# Pushdown and Deterministic Transduction

Prof. Licia Sbattella aa 2007-08 Translated and adapted by L. Breveglieri

## **AMBIGUITY OF THE SOURCE GRAMMAR**

The transduction grammars or schemes of practical interest are one-valued.

If the source grammar is ambiguous → two or more syntax trees

different source and destination trees

different images

source gram.  $E \to \text{add } T E$   $E \to T$   $T \to \text{mult } F T$   $T \to F$  $F \to E$  dest. gram.  $E \to T + E$   $E \to T$   $T \to F \times T$   $T \to F$   $F \to (E)$   $F \to i$ 

G<sub>2</sub> is ambiguous as it admits the following circular derivation

$$E \Rightarrow T \Rightarrow F \Rightarrow E$$

$$E \Rightarrow T \Rightarrow F \Rightarrow i',$$

$$E \Rightarrow T \Rightarrow F \Rightarrow E \Rightarrow T \Rightarrow F \Rightarrow i',...$$

different transductions:

$$E \Rightarrow T \Rightarrow F \Rightarrow i',$$
  
 $E \Rightarrow T \Rightarrow F \Rightarrow (E) \Rightarrow (T) \Rightarrow (F) \Rightarrow (i'),...$ 

 $F \rightarrow i'$ 

Even if the source grammar is not ambiguous, the transduction may be multi-valued.

## **EXAMPLE**

$$S \to \frac{a}{b}S \mid \frac{a}{c}S \mid \frac{a}{d}$$

$$G_1 = \left\{S \to aS \mid a\right\} \text{ is not ambiguous }$$

$$\tau(aa) = \left\{bd, cd\right\}$$

## **PROPERTY**

Let T be a transduction grammar (or scheme) such that:

- 1) the underlying source grammar G<sub>1</sub> is not ambiguous
- 2) every rule of G<sub>1</sub> corresponds to one rule of T

Then the transduction defined by T is one-valued.

If the transduction grammar T is ambiguous, then also the underlying source grammar  $G_1$  is so. The opposite implication does not hold, in general.

EXAMPLE: unambiguos transduction grammar, ambiguous underlying source grammar.

$$S \to \frac{\text{if } c \text{ then }}{\text{if } c \text{ then }} S \frac{\varepsilon}{\text{end\_if}} | \frac{\text{if } c \text{ then }}{\text{if } c \text{ then }} S \frac{\text{else}}{\text{else}} S \frac{\varepsilon}{\text{end\_if}} | a$$

To design a compiler, multi-valued transduction grammars should be avoided, as they may cause multiple transductions.

#### TRANSDUCTION GRAMMAR AND PUSHDOWN TRANSDUCER AUTOMATON

To compute a transduction defined by means of a transduction grammar, the transducer automaton need have a unbounded pushdown stack memory.

A pushdown transducer (or pushdown IO automaton) is a recognizer pushdown automaton empowered with a one-way write head and an output tape. It can write a character at each transition.

DEFINITION – pushdown transducer

Eight entities: Q state set

Σ source (inpout) alphabet

Γ stack (memory) alphabet

Δ destination (output) alphabet

δ transition and output function

q<sub>0</sub> initial state

Z<sub>0</sub> initial stack symbol

F subset of final states

Domain of δ: Q x (Σ U  $\{\epsilon\}$ ) x Γ

Image of  $\delta$ : Q x  $\Gamma^*$  x  $\Delta^*$ 

Meaning: if  $(q'', \gamma, y) = \delta(q', a, Z)$  the transducer, from the current state q', when reads a from the input tape and pops Z from the stack, moves to state q'', pushes  $\gamma$  onto the stack and writes y onto the output tape.

The end condition may be defined by final state or by empty stack.

### FROM THE TRANSDUCTION GRAMMAR TO THE AUTOMATON

Transduction schemes and automata are equivalent representations of the same transduction relation. The former is generative, the latter procedural. It is always possible to convert each into the other one.

PROPERTY: a transduction relation is defined by a transduction grammar (or scheme) if and only if it is defined by a pushdown transduction automaton.

## CONSTRUCTION OF THE (INDETERMINISTIC) PREDICTIVE PUSHDOWN TRANSDUCER STARTING FROM THE TRANSDUCTION GRAMMAR

Formalize the correspondence between grammar rule and automaton move.

C is the set of the pairs aside (input char, output string):

$$\frac{\mathcal{E}}{v}, v \in \Delta^+$$
  $\frac{b}{w}, b \in \Sigma, w \in \Delta^*$ 

(the automaton is not deterministic, in general; by adding finite states it may be sometimes turned into deterministic form, though not always)

## Rule

## Move

## Comment

$$\begin{array}{|c|}
\hline
1 & A \to \frac{\mathcal{E}}{v} B A_1 ... A_n \\
n \ge 0, v \in \Delta^+, B \in V, \\
A_i \in (C \cup V)
\end{array}$$

if 
$$(top = A)$$
 then  
write  $(v)$   
pop  
push  $(A_n...A_1B)$ 

write output string v push symbols B  $A_1 \dots A_n$ 

$$\begin{array}{|c|} \hline 2 & A \to \frac{b}{w} A_1 ... A_n \\
 & n \ge 0, b \in \Sigma, w \in \Delta^*, \\
 & A_i \in (C \cup V)
\end{array}$$

if (cur\_char = 
$$b \land top = A$$
) then  
write ( $v$ )  
pop  
push ( $A_n ... A_1$ )  
shift input head

read input char b write output string w push symbols  $A_1 \dots A_n$ 

$$\begin{array}{|c|c|}
\hline
A \to BA_1...A_n \\
n \ge 0, B \in V, \\
A_i \in (C \cup V)
\end{array}$$

if 
$$(top = A)$$
 then  
pop  
push  $(A_n...A_1)$ 

push symbols A<sub>1</sub> ... A<sub>n</sub>

$$\boxed{4} A \to \frac{\mathcal{E}}{v} \quad v \in \Delta^+$$

write output string *v* 

 $5 A \to \varepsilon$ 

if (top = A) then
pop

for every pair  $\frac{\mathcal{E}}{\mathcal{E}} \in C$ 

if (top = ε / ν) then write (ν) pop write output string v

for every pair  $\frac{b}{w} \in C$ 

if (cur\_char = b ∧ top = b / w) then
 write (w)
 pop
 shift input head

read input char *b* write output string *v* 

8

if (cur\_char = -| ∧ stack empty) then
 accept
 halt

accept and end

## EXAMPLE – extend to a transducer the recognizer of the language $L = \{a^* \ a^m \ b^m | \ m > 0\}$ - $\tau(a^k \ a^m \ b^m) = d^m \ c^k$

Rule

 $S \to \frac{a}{\varepsilon} S \frac{\varepsilon}{c}$ 

Move

if (cur\_char =  $a \land top = S$ ) then pop; push ( $\epsilon / cS$ ); shift input head

 $S \rightarrow A$ 

if (top = S) then pop; push (A)

 $3 \qquad A \to \frac{a}{d} A \frac{b}{\varepsilon}$ 

if (cur\_char =  $a \land top = A$ ) then **pop**; write (d); **push** ( $b / \varepsilon A$ ); shift input head

 $A \to \frac{a}{d} \frac{b}{\varepsilon}$ 

if (cur\_char =  $a \land top = A$ ) then **pop**; write (d); **push** (b /  $\epsilon$ ); shift input head

if  $(top = \epsilon / c)$  then **pop**; write (c);

6 [---

if  $(cur\_char = b \land top = b / \epsilon)$  then **pop**; shift input head

7 \_\_\_\_

if (cur\_char = -| ∧ empty stack) then accept; halt

Not every transduction defined by a transduction free grammar can be computed in a deterministic way.

EXAMPLE – a inherently non-deterministic transduction (typical example of something not to do)

No det. pushdown transducer automaton can compute the transduction shown aside.

$$\tau(u-|)=u^R u, \quad u \in \{a,b\}^*$$

A det. transducer should push the string u and, soon after reading the terminator, it should pop u to output the mirror image. But in this way it looses any information about u, and therefore it will not be able to output the direct image of u itself.

For practical purposes only the deterministic cases are of importance. Suitable analysis algorithms follow.

#### SYNTAX ANALYSIS AND ON-LINE TRANSDUCTION

The transduction can be constructed directly and efficiently. A syntactic analyzer is transformed into the corresponding syntactic transducer.

Given a transduction grammar, suppose the underlying source grammar allows the construction of a deterministic syntax analyzer. To compute the transduction, execute the syntax analysis and, as the syntax tree is built, output the corresponding transduction.

TOP-DOWN ANALYZER (LL): can always be transformed into a transducer

BOTTOM-UP ANALYZER (LR): to be transformed into a transducer, grammar rules need satisfy a special restrictive condition - the write action can only occur at the end of the production (when the analyzer performs reduction)

## TOP-DOWN DETERMINISTIC TRANSDUCTION

If the source grammar is LL(k), complete the parser with write actions and obtain the corresponding transducer.

This construction is very simple. The transducer can also be designed as a set of recursive syntactic procedures.

## EXAMPLE: translate a string into the mirror image

The source grammar is LL(1), and the lookahead sets are  $\{a\}$   $\{b\}$  and  $\{-i\}$ .

$$S \to \frac{a}{\varepsilon} S \frac{\varepsilon}{a} | \frac{b}{\varepsilon} S \frac{\varepsilon}{b} | \varepsilon$$

### Automaton moves are:

stack	cc = a	cc = b	cc = -	3
S	pop; push (ε / aS)	pop; push( $\epsilon / bS$ )	pop	
ε/a				write (a)
ε/b				write (b)

EXAMPLE – translate infix expressions to postfix – show recursive version as well

Source language: arithmetic expressions with pharenteses.

The transduction converts the infix form to the postfix form.

Example: v x (v + v) v v v add mult

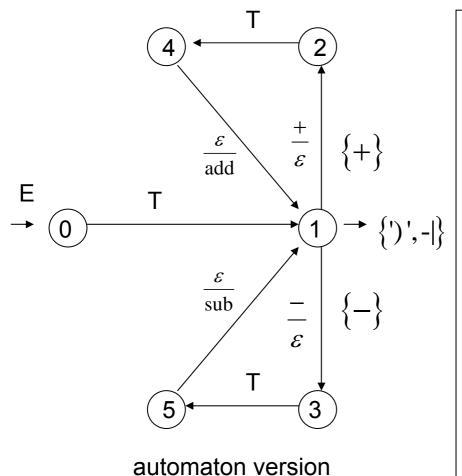
EBNF transduction grammar to postfix form:

$$E \to T \left(\frac{+}{\varepsilon} T \frac{\varepsilon}{\text{add}} | \frac{-}{\varepsilon} T \frac{\varepsilon}{\text{sub}} \right)^{*}$$

$$T \to F \left(\frac{\times}{\varepsilon} F \frac{\varepsilon}{\text{mult}} | \frac{\div}{\varepsilon} F \frac{\varepsilon}{\text{div}} \right)^{*}$$

$$F \to \frac{v}{v} | \frac{'(')}{\varepsilon} E \frac{')'}{\varepsilon}$$

$$\Sigma = \{+, \times, -, \div, (,), v\}, \quad \Delta = \{\text{add, sub, mult, div, v}\}$$



```
procedure E
         call T
         while (cc \in \{+, -\}) do
                  case (cc = '+') begin
                           cc := next
                            call T
                            write ('add')
                  end
                  case (cc = '-') begin
                           cc := next
                            call T
                           write ('sub')
                  end
                  otherwise error
                  end case
         end do
end E
```

recursive version (with syntatctic procedures)

# Bibliography

- S. Crespi Reghizzi, Linguaggi Formali e Compilazione,
   Pitagora Editrice Bologna, 2006
- Hopcroft, Ullman, Formal Languages and their Relation to Automata, Addison Wesley, 1969
- A. Salomaa Formal Languages, Academic Press, 1973
- D. Mandrioli, C. Ghezzi Theoretical Foundations of Computer Science, John Wiley & Sons, 1987
- L. Breveglieri, S. Crespi Reghizzi, Linguaggi Formali
   e Compilatori: Temi d'Esame Risolti, web site (eng + ita)