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## Kapitel 1

## 1.Overview

• "image society" (webpages: 1995 text-based, 2005 image based, 2015 video based . . . ) - data transfer rates ↑, compression rates ↑ critical shift: reading  $\rightarrow$  watching • "Photoshop"-ing (remove wrinkles, bumps, ...) • Images in medicine ("medical image proscessing"), x-ray, CT, MRI, ultrasound, ... ("modalities"). different questions: Layout! measurments  $\stackrel{?}{\Rightarrow}$  image align bottom  $\exp$ l: tomography  $\Rightarrow$  difficult mathematical problems 2.) Image enhancements - denoising simple pixels/lines: "sandpaper" interpolation so richtig? global noise: smoothing - grayscale histogramm balancing (spreading) distortion makes straight lines (in real world) straight (in the images) - edge detection contour enhancement - segmentation detect and separate parts of the image sequence of images of the same object  $\Rightarrow$  Wort?, compare Skizze → object following in a movie Our Focus: - mathematical models/methods/ideas - (algorthms) - ((implementation))

skipped: Very fast intro: Matlab and images

## Kapitel 2

# 2. What is an image?

### 2.1 Discrete and continuous images

There are (at least) two different points of view:



Abbildung 2.1: Discrete Image

Abbildung 2.2: Continous Image

**object:** matrix

tools: linear algebra (SVD, ...)

**pros:** (finite storage) storage, complexity

**cons:** limitations: zooming, rotations, ...

function
analysis (differentrage, integrate, ...)
freedom, tools, motions?P.4
(e.g. edge discontinuity)
storage (infinite amout of data)

arguably, one has:

- real life  $\Rightarrow$  continuous "images" (objects)
- digital camers  $\Rightarrow$  discrete images

In general we will say:

**Definition 2.1** ((mathematical) image). A (mathematical) image is a function

$$u:\Omega\to F$$
,

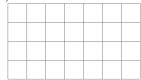
where: 
$$\Omega \subset \mathbb{Z}^d$$
 (discrete) or  $\Omega \subset \mathbb{R}^d$  (continuous) . . .  $domain$   $d=2$  (typical case 2D),  $d=3$  ("3D image" = body or  $2D + time$ )  $d=4$  (3D + time)

 $F \dots range \ of \ colours$ 

$$F = \mathbb{R}$$
 or  $[0, \infty]$  or  $[0, 1]$  or  $\{0, \dots 255\}$ , ... grayscale (light intensity)

 $F \subset \mathbb{R}^3 \dots RGB \text{ image (colored)}$ 

$$F = \{0, 1\} \dots \text{black/white}$$



 $\begin{array}{l} \text{3 Layers} \\ \Rightarrow \text{colored images:w} \end{array}$ 

#### Matlab stuff

Large parts of the course: analytical approach (i.e. continuous domain  $\Omega$ ) Since we want to differentirate, . . . the image u.

Still: need to assume that also F ist continuous (not as  $\{0,1\}, \{0,1,\ldots,255\}$  or  $\mathbb{N}$ ) since otherwise the only differentiable (actually, the only continuous) functions  $u:\Omega\to F$  are constant functions  $\Leftrightarrow$  single-colour images

Also: We usually take F one-dimensional  $(F \subset \mathbb{R})$ . Think of it as either

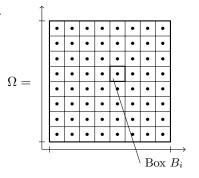
- gray scaled image, or
- treating R,G & B layer separately

### 2.2 Switching between discrete and continuous images

#### continuous $\rightarrow$ discrete:

- divide the continuous image in small squared pieces (boxes) (superimpose grid)
- now: represent each box by one value
  - strategy 1: take function value  $u(x_i)$ for  $x_i = \text{midpoint of box } B_i$
  - strategy 2: use mean value

$$\frac{1}{|B_i|} \int_{B_i} u(x) dx$$



 $\Rightarrow$  discrete image

strategy 1: simple (and quick) but problemative  $(u(x_i) \text{ might represent } u|_{B_i} \text{ badly; for } u \in L^p$ , single point evaluation not even defined)

strategy 2: more complex but also more "democratic" (actually closer to the way how CCD Sensors in digital camers work)

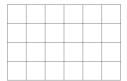
often the image value of the box  $B_i$  gets also digitized, i.e. fitted (by scaling & rounding) into range  $\{0,1,dots,255\}$ 

#### $discrete \rightarrow continous$

This is of course more tricky ...

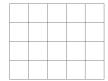
• Again: each pixel of the discrete image corresponds to a "box" of the continuous image (that is still to be constructed)

• Usually: pixel value → function value at the *midpoint* of the box
• Question: How to get the other function values (in the box)?



idea 1: just take the function value of the nearest midpoint ("nearest neighbour interpolation")

For each  $x \in B_i : u(x) := u(x_j)$  where  $|x - x_j| = \min_k |x - x_k|$ 



- $\Rightarrow$   $u(x) = u(x_i)$  for all  $x \in B_i$
- $\Rightarrow$  each box is uni-color
- ⇒ the continuous image is essentially still discrete

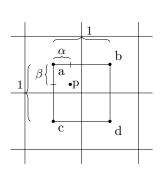
idea 2: (bi-) lineare interpolation



Let  $a, b, c, d \dots$  function values at 4 surrounding adjacent midpoints ( $\nearrow$  figure)

 $\alpha, \beta, 1-\alpha, 1-\beta\dots$  distance to dotted lines ( $\nearrow$  figure, w.l.o.g, bob is  $1\times 1$ )

interpolation (linear) on the dotted line between a and b:



$$e := a + \alpha(b - a) = (1 - \alpha)a + \alpha b$$
  
(1D - interpolation, convex combination)

Similarly:  $f = (1 - \alpha)c + \alpha d$ 

Then: The same 1D-interpolation between e and f  $\Rightarrow u(x) := (1 - \beta) \cdot e + \beta \cdot f$   $= (1 - \beta)[(1 - \alpha)a + \alpha b] + \beta[(1 - \alpha)c + \alpha b]$ 

$$= (1 - \beta)[(1 - \alpha)a + \alpha b] + \beta[(1 - \alpha)c + \alpha d]$$

$$= (1 - \alpha)(1 - \beta)a + \alpha(1 - \beta)b + (1 - \alpha)\beta c + \alpha\beta d$$

$$\in [0, 1] \land \Sigma = 1$$

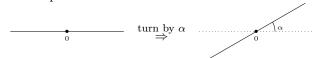
- $\Rightarrow$  convex combination of the function values a,b,c,d at the the surrounding 4 midpoints (on which points is the nearest instead of taking just a,b,c or d depending)
- $\Rightarrow$  2D linear interpolation, bi-linear interpolation (can be interpreted as spline interpolation with bilinear basis splines).

Beispiel 2.2. Rotate image



by angle  $\phi \neq k \cdot \frac{\pi}{2}$ 

• continuous image case: no problem



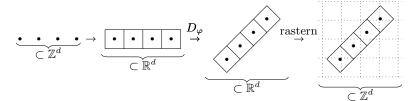
$$x = D_{\varphi} y$$
  $x = \begin{pmatrix} x_1 \\ x_2 \end{pmatrix}, \ y = \begin{pmatrix} y_1 \\ y_2 \end{pmatrix}, \ D_{\varphi} = \begin{pmatrix} \cos \varphi & -\sin \varphi \\ \sin \varphi & \cos \varphi \end{pmatrix}$ 

$$y = D_{\varphi}^{-1} \ x = D_{-\varphi} \ x$$

 $\Rightarrow v(x) := u(y) = u(D_{-\varphi} x) \quad \forall x \in \text{domain of the rotated image}$ 

#### • discrete image case: problem!

For  $x \in \text{domain of notated image}$ , in general  $D_{-\varphi} x \notin \text{domain of original image}^1$ Way out: v(x) := interpolation between the  $u(\cdot)$  of the 4 surrounding pixels of  $D_{-\varphi}$ 



#### Something to think about:

What happens in the limit (?) if we, starting with an image (discrete or continuous), repeatedly switch between discrete and continuous, non-stop . . . ?

Does the answer depend on the way of switching ? (continuous  $\rightarrow$  discrete: midpoint or average, discrete  $\rightarrow$  continuous: nearest neighbour or bilinear?)

 $<sup>^1\</sup>mathrm{it's}$  not an integer

## Kapitel 3

# 3. Histogramm and first applicatsion

### 3.1 The histogramm

**Definition 3.1** (histogram). Let  $\Omega \subset \mathbb{Z}^d$ ,  $F \subset \mathbb{R}$  discrete and  $u : \Omega \to F$  a discrete discrete image. The function

$$H_u: F \to \mathbb{N}_0 \ (:= \mathbb{N} \cup \{0\})$$

with

$$H_u(k) := \# \{ x \in \Omega : u(x) = k \}, \quad k \in F$$

is called histogramm of the image u.

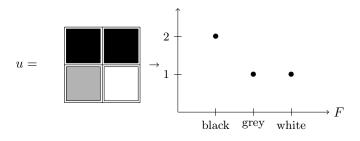
 $H_u(k)$  counts how often colour k appears in u.

$$\sum_{k \in F} H_u(k) = |\Omega| = \text{number of pixels in the whole image}$$

or

$$\frac{H_u(k)}{|\Omega|} = \text{relative frequence of colour } k \text{ in image } u$$
 (relative Häufigkeit)

#### Beispiel 3.2.



If u ist a continous image,  $H_u$  can be understood as measure (generalized function)<sup>1</sup>. Another way to writ this:

$$H_u(k) = \sum_{x \in \Omega} \delta_{u(x)}(k), \ k \in F$$
 
$$H_u(k) = \int_{\Omega} \delta_{u(x)}(k) dx, \ k \in F$$

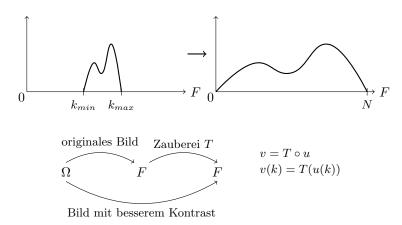
hier fehlt noch das Kronecker underarrow

Matlab-Code

<sup>&</sup>lt;sup>1</sup>density of a probability distribution

### 3.2 Application: contrast enhancement

If the image only uses a small part of the available colour/grayscale "palette" F, then its contrast can be improved by "spreading" the histogramm over all of F. Simple idea:



The above simple idea ("contrast stretching") corresponds to

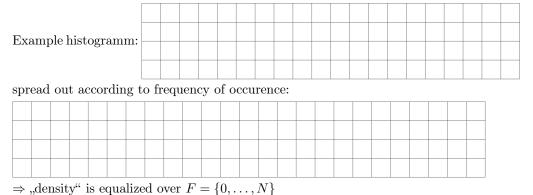
$$\begin{split} \varphi: k_{\min} &\mapsto 0 \\ k_{\max} &\mapsto N \\ &\text{and linear in between} \end{split}$$
 i.e 
$$\varphi(k) &= \left[\frac{k-k_{\min}}{k_{\max}-k_{\min}}\right] \end{split}$$

Where  $[ \cdot ]$  means ... rounding to the nearest integer (assuming that  $F = \{0, 1, ..., N\}$ .

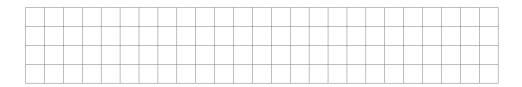
A bit more sophisticated:

$$\varphi: \ (k_{\min} \mapsto 0)$$
 
$$k_{\max} \mapsto N$$
 and  $\mathbf{non}$  linear in between

such that colour ranges that occur more frequently in u can occupy a larger range of colours in u. ( $\Rightarrow$  visibility  $\uparrow$ )



Ideal would be:



#### Layout S.12 u

Note: The new colours (i.e the location of the bars in the histogramm of u) only depend on the frequencies / height of the bars in  $H_u$  but not on the colours/location of the bars in  $H_u$ 

Finally: The formula

$$\varphi(k) = \left[ \frac{N}{|\Omega|} \sum_{l=0}^{k} H_u(l) \right]$$

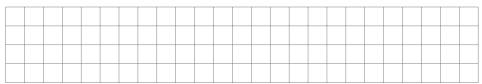
This process is called "histogramm equalization"

Exercise ?!

## 3.3 Another application: conversion to b/w

Task: convert grayscale image to black white - interesting for object detection/segmentation ...!

Idea: Find a threshold  $t \in T$  s.t. the histogramm splits into two "characteristic" parts



For  $t \in F$  put

black := 
$$\{k \in F : k \le t\}$$
  
white :=  $\{k \in F : k > t\}$ 

and

$$\widetilde{u} := \begin{cases} 0, & u(x) \in \text{black} \\ 1, & u(x) \in \text{white} \end{cases} \quad \widetilde{F} = \{0, 1\}$$

How to find the threshold t:

1.) Shape based methods If the histogramm is "biomodal"  $\text{Put } t := \frac{k_{\max_1} + k_{\max_2}}{2}$  or  $t := k_{\min}$ 

