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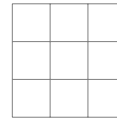
# Kapitel 1

## 1. Overview

- „image society“ (webpages: 1995 text-based, 2005 image based, 2015 video based ...)
  - data transfer rates  $\uparrow$ , compression rates  $\uparrow$
  - critical shift: reading  $\rightarrow$  watching
- „Photoshop“-ing (remove wrinkles, bumps, ...)
- Images in medicine („medical image processing“), x-ray, CT, MRI, ultrasound, ... („modalities“).  
different questions:

### 1.) Layout!

align bottom    measurements  $\xrightarrow{?}$  image  
                  expl: tomography  
                   $\Rightarrow$  difficult mathematical problems



### 2.) Image enhancements

- denoising
  - simple pixels/lines: „sandpaper“ interpolation
  - global noise: smoothing
- grayscale
  - histogramm balancing (spreading)
- distortion
  - makes straight lines (in real world) straight (in the images)
- edge detection
  - contour enhancement
- segmentation
  - detect and separate parts of the image
- registration
  - sequence of images of the same object  $\Rightarrow$  Wort?, compare Skizze
  - $\nearrow$  object following in a movie

so richtig?

### Our Focus:

- mathematical models/methods/ideas
- (algorithms)
- ((implementation))

skipped: Very fast intro: Matlab and images

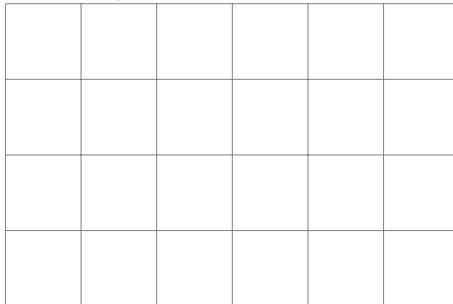
# Kapitel 2

## 2. What is an image?

### 2.1 Discrete and continuous images

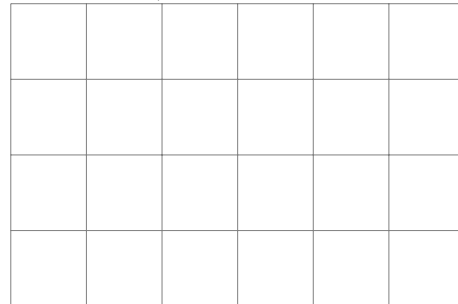
There are (at least) two different points of view:

• discrete/digital



**object:** matrix  
**tools:** linear algebra (SVD, ...)  
**pros:** (finite storage) storage, complexity  
**cons:** limitations: zooming, rotations, ...

• continuous/analogue



**function**  
 analysis (differentiation, integrate, ...)  
 freedom, tools, **motions?P.4**  
 (e.g. edge discontinuity)  
 storage (infinite amount of data)

arguably, one has:

- real life  $\Rightarrow$  continuous „images“ (objects)
- digital cameras  $\Rightarrow$  discrete images

In general we will say:

**Definition 2.1** ((mathematical) image). A (mathematical) *image* is a function

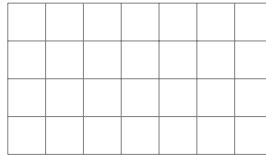
$$u : \Omega \rightarrow F,$$

where:  $\Omega \subset \mathbb{Z}^d$  (discrete) or  $\Omega \subset \mathbb{R}^d$  (continuous) ... *domain*  
 $d = 2$  (typical case 2D),  $d = 3$  („3D image“ = body or  $\underbrace{2D + time}_{\text{movie}}$ )  
 $d = 4$  (3D + time)

$F$  ... *range of colours*

$F = \mathbb{R}$  or  $[0, \infty]$  or  $[0, 1]$  or  $\{0, \dots, 255\}$ , ... grayscale (light intensity)  
 $F \subset \mathbb{R}^3$  ... RGB image (colored)

$F = \{0, 1\}$  ... black/white



3 Layers  
 $\Rightarrow$  colored images:w

### Matlab stuff

Large parts of the course: analytical approach (i.e. continuous domain  $\Omega$ )  
 Since we want to differentiate, ... the image  $u$ .

Still: need to assume that also  $F$  is continuous (not as  $\{0, 1\}$ ,  $\{0, 1, \dots, 255\}$  or  $\mathbb{N}$ )  
 since otherwise the only differentiable (actually, the only continuous) functions  $u : \Omega \rightarrow F$  are  
*constant* functions  $\Leftrightarrow$  single-colour images

Also: We usually take  $F$  one-dimensional ( $F \subset \mathbb{R}$ ). Think of it as either

- gray scaled image, or
- treating R,G & B layer separately

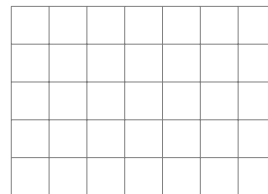
## 2.2 Switching between discrete and continuous images

**continuous  $\rightarrow$  discrete:**

- divide the continuous image in small squared pieces (boxes) (superimpose grid)
- now: represent each box by *one* value

- strategy 1: take function value  $u(x_i)$   
 for  $x_i = \text{midpoint of box } B_i$
- strategy 2: use mean value

$$\frac{1}{|B_i|} \int_{B_i} u(x) dx$$



$\Rightarrow$  discrete image

strategy 1: simple (and quick) but problematic ( $u(x_i)$  might represent  $u|_{B_i}$  badly; for  $u \in L^p$ , single point evaluation not even defined)

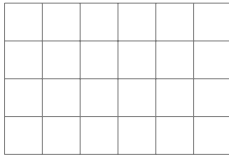
strategy 2: more complex but also more „democratic“ (actually closer to the way how CCD Sensors in digital cameras work)

often the image value of the box  $B_i$  gets also digitized, i.e. fitted (by scaling & rounding) into range  $\{0, 1, \dots, 255\}$

**discrete  $\rightarrow$  continuous**

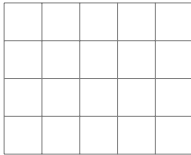
This is of course more tricky ...

- Again: each pixel of the discrete image corresponds to a „box“ of the continuous image (that is still to be constructed)
- Usually: pixel value  $\mapsto$  function value at the *midpoint* of the box
- Question: How to get the other function values (in the box)?



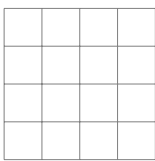
idea 1: just take the function value of the nearest midpoint („nearest neighbour interpolation“)

For each  $x \in B_i : u(x) := u(x_j)$  where  $|x - x_j| = \min_k |x - x_k|$



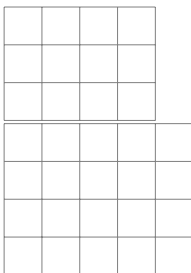
$\Rightarrow u(x) = u(x_i)$  for all  $x \in B_i$   
 $\Rightarrow$  each box is uni-color  
 $\Rightarrow$  the continuous image is essentially still discrete

idea 2: (bi-) linear interpolation



Let  $a, b, c, d \dots$  function values at 4 surrounding adjacent midpoints ( $\nearrow$  figure)  
 $\alpha, \beta, 1 - \alpha, 1 - \beta \dots$  distance to dotted lines ( $\nearrow$  figure, w.l.o.g, bob is  $1 \times 1$ )

interpolation (linear) on the dotted line between  $a$  and  $b$ :

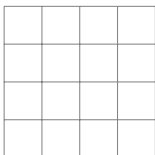


$$e := a + \alpha(b - a) = (1 - \alpha)a + \alpha b$$

(1D - interpolation, convex combination)

$$\text{similarly: } f = (1 - \beta)c + \beta d$$

Then: The same 1D-interpolation between  $e$  and  $f$

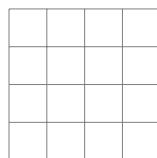


$$\begin{aligned}
 \Rightarrow u(x) &:= (1 - \beta) \cdot e + \beta \cdot f \\
 &= (1 - \beta)[(1 - \alpha)a + \alpha b] + \beta[(1 - \beta)c + \beta d] \\
 &= \underbrace{(1 - \alpha)(1 - \beta)a}_{\in [0, 1] \wedge \Sigma = 1} + \underbrace{\alpha(1 - \beta)b}_{\in [0, 1] \wedge \Sigma = 1} + \underbrace{(1 - \alpha)\beta c}_{\in [0, 1] \wedge \Sigma = 1} + \underbrace{\alpha\beta d}_{\in [0, 1] \wedge \Sigma = 1}
 \end{aligned}$$

$\Rightarrow$  convex combination of the function values  $a, b, c, d$  at the the surrounding 4 midpoints (on which points is the nearest instead of taking just  $a, b, c$  or  $d$  - depending)

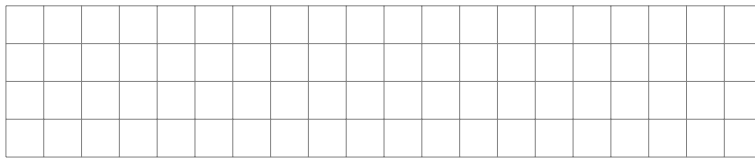
$\Rightarrow$  2D linear interpolation, *bi-linear interpolation* (can be interpreted as spline interpolation with bilinear basis splines).

**Beispiel 2.2.** Rotate image



by angle  $\phi \neq k \cdot \frac{\pi}{2}$

- continuous image case: no problem



$$x = D_\varphi y \quad x = \begin{pmatrix} x_1 \\ x_2 \end{pmatrix}, y = \begin{pmatrix} y_1 \\ y_2 \end{pmatrix}, D_\varphi = \begin{pmatrix} \cos \varphi & -\sin \varphi \\ \sin \varphi & \cos \varphi \end{pmatrix}$$

2D rotation matrix

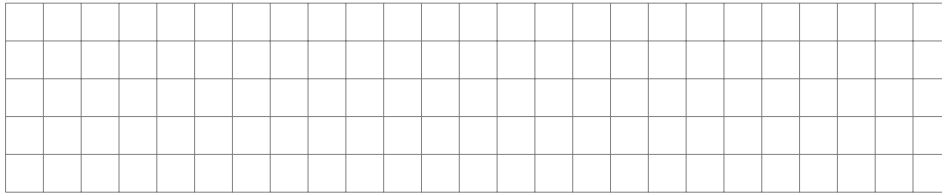
$$y = D_\varphi^{-1} x = D_{-\varphi} x$$

$$\Rightarrow v(x) := u(y) = u(D_{-\varphi} x) \quad \forall x \in \text{domain of the rotated image}$$

- discrete image case: problem !

For  $x \in \text{domain of notated image}$ , in general  $D_{-\varphi} x \notin \text{domain of original image}$ <sup>1</sup>

Way out:  $v(x) := \text{interpolation}$  between the  $u(\cdot)$  of the 4 surrounding pixels of  $D_{-\varphi}$



Something to think about:

What happens in the limit (?) if we, starting with an image (discrete or continuous), repeatedly switch between discrete and continuous, non-stop ... ?

Does the answer depend on the way of switching ? (continuous  $\rightarrow$  discrete: midpoint or average, discrete  $\rightarrow$  continuous: nearest neighbour or bilinear?)

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<sup>1</sup>it's not an integer

# Kapitel 3

## 3. Histogramm and first applications

### 3.1 The histogram

**Definition 3.1** (histogram). Let  $\Omega \subset \mathbb{Z}^d$ ,  $F \subset \mathbb{R}$  discrete and  $u : \Omega \rightarrow F$  a discrete image. The function

$$H_u : F \rightarrow \mathbb{N}_0 \quad (:= \mathbb{N} \cup \{0\})$$

with

$$H_u(k) := \# \{x \in \Omega : u(x) = k\}, \quad k \in F$$

is called *histogramm* of the image  $u$ .

$H_u(k)$  counts how often colour  $k$  appears in  $u$ .

$$\sum_{k \in F} H_u(k) = |\Omega| = \text{number of pixels in the whole image}$$

or

$$\frac{H_u(k)}{|\Omega|} = \begin{array}{l} \text{relative frequency of colour } k \text{ in image } u \\ \text{(relative H\u00e4ufigkeit)} \end{array}$$

**Beispiel 3.2.**  $u =$ 


 has  $H_u =$ 


If  $u$  is a continuous image,  $H_u$  can be understood as measure (generalized function)<sup>1</sup>.

Another way to write this:

$$H_u(k) = \sum_{x \in \Omega} \delta_{u(x)}(k), \quad k \in F \qquad H_u(k) = \int_{\Omega} \delta_{u(x)}(k) dx, \quad k \in F$$

hier fehlt noch das Kronecker underarrow

Matlab-Code

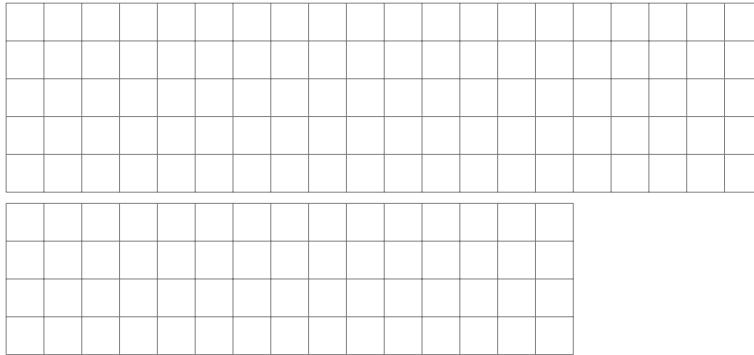
### 3.2 Application: contrast enhancement

If the image only uses a small part of the available colour/grayscale „palette“  $F$ , then its contrast can be improved by „spreading“ the histogram over all of  $F$ .

Simple idea:

---

<sup>1</sup>density of a probability distribution



$$v = \varphi \circ u$$

$$v(k) = \varphi(u(k))$$

The above simple idea („contrast stretching“) corresponds to

$$\begin{aligned} \varphi : k_{\min} &\mapsto 0 \\ k_{\max} &\mapsto N \\ &\text{and linear in between} \end{aligned}$$

i.e.  $\varphi(k) = \left\lceil \frac{k - k_{\min}}{k_{\max} - k_{\min}} \right\rceil$

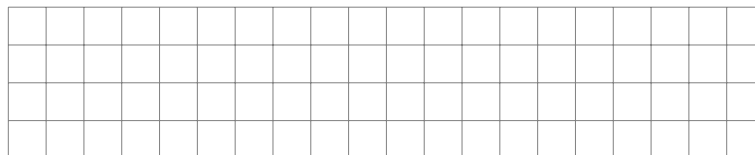
Where  $\lceil \cdot \rceil$  means ...rounding to the nearest integer (assuming that  $F = \{0, 1, \dots, N\}$ ).

A bit more sophisticated:

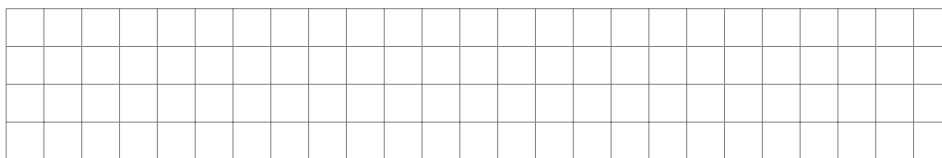
$$\begin{aligned} \varphi : (k_{\min} &\mapsto 0) \\ k_{\max} &\mapsto N \\ &\text{and **non** linear in between} \end{aligned}$$

such that colour ranges that occur more frequently in  $u$  can occupy a larger range of colours in  $v$ .  
( $\Rightarrow$  visibility  $\uparrow$ )

Example histogram:

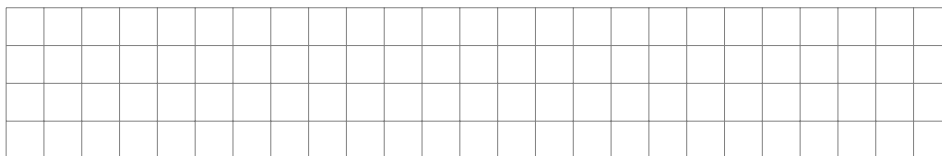


spread out according to frequency of occurrence:



$\Rightarrow$  „density“ is equalized over  $F = \{0, \dots, N\}$

Ideal would be:



Layout S.12 u

Note: The new colours (i.e the location of the bars in the histogram of  $u$ ) only depend on the frequencies / height of the bars in  $H_u$  but not on the colours/location of the bars in  $H_u$



Finally: The formula

$$\varphi(k) = \left[ \frac{N}{|\Omega|} \sum_{l=0}^k H_u(l) \right]$$

This process is called „histogramm equalization“

## Exercise ?!

### 3.3 Another application: conversion to b/w

Task: convert grayscale image to black white

- interesting for object detection/*segmentation* ...!

Idea: Find a threshold  $t \in T$  s.t. the histogram splits into two „characteristic“ parts

[illegible]

For  $t \in F$  put

$$\text{black} := \{k \in F : k \leq t\}$$

$$\text{white} := \{k \in F : k > t\}$$

and

$$\tilde{u} := \begin{cases} 0, & u(x) \in \text{black} \\ 1, & u(x) \in \text{white} \end{cases} \quad \tilde{F} = \{0, 1\}$$

How to find the threshold  $t$ :

### 1.) Shape based methods

If the histogramm is „biomodal“

$$\text{Put } t := \frac{k_{\max_1} + k_{\max_2}}{2}$$

or  $t := k_{\min}$
