# Tapering, DDE, and PSFs

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Abstract.

- 1. Introduction
- 2. Motivation

# 3. Matrix formulation of the problem - 1D interferometer

Here I intend to use convolution matrices properties to qualitatively study how "pseudo-PSF" vary as a function of source location. Here I limit myselves to a 1-dimensional interferometer (scalar only), so that Convolution matrices are Toeplitz-symetyric (see bellow). In a more general case, (along my intuition - but should be thought more carefully), convolution matrices should be block-Toeplitz (each block is a Toeplitz), while symetricity should still be true.

#### 3.1. Remarks on the convolution and linear algebra

In functional form the convolution theorem can be written as follows:

$$\mathcal{F}\left\{a.b\right\} = \mathcal{F}\left\{a\right\} * \mathcal{F}\left\{b\right\} \tag{1}$$

Noting the convolution product is linear, we can reexpress the convolution product and associated theorem asing linear transformations:

$$FAb = \mathcal{C}_A Fb \tag{2}$$

where  $\mathbf{F}$  is the Fourier operator of size  $n_{uv} \times n_{lm}$  ( $\mathbf{F}$  is unitary  $\mathbf{F}^H \mathbf{F} = \mathbf{1}$ ),  $\mathbf{b}$  is a vector with size  $n_{lm}$ . The matrix  $\mathbf{A}$  models the scalar multiplication of each point in  $\mathbf{b}$ , and is therefore diagonal of size  $n_{lm} \times n_{lm}$ , and  $\mathbf{C}_{\mathbf{A}}$  is the convolution matrix of size  $n_{uv} \times n_{uv}$ . There is a bijective relation

$$A \longleftrightarrow \mathcal{C}_A$$
 (3)

in the sense that a scalar multiplucation defines a convolution function and conversely. The matrices A and  $C_A$  always have the following properties:

- $\boldsymbol{A}$  is diagonal
- In the 1D case
  - $\mathcal{C}_{A}$  is Toeplitz
  - In addition, for radio interferometry, because the uv plane is symetric,  $\mathcal{C}_A$  is symetric

The matrix  $\mathcal{C}_{A}$  being Toeplitz, each row  $[\mathcal{C}_{A}]_{l}$  with sky coordinate l can be built using a rolling operator  $\Delta_{l}$  that shifts the first row (the PSF at the field center for example) to location of row l:

$$[\mathcal{C}_{\mathbf{A}}]_{l} = \Delta_{l} \{ [\mathcal{C}_{\mathbf{A}}]_{0} \} and \tag{4}$$

$$[\mathcal{C}_{\boldsymbol{A}}]_0 = \boldsymbol{F}^H \operatorname{diag}(\boldsymbol{A}) \tag{5}$$

The rolling operator is essentially just a reindexing, and has the following properties:

$$\Delta_l \left\{ a \boldsymbol{x} \right\} = a \Delta_l \left\{ \boldsymbol{x} \right\} \tag{6}$$

$$\Delta_{l} \left\{ \sum_{i} \boldsymbol{x}_{i} \right\} = \sum_{i} \Delta_{l} \left\{ \boldsymbol{x}_{i} \right\} \tag{7}$$

#### 3.2. PSF behaviour

If X is the true sky, then the dirty image  $X_{ij}^D$  of baseline (ij) can be written as:

$$\boldsymbol{x}_{ij}^{D} = \boldsymbol{F}^{H} \boldsymbol{S}_{c,ij} \boldsymbol{\mathcal{C}}_{T} \boldsymbol{S}_{\square,ij} \boldsymbol{F} \boldsymbol{A} \boldsymbol{x} \tag{8}$$

where  $A_{ij}$  models the DDE effets and is an  $n_{pix} \times n_{pix}$  diagonal matrix (taking polarisation into account it is an  $4n_{pix} \times 4n_{pix}$  block diagonal matrix), T is the tapering/averaging function,  $S_{\square}$  samples the region over which the tapering/averaging is made, and  $S_{c,ij}$  selects the central point of the averaged/tapered visibility set. Using Eq. 4, we have:

$$\boldsymbol{x}_{ij}^{D} = \boldsymbol{\mathcal{C}}_{\boldsymbol{S}_{c,ij}} \boldsymbol{T} \boldsymbol{\mathcal{C}}_{\boldsymbol{S}_{\square,ij}} \boldsymbol{F}^{H} \boldsymbol{F} \boldsymbol{A}_{ij} \boldsymbol{x}$$
 (9)

$$= \mathcal{C}_{S_{c,ij}} T \mathcal{C}_{S_{\square,ij}} A_{ij} x \tag{10}$$

$$\sim \mathcal{C}_{S_{c,ij}} T A_{ij} x \tag{11}$$

where Eq. 11 is true when the support of the function T is smaller than the sampling domain of  $S_{\square}$ .

Averaged over all baselines, the dirty image becomes:

$$\boldsymbol{x}^D = \boldsymbol{\mathcal{C}}_{STA} \boldsymbol{x} \tag{12}$$

with 
$$C_{STA} = \sum_{ij} C_{S_{c,ij}} T A_{ij}$$
 (13)

# 3.3. Deriving the Pseudo-PSF

# 3.3.1. PSF and Pseudo-PSF

We can already see that  $C_{S_{c,ij}}TA_{ij}$  in Eq. 11 is NOT Toeplitz anymore because each column is multiplied by a different value (DDE muliplied by the tapering function). The dirty sky is therefore not anymore the convolution of the true sky by the psf ie the PSF varies accross the field of view.

#### 3.3.2. Slow way

Calculate the psf estimating C from direct calculation. Eventually at discrete locations on a grid.

# 3.3.3. Quickly deriving the Pseudo-PSF

This is tricky part. The problem amount to finding any column l of  $\mathcal{C}$  on demand. For notation convenience, we merge T and  $A_{ij}$  together in  $A_{ij}$ . Operator  $[M]_l$  extracts column l from matrix M, and using Eq. 6, 7 and 12:

$$[\mathcal{C}]_l = \left[\sum_{ij} \mathcal{C}_{S_{c,ij}} A_{ij}\right]_l \tag{14}$$

$$= \sum_{ij} a_{ij}^l \left[ \mathcal{C}_{S_{c,ij}} \right]_l \tag{15}$$

with 
$$a_{ij}^l = \boldsymbol{A}_{ij}(l)$$
 (16)

$$= \sum_{ij} \Delta_l \left\{ a_{ij}^l \left[ \mathcal{C}_{S_{c,ij}} \right]_0 \right\} \tag{17}$$

$$= \sum_{i,i} \Delta_l \left\{ \mathbf{F}^H a_{ij}^l \operatorname{diag} \left( \mathbf{S}_{c,ij} \right) \right\}$$
 (18)

If we now assume that at any given location l, the scalar  $a_{ij}^l$  can be described by a smooth function of the uv coordinates ((ij)-indices), then we can write:

$$[\mathcal{C}]_{l} = \sum_{ij} \Delta_{l} \left\{ F^{H} A^{l} \operatorname{diag} \left( S_{c,ij} \right) \right\}$$
 (19)

$$= \sum_{ij} \Delta_l \left\{ \mathcal{C}_{\mathbf{A}^l} \ \mathbf{F}^H \operatorname{diag} \left( \mathbf{S}_{c,ij} \right) \right\}$$
 (20)

$$= \sum_{ij} \Delta_l \left\{ \mathcal{C}_{\mathbf{A}^l} \left[ \mathcal{C}_{\mathbf{S}_{c,ij}} \right]_0 \right\}$$
 (21)

$$= \Delta_l \left\{ \mathcal{C}_{\mathbf{A}^l} \sum_{ij} \left[ \mathcal{C}_{\mathbf{S}_{c,ij}} \right]_0 \right\}$$
 (22)

$$=\Delta_l \left\{ \mathcal{C}_{A^l} \left[ \mathcal{C}_{S_c} \right]_0 \right\} \tag{23}$$

(24)

The approximate observed Pseudo-PSF is the convolution of the PSF at the phase center ( $[\mathcal{C}_{S_c}]_0$ ) and the fourier transform of the uv-dependent tapering function at given  $\operatorname{Im}(\mathcal{C}_{A^l})$ .

In other words, to compute the PSF at a given location (lm):

- Find A:
  - Compute weight  $w_{ij}$  for each baseline (ij)
  - Fit the uv-dependent weight by (for example), a Gaussian function  $w_{ij} \sim w(u, v) = \mathcal{G}(u, v)$
- Compute the  $PSF_{lm}$  at (lm) from the PSF at the phase center  $PSF_0$  as  $PSF_{lm} = \mathcal{F}^{-1}(w) *PSF_0$

For example if the long baselines are more tapered, they are "attenuated". The effective PSF on the edge of the field will get larger by the convolution... Something like that...

# 4. Numerical Experiments

We demonstrate the computational complexity of the quick, the slow derived PSF as a function of sky coordinates and perform a direct numerical results.

### 4.1. Slow derivation and computation cost

## 4.2. Quick derivation and computation cost

We will now show how to derived a pseudo PSF which is based and resolved on the nominal PSF but labelled by a set of band-limited integration.

# 4.2.1. Averaging case

The approach is based on the evaluation of the band limited case of the following infinite signal:

$$\tilde{s}(\kappa) = \int_{-\infty}^{+\infty} exp(-j\kappa y)dy,$$
 (25)

where  $\kappa$  is a real number and  $\tilde{s}(\kappa)$  is the Fourier transform of a unitary function, s(y) = 1. We can also see  $\tilde{s}(\kappa)$  as a delta function,  $\delta(\kappa)$ . If we band limited  $\tilde{s}(\kappa)$  in a small

finite phase range  $\Delta x_0$  centered on the phase  $x_c$ , Eq.25 becomes:

$$(B_{\Delta x_0}s)(x) = \frac{1}{\Delta x_0} \int_{x_c - \frac{\Delta x_0}{2}}^{x_c + \frac{\Delta x_0}{2}} \tilde{s}(\kappa) exp(jx\kappa) d\kappa$$

$$= \frac{1}{\Delta x_0} \int_{x_c - \frac{\Delta x_0}{2}}^{x_c + \frac{\Delta x_0}{2}} \left( \int_{-\infty}^{+\infty} exp(-j\kappa y) dy \right)$$

$$exp(jx\kappa) d\kappa$$

$$= \int_{-\infty}^{+\infty} \left( \frac{1}{\Delta x_0} \int_{x_c - \frac{\Delta x_0}{2}}^{x_c + \frac{\Delta x_0}{2}} exp(j(x - y)\kappa) d\kappa \right) dy$$

$$= \int_{-\infty}^{+\infty} K(x - y) dy$$

$$= K(x) \circ s(x)$$

$$= K(x)$$

Where  $s(x) = \mathcal{F}^{-1}\tilde{s}(\kappa)$  and,

$$\begin{split} K(x) = & \frac{1}{\Delta x_0} \int_{x_c - \frac{\Delta x_0}{2}}^{x_c + \frac{\Delta x_0}{2}} exp(jx\kappa) d\kappa \\ = & sinc\left(x \frac{\Delta x_0}{2}\right) exp(jxx_c) \end{split}$$

Then the result follows:

$$(B_{\Delta x_0}s)(x) = sinc(x\frac{\Delta x_0}{2})exp(jxx_c).$$
 (26)

The result for a two dimensional case is giving as:

$$(B_{\Delta x_0 \Delta e_0} s)(x, e) = sinc(x \frac{\Delta x_0}{2}) sinc(e \frac{\Delta e_0}{2})$$

$$exp(j(xx_c + ee_c))$$
(27)

Proof:

$$\tilde{s}(\kappa, \gamma) = \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} exp(-j(\kappa y + \gamma h)) dy dh, \qquad (29)$$

where  $\tilde{s}(\kappa, \gamma) = \delta(\kappa, \gamma)$ . If the complex phase of  $\tilde{s}(\kappa, \gamma)$  varies linearly in time within the range  $\Delta x_0$  centered on  $x_c$  and in frequency within the range  $\Delta e_0$  centered on  $e_c$ , then the band-limit of Eq.29 is:

$$\begin{split} (B_{\Delta x_0 \Delta e_0} s)(x,e) = & \frac{1}{\Delta x_0 \Delta e_0} \int_{x_c - \frac{\Delta x_0}{2}}^{x_c + \frac{\Delta x_0}{2}} \int_{e_c - \frac{\Delta e_0}{2}}^{e_c + \frac{\Delta e_0}{2}} \tilde{s}(\kappa,\gamma) & \text{so the exp}(jx\kappa + je\gamma) d\kappa d\gamma & \text{A} \\ = & \frac{1}{\Delta x_0 \Delta e_0} \int_{-\infty}^{+\infty} \int_{x_c - \frac{\Delta x_0}{2}}^{x_c + \frac{\Delta x_0}{2}} exp\big(j\kappa(x-y)\big) & \\ d\kappa dy \bigg[ \int_{-\infty}^{+\infty} \int_{e_c - \frac{\Delta e_0}{2}}^{e_c + \frac{\Delta e_0}{2}} exp\big(j\gamma(e-h)\big) d\gamma dh \bigg] \\ = & K_1(e) K_2(x) & \\ = & sinc(x \frac{\Delta x_0}{2}) sinc(e \frac{\Delta e_0}{2}) exp\big(j(xx_c + ee_c)\big) \\ \end{split}$$

We can therefore approximate averaging by assuming that the integration time  $\Delta t$  and bandwidth  $\Delta \nu$  are small enough to keep the amplitude of the visibility for the baseline (p,q),  $V_{pq}$  constant while the complex phase varies linearly in time and frequency. From the above demonstrations, the averaged visibility,  $V_{pq}^{avg}(t_c, \nu_c)$  is approximated as:

$$V_{pq}^{avg}(t_c, \nu_c) \simeq sinc \frac{\Delta \Psi}{2} sinc \frac{\Delta \Phi}{2} V_{pq}(t_c, \nu_c).$$
 (30)

Here,  $\Delta\Psi$  and  $\Delta\Phi$  are the phase changes in time and in frequency respectively, defined as:

$$\Delta \Phi = argV_{pq}(t_c, \nu_e) - argV_{pq}(t_c, \nu_s)$$
 (31)

$$\Delta\Psi = argV_{pq}(t_e, \nu_c) - argV_{pq}(t_s, \nu_c) \tag{32}$$

The high resolution measurement  $V_{pq}(t,\nu)$  that is been average is given by:

$$V_{pq}(t,\nu) = exp \left\{ 2j\pi \left( u_{pq}l + v_{pq}m + w_{pq}n \right) \right\}, \tag{33}$$

where,  $u_{pq}$ ,  $v_{pq}$  and  $w_{pq}$  are the baseline coordinates that causes  $V_{pq}(t,\nu)$  to variate in time and frequency due to the Earth rotation.

The total averaged visibilities estimate of the instrument is the summation of all averaged visibilities at each baseline.

$$V^{avg}(t_c, \nu_c) \simeq \sum_{pq} V_{pq}^{avg}(t_c, \nu_c)$$
 (34)

$$\simeq \sum_{pq} sinc \frac{\Delta \Psi}{2} sinc \frac{\Delta \Phi}{2} V_{pq}(t_c, \nu_c) \qquad (35)$$

For convenience let assume  $V_{pq}(t_c, \nu_c)$  the visibility of a source at the phase centre (coordinates  $(l_0, m_0)$ ) during the time  $t_c$  at  $\nu_c$ . That said:

$$V_{pq}(t_c, \nu_c) = exp \left\{ 2j\pi \left( u_{pq}l_0 + v_{pq}m_0 + w_{pq}n_0 \right) \right\}, \quad (36)$$

and  $V_{pq}(t_c, \nu_e)$ ,  $V_{pq}(t_c, \nu_s)$ ,  $V_{pq}(t_e, \nu_c)$ ,  $V_{pq}(t_s, \nu_c)$  the measurements data of a source locate at  $(l, m) \neq (l_0, m_0)$  during  $t_c$  at  $\nu_e$ ,  $t_c$  at  $\nu_s$ ,  $t_e$  at  $\nu_c$  and  $t_s$  at  $\nu_c$  respectively. Eq35 is inverse Fourier transform:

$$R(l,m) \simeq \sum_{pq} \mathcal{F}^{-1} \left\{ sinc \frac{\Delta \Psi}{2} sinc \frac{\Delta \Phi}{2} \right\}$$
 (37)

$$\circ R_{pq}(l_0, m_0). \tag{38}$$

Here, R(l,m) is the instrument response (PSF) for a source located at (l,m) and  $R_{pq}(l_0,m_0)$  the response of the baseline (p,q) for a source at the phase centre.

Assuming that all the baselines are pointing at the same phase centre then the previous becomes:

$$R(l,m) \simeq \left(\sum_{pq} \mathcal{F}^{-1} \left\{ sinc \frac{\Delta \Psi}{2} sinc \frac{\Delta \Phi}{2} \right\} \right) \circ R(l_0, m_0)$$
(39)

$$\simeq Tri(l,m) \circ R(l_0,m_0). \tag{40}$$

With,  $R(l_0, m_0)$  the instrument response for a source at  $= sinc(x\frac{\Delta x_0}{2})sinc(e\frac{\Delta e_0}{2})exp(j(xx_c + ee_c))$  the phase centre and the function, Tri(l, m) is defined as:

$$Tri(l, m) = \begin{cases} a_{lm} \neq 1 & \text{if (l,m) exist} \\ 0 & \text{otherwise} \end{cases}$$

#### 4.2.2. General case

From our previous work, we showed that averaging is similar to convolving the visibilities with a top-hat function, then we furthermore replaced the top-hat function by a function,  $f_w$ . With our terminology, if we tape the visibilities with a function  $f_w$ , a general case of Eq. 26 is derived as follows:

$$\tilde{s}(\kappa) = \int_{-\infty}^{+\infty} f_w(y) exp(-j\kappa y) dy$$
 (41)

In this case,  $\tilde{s}(\kappa)$  is the Fourier transform of  $f_w(\kappa)$ . Following the derivation of Eq.26, a general case of the band-limited form of Eq.41 is:

$$(B_{\Delta x_0}s)(x) = \left[sinc\left(x\frac{\Delta x_0}{2}\right)exp(jxx_c)\right] \circ f_w(x)$$
$$= \left[sinc\left(x\frac{\Delta x_0}{2}\right) \circ f_w(x)\right]exp(jxx_c)$$

We can then generalize the two dimensional expression:

$$(B_{\Delta x_0 \Delta e_0} s)(x, e) = \left[ sinc(x \frac{\Delta x_0}{2}) sinc(e \frac{\Delta e_0}{2}) \circ f_w(x, e) \right]$$
$$exp(j(xx_c + ee_c)),$$

Then a generalize estimate for visibilities averaging of a pairwise antennas is derived:

$$V_{pq}^{corr}(t_c,\nu_c) \simeq \biggl[ sinc \frac{\Delta \Psi}{2} sinc \frac{\Delta \Phi}{2} \circ f_w(t_c,\nu_c) \biggr] V_{pq}(t_c,\nu_c)$$

The instrument total response for a source at (l, m):

$$R(l,m) \simeq \sum_{pq} \mathcal{F}^{-1} \left\{ sinc \frac{\Delta \Psi}{2} sinc \frac{\Delta \Phi}{2} \circ f_w(t_c, \nu_c) \right\}$$

$$\circ R_{pq}(l_0, m_0)$$

$$\simeq \sum_{pq} \mathcal{F}^{-1} \left\{ sinc \frac{\Delta \Psi}{2} sinc \frac{\Delta \Phi}{2} \right\} \mathcal{F}^{-1} \left\{ f_w(t_c, \nu_c) \right\}$$

$$\circ R_{pq}(l_0, m_0)$$

$$\simeq K(l, m) \circ R(l_0, m_0),$$

## 5. Simulation and comparison

# 6. Discussion and conclusion

#### References