

# Revisiting competence & performance

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# Introduction

Chomsky (1965):

**Competence** system of rules describing idealized knowledge of language

**Performance** language behavior affected by ambiguity, errors, reaction times, frequency effects

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Scha (1990):

- ▶ Difficult to write descriptively adequate grammar by hand.
- ▶ Problem of ambiguity;  
need to know relative plausibility of analyses.

Ergo, we need “performance-models of language (...), “which take into account statistical properties of actual language use.”

# Traditional parsing approach

1. Pick a grammar with the right linguistic & computational properties (competence)
2. Add a probabilistic disambiguation component (performance)
3. Apply pruning if necessary (performance)
4. Evaluate quality of model (performance)

# Formal language theory

## Definition

A *formal grammar* characterizes a language as a set of sentences and their structures.

Chomsky hierarchy:

Type 0: **Unrestricted**: Model-Theoretic Syntax, e.g., HPSG

Type 1: **Context-Sensitive**: Mildly Context-Sensitive, e.g.,  
TAG, CCG, LCFRS

Type 2: **Context-Free**: PCFG, proj. dependency grammar

Type 3: **Regular**: finite-state technology

# Grammar transformations

Capabilities of grammar formalisms can be extended, e.g.:

- ▶ Encode information in labels
- ▶ Apply pre- and postprocessing
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Examples:

- ▶ TSG or TIG  $\Rightarrow$  CFG + backtransform table
- ▶ Dependency grammar  $\Rightarrow$  PCFG
- ▶ Discontinuous constituents  $\Rightarrow$  non-projective dependencies
- ▶ ...

# Psycholinguistic Evidence

Do humans exploit hierarchical structure during processing?

**No** Frank & Bod (Psy. Sci. 2011): Insensitivity of the human sentence-processing system to hierarchical structure

**Yes** van Schijndel & Schuler (NAACL 2015): Hierarchic syntax improves reading time prediction



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Center-embedding:

- ▶ Hard for humans, natural for CFG
- ▶ Karlsson (2007): only occurs up to depth 3 in written language, depth 2 in spoken lang.

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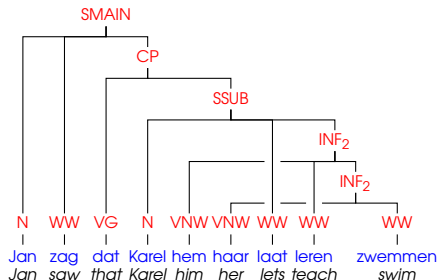
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Cross-serial dependencies:

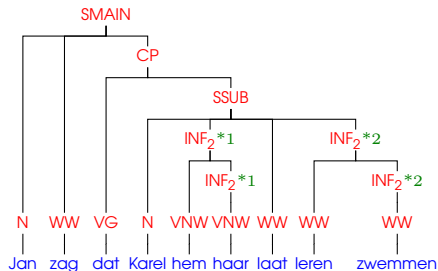
- ▶ Cross-serial dependencies not possible with CFG, but easier for humans than center-embedding: Bach et al. (1986) Cross and nested dependencies in German and Dutch: A psycholinguistic study.

# Long-Distance Dependencies



- ▶ Cross-serial dependencies are beyond context-free
- ▶ Can be captured by mildly context-sensitive grammars

# CFG approximation



- Alternatively, long-distance dependencies can be encoded in the labels



# Conclusion

- ▶ Performance phenomena play an important role in computational models of language
- ▶ Instead of searching for the right formal grammar, consider how system as a whole copes with
  - ▶ ambiguity
  - ▶ cognitive limitations
  - ▶ linguistic complexity

# References

- ▶ Noam Chomsky (1965). Aspects of the Theory of Syntax, MIT press.
- ▶ Remko Scha (1990). Language theory and language technology; competence and performance, in Q.A.M. de Kort and G.L.J. Leerdam, editors, *Computertoepassingen in de Neerlandistiek*, pp. 7-22. English translation:  
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