

# Attention as a Leverage for Deep Learning

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- Introduction
- Background
- Methodology
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# Introduction

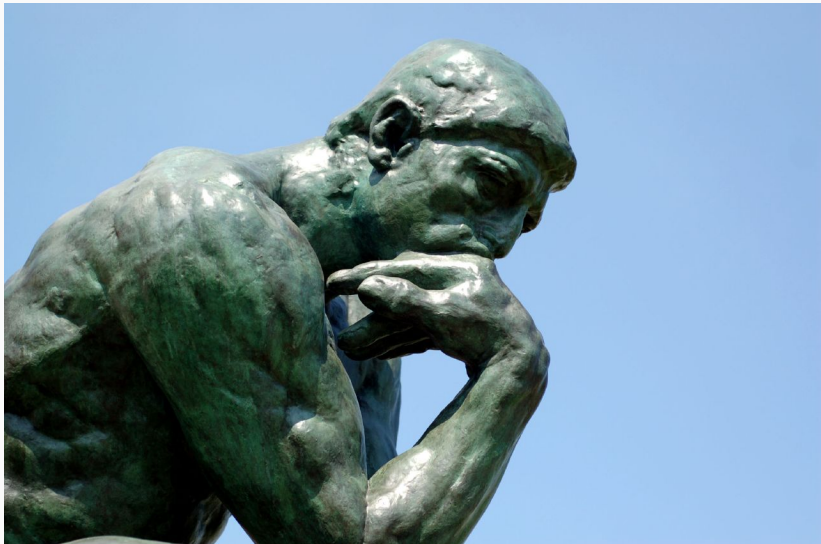
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# Introduction



Come away, O human child!  
To the waters and the wild  
With a fairy hand in hand,  
For the world's more full of  
weeping than you can  
understand.

# Introduction



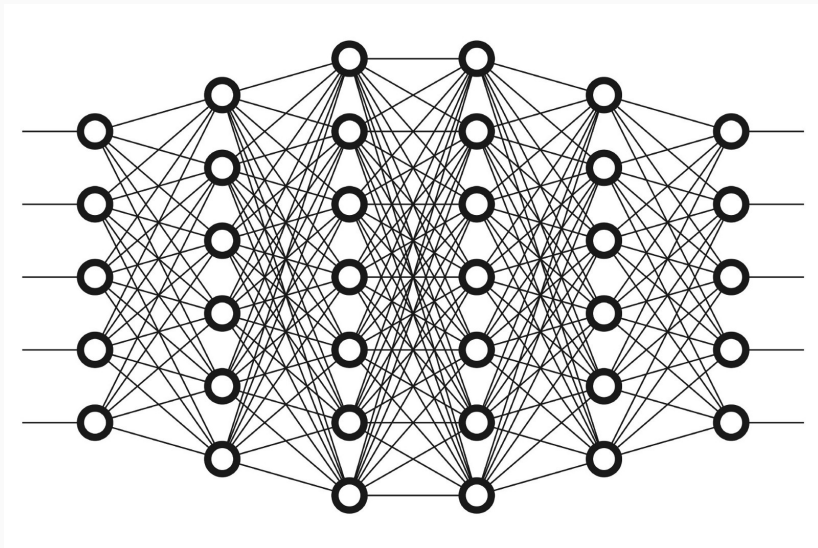
*Attention: the ability to **filter and select** relevant stimuli, to **keep focus** on a task for an adequate amount of time. To appropriately **direct mental resources***

# Attention for intelligence and AI

- Fundamental for intelligence
- Fundamental for AI

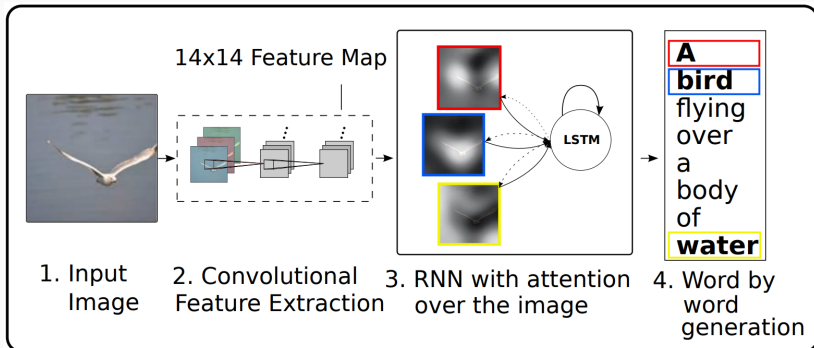


# The rise of Deep Learning

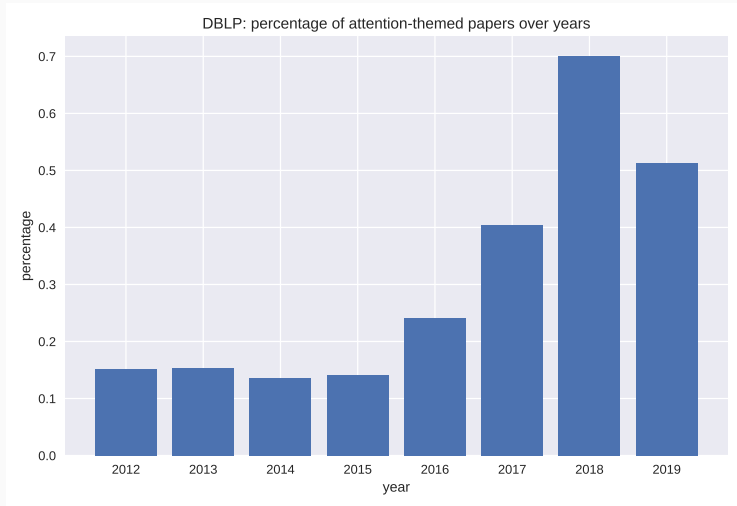


- Increasingly more common!
- Constantly sets a new SOTA for the tasks attacked

# Deep Learning and Attention: Image Captioning



# Deep Learning and Attention: rise in interest



<sup>1</sup>source: DBLP (<https://dblp.uni-trier.de/>)

- Many tasks are approached with Deep Learning yet still do not use Attention
- There are aspects of Attention still to be explored
- We believe it's possible to further generalize Attention for the benefit of Deep Learning

# The main contribution

*To establish a framework for applicability of Attention to Deep Learning  
to help guide future development in the area*

# Objectives

- To perform an extensive **literature review** on the use of Attention in modern Deep Learning
- To identify **general elements of Attention** to be applied to Deep Learning
- To identify **specific problems** in different classes (robotics, vision, NLP...) with improvement potential through the use of Attention;
- To **propose and implement** one or more solutions based on the findings of the work to validate the ideas and evaluate them in an application

# Background

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# Main concepts of Attention: Functionalities

- To **select stimuli** that is relevant
- To **sustain focus** on a specific semantic element for a certain period
- To **guide processing** in a sequential manner that is relevant for a task
- To **orient resources** to new important stimuli

# Main concepts of Attention: Bottom-up vs Top-down

- **Bottom-up** Attention: involuntarily started and guided by external and conspicuous stimuli
- **Top-down** Attention: cognition and goals voluntarily guide the focus

# Main concepts of Attention: Soft vs Hard

- **Hard** Attention: **choice** of items in a possibly non-deterministic manner

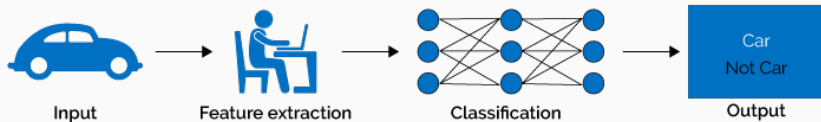
$$z = \text{choice}(\{x_1, x_2, \dots, x_n\})$$

- **Soft** Attention: **weighting** of items in a deterministic manner

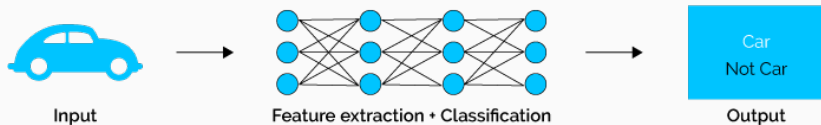
$$z = \sum_{i=1}^n x_i \alpha_i, \quad 0 \leq \sum_{i=1}^n \alpha_i \leq 1$$

# Deep Learning

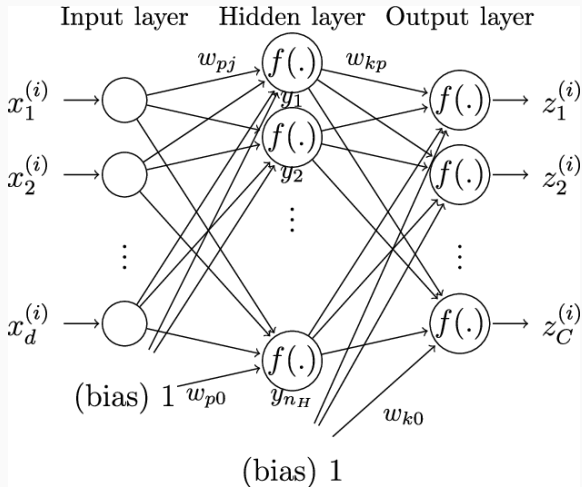
## Machine Learning



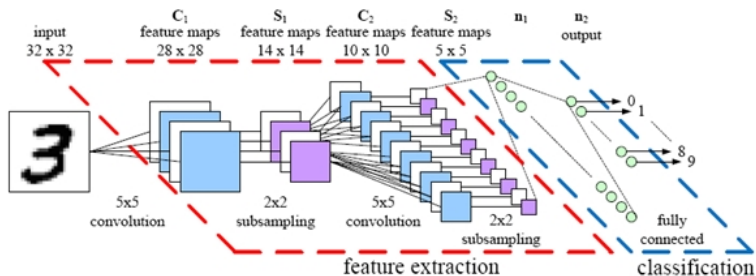
## Deep Learning



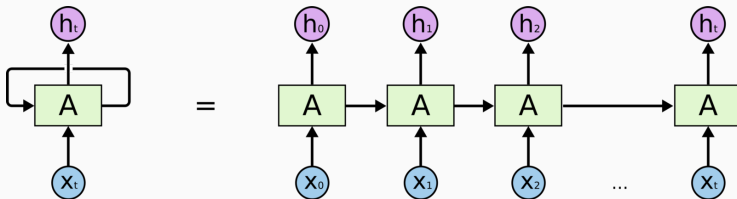
# Deep Learning: Deep MLPs



# Deep Learning: ConvNets (CNNs)



# Deep Learning: Recurrent Neural Networks (RNNs)



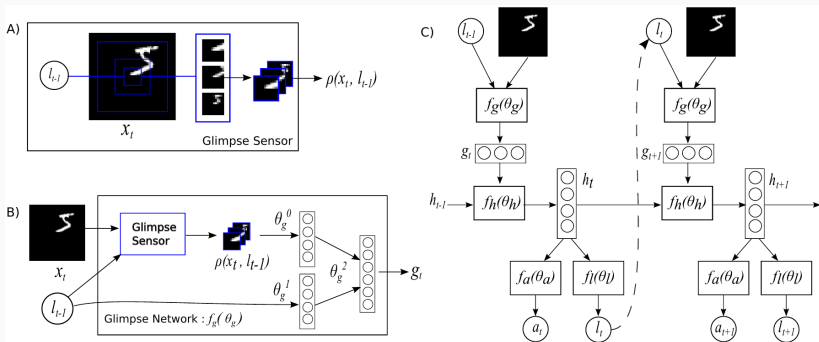
# Deep Learning: Learning Process

- The act of learning the appropriate weights of a given model
- Usually via *supervised learning*
- Usually obtained by the minimization of a differentiable loss function  $L(y, \hat{y})$ , the error between  $y$  and  $\hat{y}$
- Backpropagation plays an essential role in Deep Learning:
  - forward-propagation step, which calculates the loss
  - backpropagation step which adjusts the weights:

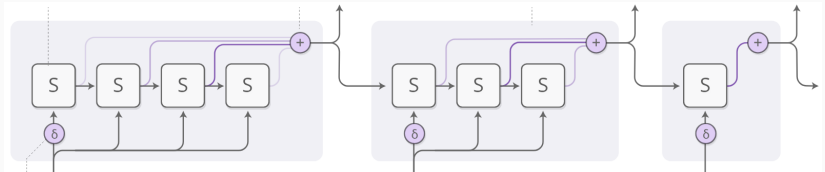
$$\theta_{i+1} = \theta_i - \alpha \frac{\partial J}{\partial \theta}$$



# Related work: Recurrent Attention Model (RAM)



## Related work: Adaptive Computation Time (ACT)



# Methodology

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# Activities

1. **A1:** Literature Review
  - **A1.1:** Theoretical framework for Attention
  - **A1.2:** Elaboration of survey
  - **A1.2:** Survey article writing
2. **A2:** Proposal of an Attention framework for Deep Learning
  - **A2.1:** Establishment of Attention components for specific Deep Learning domains
3. **A3:** Validation of framework
  - **A3.1:** Arrangement of experiments
  - **A3.2:** Execution of experiments
  - **A3.3:** Evaluation of experimental results
  - **A3.4:** Experiments article writing
4. **A0:** Masters activities
  - **A0.1:** Course's requirement fulfillment
  - **A0.2:** Qualification Exam
  - **A0.3:** Masters dissertation
  - **A0.4:** Defense of masters dissertation

**Table 1:** Project schedule.

Activity	2019											
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
A1.2	*	*	*	*	*	*	*					
A0.2					*							
A1.3							*					
A2.1							*					
A3.1								*				
A3.2								*	*	*	*	
A3.3											*	
A3.4											*	
A0.3										*	*	*
A0.4												*

**Work so far**

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# Theoretical Framework for Attention

Two main parts:

1. A **definition** of Attention (*what* is Attention?)
2. A **model** of Attention (*how* does attention emerge?)

# Theoretical Framework for Attention: why?

- We need a *precisely defined* basis to be work upon for:
  - The analysis of papers in the literature review
  - The solutions and models proposed in the future
  - ...



# A definition of “Attention”

- **Goal:** define a set of *entities of interest* and the phenomenon of Attention in terms of its *functionalities* and how it relates to the entities.
- **Why this goal?**
  - There are multiple (conflicting) definitions of what “Attention” is
  - We need to postulate a *precise definition* in which all of our work will be based upon

# A definition of “Attention”: entities

- **Data:** information, stimuli.
- **Program:** algorithm, sequence of computer (or mental) operations.
- **Process:** the execution of a program on a specific data instance.
- **Computer:** the executor of processes, the brain.
- **Resource:** when not specified, we mean computational resources, e.g., CPU time.
- **Time:** the flow of time.
- **World:** the external environment.
- **Agent:** the actor in the world.
- **Actions:** the interaction of the agent with the world.
- **Goals:** the ends, objectives to be met.

# A definition of “Attention”

**Data, programs and processes** are virtually **infinite**. Computational **resources** and **actions** are finite.

**Attention** is the system for allocating resources to processes.

In other words, **attention** is the entity in **agent** that, given **context** and a set of **processes**, **allocates resources** to execute each of them in order to **produce outputs** in form of **data** and **actions** in a **correct sequential manner** and in **sensible time** in order to reach **goals**.

# A model for Attention

- Proposal: to model Attention as a phenomenon that emerges from the use of **attention modules** in a system

# A model for Attention



# A model for Attention



At each time step  $t$ , the module receives as *input*:

- Current *outer state*  $o_t \in O$ , where  $O$  is the *outer state set*
- Group of *focus targets*  $\tau_t = \{\tau_{t1}, \dots, \tau_{tk}\}, \tau_{ti} \in T$ , where  $T$  is the *focus target set*
- Past *inner state*  $\iota_{t-1} \in I$ , where  $I$  is the *inner state set*

The module produces as *output* (as a function of both inputs):

- Current *inner state*  $\iota_t \in I$
- Current *focus output*  $\alpha_t = \{\alpha_{t1}, \dots, \alpha_{tk}\}, \alpha_{ti} \in A$ , where  $A$  is the *focus output set*

## A model for Attention: Focus output

- The main element of the module
- Can be used to allocate *finite resources* to a set of candidate targets by giving them an importance score
- Each element  $\alpha_{tk}$  is respective to a target element  $\tau_{tk}$ .
- Target elements ( $\tau \in T$ ) may effectively be *programs* (tasks) or *data*.

# A model for Attention: Soft and Hard Attention

- **Soft Attention:**  $A = [0, 1]$ , with  $0 \leq \sum_{i=1}^k \alpha_{ti} \leq 1$
- **Hard Attention:**  $A = \{0, 1\}$ , with  $0 \leq \sum_{i=1}^k \alpha_{ti} \leq M$  and  $0 \leq M \leq |\tau_t|$

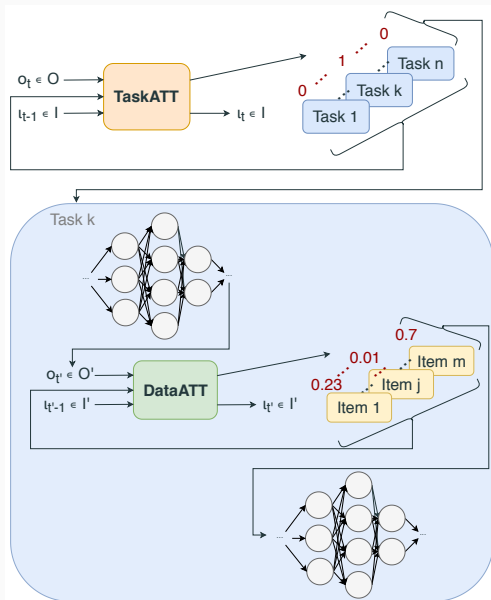


# A model for Attention: Bottom-up and Top-down Attention

Depends on the *location* of the module:

- **Bottom-up:** module connected to external stimulus features (e.g. images)
- **Top-down:** module connected to internal/context information

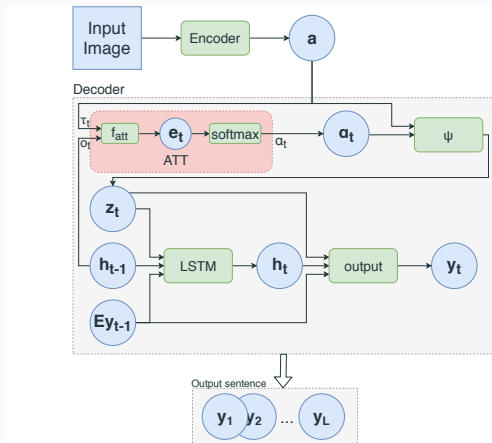
# A model for Attention: Example



# Validating the model for Attention: Image Captioning

- Work is among the first to propose using attention to image caption generation
- Encoding of the input image is represented as a set of vectors - each respective to a certain spatial region of the image -
- The attentional component gives weights to each vector at each step to produce another vector to be used in further computations

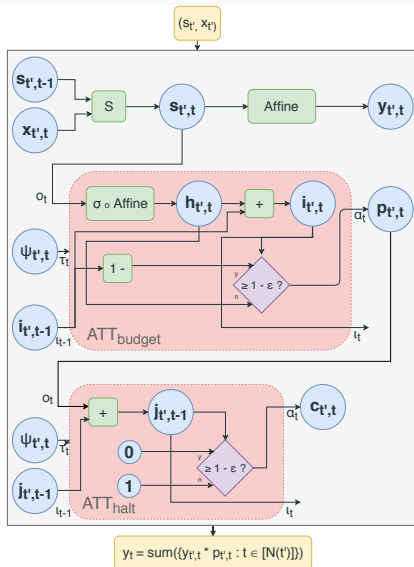
# Validating the model for Attention: Image Captioning



# Validating the model for Attention: Adaptive Computation Time

- Work proposes a RNN with dynamically variable number of computation steps
- Uses attention to allocate processing “budget” and selection of data

# Validating the model for Attention: Adaptive Computation Time



# Validating the model for Attention: Adaptive Computation Time

Proposed model can be thought of as having two attention modules:

- $ATT_{budget}$ :
  - Computes the value  $0 \leq p_{t',t} \leq 1$  to be spent at a given sub-step
  - *Focus output*  $p_{t',t}$ , represents values to be consumed from the budget and an importance weight for the final output  $y_t$ .
- $ATT_{halt}$ :
  - Computes the *continue* value  $c_{t',t} \in \{0, 1\}$

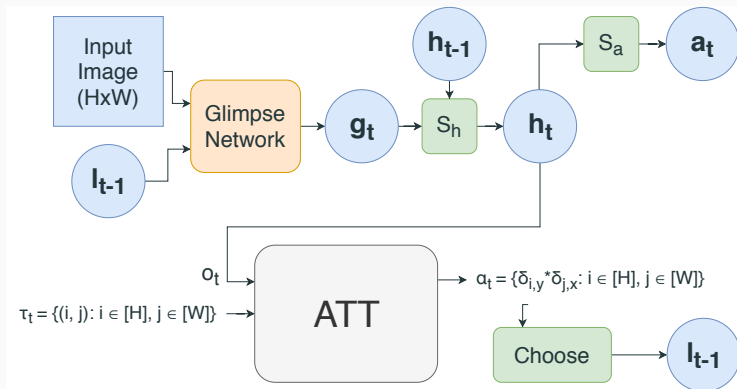
**The emergent effect:** the model can *allocate resources to processes* both by *choosing the data* and *amount of computation time to use*

# Validating the model for Attention: Recurrent Visual Attention

- The work proposes a general recurrent model that uses visual attention at each step
- Model selects a retina-like representation of a portion of the input image
- An arbitrary action  $a_t$  can be executed to possibly alter the environment



# Validating the model for Attention: Recurrent Visual Attention



- **Main goal:** to perform a **broad analysis of recent works** that propose attention-based solutions **under the perspective of our theoretical framework**

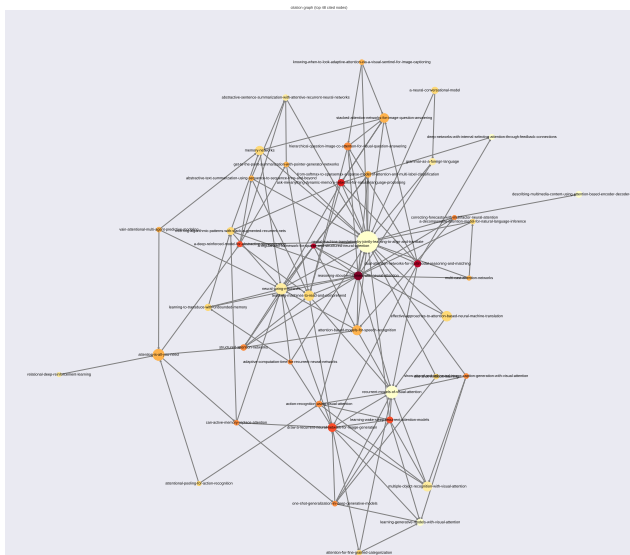
# Survey: collection of relevant works

- **Publication date range:** from 2014 to 2019
- **Databases searched:**
  - **arXiv** - <https://arxiv.org/>
  - **DeepMind** - <https://deepmind.com/research/publications/>
  - **Google AI** - <https://ai.google/research/pubs/>
  - **OpenAI** - <https://openai.com/research/#publications>
  - **NIPS** - <https://nips.cc/>
  - **ICML** - <https://icml.cc/>
  - **CVPR** - <http://cvpr2018.thecvf.com/>
  - ...
- **Terms (in title or abstract):** “attention”, “attentive” or “attentional”
- The **relevance** of each work was confirmed upon the reading of the abstract
- As a result, we collected around **300 papers**
- We used *Zotero* and grouped works based on application domain, architectures...

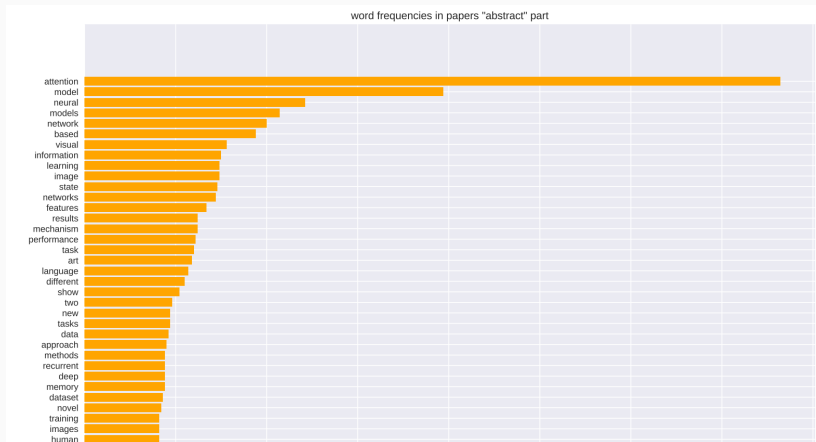
## Survey: Visualization of papers data

- Some visualizations were generated for insights
- Analysis include:
  - Citations graph (authors and works)
  - Abstract/title word frequencies
  - Frequency of attention-themed papers over the years

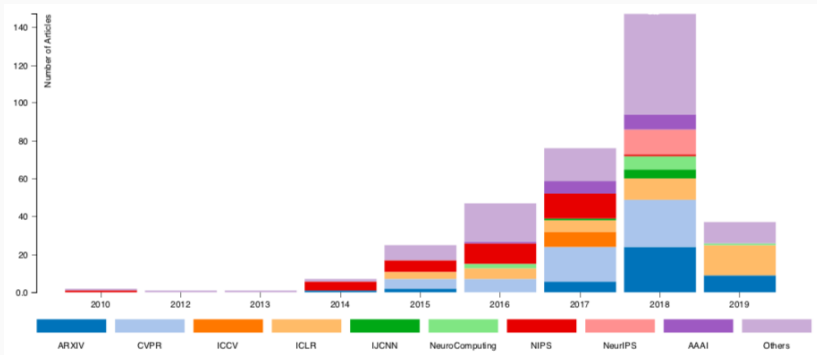
## Survey: Data visualization - works citations graph



# Survey: Data visualization - frequency of terms in abstract



# Survey: Data visualization - frequency of papers over years



## Survey: paper relevance analysis

- Goal: to assess the relevance and problem domain of each work
- To each work, we attributed the citation count, domains and an impact score ranging from 1 to 5
- Score was assessed in a quick and rough manner via the abstract of each work:
  - How innovative is the proposed model(s) of the work?
  - How general is the proposed model(s)?
  - Does the proposed model(s) archives/surpasses state-of-the-art in some task?
  - Is attention a central component to the results of the work?



## Survey: Reading and summarization of works

- Goal: Obtain a summarization and deep analysis for each paper in the collection (in order of relevance, from highest to lowest)
- A summary template was formulated and summaries were generated for some works.
- The main and longest step of the survey
- We may further refine our theoretical framework and to guide the reading of future papers as we read those papers
- Survey has shown so far that the use of attention in Deep Learning has indeed provided improvements in basically all subfields of Deep Learning.

# Next Steps

- Survey:
  - Finish papers analysis
  - Refine theoretical framework
  - Write and publish survey paper
- With the framework and findings of the survey, choose a problem domain and task to attack with an attention-based model. Probably a robotics problem using Reinforcement Learning

**Thank you**

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# Questions

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