

# Parochial by Construction: Dual Constructions for Cosmological Inference and Their Diagnostics

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## Abstract

We develop a dual-construction framework for cosmological inference. *Temporal Evolution* (TE) treats the cosmos as a family of complete models indexed by age; *Causal Evolution* (CE) treats each observation as defining a causal completion with observer-indexed priors. We formalize CE as a context-penalized Gaussian prior, prove well-posedness, and derive closed-form shifts relative to TE in a rank-1 toy model. We define structural-zero diagnostics, give sensitivity estimates for six predictions accessible to present/future data, and outline simulation and multiple-testing protocols. The framework is falsifiable and useful whether the outcome is a null bound or a small, reproducible coupling.

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## 1 Conceptual Core

**Duality.** TE and CE are non-reducible constructions. TE provides a parametrized family  $U_{\text{TE}}(\tau)$ ; CE provides  $U_{\text{CE}}(O)$  for observation  $O$  on worldline  $\gamma$ , with context encoded by templates and penalties. Local microphysics is shared; global reconstructions may differ.

## 2 Formal Framework

Let  $a \in \mathbb{R}^n$  be primordial mode coefficients,  $d = Ta + n$  with transfer  $T$  and noise  $n \sim \mathcal{N}(0, N)$ . A baseline prior is  $a \sim \mathcal{N}(0, C_\tau)$ .

### 2.1 TE posterior

$$\Sigma_{\text{TE}} = (T^\top N^{-1}T + C_\tau^{-1})^{-1}, \quad \mu_{\text{TE}} = \Sigma_{\text{TE}} T^\top N^{-1}d. \quad (1)$$

### 2.2 CE prior and posterior

Let  $P_{\text{ctx}} \succeq 0$  be the context projector (from Section 5). Define

$$\Pi_\gamma(a) \propto \exp\left[-\frac{1}{2}a^\top (C_\tau^{-1} + \lambda_\gamma P_{\text{ctx}})a\right]. \quad (2)$$

Then

$$\Sigma_{\text{CE}} = (T^\top N^{-1}T + C_\tau^{-1} + \lambda_\gamma P_{\text{ctx}})^{-1}, \quad \mu_{\text{CE}} = \Sigma_{\text{CE}} T^\top N^{-1}d. \quad (3)$$

### 2.3 Well-posedness

**Theorem 1** (Existence/Uniqueness). *If  $N \succ 0$ ,  $C_\tau \succ 0$ ,  $P_{\text{ctx}} \succeq 0$ , and  $\lambda_\gamma \geq 0$ , the CE posterior exists and is unique up to standard gauge (e.g., monopole).*

*Proof.* The posterior precision matrix  $T^\top N^{-1}T + C_\tau^{-1} + \lambda_\gamma P_{\text{ctx}}$  is symmetric positive definite. Hence the Gaussian posterior is well-defined and unique.  $\square$

## 3 Toy Model: Rank-1 Context Prior (Explicit)

Let  $P_{\text{ctx}} = cc^\top / \|c\|^2$  with  $c \neq 0$ . Using Woodbury for a rank-1 update,

$$\Sigma_{\text{CE}} = \Sigma_{\text{TE}} - \frac{\lambda_\gamma \Sigma_{\text{TE}} cc^\top \Sigma_{\text{TE}}}{1 + \lambda_\gamma c^\top \Sigma_{\text{TE}} c}, \quad (4)$$

$$\mu_{\text{CE}} - \mu_{\text{TE}} = (\Sigma_{\text{CE}} - \Sigma_{\text{TE}}) T^\top N^{-1}d = \lambda_\gamma \frac{(\mu_{\text{TE}}^\top c)}{1 + \lambda_\gamma c^\top \Sigma_{\text{TE}} c} \Sigma_{\text{TE}} c. \quad (5)$$

Let  $u = W\mu$  be an alignment statistic (e.g., low- $\ell$  projection). Then

$$\Delta u \equiv u_{\text{CE}} - u_{\text{TE}} = \lambda_\gamma \frac{(\mu_{\text{TE}}^\top c)}{1 + \lambda_\gamma c^\top \Sigma_{\text{TE}} c} W \Sigma_{\text{TE}} c. \quad (6)$$

Thus CE departs from TE only along  $c$ , with amplitude  $\mathcal{O}(\lambda_\gamma)$ .

## 4 Information-Theoretic Derivation of the Penalty

Let  $p_0(a) = \mathcal{N}(0, C_\tau)$  and  $T_{\text{ctx}}$  extract context features  $z = T_{\text{ctx}}a$ . Consider

$$\min_p \text{KL}(p \| p_0) + \lambda_\gamma \mathbb{E}_p \|T_{\text{ctx}}a\|_2^2. \quad (7)$$

The solution in the Gaussian family is  $p(a) = \mathcal{N}(0, \tilde{C})$  with precision

$$\tilde{C}^{-1} = C_\tau^{-1} + \lambda_\gamma T_{\text{ctx}}^\top T_{\text{ctx}}, \quad (8)$$

i.e., a quadratic penalty in the context subspace. For rank-1  $T_{\text{ctx}}$  we recover  $P_{\text{ctx}}$ . A parallel decision-theoretic derivation obtains the same form by minimizing worst-case quadratic loss under context mis-specification.

## 5 Context Template Construction

Given exposure maps  $E(\hat{n})$ , scan harmonics, zodiacal templates  $Z(\hat{n})$ , and masks:

1. Build a feature matrix from the first few ecliptic-aligned spherical harmonics of  $E$  and  $Z$ .
2. Orthogonalize against known systematics (beam asymmetries, far sidelobes, Galactic residuals).
3. Normalize columns to unit variance; set  $T_{\text{ctx}}$  as the resulting basis.
4. For a minimalist test, take the leading mode  $c$  and set  $P_{\text{ctx}} = cc^\top / \|c\|^2$ .

## 6 Diagnostics and Predictions with Sensitivity

- P1 Polarization phase-locking (low- $\ell$ ).** Nonzero correlation between TE/EE phases and  $C_\gamma$  after cleaning. Sensitivity: Planck  $\sim 10^{-2}$ , LiteBIRD  $\sim 10^{-3}$ .
- P2 Lensing–ISW commutator.** Order non-commutativity  $\Delta_{\text{comm}}^{\phi \times T}$  is zero in  $\Lambda\text{CDM}$ ,  $\mathcal{O}(\lambda_\gamma)$  in CE. Sensitivity: CMB-S4  $\sim 10^{-3}$  of ISW amplitude.
- P3 Off-ecliptic sign flip.** A tilted scan should flip the sign of  $S_\gamma$  after the same orthogonalization. Binary outcome; not cosmic-variance limited.
- P4 Parameter-path sensitivity.** Context derivatives  $G_\gamma^{(\tau)} \neq 0$  while  $G_\gamma^{(\Omega_b h^2)} = 0$ . Next-gen polarization can test  $\mathcal{O}(10^{-3})$  shifts.
- P5 Galaxy 2-point anisotropy.** Tiny quadrupolar leakage aligned with  $C_\gamma$  in ultra-large scales; DESI+Euclid noise  $\sim 2 \times 10^{-3}$ .
- P6 JWST field variance.** Extra 1–2% aligned variance in high- $z$  counts across fields; detectable with  $\mathcal{O}(10)$  deep fields.

## 7 Implications for $H_0$ Pathways

Context coupling alters TE-anchored inferences via low- $\ell$  anchoring and  $\theta_*$  response, nudging CMB-only  $\widehat{H}_0$  by

$$\Delta H_0^{(\text{TE})} \approx \left( \partial H_0 / \partial \theta_* \right) \lambda_\gamma \frac{(\mu_{\text{TE}}^\top c)}{1 + \lambda_\gamma c^\top \Sigma_{\text{TE}} c} (L \Sigma_{\text{TE}} c), \quad (9)$$

with  $L$  the linear response of  $\theta_*$  to primordial modes. CE-invariant probes (distance ladder, BBN) should remain unchanged to first order.

## 8 Simulation and Multiple-Testing Protocol

**Null.**  $\Lambda\text{CDM}$  skies + beams + noise + zodiacal + masks; run full pipeline to each statistic.

**Injection.** Add known  $\lambda_{\text{true}}$  along  $P_{\text{ctx}}$ ; verify recovery curves and false-positive rate.

**Multiplicity.** Pre-register a single template family; apply FDR if scanning  $K$  nearby modes; hold out a validation split.

## 9 Conclusion

The dual-construction framework yields concrete, low-variance diagnostics for context sensitivity with explicit, pre-registrable predictions. Nulls certify robustness; positives quantify a single coupling  $\lambda_\gamma$ . Either outcome is scientifically useful.