# The Intensional Lambda Calculus

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#### Abstract

We introduce a natural deduction formulation for the Logic of Proofs, a refinement of modal logic S4 in which the assertion  $\Box A$  is replaced by  $\llbracket s \rrbracket A$  whose intended reading is "s is a proof of A". A term calculus for this formulation yields a typed lambda calculus  $\lambda^{\mathbf{I}}$  that internalises intensional information on how a term is computed. In the same way that the Logic of Proofs internalises its own derivations,  $\lambda^{\mathbf{I}}$  internalises its own computations. Confluence and strong normalisation of  $\lambda^{\mathbf{I}}$  is proved. This system serves as the basis for the study of type theories that internalise intensional aspects of computation.

#### 1 Introduction

This paper introduces a typed lambda calculus that internalises its own computations. Such a system is obtained by a propositions-as-types [GLT89] interpretation of a logical system for provability which internalises its own proofs, namely the Logic of Proofs  $\mathbf{LP}$  [Art95,Art01]. Proofs are represented as combinatory terms (proof polynomials). In the minimal propositional logic fragment of  $\mathbf{LP}$  proof polynomials are constructed from proof variables and constants using two operations: application "·" and proof-checker "!". The usual propositional connectives are augmented by a new one: given a proof polynomial s and a proposition A build s. The intended reading is: "s is a proof of s". The axioms and inference schemes of s0 are:

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A0. Axiom schemes of minimal logic in the language of LP

**A1.**  $[s]A \supset A$  "verification"

**A2.**  $\llbracket s \rrbracket (A \supset B) \supset (\llbracket t \rrbracket A \supset \llbracket s \cdot t \rrbracket B)$  "application"

**A3.**  $[s]A \supset [!s][s]A$  "proof checker"

**R1.**  $\Gamma \vdash A \supset B$  and  $\Gamma \vdash A$  implies  $\Gamma \vdash B$  "modus ponens"

**R2.** If **A** is an axiom **A0-A3**, and c is a proof "necessitation" constant, then  $\vdash \llbracket c \rrbracket \mathbf{A}$ 

For verification one reads: "if s is a proof of A, then A holds". The interpretation of proof polynomials is as follows. For application one reads: "if s is a proof of  $A \supset B$  and t is a proof of A, then  $s \cdot t$  is a proof of B". Thus "·" represents composition of proofs. For proof checking one reads: "if s is a proof of A, then !s is a proof of the sentence 's is a proof of A'". Thus !s is seen as a computation that verifies [s]A.

First we introduce a natural deduction (ND) formulation  $\mathbf{LP}_{nd}^-$  for  $\mathbf{LP}$ . Following recent work on *judgemental reconstruction* [ML83] of intuitionistic  $\mathbf{S4}$  [DP96,DP01b,DP01a], judgements are introduced in which a distinction is made between propositions whose *truth* is assumed from those whose *validity* is assumed. Judgements in  $\mathbf{LP}_{nd}^-$  are of the form:

$$v_1: A_1 \ valid, \ldots, v_n: A_n \ valid; a_1: B_1 \ true, \ldots, a_m: B_m \ true \vdash A \ true \mid s$$

which expresses "s is evidence that A is true, assuming that for each  $i \in 1...n$ ,  $v_i$  is evidence that  $A_i$  is valid and assuming that for each  $j \in 1...m$ ,  $a_j$  is evidence that  $B_j$  is true". Such judgements are called hypothetical judgements [ML83]. Evidence s is a constituent part of the judgement without which the proposed reading is no longer possible. Its importance is reflected in the following introduction rule for the [s] connective:

$$\frac{\Delta; \cdot \vdash A \mid s}{\Delta; \Gamma \vdash \llbracket s \rrbracket A \mid ! s} \, \Box \mathsf{I}$$

This scheme internalises proofs of validity: If s is evidence that A is unconditionally true ("·" indicates an empty set of hypothesis of truth), then it is true that s is a proof of A. The new witness to this fact is registered as the evidence !s. The "!" operator is reminiscent of that of proof polynomials. However, in  $\mathbf{LP}_{nd}^-$ , proof terms such as s encode ND derivations and thus are no longer the proof polynomials of  $\mathbf{LP}$ .

At the basis of the meaning of hypothetical judgements (provided by the axioms and inference schemes presented in Sec. 2) is the notion of substitution. The following two principles, the Substitution Principle for Truth with Evi-

$$\frac{ \frac{\Delta; \Gamma, a : A \vdash B \mid s}{\Delta; \Gamma \vdash A \supset B \mid \lambda a : A.s} \supset \mathsf{I} \qquad \qquad \Delta; \Gamma \vdash A \mid t}{\Delta; \Gamma \vdash B \mid (\lambda a : A.s) \cdot t} \supset \mathsf{E} \qquad \leadsto \qquad \Delta; \Gamma \vdash B \mid s_t^a$$

Fig. 1. Naïve simplification

dence and the Substitution Principle for Validity with Evidence, reflect the true hypothetical nature of hypothesis.

• If  $\Delta; \Gamma \vdash A \mid s$  and  $\Delta; \Gamma, a : A, \Gamma' \vdash B \mid t$ , then  $\Delta; \Gamma, \Gamma' \vdash B \mid t_s^a$ • If  $\Delta; \cdot \vdash A \mid s$  and  $\Delta, v : A, \Delta'; \Gamma \vdash B \mid t$ , then  $\Delta, \Delta'; \Gamma \vdash B_s^v \mid t_s^v$ 

These principles allow derivations to be composed, a fundamental operation on which the process of normalisation of derivations relies on. In fact, composition of derivations suffices, in general, to formulate rules for eliminating redundancy in derivations. However, the fact that  $\mathbf{LP}_{nd}^-$  internalises its own proofs presents a complication in this respect. For example, the naïve simplification step depicted in Fig. 1 which relies on the Substitution Principle for Truth with Evidence fails given that it modifies the judgement that was originally justified. On a more pragmatical level, such a normalisation process may produce invalid derivations. Consider the following derivation (the inference schemes are introduced in Sec. 2.1)

$$\frac{v:A;a:A\vdash A\mid a}{\dfrac{v:A;\cdot\vdash A\supset A\mid \lambda a:A.a}{}\supset \mathsf{I}} \quad v:A;\cdot\vdash A\mid v}{\dfrac{v:A;\cdot\vdash A\mid (\lambda a:A.a)\cdot v}{}}{\dfrac{v:A;\cdot\vdash A\mid (\lambda a:A.a)\cdot v}{}}\,\Box \mathsf{I}}\supset \mathsf{E}$$

If the normalisation step of Fig. 1 were applied to the subderivation ending in the judgement  $v: A; \cdot \vdash A \mid (\lambda a: A.a) \cdot v$ , then the application of  $\Box$ I in the resulting derivation would not be valid.

The problem stems in that the normalisation step is attempting to identify, at the meta-level, the two derivations and  $\mathbf{LP}_{nd}^-$  happens to internalise its own derivations. As a consequence, the normalisation step must be reflected in the logic too. More precisely, a new judgement expressing the equality on evidence must be introduced. Accordingly, in Sec. 2.2 we extend our ND presentation  $\mathbf{LP}_{nd}^-$  with hypothetical judgements for evidence equality. The normalisation process is thus internalised into the logic. For this amended system,  $\mathbf{LP}_{nd}$ , the set of derivations is seen to be closed under normalisation.

In Sec. 4 we study a term assignment for  $\mathbf{LP}_{nd}$ , namely the *intensional lambda calculus* ( $\lambda^{\mathbf{I}}$ ).  $\lambda^{\mathbf{I}}$  results from extending the propositions-as-types correspondence to  $\mathbf{LP}_{nd}$ . The normalisation process of derivations in  $\mathbf{LP}_{nd}$  yields a notion of *reduction* on the typed lambda calculus terms. Just as  $\mathbf{LP}_{nd}$  inter-

nalises its own derivations, the operational counterpart of this logic is seen to internalise the reduction of derivations. We show that  $\lambda^{\mathbf{I}}$  is strongly normalising and confluent by applying properties of higher-order rewrite systems.

Related work. S. Artemov introduced the Logic of Proofs in [Art95,Art01]. A ND presentation for LP is provided in [Art01]. This presentation relies on combinatory terms as proof terms (proof polynomials). It is a ND system for a logic that internalises Hilbert style proofs. As a consequence, the presence of normalisation is not felt at the level of proof terms. Since we use proof terms that encode ND proofs, the internalisation scheme implemented by  $\Box$ I together with the normalisation process on derivations has a visible impact in the design of the inference schemes for our system  $\mathbf{LP}_{nd}$ .

V. Brezhnev [Bre01] formulates a system of labeled sequents. Roughly, a refinement of the sequent presentation of  $\mathbf{LP}$  [Art01] is presented in which labeled sequents are derived rather than the sequents themselves. It has been proved [Art95,Art01] that  $\mathbf{LP}$  is a refinement of  $\mathbf{S4}$  in the sense that any cut-free derivation of  $\mathbf{S4}$  can be realized by one of  $\mathbf{LP}$ . A realization of an  $\mathbf{S4}$  derivation is the process of appropriately filling in all occurrences of boxes  $\square$  with proof polynomials such that a valid  $\mathbf{LP}$  derivation is obtained. The aim of the work of Brezhnev is to make this correspondence explicit. Also, he extends the correspondence to other modal logics such as  $\mathbf{K}$ ,  $\mathbf{K4}$ ,  $\mathbf{D}$ ,  $\mathbf{D4}$  and  $\mathbf{T}$ .

From a type theoretic perspective we should mention the theory of dependent types [Bar92]. Dependent type theory is the type-theoretic counterpart of first-order logic via the propositions-as-types correspondence. Types may depend on terms, in much the same way that a type  $[\![s]\!]A$  depends on the proof term s. In contrast to  $\lambda^{\mathbf{I}}$ , dependent type theory lacks a notion of internalisation of derivations.

More closely related to  $\lambda^{\mathbf{I}}$  is the reflective  $\lambda$ -calculus ( $\lambda^{\infty}$ ) [AA01].  $\lambda^{\infty}$  is a rigidly typed (all variables and subterms carry a fixed type) lambda calculus which essentially results from a term assignment of the aforementioned ND presentation of [Art01]. The difference with the approach of this paper is that in the reflective  $\lambda$ -calculus [s]A is read as "s has type A". Accordingly, hypothesis are not labeled with variables, rather they are part of the formula. For example,  $x:A \vdash x:A$  becomes  $[x]A \vdash [x]A$ . An unwanted complication is that the desired internalisation property (namely,  $A_1, A_2, \ldots, A_n \vdash B$  implies that for fresh variables  $x_1, x_2, \ldots, x_n$  there exists a term  $t(x_1, x_2, \ldots, x_n)$  such that we can prove  $[x_1]A_1, [x_2]A_2, \ldots, [x_n]A_n \vdash [t(x_1, x_2, \ldots, x_n)]B$  changes the types of the assumptions. As a consequence, operations on types having nested copies of proof terms are required for typing. This also complicates the definition of reduction on terms.

## 2 Natural Deduction for LP

Following [DP01b] we distinguish the following judgements: "A is a proposition" ("A proposition" for short), "A true" and "A valid". In the case of the second and third judgements we assume that it is already known that "A proposition". The inference schemes defining the meaning of "A proposition" are the usual well-formedness conditions and hence are omitted. For example, in the case of " $A \supset B$  proposition" we have the inference scheme:

$$\frac{A \ proposition}{A \supset B \ proposition}$$

Our interest lies in providing meaning to the following hypothetical judgements with explicit evidence:

$$v_1: A_1 \ valid, \ldots, v_n: A_n \ valid; a_1: B_1 \ true, \ldots, a_m: B_m \ true \vdash A \ true \mid s$$

by a set of axiom schemes and inference schemes, where  $v_i$ ,  $i \in 1..n$ , and  $a_j$ ,  $j \in 1..m$ , range over some given some set of evidence (of proof) variables  $\{x_1, x_2, \ldots\}$ . To the left of the semi-colon we place the assumptions of validity and to the right the assumptions of truth. For the sake of readability, we drop the qualifiers "valid" and "true". Consequently, these judgements take the form:

$$v_1: A_1, \ldots, v_n: A_n; a_1: B_1, \ldots, a_m: B_m \vdash A \mid s$$

In addition to the usual requirement that the  $v_i$  and  $a_i$  be distinct, we must also require that they be fresh (i.e. that they do not occur in the  $A_i$  and  $B_i$ ). Note also that since we assume  $J_1$  through  $J_n$ , in a hypothetical proof of a hypothetical judgement with explicit evidence, we may use the  $J_i$  as if we knew them. As a consequence we can substitute an arbitrary derivation of  $J_i$  for all its uses by means of the two aforementioned substitution principles. Once we have established the meaning of hypothetical judgements with explicit evidence we shall in fact be able to prove these principles.

### 2.1 Axiom and Inference Schemes

It is convenient to introduce first a preliminary ND system ( $\mathbf{LP}_{nd}^-$ ) as a stepping stone towards the final one,  $\mathbf{LP}_{nd}$ . We begin by defining the set of Proof Terms, Propositions, Truth Contexts and Validity Contexts.

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Proof Terms s ::= x \mid s \cdot s \mid \lambda a : A.s \mid !s \mid XTRT s AS v : A IN s
Propositions A ::= P \mid A \supset A \mid \llbracket s \rrbracket A
Truth Contexts \Gamma ::= \cdot \mid \Gamma, a : A
Validity Contexts \Delta ::= \cdot \mid \Delta, v : A
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We write fv(s) for the set of free variables of a proof term. All free occurrences of a (resp. v) in s are bound in  $\lambda a:A.s$  (resp. XTRT t AS v:A IN s). A proposition is either a propositional variable P, an implication  $A \supset B$  or a validity proposition  $[\![s]\!]A$ . Truth and validity contexts are sequences of labeled propositions; "·" denotes the empty context. We write  $s_t^x$  for the result of substituting all free occurrences of x in s by t and assume that bound variables are renamed whenever necessary; likewise for  $A_t^x$ .

**Definition 2.1**  $\mathbf{LP}_{nd}^-$  is defined by the schemes of Fig. 2.

An informal explanation of some of these schemes follows. The axiom scheme oVar states that the judgement " $\Delta$ ;  $\Gamma$ ,  $a:A,\Gamma'\vdash A\mid a$ " is evident in itself. Indeed, if we assume that a is evidence that proposition A is true, then we may immediately conclude that A is true with evidence a. The introduction scheme for the  $\llbracket s \rrbracket$  modality internalises metalevel evidence into the object logic. It states that if s is unconditional evidence that A is true, then A is in fact valid with witness s (i.e.  $\llbracket s \rrbracket A$  is true). Evidence for the truth of  $\llbracket s \rrbracket A$  is constructed from the (verified) evidence that A is unconditionally true by prefixing it with a bang constructor. Finally, □E allows the discharging of validity hypothesis. In order to discharge the validity hypothesis v:A, a proof of the validity of A is required. In our system, this requires proving that  $\lceil r \rceil A$  is true with evidence s, for some evidence of proof r and s. Note that r is evidence that A is unconditionally true (i.e. valid) whereas s is evidence that [r]A is true. The former is then substituted in the place of all free occurrences of v in the proposition C. This construction is recorded with evidence XTRT s AS v:A IN t in the conclusion. The mnemonic symbols "XTRT" stand for "extract" since, intuitively, evidence of the validity of A may be seen to be extracted from evidence of the truth of [r]A. Two examples of derivations in  $\mathbf{LP}_{nd}^-$  follow. The first one proves  $[s]A \supset A$ .

$$\frac{ \frac{}{\cdot ; a : \llbracket s \rrbracket A \vdash \llbracket s \rrbracket A \mid a} \text{ oVar } \frac{}{v : A; a : \llbracket s \rrbracket A \vdash A \mid v} \text{ mVar } }{ \cdot ; a : \llbracket s \rrbracket A \vdash A \mid X \text{TRT } a \text{ AS } v : A \text{ IN } v} \cap \mathbb{E}$$

The second example proves  $[\![s]\!]A \supset [\![!s]\!][\![s]\!]A$ .

## Minimal Propositional Logic Fragment

$$\frac{\Delta;\Gamma,a:A,\Gamma'\vdash A\mid a}{\Delta;\Gamma\vdash A\supset B\mid \lambda a:A.s}\supset \mathsf{I} \qquad \frac{\Delta;\Gamma\vdash A\supset B\mid s\quad \Delta;\Gamma\vdash A\mid t}{\Delta;\Gamma\vdash B\mid s\cdot t}\supset \mathsf{E}$$

#### **Provability Fragment**

$$\frac{}{\Delta,v:A,\Delta';\Gamma\vdash A\mid v}\,\mathsf{mVar}$$
 
$$\frac{\Delta;\cdot\vdash A\mid s}{\Delta;\Gamma\vdash \llbracket s \rrbracket\!\!\! A\mid !\!\!\! s}\,\,\Box\mathsf{I}\qquad \frac{\Delta;\Gamma\vdash \llbracket r \rrbracket\!\!\! A\mid s\quad \Delta,v:A;\Gamma\vdash C\mid t}{\Delta;\Gamma\vdash C^v_r\mid \mathsf{XTRT}\, s\,\mathsf{AS}\, v:A\,\mathsf{IN}\, t}\,\Box\mathsf{E}$$

Fig. 2. Explanation for Hypothetical Judgements with Explicit Evidence

$$\frac{\frac{\overline{w:A; \cdot \vdash A \mid w} \text{ mVar}}{\overline{w:A; \cdot \vdash A \mid w}} \square I}{\overline{w:A; \cdot \vdash \llbracket w \rrbracket A \mid !!w}} \square I} \\ \frac{\cdot ; a: \llbracket s \rrbracket A \vdash \llbracket s \rrbracket A \mid a}{\overline{w:A; a: \llbracket s \rrbracket A \vdash \llbracket !w \rrbracket \llbracket w \rrbracket A \mid !!w}} \square I} \\ \frac{\cdot ; a: \llbracket s \rrbracket A \vdash \llbracket !s \rrbracket \llbracket s \rrbracket A \mid X \text{TRT } a \text{ AS } w: A \text{ IN } !!w}}{\overline{\cdot ; \cdot \vdash \llbracket s \rrbracket A \supset \llbracket !s \rrbracket \llbracket s \rrbracket A \mid \lambda a: \llbracket s \rrbracket A.X \text{TRT } a \text{ AS } w: A \text{ IN } !!w}} \supset I$$

The standard structural properties of judgements (weakening, contraction and exchange) hold. Also, the substitution principles for truth with evidence and validity with evidence may be proved by induction on the derivation.

# **Lemma 2.1** Some Properties of Judgements in $LP_{nd}^-$

- (1) (Exchange) If  $\Delta, u: A, v: B, \Delta'; \Gamma \vdash C \mid s$ , then  $\Delta, v: B, u: A, \Delta'; \Gamma \vdash C \mid s$ .
- (2) (Exchange) If  $\Delta; \Gamma, a: A, b: B, \Gamma' \vdash C \mid s$ , then  $\Delta; \Gamma, b: B, a: A, \Gamma' \vdash C \mid s$ .
- (3) (Weakening) If  $\Delta, \Delta'; \Gamma \vdash A \mid s$ , then  $\Delta, v : B, \Delta'; \Gamma \vdash A \mid s$ .
- (4) (Weakening) If  $\Delta$ ;  $\Gamma$ ,  $\Gamma' \vdash A \mid s$ , then  $\Delta$ ;  $\Gamma$ , a : B,  $\Gamma' \vdash A \mid s$ .
- (5) (Contraction) If  $\Delta, u: A, v: A, \Delta'; \Gamma \vdash A \mid s$ , then  $\Delta, w: A, \Delta'; \Gamma \vdash A_w^{u,v} \mid s_w^{u,v}$  for w fresh.
- (6) (Contraction) If  $\Delta; \Gamma, a: A, b: A, \Gamma' \vdash A \mid s$ , then  $\Delta; \Gamma, c: A, \Gamma' \vdash A \mid s_c^{a,b}$  for c fresh.

- (7) If  $\Delta$ ;  $\Gamma \vdash A \mid s$  and  $\Delta$ ;  $\Gamma$ , a : A,  $\Gamma' \vdash B \mid t$ , then  $\Delta$ ;  $\Gamma$ ,  $\Gamma' \vdash B \mid t_s^a$ .
- (8) If  $\Delta$ ;  $\vdash A \mid s$  and  $\Delta$ ,  $v : A, \Delta'$ ;  $\Gamma \vdash B \mid t$ , then  $\Delta, \Delta'$ ;  $\Gamma \vdash B_s^v \mid t_s^v$ .

A more interesting property is that  $\mathbf{LP}_{nd}^-$  internalises its own proofs of unconditional truth.

**Lemma 2.2 (Lifting [Art95])** Let  $\Delta = u_1 : A_1, \ldots, u_n : A_n$  and  $\Gamma = b_1 : B_1, \ldots, b_m : B_m$ . If  $\Delta : \Gamma \vdash A \mid r$ , then  $\Delta : v_1 : B_1, \ldots, v_m : B_m : \vdash \llbracket s(\vec{u}, \vec{v}) \rrbracket A \mid t(\vec{u}, \vec{v}) \text{ where } s(\vec{u}, \vec{v}) = (\lambda \vec{b} : \vec{B}.r) \cdot v_1 \cdot v_2 \cdot \ldots \cdot v_m \text{ and } t(\vec{u}, \vec{v}) = \text{XTRT } !\lambda \vec{b} : \vec{B}.r \text{ As } u : (\vec{B} \supset A) \text{ IN } !(u \cdot v_1 \cdot v_2 \cdot \ldots \cdot v_m).$ 

*Proof.* Let  $\Delta$ ;  $\cdot \vdash \llbracket \lambda \vec{b} : \vec{B}.r \rrbracket (\vec{B} \supset A) \mid !\lambda \vec{b} : \vec{B}.r$  be the judgement obtained from  $\Delta$ ;  $\Gamma \vdash A \mid r$  by passing all truth assumptions from the left of the turnstile to the right using  $\supset \mathsf{I}$  and then applying  $\Box \mathsf{I}$  once. If  $\Gamma$  is empty, then we conclude by taking  $s(\vec{u}, \vec{v}) = \lambda \vec{b} : \vec{B}.r = r$  and  $t(\vec{u}, \vec{v}) = !\lambda \vec{b} : \vec{B}.r = !r$ . Otherwise, by weakening we may further obtain a derivation of

$$\Delta, v_1 : B_1, \dots, v_m : B_m; \cdot \vdash \llbracket \lambda \vec{b} : \vec{B}.r \rrbracket (\vec{B} \supset A) \mid !\lambda \vec{b} : \vec{B}.r$$
 (1)

Note also that the judgement

$$\Delta, v_1: B_1, \ldots, v_m: B_m, u: (\vec{B} \supset A); \vdash \llbracket u \cdot v_1 \cdot v_2 \ldots v_m \rrbracket A \mid !(u \cdot v_1 \cdot v_2 \ldots v_m)$$

is derivable. Thus we may conclude with an application of  $\Box \mathsf{E}$  and deduce that

$$s(\vec{u}, \vec{v}) = (\dots((((\lambda \vec{b} : \vec{B}.r) \cdot v_1) \cdot v_2) \cdot \dots \cdot v_m)$$
  
$$t(\vec{u}, \vec{v}) = \text{XTRT } !\lambda \vec{b} : \vec{B}.r \text{ AS } u : (\vec{B} \supset A) \text{ IN } !(u \cdot v_1 \cdot v_2 \cdot \dots \cdot v_m)$$

An example of the derivation of (1) alluded to above in the case  $\Gamma = B$  is:

$$\frac{\Delta, v: B, u: B \supset A; \cdot \vdash A \mid u \cdot v}{\Delta, v: B, u: B \supset A; \cdot \vdash \left[\!\!\left[u \cdot v\right]\!\!\right] A \mid ! \lambda b: B.r} \frac{\Delta, v: B, u: B \supset A; \cdot \vdash \left[\!\!\left[u \cdot v\right]\!\!\right] A \mid ! (u \cdot v)}{\Delta, v: B; \cdot \vdash \left[\!\!\left[(\lambda b: B.r) \cdot v\right]\!\!\right] A \mid \mathsf{XTRT} \mid \!\! \lambda b: B.r \ \mathsf{AS} \ u: (B \supset A) \ \mathsf{IN} \mid ! (u \cdot v)} \Box \mathsf{E}$$

## 2.2 Normalisation and Evidence Equality

As mentioned above a naïve approach to normalisation is doomed to fail unless our attempt to simplify (hence equate) derivations is reflected in the object logic. Indeed, a new judgement must be considered, namely hypothetical judgements for evidence equality:

$$\Delta$$
:  $\Gamma \vdash s \equiv t : A$ 

Read: "s and t are provably equal evidence of the truth of A under the validity assumptions of  $\Delta$  and the truth assumptions of  $\Gamma$ ". This judgement internalises at the object level the equality of derivations induced by the normalisation steps. Note that evidence for provable equality is not considered in hypothetical judgements for evidence equality. Although this could be an interesting route for exploration, in our setting we would then be forced to define a notion of equality on this new kind of evidence, thus leading to an infinite regression.

In addition to defining the meaning of this new judgement by means of new axiom and inference schemes, we must indicate how it affects the meaning of hypothetical judgements with explicit evidence.

$$\frac{\Delta; \Gamma \vdash A \mid s \quad \Delta; \Gamma \vdash s \equiv t : A}{\Delta; \Gamma \vdash A \mid t} \operatorname{EqEvid}$$

The upper left judgement of EqEvid is called the minor premise and the one on the right the major premise. Fig. 3 defines the meaning of hypothetical judgement for evidence equality.

**Definition 2.2 LP**<sub>nd</sub> is obtained by augmenting the schemes of Fig. 2 with EqEvid and the schemes of Fig. 3.

In the sequel we study hypothetical judgements derivable in  $\mathbf{LP}_{nd}$ . Note that the structural properties of  $\mathbf{LP}_{nd}^-$  extend to  $\mathbf{LP}_{nd}$ .

We now return to normalisation of derivations. Two groups of contractions of derivations are defined: principal contractions and silent permutative contractions. The first is internalised by the inference schemes defining provable equality of evidence. Permutative conversions need not be internalised since, in contrast to principal contractions, they do not alter the end judgement. They are thus dubbed silent permutative conversions. Also, expansions for  $\supset$  and  $\square$  are introduced.

By defining an appropriate notion of cut segment (Def. 2.6) we show that contraction is weakly normalising: there is a sequence of contractions to normal form. More importantly, we shall see shortly that contraction is in fact strongly normalising.

## Definition 2.3

- (1) Principal Contractions for  $\mathbf{LP}_{nd}$ .
  - Principal contraction for  $\supset$ .

#### AxiomSchemes

$$\frac{\Delta; \Gamma \vdash A \mid s}{\Delta; \Gamma \vdash s \equiv s : A} \, \mathsf{EqRefl} \qquad \frac{\Delta; \Gamma, a : A \vdash B \mid s \quad \Delta; \Gamma \vdash A \mid t}{\Delta; \Gamma \vdash s_t^a \equiv (\lambda a : A.s) \cdot t : B} \, \mathsf{EqBeta}$$
 
$$\frac{\Delta; \Gamma \vdash A \mid s \quad \Delta, v : A; \Gamma \vdash C \mid t}{\Delta; \Gamma \vdash t_s^v \equiv \mathsf{XTRT} \, ! s \, \mathsf{AS} \, v : A \, \mathsf{In} \, t : C_s^v} \, \mathsf{Eq} \square \mathsf{Beta}$$

$$\frac{\Delta; \Gamma \vdash A \supset B \mid s \quad a \not \in \mathsf{fv}(s)}{\Delta; \Gamma \vdash \lambda a : A.(s \cdot a) \equiv s : A \supset B} \, \mathsf{EqEta} \qquad \frac{\Delta; \Gamma \vdash \llbracket s \rrbracket \!\! A \mid t \quad u \not \in \mathsf{fv}(t)}{\Delta; \Gamma \vdash \mathsf{XTRT} \, t \, \mathsf{AS} \, u : A \, \mathsf{IN} \, ! u \equiv t : \llbracket s \rrbracket \!\! A} \, \mathsf{Eq} \square \mathsf{Eta}$$

## Inference Schemes For Equivalence

$$\frac{\Delta;\Gamma \vdash s \equiv t:A}{\Delta;\Gamma \vdash t \equiv s:A} \operatorname{EqSymm} \qquad \frac{\Delta;\Gamma \vdash s_1 \equiv s_2:A \quad \Delta;\Gamma \vdash s_2 \equiv s_3:A}{\Delta;\Gamma \vdash s_1 \equiv s_3:A} \operatorname{EqTrans}$$

#### Inference Schemes For Congruence

$$\frac{\Delta; \Gamma, a:A \vdash s \equiv t:B}{\Delta; \Gamma \vdash \lambda a:A.s \equiv \lambda a:A.t:A \supset B} \operatorname{Eq} \supset \operatorname{I}$$
 
$$\frac{\Delta; \Gamma \vdash s_1 \equiv s_2:A \supset B \quad \Delta; \Gamma \vdash t_1 \equiv t_2:A}{\Delta; \Gamma \vdash s_1 \cdot t_1 \equiv s_2 \cdot t_2:B} \operatorname{Eq} \supset \operatorname{E}$$
 
$$\frac{\Delta; \Gamma \vdash s \equiv t:A}{\Delta; \Gamma \vdash !s \equiv !t: \llbracket s \rrbracket A} \operatorname{Eq} \square \operatorname{I}_l \qquad \frac{\Delta; \cdot \vdash s \equiv t:A}{\Delta; \Gamma \vdash !s \equiv !t: \llbracket t \rrbracket A} \operatorname{Eq} \square \operatorname{I}_r$$
 
$$\frac{\Delta; \Gamma \vdash s_1 \equiv s_2: \llbracket r \rrbracket A \quad \Delta, v:A; \Gamma \vdash t_1 \equiv t_2:C}{\Delta; \Gamma \vdash \operatorname{XTRT} s_1 \operatorname{AS} v:A \operatorname{IN} t_1 \equiv \operatorname{XTRT} s_2 \operatorname{AS} v:A \operatorname{IN} t_2:C_r^v} \operatorname{Eq} \square \operatorname{E}$$

Fig. 3. Axiom and inference schemes for evidence equality

$$\frac{\Delta; \Gamma, a: A \vdash B \mid s}{\Delta; \Gamma \vdash A \supset B \mid \lambda a: A.s} \supset \mathsf{I}$$
 
$$\Delta; \Gamma \vdash A \mid t \supset \mathsf{E}$$
 
$$\Delta; \Gamma \vdash B \mid (\lambda a: A.s) \cdot t$$

contracts to

$$\frac{\pi}{ \frac{\Delta; \Gamma \vdash B \mid s^a}{\Delta; \Gamma \vdash B \mid (\lambda a : A : A) \cdot t : B}} \frac{\Delta; \Gamma, a : A \vdash B \mid s \quad \Delta; \Gamma \vdash A \mid t}{\Delta; \Gamma \vdash s^a_t \equiv (\lambda a : A . s) \cdot t : B} \text{ EqEvid}$$
 EqEvid

where  $\pi$  results from the Substitution Principle for Truth with Evidence.

• Principal contraction for  $\Box$ .

$$\frac{\Delta; \cdot \vdash A \mid s}{\Delta; \Gamma \vdash \llbracket s \rrbracket A \mid ! s} \, \Box \mathsf{I} \qquad \Delta, v : A; \Gamma \vdash C \mid t \\ \frac{\Delta; \Gamma \vdash C_s^v \mid \mathsf{XTRT} \, ! s \, \mathsf{AS} \, v : A \, \mathsf{IN} \, t}{\Delta; \Gamma \vdash C_s^v \mid \mathsf{XTRT} \, ! s \, \mathsf{AS} \, v : A \, \mathsf{IN} \, t} \, \Box \mathsf{E}$$
 contracts to 
$$\frac{\pi}{\Delta; \Gamma \vdash C_s^v \mid t_s^v} \qquad \frac{\Delta; \cdot \vdash A \mid s \quad \Delta, v : A; \Gamma \vdash C \mid t}{\Delta; \Gamma \vdash t_s^v \equiv \mathsf{XTRT} \, ! s \, \mathsf{AS} \, v : A \, \mathsf{IN} \, t : C_s^v} \, \mathsf{Eq} \Box \mathsf{Beta}$$

where  $\pi$  results from the Substitution Principle for Validity with Evidence.

- (2) Expansions for  $\mathbf{LP}_{nd}$ .
  - Principal expansion for ⊃. A derivation of the judgement

$$\Delta$$
;  $\Gamma \vdash A \supset B \mid s$ 

expands to

$$\frac{\pi}{\Delta;\Gamma\vdash A\supset B\mid \lambda a:A.(s\cdot a)}\supset \mathsf{I}\qquad \frac{\Delta;\Gamma\vdash A\supset B\mid s\quad a\notin \mathsf{fv}(s)}{\Delta;\Gamma\vdash A\supset B\mid s:A\supset B} \ \mathsf{EqEta}$$
 
$$\frac{\Delta;\Gamma\vdash A\supset B\mid s}{\Delta;\Gamma\vdash A\supset B\mid s} \ \mathsf{EqEvid}$$
 where  $\pi$  is 
$$\frac{\Delta;\Gamma,a:A\vdash A\supset B\mid s\quad \Delta;\Gamma,a:A\vdash A\mid a}{\Delta;\Gamma,a:A\vdash B\mid s\cdot a}\supset \mathsf{E}$$
 
$$\frac{\Delta;\Gamma,a:A\vdash B\mid s\cdot a}{\Delta;\Gamma,a:A\vdash A\supset B\mid \lambda a:A.(s\cdot a)}\supset \mathsf{I}$$
 and  $\Delta;\Gamma,a:A\vdash A\supset B\mid s$  is obtained from  $\Delta;\Gamma\vdash A\supset B\mid s$  by

Weakening.

• Principal expansion for □. A derivation of the judgement

$$\Delta; \Gamma \vdash \llbracket s \rrbracket A \mid t$$

expands to

$$\frac{\Delta;\Gamma \vdash \llbracket s \rrbracket A \mid t \quad \frac{\Delta,v:A; \cdot \vdash A \mid v}{\Delta,v:A;\Gamma \vdash \llbracket v \rrbracket A \mid !v} \, \Box \mathsf{I}}{\frac{\Delta;\Gamma \vdash \llbracket s \rrbracket A \mid r \quad }{\Delta;\Gamma \vdash \llbracket s \rrbracket A \mid r}} \, \frac{\Delta;\Gamma \vdash \llbracket s \rrbracket A \mid t \quad v \not \in \mathsf{fv}(t)}{\Delta;\Gamma \vdash r \equiv t: \llbracket s \rrbracket A} \, \mathsf{Eq} \Box \mathsf{Eta}}$$

where r is the proof term XTRT t AS v: A IN v. Note that  $(\llbracket v \rrbracket A)_s^v =$ 

[s]A since v may not occur in A, as discussed at the beginning of Sec. 2.

- (3) Silent Permutative Contractions for  $\mathbf{LP}_{nd}$ .
  - Silent Permutative Contractions for ⊃.

$$\frac{\Delta;\Gamma\vdash A_1\supset A_2\mid s\quad \Delta;\Gamma\vdash s\equiv t:A_1\supset A_2}{\Delta;\Gamma\vdash A_1\supset A_2\mid t} \, \text{EqEvid} \qquad \qquad \Delta;\Gamma\vdash A_1\mid r}{\Delta;\Gamma\vdash A_1\supset A_2\mid t}\supset \mathsf{E}$$
 
$$\frac{\Delta;\Gamma\vdash A_1\supset A_2\mid s\quad \Delta;\Gamma\vdash A_1\mid r}{\Delta;\Gamma\vdash A_1\supset A_2\mid s\quad \Delta;\Gamma\vdash A_1\mid r}\supset \mathsf{E} \qquad \qquad \frac{\pi}{\Delta;\Gamma\vdash s\cdot r\equiv t\cdot r:A_2} \, \mathsf{EqEvid}$$
 
$$\frac{\Delta;\Gamma\vdash A_2\mid s\cdot r}{\Delta;\Gamma\vdash A_2\mid t\cdot r} \, \mathsf{EqEvid}$$
 where  $\pi$  is 
$$\frac{\Delta;\Gamma\vdash A_2\mid t\cdot r}{\Delta;\Gamma\vdash s\equiv t:A_1\supset A_2} \, \frac{\Delta;\Gamma\vdash A_1\mid r}{\Delta;\Gamma\vdash r\equiv r:A_1} \, \mathsf{EqRefl}$$
 
$$\frac{\Delta;\Gamma\vdash s\equiv t:A_1\supset A_2}{\Delta;\Gamma\vdash s\cdot r\equiv t\cdot r:A_2} \, \mathsf{Eq}\supset \mathsf{E}$$
 Silent Permutative Contractions for  $\square$ .

• Silent Permutative Contractions for  $\square$ .

$$\frac{\Delta;\Gamma \vdash [\![s_1]\!]A \mid s_2 \quad \Delta;\Gamma \vdash s_2 \equiv r:[\![s_1]\!]A}{\Delta;\Gamma \vdash [\![s_1]\!]A \mid r} \operatorname{EqEvid} \qquad \qquad \Delta,v:A;\Gamma \vdash C \mid t \\ \Delta;\Gamma \vdash C^v_{s_1} \mid \operatorname{XTRT} r \operatorname{AS} v:A\operatorname{IN} t \\ } \Box \operatorname{E}$$

contracts to

$$\frac{\pi_1}{\Delta; \Gamma \vdash C^v_{s_1} \mid q} \, \Box \mathsf{E} \qquad \frac{\pi_2}{\Delta; \Gamma \vdash q \equiv \mathsf{X}\mathsf{TRT} \, r \, \mathsf{AS} \, v : A \, \mathsf{IN} \, t : C^v_{s_1}} \, \mathsf{Eq} \Box \mathsf{E} \\ \qquad \Delta \colon \Gamma \vdash C^v \mid \mathsf{X} \, \mathsf{TRT} \, r \, \mathsf{AS} \, v : A \, \mathsf{IN} \, t \\ \qquad \qquad \qquad \qquad \qquad \qquad \mathsf{Eq} \mathsf{Evid}$$

where q is the proof term XTRT  $s_2$  AS v:A IN t and  $\pi_1$  is

$$\frac{\Delta; \Gamma \vdash \llbracket s_1 \rrbracket A \mid s_2 \quad \Delta, v : A; \Gamma \vdash C \mid t}{\Delta; \Gamma \vdash C_{s_1}^v \mid \text{XTRT } s_2 \text{ AS } v : A \text{ in } t} \, \Box \mathsf{E}$$

and  $\pi_2$  is

$$\frac{\Delta, v: A; \Gamma \vdash C \mid t}{\Delta, v: A; \Gamma \vdash t \equiv t: C} \frac{\Delta, v: A; \Gamma \vdash C \mid t}{\Delta, v: A; \Gamma \vdash t \equiv t: C} \frac{\Delta, v: A; \Gamma \vdash t \equiv t: C}{\Delta; \Gamma \vdash \mathsf{X}\mathsf{TRT}\, s_2 \,\mathsf{AS}\, v: A \,\mathsf{IN}\, t \equiv \mathsf{X}\mathsf{TRT}\, r \,\mathsf{AS}\, v: A \,\mathsf{IN}\, t: C^v_{s_1}} \,\mathsf{Eq} \Box \mathsf{E}$$

We now address the proof of weak normalisation of contraction. Before proceeding however, we fix some terminology.

An Abstract Reduction System (ARS) is pair  $(A, \rightarrow_R)$  where A is a set and  $\rightarrow_R \subseteq A \times A$ . When  $a \rightarrow_R b$  we say a reduces in one step (or simply reduces) to b. We usually abbreviate an ARS  $(A, \to_R)$  with  $\to_R$ . We write  $\twoheadrightarrow_R$  for the reflexive and transitive closure of  $\rightarrow_R$  and  $a \rightarrow_R$  when there exists  $b \in A$  such that  $a \to_R b$ . Finally, we write |A| for the size of A (i.e. number of propositional

variables and connectives; the size of a propositional variable is 1).

**Definition 2.4 (Weak and strong normalisation)** An ARS  $\rightarrow_R$  is *strongly normalising* if there does not exist  $a_1, a_2, \ldots, a_n, \ldots$  such that

$$a_1 \rightarrow_R a_2 \rightarrow_R a_3 \rightarrow_R \dots$$

A  $\to_R$ -normal form is an element  $a \in A$  such that there does not exist  $b \in A$  such that  $a \to_R b$ . An ARS  $\to_R$  is weakly normalising if for every  $a \in A$  there exists a  $\to_R$ -normal form b such that  $a \to_R b$ .

The ARS induced by  $\mathbf{LP}_{nd}$  is  $(\Pi, \to_{\mathbf{LP}})$ , where  $\Pi$  is the set of all finite  $\mathbf{LP}_{nd}$ -derivations and  $\pi \to_{LP} \pi'$  if  $\pi'$  results from  $\pi$  by applying either a principal or a silent permutative contraction in any subderivation of  $\pi$ .

**Definition 2.5 (Segment)** A segment of length n in a derivation  $\pi$  of  $\mathbf{LP}_{nd}$  is a sequence  $\Delta_1; \Gamma_1 \vdash A_1 \mid s_1, \ldots, \Delta_n; \Gamma_n \vdash A_n \mid s_n$  of judgements in  $\pi$  where  $A_1, \ldots, A_n$  are occurrences of a "cut" formula A such that:

- (1)  $\Delta_i$ ;  $\Gamma_i \vdash A_i \mid s_i$  (with i < n) is the minor premise of an application of EqEvid and  $\Delta_{i+1}$ ;  $\Gamma_{i+1} \vdash A_{i+1} \mid s_{i+1}$  is the conclusion of this application.
- (2)  $\Delta_n$ ;  $\Gamma_n \vdash A_n \mid s_n$  is not the minor premise of an application of EqEvid.
- (3)  $\Delta_1$ ;  $\Gamma_1 \vdash A_1 \mid s_1$  is not the conclusion of an application of EqEvid.

## Definition 2.6 (Cut segment, rank, critical segment)

- A cut segment is a segment such that  $\Delta_n$ ;  $\Gamma_n \vdash A_n \mid s_n$  is the major premise of an elimination scheme  $\supset_e$  or  $\square_e$  and  $\Delta_1$ ;  $\Gamma_1 \vdash A_1 \mid s_1$  is the conclusion of an introduction scheme  $\supset_i$  or  $\square_i$ , respectively.
- The rank of a cut segment is |A|. The rank of a derivation  $\pi$  is the maximum of the ranks of the cut segments in  $\pi$ ; if there are none, then the rank is zero.
- A cut segment is *critical* in  $\pi$ , sometimes abbreviated  $\pi$ -critical segment, if its rank is that of  $\pi$ .

We now prove weak normalisation of  $(\Pi, \to_{\mathbf{LP}})$ . We shall see in Sec. 4 that in fact  $(\Pi, \to_{\mathbf{LP}})$  is strongly normalising. This shall be obtained by noting that the contraction rules of Def. 2.3 define an orthogonal non-erasing second-order rewrite system. As a consequence we may deduce that the ARS induced by  $\mathbf{LP}_{nd}$  is strongly normalising from the fact that it is weakly normalising using results from the literature on higher-order rewriting (Prop. 4.4).

**Proposition 2.3**  $(\Pi, \rightarrow_{LP})$  is weakly normalising.

*Proof.* Define the size of a derivation  $\pi$  to be the pair (n,m) where:

- n is the rank of a critical cut segment in  $\pi$  and
- m is the sum of the lengths of critical cut segments in  $\pi$ .

Select a redex operating on a critical segment in  $\pi$  that is the *rightmost* and *uppermost* in  $\pi$ . Let  $\pi'$  be the derivation resulting from contracting this redex and let (n', m') be the size of  $\pi'$ . In each case we verify that (n, m) > (n', m'):

• In the case of a principal contraction, two situations may arise (both of which lead to (n,m) > (n',m')) depending on whether the last of the cut segments whose rank is that of  $\pi$  is eliminated or not. We illustrate with the principal contraction for  $\supset$ . Suppose the selected redex is:

$$\frac{\overline{\Delta; \Gamma, a : A \vdash B \mid s}}{\Delta; \Gamma \vdash A \supset B \mid \lambda a : A.s} \supset \mathsf{I} \qquad \frac{\pi_2}{\Delta; \Gamma \vdash A \mid t} \supset \mathsf{E}$$

$$\frac{\Delta; \Gamma \vdash B \mid (\lambda a : A.s) \cdot t}{\Delta : \Gamma \vdash B \mid (\lambda a : A.s) \cdot t}$$

The selected critical segment has cut formula  $A \supset B$  and length one. Also, there are no  $\pi$ -critical segments in  $\pi_2$  (for otherwise the selected redex would not operate on a rightmost cut segment) nor in  $\pi_1$  (for otherwise the selected redex would not operate on an uppermost cut segment). This redex contracts to:

$$\frac{\pi_{3}}{\Delta;\Gamma \vdash B \mid s_{t}^{a}} \frac{\frac{\pi_{1}}{\Delta;\Gamma,a:A \vdash B \mid s} \frac{\pi_{2}}{\Delta;\Gamma \vdash A \mid t}}{\Delta;\Gamma \vdash s_{t}^{a} \equiv (\lambda a:A.s) \cdot t:B} \underbrace{\frac{\pi_{1}}{\Delta;\Gamma \vdash A \mid t}}_{\text{EqBeta}} \text{EqEvid}$$

where  $\pi_3$  results from the Substitution Principle for Truth with Evidence. Two situations are possible depending on whether there are or are not other critical segments in  $\pi$  (apart from the one which is contracted). In the former case n' = n and m' = m - 1 (note that new cut segments may have been created in  $\pi_3$  however they are all of lower rank since |A| < |A| > B and  $\pi_2$  contains no  $\pi$ -critical segments); in the latter n' < n.

• In the case of a silent permutative contraction, we have (n, m) > (n, m-1). For example, suppose the redex selected is:

or example, suppose the redex selected is: 
$$\frac{\Delta; \Gamma \vdash A_1 \supset A_2 \mid s}{\Delta; \Gamma \vdash A_1 \supset A_2 \mid t} \frac{\Delta; \Gamma \vdash A_1 \supset A_2 \mid t}{\Delta; \Gamma \vdash A_1 \mid r} = \frac{\Delta; \Gamma \vdash A_1 \supset A_2 \mid t}{\Delta; \Gamma \vdash A_2 \mid t \cdot r} \supset \mathsf{E}$$
 ote that there are no critical segments in  $\pi_1$  (for otherwise the selected is: 
$$\frac{\Delta; \Gamma \vdash A_1 \supset A_2 \mid t}{\Delta; \Gamma \vdash A_2 \mid t \cdot r} \supset \mathsf{E}$$

Note that there are no critical segments in  $\pi_1$  (for otherwise the selected redex would not operate on an uppermost cut segment) nor are there any in  $\pi_2$  (for otherwise the selected redex would not operate on a rightmost cut segment). This redex contracts to

$$\frac{\Gamma \vdash_{\mathbf{H}} \llbracket s \rrbracket \llbracket r \rrbracket A \quad \Gamma, \llbracket v \rrbracket A \vdash_{\mathbf{H}} \llbracket t \rrbracket C}{\Gamma \vdash_{\mathbf{H}} \llbracket t_r^v \rrbracket C_r^v} \qquad \frac{\Gamma \vdash_{\mathbf{H}} \llbracket s \rrbracket \llbracket r \rrbracket A \quad \Gamma, \llbracket v \rrbracket A \vdash_{\mathbf{H}} \llbracket t \rrbracket C}{\Gamma \vdash_{\mathbf{H}} \llbracket t_{d \cdot s}^v \rrbracket C_{d \cdot s}^v}$$

Fig. 4. Interpretations of  $\Box E$ 

$$\frac{\Delta; \Gamma \vdash A_1 \supset A_2 \mid s \quad \overline{\Delta; \Gamma \vdash A_1 \mid r}}{\Delta; \Gamma \vdash A_2 \mid s \cdot r} \supset \mathsf{E} \qquad \frac{\pi_3}{\Delta; \Gamma \vdash s \cdot r \equiv t \cdot r : A_2} \mathsf{Eq} \supset \mathsf{E}$$

$$\frac{\Delta; \Gamma \vdash A_2 \mid s \cdot r}{\Delta; \Gamma \vdash A_2 \mid t \cdot r} \mathsf{EqEvid}$$
here  $\pi_3$  is

where  $\pi_3$  is

$$\frac{\pi_1}{\Delta;\Gamma\vdash s\equiv t:A_1\supset A_2} \qquad \frac{\frac{\pi_2}{\Delta;\Gamma\vdash A_1\mid r}}{\Delta;\Gamma\vdash r\equiv r:A_1} \text{ EqRefl}$$
 
$$\frac{\Delta;\Gamma\vdash s\cdot r\equiv t\cdot r:A_2}{\Delta;\Gamma\vdash s\cdot r\equiv t\cdot r:A_2} \text{ Eq } \supset \mathsf{E}$$
 at the length of the critical segment whose cut for

Notice that the length of the critical segment whose cut formula is  $A_1 \supset$  $A_2$  has decreased by one.

At some point a derivation  $\pi_{nf}$  shall be attained which contains no more cut segments. However, silent permutative contractions may still be applicable to  $\pi_{nf}$ . Thus, once such a  $\pi_{nf}$  is achieved, we repeatedly apply silent permutative contractions (which are easily seen to strictly decrease the sum of the lengths of all segments). The resulting derivation shall be in  $\rightarrow_{LP}$ -normal form.

## **Provability Semantics**

Rules of  $\mathbf{LP}_{nd}$  can be interpreted as admissible rules of  $\mathbf{LP}$ , hence supplied with a natural provability semantics. Interpretation of all rules other then  $\supset I$ and  $\Box E$  are straightforward. The rule  $\supset I$  corresponds to the Abstraction Rule which is admissible in LP [Art96]. There are two LP-compliant interpretations of the rule  $\Box E$ , cf. Fig. 4 where **H** denotes derivability in the Hilbert presentation of LP of the introduction <sup>1</sup>. The left one, which we suggest calling internalized reading is developed below. The right one, which we call leveled requires that a proof constant d is specified as  $[d]([r]A \supset A)$ .

**Lemma 3.1 (Stripping)** Suppose  $\pi$  is a derivation of  $\Gamma$ ,  $\llbracket x \rrbracket A \vdash_{\mathbf{H}} B$  and  $x \notin \Gamma, A$ . Then  $\pi'$  is a derivation of  $\Gamma, A \vdash_{\mathbf{H}} B'$ , where  $\pi'$  and B' result from  $\pi$  and B, resp., by replacing all occurrences of [t]A by A for every proof term t containing x.

<sup>&</sup>lt;sup>1</sup> In which case  $\Gamma$  and A in  $\Gamma \vdash_{\mathbf{H}} A$  are in the language of this presentation.

## Minimal Propositional Logic Fragment

$$\frac{-}{\cdot;a:A\vdash A\mid a}\,\mathsf{oVar}$$
 
$$\frac{\Delta;\Gamma,[a:A]\vdash B\mid s}{\Delta;\Gamma\vdash A\supset B\mid \lambda a:A.s}\supset\mathsf{I}\qquad \frac{\Delta;\Gamma\vdash A\supset B\mid s\quad \Delta';\Gamma'\vdash A\mid t}{\Delta,\Delta';\Gamma,\Gamma'\vdash B\mid s\cdot t}\supset\mathsf{E}$$

## **Provability Fragment**

$$\frac{}{v:A;\cdot\vdash A\mid v}\,\mathsf{mVar}$$
 
$$\frac{\Delta;\cdot\vdash A\mid s}{\Delta;\vdash \llbracket s \rrbracket A\mid !s}\,\,\Box \mathsf{I}\qquad \frac{\Delta;\Gamma\vdash \llbracket r \rrbracket A\mid s\quad \Delta',[v:A];\Gamma'\vdash C\mid t}{\Delta,\Delta';\Gamma,\Gamma'\vdash C^v_r\mid \mathsf{X}\mathsf{TRT}\, s\, \mathsf{AS}\, v:A\, \mathsf{In}\, t}\,\,\Box \mathsf{E}$$

Fig. 5. Alternative formulation for  $\mathbf{LP}_{nd}^-$ 

**Lemma 3.2 (Abstraction)** If  $\llbracket \vec{u} \rrbracket \Gamma$ ,  $\llbracket x \rrbracket A \vdash_{\mathbf{H}} \llbracket s(\vec{u}, x) \rrbracket B$  and  $x \notin \Gamma, A, B$ , then there exists  $t(\vec{u})$  s.t.  $\llbracket \vec{u} \rrbracket \Gamma \vdash_{\mathbf{H}} \llbracket t(\vec{u}) \rrbracket (A \supset B)$ 

*Proof.* Note that w.l.o.g. we may assume that  $x \in s(\vec{u}, x)$ . Indeed, if this were not the case, then we could add it as follows:

- (a)  $\llbracket \vec{u} \rrbracket \Gamma, \llbracket x \rrbracket A \vdash_{\mathbf{H}} \llbracket c \rrbracket (B \supset A \supset B)$  (**R2**)
- (b)  $\llbracket \vec{u} \rrbracket \Gamma$ ,  $\llbracket x \rrbracket A \vdash_{\mathbf{H}} \llbracket s(\vec{u}, x) \rrbracket B$  (Hypothesis)
- $\text{(c)} \quad \llbracket \vec{u} \rrbracket \Gamma, \llbracket x \rrbracket A \vdash_{\mathbf{H}} \llbracket c \cdot s(\vec{u}, x) \rrbracket (A \supset B) \quad (\mathbf{R1}, (\mathbf{a}), (\mathbf{b}))$
- (d)  $[\![\vec{u}]\!]\Gamma, [\![x]\!]A \vdash_{\mathbf{H}} [\![x]\!]A$
- (e)  $\|\vec{u}\|\Gamma$ ,  $\|x\|A \vdash_{\mathbf{H}} \|c \cdot s(\vec{u}, x) \cdot x\|B$  (**R2**,(c),(d))

We reason as follows:

$$[\![\vec{u}]\!]\Gamma, [\![x]\!]A \vdash_{\mathbf{H}} [\![s(\vec{u}, x)]\!]B \quad \text{(Hypothesis)}$$

$$[\![\vec{u}]\!]\Gamma, A \vdash_{\mathbf{H}} B \qquad \qquad \text{(Stripping and } x \in s(\vec{u}, x)\text{)}$$

$$[\![\vec{u}]\!]\Gamma \vdash_{\mathbf{H}} A \supset B \qquad \qquad \text{(Deduction for } \mathbf{LP}\text{)}$$

$$[\![\vec{u}]\!]\Gamma \vdash_{\mathbf{H}} [\![t(\vec{u})]\!]B \qquad \qquad \text{(Internalization for } \mathbf{LP}\text{)}$$

Consider  $\mathbf{LP}_{nd}^{-}$  of Figure 5. The square brackets in "[a:A]" and "[v:A]" indicate that there may be at most one occurrence of these assumptions. The set of hypothesis is restricted to the free variables of evidence terms.

**Lemma 3.3** If  $\vec{u}: \Delta; \vec{a}: \Gamma \vdash A \mid s \text{ in } \mathbf{LP}_{nd}^{--}$ , then  $\mathsf{fv}(s) = \{\vec{u}, \vec{a}\}$ .

Note, however, that weakening is derivable in  $\mathbf{LP}_{nd}^{--}$  (this fact is not required for our proof of soundness though) at the cost of slightly modifying evidence terms <sup>2</sup>.

**Lemma 3.4 (Weakening for LP** $_{nd}^{--}$ ) Suppose  $\Delta$ ;  $\Gamma \vdash A \mid s$  is derivable in  $\mathbf{LP}_{nd}^{--}$ . Then so are both of the following judgements:

- (1)  $\Delta$ ;  $\Gamma$ , b :  $B \vdash A \mid K_{A,B} \cdot s \cdot b$ .
- (2)  $\Delta, v : B; \Gamma \vdash A \mid K_{A,B} \cdot s \cdot v$ .

*Proof.* Both items are similar.

$$\frac{\Delta;\Gamma \vdash A \mid s \quad :, \cdot \vdash A \supset B \supset A \mid K_{A,B}}{\Delta;\Gamma \vdash B \supset A \mid K_{A,B} \cdot s} \quad :; b:B \vdash B \mid b}{\Delta;\Gamma,b:B \vdash A \mid K_{A,B} \cdot s \cdot b}$$

where  $K_{A,B}$  is  $\lambda a : A.\lambda b : B.a$ 

$$\frac{\Delta; \Gamma \vdash A \mid s \quad :, \cdot \vdash A \supset B \supset A \mid K_{A,B}}{\Delta; \Gamma \vdash B \supset A \mid K_{A,B} \cdot s} \quad v : B; \cdot \vdash B \mid v}{\Delta, v : B; \Gamma \vdash A \mid K_{A,B} \cdot s \cdot v}$$

The alternative system  $\mathbf{LP}_{nd}^{--}$  is equivalent to  $\mathbf{LP}_{nd}^{-}$ .

**Lemma 3.5** (1) If  $\Delta; \Gamma \vdash A \mid s$  is derivable in  $\mathbf{LP}_{nd}^{--}$ , then  $\Delta; \Gamma \vdash A \mid s$  is derivable in  $\mathbf{LP}_{nd}^{-}$ .

(2) If  $\Delta$ ;  $\Gamma \vdash A \mid s$  is derivable in  $\mathbf{LP}_{nd}^-$ , then  $\Delta'$ ;  $\Gamma' \vdash A \mid s$  is derivable in  $\mathbf{LP}_{nd}^{--}$ , where  $\Delta'$ ,  $\Gamma'$  is the restriction  $\Delta$ ,  $\Gamma$  to the free variables of s.

*Proof.* The first item follows from the fact that weakening (of both modal and intuitionistic hypothesis) is derivable in  $\mathbf{LP}_{nd}^-$ . The second item is proved by induction on the derivation of  $\Delta$ ;  $\Gamma \vdash A \mid s$ .

**Definition 3.1** For propositions A and proof terms s not containing abstractions nor extractions we define, by mutual recursion:

<sup>&</sup>lt;sup>2</sup> Weakening in any part of the context also holds.

$$P^* = P \qquad x^* = x$$
$$(A \supset B)^* = A^* \supset B^* \qquad (s \cdot t)^* = s^* \cdot t^*$$
$$(\llbracket s \rrbracket A)^* = s^* : A^* \qquad (!s)^* = !s^*$$

For contexts  $\Delta$ ,  $\Gamma$  not containing abstractions nor extractions we define:

We now extend the translation to canonical  $\mathbf{LP}_{nd}^{--}$ -derivations: A derivation  $\pi$  in  $\mathbf{LP}_{nd}^{--}$  of  $\Delta$ ;  $\Gamma \vdash A \mid s$  is said to be canonical if  $\Delta$ ,  $\Gamma$  do not contain abstractions nor extractions in proof terms.

The following definition shows how to translate such canonical derivations in  $\mathbf{LP}_{nd}^{--}$  to judgements in  $\mathbf{LP}$ .

Definition 3.2 (From LP $_{nd}^{--}$  derivations to LP judgements) If  $\pi$  is a canonical LP $_{nd}^{--}$ -derivation, then we define the LP judgement  $\pi^*$  by induction on the derivation:

$$\begin{split} \left(\overline{\frac{\cdot;a:A\vdash A\mid a}} \text{ oVar}\right)^{\star} &= a:A^{\star}\vdash_{\mathbf{H}} \llbracket a \rrbracket A^{\star} \\ \\ \left(\overline{\frac{\Delta;\Gamma,[a:A]\vdash B\mid s}{\Delta;\Gamma\vdash A\supset B\mid \lambda a:A.s}}\supset \mathsf{I}\right)^{\star} &= \Delta^{\star},\Gamma^{\star}\vdash_{\mathbf{H}} \llbracket t_{\lambda a:A.s} \rrbracket (A^{\star}\supset B^{\star}) \end{split}$$

where  $t_{\lambda a:A.s}$  is obtained from  $\Delta^{\star}$ ,  $\Gamma^{\star}$ ,  $[\llbracket a \rrbracket A^{\star}] \vdash_{\mathbf{H}} \llbracket s^{\star} \rrbracket B^{\star}$  by the Abstraction Lemma (3.2)

$$\left(\frac{\Delta;\Gamma\vdash A\supset B\mid s\quad \Delta';\Gamma'\vdash A\mid t}{\Delta,\Delta';\Gamma,\Gamma'\vdash B\mid s\cdot t}\supset\mathsf{E}\right)^{\star}=\Delta^{\star},\Delta'^{\star},\Gamma^{\star},\Gamma'^{\star}\vdash_{\mathbf{H}}[\![s^{\star}\cdot t^{\star}]\!]B^{\star}$$

where  $s^*$  and  $t^*$  are obtained from  $\Delta^*$ ,  $\Gamma^* \vdash_{\mathbf{H}} \llbracket s^* \rrbracket (A \supset B)^*$  and  $\Delta^*$ ,  $\Gamma^* \vdash_{\mathbf{H}} \llbracket t^* \rrbracket B^*$ , resp.

$$\left(\overline{v:A;\cdot\vdash A\mid v}^{\mathsf{mVar}}\right)^{\star} = \llbracket v \rrbracket A^{\star} \vdash_{\mathbf{H}} \llbracket v \rrbracket A^{\star}$$

$$\left(\frac{\Delta; \cdot \vdash A \mid s}{\Delta; \vdash \llbracket s \rrbracket A \mid ! s} \, \Box \mathsf{I} \right)^\star = \Delta^\star \vdash_{\mathbf{H}} ! \llbracket s^\star \rrbracket \! \llbracket s^\star \rrbracket \! A^\star$$

where  $s^*$  is obtained from  $\Delta^* \vdash_{\mathbf{H}} \llbracket s^* \rrbracket A^*$ 

$$\left( \frac{\Delta; \Gamma \vdash \llbracket r \rrbracket A \mid s \quad \Delta', [v:A]; \Gamma' \vdash C \mid t}{\Delta, \Delta'; \Gamma, \Gamma' \vdash C_r^v \mid \mathsf{XTRT} \, s \, \mathsf{AS} \, v : A \, \mathsf{IN} \, t} \, \Box \mathsf{E} \right)^\star = \Delta^\star, \Delta'^\star, \Gamma^\star, \Gamma'^\star \vdash_{\mathbf{H}} \llbracket t^{\star v}_{\ r^\star} \rrbracket C^{\star v}_{\ r^\star}$$
 where  $t^\star, r^\star, C^\star$  are obtained from  $\Delta^\star, \Gamma^\star \vdash_{\mathbf{H}} \llbracket s^\star \rrbracket \llbracket r^\star \rrbracket A^\star$  and 
$$\Delta'^\star, [v:A^\star], \Gamma'^\star \vdash_{\mathbf{H}} \llbracket t^\star \rrbracket C^\star$$

Note that this definition is not proper (yet) given that the second clause appeals to the Abstraction Lemma without knowledge as to whether the judgement  $\Delta^*$ ,  $\Gamma^*$ ,  $[\llbracket a \rrbracket A^*] \vdash_{\mathbf{H}} \llbracket s^* \rrbracket B^*$  is derivable or not in  $\mathbf{LP}$ . We thus complete it by showing that  $\pi^*$  is a derivable judgement in  $\mathbf{LP}$ .

**Lemma 3.6 (Substitution)**  $\Gamma \vdash_{\mathbf{H}} \llbracket s \rrbracket A \text{ and } \Gamma, \llbracket x \rrbracket A \vdash_{\mathbf{H}} B \text{ and } x \notin \Gamma \text{ implies } \Gamma \vdash_{\mathbf{H}} B_s^x.$ 

**Theorem 3.7** Suppose  $\pi$  is a canonical derivation of  $\Delta$ ;  $\Gamma \vdash A \mid s$  in  $\mathbf{LP}_{nd}^{--}$ . Then the  $\mathbf{LP}$  judgement  $\pi^* = \Delta^*, \Gamma^* \vdash_{\mathbf{H}} \llbracket s^* \rrbracket A^*$  is derivable in  $\mathbf{LP}$ .

*Proof.* Induction on  $\pi$ . We omit the base cases which are straightforward.

(1) Case  $\supset$  I. The derivation ends in

$$\frac{\Delta; \Gamma, a : A \vdash B \mid s}{\Delta; \Gamma \vdash A \supset B \mid \lambda a : A.s}$$

By definition of the translation,  $\pi^*$  is  $\Delta^*$ ,  $\Gamma^* \vdash_{\mathbf{H}} \llbracket t_{\lambda a:A.s} \rrbracket (A^* \supset B^*)$ . Given that, by the I.H., the judgement  $\Delta^*, \Gamma^*, [a]A^* \vdash_{\mathbf{H}} [s^*]B^*$  is derivable in LP, and that  $\pi^*$  is obtained by resorting to the Abstraction Lemma (Lemma 3.2), then  $\Delta^{\star}$ ,  $\Gamma^{\star} \vdash_{\mathbf{H}} \llbracket t_{\lambda a:A.s} \rrbracket (A^{\star} \supset B^{\star})$  is derivable in **LP** too.

(2) Case  $\supset$  E. The derivation ends in

$$\frac{\Delta; \Gamma \vdash A \supset B \mid s \quad \Delta'; \Gamma' \vdash A \mid t}{\Delta, \Delta'; \Gamma, \Gamma' \vdash B \mid s \cdot t}$$

By the I.H. both of the following judgements are derivable in **LP**:

- (a)  $\Delta^{\star}$ ,  $\Gamma^{\star} \vdash_{\mathbf{H}} \llbracket s^{\star} \rrbracket (A^{\star} \supset B^{\star})$  and
- (b)  $\Delta'^{\star}, \Gamma'^{\star} \vdash_{\mathbf{H}} \llbracket t^{\star} \rrbracket A^{\star}$

From these we derive  $\pi^*$ , namely  $\Delta^*$ ,  $\Delta'^*$ ,  $\Gamma^*$ ,  $\Gamma'^* \vdash_{\mathbf{H}} [s^* \cdot t^*]B$ , too in **LP**.

(3) Case  $\square$ I. The derivation ends in

$$\frac{\Delta; \cdot \vdash A \mid s}{\Delta; \Gamma \vdash \llbracket s \rrbracket A \mid !s}$$

For  $\pi^*$  we reason as follows:

(a) 
$$\Delta^{\star} \vdash_{\mathbf{H}} \llbracket s^{\star} \rrbracket A^{\star}$$
 (I.H.)

(b) 
$$\Delta^{\star} \vdash_{\mathbf{H}} \llbracket s^{\star} \rrbracket A^{\star} \supset \llbracket ! s^{\star} \rrbracket \llbracket s^{\star} \rrbracket A^{\star} \quad (\mathbf{A3})$$

(c) 
$$\Delta^{\star} \vdash_{\mathbf{H}} \llbracket !s^{\star} \rrbracket \llbracket s^{\star} \rrbracket A^{\star}$$
 (**R2**,(a),(b))

(4) Case  $\Box E$ . The derivation ends in

$$\frac{\Delta; \Gamma \vdash \llbracket r \rrbracket A \mid s \quad \Delta', v : A; \Gamma' \vdash C \mid t}{\Delta, \Delta'; \Gamma, \Gamma' \vdash C_r^v \mid \text{XTRT } s \text{ AS } v : A \text{ IN } t}$$

By the I.H. both of the following judgements are derivable in **LP**:

- (a)  $\Delta^{\star}, \Gamma^{\star} \vdash_{\mathbf{H}} \llbracket s^{\star} \rrbracket \llbracket r^{\star} \rrbracket A^{\star}$  and
- (b)  $\Delta'^{\star}$ ,  $\llbracket v \rrbracket A^{\star}$ ,  $\Gamma'^{\star} \vdash_{\mathbf{H}} \llbracket t^{\star} \rrbracket C^{\star}$

We now reason as follows to derive  $\pi^*$ :

(1)  $\Delta^{\star}, \Gamma^{\star} \vdash_{\mathbf{H}} \llbracket r^{\star} \rrbracket A^{\star}$ 

- ((a) and reflexivity)
- (2)  $\Delta^{\star}, \Delta'^{\star}, \Gamma^{\star}, \Gamma'^{\star} \vdash_{\mathbf{H}} \llbracket r^{\star} \rrbracket A^{\star}$  ((1), weakening)
- (3)  $\Delta^{\star}, \Delta'^{\star}, \llbracket v \rrbracket A^{\star}, \Gamma^{\star}, \Gamma'^{\star} \vdash_{\mathbf{H}} \llbracket t^{\star} \rrbracket C^{\star}$  ((b), weakening)
- (4)  $\Delta^{\star}, \Delta'^{\star}, \Gamma^{\star}, \Gamma'^{\star} \vdash_{\mathbf{H}} \llbracket t^{\star v}_{r^{\star}} \rrbracket C^{\star v}_{r^{\star}}$
- (Subst.(Lemma 3.6),(2),(3))

#### The Intensional Lambda Calculus

This section introduces the intensional lambda calculus and studies confluence and strong normalisation. We begin by defining the set of raw terms of  $\lambda^{\mathbf{l}}$ :

```
Proper Terms M ::= x \mid M \cdot M \mid \lambda a : A.M \mid !M \mid \text{XTRT } M \text{ as } v : A \text{ in } M \mid e \blacktriangleright M Reduction Evidence e ::= \beta([a : A]M, N) \mid \beta_{\square}([v : A]M, N) \mid \eta(M) \mid \eta_{\square}(M) \mid \text{Refl}(M) \mid \text{Sym}(e) \mid e; e \mid \text{Abs}([a : A]e) \mid \text{App}(e, e) \mid \text{BoxL}(e) \mid \text{BoxR}(e) \mid \text{XTRT}(e, [v : A]e)
```

A raw term of the form  $M \cdot N$  is an application,  $\lambda a : A.M$  is an abstraction, !M is a bang term, XTRT M as v : A in N is an extraction and  $e \triangleright M$  is a registered term. Reduction evidence  $\beta([a : A]M, N)$  is used to register that a principal  $\supset$  contraction was applied together with the actual parameters ( $\lambda a : A.M$  and N) and  $\beta_{\square}([v : A]M, N)$  is for principal  $\square$  contractions. Likewise, reduction evidence  $\eta(M)$  (resp.  $\eta_{\square}(M)$ ) registers that a  $\supset$  (resp.  $\square$ ) expansion was applied. The remaining reduction evidence terms are for the congruence inference schemes of evidence equality.

Let P range over an enumerable set of type variables. The set of raw types is the set of propositions of  $\mathbf{LP}_{nd}$ . In  $\lambda^{\mathbf{I}}$  proper terms are assigned pointed types  $\langle A, s \rangle$  and reduction evidence is assigned equality types  $s \equiv t : A$ . Since the typing schemes follow the axiom and inference schemes of  $\mathbf{LP}_{nd}$ , there are two typing judgements:

- (1)  $\Delta$ ;  $\Gamma \vdash M \rhd \langle A, s \rangle$ , read: "Proper term M has pointed type  $\langle A, s \rangle$  under type assumptions  $\Delta$  and  $\Gamma$ " and
- (2)  $\Delta$ ;  $\Gamma \vdash e \rhd s \equiv t : A$ , read: "Reduction evidence e has equality type  $s \equiv t : A$  under type assumptions  $\Delta$  and  $\Gamma$ ".

A proper term M is typable if there exist type assumptions  $\Delta$  and  $\Gamma$  and a pointed type  $\langle A, s \rangle$  such that  $\Delta; \Gamma \vdash M \rhd \langle A, s \rangle$  is derivable using the typing schemes presented in Fig. 6. Typability of reduction evidence  $(\Delta; \Gamma \vdash e \rhd s \equiv t : A)$  is defined in Fig. 7. A  $\lambda^{\mathbf{I}}$ -term is a raw term that is typable.

The *contractions* defining normalisation on derivations of  $\mathbf{LP}_{nd}$  induce a corresponding *reduction* relation on the  $\lambda^{\mathbf{I}}$ -terms that encode the derivations.

**Definition 4.1** ( $\lambda^{\text{I}}$ -reduction) The  $\lambda^{\text{I}}$ -reduction relation ( $\rightarrow$ ) is obtained by taking the contextual closure of the *reduction axioms*:

## Minimal Propositional Logic Fragment

$$\frac{}{\Delta;\Gamma,a:A,\Gamma'\vdash a\rhd\langle A,a\rangle}\operatorname{oVar}$$

$$\frac{\Delta; \Gamma, a: A \vdash M \rhd \langle B, s \rangle}{\Delta; \Gamma \vdash \lambda a: A.M \rhd \langle A \supset B, \lambda a: A.s \rangle} \supset \mathsf{I} \qquad \frac{\Delta; \Gamma \vdash M \rhd \langle A \supset B, s \rangle \quad \Delta; \Gamma \vdash N \rhd \langle A, t \rangle}{\Delta; \Gamma \vdash M \cdot N \rhd \langle B, s \cdot t \rangle} \supset \mathsf{E}$$

## **Provability Fragment**

$$\frac{\Delta; \Gamma \vdash M \rhd \langle A, s \rangle}{\Delta; \Gamma \vdash v \rhd \langle A, v \rangle} \, \mathsf{mVar} \qquad \frac{\Delta; \Gamma \vdash M \rhd \langle A, s \rangle}{\Delta; \Gamma \vdash !M \rhd \langle \llbracket s \rrbracket A, !s \rangle} \, \Box \mathsf{I}$$
 
$$\frac{\Delta; \Gamma \vdash M \rhd \langle \llbracket s \rrbracket A, s' \rangle \quad \Delta, v : A; \Gamma \vdash N \rhd \langle C, t \rangle}{\Delta; \Gamma \vdash \mathsf{XTRT} \, M \, \mathsf{AS} \, v : A \, \mathsf{IN} \, N \rhd \langle C_s^v, \mathsf{XTRT} \, s' \, \mathsf{AS} \, v : A \, \mathsf{IN} \, t \rangle} \, \Box \mathsf{E}$$
 
$$\frac{\Delta; \Gamma \vdash M \rhd \langle A, s \rangle \quad \Delta; \Gamma \vdash e \rhd s \equiv t : A}{\Delta; \Gamma \vdash e \rhd M \rhd \langle A, t \rangle} \, \mathsf{EqEvid}$$

Fig. 6. Typing schemes for proper terms

$$(\lambda a:A.M) \cdot N \qquad \to_{\beta} \qquad \beta([a:A]M,N) \blacktriangleright M_{N}^{a}$$

$$\operatorname{XTRT} ! N \operatorname{As} v: A \operatorname{IN} M \qquad \to_{\beta_{\square}} \qquad \beta_{\square}([v:A]M,N) \blacktriangleright M_{N}^{v}$$

$$M \rhd A \supset B \qquad \to_{\eta} \qquad \eta(M) \blacktriangleright \lambda a: A.M \cdot a$$

$$M \rhd \llbracket s \rrbracket A \qquad \to_{\eta_{\square}} \qquad \eta_{\square}(M) \blacktriangleright \operatorname{XTRT} M \operatorname{As} v: A \operatorname{IN} ! v$$

$$(e \blacktriangleright M) \cdot N \qquad \to_{L} \qquad \operatorname{App}(e, \operatorname{Refl}(N)) \blacktriangleright M \cdot N$$

$$\operatorname{XTRT} e \blacktriangleright N \operatorname{As} v: A \operatorname{IN} M \rightarrow_{\blacktriangleright xtr} \operatorname{XTRT}(e, [v:A] \operatorname{Refl}(M)) \blacktriangleright \operatorname{XTRT} N \operatorname{As} v: A \operatorname{IN} M$$

Note that, just as proof terms are internalised as part of the process of proving a formula in  $\mathbf{LP}$ , so the process of reducing a  $\lambda^{\mathbf{I}}$ -term internalises evidence of reduction. Indeed, an application of the  $\beta$  reduction rule results in a  $\lambda^{\mathbf{I}}$ -term that incorporates a witness to the fact that such a reduction step was applied. This reduction evidence provides *intensional* information on *how* the result was computed.

Consider the term from the ordinary typed lambda calculus  $I \cdot (I \cdot b)$  (which is also a term in  $\lambda^{\mathbf{I}}$ ) where I abbreviates  $\lambda a : A.a.$  In the typed lambda calculus

## **Axiom Schemes for Evidence Equality**

$$\frac{\Delta; \Gamma, a: A \vdash M \rhd \langle B, s \rangle \quad \Delta; \Gamma \vdash N \rhd \langle A, t \rangle}{\Delta; \Gamma \vdash \beta([a:A]M, N) \rhd s^a_t \equiv (\lambda a: A.s) \cdot t: B} \operatorname{EqBeta}$$
 
$$\frac{\Delta; \Gamma \vdash N \rhd \langle A, s \rangle \quad \Delta, v: A; \Gamma \vdash M \rhd \langle C, t \rangle}{\Delta; \Gamma \vdash \beta_{\square}([v:A]M, N) \rhd t^v_s \equiv \operatorname{XTRT} ! s \operatorname{As} v: A \operatorname{In} t: C^v_s} \operatorname{Eq\square Beta}$$
 
$$\frac{\Delta; \Gamma \vdash M \rhd \langle A \supset B, s \rangle \quad a \notin \operatorname{fv}(s)}{\Delta; \Gamma \vdash \eta(M) \rhd \lambda a: A.(s \cdot a) \equiv s: A \supset B} \operatorname{EqEta}$$
 
$$\frac{\Delta; \Gamma \vdash M \rhd \langle [s]A, t \rangle \quad u \notin \operatorname{fv}(t)}{\Delta; \Gamma \vdash \eta_{\square}(M) \rhd \operatorname{XTRT} t \operatorname{As} u: A \operatorname{In} ! u \equiv t: [s]A} \operatorname{Eq\square Eta}$$

## Inference Schemes For Equivalence

$$\frac{\Delta;\Gamma \vdash M \rhd \langle A,s \rangle}{\Delta;\Gamma \vdash \mathrm{REFL}(M) \rhd s \equiv s:A} \ \mathsf{EqRefl}$$

$$\frac{\Delta; \Gamma \vdash e \rhd s \equiv t : A}{\Delta; \Gamma \vdash \operatorname{SYM}(e) \rhd t \equiv s : A} \operatorname{EqSymm} \qquad \frac{\Delta; \Gamma \vdash d \rhd s_1 \equiv s_2 : A \quad \Delta; \Gamma \vdash e \rhd s_2 \equiv s_3 : A}{\Delta; \Gamma \vdash d \rhd s_1 \equiv s_3 : A} \operatorname{EqTrans}$$

## Inference Schemes For Congruence

$$\begin{split} \frac{\Delta; \Gamma, a : A \vdash e \rhd s \equiv t : B}{\Delta; \Gamma \vdash \operatorname{Abs}([a : A]e) \rhd \lambda a : A.s \equiv \lambda a : A.t : A \supset B} & \operatorname{Eq} \supset \mathsf{I} \\ \frac{\Delta; \Gamma \vdash d \rhd s_1 \equiv s_2 : A \supset B \quad \Delta; \Gamma \vdash e \rhd t_1 \equiv t_2 : A}{\Delta; \Gamma \vdash \operatorname{App}(d, e) \rhd s_1 \cdot t_1 \equiv s_2 \cdot t_2 : B} & \operatorname{Eq} \supset \mathsf{E} \\ \frac{\Delta; \Gamma \vdash e \rhd s \equiv t : A}{\Delta; \Gamma \vdash \operatorname{BoxL}(e) \rhd ! s \equiv ! t : \llbracket s \rrbracket A} & \operatorname{Eq} \Box \mathsf{I}_l & \frac{\Delta; \cdot \vdash e \rhd s \equiv t : A}{\Delta; \Gamma \vdash \operatorname{BoxR}(e) \rhd ! s \equiv ! t : \llbracket t \rrbracket A} & \operatorname{Eq} \Box \mathsf{I}_r \end{split}$$

$$\frac{\Delta; \Gamma \vdash d \rhd s_1 \equiv s_2 : \llbracket r \rrbracket A \quad \Delta, v : A; \Gamma \vdash e \rhd t_1 \equiv t_2 : C}{\Delta; \Gamma \vdash \mathsf{XTRT}(d, [v : A]e) \rhd \mathsf{XTRT}\, s_1 \, \mathsf{AS}\, v : A \, \mathsf{IN}\, t_1 \equiv \mathsf{XTRT}\, s_2 \, \mathsf{AS}\, v : A \, \mathsf{IN}\, t_2 : C^v_r} \, \mathsf{Eq} \Box \mathsf{E}$$

Fig. 7. Typing schemes for reduction evidence

it reduces in two different ways to  $I \cdot b$  (we underline the contracted redex):

1. 
$$I \cdot (\underline{I \cdot b}) \to I \cdot b$$
 2.  $I \cdot (I \cdot b) \to I \cdot b$ 

The fact that both these reductions reach the same term is known as a "syntactic coincidence" [HL91] in the rewriting/lambda calculus community. Although the same term is reached they are computed in rather different ways in the sense that unrelated redexes are contracted. Note, however, that in  $\lambda^{\rm I}$  these two derivations now end in different terms:

(1) 
$$I \cdot (I \cdot b) \to I \cdot (\beta([a:A]a,b) \triangleright b)$$
  
(2)  $I \cdot (I \cdot b) \to \beta([a:A]a,(I \cdot b)) \triangleright I \cdot b$ 

Since reduction is obtained as a straightforward mapping of contraction of derivations, the following type-soundness result holds.

**Lemma 4.1 (Subject Reduction)** If  $M \to_{\lambda^{\mathbf{I}}} N$  and  $\Delta; \Gamma \vdash M \rhd \langle A, s \rangle$ , then  $\Delta; \Gamma \vdash N \rhd \langle A, s \rangle$ .

# 4.1 Confluence and Strong Normalisation for $\lambda^{\mathbf{I}}$

Higher-order term rewrite systems (HORS) [Klo80,Nip91,TER03] extend firstorder term rewrite systems by allowing terms with binders. The  $\lambda$ -calculus is the prototypical example of a HORS.  $\lambda^{\rm I}$  can also be presented as a HORS we'll present it as an HRS [Nip91]. In HRS the simply typed lambda calculus  $(\lambda^{\rightarrow})$  is used as a meta-language for writing the left and right-hand side of rewrite rules. An HRS is specified by a pair consisting of a signature and a set of rewrite rules over that signature. A signature is a non-empty set of function symbols, where each function symbol has a unique type. Types are drawn from the set of types of the simply typed lambda calculus. The simply typed lambda calculus is used for representing the objects that are subject to transformation (or rewriting) by means of the rewrite rules. Boldface is used for constants,  $x, y, \ldots$  for variables, x.M for abstraction and M(N) for application. These objects are the terms of  $\lambda^{\rightarrow}$  that are in  $\beta\eta$ -long normal form (we shall thus use applicative style notation when writing them). As an example, suppose we wish to model the untyped lambda calculus. For that we define the signature:

$$\mathbf{abs}: (\mathbf{term} \to \mathbf{term}) \to \mathbf{term}$$
$$\mathbf{app}: \mathbf{term} \to \mathbf{term} \to \mathbf{term}$$

where **term** is a base type that represents the set of untyped lambda calculus terms. The objects that are to be rewritten are the  $\lambda^{\rightarrow}$ -terms (in  $\beta\eta$ -

```
\begin{array}{lll} abs: (pterm \rightarrow pterm) \rightarrow pterm & reflE: pterm \rightarrow redEvid \\ app: pterm \rightarrow pterm \rightarrow pterm & appE: redEvid \rightarrow redEvid \rightarrow redEvid \\ bang: pterm \rightarrow pterm & xtrtE: redEvid \rightarrow (pterm \rightarrow redEvid) \rightarrow redEvid \\ xtrt: pterm \rightarrow (pterm \rightarrow pterm) \rightarrow pterm & betaE: (pterm \rightarrow pterm) \rightarrow pterm \rightarrow redEvid \\ evid: redEvid \rightarrow pterm \rightarrow pterm & betaBoxE: (pterm \rightarrow pterm) \rightarrow pterm \rightarrow redEvid \\ \end{array}
```

Fig. 8. Signature for  $\lambda^{\mathbf{I}}$  as an HRS

long normal form) formed from these constants and the abstraction and application of  $\lambda^{\rightarrow}$ . For example, the untyped lambda term  $(\lambda x.x)y$  becomes  $\operatorname{app}(\operatorname{abs}(x.x),y)$ , where the dot notation is used for the abstraction operation of  $\lambda^{\rightarrow}$ . A rewrite rule is a pair of terms  $(f(\vec{M}),N)$  of the same base type such that all the free variables of N are in  $f(\vec{M})$  and  $f(\vec{M})$  is a pattern (every free variable x occurs in a subterm of the form  $x(P_1,\ldots P_n)$  with  $P_1,\ldots,P_n$   $\eta$ -equivalent to different bound variables). As an example, the  $\beta$  rewrite rule of the untyped lambda calculus is as follows:

$$app(abs(x.z(x)), z') \rightarrow z(z')$$

We may now state the rewrite relation: a term M rewrites to N, written  $M \to N$ , if there is a rewrite rule (l, r), a substitution  $\sigma$  and a context C such that  $M = C[l^{\sigma}]$  and  $N = C[r^{\sigma}]$ . Note that  $l^{\sigma}$  replaces all free variables with their associated values and then finds the  $\beta$ -normal form of the resulting term.

We are now in condition of presenting the rewrite rules for  $\lambda^{\mathbf{I}}$  presented as an HRS<sup>3</sup>. The set of base types is **pterm** (for proper terms) and **redEvid** (for reduction evidence). The signature is given in Fig. 8. The rewrite rules for  $\lambda^{\mathbf{I}}$  are:

```
\begin{split} & \mathbf{app}(\mathbf{abs}(x.z(x)),y) & \to_{\beta} & \mathbf{evid}(\mathbf{betaE}(x.z(x),y),z(y)) \\ & \mathbf{xtrt}(\mathbf{bang}(y),x.z(x)) & \to_{\beta_{\square}} & \mathbf{evid}(\mathbf{betaBoxE}(x.z(x),y),z(y)) \\ & \mathbf{app}(\mathbf{evid}(x,y),z) & \to_{\blacktriangleright L} & \mathbf{evid}(\mathbf{appE}(x,\mathbf{reflE}(z)),\mathbf{app}(y,z) \\ & \mathbf{xtrt}(\mathbf{evid}(w,y),x.z(x)) & \to_{\blacktriangleright xtr} & \mathbf{evid}(\mathbf{xtrtE}(w,x.\mathbf{reflE}(z(x))),\mathbf{xtrt}(y,x.z(x))) \end{split}
```

The interest in HOR is that general results on combinatorial properties of rewriting can be established. Two such results are of use to us. The first states that orthogonal, pattern HRS are confluent. Orthogonal means that rewrite steps are independent: If two redexes in a term may be reduced, the reduction of one of them does not "interfere" with the other one except possibly by duplicating or erasing it. Pattern means that in the left-hand sides of rewrite rules free variables can only be applied to distinct bound variables (modulo  $\eta$ -equivalence). This guarantees that higher-order pattern matching behaves similar to the first-order case: unification of higher-order patterns is decidable

 $<sup>^3</sup>$  We omit the expansion rules in the sequel of this section.

and most general unifiers can be computed. We write PRS for pattern HRS.

Proposition 4.2 ([Nip91]) Orthogonal PRS are confluent.

The  $\lambda^{\mathbf{I}}$ -calculus is easily seen to be an orthogonal PRS: it is left-linear and non-overlapping. We may thus immediately conclude, from Prop. 4.2, that it is confluent.

## **Proposition 4.3** $\lambda^{\mathbf{I}}$ is confluent.

The other interesting property is that of uniform normalisation. First we introduce some terminology. A rewrite step  $M \to N$  is perpetual if whenever M has an infinite reduction, N has one too. A rewrite system is uniformly normalising if all its steps are perpetual. An example is the  $\lambda I$ -calculus [CR36] which is the standard  $\lambda$ -calculus in which the set of terms is restricted to those M such that  $\lambda x.N \subseteq M$  implies  $x \in \mathsf{fv}(N)$ . The proof of this fact for  $\lambda I$  relies on two properties: (1) all reduction steps are non-erasing and (2) it is orthogonal. It turns out that this result can be extended to arbitrary higher-order rewrite systems.

**Proposition 4.4 ([KOvO01])** Non-erasing, orthogonal and fully-extended <sup>4</sup> second-order <sup>5</sup> PRS are uniformly normalising.

A close look at the HRS presentation of  $\lambda^{\mathbf{I}}$  reveals that it is in fact a non-erasing, fully-extended, second-order PRS. Furthermore, we have already mentioned that it is orthogonal. As a consequence, we conclude the following from Prop. 4.4.

# **Proposition 4.5** $\lambda^{\mathbf{I}}$ is uniformly normalising.

The interesting thing about uniformly normalisable rewrite systems is that weak normalisation is equivalent to strong normalisation. Therefore, since we have proved that  $\lambda^{\mathbf{I}}$  is weakly normalising, we conclude that:

# **Proposition 4.6** $\lambda^{\mathbf{I}}$ is strongly normalising.

<sup>&</sup>lt;sup>4</sup> A rewrite system is said to be fully-extended if each of its rewrite rules (l,r) verifies the following: for every occurrence  $x(P_1,\ldots,P_n)$  in l of a free variable x,  $P_1,\ldots,P_n$  is the list of all bound variables above it.

<sup>&</sup>lt;sup>5</sup> Define the *order* of a type A in  $\lambda^{\rightarrow}$ , written ord(A), to be 1 if the type is a base type and  $max(ord(A_1) + 1, A_2)$  if  $A = A_1 \rightarrow A_2$ . The order of rewrite system is the maximum order of the types of the variables that occur in its rewrite rules.

#### 5 Conclusions

A study of the computational interpretation of the Logic of Proofs via the propositions-as-types correspondence requires an appropriate ND presentation. This paper presents one such system,  $\mathbf{LP}_{nd}$ , resulting from a judgemental analysis [ML83,DP01a] of  $\mathbf{LP}$ . The term assignment yields a typed lambda calculus, called the intensional lambda calculus ( $\lambda^{\mathbf{I}}$ ), that is capable of internalising computation evidence, in much the same way that  $\mathbf{LP}$  is capable of internalising derivability evidence. Computations in  $\lambda^{\mathbf{I}}$  yield terms that include information on how this computation is performed.

As mentioned, the fact that  $I \cdot (\underline{I \cdot b}) \to I \cdot b$  and  $\underline{I \cdot (I \cdot b)} \to I \cdot b$  reduce to the same term in the standard lambda calculus is known as a "syntactic coincidence" [HL91] since these terms are computed in different ways. In  $\lambda^{\mathbf{I}}$  the corresponding reductions are no longer cofinal given that intensional information on how the term was computed is part of the result. Further investigation on the relation with equivalence of reductions as defined by Lévy [Lév78,TER03] is left to future work.

Other interesting directions are the formulation of intensional calculi for linear and classical logic given their tight connections with resource conscious computing and control operators and the analysis of the explicit modality and how it relates to staged computation and run-time code generation [DP96,WLPD98]. Categorical semantics of this calculus (or, equivalently, the proof theory of  $\mathbf{LP}_{nd}$ ) in the style of work of Bierman and de Paiva [BdP00] in which  $\Box A$  is not assumed isomorphic to  $\Box A$  (although morphisms between the two are present) would also be interesting.

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