or $\Box^t\phi$ as subformulae. Use the previous item and judgments for wf contexts. For the third and the fourth, again by structural induction on the derivation. $\qquad\Box$

In a similar fashion we can show the more general:

Preservations of Types under Substitution J-Calc preserves types under substitution and simultaneous substitution:

1. If $\Delta; \Gamma, x : \phi \vdash t : \mathsf{T}$, and $\Delta; \Gamma \vdash M : \phi$ then $\Delta; \Gamma \vdash t[x/M] : \mathsf{T}$

2. If $\Delta, s :: \phi, \Delta'; \Gamma \vdash t : \mathsf{T}$, and $\Delta; \vdash j :: \phi$ then $\Delta, \Delta'[s/j]; \Gamma[s/j] \vdash t[s/j] : \mathsf{T}[s/j]$

We additionally mention that the calculus satisfies *permutation* for both contexts Δ and Γ with the restriction that the permutations in Δ should not break the chain of dependencies. Lastly, we mention here that under standard *let*-binding evaluation and application as β-reduction within a dependently typed framework, a small step operational semantics has been developed and progress and preservation can be shown.

For future work, we plan to extend the computational relevance of the full calculus (JCalc) by establishing its connection with higher-order module systems (e.g. where module signatures can refer to other module signatures which, in turn, are implemented by a third module). Linking processes in such systems would utilize our type system in full. Cut-elimination results are currently under development.

9

An implementation of Jcalc in a
modern metaprogramming framework

Appendices

.1 Theorems

Basic Facts about the type universe With A, U metavariables ranging over the following grammar

$$A := P_i \,|\, A \supset A \,|\, \Box A \,|\, [\![A]\!]$$

and

$$U := \mathsf{Prop_0} \,|\, \mathsf{Prop_1} \,|\, [\![\mathsf{Prop_0}]\!]$$

1. Given any judgment of the scheme $\dfrac{\mathcal{D}}{A \in U}$ then $\mathcal{D}$ is the **unique** derivation of $A \in U$.

   From now on we will be omitting the type construction derivations and write, informally, $A \in U$ (resp, $\Gamma \in U$) denoting the unique such derivation(s).

2. If $A \in \mathsf{Prop}$ then one of the following holds exclusively : $A \in \mathsf{Prop_0}$ or $A \in \mathsf{Prop_1}$.

3. If $A \in \mathsf{Prop}$ then $A \notin [\![Prop_0]\!]$.

4. If $\Box A \in \mathsf{Prop}$ then $\Box A \in \mathsf{Prop_1}$.

5. If $\Box A \in \mathsf{Prop_1}$ then $A \in \mathsf{Prop_0}$.

6. If $\Box A \in \mathsf{Prop_1}$ then $[\![A]\!] \in [\![\mathsf{Prop_0}]\!]$.

7. If $[\![A]\!] \in U$ then $U = [\![\mathsf{Prop_0}]\!]$ and $A \in \mathsf{Prop_0}$.

8. If $A \in \mathsf{Prop_1}$ then $[\![A]\!] \notin U$ for any U.

9. If A is of the form $\Box\Box A'$ then $A \notin U$ for any U.

10. If $A \in U$ then A cannot have any subformula of the form $\square\square A'$.

Proof. 1. By double induction on the structure of the formula and the structure of the appropriate derivations.

2. For the exclusive argument by double induction on the structure of the formula and the structure of the appropriate derivations.

3. By contradiction. If $A \in \llbracket \mathsf{Prop_0} \rrbracket$ then A is of the form $\llbracket A' \rrbracket$. By induction on the derivations: if $A \in \mathsf{Prop_{0,1}}$ it cannot be of such form. Using item 1 we arrive in contradiction.

4. Trivially by case analysis.

5. Directly by the previous item.

6. Trivial by previous item.

7. Trivial by case analysis on the derivation

8. By contradiction. Using the previous item and item 2.

9. By contradiction. Assume that $\square\square A' \in U$ is derivable. The only rule that could have been applied is BOX which requires a proof of the premise $\square A' \in \mathsf{Prop_0}$. That is impossible based on previous item.

10. By induction on the derivations $A \in U$ and using the – standard – definition of subformula relation.

$\square$

Basic Facts on Context Wellformedness For every derived judgment of the scheme $\mathcal{CX} \vdash \mathcal{WF}$ with $\mathcal{WF} := \mathsf{wf} \mid \llbracket \mathsf{wf} \rrbracket$ and $\mathcal{CX}$ a metavariable ranging over lists of labeled formulae.

1. If $\mathcal{WF} = \mathsf{wf}$ then $\mathcal{CX} = \Gamma$ where, exclusively, either $\Gamma \in \mathsf{Prop}$ or $\Gamma = \bullet$, and $\Gamma \nvdash [\![\mathsf{wf}]\!]$.

2. If $\mathcal{WF} = [\![\mathsf{wf}]\!]$ then $\mathcal{CX} = \Delta$ where exclusively, either $\Delta \in [\![\mathsf{Prop_0}]\!]$ or $\Delta = \circ$, and $\Delta \nvdash \mathsf{wf}$.

3. If $\mathcal{CX} = \Gamma, x : A$ then $\mathcal{WF} = \mathsf{wf}$, $A \in \mathsf{Prop}$ and, $\Gamma \vdash \mathsf{wf}$.

4. If $\mathcal{CX} = \Delta, s : A$ then $\mathcal{WF} = [\![\mathsf{wf}]\!]$, $A \in [\![\mathsf{Prop_0}]\!]$ and $\Delta \vdash [\![\mathsf{wf}]\!]$.

5. If $\mathcal{CX} = [\![\Gamma]\!]$ for some $\Gamma \vdash \mathsf{wf}$ then $\mathcal{WF} = [\![\mathsf{wf}]\!]$ and either, $\Gamma = \bullet$ or $\Gamma \in \mathsf{Prop_0}$.

Proof. ● Items $1, 2$ are trivial by case analysis on the derivations for context wellformedness. For items $3, 4$ notice that x, x_i labels can only be part of a Prop context and similarly for s, s_1 labels.

● By contradiction, assume $A \in \Gamma$ s.t. $A \notin \mathsf{Prop_0}$ from 1 it can only be $A \in \mathsf{Prop}$. Hence $A \in \mathsf{Prop_1}$ (using item 1 in .1) but then $[\![A]\!]$ is not an element of any universe (using item 8 in .1) and hence it cannot be in the co–domain of any well formed context.

$\square$

Guarded $\square_{IE}$ rule application All instances of the $\square_{IE}$ rule apply with context $\Gamma' \in \mathsf{Prop_0}$.

Proof. Trivially by the previous item. $\square$

Separation of Judgments For every judgment of the scheme $Ctx \vdash A$ with a derivation in JCalc one of the two holds exclusively:

1. (**Prop Judgments**) $Ctx = \Gamma$ with $\Gamma \vdash$ wf and $\Gamma, A \in$ Prop or,

2. ($[\![\text{Prop}_0]\!]$ **Judgments**) $Ctx = \Delta$ with $\Delta \vdash [\![\text{wf}]\!]$ and $\Delta, A \in [\![\text{Prop}_0]\!]$

Proof. By induction on the derivations of this scheme. Using some obvious inversion facts such as if $[\![A_1 \supset A_2]\!] \in [\![\text{Prop}_0]\!]$ then $A \supset A_2 \in \text{Prop}_0$ and whence, $A_2 \in \text{Prop}_0$ and $[\![A_2]\!] \in [\![\text{Prop}_0]\!]$ and facts in .1.   □

Deduction Theorem for Validity Judgments With $\Delta \vdash [\![\text{wf}]\!]$, if $\Delta, s : [\![A]\!] \vdash [\![B]\!]$ then $\Delta \vdash [\![A \supset B]\!]$.

Proof. The proof is essentially the deduction theorem for a Hilbert style formulation of the corresponding fragment of propositional logic and we do not show it here for economy. Note that this theorem cannot be proven without the logical specification Ax_1, Ax_2. I.e. it is exactly the requirements of the logical specification that ensure that all interpretations should adequately embed intuitionistic implication.   □

$[\![\cdot]\!]$**Lifting Lemma** Given any wellformed context of assumptions $\Gamma \vdash$ wf and $\Gamma, A \in \text{Prop}_0$ then $\Gamma \vdash A \implies [\![\Gamma]\!] \vdash [\![A]\!]$.

Proof. The proof goes by induction on the derivations trivially for all the cases($\supset_E$ is treated using the App rule that internalizes Modus ponens). For the $\supset I$ the previous theorem has to be used.   □

$\Box$**Lifting Lemma** For $\Gamma, A \in \text{Prop}_0$, then $\Gamma \vdash A$ implies $\Box\Gamma \vdash \Box A$.

Proof. Assuming a derivation $\mathcal{D} :: \Gamma \vdash A$ from .1 there exists corresponding validity derivation $\mathcal{E} :: [\![\Gamma]\!] \vdash [\![A]\!]$. Using the two as premises in the $\square_{IE}$ with $\Gamma := \square\Gamma$ we obtain $\square\Gamma \vdash \square A$. $\qquad\square$

From the previous we get: Let us show an inverse principle to the $\square$ Lifting Lemma. We define for A in **Prop**:

$$\downarrow P_i \ = P_i$$

$$\downarrow (A_1 \supset A_2) = \downarrow A_1 \supset \downarrow A_2$$

$$\downarrow \square A = \downarrow A$$

And the lifting of the $\downarrow$ over $\Gamma \in$ **Prop**. We get:

Collapse $\square$ Lemma If $\Gamma \vdash A$ for $\Gamma, A \in$ **Prop** then $\downarrow \Gamma \vdash \downarrow A$.

Weakening For the N.D. system of JCalc, with $\Gamma, \Gamma' \vdash$ wf and $\Delta, \Delta' \vdash [\![wf]\!]$.

1. If $\Gamma \vdash A$ then $\Gamma, \Gamma' \vdash A$.

2. If $\Delta \vdash [\![A]\!]$ then $\Delta, \Delta' \vdash [\![A]\!]$.

Proof. By induction on derivations. $\qquad\square$

Contraction For the N.D. system of JCalc, with $\Gamma, x : A, x' : A, \Gamma' \vdash$ wf and $\Delta, s : [\![A]\!], s' : [\![A]\!], \Delta' \vdash [\![wf]\!]$.

1. If $\Gamma, x : A, x' : A, \Gamma' \vdash B$ then $\Gamma, x : A, \Gamma' \vdash B$.

2. If $\Delta, s : [\![A]\!], s' : [\![A]\!], \Delta' \vdash [\![B]\!]$ then $\Delta, s : [\![A]\!], \Delta' \vdash [\![B]\!]$.

Proof. By induction on derivations. $\qquad\square$

Permutation For the N.D. system of JCalc, with $\Gamma \vdash \mathsf{wf}$ and $\Delta \vdash [\![\mathsf{wf}]\!]$ and $\pi\Gamma$ and $\pi\Delta$ the collection of well-formed contexts of assumptions with the same co-domain of Γ, Δ we get

1. If $\Gamma \vdash A$ and $\Gamma' \in \pi\Gamma$ then $\Gamma' \vdash A$.

2. If $\Delta \vdash [\![A]\!]$ and $\Delta' \in \pi\Delta$ then $\Delta' \vdash [\![A]\!]$.

Proof. By induction on derivations. $\qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad\square$

Substitution Principle The following hold for both kinds of judgments:

1. If $\Gamma, x : A \vdash B$ and $\Gamma \vdash A$ then $\Gamma \vdash B$

2. If $\Delta, s : [\![A]\!] \vdash [\![B]\!]$ and $\Delta \vdash [\![A]\!]$ then $\Delta \vdash [\![B]\!]$

All previous 9 theorems can be stated for proof terms too. We should discuss the following:

Deduction Theorem / Emulation of λ abstraction With $\Delta \vdash [\![\mathsf{wf}]\!]$, if $\Delta, s : [\![A]\!] \vdash \mathsf{j} : [\![B]\!]$ then there exists j' s.t. $\Delta \vdash \mathsf{j}' : [\![A \supset B]\!]$.

$[\![\cdot]\!]$**Lifting Lemma for terms** If $\Gamma A \in \mathsf{Prop}_0$ and $\Gamma \vdash M : A$ then there exists j s.t. $[\![\Gamma]\!] \vdash \mathsf{j} : [\![A]\!]$.

In both theorems the existence of this j, j' is algorithmic following the induction proof.
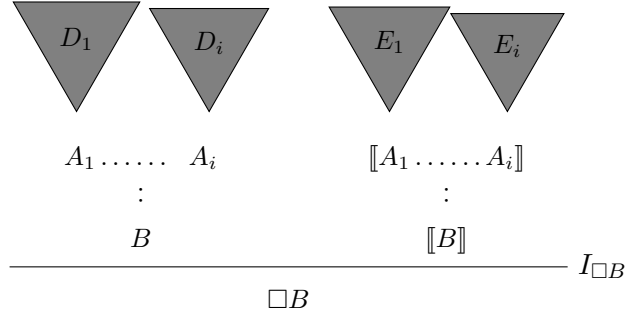
.2　Linking on the function space

The above mentioned algorithms permit for translating λ abstractions to polynomials of S, K combinators which is a standard result in the literature.

We do not give the details here but the translation is syntax driven as it can be seen by the inductive nature of the proofs.

Henceforth, we can generalize the construction in 6.1 so that it permits for dynamic linking of functions of the client (with missing implementations) such as $\lambda n : \texttt{int}.\texttt{push n empty}$ dynamically given that the host actually implements a higher-order function space (that is it implements the combinators S, K in, say, own lambda calculus λ^J). Given implementations of `push_impl`, `empty_impl` the linker produces an application expression consisting of `push_impl`, `empty_impl`, S and K. The execution of the target expression will happen in the host after dereferencing $\mathsf{push\_impl}, \mathsf{empty\_impl}$ (dynamic part) and the combinators S, K (constant part) as, say, lambdas (e.g. $K = \lambda^J x.\lambda^J y.x$).

.3 Gentzen's reduction Principle for $\Box$(General)

$$
\cfrac{
\cfrac{
\begin{array}{c} D_1 \\ A_1 \end{array}
}{\Box A_1}
\quad
\cfrac{
\begin{array}{c} E_1 \\ [\![A_1]\!] \end{array}
}{}
\quad \ldots \quad
\cfrac{
\begin{array}{c} D_i \\ A_i \end{array}
}{\Box A_i}
\quad
\cfrac{
\begin{array}{c} E_1 \\ [\![A_i]\!] \end{array}
}{}
\quad
\cfrac{\cfrac{\overline{A_1 \ldots A_i}^{\;\vec{x}}}{\vdots}}{B}
\quad
\cfrac{\cfrac{\overline{[\![A_1 \ldots A_i]\!]}^{\;\vec{s}}}{\vdots}}{[\![B]\!]}
}{\Box B} I_{\Box B} E^{x,s}_{\Box A}
$$

$$\Longrightarrow_R$$

$$\cfrac{\begin{array}{ccc} \overset{\displaystyle D_1 \quad D_i}{A_1\ldots\ldots\ A_i} & & \overset{\displaystyle E_1 \quad E_i}{[\![A_1\ldots\ldots A_i]\!]} \\ \vdots & & \vdots \\ B & & [\![B]\!] \end{array}}{\Box B}\ I_{\Box B}$$

.4   Notes on the cut elimination proof and normalization of natural deduction

Standardly, we add the bottom type and elimination rule in the natural deduction and show that in Jcalc $+ \perp$: $\nvdash \perp$. The addition goes as follows:

$$\frac{}{\perp \in \mathsf{Prop}_0}\ \textsc{Bot} \qquad\qquad \frac{\Gamma \vdash \perp \qquad A \in \mathsf{Prop}}{\Gamma \vdash A}\ E_\perp$$

Our proof strategy follows directly [**?**]. We construct an intercalation calculus [**?**] corresponding to the Prop fragment with the following two judgments:

$A \Uparrow$ for "Proposition A has normal deduction".

$A^\downarrow$ for "Proposition A is extracted from hypothesis".

This calculus is, essentially, restricting the natural deduction to canonical derivations. The $[\![\mathsf{judgments}]\!]$ are not annotated and are directly ported from the natural deduction since we observe consistency in Prop. The construction

is identical to [**?**] (Chapter 3) for the Hypotheses, Coercion, $\supset, \bot$ cases, we add the $\Box$ case.

$$\frac{x : A \downarrow \in \Gamma^\downarrow}{\Gamma^\downarrow \vdash^- A \downarrow} \; \Gamma\text{-}\textsc{hyp} \qquad\qquad \frac{\Gamma^\downarrow \vdash^- A \downarrow}{\Gamma^\downarrow \vdash^- A \Uparrow} \downarrow\!\Uparrow$$

$$\frac{\Gamma^\downarrow, x : A \downarrow \vdash^- B \Uparrow}{\Gamma^\downarrow \vdash^- A \supset B \Uparrow} \supset\!\mathrm{I}^x \quad \frac{\Gamma^\downarrow \vdash^- A \supset B \downarrow \quad \Gamma^\downarrow \vdash^- A \Uparrow}{\Gamma^\downarrow \vdash^- B \downarrow} \supset\!\mathrm{E}$$

$$\frac{\Gamma^\downarrow \vdash^- \bot \downarrow \quad A \in \mathsf{Prop}}{\Gamma^\downarrow \vdash^- A \Uparrow} \; E_\bot$$

$$\frac{\Gamma^\downarrow \vdash \Box\Gamma' \downarrow \quad \Gamma'^\downarrow \vdash A \Uparrow \quad [\![\Gamma']\!] \vdash [\![A]\!]}{\Gamma^\downarrow \vdash \Box A \Uparrow} \; \Box_{IE}$$

Where $\Gamma^\downarrow \vdash \Box\Gamma'$ abbreviates $\forall A_i \in \Gamma'.\ \Gamma^\downarrow \vdash \Box A_i \downarrow$. We prove simultaneously by induction:

Soundness of Normal Deductions The following hold:

1. If $\Gamma^\downarrow \vdash^- A \Uparrow$ then $\Gamma \vdash A$, and

2. If $\Gamma^\downarrow \vdash^- A \downarrow$ then $\Gamma \vdash A$.

Proof. Simultaneously by induction on derivations. □

It is easy to see that this restricted proof system $\not\vdash {}^-\bot \Uparrow$. It is hard to show its completeness to the non-restricted natural deduction ($\vdash +\bot_E$ of

Jcalc) directly. For that reason we add a rule to make it complete ($\vdash^+$) preserving soundness and get a system of Annotated Deductions. We show the correspondence of the restricted system ($\vdash^-$) to a cut-free sequent calculus (JSeq), the correspondence of the extended system ($\vdash^+$) to Jseq + Cut and show cut elimination.[1]

To obtain completeness we add the rule:

$$\frac{\Gamma^\downarrow \vdash A \Uparrow}{\Gamma^\downarrow \vdash A \downarrow} \Uparrow\downarrow$$

We define $\vdash^+ := \ \vdash^-$ with $\Uparrow\downarrow$Rule. We show:

Soundness of Annotated Deductions The following hold:

1. If $\Gamma^\downarrow \vdash^+ A \Uparrow$ then $\Gamma \vdash A$, and

2. If $\Gamma^\downarrow \vdash^+ A \downarrow$ then $\Gamma \vdash A$.

Proof. As previous item. $\qquad\qquad\square$

Completeness of Annotated Deductions The following hold:

1. If $\Gamma \vdash A$ then, $\Gamma \downarrow\vdash^+ A \Uparrow$, and

2. If $\Gamma \vdash A$, then $\Gamma \downarrow\vdash^+ A \downarrow$.

Proof. By induction over the structure of the $\Gamma \vdash A$ derivation. $\qquad\square$

---

[1]In reality, the sequent calculus formulation is built exactly upon intuitions on the intercalation calculus. We refer the reader to the references.

Next we move with devising a sequent calculus formulation corresponding to normal proofs $\Gamma^\downarrow \vdash^- A \Uparrow$. The calculus that is given in the main body of this theorem. We repeat it here for completeness.

Sequent Calculus ($[\![\mathsf{Prop_0}]\!]$)

$$\Delta \Rightarrow [\![A]\!] := \ \exists \Delta' \in \pi(\Delta) \text{ s.t } \Delta' \vdash [\![A]\!]$$

where $\pi(\Delta)$ is the collection of wellformed $[\![\mathsf{Prop_0}]\!]$ contexts $\Delta' \vdash [\![\mathsf{wf}]\!]$ with some permutation of the multiset Δ as co–domain.

Sequent Calculus (Prop)

$$\frac{}{\Gamma, A \Rightarrow A} \, Id \qquad\qquad \frac{\Gamma, A \supset B, B \Rightarrow C \qquad \Gamma, A \supset B \Rightarrow A}{\Gamma, A \supset B \Rightarrow C} \supset_L$$

$$\frac{\Gamma, A \Rightarrow B}{\Gamma \Rightarrow A \supset B} \supset_R \qquad\qquad\qquad \frac{}{\Gamma, \bot \Rightarrow A} \bot_L$$

$$\frac{\Box\Gamma', \Gamma' \Rightarrow A \qquad [\![\Gamma']\!] \Rightarrow [\![A]\!] \qquad \Gamma \in \mathsf{Prop}}{\Gamma, \Box\Gamma' \Rightarrow \Box A} \Box_{LR}$$

We want to show correspondence of the sequent calculus w.r.t normal proofs ($\vdash^-$). Two lemmas are required to show soundness.

Substitution principle for extractions The following hold:

1. If $\Gamma_1^\downarrow, x : A^\downarrow, \Gamma_2^\downarrow \vdash^- B \Uparrow$ and

   $\Gamma_1^\downarrow \vdash^- A \Uparrow$ then $\Gamma_1^\downarrow, \Gamma_2^\downarrow \vdash^- B \Uparrow$

2. If $\Gamma_1^\downarrow, x : A^\downarrow, \Gamma_2^\downarrow \vdash^- B \downarrow$ and $\Gamma_1^\downarrow \vdash^- A \downarrow$ then $\Gamma_1^\downarrow, \Gamma_2^\downarrow \vdash^- B \Uparrow$

Proof. Simultaneously by induction on the derivations $A \downarrow$ and $A \Uparrow$. $\qquad \square$

And making use of the previous we can show, with ($\downharpoonleft A$ defined previously):

Collapse principle for normal deductions The following hold:

1. If $\Gamma^\downarrow, \vdash^- A \Uparrow$ then $\downharpoonleft \Gamma^\downarrow \vdash^- \downharpoonleft A \Uparrow$ and,

2. If $\Gamma^\downarrow \vdash^- A \downarrow$ then $\downharpoonleft \Gamma^\downarrow \vdash^- \downharpoonleft A \downarrow$

Using the previous lemmas and by induction we can show :

Soundness of the Sequent Calculus If $\Gamma \Rightarrow B$ then $\Gamma^\downarrow \vdash^- B \Uparrow$.

Soundness of the Sequent Calculus with Cut If $\Gamma \Rightarrow^+ B$ then $\Gamma^\downarrow \vdash^+ B \Uparrow$.

Next we define the $\Gamma \Rightarrow^+ A$ as $\Gamma \Rightarrow A$ plus the rule:

$$\frac{\Gamma \Rightarrow^+ A \qquad \Gamma, A \Rightarrow^+ B}{\Gamma \Rightarrow^+ B} \; \text{Cut}$$

Proof. As before. The cut rule case is handled by the $\Uparrow\downarrow$ and substitution for extractions principle showcasing that the correspondence of the cut rule to the coercion from normal to extraction derivations. $\qquad \square$

Standard structural properties (*Weakening, Contraction*) to show completeness. We do not show these here but they hold.

Completeness of the Sequent Calculus The following hold:

1. If $\Gamma^{\downarrow} \vdash^{-} B \Uparrow$ then $\Gamma \Rightarrow B$ and,

2. If $\Gamma^{\downarrow} \vdash^{-} A \downarrow$ and $\Gamma, A \Rightarrow B$ then $\Gamma \Rightarrow B$

Proof. Simultaneously by induction on the given derivations making use of the structural properties. □

Similarly we show for the extended systems.

Completeness of the Sequent Calculus with Cut The following hold:

1. If $\Gamma^{\downarrow} \vdash^{+} B \Uparrow$ then $\Gamma \Rightarrow^{+} B$ and,

2. If $\Gamma^{\downarrow} \vdash^{+} A \downarrow$ and $\Gamma, A \Rightarrow^{+} B$ then $\Gamma \Rightarrow^{+} B$.

Proof. As before. The extra case is handled by the Cut rule. □

After establishing the correspondence of $\vdash^{-}$ with $\Rightarrow$ and of $\vdash^{+}$ with $\Rightarrow^{+}$ we move on with:

Admissibility of Cut If $\Gamma \Rightarrow A$ and $\Gamma, A \Rightarrow B$ then $\Gamma \Rightarrow B$.

The proof is by triple induction on the structure of the formula, and the given derivations and we leave it for a technical report. This gives easily:

Cut Elimination If $\Gamma \Rightarrow^{+} A$ then $\Gamma \Rightarrow A$.

Which in turn gives us:

Normalization for Natural Deduction If $\Gamma \vdash A$ then $\Gamma^{\downarrow} \vdash^{-} A \Uparrow$

Proof. From assumption $\Gamma \vdash A$ which by .4 gives $\Gamma \vdash^+ A \Uparrow$. By .4 and Cut Elimination we obtain $\Gamma \Rightarrow A$ which by .4 completes the proof. $\qquad \square$

As a result we obtain:

Proof. By contradiction, assume $\vdash \bot$ then $\Rightarrow \bot$ which is not possible. $\qquad \square$