The Strong-Weak Pronoun Distinction as a Marker of Literariness

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Outline

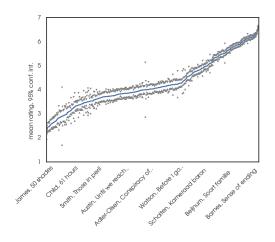
- General problem: What is literature?
- ► This talk: Strong/weak pronouns
- Results
 - Correlations
 - Outliers
 - Analysis

Background: What is literature?

What makes a literary novel literary?

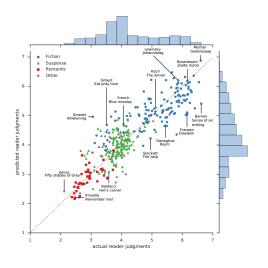
- Cultural capital of critics and publishers
- Subjective aesthetic value-judgments
- "Objective" textual features, writing style: literariness

The Riddle of Literary Quality



- ► 401 contemporary Dutch-language novels
- Large reader survey of general public
- Literary ratings on 7-point Likert scale
- Stylometry and machine learning with texts of novels

Previous results: 76.0 % R²



- Literariness is highly predictable from text
- Word frequencies, cliches, syntactic complexity yield good predictions,
- but many many features, hard to interpret.

This talk is not about getting better predictions, but about understanding one specific stylistic aspect

van Cranenburgh & Bod (2017). A data-oriented model of literary language van Cranenburgh et al (2019). Vector space explorations of literary language

Background: strong and weak pronouns

- Some Dutch pronouns have strong and weak (reduced) forms
- Same meaning, but strong/weak can be obligatory, preferred, or free choice

	Strong subj, obj	Weak
1st sg	ik, <mark>mij</mark>	me
2nd sg	jij, jou	je
3rd sg fem	zij, haar	ze
3rd sg masc	hij, hem	-
3rd sg neut	het	-
1st pl	wij, ons	we
2nd pl	jullie	-
3rd pl	zij, hen/hun	ze

Not shown: weak pronouns avoided in written language or only used as possessive/reflexive.

Cf. Haeseryn et al. (1997). Algemene Nederlandse Spraakkunst

Previous work: why strong vs weak?

Kaiser (2011) and works cited there:

Salience:

 null > reduced pronoun > full pronoun > demonstrative > full NP ...etc.

 most salient
 less salient

 referent
 referent

Contrast:

The referent is in a contrast relation to other entities in the discourse

Kaiser (2011). Salience and contrast effects in reference resolution: The interpretation of Dutch pronouns and demonstratives.

Previous work: why strong vs weak?

Kaiser (2011) and works cited there:

Salience:

 $\begin{array}{lll} \mbox{null} > \mbox{reduced pronoun} > \mbox{full pronoun} > \mbox{demonstrative} > \mbox{full NP} \dots \mbox{etc.} \\ \mbox{most salient} & \mbox{less salient} \\ \mbox{referent} & \mbox{referent} \end{array}$

Contrast:

The referent is in a contrast relation to other entities in the discourse

Missing: stylistic dimension

Kaiser (2011). Salience and contrast effects in reference resolution: The interpretation of Dutch pronouns and demonstratives.

Research Questions

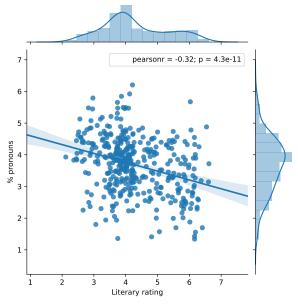
- 1. Is there an association between strong/weak pronouns and literariness?
- 2. How common is it for these variants to be
 - a Free stylistic choice
 - **b** Preferred
 - c Obligatory
- 3. Why could there be such an association?

Method

Calculate correlation between two variables:

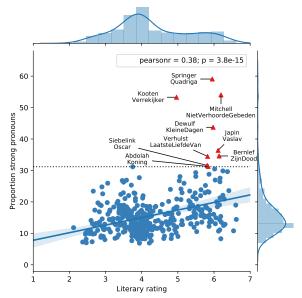
- 1. Mean literary ratings of novels
- 2. Two independent measurements:
 - a Baseline: frequency of both pronoun forms
 - b Main result: proportion of strong pronouns vs both forms

Correlation: pronouns vs literary rating (N=401)



- Count both forms
- Divide by total words (rel. freq)
- Result: Less pronouns, more literary
- Probably proxy for amount of dialogue vs narrative description

Correlation: strong pronouns vs literary rating (N=401)



- Count strong pronouns, divide by count of both forms
- Independent of total number of pronouns
- ▶ On average, 85 % of pronouns are weak
- More strong pronouns, more literary
- Several strong outliers!

Distribution of pronouns in outliers

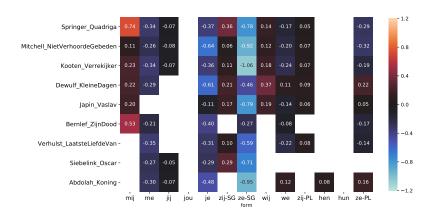


Table: Divergence of relative frequencies (wrt corpus mean)

- ► All Dutch authors (except Mitchell), highly literary (> 5)
- Less je, ze-SG,FEM. More mij, wij, zij-SG,FEM

Possible explanations

Why are strong pronouns more common in literary texts?

Stylistic choice (deliberate or not):

- Non-literary texts have more informal, idiomatic language
- Literary authors are less afraid of sounding "unnatural"

Discourse structure more complicated:

- Larger number of characters
- Multiple perspective, storylines

give rise to higher frequency of less salient referents and use of contrast.

Manual Analysis

- ▶ Look at pronouns in first 100 sentences of the outliers
- Annotate:
 - Strong vs weak
 - ► Free choice, preferred, or obligatory
 - If strong, used for emphasis?
 - ► Type: personal, possessive, generic, non-personal, verb

Manual Analysis

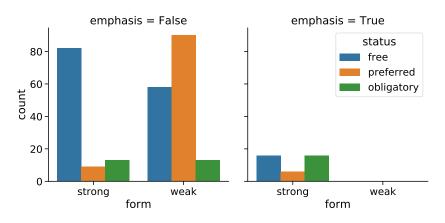
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Distribution of types:

	<i>J</i> 1
Personal	303
Possessive	26
Generic	20
Non-personal	6
Verb	1
Total	356

For the rest, we'll only consider personal pronouns, which allow both forms.

Breakdown (N=303 pronouns)



- ▶ Emphasis is rare.
- Weak often preferred, but large part is free choice.

Typical examples

Weak:

- (1) a. Free: We speuren erfgenamen op We track down heirs
 - b. Preferred: Dat weet je toch? You know that right?
 - c. Obligatory: Mooie gouvernante is me dat. *Nice governess that is.*

Strong:

- (2) a. Free: Hoort u mij?

 Do you hear me?
 - b. Preferred: Maar dan kennen ze mij niet. But then they haven't met me.
 - c. Obligatory: Je ziet dat het niet van mij is! You can tell it's not mine!

Interesting examples

Arguably unnatural usage of strong pronoun:

- (3) a. Ik keek om mij heen I looked around me
 - b. aangezien [...] heb ik altijd mijn eigen Duralexglas bij mij since [...] I always have my own Duralex glass with me

Weak vs strong pronouns pick different referents:

(4) Ik heb nooit kunnen vaststellen dat ze mij in de gaten hielden, al deden ze dat natuurlijk wel, en zij in de eerste plaats. I have never been able to confirm that they were watching me, although of course they did, and she most of all.

Conclusion

Answers to Research Questions:

- 1. Is there an association between strong/weak pronouns and literariness?
 - Negative correlation between pronouns and literariness
 - Positive correlation between strong pronouns and literariness
 - Striking outliers: Dutch authors who use lots of strong pronouns

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- 2. How common is it for these variants to be free choice, preferred, or obligatory?
 - Majority is free, stylistic choice.
 - Even in the outliers, weak pronouns are often preferred
 - Obligatoriness and emphasis are rare.

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- 2. How common is it for these variants to be free choice, preferred, or obligatory?
 - Majority is free, stylistic choice.
 - Even in the outliers, weak pronouns are often preferred
 - Obligatoriness and emphasis are rare.
- 3. Why could there be such an association?
 - Seems to be predominantly a stylistic choice
 - Should look into discourse context

EXTRA SLIDES

Future Work

- ► Analyze antecedents: distance, grammatical function etc.
- See if weak/strong distinction is useful as a feature for automatic anaphora resolution
- Compare with non-literary corpora
- etc.

Properties of strong and weak pronouns

Strong: (emphatic)

- Default, unmarked
- ► Often stressed: emphasis/contrast
- Less salient referents
- ► Obligatory for:
 - Comparisons (ik ben rijker dan jij, *dan je)
 - Conjunctions of two pronouns (hij en zij)
 - ► Oblique arguments, eg. rel. clause (voor hen die . . .)
- Preferred:
 - Sounds unnatural when repeated
 - Preferred in writing, even when weak form used when same sentence is spoken (applies to ie, 'm, d'r, 't, but also to a lesser extent for me/je/we/ze)

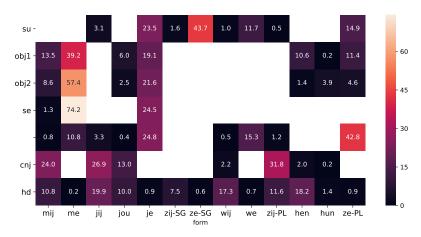
Weak: (unemphatic)

- ► Reduced. marked
- Always unstressed: no emphasis
- Salient referents
- Obligatory for:
 - ► Idioms (e.g., dank je, *dank jij)
 - ► Generic you (je weet maar nooit!)
 - 3rd pers. pl. non-personal (ze, *zij, *hun, *hun);
- Preferred:
 - Can be repeated; or sentence has one strong pronoun followed by several weak instances
 - Less personal, more informal

Cf. Haeseryn et al. (1997). Algemene Nederlandse Spraakkunst;

Bresnan (1998), Markedness and morphosyntactic variation in pronominal systems

Distribution of grammatical functions



Percentages add up to 100 for each row