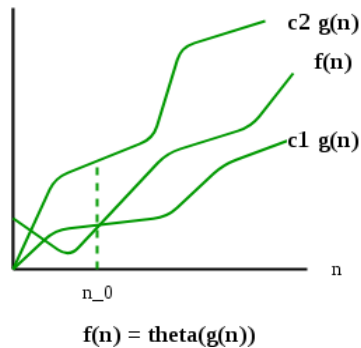


# Analysis of Algorithms

The main idea of asymptotic analysis is to have a measure of efficiency of algorithms that doesn't depend on machine specific constants, and doesn't require algorithms to be implemented and time taken by programs to be compared. Asymptotic notations are mathematical tools to represent time complexity of algorithms for asymptotic analysis. The following 3 asymptotic notations are mostly used to represent time complexity of algorithms.



**1)  $\Theta$  Notation:** The theta notation bounds a functions from above and below, so it defines exact asymptotic behavior.

A simple way to get Theta notation of an expression is to drop low order terms and ignore leading constants. For example, consider the following expression.

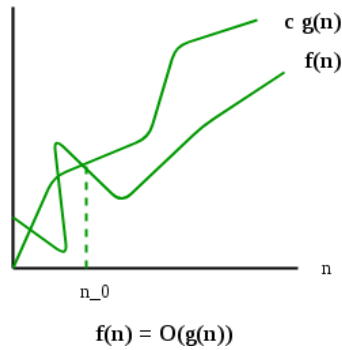
$$3n^3 + 6n^2 + 6000 = \Theta(n^3)$$

Dropping lower order terms is always fine because there will always be a  $n_0$  after which  $\Theta(n^3)$  has higher values than  $\Theta(n^2)$  irrespective of the constants involved.

For a given function  $g(n)$ , we denote  $\Theta(g(n))$  is following set of functions.

$$\Theta(g(n)) = \{f(n) : \text{there exist positive constants } c_1, c_2 \text{ and } n_0 \text{ such} \\ \text{That, } 0 \leq c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n) \text{ for all } n \geq n_0\}$$

The above definition means, if  $f(n)$  is theta of  $g(n)$ , then the value  $f(n)$  is always between  $c_1 \cdot g(n)$  and  $c_2 \cdot g(n)$  for large values of  $n$  ( $n \geq n_0$ ). The definition of theta also requires that  $f(n)$  must be non-negative for values of  $n$  greater than  $n_0$ .



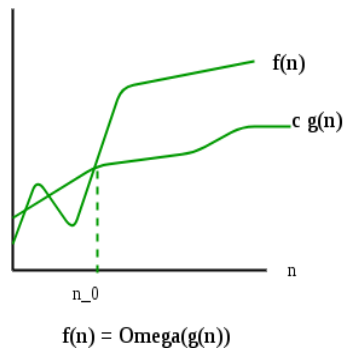
**2) Big O Notation:** The Big O notation defines an upper bound of an algorithm, it bounds a function only from above. For example, consider the case of Insertion Sort. It takes linear time in best case and quadratic time in worst case. We can safely say that the time complexity of Insertion sort is  $O(n^2)$ . Note that  $O(n^2)$  also covers linear time.

If we use  $\Theta$  notation to represent time complexity of Insertion sort, we have to use two statements for best and worst cases:

1. The worst case time complexity of Insertion Sort is  $\Theta(n^2)$ .
2. The best case time complexity of Insertion Sort is  $\Theta(n)$ .

The Big O notation is useful when we only have upper bound on time complexity of an algorithm. Many times we easily find an upper bound by simply looking at the algorithm.

$O(g(n)) = \{ f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq c \cdot g(n) \text{ for all } n \geq n_0 \}$



**3)  $\Omega$  Notation:** Just as Big O notation provides an asymptotic upper bound on a function,  $\Omega$  notation provides an asymptotic lower bound.

$\Omega$  Notation can be useful when we have lower bound on time complexity of an algorithm. As discussed in the previous post, the best case performance of an algorithm is generally not useful, the Omega notation is the least used notation among all three.

For a given function  $g(n)$ , we denote by  $\Omega(g(n))$  the set of functions.

$\Omega(g(n)) = \{f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq c \cdot g(n) \leq f(n) \text{ for all } n \geq n_0\}.$

Let us consider the same Insertion sort example here. The time complexity of Insertion Sort can be written as  $\Omega(n)$ , but it is not a very useful information about insertion sort, as we are generally interested in worst case and sometimes in average case.

## Complexity Analysis of Insertion Sort

INSERTION-SORT( $A$ )	<i>cost</i>	<i>times</i>
1 <b>for</b> $j = 2$ <b>to</b> $A.length$	$c_1$	$n$
2 $key = A[j]$	$c_2$	$n - 1$
3        // Insert $A[j]$ into the sorted sequence $A[1 \dots j - 1]$ .	0	$n - 1$
4 $i = j - 1$	$c_4$	$n - 1$
5 <b>while</b> $i > 0$ and $A[i] > key$	$c_5$	$\sum_{j=2}^n t_j$
6 $A[i + 1] = A[i]$	$c_6$	$\sum_{j=2}^n (t_j - 1)$
7 $i = i - 1$	$c_7$	$\sum_{j=2}^n (t_j - 1)$
8 $A[i + 1] = key$	$c_8$	$n - 1$

$$\begin{aligned}
 T(n) = & c_1 n + c_2(n - 1) + c_4(n - 1) + c_5 \sum_{j=2}^n t_j + c_6 \sum_{j=2}^n (t_j - 1) \\
 & + c_7 \sum_{j=2}^n (t_j - 1) + c_8(n - 1) .
 \end{aligned}$$

Best Case:  $O(n)$

$j = 2, 3, \dots, n$ , and the best-case running time is

$$\begin{aligned}
 T(n) &= c_1 n + c_2(n - 1) + c_4(n - 1) + c_5(n - 1) + c_8(n - 1) \\
 &= (c_1 + c_2 + c_4 + c_5 + c_8)n - (c_2 + c_4 + c_5 + c_8) .
 \end{aligned}$$

We can express this running time as  $an + b$  for *constants*  $a$  and  $b$  that depend on the statement costs  $c_i$ ; it is thus a *linear function* of  $n$ .

**Worst Case :  $O(n^2)$**

$$1+2+3+4+\dots+n=\lfloor n(n+1)/2 \rfloor$$

$$2+3+4+\dots+n=\lfloor n(n+1)/2 \rfloor - 1$$

$$j \Rightarrow 2+3+\dots+n = (n(n+1)/2 - 1)$$

$$\sum_{j=2}^n j = \frac{n(n+1)}{2} - 1$$

and

$$\sum_{j=2}^n (j-1) = \frac{n(n-1)}{2}$$

(see Appendix A for a review of how to solve these summations), we find that in the worst case, the running time of INSERTION-SORT is

$$\begin{aligned} T(n) &= c_1 n + c_2(n-1) + c_4(n-1) + c_5 \left( \frac{n(n+1)}{2} - 1 \right) \\ &\quad + c_6 \left( \frac{n(n-1)}{2} \right) + c_7 \left( \frac{n(n-1)}{2} \right) + c_8(n-1) \\ &= \left( \frac{c_5}{2} + \frac{c_6}{2} + \frac{c_7}{2} \right) n^2 + \left( c_1 + c_2 + c_4 + \frac{c_5}{2} - \frac{c_6}{2} - \frac{c_7}{2} + c_8 \right) n \\ &\quad - (c_2 + c_4 + c_5 + c_8). \end{aligned}$$

We can express this worst-case running time as  $an^2 + bn + c$  for constants  $a$ ,  $b$ , and  $c$  that again depend on the statement costs  $c_i$ ; it is thus a *quadratic function* of  $n$ .

## Analysis of Algorithms(Analysis of Loops)

We have discussed Asymptotic Analysis, Worst, Average and Best Cases and Asymptotic Notations in previous. In this post, analysis of iterative programs with simple examples is discussed.

**1) O(1):** Time complexity of a function (or set of statements) is considered as O(1) if it doesn't contain loop, recursion and call to any other non-constant time function.

```
// set of non-recursive and non-loop statements
```

For example swap() function has O(1) time complexity.

A loop or recursion that runs a constant number of times is also considered as O(1). For example the following loop is O(1).

```
// Here c is a constant
for (int i = 1; i <= c; i++) {
    // some O(1) expressions
}
```

**2) O(n):** Time Complexity of a loop is considered as O(n) if the loop variables is incremented / decremented by a constant amount. For example following functions have O(n) time complexity.

```
// Here c is a positive integer constant
for (int i = 1; i <= n; i += c) {
    // some O(1) expressions
}

for (int i = n; i > 0; i -= c) {
    // some O(1) expressions
}
```

**3) O(n<sup>2</sup>):** Time complexity of nested loops is equal to the number of times the innermost statement is executed. For example the following sample loops have O(n<sup>2</sup>) time complexity

```
for (int i = 1; i <=n; i += c) {
```

```

    for (int j = 1; j <=n; j += c) {
        // some O(1) expressions
    }

    for (int i = n; i > 0; i -= c) {
        for (int j = i+1; j <=n; j += c) {
            // some O(1) expressions
        }
    }

```

For example Selection sort and Insertion Sort have  $O(n^2)$  time complexity.

**4)  $O(\text{Log}n)$**  Time Complexity of a loop is considered as  $O(\text{Log}n)$  if the loop variables is divided / multiplied by a constant amount.

```

    for (int i = 1; i <=n; i *= c) {
        // some O(1) expressions
    }
    for (int i = n; i > 0; i /= c) {
        // some O(1) expressions
    }

```

For example Binary Search(refer iterative implementation) has  $O(\text{Log}n)$  time complexity.

**5)  $O(\text{LogLog}n)$**  Time Complexity of a loop is considered as  $O(\text{LogLog}n)$  if the loop variables is reduced / increased exponentially by a constant amount.

```

// Here c is a constant greater than 1
for (int i = 2; i <=n; i = pow(i, c)) {
    // some O(1) expressions
}
//Here fun is sqrt or cuberoot or any other constant root
for (int i = n; i > 1; i = fun(i)) {
    // some O(1) expressions
}

```

### How to combine time complexities of consecutive loops?

When there are consecutive loops, we calculate time complexity as sum of time complexities of individual loops.

```

    for (int i = 1; i <=m; i += c) {
        // some O(1) expressions
    }
    for (int i = 1; i <=n; i += c) {
        // some O(1) expressions
    }

```

Time complexity of above code is  $O(m) + O(n)$  which is  $O(m+n)$   
 If  $m == n$ , the time complexity becomes  $O(2n)$  which is  $O(n)$ .