

# CME 295: Transformers & Large Language Models



**Afshine Amidi & Shervine Amidi**

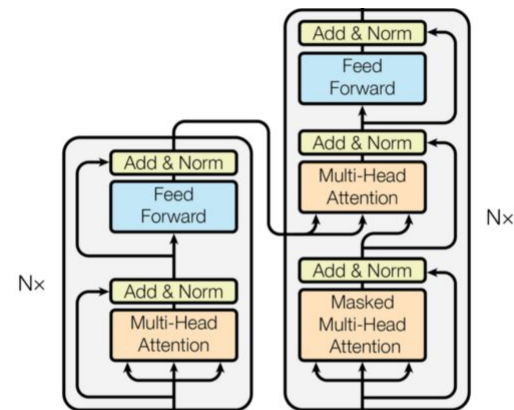


# Recap of last episode...

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**3 categories** of Transformer-based models:

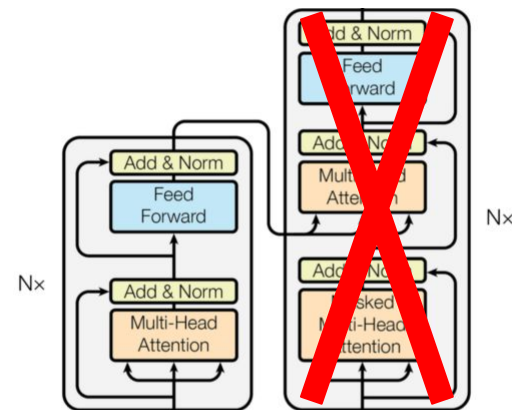
<b>Encoder-decoder</b>	Text to text	T5, mT5, ByT5
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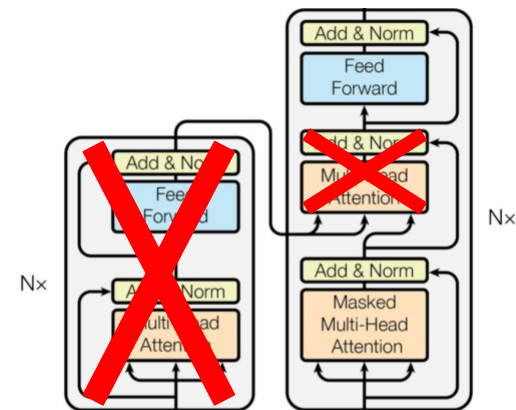
Encoder-decoder	Text to text	T5, mT5, ByT5
Encoder-only	Projection of embedding for class prediction (e.g. sentiment extraction)	BERT, DistilBERT, RoBERTa



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# Transformers & Large Language Models

## LLM overview

MoE-based LLMs

Response generation

Prompting strategies

Inference optimizations

**LLM** = **L**arge **L**anguage **M**odel



# Terminology

LLM = Large **Language Model**

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"A **language model** is a statistical or machine learning **model** that assigns **probabilities** to sequences of **tokens**."

# Terminology

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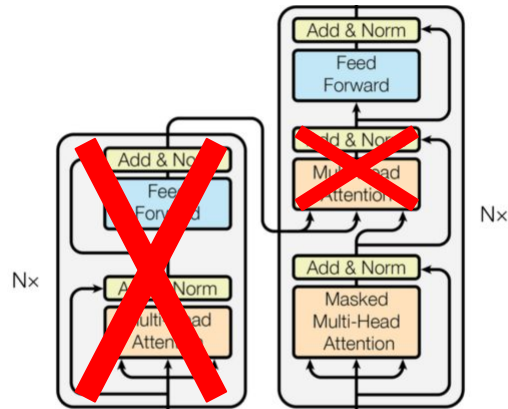
# Terminology

LLM = **Large** Language Model

- **Model size:** billions of parameters or more
- **Training data:** 100s of billions of tokens or more
- **Compute:** a lot of GPUs

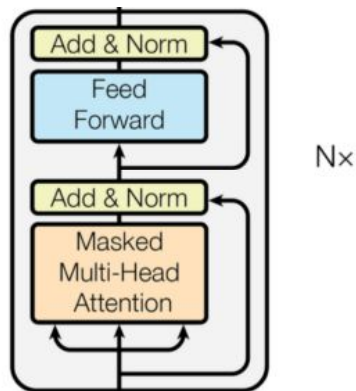
# Characteristics

## Decoder-only Transformer-based model



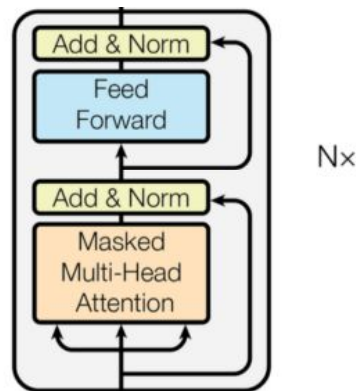
# Characteristics

## Decoder-only Transformer-based model



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**Examples:** GPT series, LLaMA, Gemma, DeepSeek, Mistral, Qwen, ...



# Transformers & Large Language Models

LLM overview

**MoE-based LLMs**

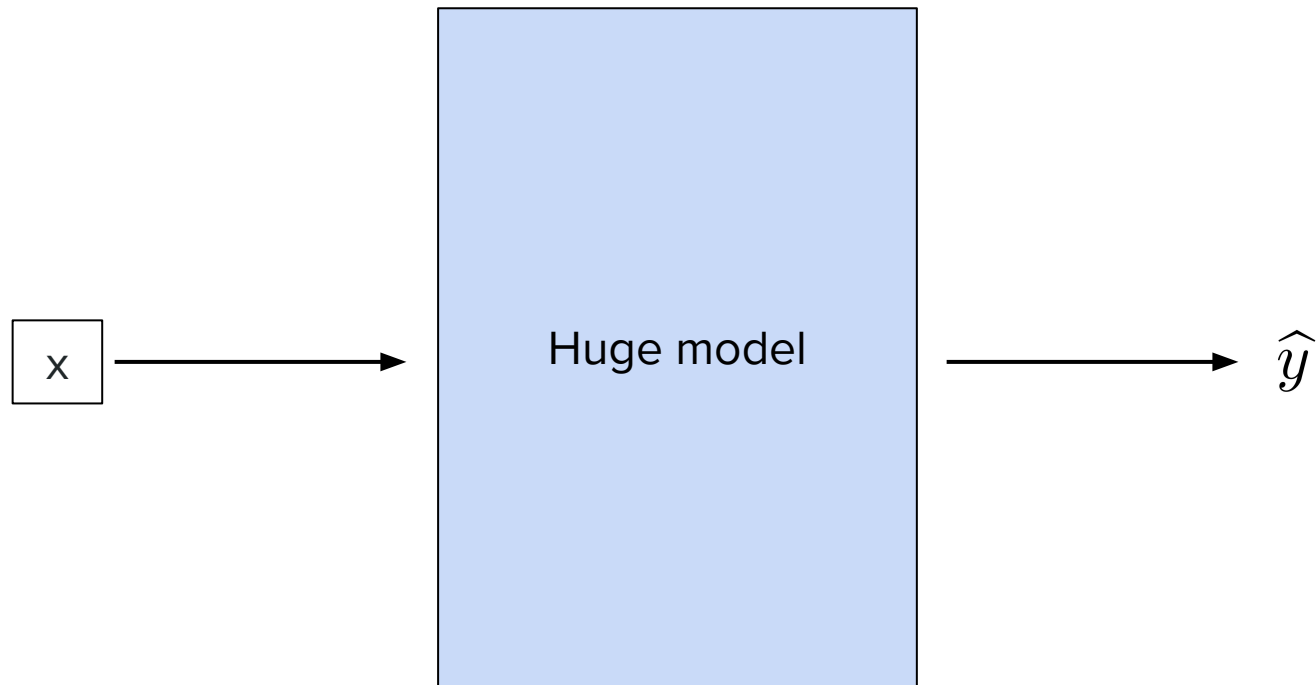
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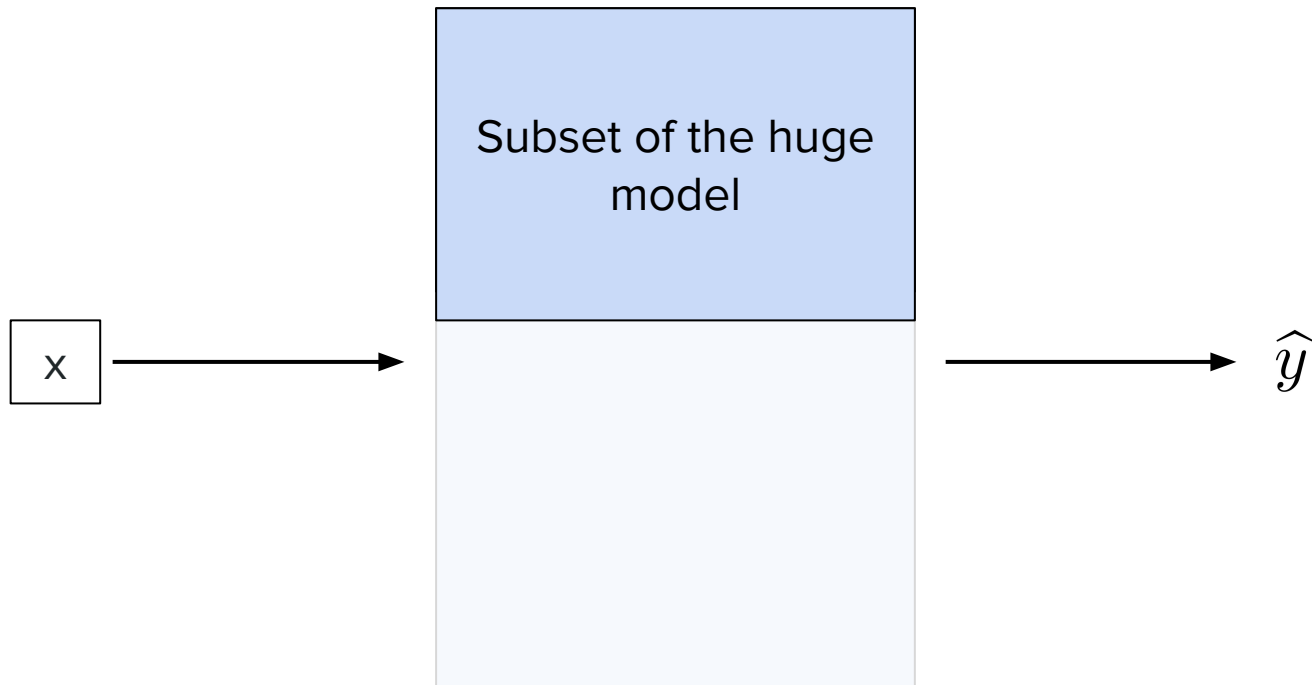


# Motivation

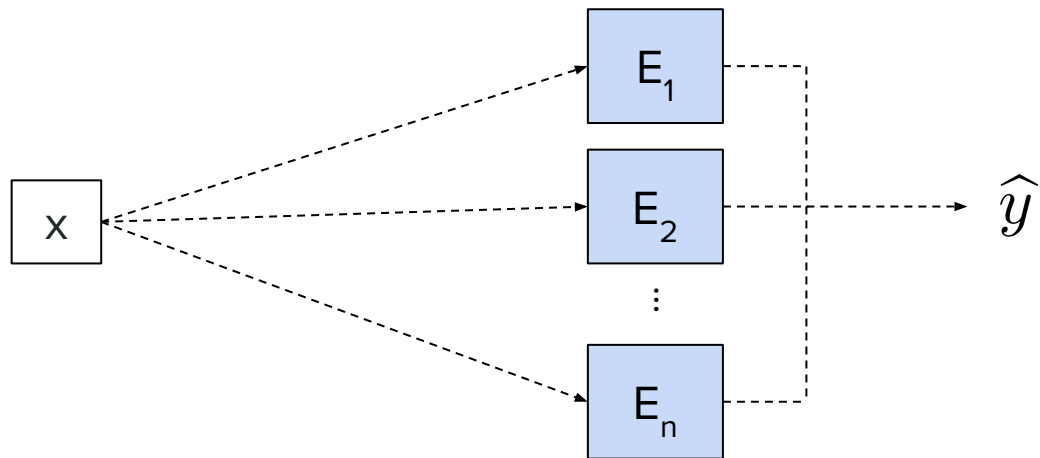


# Motivation

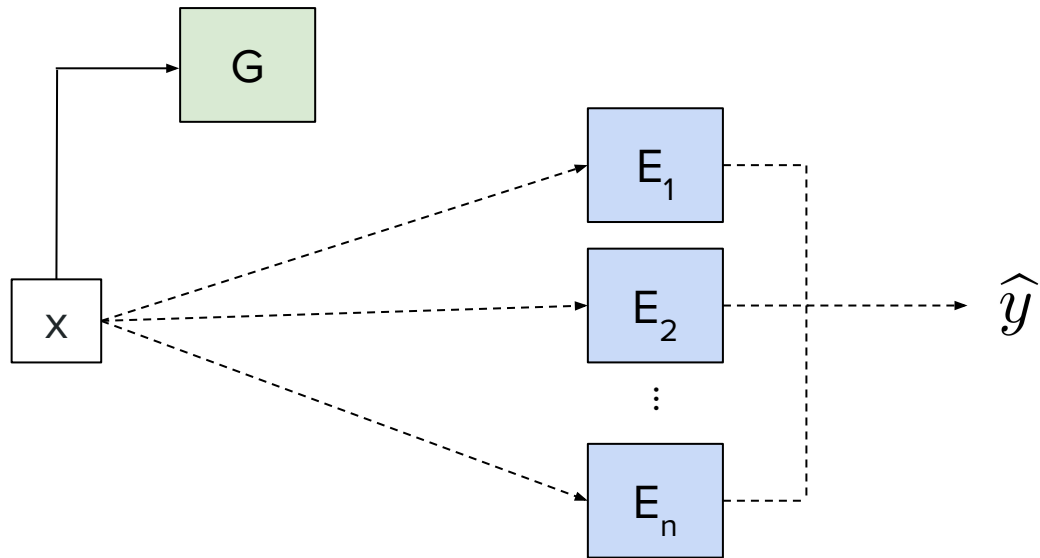
**Idea.** Not all weights are useful in the forward pass



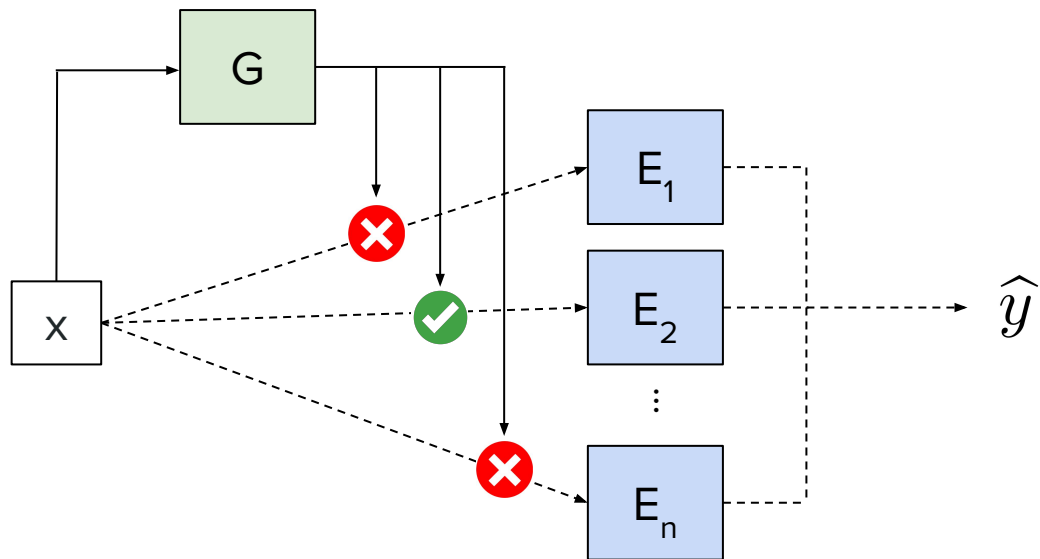
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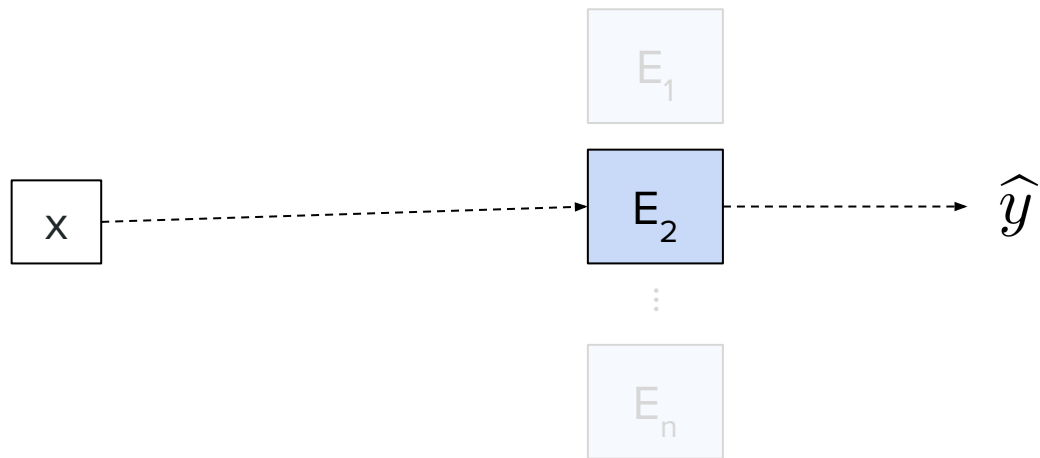
# Motivation



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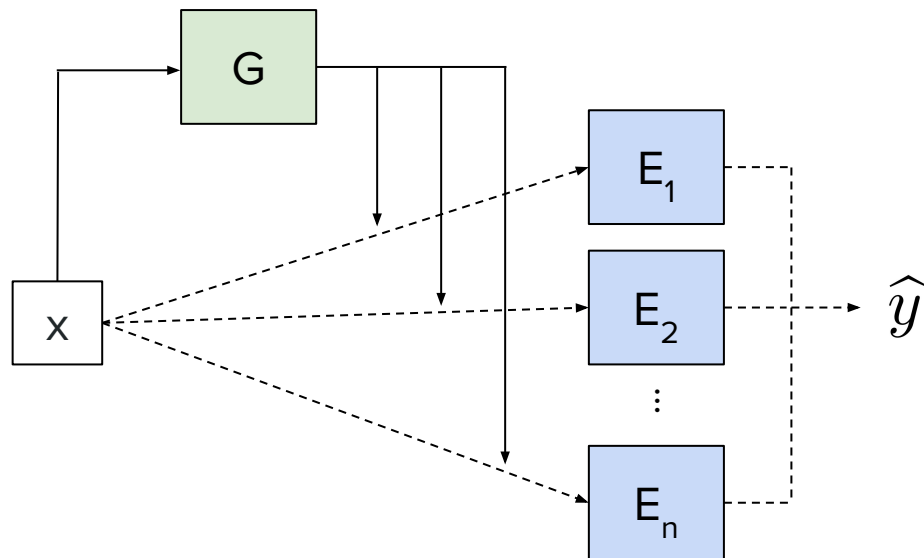


# Motivation



# Overview of MoEs

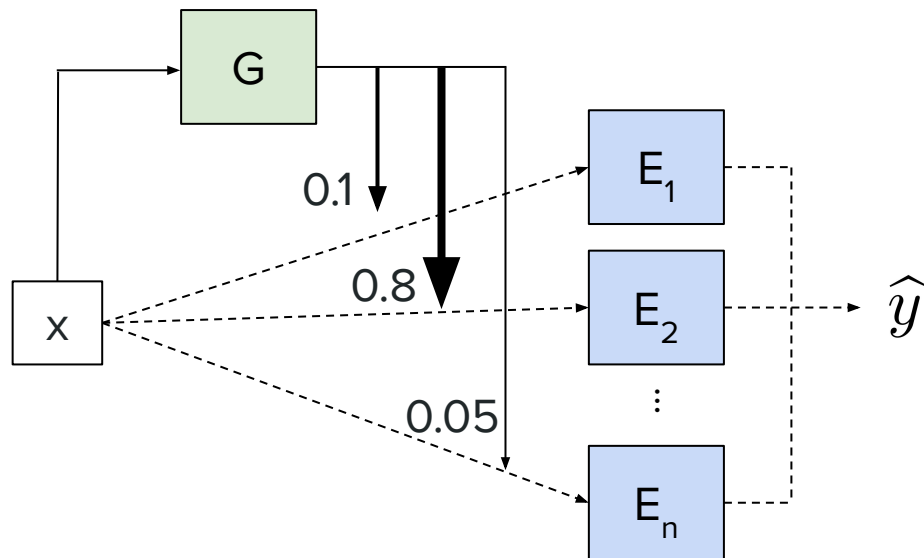
**MoE = Mixture of Experts**



$$\hat{y} = \sum_{i=1}^n G(x)_i E_i(x)$$

# Overview of MoEs

## MoE = Mixture of Experts



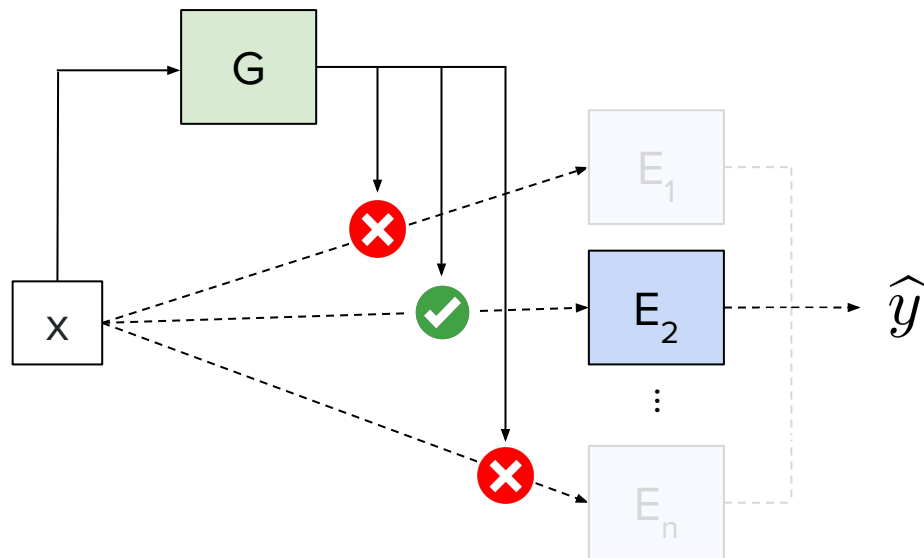
**Dense MoE.** Output is weighted average of **all** expert outputs.

$$\hat{y} = \sum_{i=1}^n G(x)_i E_i(x)$$



# Overview of MoEs

## MoE = Mixture of Experts

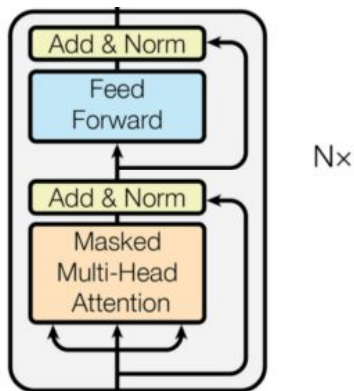


**Sparse MoE.** Output is weighted average of **selected** expert outputs.

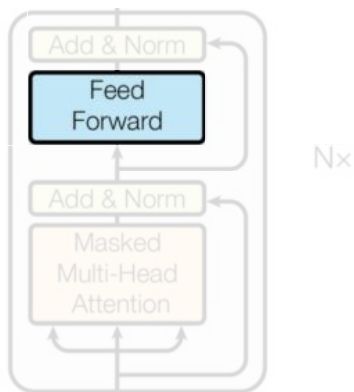
$$\hat{y} = \sum_{i \in \mathcal{I}_k} G(x)_i E_i(x)$$

Via **top-k** selection

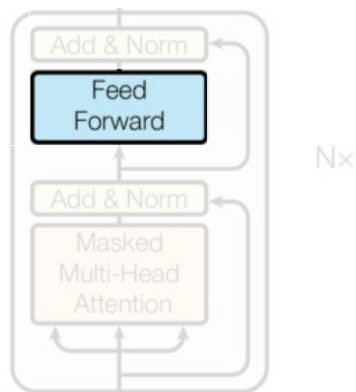
# MoE in Transformer-based models



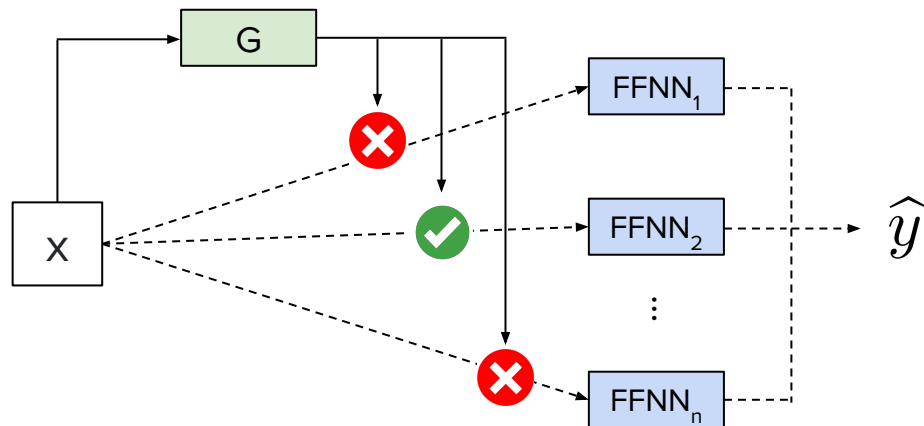
# MoE in Transformer-based models



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Routing done **for each token!**



# Training challenges include routing collapse

**Symptom.** Same expert gets selected most of the time.

**"routing collapse"**

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**Symptom.** Same expert gets selected most of the time.

"routing collapse"

**Remedy.** Force other experts to be "part of the game" too via auxiliary loss:

$$\text{loss} = \alpha \cdot N \cdot \sum_{i=1}^N f_i \cdot P_i$$

$f_i$  = fraction of tokens routed to expert  $i$

$P_i$  = average routing probability for expert  $i$

# Interpreting experts

## Layer 0

```
class MoeLayer(nn.Module):
    def __init__(self, experts: List[nn.Module],
                 gate: nn.Module, moe_args: Dict):
        super().__init__()
        assert len(experts) > 0
        self.experts = nn.ModuleList(experts)
        self.gate = gate
        self.args = moe_args

    def forward(self, inputs: torch.Tensor):
        inputs_squashed = inputs.view(-1, inputs.size(-1))
        gate_logits = self.gate(inputs_squashed)
        weights, selected_experts = torch.topk(
            gate_logits, self.args.num_experts_per_token
        )
        weights = nn.functional.softmax(
            weights,
            dim=-1,
            dtype=torch.float,
        ).type_as(inputs)
        results = torch.zeros_like(inputs_squashed)
        for i, expert in enumerate(self.experts):
            batch_idx, nth_expert = torch.where(
                selected_experts == i
            )
            results[batch_idx] += weights[batch_idx] * expert(
                inputs_squashed[batch_idx]
            )
        return results.view_as(inputs)
```

Each color represent an expert



# Transformers & Large Language Models

LLM overview

MoE-based LLMs

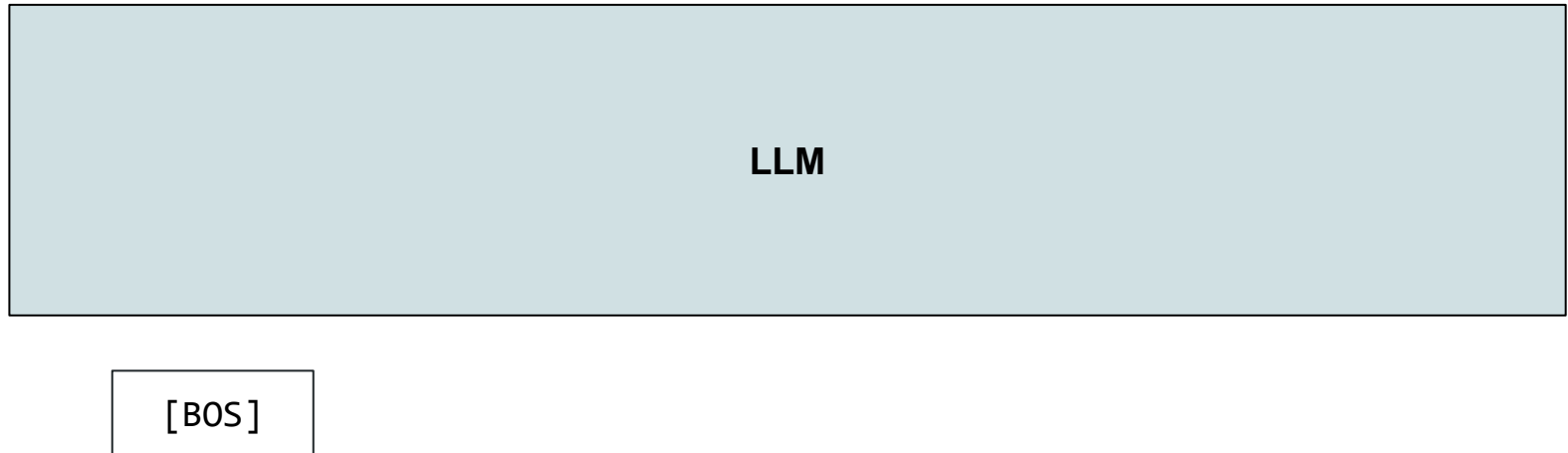
**Response generation**

Prompting strategies

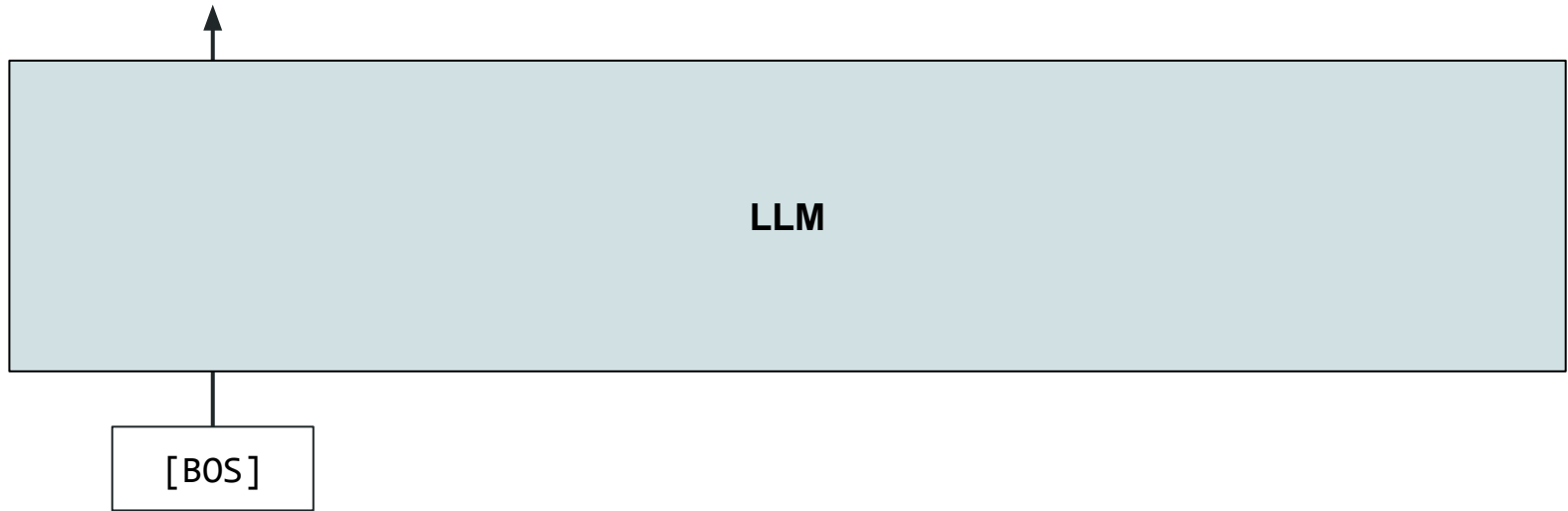
Inference optimizations



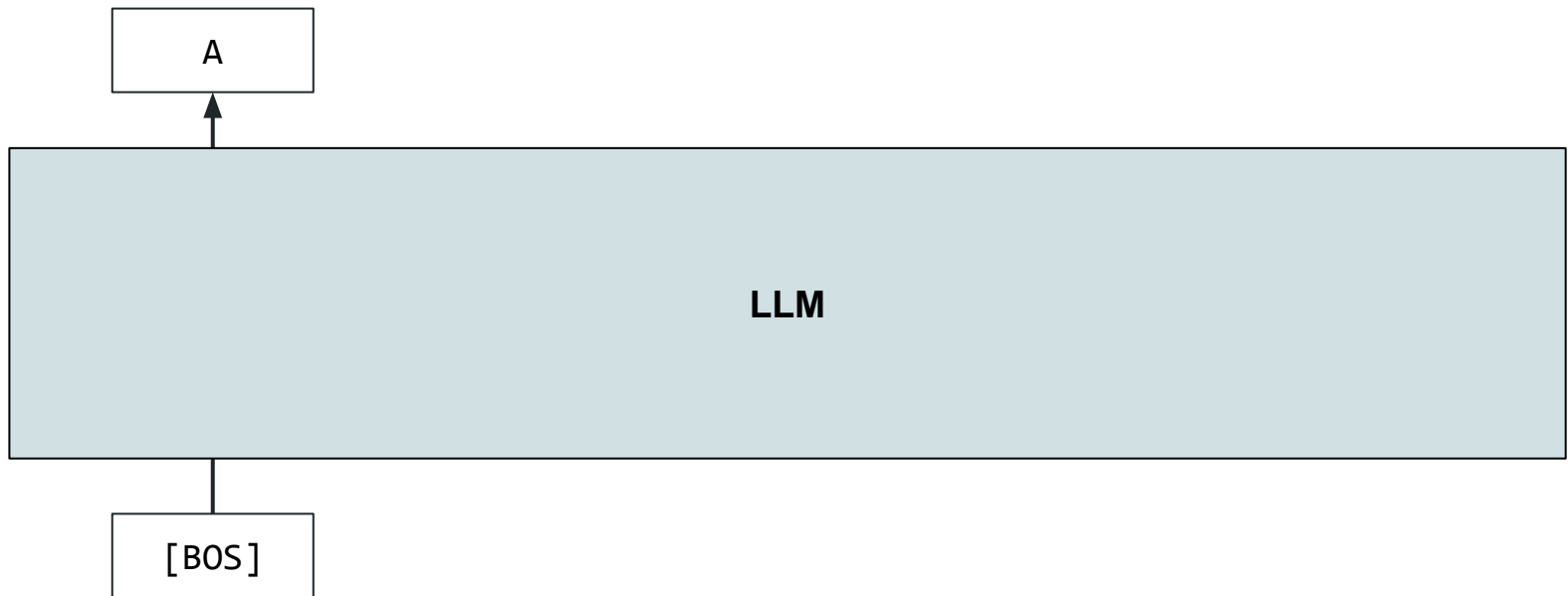
# Next token prediction



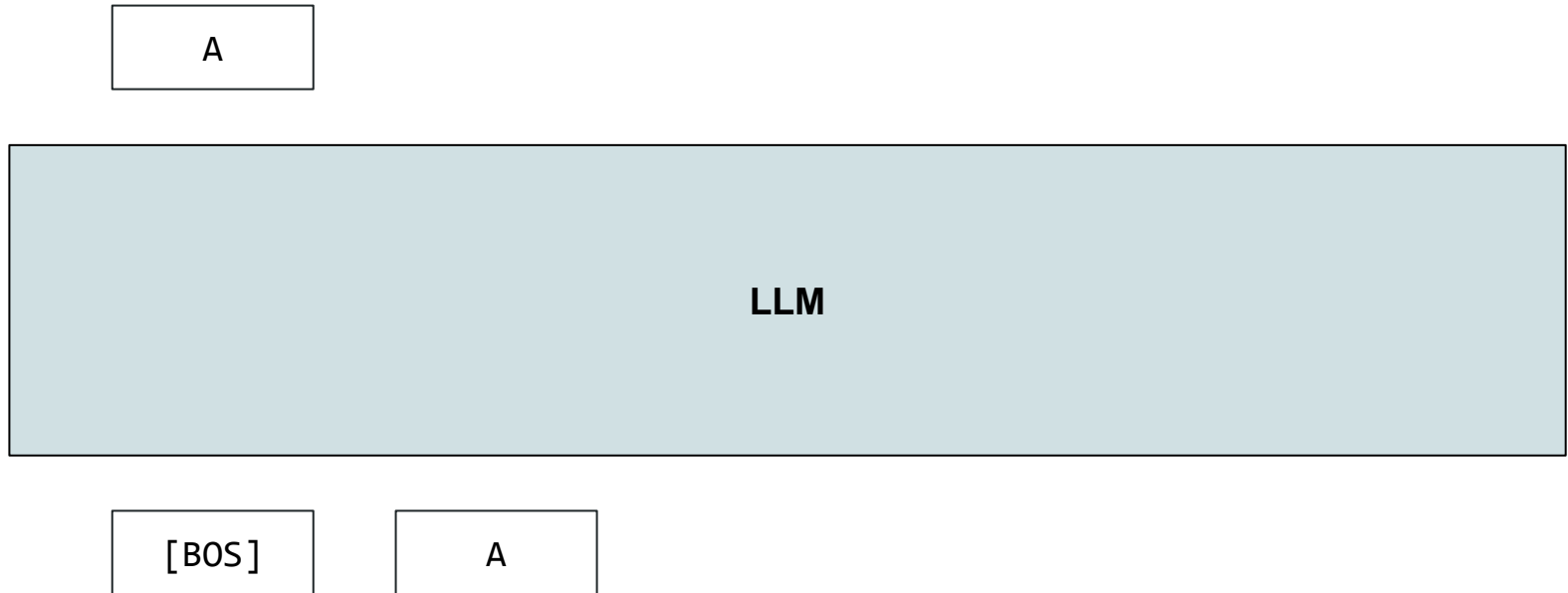
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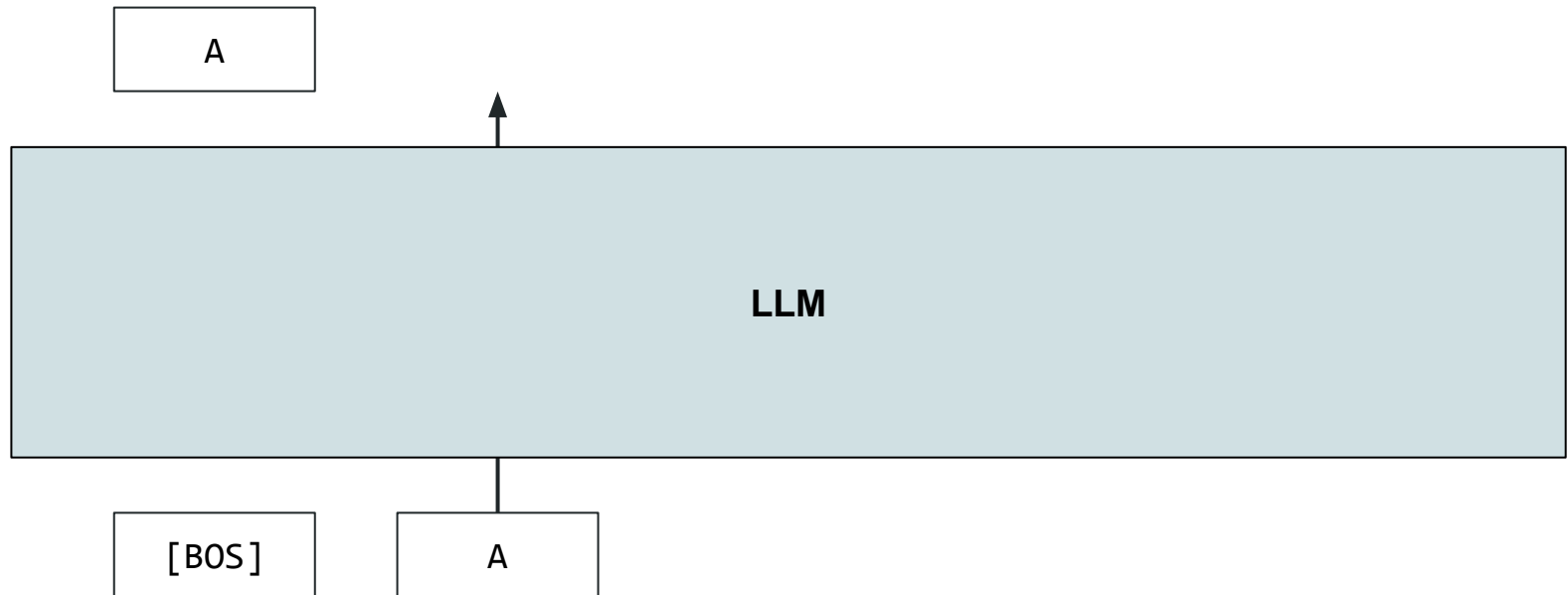
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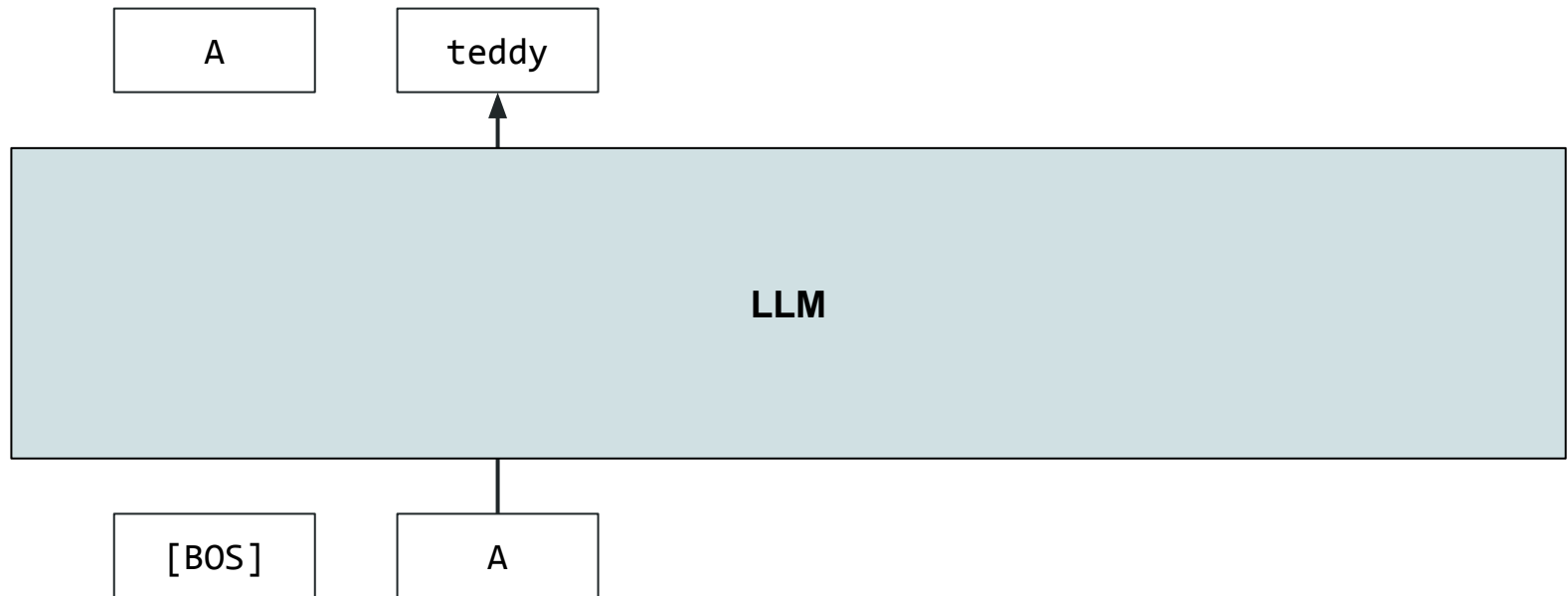
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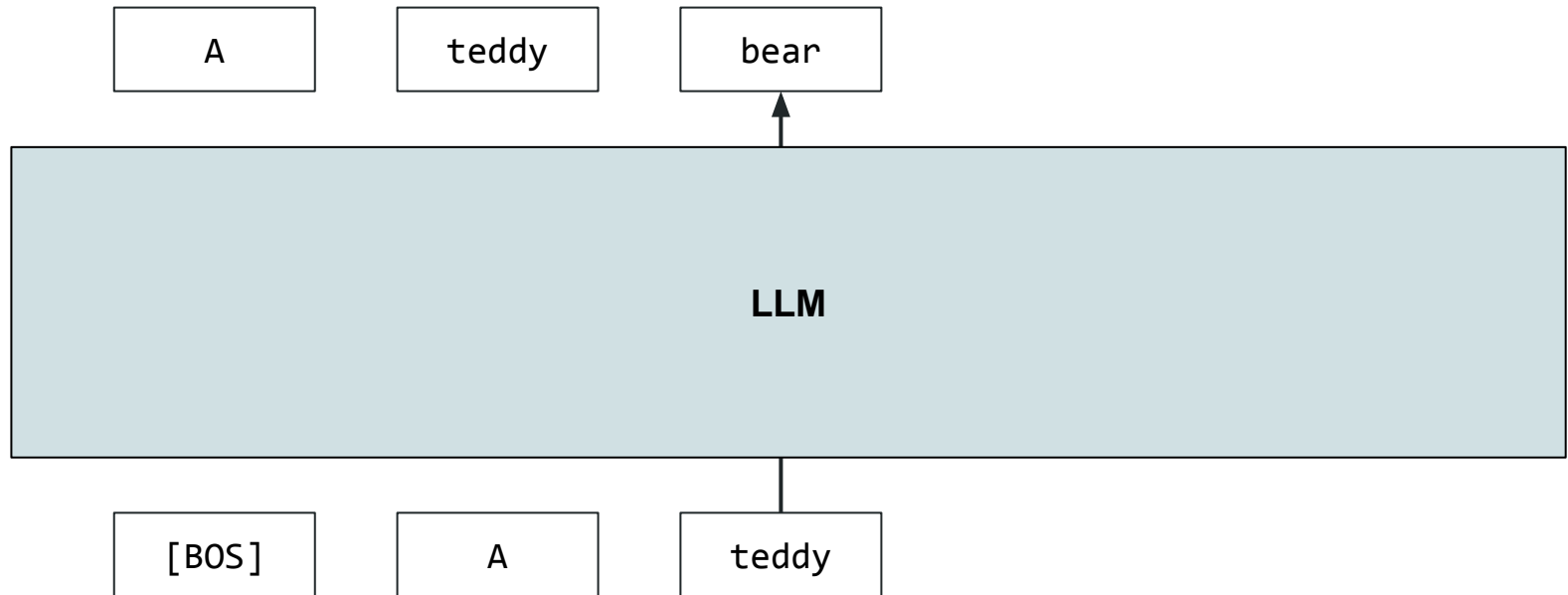
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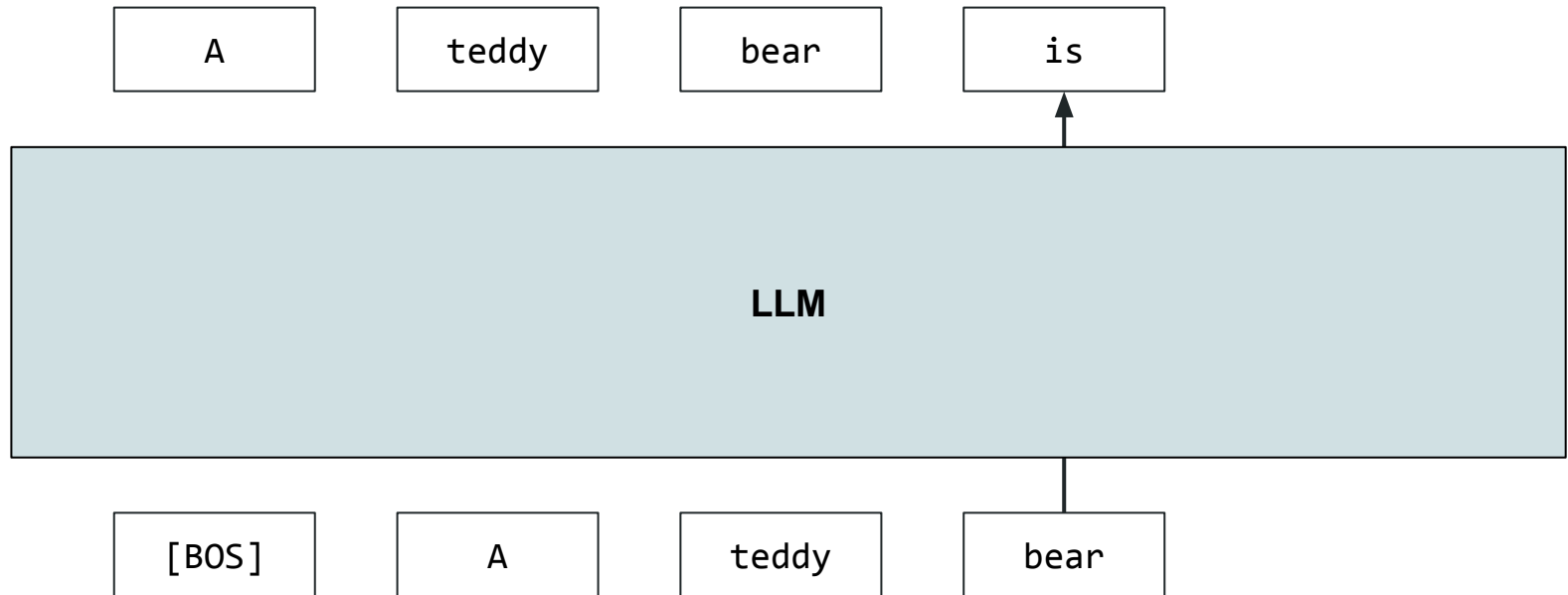
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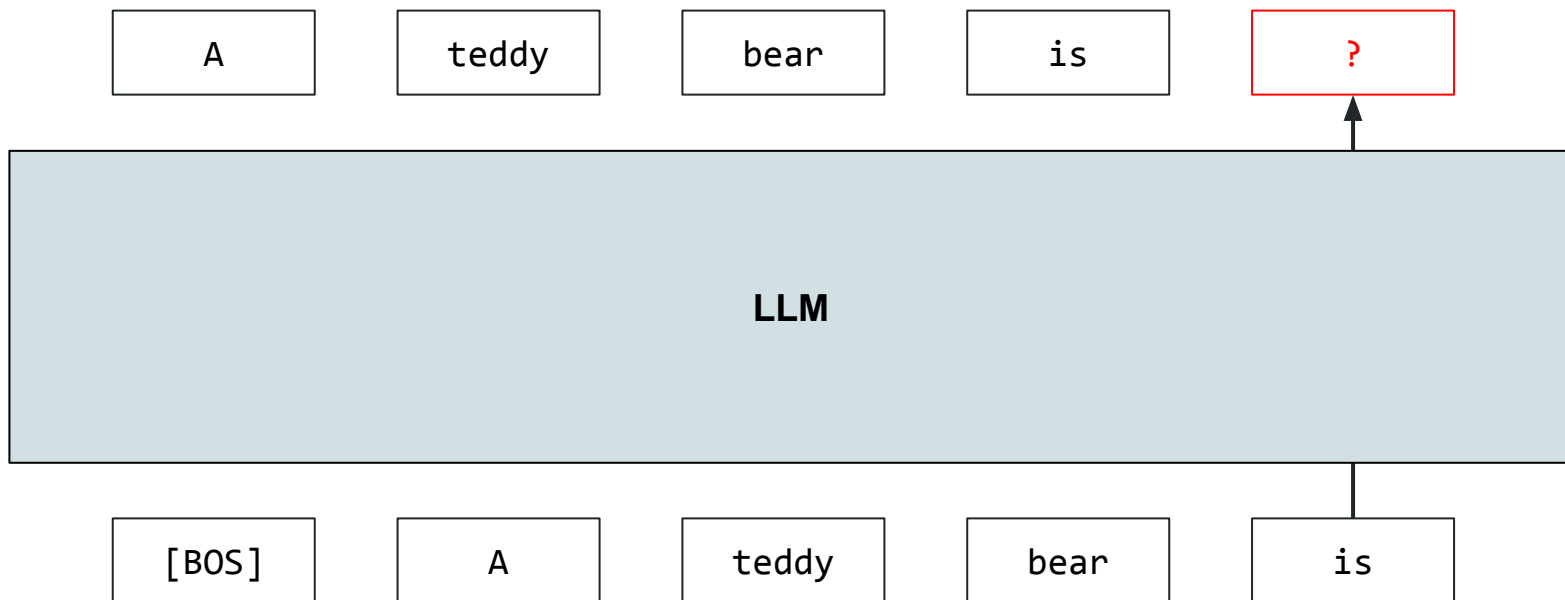


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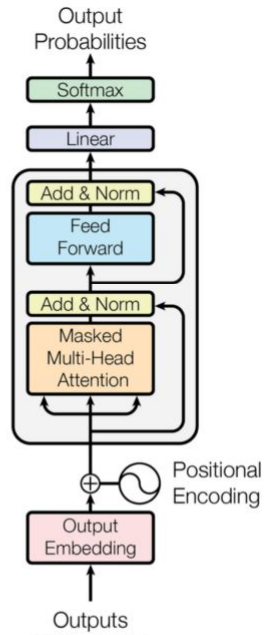




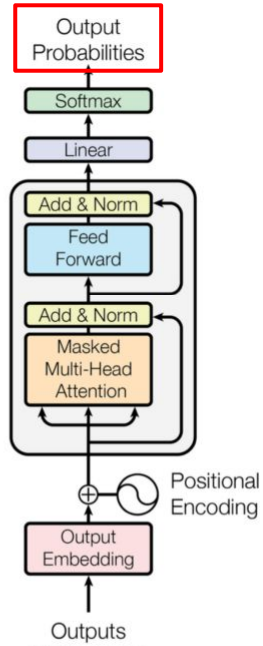
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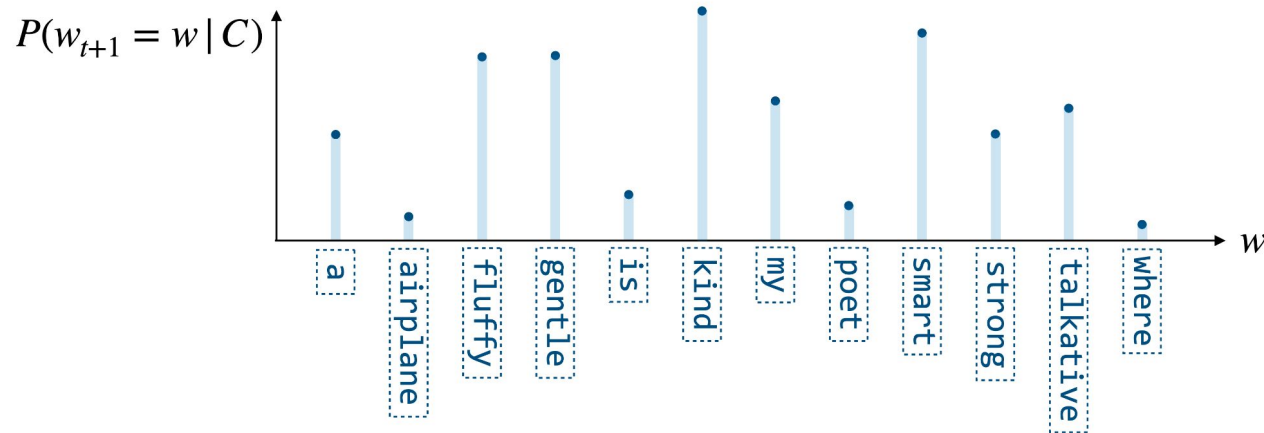
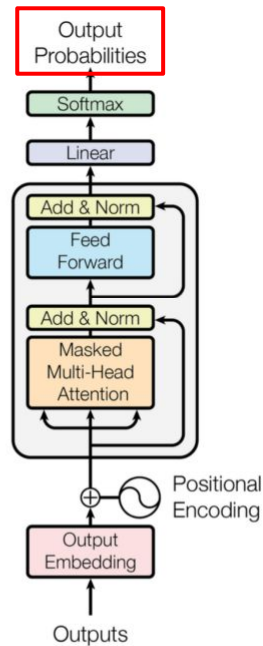
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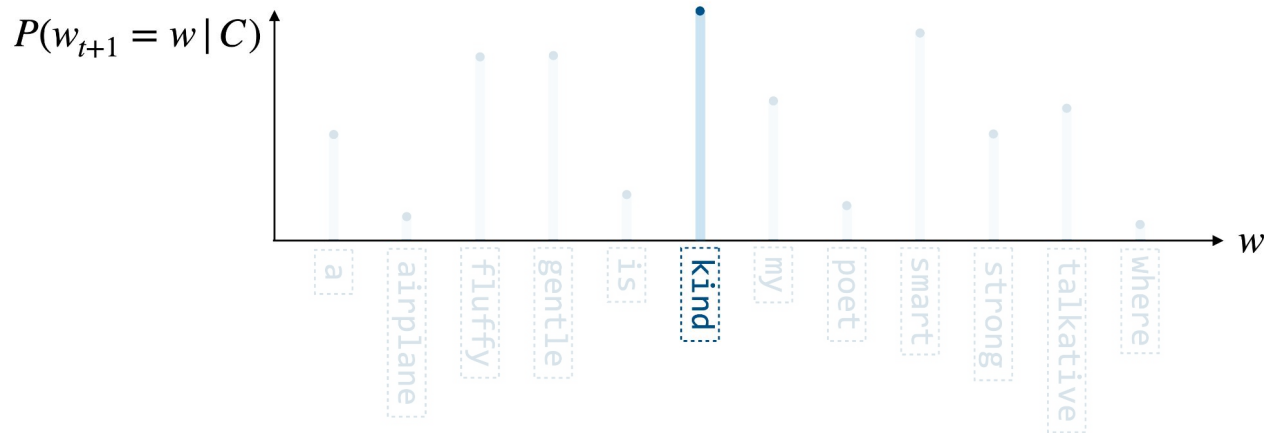
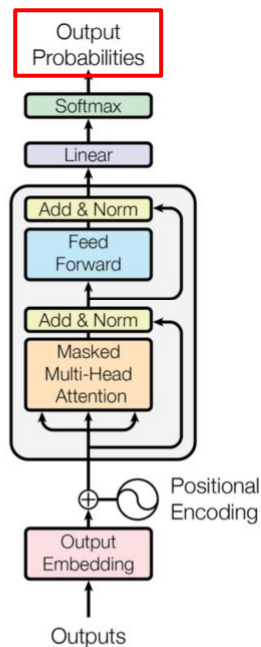


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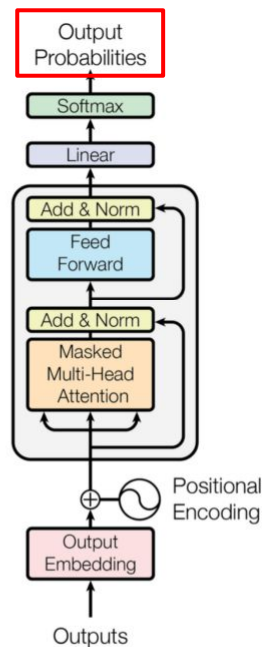


# Predicting next token with greedy decoding

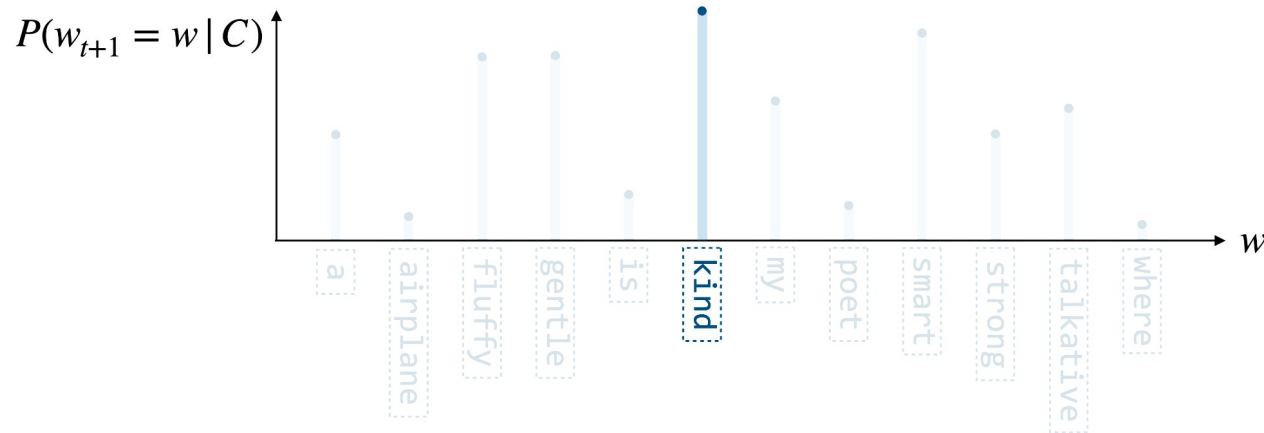
**1<sup>st</sup> idea.** Take token with highest predicted probability



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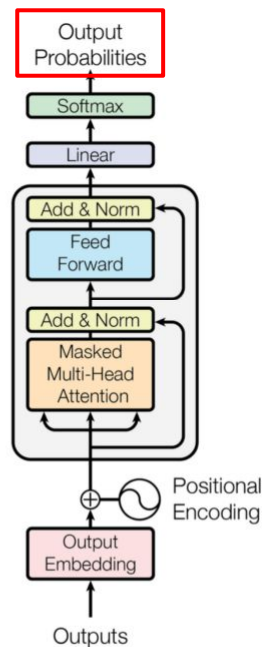
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**Limitations.** Output not optimal, natural and/or diverse

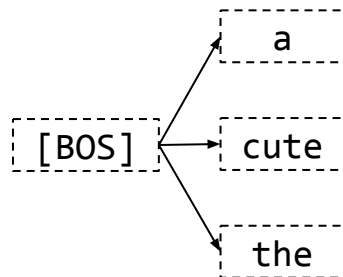
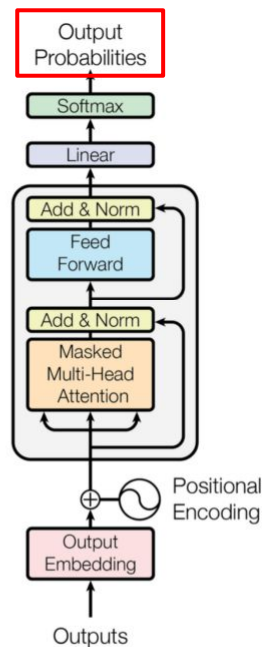
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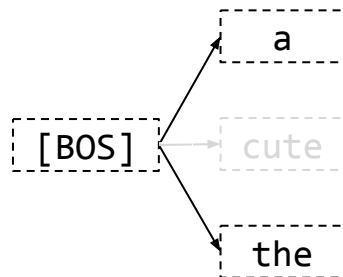
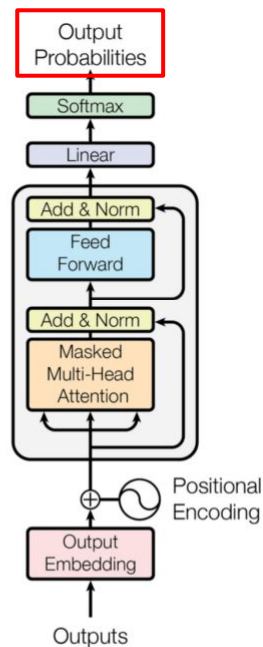
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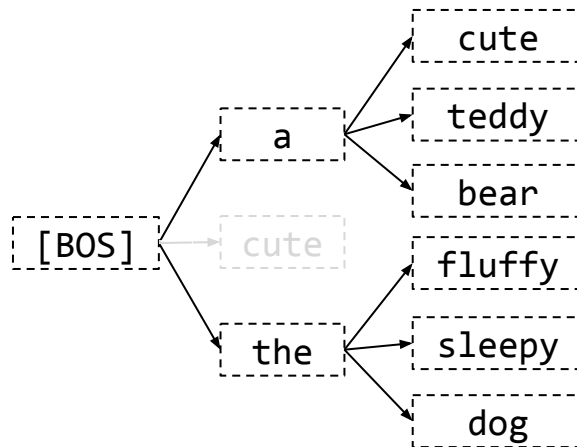
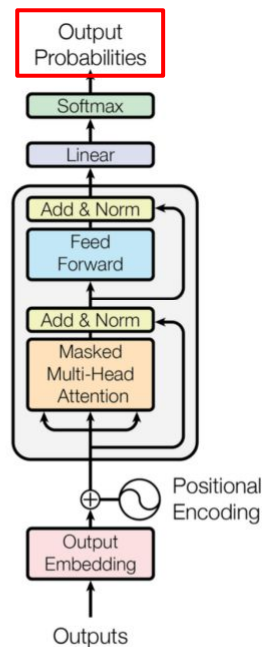
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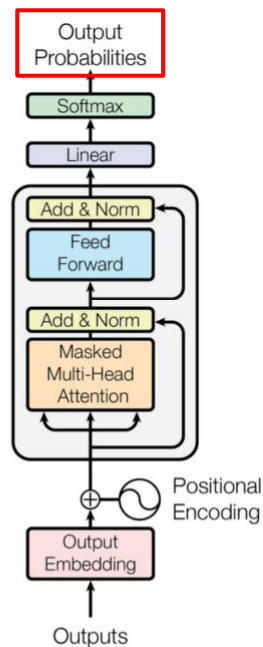


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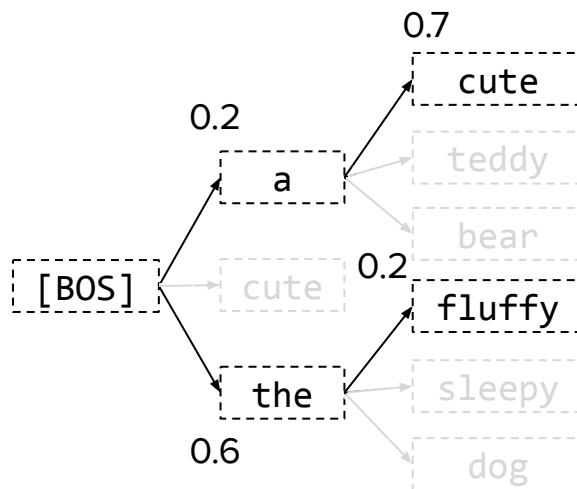
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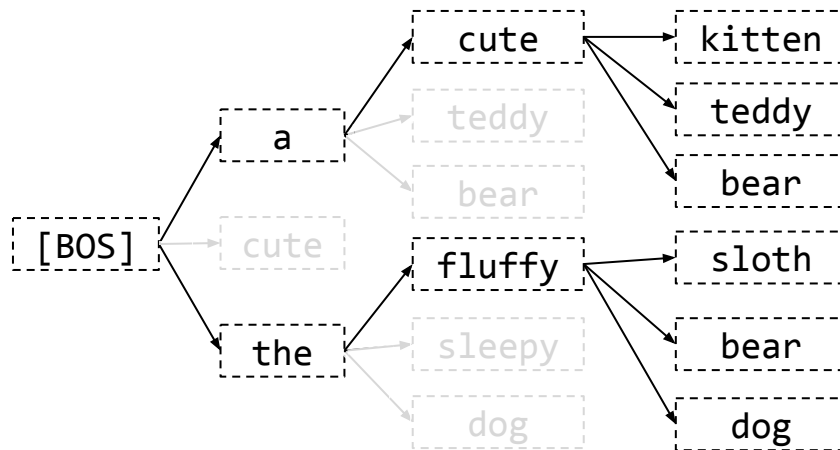
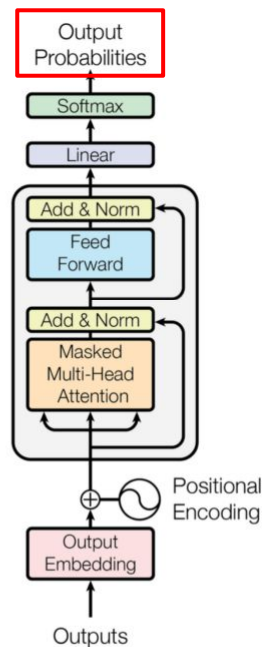


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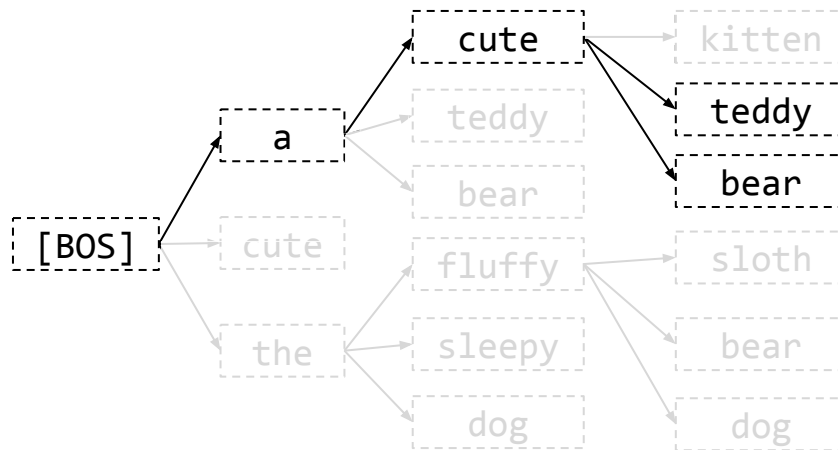
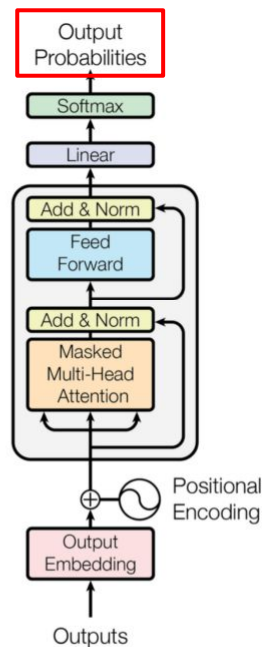
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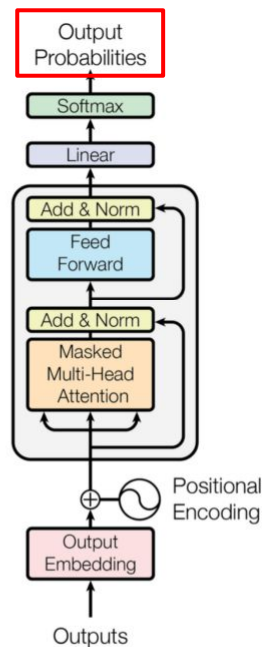


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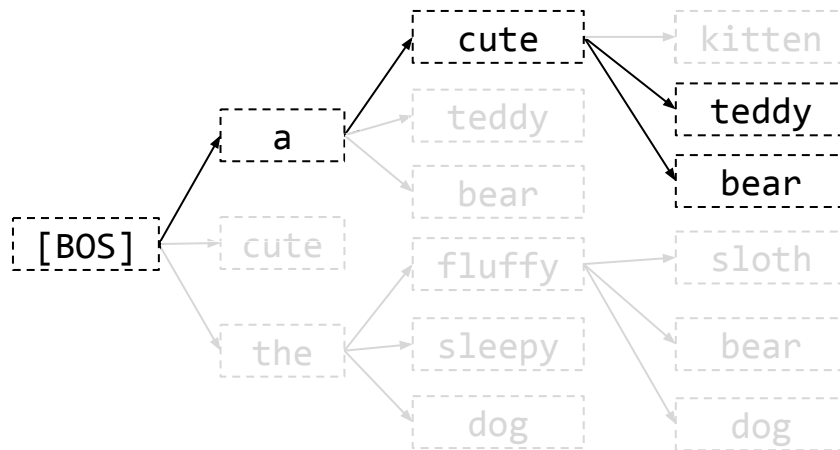
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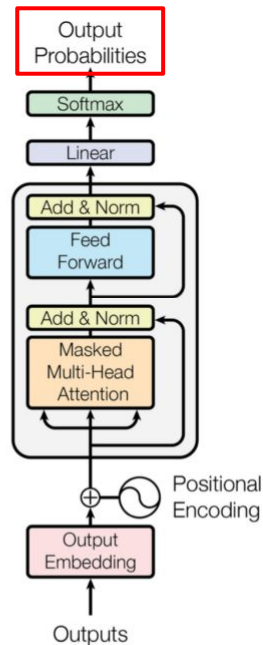


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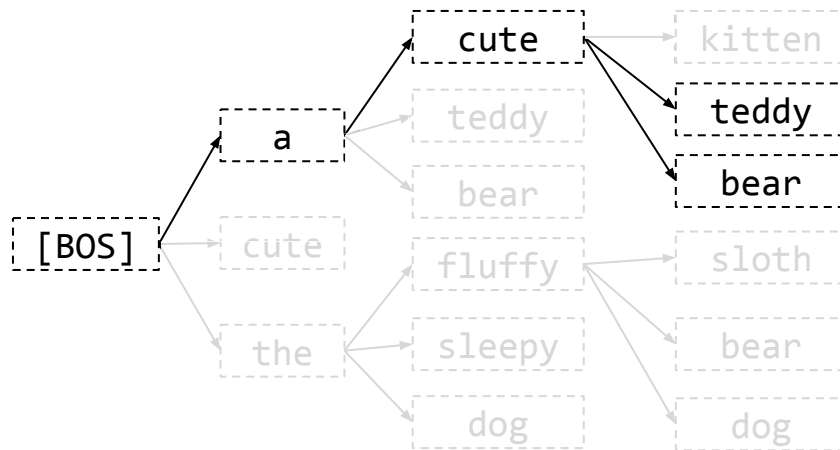


Ends at [EOS]

# Predicting next token with beam search



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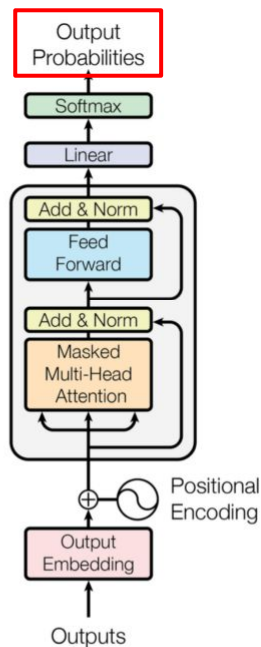
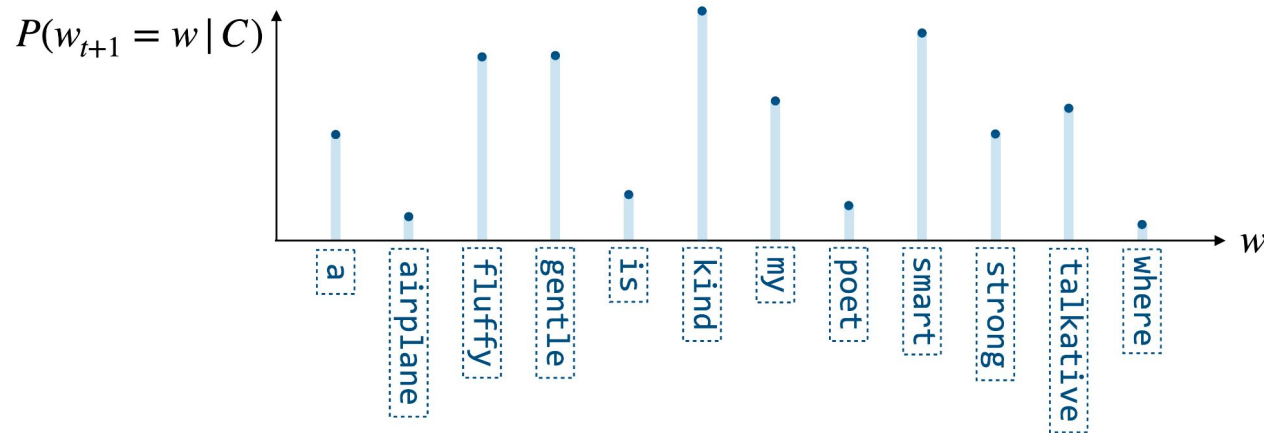
Ends at [EOS]

**Limitations.** Needs computations + lacks diversity/creativity

# Predicting next token with sampling

**3<sup>rd</sup> idea.** Sample next token from probability distribution:

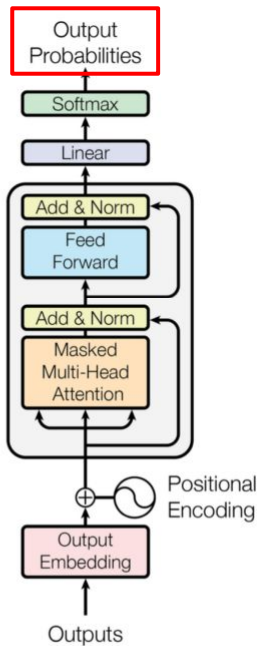
$$\hat{w}_{t+1} \sim P(w_{t+1} | C)$$



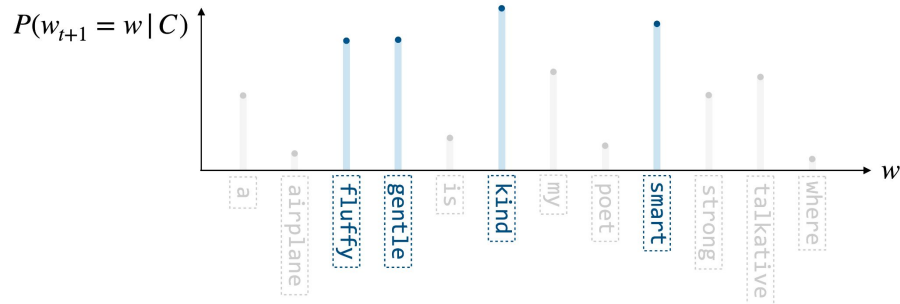


# Sampling strategies

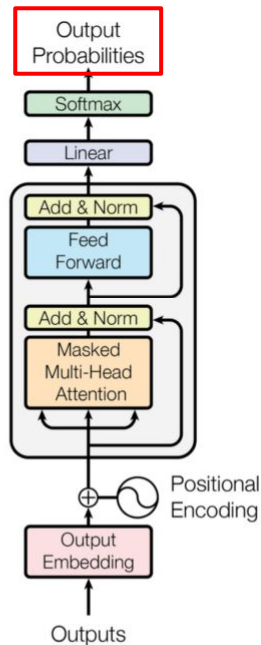
- **Top-k:** Sample among top k most probable tokens



$k = 4$

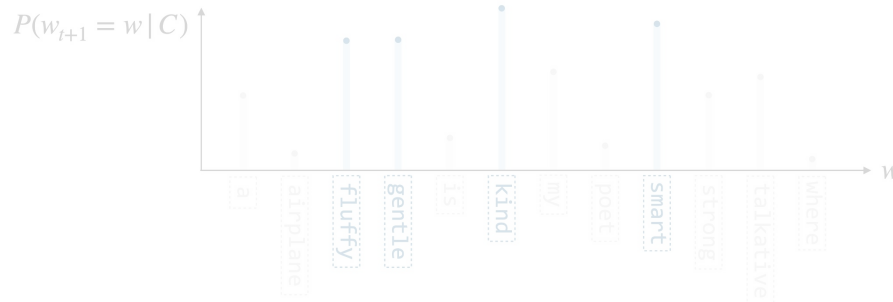


# Sampling strategies



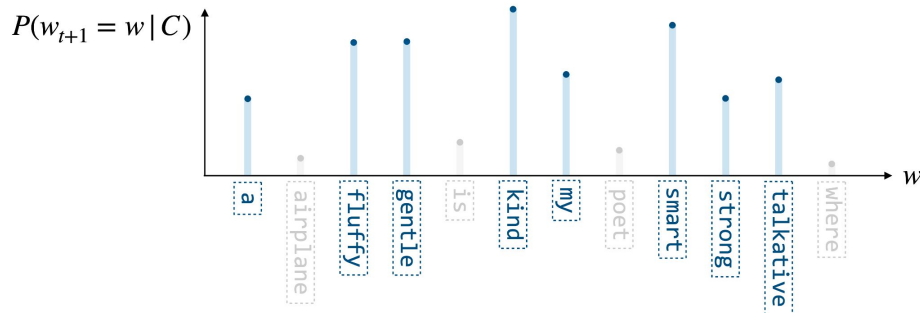
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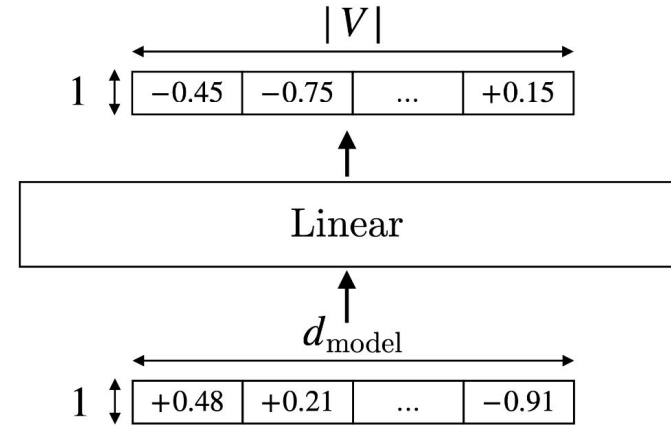
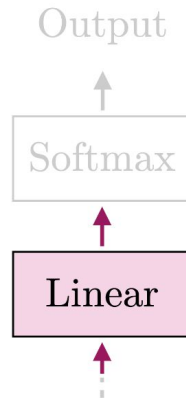
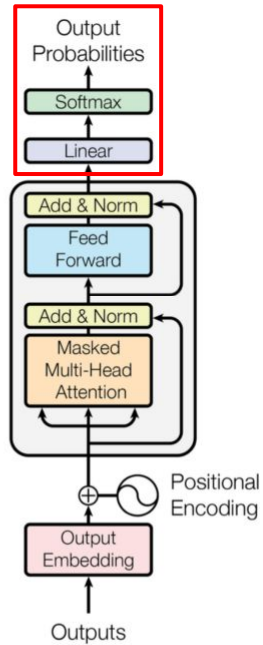
- **Top-p:** Random sample among smallest set of tokens with cumulative probability  $\geq p$

$p = 90\%$

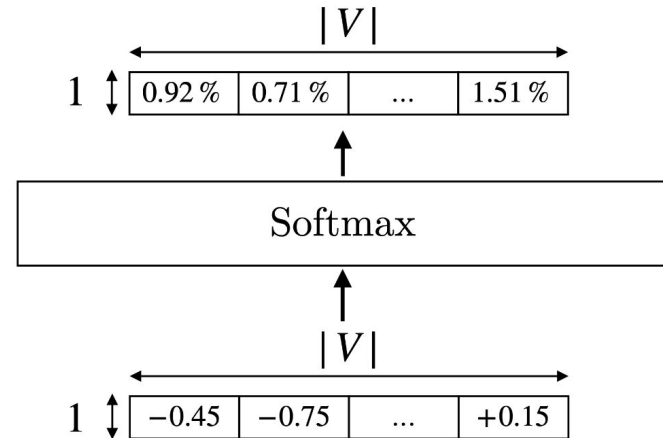
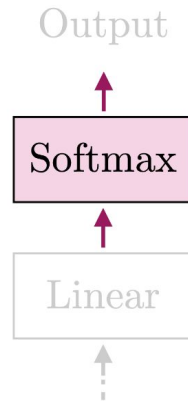
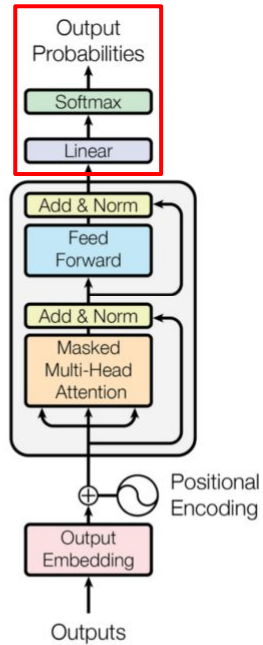


But **how** are probabilities **obtained**?

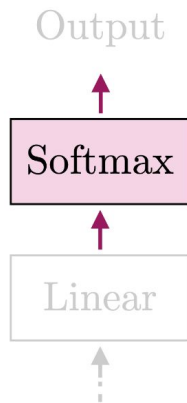
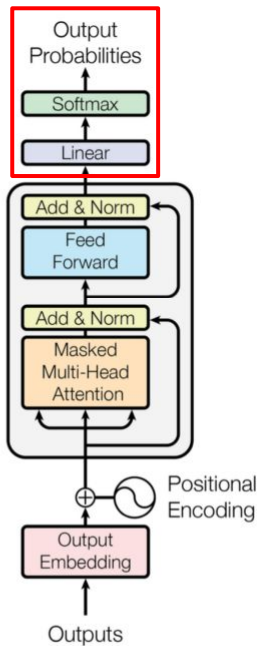
# Probability computation



# Probability computation



# Temperature allows to tweak output probabilities



$$P_{\text{adj}}(w_{t+1} = w_i | C) = \frac{\exp\left(\frac{x_i}{T}\right)}{\sum_{j=1}^n \exp\left(\frac{x_j}{T}\right)}$$

# Impact of temperature on probabilities

**Small T**

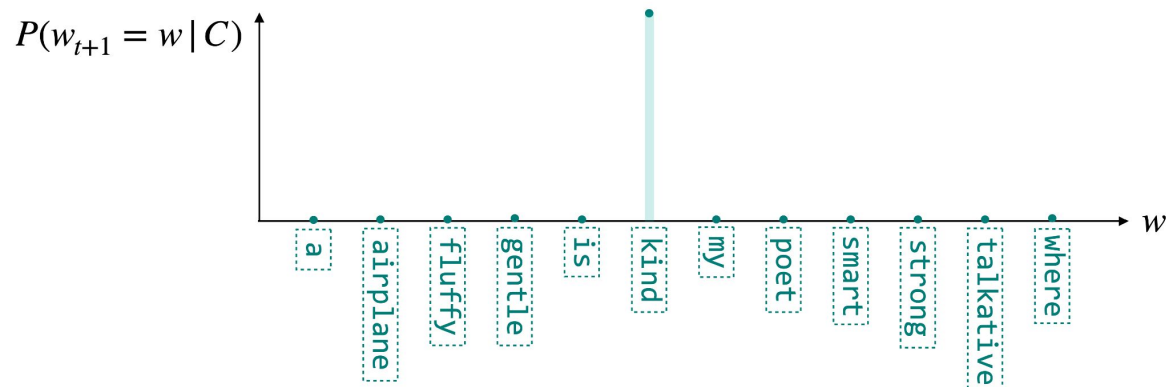
**?**

**High T**

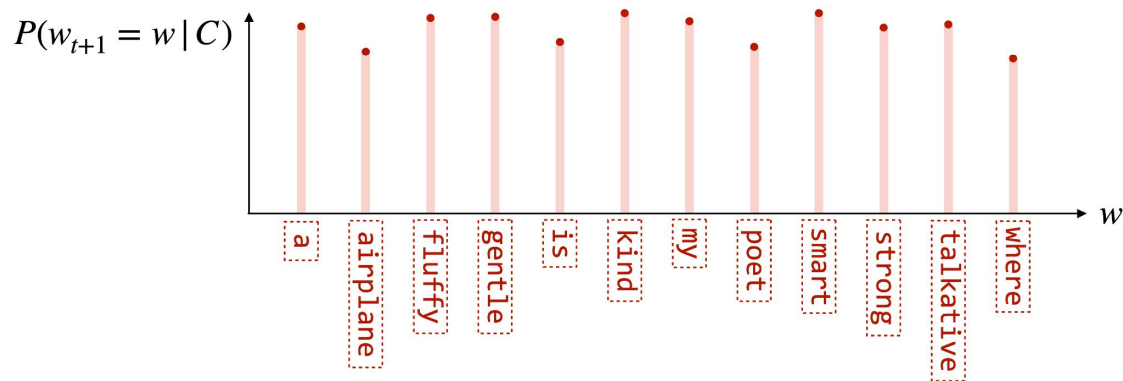
**?**

# Impact of temperature on probabilities

Small T



High T



"Super Study Guide: Transformers and Large Language Models", Amidi et al., 2024.

Suggested reading: "Defeating Nondeterminism in LLM Inference", He et al., 2025.



# Constraining the output via guided decoding

**Motivation.** Generate output in a specific format

Input prompt

*Generate a description of my  
33-year old teddy bear who  
likes reading.*

*Do this in JSON format.*

Desired output (JSON)

```
{  
  "first_name": "teddy",  
  "last_name": "bear",  
  "age": 33,  
  "hobby": "reading"  
}
```

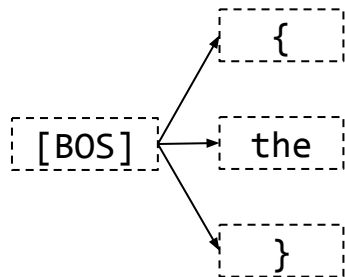
# Constraining the output via guided decoding

**Idea.** Only allow "valid" next tokens

[BOS]

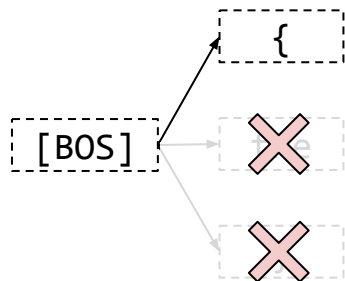
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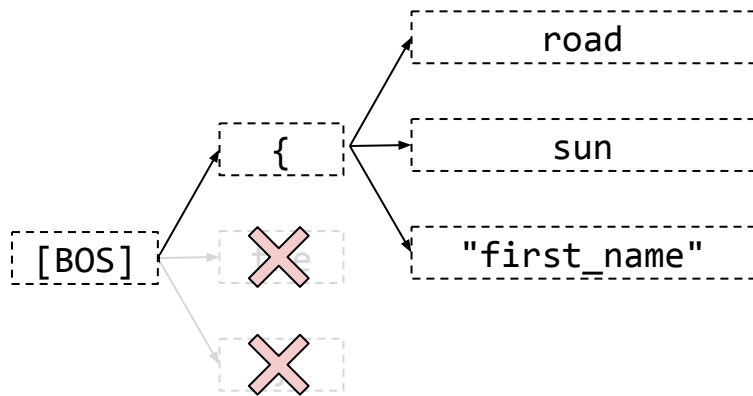
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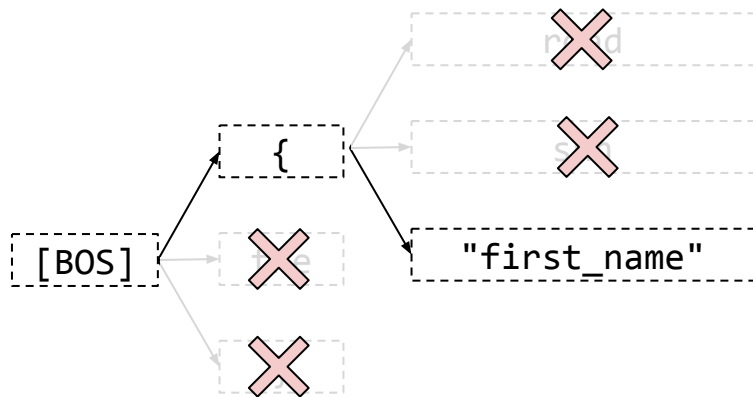
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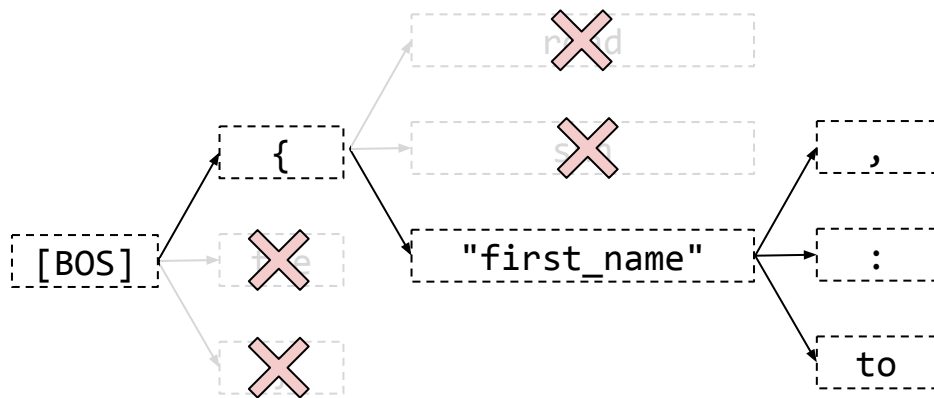
# Constraining the output via guided decoding

**Idea.** Only allow "valid" next tokens



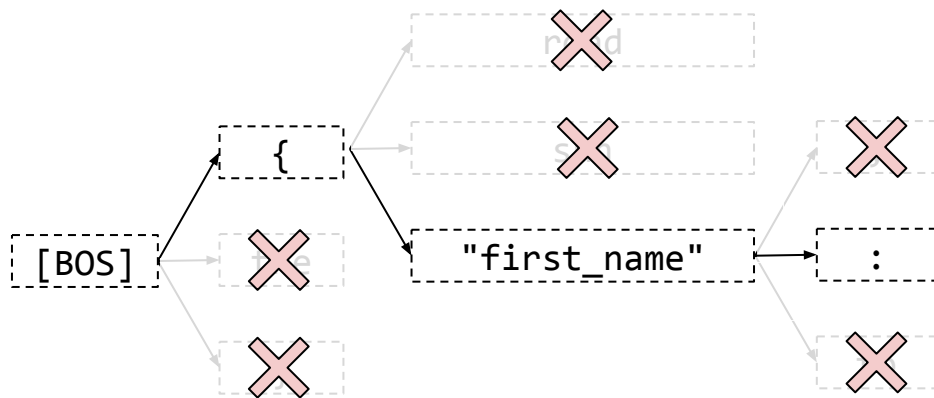
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# Constraining the output via guided decoding

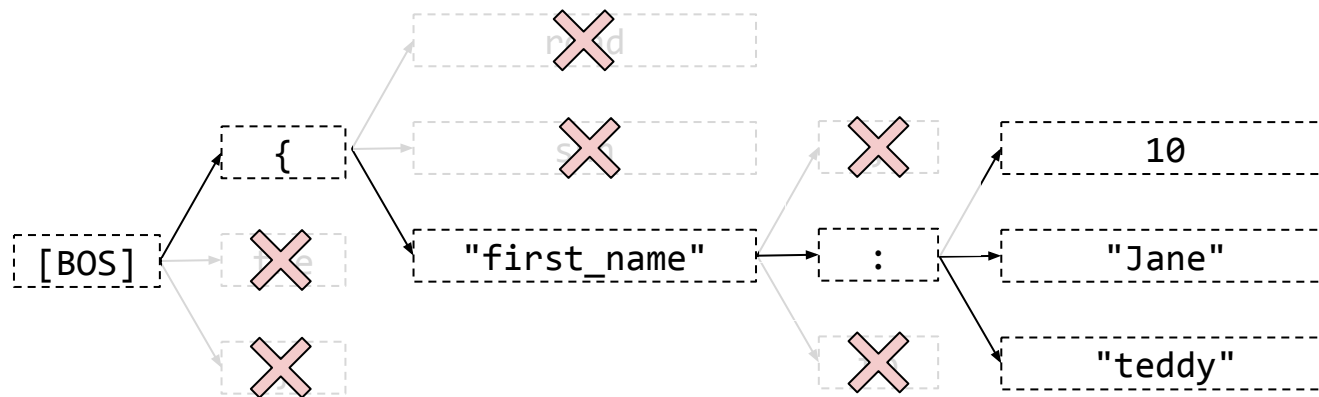
**Idea.** Only allow "valid" next tokens





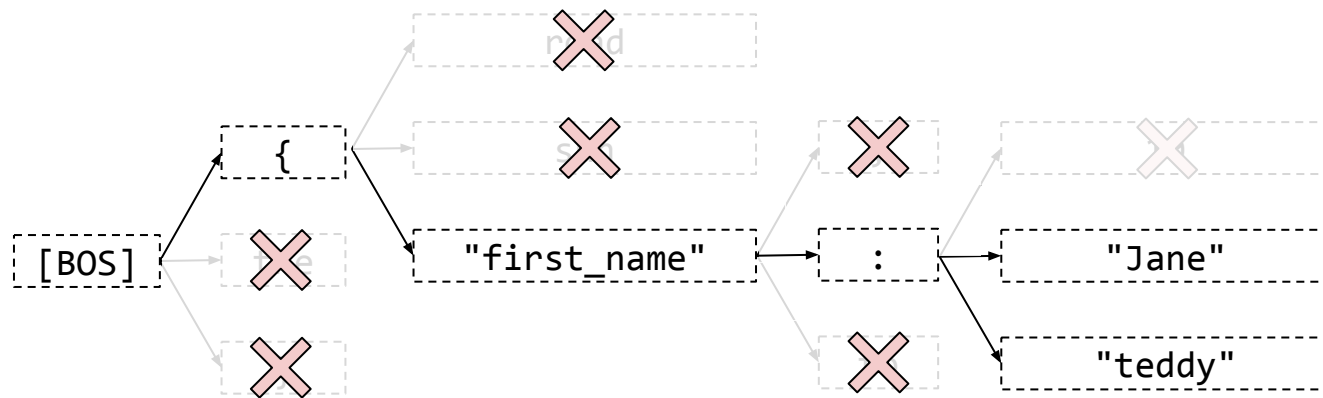
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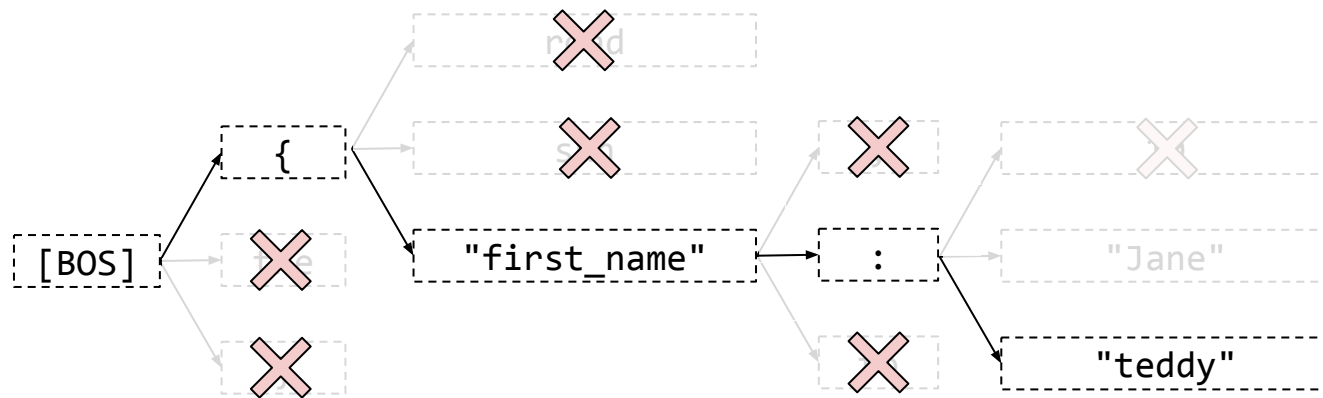
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# Constraining the output via guided decoding

**Idea.** Only allow "valid" next tokens





# Transformers & Large Language Models

LLM overview

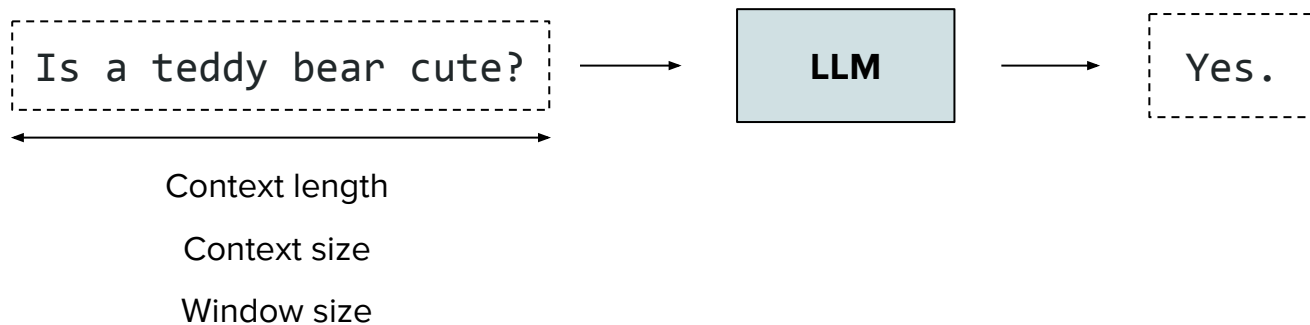
MoE-based LLMs

Response generation

**Prompting strategies**

Inference optimizations

# Terminology



# Terminology



**Discussion.** Orders of magnitude by:

- Input type
- Models

# Terminology

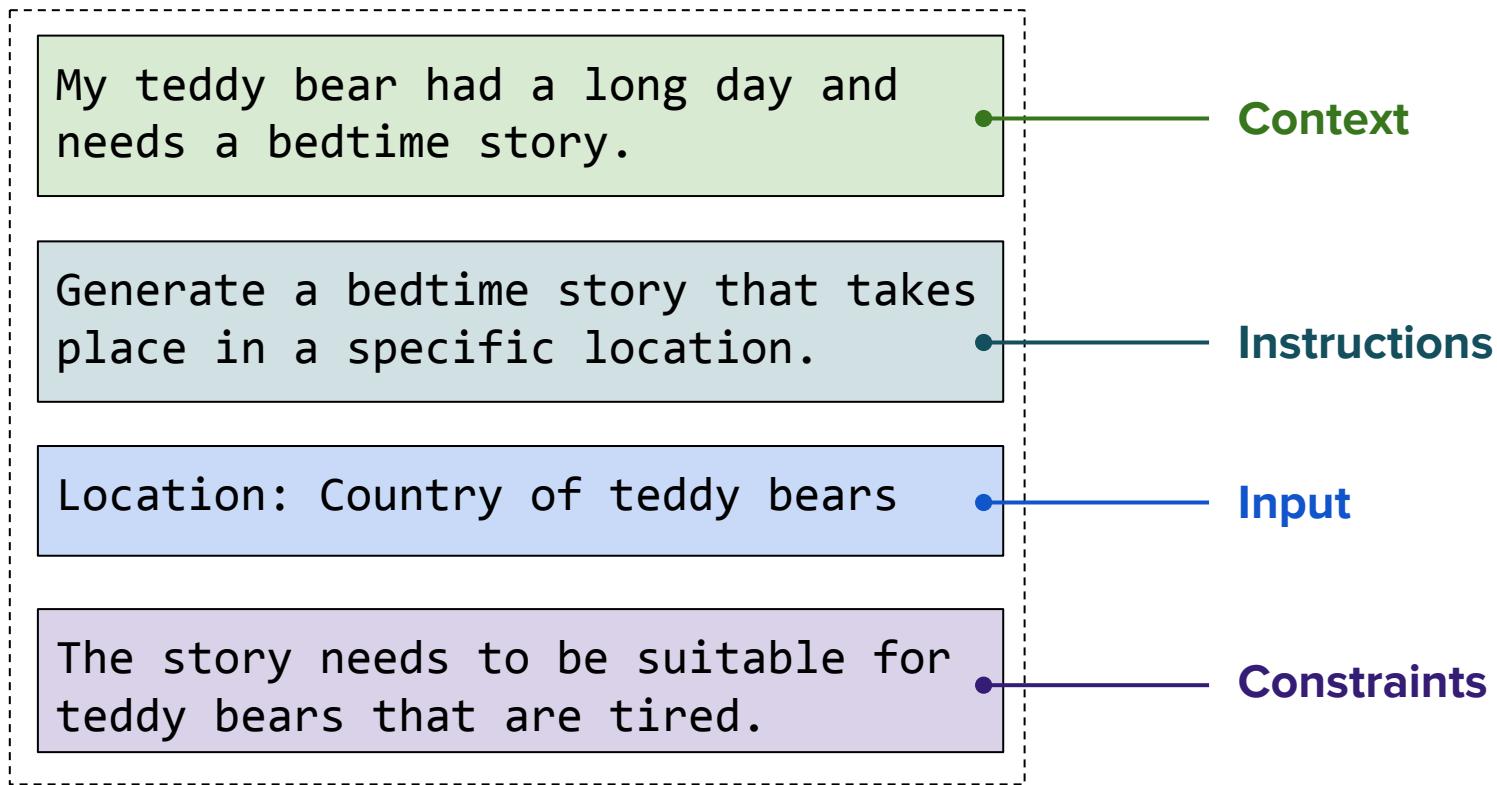


**Discussion.** Orders of magnitude by:

- Input type
- Models

← Beware of "context rot"!

# Main structure





# In-context learning

**ICL** = In-**C**ontext **L**earning

# In-context learning

ICL = In-Context Learning

## Zero-shot learning

- Question is asked without examples
- Performance heavily depends on performance of initial model

## Few-shot learning

- Prompt contains examples of input / output
- Typically has a better performance

# In-context learning

ICL = In-Context Learning

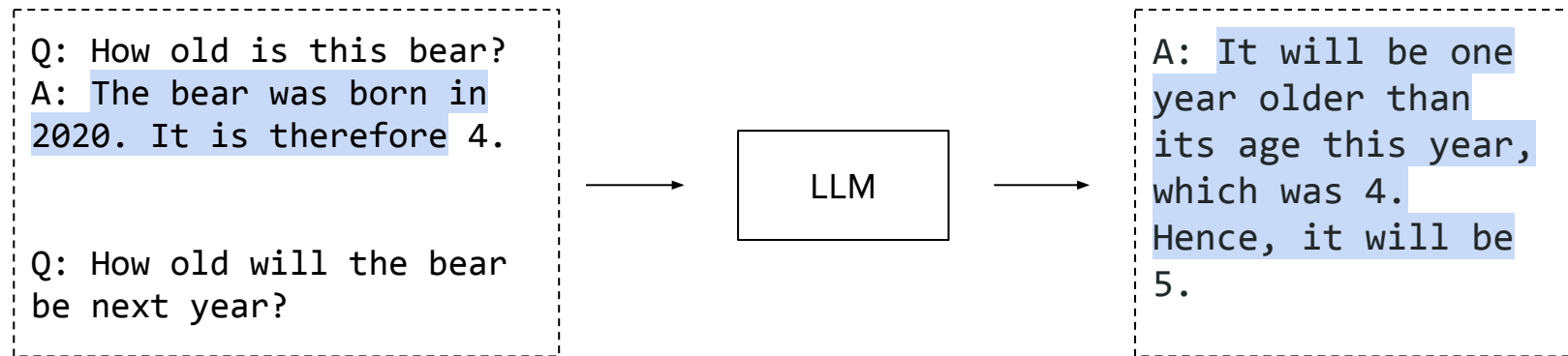
Zero-shot learning	Few-shot learning
<ul style="list-style-type: none"><li>• Question is asked without examples</li><li>• Performance heavily depends on performance of initial model</li></ul>	<ul style="list-style-type: none"><li>• Prompt contains examples of input / output</li><li>• Typically has a better performance</li></ul>

**Discussion.** Showing examples in the prompt is *generally* better but:

- Requires effort
- Increases computational complexity & cost
- Increases latency

# Chain of thought

**Idea.** Explaining reasoning helps in improving performance.

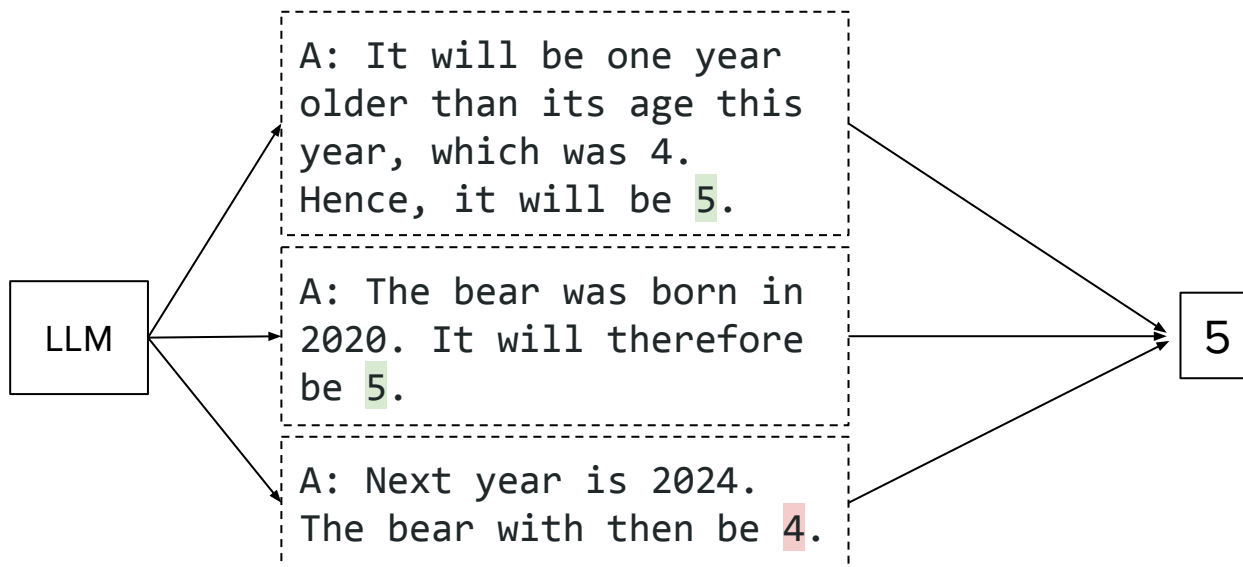


## Discussion.

- Interpretability + explanation
- More tokens: higher cost and latency

# Self-consistency

**Idea.** Aggregating over reasoning paths improves performance.



**Discussion.** Trade-off between performance and added cost.



# Transformers & Large Language Models

LLM overview

MoE-based LLMs

Response generation

Prompting strategies

**Inference optimizations**

# Challenges

**Motivation.** Computations are expensive, any way to reduce complexity?

**Categories.** Many dimensions to optimize for.

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"Exact" efficiency:

- Avoid redundancies
- Memory management
- Reformulate the math



# Challenges

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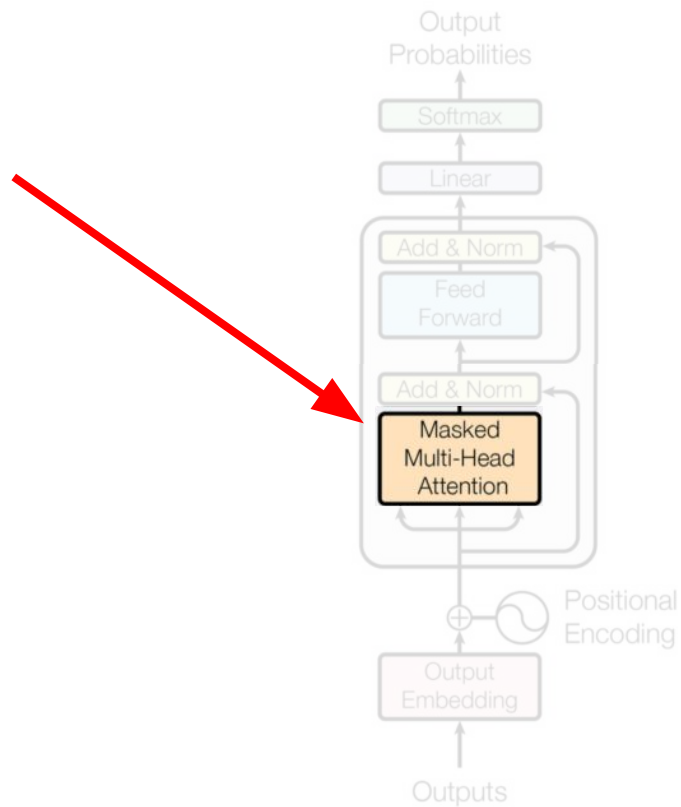
"Exact" efficiency:

- Avoid redundancies
- Memory management
- Reformulate the math

Approximations:

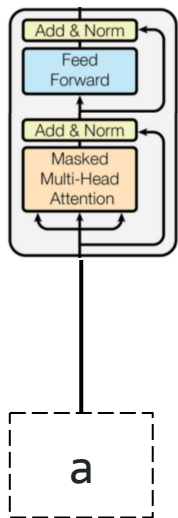
- Architectural changes
- Embeddings representations
- Token prediction

# Attention-based tricks



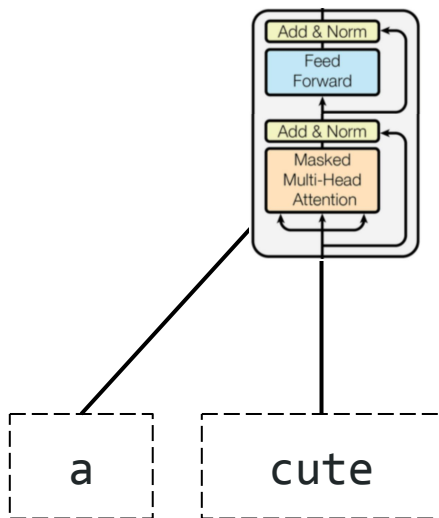
# Reusing computations with KV caching

**Motivation.** New token needs to interact with all previous tokens.



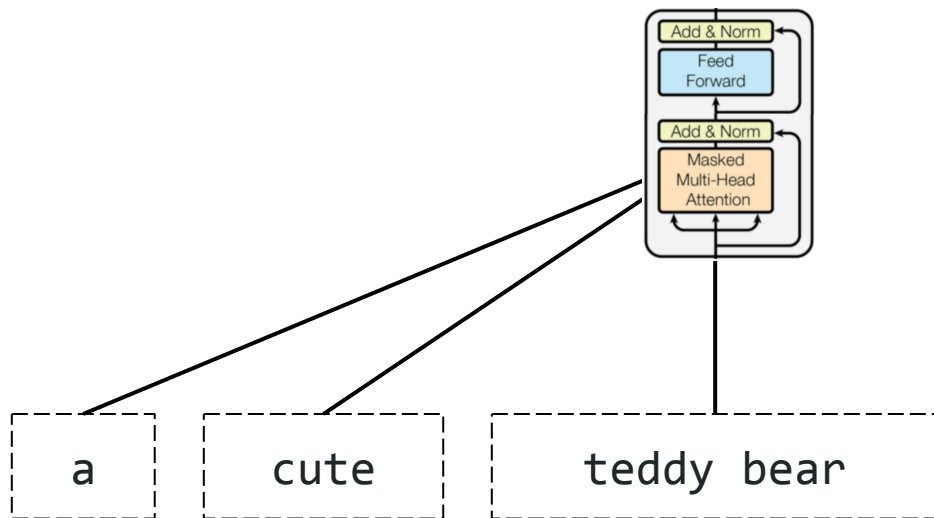
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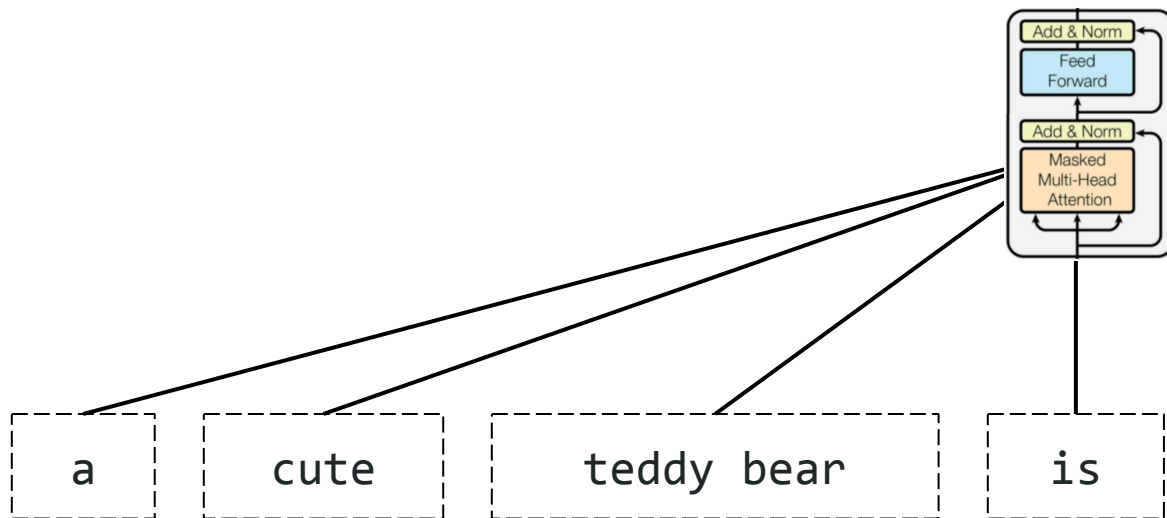
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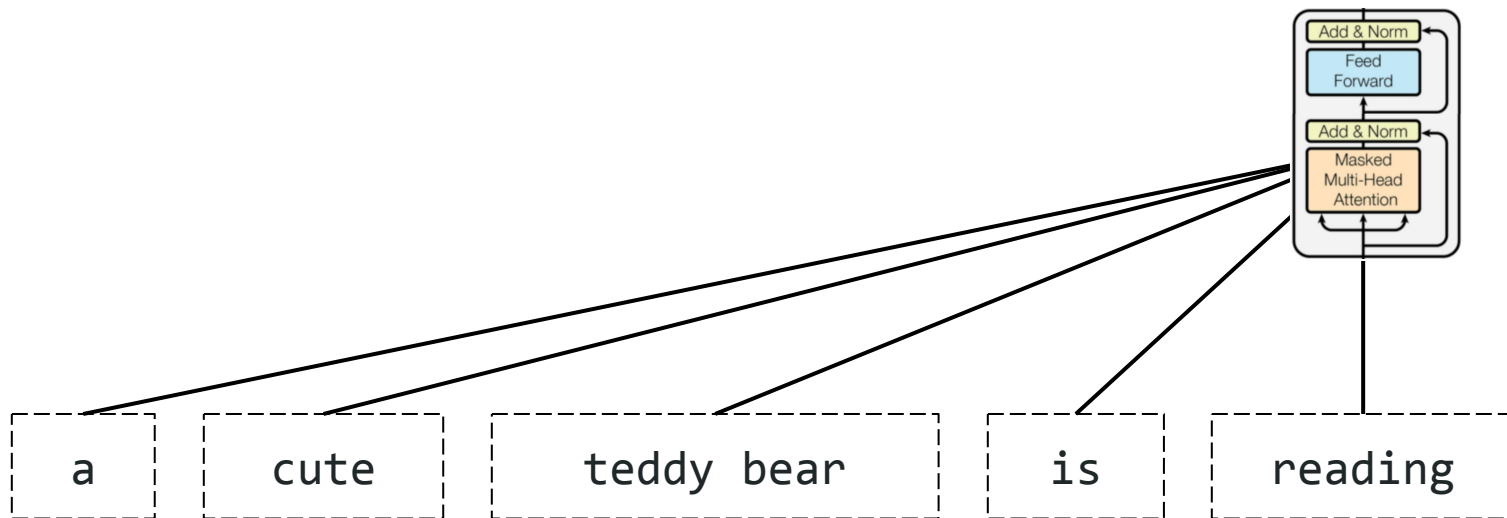
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# Reusing computations with KV caching

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# Reusing computations with KV caching

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$$\text{softmax} \left( \frac{Q \boxed{K^T}}{\sqrt{d_k}} \right) \boxed{V}$$



# Reusing computations with KV caching

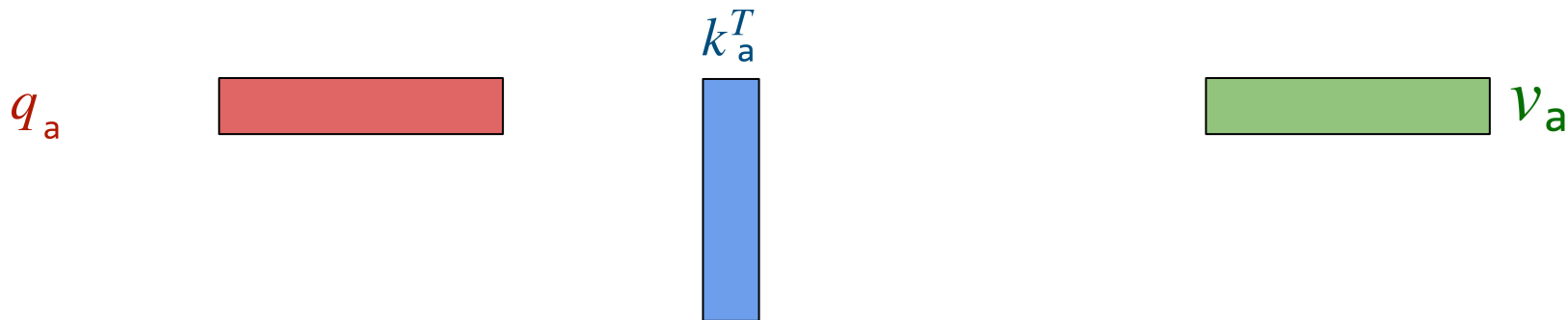
**Motivation.** New token needs to interact with all previous tokens.

**Idea.** Keep keys and values in a cache.

# Reusing computations with KV caching

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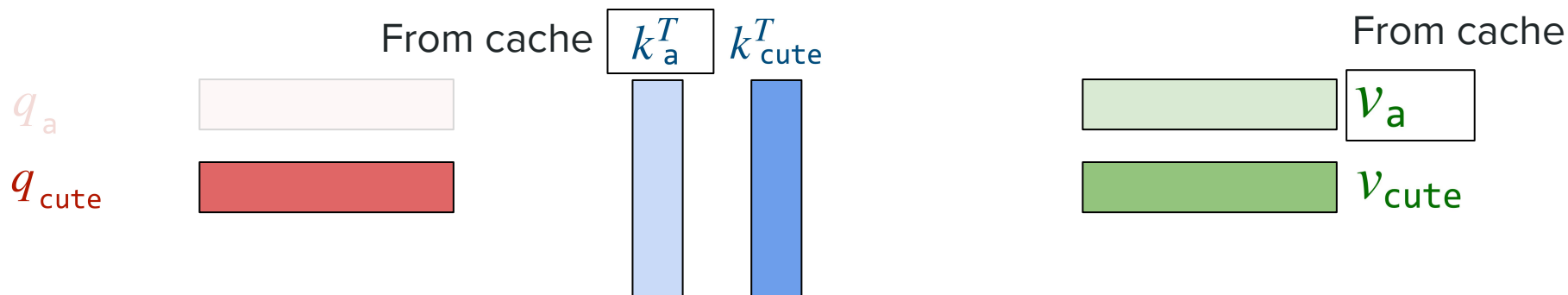
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# Reusing computations with KV caching

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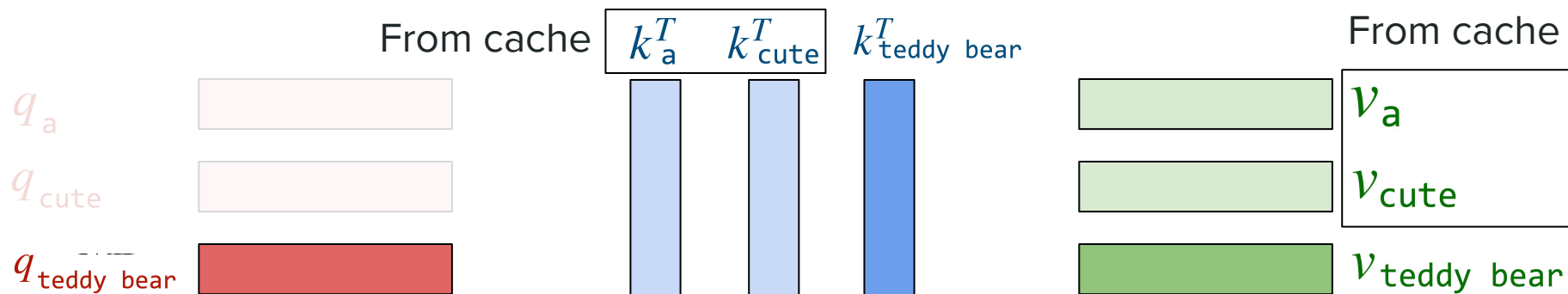
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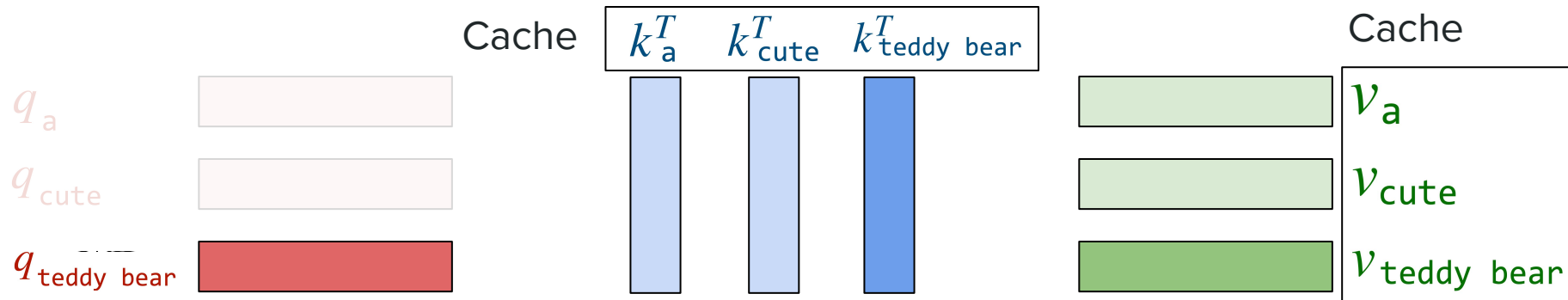
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# Reusing computations with KV caching

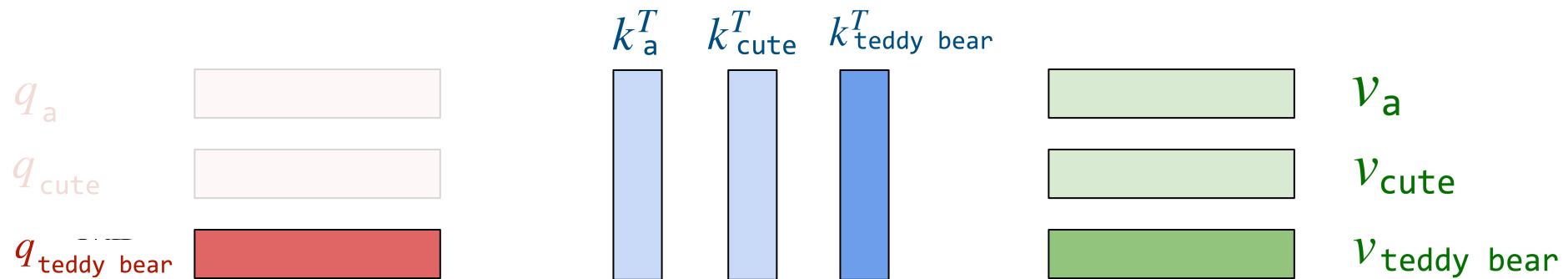
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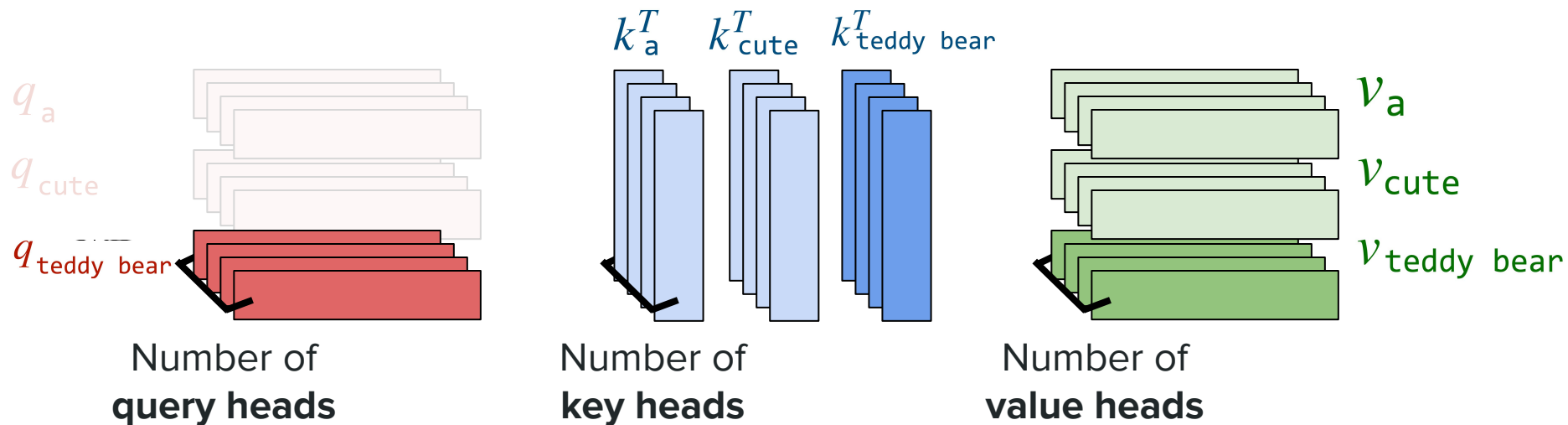


**KV caching = Key-Value Caching**

# Sharing attention heads



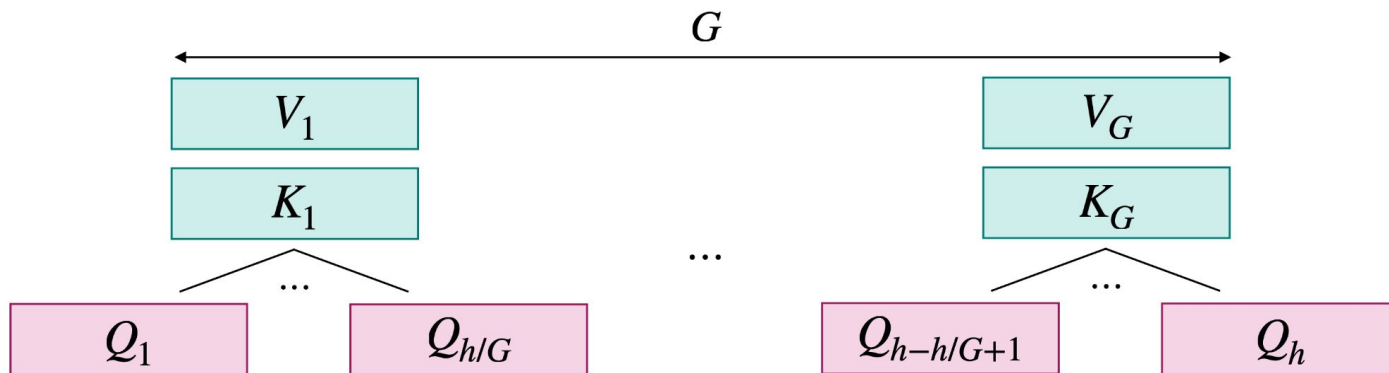
# Sharing attention heads



In vanilla MHA,      Number of      =      Number of      =      Number of      =      h  
**query heads**      **key heads**      **value heads**

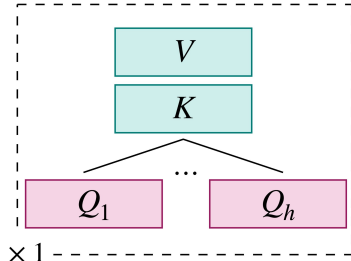
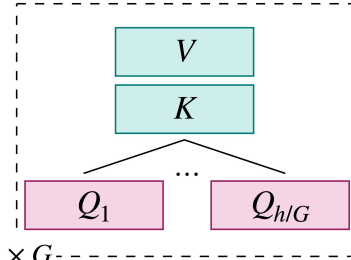
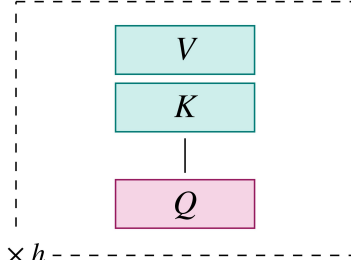
# Sharing attention heads

**Idea.** Share key/value attention heads within groups of queries

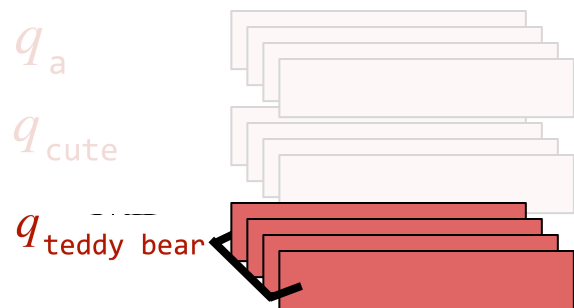




# Sharing attention heads

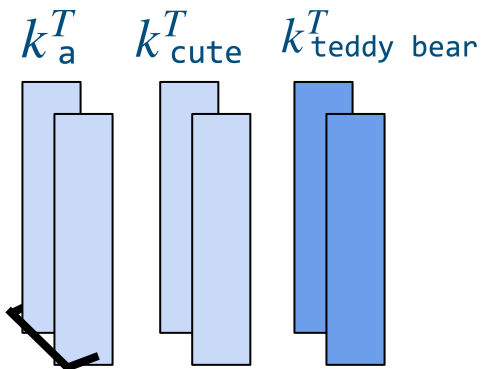
$G = 1$	<b>Multi-Query Attention</b> (MQA)	
$1 < G < h$	<b>Group-Query Attention</b> (GQA)	
$G = h$	<b>Multi-Head Attention</b> (MHA)	

# Sharing attention heads with GQA



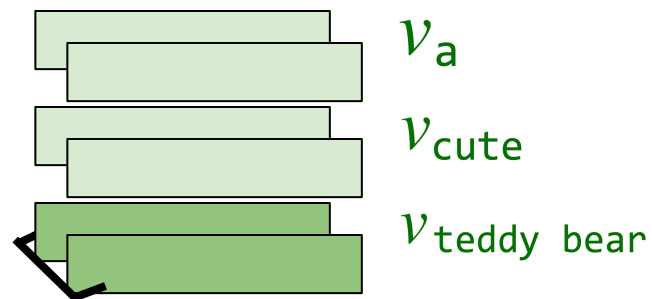
Number of  
**query heads**

$$= h$$



Number of  
**key heads**

$$= G < h$$

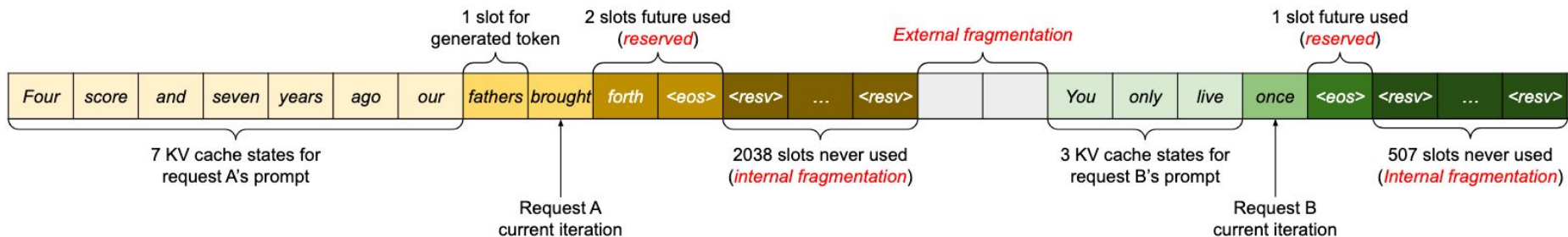


Number of  
**value heads**

$$= G < h$$

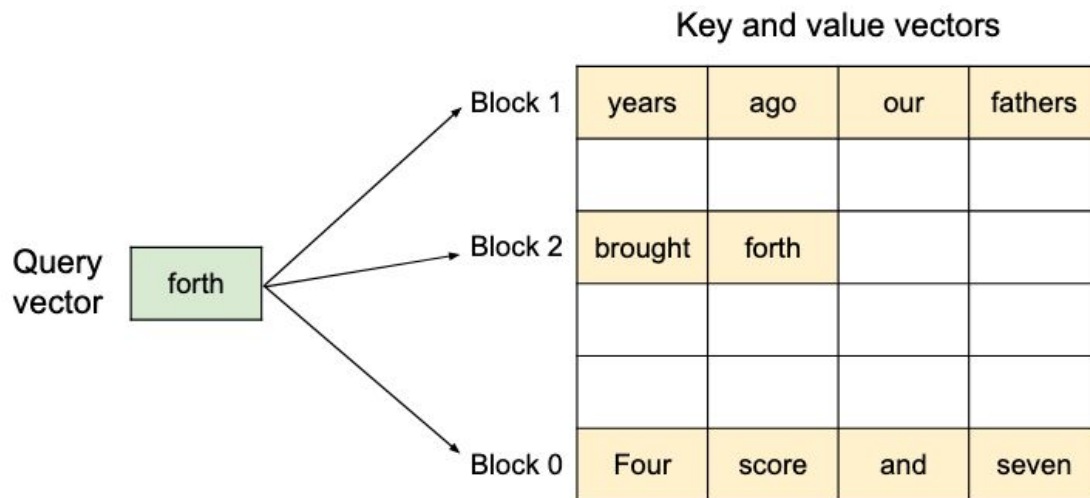
# Manage memory with PagedAttention

**Observation.** Lots of memory waste when storing KV cache.



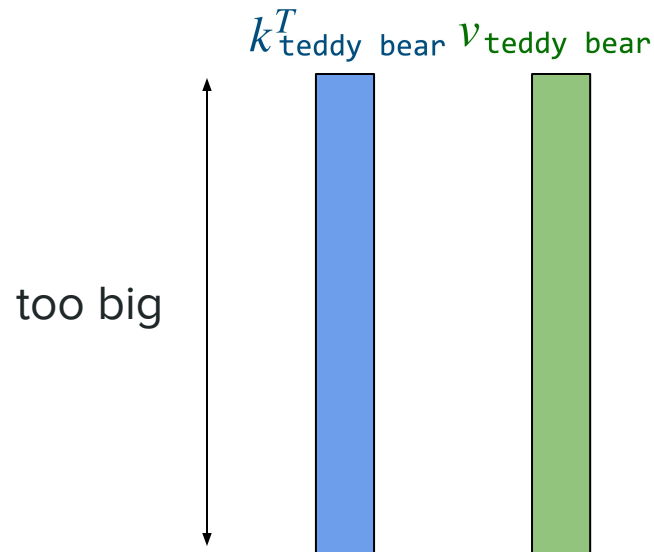
# Manage memory with PagedAttention

**Idea.** Store K and V in non-contiguous space to minimize wasted memory.



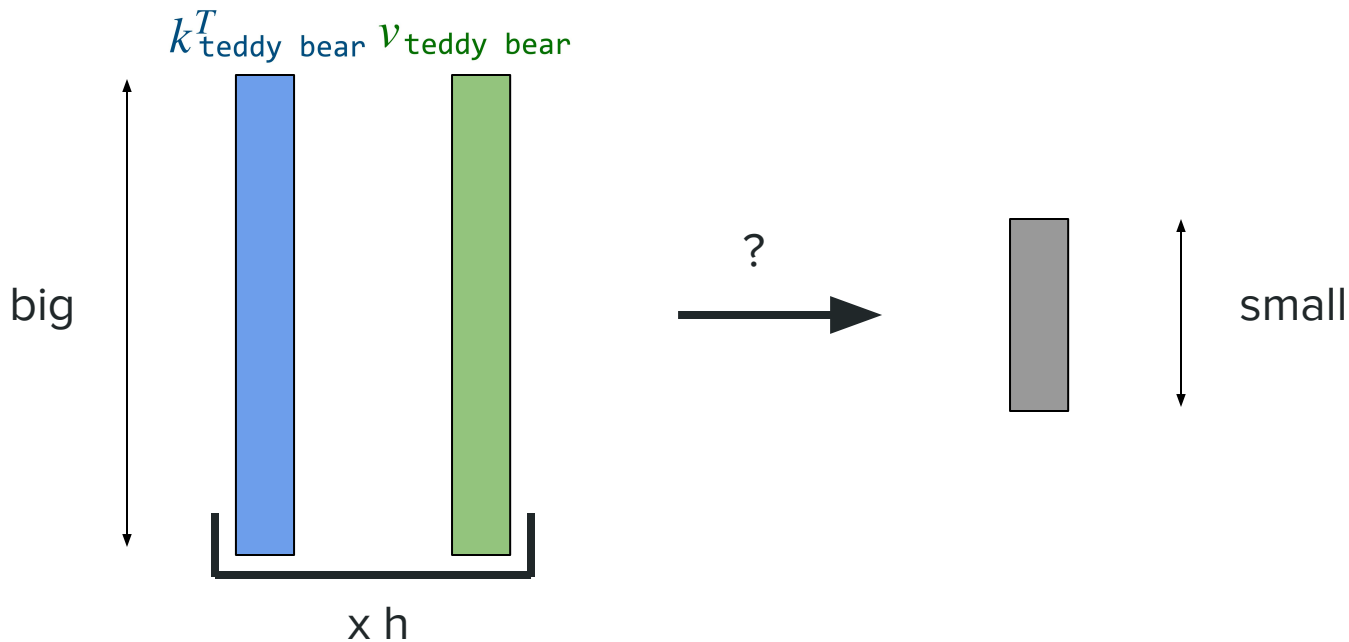
# Reduce memory of KV cache with latent attention

**Goal.** Reduce dimension of  $K$  and  $V$  stored in memory.



# Reduce memory of KV cache with latent attention

**Solution.** Store compressed representations instead!

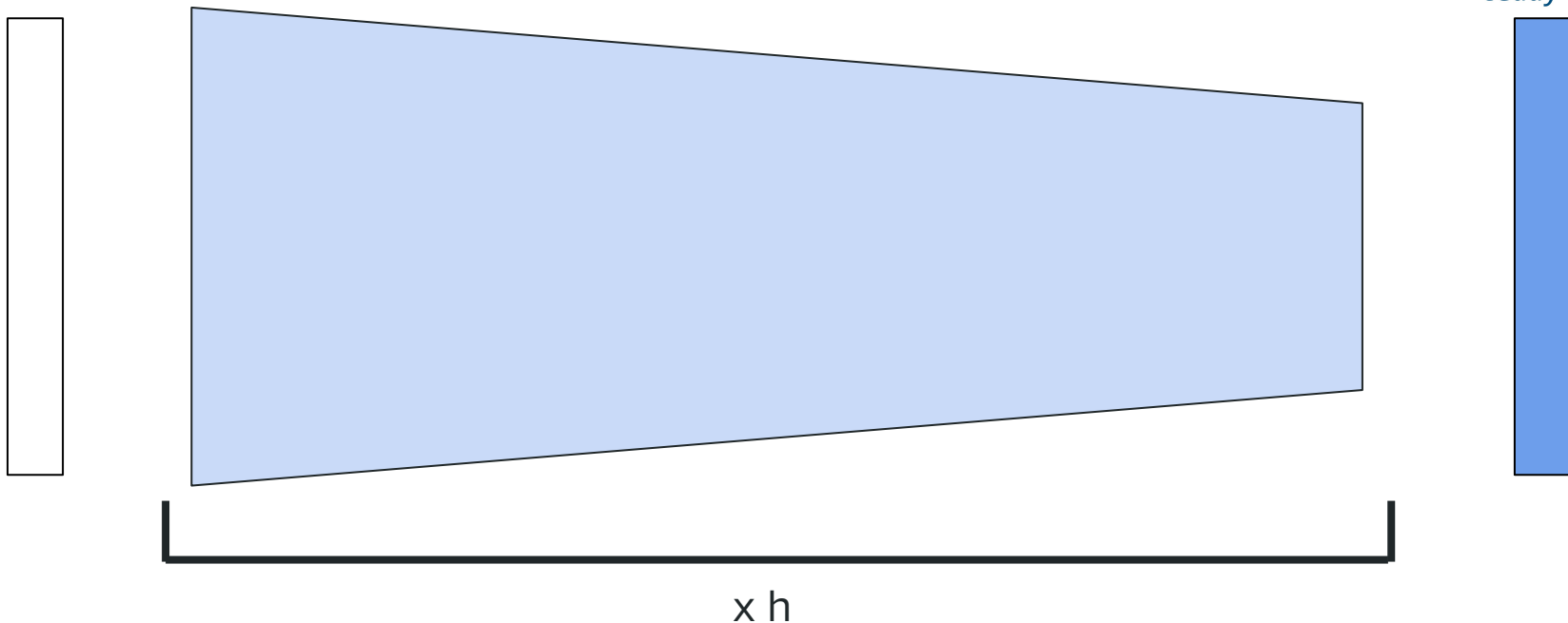


# Reduce memory of KV cache with latent attention

BEFORE

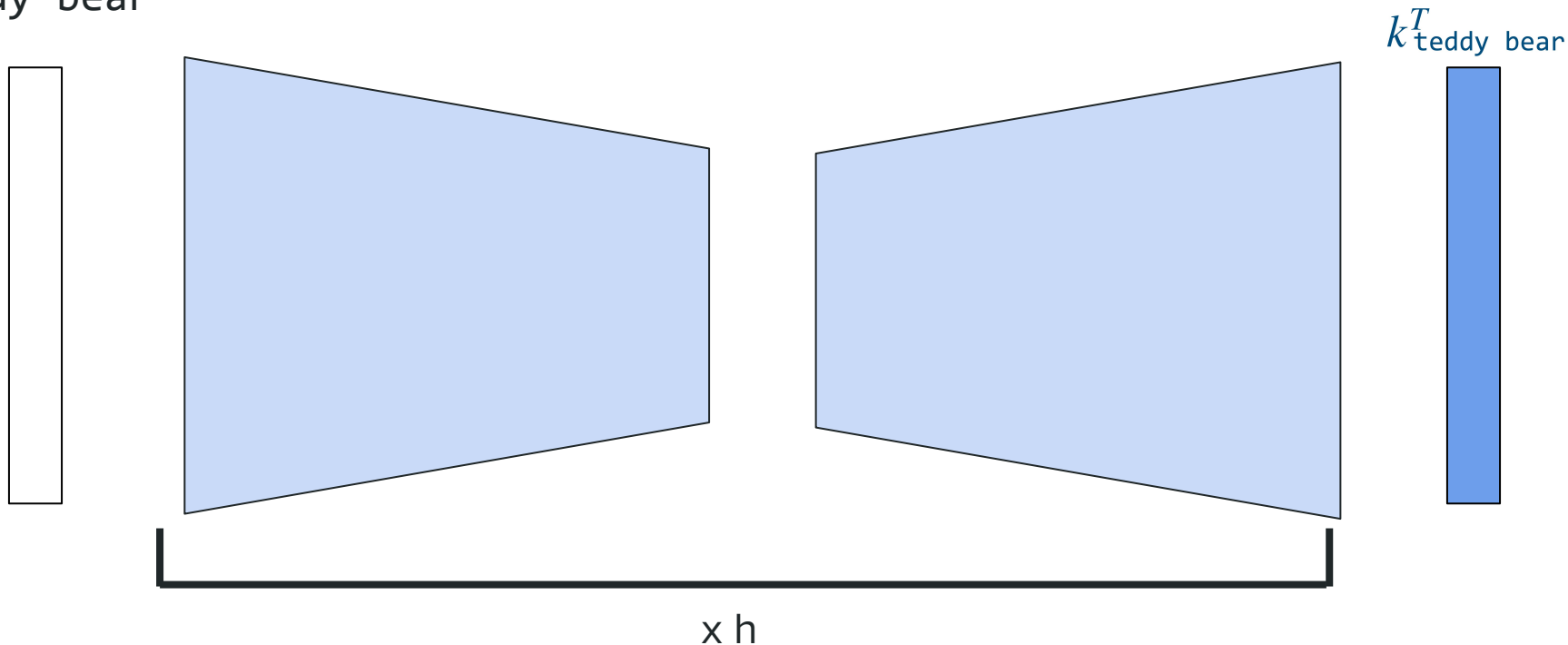
teddy bear

$k_{\text{teddy bear}}^T$



# Reduce memory of KV cache with latent attention

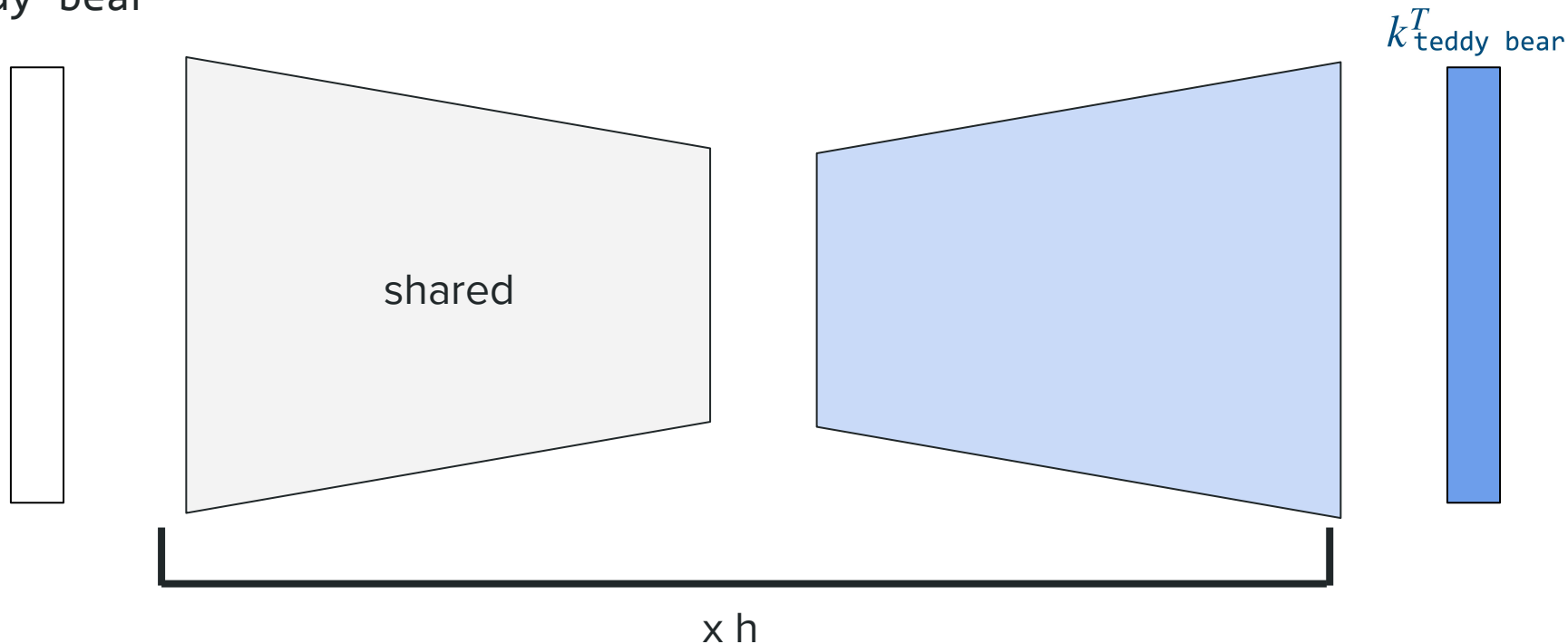
teddy bear





# Reduce memory of KV cache with latent attention

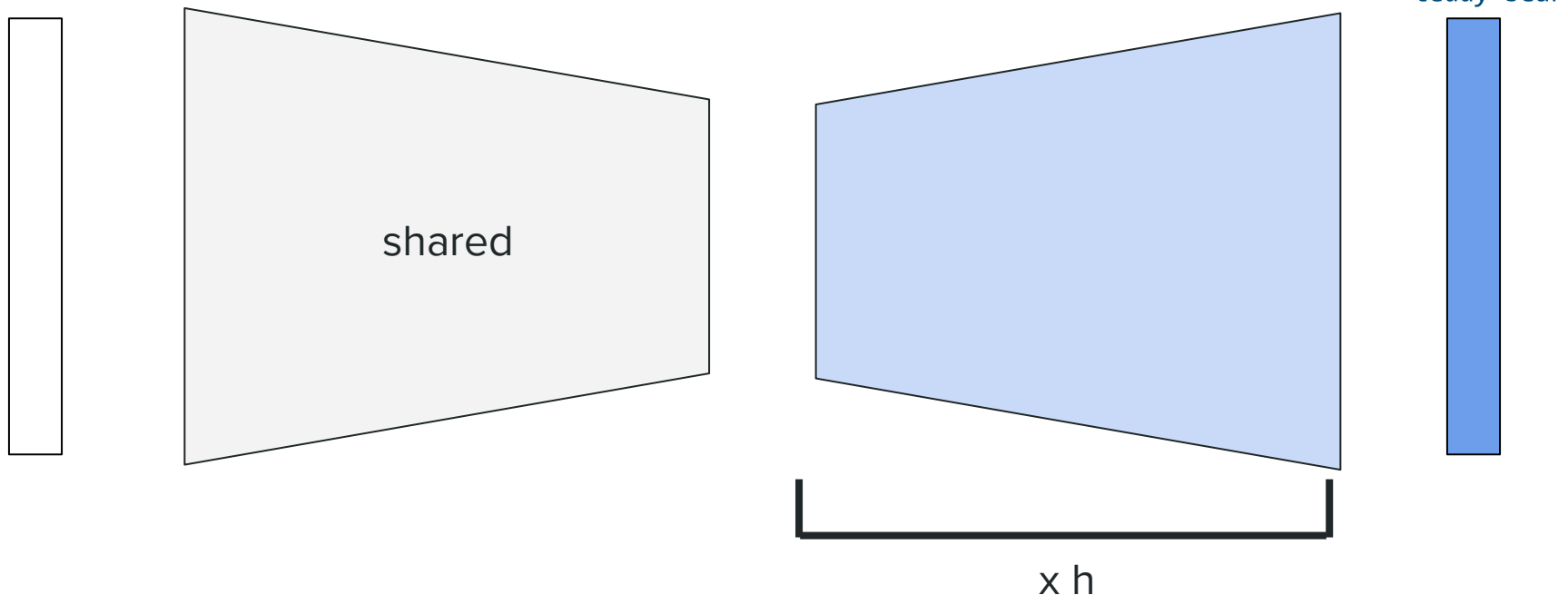
teddy bear



# Reduce memory of KV cache with latent attention

AFTER

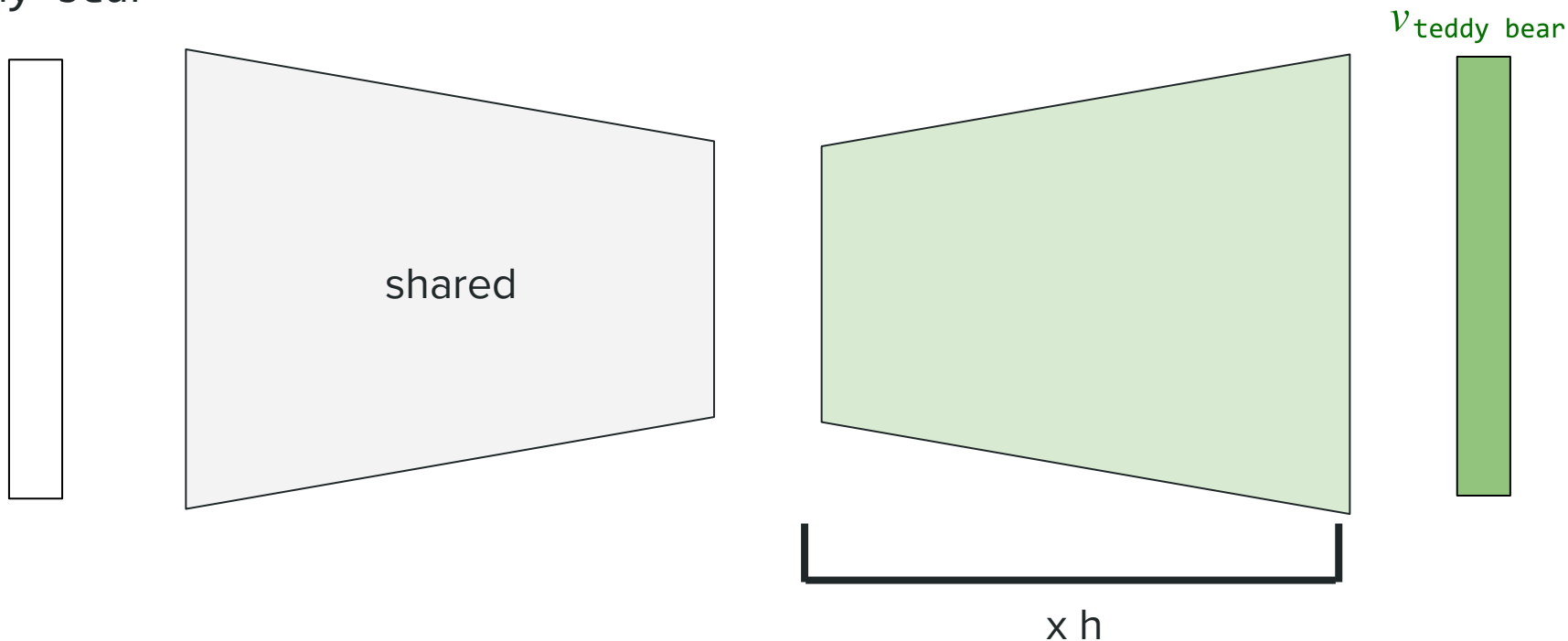
teddy bear



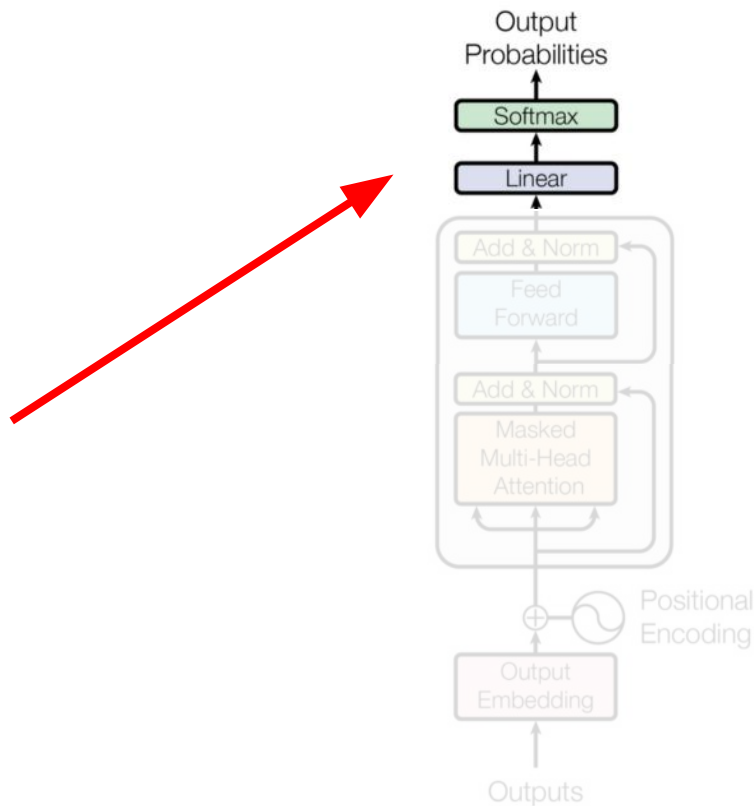
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AFTER

teddy bear



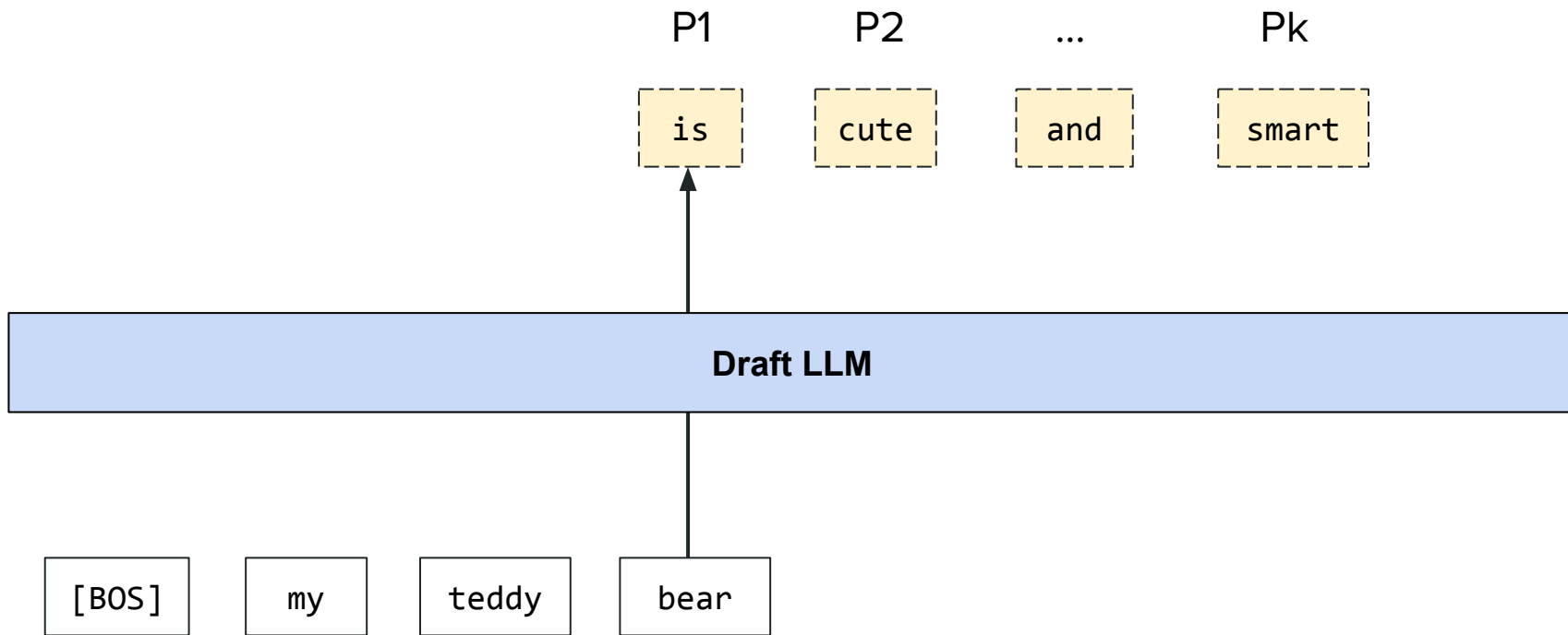
# Token generation tricks



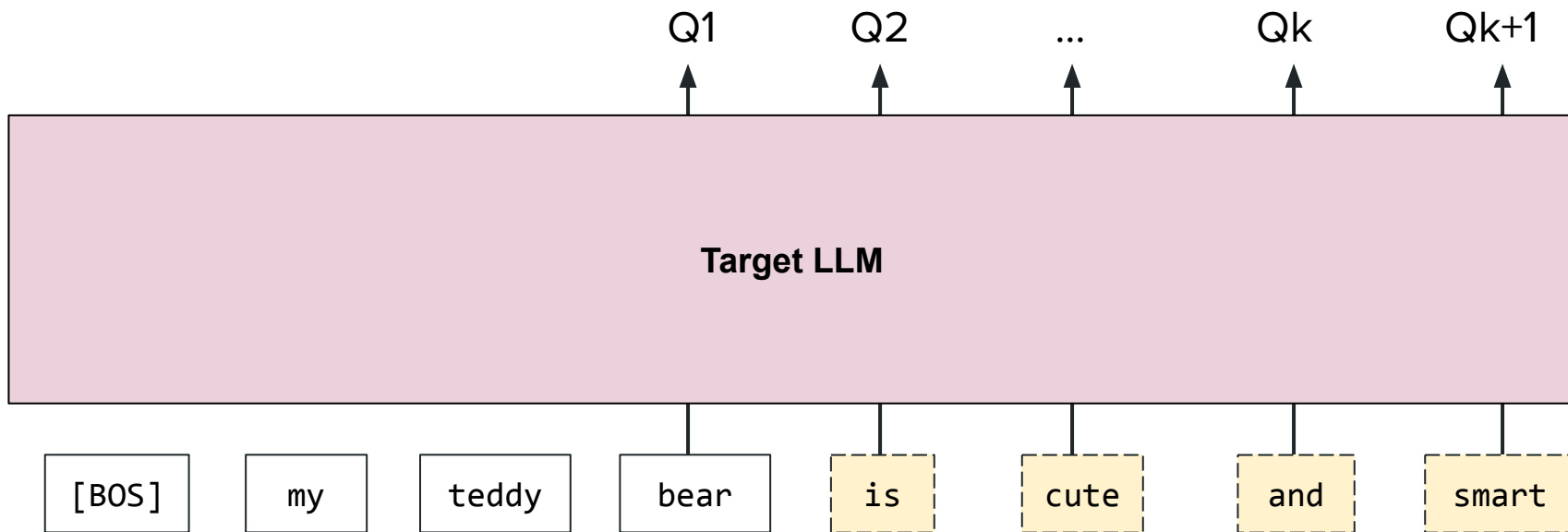
# Speeding up decoding with speculative decoding

**Idea.** Use a draft (small) model to generate tokens validated by a target (big) model.

# Speeding up decoding with speculative decoding

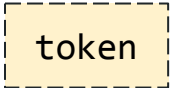

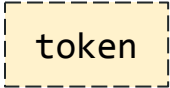





# Speeding up decoding with speculative decoding



# Speeding up decoding with speculative decoding

**Sampling algorithm.** We distinguish the following cases:

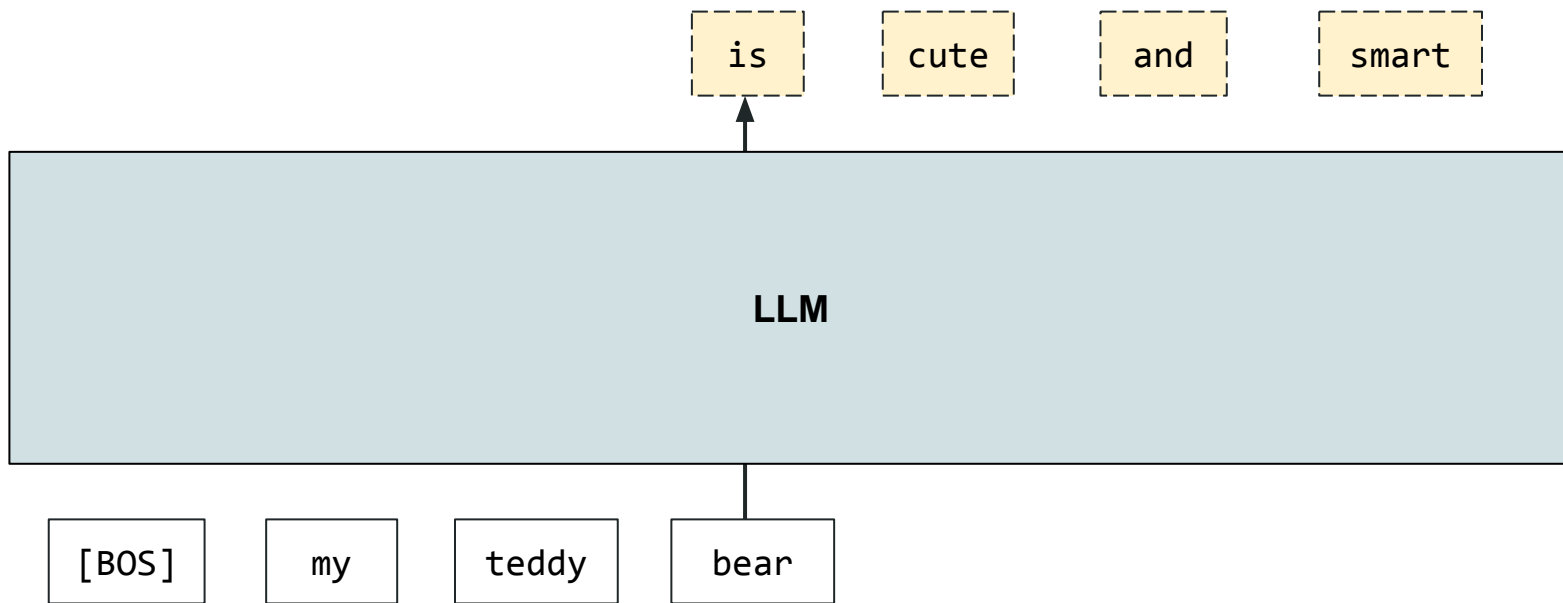
- If  $Q_i(\text{token}) \geq P_i(\text{token})$   
- Otherwise
  - with probability  $Q_i(\text{token}) / P_i(\text{token})$   
  - with probability  $1 - Q_i(\text{token}) / P_i(\text{token})$   

If a rejection happens, re-sample next token with distribution  $[Q_i - P_i]^+$  and exit



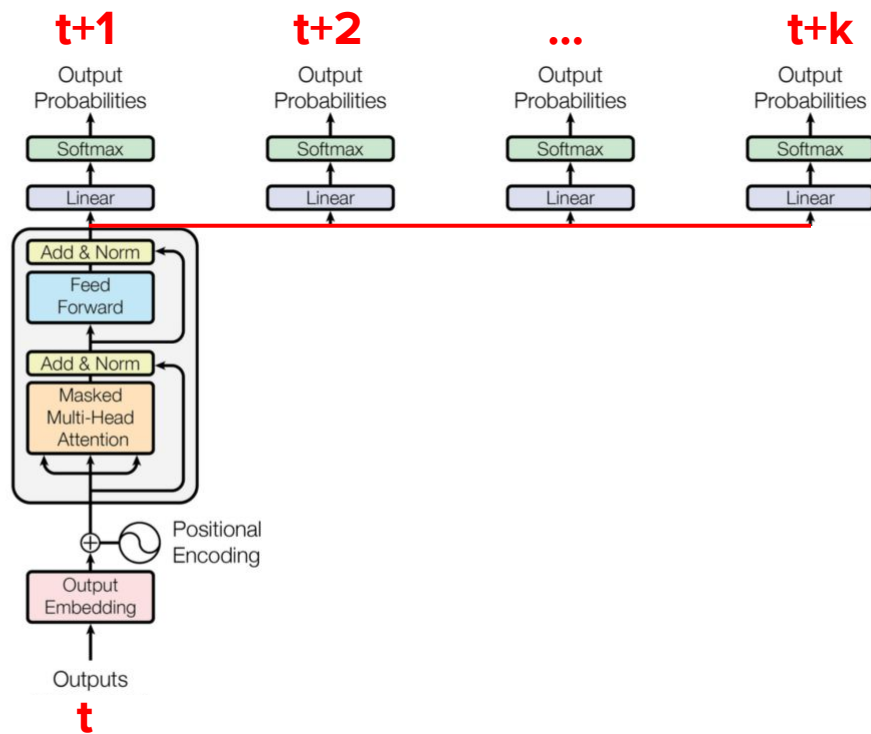
# Generate several tokens at once via MTP

**MTP** = **M**ulti-**T**oken **P**rediction



# Generate several tokens at once via MTP

**Idea.** Train  $k$  prediction heads: same draft and target models!



# Challenges

**Categories.** Many dimensions to optimize for.

"Exact" efficiency:

- Avoid redundancies
- Memory management
- Reformulate the math

Approximations:

- Architectural changes
- Embeddings representations
- Token prediction

# Challenges **and some remedies**

**Categories.** Many dimensions to optimize for.

"Exact" efficiency:

- Avoid redundancies ← **KV cache**
- Memory management ← **PagedAttention**
- Reformulate the math ← **Speculative decoding**

Approximations:

- Architectural changes ← **Grouped query attention**
- Embeddings representations ← **Latent attention**
- Token prediction ← **Multi-token prediction**

Thank you for your attention!

---