# kmc\_tools documentation

# for v. 3.0.0

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### Introduction

This document contains description of kmc\_tools software. kmc\_tools is a program which allows to work easily with sets of k-mers and their counters generated as output of KMC. KMC is an efficient k-mer counter described in: http://bioinformatics.oxfordjournals.org/content/early/2015/02/18/bioinformatics.btv022. kmc\_tools can work with databases produced by KMC2 as well as by KMC1 (there is a little difference between those formats). kmc\_tools always generates results in KMC1 database format as it is a little faster (in sense of searching k-mers) than in case of KMC2 database.

### 1 kmc\_tools usage

kmc\_tools provides a number of operations that can be used to work with k-mer sets. The number of input and output sets is depended on operation itself. Configuration of kmc\_tools is done via command-line parameters.

The general syntax is:

kmc\_tools [global\_params] < operation > < operation\_params>

Global parameters are independent of operation type. There are:

- -t<value> total number of threads (default: no. of CPU cores),
- -v enable verbose mode (shows some information) (default: false),
- -hp hide percentage progress (default: false).

Avaiable operations:

- simple simple operations for two input sets (can produce multiple output sets),
- complex complex set operations for 2 or more input k-mer sets (can produce one output set),
- transform transform single *k*-mers set to other format (KMC database or text file, can produce multiple output sets),
- filter filter out reads with too small number of *k*-mers.

simple performs typical set operations with two input sets and may produce many output sets (e.g. one output for intersection and another one for union). This operation is described in next section. complex is able to perform user defined set operations with many inputs (see section 3). transform operation converts single input k-mer set to another. This operation allows to multiple convertions (resulting multiple output files). For details see section 4. The last operation takes as input KMC database and set of reads (e.g. FASTQ files) and keep only reads that contains at least specified number of k-mers (see section 5).

## 2 simple operation

#### Command-line syntax:

kmc\_tools [global\_params] simple <input1 [input1\_params]> <input2 [input2\_params]> <oper1 output1 [output\_params1]> [<oper2 output2 [output\_params2]> ...]

#### where:

- input1, input2 paths to the databases generated by KMC (KMC generates 2 files with the same name, but different extensions here only name without extension should be given),
- oper1, oper2, ... set operations to be performed on input1 and input2,
- output1, output2, ... paths of the output databases.

For each input there are additional parameters which can be set:

- -ci<value> exclude *k*-mers occurring less than <value> times,
- -cx<value> exclude *k*-mers occurring more of than <value> times.

If additional parameters are not given they are taken from the appropriate input database. For each output there are also additional parameters:

- -ci<value> exclude *k*-mers occurring less than <value> times,
- -cx<value> exclude k-mers occurring more than <value> times,
- -cs<value> maximal value of a counter,
- -oc<value> redefine counter calculation mode for equal k-mers. Available values:
  - min get lower value of a k-mer counter,
  - max get upper value of a k-mer counter,
  - sum get sum of counters from both databases,
  - diff get difference between counters,
  - left get counter from first database (input1),
  - right get counter from second database (input2)

If parameters are not given they are deduced based on input databases and specified operation. Valid values for oper1, oper2, ... are:

- intersect output database will contains only k-mers that are present in **both** input sets,
- union output database will contains each k-mer present in any of input sets,
- kmers\_subtract difference of input sets based on k-mers. Output database will contains only *k*-mers that are **present in the first** input set but **absent in the second** one,
- counters\_subtract difference of input sets based on k-mers and their counters (weaker version of kmers\_subtract). Output database will contains all *k*-mers that are present in the first input, without those for which counter operation will lead to remove such *k*-mer (i.e. counter equal to 0 or negative number),

- reverse\_kmers\_subtract same as kmers\_subtract but treat input2 as first and input1 as second,
- reverse\_counters\_subtract same as counters\_subtract but treat input2 as first and input1 as second.

Each operation may be specified multiple times (which may be useful to produce two output sets with different cutoffs or counter calculation modes).

operation	counter calculation mode
intersect	min
union	sum
kmers_subtract	NONE
reverse_kmers_subtract	NONE
counters_subtract	diff
reverse_counters_subtract	diff (but input1 and input2 are swapped)

Table 1: Default values of -oc switch for each operation

For kmers\_subtract and reverse\_kmers\_subtract equal *k*-mers will never be present in the output database, which is the reason of NONE values in table 1.

### example 1

kmc -k28 file1.fastq kmers1 tmp

kmc -k28 file2.fastq kmers2 tmp

kmc\_tools simple kmers1 -ci10 -cx200 kmers2 -ci4 -cx100 intersect kmers1\_kmers2\_intersect -ci20 -cx150

### example 2

kmc -k28 file1.fastq kmers1 tmp

kmc -k28 file2.fastq kmers2 tmp

kmc\_tools simple kmers1 kmers2 intersect inter\_k1\_k2\_max -ocmax intersect inter\_k1\_k2\_min union union\_k1\_k2 -ci10

## 3 complex operation

Complex operation allows to define operations for more than 2 input k-mer sets.

Command-line syntax:

kmc\_tools [global\_params] complex < operations\_definition\_file>

where operations\_definition\_file is a path to the file which defines input sets and operations. It is a text file with the following syntax:

#### where:

- input1, input2, ..., inputN names of inputs used to define operation,
- input1\_db\_path, input2\_db\_path, inputN\_db\_path paths of k-mer sets,
- out\_db\_path path of the output database,
- ref input is one of input1, input2, ..., inputN,
- oper is one of  $\{*,-,\sim,+\}$ , the meaning is as follows:
  - \* intersect,
  - — kmers\_subtract,
  - ∼ counters\_subtract,
  - + union.
- c\_mode redefine default counter calculation mode (available values: min, max, diff, sum, left, right).

For detailed description about operations and counter calculation mode see section 2 For each input there are additional parameters which can be set:

- -ci<value> exclude *k*-mers occurring less than <value> times,
- -cx<value> exclude *k*-mers occurring more than <value> times.

If additional parameters are not given they are taken from the appropriate input database. Operator \* has the highest priority. Other operators has equal priorities. Order of operations can be changed with parentheses.

Available output\_params:

• -ci<value> — exclude *k*-mers occurring less than <value> times,

- -cx<value> exclude *k*-mers occurring more than <value> times,
- -cs<value> maximal value of a counter.

If the output\_params are not specified they are deduced based on input parameters.

### example

```
INPUT:
set1 = kmc_o1 -ci5
set2 = kmc_o2
set3 = kmc_o3 -ci10 -cx100
OUTPUT:
result = (set3 + min set1) * set2
OUTPUT_PARAMS:
-ci4 -cx80 -cs1000
```

### 4 transform

This operation transforms single KMC database to one or more KMC database(s) or text file(s). Command-line syntax:

kmc\_tools [global\_params] transform <input> [input\_params] <oper1 [oper\_params1] output1 [output\_params1]> [<oper2 [oper\_params2] output2 [output\_params2]>...]

where:

- oper1, oper2, ... transform operation to be performed on the input,
- input path to databases generated by KMC (KMC generates 2 files with the same name, but different extensions here only name without extension should be given),
- output1, output2, ... paths to the output file(s).

For input there are additional parameters which can be set:

- -ci<value> exclude k-mers occurring less than <value> times,
- -cx<value> exclude *k*-mers occurring more of than <value> times.

If additional parameters are not given they are taken from the appropriate input database.

Valid values for oper1, oper2,... are:

- sort converts database produced by KMC2.x to KMC1.x database format (which contains *k*-mers in sorted order),
- reduce exclude too rare and too frequent k-mers,
- compact remove counters of *k*-mers,
- histogram produce histogram of k-mers occurrences,
- dump produce text dump of KMC database.

For sort, reduce and dump operations additional output\_params are available:

- -ci<value> exclude k-mers occurring less than <value> times,
- -cx<value> exclude k-mers occurring more than <value> times,
- -cs<value> maximal value of a counter.

If these parameters are not specified they are deduced based on input database.

For dump operation there are additional oper\_params:

• -s — force sorted output (default: false). For KMC1.x this parameter is irrelevant as *k*-mers are stored in sorted order and this order will be preserved in produced text file. For KMC2.x when this parameter is set *k*-mers will be sorted before dumpping to the text file.

### example 1 - split k-mers on valid and invalid

Let's suppose k-mers with occurrences below 11 are erroneous due to sequencing errors. With reduce we can split k-mer set to one set with valid k-mers and one with invalid:

kmc\_tools transform kmers reduce valid\_kmers -ci11 reduce erroneous\_kmers -cx10

### example 2 - perform all operations

kmc\_tools transform kmers reduce -ci10 reduced sort sorted compact without\_counters histogram histo.txt dump kmers.txt

#### 5 filter

This operation works with input FASTQ/FASTA files and a database produced by KMC. It removes from the input read set those reads which does not contain specified number of *k*-mers in the input KMC database. Syntax:

kmc\_tools [global\_params] filter [filter\_params] < kmc\_input\_db> [kmc\_input\_db\_params] < input\_read\_set> [input\_read\_set\_params]

#### where:

- kmc\_input\_db path to database generated by KMC,
- input\_read\_set path to input set of reads,
- output\_read\_set path to set output of reads.

filter\_params are:

• -t — trim reads on first invalid *k*-mer instead of remove entirely.

For *k*-mer database there are additional parameters:

- -ci<value> exclude *k*-mers occurring less than <value> times,
- -cx<value> exclude *k*-mers occurring more of than <value> times.

For the input set of reads there are additional parameters:

- -ci<value> remove reads containing less *k*-mers than value (but if -t is set the read is trimmed on first *k*-mer with counter lower than value),
- -cx<value> remove reads containing more *k*-mers than value (but if -t is set the read is trimmed on first *k*-mer with counter higher than value),
- -f<a/q> input in FASTA format (-fa), FASTQ format (-fq); default: FASTQ.

For input set of reads integer or floating number can be given as -ci<value> and -cx<value>. Integer values are used to define strict thresholds, which means only reads that contain at least  $ci_{value}$  and at most  $cx_{value}$  k-mers will be kept in the output read set. Floating numbers for -ci<value> and -cx<value> parameters are used to define thresholds depending on read length. It should be in the range of [0.0;1.0]. Let r be a length of a read. The read will be kept in the output read set only if it contains at least  $\lfloor (r-k+1)*ci_{value} \rfloor$  and at most  $\lfloor (r-k+1)*cx_{value} \rfloor$  k-mers which are present in KMC database.

For the output set of reads there are additional parameters:

• -f<a/q> — output in FASTA format (-fa), FASTQ format (-fq); default: same as the input input\_read\_set may be a single file or a file which contains a list of input files (one file per line).

## example

kmc\_tools filter kmc\_db -ci3 input.fastq -ci0.5 -cx1.0 filtered.fastq kmc\_tools filter kmc\_db input.fastq -ci10 -cx100 filtered.fastq kmc\_tools filter kmc\_db @input\_files.txt -ci10 -cx100 filtered.fastq