# **Urban Model: Development notes**

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# 1 General Description

## 1.1 Modules & Files

- Random: Random approach, non-optimised.
  - Baselines.txt
  - SatisfactionRan.txt
- GatherData: Used only to gather the statistical data.
  - Density.txt
  - NonUrbanPrices.txt
  - Urbanised.txt
  - Rings.txt
- ClosestHeuristic: The closes cell that we can buy (from Scenario 7)
  - Baselines.txt
  - SatisfactionClo.txt
- Genetic algorithm: GA\_Satisfaction and GA\_Distance.
  - GA\_DATA.txt General info
  - Result\_GA.txt with all the cells protected
- TestOptimisation: Test the GA approach.
  - SatisfactionGA.txt
  - Inconsistencies.txt
- MultiOptimisation: online GA Satisfaction
  - SatisfactionMO.txt
- CheckFiles:
  - Check Visually the distribution of cells protected in Result\_GA.txt or Protected.txt
  - Check *Result\_GA.txt* regarding the budget.
  - Visualise the population distribution in a given tick.

# 1.2 Variables

Seven kind of prices, each with:

- 1. Fitness:
  - Distance only GA
    - $Tick_0 Min(Tick, sat) \neq 0$
    - $Min(Tick, sat) \neq 0$
  - Satisfaction
    - (a) Ticks counted only GA
      - Accumulative.  $\sum_{i=1}^{S} \sum_{j=1}^{C} \sum_{k=t_i}^{N} s(c_{i,j})_k$
      - Single.
        - \* Initial  $\sum_{i=1}^{S} \sum_{j=1}^{C} s(c_i)_{t_0}$

\* End 
$$\sum_{i=1}^{S} \sum_{j=1}^{C} s(c_i)_{t_N}$$

- (b) Per agent
  - Unique: Maximum satisfaction per agent:  $\forall a \in A, s(a) = \max s(a, l)$
  - Aggregated: Total satisfaction per agent:  $\forall a \in A, s(a) = \sum s(a, l)$ 
    - \* Flat: only one point per park if it is at maximum 3 cells of distance.
    - \* Weighted: (1 to 3) point depending of the distance.
- 2. Selection creation process: only GA
  - Fix: all possible cells.
  - Stochastic: only a percentage of possible cells.

### 1.3 Files

Data gathered for 600 ticks of the clock.

### 1.3.1 Gather Data

For each time step, we store an entire lattice 50x50 cells (2500). The lattice is made up by a set of integer values. Each cell store a value in the system.

### • Non urban prices.

- The number of times that this row has been updated.
- Mean of the price of the land when city grows.

### Urbanised

- Number of times this row has been updated.
- If the cell has been urbanised to the time t, then sum one in the lattice correspondent to this time step.

1 + SizeLattice

### • Density

- The number of times that this row has been updated.
- If the cell is urbanised then it is calculated and stored the mean of the previous value and the new value. Change: only sum and it can be divided later.
- Rings Non-urban averaged priced in the different rings of the lattice.

# 1.3.2 TestSolution, Random, Closest Heuristic & Multioptimisation

 $\textit{TYPE\_FITNESS}: S(Satisfaction), D(Distance).$ 

TYPE\_SELECTION: F(Fix), S(Stochastic).

TYPE\_SATISFACTION\_AGENTS: Flat: 1 / Weighted: 2

TYPE\_FITNESS\_TICKS: Accumulative (10), Single - Initial: (21) / End: (22) TYPE\_OPTIMISATION: 1, TODO MixApproach SAVE\_DENSITY: 1 Save population distribution to calculate real fitness.

- **Satisfaction** Different runs are appended into the same file if the run is not finished properly. Each line corresponds to one time step.
  - 1. Total population.

- 2. Total satisfaction (1): All the green spaces count.
- 3. Total satisfaction (2): Only the closest green space counts.
- 4. Total urban cells.
- 5. Total protected cells.
- 6. Min Green price.
- 7. Max Green price.
- 8. Average Green price
- 9. Min Urban price.
- 10. Max Urban price.
- 11. Average Urban price
- 12. Min populated
- 13. Max populated
- 14. Migration
- 15. Closeness to the CBD
- 16. Protected cells

## SatisfactionMO (fields added):

- 1. Time
- 2. Protected Cells
- 3. GA Population size
- 4. Generations until convergence
- 5. Number of mutations
- 6. Worst fitness
- 7. Best fitness

## In SatisfactionGA (name file):

- 1. GA ID (4 digits).
- 2. Satisfaction ID (4 digits).
- 3. Type of fitness: (TYPE\_FITNESS)
  - (a) Satisfaction (S) Both aspects
    - Ticks (TYPE\_FITNESS\_TICKS)
      - \* Accumulative (10)
      - \* Single Initial: (21) / End: (22)
    - Agents Flat: 1 / Weighted: 2 (TYPE\_SATISFACTION\_AGENTS)
  - (b) Distance (D)
- 4. Type of selection. (TYPE\_SELECTION)
  - (a) Fix (F)
  - (b) Stochastic (S) <percentage> (PERCENTAGE\_STOCHASTIC)
- 5. Feasibility
  - (a) Feasible (F)
  - (b) Infeasible (I)

Example: 1234 5678 S101 F I - 1234 5678 D S50 F

# In SatisfactionMO (name file):

1. GA ID (4 digits).

- 2. Satisfaction ID (4 digits).
- 3. Type of fitness: S (TYPE\_FITNESS)
  - Ticks (TYPE\_FITNESS\_TICKS)
    - \* Accumulative (10)
    - \* Single Initial: (21) / End: (22)
  - Agents Flat: 1 / Weighted: 2 (TYPE\_SATISFACTION\_AGENTS)
- 4. Type of selection. (TYPE\_SELECTION)
  - (a) Fix (F)
  - (b) Stochastic (S) <percentage> (PERCENTAGE\_STOCHASTIC)

Example: 1234 5678 S101 F - 1234 5678 S101 S50

- **Budget** Budget used to buy and protect land. One per time step. Field: Amount of budget given to the municipality in each time step. It is generated randomly.
  - BUDGET\_R: Randomly generated
  - BUDGET\_P: In function of the population
- Scenario Initial biovalues of the cells included into the lattice. Type: double.

### 1.3.3 GA Phase

• **ResultGA** Cells selected to be protected according to the GA optimisation procedure.

File content:

- 1. Tick of the clock only when a cell is protected: it can be repeated if two cells are protected in the same slot.
- 2. Pair/s of coordinates of each cell.
- 3. Price of the cell.

### Name Format:

- Times that statistics are gathered.
- Type of fitness: (GA\_Satisfaction or GA\_Distance projects)
  - 1. Satisfaction (S) Both aspects
    - \* Ticks (TYPE\_FITNESS\_TICKS)
      - · Accumulative (10)
      - · Single Initial: (21) / End: (22)
    - \* Agents Flat: 1 / Weighted: 2 (TYPE\_FITNESS\_AGENTS)
  - 2. Distance (D)
- Type of selection.
  - 1. Fix (F)
  - 2. Stochastic (S) <percentage>
- Feasibility
  - 1. Feasible (F)
  - 2. Infeasible (I)
- ID (Four digits).

Example: 20 S101 F F 1234, 20 S212 S50 I 1234, 20 D F I 1234, 20 D S50 F 1234

• GA\_DATA Located in folder Workspace to be updated from both GAs.

- 1. Type optimisation: OFF\_SAT, ON\_SAT
- 2. Lattice size
- 3. GA Population size.
- 4. Ticks of the simulation.
- 5. Times that statistics are gathered.
- 6. Generations until convergence.
- 7. Number of mutations.
- 8. Worst fitness.
- 9. Best fitness.
- 10. Mean fitness
- 11. Standard Deviation SD
- 12. ID (Same than Result\_GA).
- 13. Type of fitness:
  - (a) Satisfaction (S) Both aspects
    - Ticks
      - \* Accumulative (1) 0
      - \* Single (2) Initial: 1 / End: 2
    - Agents Flat: 1 / Weighted: 2
  - (b) Distance (D) 0 0 0
- 14. Type of selection.
  - (a) Fix (F) **0**
  - (b) Stochastic (S) <percentage>
- 15. I/F Infeasible solutions Feasible solutions
- 16. Scenario (Type of prices).
- 17. CBDs.
- 18. Type of Budget
- 19. Computational Time

Example:15 600 20 xxxx xxxx xxx xxx 1234 S 1 0 1 F 0 9g, ...1234 D 1 0 1 S 50 9F

Mean and SD fitness should be calculated in MATLAB

# • GA Parameters

- 1. Number of individuals in the population.
- 2. Number of simulations.
- 3. Size of the lattice.
- 4. Scenario.
- 5. CBDs info.
- 6. Feasible infeasible solutions.

# 1.3.4 TestOptimisation

- Inconsistency One file for all runs.
  - 1. GA ID (4 digits).
  - 2. Satisfaction ID (4 digits).
  - 3. Number of inconsistencies: times that we try to protect a cell that is already urbanised.
  - 4. Number of failures because of a lack of budget.
  - 5. Times statistics are collected.
  - 6. Type of fitness:
    - (a) Satisfaction (S) Both aspects
      - Ticks
        - \* Accumulative (1) 0
        - \* Single (2) Initial: 1 / End: 2
      - Agents Flat: 1 / Weighted: 2
    - (b) Distance (D) 0 0 0
  - 7. Type of selection.
    - (a) Fix (F) 0
    - (b) Stochastic (S) <percentage>
  - 8. Feasibility
    - (a) Feasible (F)
    - (b) Infeasible (I)
  - 9. Scenario.
  - 10. CBDs.
  - 11. Size lattice
  - 12. Total Time
  - 13. Type Price
  - 14. Type Budget

Example: 1234 5678 1 15 20 S 1 0 1 F 0 9g, xxx D 0 0 0 S 50 9f

## 1.3.5 MOOptimisation

- Inconsistency One file for all runs.
  - 1. GA ID (4 digits).
  - 2. Satisfaction ID (4 digits).
  - 3. Type of fitness:
    - (a) Satisfaction (S) Both aspects
      - Ticks
        - \* Accumulative (1) 0
        - \* Single (2) Initial: 1 / End: 2
      - Agents Flat: 1 / Weighted: 2
    - (b) Distance (D) 0 0 0
  - 4. Type of selection.
    - (a) Fix (F) **0**
    - (b) Stochastic (S) <percentage>

- 5. Scenario.
- 6. CBDs.
- 7. Size lattice
- 8. Time

Example: 1234 5678 1 15 20 S 1 0 1 F 0 9g, xxx D 0 0 0 S 50 9f

### • Baselines

- 1. CLO/RAN
- 2. id
- 3. Scenario name
- 4. CBDs
- 5. Size lattice
- 6. Budget
- 7. Total time

### 1.4 Scenarios

# 1.4.1 Backup Allocation

At University: mv59/private/versions

Home: Documents/PhD/CollectedData/Code

# 2 How to run experiments: Steps

All the programs should share the same *scenario.txt* file and *budget.txt*. Create a folder with the name of the new scenario if it is necessary and place the files into the folder: CollectedData/Calculos.

- In the GatherData module.
  - Check current size of the lattice and change it if it is appropriate.
  - If we want to start from scratch, initialise Urbanised, NonUrbanPrices & Density files to zero.
    - \* Location of the files: collectedData/Scenarios/CaseBase/FilesToZero.
    - \* Take the ones with the correct size of the lattice and with the proper number of ticks of the clock.

Otherwise copy them form the case we want to continue.

- In lattice.java
  - \* update NUM\_CBDS and CBDS according to the desired scenario.
  - \* update scenario to assign the proper non-urban prices in *cell.java*.
- Run nLattice::TOTAL\_TICKS times GatherData to collect statistical data for the GA in the Urbanised, NonUrbanPrices & Density files.
  - \* Create a folder with the time that the data is gathered.
- In the GA Satisfaction/Distance project (normal Java project with parameters).
  - Check input parameters: population size, number of generations, size lattice and scenario name.
  - Update Density, NonUrbanPrices & Urbanised files with the new files calculated before.
  - Run the program.

- Copy Result\_GA.txt to CollectedData/Scenario/NomScenario/Num\_Runs when the program finishes
- The file *Workspace/GA\_Data.txt* is automatically updated.
- In TestOptimisation project:
  - Parameters: size of lattice: in context.xml: "World Size", "width", "height" (source view).
  - In lattice.java update:
    - \* Number of runs (TOTAL\_TICKS)
    - \* NUM\_CBDS and CBDS according to the desired scenario.
    - \* scenario to assign the proper non-urban prices in cell.java.
    - \* SCENARIO\_NAME with the name given to the current scenario.
  - Copy the *Result\_GA.txt* file from GA that we want to check.
  - Run the program
  - Copy satisfaction.txt into the folder CollectedData/Scenarios/NomScenario/Num\_Run.
  - The file *inconsistecy.txt* is automatically updated.
- When the collection of data is finished for the current scenario, copy *GA\_Data.txt* and *inconsistecy.txt* to CollectedData/Scenario/NomScenario
- In Random project & Closest project
  - Check parameters: size of lattice
  - In *lattice.java* update:
    - \* number of runs (Lattice::TOTAL\_TICKS)
    - \* NUM\_CBDS and CBDS according to the desired scenario.
    - \* scenario to assign the proper non-urban prices in cell.java.
  - Run Random / Closest
  - Place & satisfactionRAN.txt into CollectedData/Scenarios/NomScenario/RAN or satisfactionCLO.txt into CollectedData/Scenarios/NomScenario/CLO as corresponding
  - protected.txt is not currently used.

If there are enough changes to create a new scenario, copy the .java files into folders, zip them and copy to the folder CollectedData/Code

## 2.1 List of Functions in Matlab

- **budgetGenerator** Generate a file budget dependant on the population alpha = 0.5: measure the importance of the population when the budget is generated. Density.txt: File with population evolution
- simpleSatisfaction Show simple satisfaction comparative for GA, MO, CLO and RAN
- simpleSatisfactionBar Show simple satisfaction comparative for GA, CLO and RAN
- satisfactionTable50 Show simple satisfaction comparative for GA and RAN
- latexTable Create the code in latex for a table with the satisfaction achieved by the three approaches
- bestSatisfactionTest Create a plot with the best Test values achieved. It doesn't work
- failuresPlot Create a stacked bar chart using the bar function. It doesn't work. Inconsistencies has change
- populationPlot Create a plot with the population behaviour

- cellsUrbanisedPlot Create a plot with the number of cells urbanised
- protectedCellsPlot Create a plot with the number of cells protected
- migrationPlot Create a plot with the behaviour of the migration
- lowerGreenPricesPlot Create a plot with the collected green prices with the lowest value
- higherGreenPricesPlot Create a plot with the collected green prices with the highest value
- greenSpacesPlot3D Test the 3-D shaded surface plot for collected green prices
- lowestUrbanPrices Create a plot with the urban cells with the lowest value
- higherUrbanPricesPlot Create a plot with the urban cells with the highest value
- avgGreenPricesPlot Create a plot with the average of green prices
- avgUrbanPricesPlot Create a plot with the urban cells with the average value
- GADataPlot Create a plot with the GA data. It doesn't work. GA\_Data file has change
- greenPricesPerRing Plot average green price prices grouped by rings. Place Ring.txt in General folder before run the function
- Ring\_GreenPricesPlot Double Plot: Plot average green price prices grouped by rings. *In which tick of the clock?*. Average green prices in GA
- exponentialFunction Return the exponential function of the non urban prices data
- satisfaction\_protected\_closenessICCS plots: 3subplot: satisfaction, number of cells protected and closeness
- satisfactionArea Area of the satisfaction of three heuristics. TODO: Collect the data automatically from
  the three scenarios
- OnOfCellsProtectedPlot Plot with the number of cells protected for Online/Offline/Mix
- OnOfSatisfaction Create a line plot with the satisfaction for Online/Offline/Mix
- OnOfCloseness Create a plot with the closeness to CBD for Online/Offline/Mix
- OnOfTiming Computational time for Online/Offline/Mix. TODO. Not really implemented

## 3 Differences between Scenarios

## 3.1 First attempts

- Scenario 1: Changes in the way cells are selected in GA. Fix a problem with the size of the CA in the check scenario
- Scenario 2. Add new statistic material
- Scenario 3. Fixed the growth of the city
- Scenario 4. Fixed a problem in gathering the position of people.
- Scenario 5, 6. Collected data without protection of cells. Fixed a problem in GA positions.
- Scenario 7 they depend on the distance.
  - **Fixed:** Calculating fitness values in GA. Now the protection of cells is homogeneously done.
  - **Add:** CHANGE\_RATE constant = 0.2.
  - Change: Non-urban prices depend on the distance to CBD.
  - Add: Var lastUrbanised, getLastUrbanised() and setLastUrbanised()

# 3.2 Scenario created for the ICAART journal

### 3.2.1 Scenario 8

- Add: New source of uncertainty: non-urban prices are not constant.
- Remove: Concept of tolerance and threshold (Genetic algorithm)
- Add: New form to accept a cell to be protected, urbanisation factor (Genetic algorithm)
- Change: Calculation of fitness (linked with urbanisation factor).
- Fixed: Calculation of position (Lattice, GA)
- Fixed: Error found in TestOptimisation::Cell::ReduceDemand

### 3.2.2 Scenario 9

- Fixed: Forest and agricultural price was swapped
- Fixed: GatherData::CHANGE\_RATE was 0.8 and not 0.2.
- Fixed: Urban prices which gives higher values in green prices.
- Add: Gather statistics about cells (min, max, avg).
- Add: Gather statistics about the GA algorithm (max and avg fitness, mutations).
- **Fixed:** Times the mutation procedure is tried in the GA.
- Fixed: Statistics files were written in gather data differently than were read in GA.
- Fixed: Satisfaction was calculated differently in GA than in the rest of the modules.
- Modified: Price recent development is calculated taking all the cells in the outer annulus instead of only the last cell urbanised.

### 3.3 Scenario created for ICCS2015

### 3.3.1 Scenario 10

- Add: More than one CBD in the simulation.
- Add: Capacity to choose among different fitness distances.
- Add: New stochastic way of generating the selections in GA.
- Add: Statistics gathered added automatically to inconsistecy.txt & DATA\_GA.txt.
- Add: Information to the name of the files during all the process.
- Add: GA feasible & infeasible solutions.
- Mod: How protected cells are managed in testing
- Fix: Gather data shift one position the statistical data gathered
- Add: Checkfiles: Visualise population
- Fix: prices constant when they shouldn't in GA\_SAT
- Fix: Duplicated cells to be protected
- Fix: One of the three cities creates cells further from CBD

### 3.3.2 Scenario 11

• Modified: Size of the lattice equal to 100.

### 3.3.3 Scenario 12

• **Modified:** Budget linked to population growth with the use of parameter alpha in Matlab. In GA\_SAT: change TYPE\_BUDGET = 1. Rest Lattice: TYPE\_BUDGET = 1.

## 3.4 Scenario created for UAI2015

#### 3.4.1 Scenario 13

- Add: Module MultiOptimisation where GA optimisation is done online.
- Add: Variable Individualxxxx: times a suitable individual is searched by the GA.
- Add: Time total and partial gathered (Multi).
- Fix: Cells were not removed from the list of NON\_URBAN\_CELLS when they were protected. Allow a cell be protected twice.
- Add: Baseline.txt file to collect general information of CLO and RAN like time, CBDs...
- Fix: Problem found when a cell was selected for urbanised in the same turn that it was protected.
- Add: Initial conditions are checked in lattice.

# 4 Future extensions

## 4.1 Benchmarking

Perform a benchmarking comparison analysis or benchmarking between a GA approach and other kind of techniques naturally more adequate to solver sequential-decision making problems like:

- Reinforcement Learning (RL). RL was formalised by Barto [1] and it consists of a machine learning technique capable of selecting the optimal policy and representing explicitly the uncertainty.
- Simulated Annealing
- Markov Chains
- Spatial logistic regression

Goal: check if our approach is the best for this particular problem. Comparison of heuristics that depends on:

- Problem formulation.
- Parameters specifically used for the method used: determine how much time is required to complete the search (computational cost)
- Time given to search throughout the search space and performance [4].

# 4.2 Improvements of the model.

- Satisfaction increases with the quality of the green area that can be measured by two factors: higher ecological value (preference for forest against agricultural land) and the extension of the protected area. Perform a pre-clustering of the areas. Measure how crowded the green areas are and penalise the satisfaction in case the area is overcrowded.
- Associate the green satisfaction factor and the fitness function with the individual willingness of living close to a green space.
- Include other metrics.
- Adequate the salary to a normal distribution.
- Study differences in prices of buying larger extensions of land (price & satisfaction behaviour).
- Fix the problem salaries too low at the end of the simulation. (Study which part of the salary is used to pay housing expenses). Divide agents into its profile [2].
- Implement change of residence if satisfaction is not enough. Redevelopment deactivate.
- Measure the influence of the initial scenario in the results.
- Most individuals do not have a complete knowledge of the possible set of available places. Include a stochastic factor: sometimes not the best areas are developed.
- Search better behaviour for migration.
- A non-homogeneous distribution of resources.

# 5 Notes

Directions in all modules:

$$dir = (x * size) + y$$

In CLO searchGreenSpaces is triggered by municipality and not by updateAggregate.

# References

- [1] Andrew G. Barto, Richard S. Sutton, and Peter S. Brouwer. Associative search network: A reinforcement learning associative memory. *Biological Cybernetics*, 40:201–211, 1981. 10.1007/BF00453370.
- [2] W. Loibl and T. Toetzer. Modeling growth and densification processes in suburban regionssimulation of landscape transition with spatial agents. *Environmental Modelling & Software*, 18(6):553 563, 2003.
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- [4] Timo Pukkala and Mikko Kurttila. Examining the performance of six heuristic optimisation techniques in different forest planning problems. *Silva Fennica*, 39(1):6780, August 2005.