



PRESORTED
FIRST CLASS
U.S. POSTAGE
PAID
Cincinnati, Ohio
Permit No. 133



COLLEGE *of* LAW

The Corporate Law Center 22nd Annual Symposium

New Models of Regulating the Financial Markets

The SEC's future as it turns 75

■ April 3, 2009
8:00 a.m. – 3:30 p.m.
College of Law, Room 114
5 CLE credits pending

Presented by the Corporate Law Center and the University of Cincinnati Law Review.
For more information, contact Toni McGuire at toni.mcguire@uc.edu, or visit our
website at www.law.uc.edu/institutes/corporate_law/.

This event will be webcast.

New Models of Regulating the Financial Markets

The SEC's future as it turns 75

The U.S. financial markets and financial institutions have long enjoyed a reputation for promoting capital formation, market stability, and investor protection. The financial meltdown and other market events, however, have renewed criticisms of the U.S. regulatory model as outmoded, anticompetitive, and ineffective. In addition, the collapse of major financial services companies and the Bernard Madoff scandal have seriously damaged the Securities and Exchange Commission's reputation as regulator of the securities markets.

The 75th Anniversary of the Securities and Exchange Commission marks an appropriate occasion for an examination of these issues. The Symposium's speakers will address proposals for regulatory reform from a variety of perspectives, both academic and practical.

The Corporate Law Symposium is generously sponsored by the law firm of Dinsmore & Shohl, LLP.

8:00-8:45 am — Registration

8:45-9:00 am

Welcome: DEAN LOUIS D. BILIONIS, UC College of Law

Introduction: BARBARA BLACK, Charles Hartsock Professor of Law and Director, Corporate Law Center, UC College of Law

9:00-10:30 am — Panel 1: The Future of the SEC, Part I

Presenters:

JAMES D. COX, Brainerd Currie Professor, Duke University School of Law

Why an Independent Regulatory Agency? The SEC at 75

ADAM C. PRITCHARD, Professor, University of Michigan School of Law

London as Delaware

Commenter:

WILLIAM K. SJOSTROM, JR., Associate Professor, Salmon P. Chase College of Law, Northern Kentucky University

10:30-10:45 am — Break

10:45 am-12:15 pm — Panel 2: The Future of the SEC, Part II

Presenters:

ROBERTA S. KARMEI, Centennial Professor, Brooklyn Law School
The Future of the SEC as a Market Regulator

JERRY W. MARKHAM, Professor, Florida International University
Merging the SEC and CFTC—Obstacles for the Paulson Report's Recommendations

(Panel 2 continued)

Commenter:

LISA H. NICHOLSON, Professor, Louis D. Brandeis School of Law, University of Louisville

12:15-1:30 pm — Lunch

1:30-3:15 pm — Panel 3: Regulating Risk

Presenters:

OLUFUNMILAYO B. AREWA, Associate Professor, Northwestern University School of Law

Blueprint for Future Risk Regulation—Implications for the SEC

JANIS SARRA, Professor, The University of British Columbia, Faculty of Law

JONATHAN S. SOKOBIN, Director of the Office of Risk Assessment, Securities and Exchange Commission

Regulatory Risk Assessment and Financial Markets

Commenter:

LYNN BAI, Assistant Professor, UC College of Law

3:15-3:30 pm — Closing Remarks

BARBARA BLACK, UC College of Law

Application for 5 hrs. CLE has been submitted to Ohio and Kentucky, and approval is expected.

Registration Information

New Models of Regulating the Financial Markets

The SEC's future as it turns 75

April 3, 2009

- ☐ Yes, I will be attending the a.m. sessions of the Corporate Law Symposium and will be staying for lunch.
- ☐ Yes, I will be attending the p.m. session of the Corporate Law Symposium, which does not include lunch.
- ☐ Yes, I will be attending the a.m. and p.m. sessions of the Corporate Law Symposium, which includes lunch.

Name: _____

Mailing Address: _____

Firm or Affiliation: _____

Day Telephone: _____

Email: _____

Number of Guests: _____

Please respond by faxing this card to 513.556.1236 or by sending your response via mail to: UNIVERSITY OF CINCINNATI COLLEGE OF LAW, THE CORPORATE LAW CENTER, PO Box 210040, Cincinnati, OH 45221-0040. If you have questions, please contact Toni McGuire via email at toni.mcquire@uc.edu or by phone at 513.556.0090.