

Xi'an Jiaotong-Liverpool University

西交利物浦大学

| PAPER CODE | EXAMINER     | DEPARTMENT | TEL           |
|------------|--------------|------------|---------------|
| CPT103     | Jianjun Chen | Computing  | 0512 81889137 |

2nd SEMESTER 2022/23 FINAL EXAMINATION

Undergraduate – Year 2

Introduction to Database Systems

TIME ALLOWED: 2 Hours

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**INSTRUCTIONS TO CANDIDATES**

- 1、 This is a closed book examination.
- 2、 Total marks available are 100. This will count for 85% in the final assessment.
- 3、 Answer all questions.
- 4、 Answer should be written in the answer booklet(s) provided.
- 5、 Only English solutions are accepted.
- 6、 The university approved calculator - Casio FS82ES/83ES can be used.
- 7、 All materials must be returned to the exam supervisor upon completion of the exam. Failure to do so will be deemed academic misconduct and will be dealt with accordingly.

## Question A: Basic SQL (30 marks)

Consider the following relations:

prizes

| prize_id<br>(int) | winner_sid<br>(int) | award_time<br>(date) |
|-------------------|---------------------|----------------------|
| 1                 | 4121003             | 2020-01-12           |
| 2                 | 6501396             | 2021-09-09           |
| 2                 | 6501296             | 2021-09-09           |
| 3                 | 6501296             | 2022-02-01           |

students

| sid<br>(int) | sname<br>(varchar(20)) | class_name<br>(varchar(4)) |
|--------------|------------------------|----------------------------|
| 4121003      | Andrew                 | Y4C3                       |
| 6501396      | Henry                  | Y2C1                       |
| 6501296      | Bob                    | Y2C1                       |
| 6501300      | John                   | Y2C2                       |
| 5501778      | Jack                   | Y3C5                       |

classes

| class_name<br>(varchar(4)) | classroom<br>(int) |
|----------------------------|--------------------|
| Y2C1                       | 201                |
| Y2C2                       | 202                |
| Y2C3                       | 203                |
| Y3C4                       | 304                |
| Y3C5                       | 305                |
| Y4C3                       | 403                |

- a) You are given the following SELECT queries. What are the results of application of these queries to the tables "prizes", "classes" and "students"? Provide the answer in a table format. In case that query is not valid, explain the reason. (3 marks each)

1. **SELECT DISTINCT** prize\_id **FROM** prizes **WHERE** ID <> 3; *There is no column prize\_id in Table prizes. sid 6501396 6501296*
2. **SELECT** sid **FROM** students **WHERE** class\_name LIKE "Y\_C1"; *sid 4121003 6501396 6501296 6501300 5501778*
3. **SELECT** sid **FROM** classes **RIGHT OUTER JOIN** students **USING** class\_name;
4. **SELECT** count(prize\_id) **FROM** prizes **NATURAL JOIN** students **GROUP BY** class\_name; *count (prize-id) 1 3*

- b) Write an SQL query to get the number of prizes won by each student. In the result, list students' ID numbers as well as the number of prizes won.

*SELECT S.sid, count(p.prize-id) from students S LEFT JOIN prizes P on S.sid = P.winner-sid Group By S.sid;* (4 marks)

- c) Write an SQL query to get all students called "Bob" who are currently in year 2. In the result, list student IDs.

*SELECT sid from students where sname='Bob' AND class-name like "Y2/S";* (4 marks)

- d) Write an SQL query to list classes that have not won any prizes after 2020-12-09. In the result, list the class\_name in the descending order.

*SELECT C.class-name from classes C left outer join students S on C.class-name=S.class-name where S.sid NOT IN (SELECT winner-sid from prizes where onward-time > '2020-12-09');* (5 marks)

- e) Write an SQL query to list classes along with their neighbouring classrooms. A classroom is considered to be the neighbouring classrooms of another if the difference between their room numbers is 1. In the result, list class names and the two neighbouring classrooms. Non-existing neighbouring classrooms should be listed as NULL. The supposed output of your query is given below using the data in the current classes table:

| class_name | neighbour1 | neighbour2 |
|------------|------------|------------|
| Y2C1       | NULL       | 202        |
| Y2C2       | 201        | 203        |
| Y2C3       | 202        | NULL       |
| Y3C4       | NULL       | 305        |
| Y3C5       | 304        | NULL       |
| Y4C3       | NULL       | NULL       |

*ORDER BY C.class-name DESC;*

*SELECT S1.class\_name, S2.classroom as neighbour1, S3.classroom as neighbour2 from classes S1 left outer join classes S2* (5 marks)

*on S1.classroom - 1 = S2.classroom left outer join classes S3 on S1.classroom + 1 = S3.classroom ORDER BY S1.classroom ;*

*SELECT C.class-name FROM classes C1 where C1.class-name NOT IN (SELECT S.class-name from students S join prizes P on S.sid=P.winner-sid WHERE P.onward-time > '2020-12-09') ORDER BY C1.class-name DESC;*

**Question B: Transactions and Recovery (20 marks)**

Answer the following questions related to transactions and recovery:

- a) What does “COMMIT” mean in transactions? (6 marks)
- b) Why supporting concurrency is important for database? (4 marks)
- c) Describe the “lost update” problem of concurrency using an example. (10 marks)

**Question C: Normalisation (20 marks)**

Normalise the following table "T" into the 3rd Normal Form by clearly describing the normalisation process, i.e. the dependencies removed and how the table is split into sub-tables. Describe the primary key and functional dependencies for each resulting sub-tables.

|   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|
| A | B | C | D | E | F | G |
|---|---|---|---|---|---|---|

Attributes (A, B, C) form the primary key and the functional dependencies:

$A, B, C \rightarrow D, E, F, G$

$B, C \rightarrow E, F$

$F \rightarrow C$

$D \rightarrow G$

2NF: FD  $B, C \rightarrow E, F$  is a partial dependence, after removing it, table T becomes

$T_1: (\underline{A}, B, C, D, G)$  with primary key (A, B, C)

and  $T_2: (B, C, E, F)$  with primary key (B, C)

3NF: column G is transitively depend on primary key via D in  $T_1$ , after removing FD  $D \rightarrow G$ .

$T_1$  becomes  $T_{1-1} (\underline{A}, B, C, D)$  with primary key (A, B, C)

and  $T_{1-2} (\underline{D}, G)$  with primary key (D).

Final design:

$T_{1-1} (\underline{A}, B, C, D)$  with primary key (A, B, C)     $T_2 (B, C, E, F)$  with primary key (B, C)  
 $T_{1-2} (\underline{D}, G)$  with primary key (D)

**Question D: Entity-relationship Modelling (30 marks)**

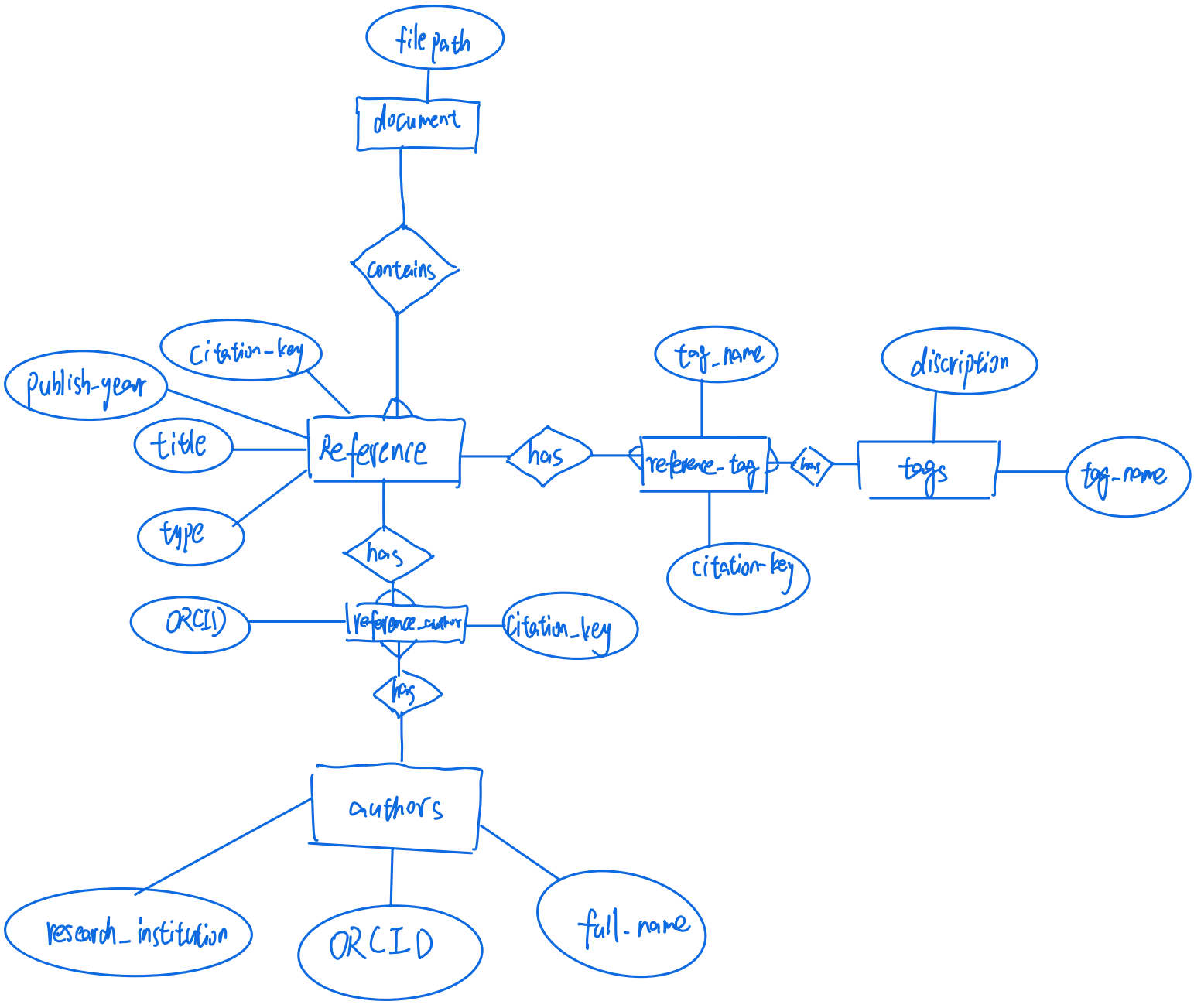
You are asked to develop a database that is used by a reference manager application. Reference manager applications are tools used to create, organize and store references for conference papers or journal articles. The requirements for the database are provided below:

1. The whole reference library is managed using tags. Tags are up to 15 characters long.
  1. Each reference can have zero or more tags.
  2. A same tag can be added to one or more references.
  3. Each tag can be described with some comments.
2. Each reference has a unique citation key, a list of authors, a publish year, a title, the source of this reference (the name of the journal or conference), the type of reference (journal article or conference paper) and optionally a file path if the user owns the document.
3. Each author has a unique ID called ORCID, a full name and the research institution he/she is currently working in.
4. ORCID is always 16 digits long, the last digit can be the letter 'x'.
5. Sometimes a document contains a collection of articles.

Task 1: Draw the entity relationship diagram. (20 marks)

Task 2: Based on your solution to Task 1 above, write the SQL code to create the tables for the database. You should include all the specified attributes and specify the appropriate primary and foreign keys. All primary keys and foreign keys must be added separately using ALTER statements. Minor syntactical errors in your SQL code will not be penalised in the marking of this answer. (10 marks)

**END OF FINAL EXAM**



```
CREATE TABLE authors (
  ORCID CHAR(16) NOT NULL,
  full-name VARCHAR(20) NOT NULL,
  research-institution VARCHAR(200)
);
```

```
CREATE TABLE tags (
  discription VARCHAR(200),
  tag-name CHAR(15) NOT NULL
);
```

```
CREATE TABLE document (
  file-path VARCHAR(200) NOT NULL
);
```

```
CREATE TABLE Reference (
  Citation-key VARCHAR(200) NOT NULL,
  publish-year DATE NOT NULL,
  title VARCHAR(60) NOT NULL,
  type VARCHAR(30) NOT NULL,
  file-path VARCHAR(200)
  CONSTRAINT check-type CHECK (type='journal article'
  OR type='conference paper')
);
```

```
CREATE TABLE reference-author (
  ORCID CHAR(16) NOT NULL,
  Citation-key VARCHAR(200) NOT NULL
);
```

```
CREATE TABLE reference-tag (
  tag-name CHAR(15) NOT NULL,
  Citation-key VARCHAR(200) NOT NULL
);
```

```
ALTER TABLE authors ADD CONSTRAINT ORCID PRIMARY KEY.
```

```
ALTER TABLE Reference ADD CONSTRAINT Citation-key PRIMARY KEY.
```

```
ALTER TABLE document ADD CONSTRAINT file-path PRIMARY KEY.
```

```
ALTER TABLE tags ADD CONSTRAINT tag-name PRIMARY KEY.
```

```
ALTER TABLE reference-author ADD CONSTRAINT PK1 FOREIGN KEY (Citation-key) REFERENCES Reference (Citation-key);
```

```
ALTER TABLE reference-author ADD CONSTRAINT PK2 FOREIGN KEY (ORCID) REFERENCES authors (ORCID);
```

```
ALTER TABLE reference-tag ADD CONSTRAINT PK3 FOREIGN KEY (tag-name) REFERENCES tags (tag-name);
```

```
ALTER TABLE reference-tag ADD CONSTRAINT PK4 FOREIGN KEY (Citation-key) REFERENCES Reference (Citation-key);
```

```
ALTER TABLE Reference ADD CONSTRAINT PK5 FOREIGN KEY (file-path) REFERENCES document (file-path);
```



