# COMPASS: a $\underline{\mathbf{COM}}$ prehensive $\underline{\mathbf{P}}$ latform for sm $\underline{\mathbf{A}}$ ll RNA- $\underline{\mathbf{S}}$ eq data Analy $\underline{\mathbf{S}}$ is (v1.0)

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# 1 Introduction

COMPASS was composed of five functional modules: Quality Control, Alignment, Annotation, Microbe and Function. They are integrated into a pipeline and each module can also process independently (Figure 1).

Quality Control: To deal with fastq files and filter out the adapter sequences and reads with low quality. FASTQ files from the small RNA sequencing of biological samples are the default input. First, the adapter portions of a read are trimmed along with any randomized bases at ligation junctions that are produced by some small RNA-seq kits (e.g., NEXTflex<sup>TM</sup> Small RNA-Seq kit). The read quality of the remaining sequence is evaluated using its corresponding Phred score. Poor quality reads are removed according to quality control parameters set in the command line (-rh 20 -rt 20 -rr 20). Users can specify qualified reads of specific length intervals for input into subse-quent modules.

Alignment: To align the clean reads to the reference genome. COMPASS uses STAR as its default RNA sequence aligner with default parameters which are customizable on the command line. Qualified reads from the QC module output are first mapped to the human genome hg19/hg38, and then aligned reads are quantified and annotated in the Annotation Module. Reads that could not be mapped to the human genome are saved into a FASTA file for input into the Microbe Module.

Annotation: To annotate different kinds of circulating RNAs based on the alignment result. COMPASS currently uses several different small RNA databases for annotating human genome mapped reads and provides all the possible annotations: miRBase (Kozomara and Griffiths-Jones, 2011) for miRNA; piRNABank (Sai Lakshmi and Agrawal, 2008); piRBase (Zhang, et al., 2014) and piRNACluster (Rosenkranz, 2016) for piRNA; gtR-NAdb (Chan and Lowe, 2016) for tRNA; GENCODE release 27 (Harrow, et al., 2012) for snRNA and snoRNA; circBase (Glazar, et al., 2014) for circular RNA. To conform the different reference human genome versions in these databases, we use an automatic LiftOver created by the UCSC Genome Browser Group. All the databases used are already pre-built, enabling speedy annotation.

Microbe: To predict the possible species of microbes existed in the samples. The qualified reads that could not be mapped to the human genome in the Alignment Module are aligned to the nucleotide (nt) database (Coordinators, 2013) from UCSC using BLAST. The four major microbial taxons archaea, bacteria, fungi and viruses are supported.

Function: To perform differential expression analysis and other functional studies to be extended. The read count of each RNA molecule that is identified in the Annotation Module is outputted as a tab-delimited text file according to RNA type. With more than one sample FASTQ file inputs, the out-put are further aggregated into a data matrix of RNA molecules as rows and samples as columns showing the read counts of an RNA molecule across different samples. The user can mark each sample FASTQ file column as either a case or a control in the command line, and perform a case versus control differential expression analysis for each RNA molecule using the Mann-Whitney rank sum test (Wilcoxon Rank Sum Test) as the default statistical test.

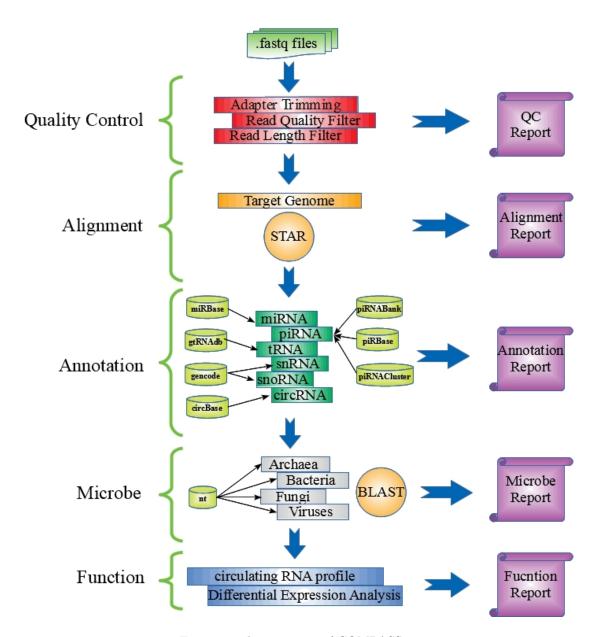


Figure 1: The structure of COMPASS.

# 2 Installation

#### 2.1 JAVA Virtual Machine

COMPASS was achieved by Java language, so Java Runtime Environment (JRE) version 8 (or up) is required. The JRE can be downloaded in ORACLE website (http://www.oracle.com/technetwork/java/javase/downloads/index.html).

# 2.2 COMPASS

You can download COMPASS from https://regepi.bwh.harvard.edu/circurna/ or the GitHub website https://github.com/cougarlj/COMPASS.

#### 2.3 STAR

COMPASS will take STAR (v2.5.3a) as the default aligner. STAR can be downloaded from Google Code (https://github.com/alexdobin/STAR/releases/tag/2.5.3a). You can install STAR by yourself in the COMPASS plug directory or by COMPASS itself.

#### 2.4 Build-in Installation

When you installed the JAVA Virtual Machine and COMPASS successfully, you could use the COMPASS build-in installation system which was collected into COMPASS ToolKit (-tk). **Download STAR:** You can download STAR directly from the command: java -jar COMPASS.jar -tk -dr -ck star

**Download Annotation Database:** COMPASS supports the annotation of miRNA, piRNA, tRNA, snoRNA, snRNA and circRNA with both hg38 and hg19 version. The database can be represented as the combination of RNA name and genome version, connecting by "\_\_", such as miRNA\_hg38, piRNA\_hg38 and snoRNA\_hg19. So you can download these database by the command: java -jar COMPASS.jar -tk -dr -ck miRNA\_hg38,piRNA\_hg38,tRNA\_hg38,...

Download human genome for STAR: Before running STAR for alignment, you should download the reference genome and build the index. You can download the human reference genome hg38 by the command: java -jar COMPASS.jar -tk -dr -ck star\_hg38. COMPASS can build the index when it runs for the first time. If failed, please enter the STAR installation directory and set it executive through the command chmod.

# 3 Examples

**Note:**We demonstrate COMPASS in the  $\sim$ /COMPASS directory in Linux OS.

~\$ mkdir COMPASS ~\$ cd COMPASS/

# 3.1 Preparation

#### 3.1.1 Download COMPASS

 $\sim\!\!/\text{COMPASS}$ wget https://regepi.bwh.harvard.edu/circurna/COMPASS\_V1.0.zip $\sim\!\!/\text{COMPASS}$ unzip COMPASS\_V1.0.zip

**Note:** After uncompressing the zip file, you can find the following materials:

**COMPASS.jar**: This is the compiled jar package of COMPASS.

COMPASS\_tutorial\_v1.0.pdf: The tutorial of COMPASS v1.0.

 $bundle\_v1:$  This directory contains all the resources that COMPASS may used, such as databases, reference genome, plugs.

**example:** This directory contains examples for demonstration.

#### 3.1.2 Download Resources

# Download miRNA prebuilt databases:

 $\sim\!\!/\text{COMPASS}\$$ java -jar COMPASS.jar -tk -dr -ck miRNA\_hg38

# Download piRNA prebuilt databases:

~/COMPASS\$ java -jar COMPASS.jar -tk -dr -ck piRNA\_hg38

# Download tRNA prebuilt databases:

 $\sim\!\!/\text{COMPASS}\$$ java -jar COMPASS.jar -tk -dr -ck tRNA\_hg38

#### Download snoRNA prebuilt databases:

~/COMPASS\$ java -jar COMPASS.jar -tk -dr -ck snoRNA hg38

#### Download snRNA prebuilt databases:

 $\sim$ /COMPASS\$ java -jar COMPASS.jar -tk -dr -ck snRNA\_hg38

#### Download circRNA prebuilt databases:

~/COMPASS\$ java -jar COMPASS.jar -tk -dr -ck circRNA hg38

# Download all prebuilt databases:

~/COMPASS\$ java -jar COMPASS.jar -tk -dr -ck miRNA\_hg38,piRNA\_hg38, tRNA hg38,snoRNA hg38,snoRNA hg38,circRNA hg38

#### 3.1.3 Download and install STAR

#### Download STAR:

 $\sim$ /COMPASS\$ java -jar COMPASS.jar -tk -dr -ck star

#### Download human reference genome hg38:

 $\sim$ /COMPASS\$ java -jar COMPASS.jar -tk -dr -ck star\_hg38

# 3.2 Test Examples

# 3.2.1 Run COMPASS module by module

#### QC Module:

~/COMPASS\$ java -jar COMPASS.jar -ref hg38 -qc -ra TGGAATTCTCGGGTGCCAAGG -rb 4 -rh 20 -rt 20 -rr 20 -rlh 8,17 -in ./example/sample01.fastq -out ./example\_out/

#### Alignment Module:

```
\sim\!\!/\text{COMPASS}\mbox{\$}java -jar COMPASS.jar -ref hg38 -aln -mt star -mbi -in ./example_out/sample01/sample01_17to50_FitRead.fastq.gz -out ./example_out/sample01/sample01_17to50_FitRead
```

(Note: **-mbi** was only needed for the first time when you run COMPASS and built the index files for STAR. This process will cost about 3 hours.)

#### **Annotation Module:**

```
\sim\!\!/\text{COMPASS}\$java -jar COMPASS.jar -ref hg38 -ann -ac 1,2,3,4,5,6 -in ./example_out/sample01/sample01_17to50_FitRead_STAR_Aligned.out.bam -out ./example_out/sample01/sample01_17to50_FitRead_STAR_Aligned
```

(Note: The top three modules can run together in a pipeline.)

```
~/COMPASS$ java -jar COMPASS.jar -ref hg38
-qc -ra TGGAATTCTCGGGTGCCAAGG -rb 4 -rh 20 -rt 20 -rlh 8,17
-aln -mt star
-ann -ac 1,2,3,4,5,6
-in ./example/sample01.fastq
-out ./example_out/
```

(Note: When running multiple samples, you can write the input file names into a single file and use -inf instead of -in. Also, the three modules can be conducted in one command.)

```
~/COMPASS$ java -jar COMPASS.jar -ref hg38
-qc -ra TGGAATTCTCGGGTGCCAAGG -rb 4 -rh 20 -rt 20 -rlh 8,17
-aln -mt star
-ann -ac 1,2,3,4,5,6
-inf ./example/sample.list
-out ./example_out/
```

#### **Function Module:**

```
~/COMPASS$ java -jar COMPASS.jar -ref hg38
-fun -fd -fdclass 1,2,3,4,5,6 -fdcase 1-6 -fdctrl 7-12 -fdnorm cpm -fdtest mwu -fdann
-pro COMPASS_DEG -inf ./example/sample.list -out ./example_out/
```

(Note: If you only want to merge the count files, you can use -fm -fms.)

```
~/COMPASS$ java -jar COMPASS.jar -ref hg38
-fun -fm -fms 1-12 -fdclass 1,2,3,4,5,6 -fdann -pro COMPASS_MERGE
-inf ./example/sample.list
-out ./example_out/
```

# 3.2.2 Run COMPASS in a pipeline

```
~/COMPASS$ java -jar COMPASS.jar -ref hg38
-qc -ra TGGAATTCTCGGGTGCCAAGG -rb 4 -rh 20 -rt 20 -rr 20 -rlh 8,17
-aln -mt star -ann -ac 1,2,3,4,5,6
-fun -fd -fdclass 1,2,3,4,5,6 -fdcase 1-6 -fdctrl 7-12 -fdnorm cpm
-fdtest mwu -fdann -pro ALL_DEG -inf ./example/sample.list -out ./example_out/
```

(Note: To merge count files, you can still run COMPASS in a pipeline.)

```
~/COMPASS$ java -jar COMPASS.jar -ref hg38
-qc -ra TGGAATTCTCGGGTGCCAAGG -rb 4 -rh 20 -rt 20 -rr 20 -rlh 8,17
-aln -mt star -ann -ac 1,2,3,4,5,6
-fun -fm -fms 1-12 -fdclass 1,2,3,4,5,6
-fdann -pro ALL_MERGE -inf ./example/sample.list -out ./example_out/
```

#### 3.2.3 Microbe Module (Optional)

(Note: To run Microbe Module, you may need to download more resources. Here, we take archaea as an example.)

Step 1: Download and install BLAST.

```
\sim\!\!/\text{COMPASS}\$java -jar COMPASS.jar -tk -dr -ck blast
```

Step 2: Download taxonomy information.

```
\sim\!\!/\mathbf{COMPASS}java -jar COMPASS.jar -tk -dr -ck blast_taxonomy
```

**Step 3:** Download microbial prebuilt database. In COMPASS, we have prebuilt four microbial databases: blast\_archaea, blast\_bacteria, blast\_fungi, blast\_viruses.

```
\sim/COMPASS$ java -jar COMPASS.jar -tk -dr -ck blast_archaea
```

Step 4: Run Microbe Module in COMPASS with -mic.

```
\sim\!\!/\text{COMPASS}\$java -jar COMPASS.jar -mic -mtool Blast -mdb archaea -in ./example_out/sample01_17to50_FitRead_STAR_Aligned_UnMapped.bam -out ./example_out/
```

(Note: You can still add the Microbe Module into the whole pipeline.)

```
~/COMPASS$ java -jar COMPASS.jar -ref hg38
-qc -ra TGGAATTCTCGGGTGCCAAGG -rb 4 -rh 20 -rt 20 -rlh 8,17
-aln -mt star
-ann -ac 1,2,3,4,5,6
-mic -mtool Blast -mdb archaea
-in ./example/sample01.fastq
-out ./example_out/
```

(Note: For multiple samples, take a file list as input. )

```
~/COMPASS$ java -jar COMPASS.jar -ref hg38
-qc -ra TGGAATTCTCGGGTGCCAAGG -rb 4 -rh 20 -rt 20 -rlh 8,17
-aln -mt star
-ann -ac 1,2,3,4,5,6
-mic -mtool Blast -mdb archaea
-inf ./example/sample.list
-out ./example_out/
```

# 4 Options

# 4.1 General Settings

# 4.1.1 -h/--help

To display the help information of COMPASS.

#### 4.1.2 -t/--threads n

To set the maximum of threads that COMPASS will use when running. The default setting is 1.

# 4.1.3 -pro/--project\_name ProjectName

To set the project name. The default setting is COMPASS.

# 4.1.4 -ref/--ref\_genome hg19/hg38

To set the reference genome that is used for alignment. Currently, COMPASS supports hg19 (http://hgdownload.soe.ucsc.edu/goldenPath/hg19/bigZips/chromFa.tar.gz) and hg38 (http://hgdownload.soe.ucsc.edu/goldenPath/hg38/bigZips/hg38.fa.gz) genome version.

# 4.1.5 -in/--input file1,file2,...,fileN

To set the input file. The valid format is fastq file or SAM file.

# 4.1.6 -inf/--in\_file file.list

To set the input files through a file list. In the file list, each line should only contain one file without any delimiter.

# 4.1.7 -out/--output /my/output/path/

To set the output files. If no setting, COMPASS will create an output directory in the user working path and take the input prefix in default.

# 4.2 Quality Control

# 4.2.1 -qc/--quality\_control

To open or close the quality control module.

4.2.2 -ra/--rm\_adapter seq

To remove the adapter sequences at the 3' (3-prime) end. The commonly used adapter sequences from different kits are listed below:

TruSeq Small RNA (Illumina) TGGAATTCTCGGGTGCCAAGG

Small RNA Kits V1 (Illumina) TCGTATGCCGTCTTCTGCTTGT

Small RNA Kits V1.5 (Illumina) ATCTCGTATGCCGTCTTCTGCTTG

NEXTflex Small RNA Sequencing Kit v3 for Illumina Platforms (Bioo Scientific)  ${\tt TGGAATTCTCGGGTGCCAAGG}$ 

LEXOGEN Small RNA-Seq Library Prep Kit (Illumina) TGGAATTCTCGGGTGCC AAGGAACTCCAGTCAC

4.2.3 -rb/--rm\_bias n

To remove n random bases in both 5' (5-prime) and 3' (3-prime) ends after removing the adapter sequence.

4.2.4 -rh/--rm\_low\_quality\_head score

To remove the low quality bases with the score less than *score* from 5' (5-prime) end.

4.2.5 -rt/--rm\_low\_quality\_tail score

To remove the low quality bases with the score less than *score* from 3' (3-prime) end.

4.2.6 -rr/--rm\_low\_quality\_read score

To remove the low quality reads with the average score less than score.

4.2.7 -rhh/--rm head hard n

To remove n bases from the 5' (5-prime) end.

4.2.8 -rth/--rm\_tail\_hard n

To remove n bases from the 3' (3-prime) end.

4.2.9 -rlh/--rm\_read\_hard D1,D2,...,Dn

To divide the reads into several groups according to [0,D1),[D1,D2),...,[Dn-1,Dn].

# 4.3 Alignment

4.3.1 -aln/--alignment

To open or close the alignment module.

# 4.3.2 -mt/--mapping\_tool star

To set the aligner used in COMPASS. The default aligner is star (v2.5.3a)

# 4.3.3 -mp/--mapping\_param

To set parameters of the aligner. The default settings for star are listed below:

- star
  - --runMode alignReads
  - --out $\mathbf{SAMtype}$  SAM
  - --outSAMattributes Standard
  - --readFilesCommand zcat
  - --outSAMunmapped Within
  - --outReadsUnmapped None
  - --alignEndsType EndToEnd
  - --alignIntroMax 1
  - --alignIntroMin 21
  - --outFilterMismatchNmax 1
  - --outFilterMultimapScoreRange 0
  - --outFilterScoreMinOverLread 0
  - --outFilterMatchNminOverLread 0
  - --outFilterMismatchNoverLmax 0.3
  - $\textbf{--outFilterMatchNmin} \ \ 16$
  - --outFilterMultimapNmax 20

# 4.3.4 -midx/--mapping\_index R1,R2,...,Rn

To set the read group that will be used for alignment. The default value is "last", which means the group with the longest reads. Otherwise, the number Rn denotes the index of region when setting the parameter -rlh/-rm\_read\_hard D1,D2,...,Dn.

# 4.3.5 -mref/--mapping\_reference hg19/hg38

To set the reference genome in alignment. The default value is the same as the parameter -ref/-ref genome hq19/hq38.

# 4.4 Annotation

#### 4.4.1 -ann/--annotation

To open/close the annotation module.

# 4.4.2 -ac/--ann\_class A1, A2, ..., An

To set the small RNA categories that will be annotated. The index of small RNA is listed:

- 1 miRNA
- 2 piRNA
- 3 tRNA
- 4 snoRNA
- 5 snRNA
- 6 circRNA

# 4.4.3 -aol/--ann\_overlap n

To set the overlap rate between reads and gene regions. The default value is 1.0.

# 4.4.4 -aic/--ann\_inCluster

To show whether or not piRNAs are in the piRNA clusters when annotating piR-NAs. The default value is false.

# 4.4.5 -atd/--ann\_threshold n

To set the threshold of read counts of small RNAs. If set, only the small RNAs with the read count more than n are displayed. The default value is 1.

# 4.4.6 -armsm/--ann\_remove\_sam

If added, the original sam file from alignment module will be removed.

# 4.5 Microbe

# 4.5.1 -mic/--microbe

To open/close the microbe module.

# 4.5.2 -mtool/--mic\_tool blast

To set the tool that will be used for microbe profiling. Currently, only *blast* is supported.

# 4.5.3 -mdb/--mic\_database viruses, bacteria, fungi, archaea

To set the microbial databases used in blast.

# 4.6 Function

# 4.6.1 -fun/--function

To open/close the function module.

4.6.2 -fd/--fun\_diff\_expr

To open/close the function of differential expression analysis.

4.6.3 -fdclass/--fun diff class A1, A2, ..., An

To set the small RNAs that will be performed the differential expression analysis. The format is the same as the parameter  $-ac/-ann\_class\ A1,A2,...,An$ .

4.6.4 -fdcase/--fun\_diff\_case ID1,ID2,...,IDn

To set the IDs of case samples.

4.6.5 -fdctrl/--fun\_diff\_control ID1,ID2,...,IDn

To set the IDs of control samples.

4.6.6 -fdtest/--fun\_diff\_test mwu

To set the statistic test between case and control samples. Currently, only Mann-Whitney U test is supported.

4.6.7 -fdmic/--fun\_diff\_mic

If added, COMPASS will detect the annotation files of microbes. It is valid when running function module separately.

4.6.8 -fmtool/--fun\_mtool blast

To set the tool that was used for microbe profiling. This parameter can facilitate COMPASS to decide the input files.

4.6.9 -fmdb/--fun\_mdb viruses,bacteria,fungi,archaea

To set the microbial databases used in blast. This parameter can facilitate COM-PASS to decide the input files.

4.6.10 -fdann/--fun\_diff\_ann

If added, COMPASS will detect the annotation files of all small RNAs. It is valid when running function module separately.

 $4.6.11 - fm/--fun\_merge$ 

To open/close the function of merging.

4.6.12 -fms/--fun\_merge\_samples ID1,ID2,...,IDn

To extract read counts from each sample and merge them in one file by different kinds of small RNAs. The categories are set by the parameter -fdclass/-fun\_diff\_class A1,A2,...,An.

# 5 FAQ

5.0.1 How much memory does COMPASS need?

COMPASS does not cost lots of memory, but if STAR was taken as aligner, and  $30\mathrm{G}$  memory is considered at least for human genome.

# References