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## COLLEGE of LAW

The Corporate Law Center 23<sup>rd</sup> Annual Symposium

### The Globalization of Securities Regulation: Competition or Coordination?

■ March 5, 2010

8:00 am – 3:45 pm

College of Law, Room 114

*Application for 5 hours of general CLE has been submitted  
to Ohio and Kentucky and approval is expected.*

Presented by the Corporate Law Center and the University of Cincinnati Law Review.  
The Corporate Law Symposium is generously sponsored by the law firm of Dinsmore & Shohl,  
LLP. For more information, contact Lori Strait at [lori.strait@uc.edu](mailto:lori.strait@uc.edu), or visit our website at  
[www.law.uc.edu/institutes/corporate\\_law/](http://www.law.uc.edu/institutes/corporate_law/).



UNIVERSITY OF  
Cincinnati

*This event will be webcast.*

## The Globalization of Securities Regulation: Competition or Coordination?

After the enactment of Sarbanes-Oxley in 2002, influential voices in the business, political, and academic communities expressed concern that the U.S. markets were losing their competitive advantage. While a number of factors were identified as contributing to this decline, higher U.S. regulatory compliance costs and liability risks were, in particular, singled out. Regulators, in turn, considered proposals that would ease barriers to entry. The 2008 financial meltdown increased awareness of the interconnectedness of markets and the importance of a coordinated approach toward securities regulation. Thus, the Obama administration's financial regulatory reform calls for raising international regulatory standards and improving international cooperation. As policy makers, regulators and academics consider proposals for regulatory reform, how will these considerations – competition and coordination – play out?

The panelists' papers will appear in a forthcoming issue of the *University of Cincinnati Law Review*.

*The Corporate Law Symposium is generously sponsored by the law firm of Dinsmore & Shohl, LLP.*

### 8:00-8:45 am — Registration and Continental Breakfast

#### 8:45-9:00 am — Welcome

Louis D. Bilonis, Dean and Nippert Professor of Law, University of Cincinnati College of Law

### 9:00-10:30 am — Panel 1: Politics and Regulatory Agenda

#### *Heedless Globalism: the SEC's Roadmap to Accounting Convergence*

William Wilson Bratton, Peter P. Weidenbruch, Jr. Professor of Business Law, Georgetown University Law Center

#### *The Rhetoric and Political Economy of International Securities Regulation*

Steven M. Davidoff, Associate Professor of Law, University of Connecticut School of Law

#### *Compensation, Cartels, and Competition: A Political Economy of the Financial Stability Board and its Principles for Sound Compensation Practices*

Frederick Tung, Robert T. Thompson Professor of Law and Business, Emory University School of Law

### 10:30-10:45 am — Break

### 10:45 am-12:15 pm — Panel 2: Activities of International Firms in Global Markets

#### *The 'Global Enterprise' in Cross-Border Securities Litigation*

Hannah Buxbaum, Professor of Law and Associate Dean for Research, Maurer School of Law, Indiana University-Bloomington

#### *Dismantling Financial Conglomerates: Domestic and Global Consequences and Implications*

James A. Fanto, Professor and Associate Director, Center of the Study of International Business Law, Brooklyn Law School

### *Private Ordering and International Securities Regulation*

Eric J. Pan, Associate Professor of Law and Director, The Samuel and Ronnie Heyman Center on Corporate Governance, Cardozo School of Law, Yeshiva University

### 12:15-1:30 pm — Lunch

### 1:30-3:30 pm — Panel 3: Regulatory Evolution: Other Models, Other Times

#### *Between Competition and Coordination in International Financial Regulation: Lessons from Networks*

Robert B. Ahdieh, Professor of Law, Emory University School of Law.

#### *Open Markets in Jeopardy?*

Andrea M. Corcoran, Principal, Align International, LLC (formerly, Director, Office of International Affairs, Commodity Futures Trading Commission)

#### *Territorial Regulation after the Financial Crisis*

Christopher Brummer, Professor of Law, Georgetown University Law Center

#### *Contemplating the Endgame: An Evolutionary Model for the Harmonization and Centralization of International Securities Regulation*

Eric C. Chaffee, Associate Professor and Chair, Project for Law & Business Ethics, University of Dayton School of Law

### 3:30-3:45 pm — Closing Remarks

Barbara Black, Charles Hartsock Professor of Law and Director, Corporate Law Center, University of Cincinnati College of Law

*This event will be webcast.*

## Registration Information

### The Globalization of Securities Regulation: Competition or Coordination?

**March 5, 2010**

- ☐ Yes, I will be attending the a.m. sessions of the Corporate Law Symposium and will be staying for lunch.
- ☐ Yes, I will be attending the p.m. session of the Corporate Law Symposium, which does not include lunch.
- ☐ Yes, I will be attending the a.m. and p.m. sessions of the Corporate Law Symposium, which includes lunch.

Name: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

Firm or Affiliation: \_\_\_\_\_

Day Telephone: \_\_\_\_\_

Email: \_\_\_\_\_

Number of Guests: \_\_\_\_\_

*Please respond by faxing this card to 513.556.1236 or by sending your response via mail to: UNIVERSITY OF CINCINNATI COLLEGE OF LAW, THE CORPORATE LAW CENTER, PO Box 210040, Cincinnati, OH 45221-0040. If you have questions, please contact Lori Strati via email at lori.strait@uc.edu or by phone at 513.556.0117.*