

# KNX for Safety Critical Environments

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zur Erlangung des akademischen Grades

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# KNX for Safety Critical Environments

MASTER'S THESIS

submitted in partial fulfillment of the requirements for the degree of

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in

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by

**B.Sc. Harald Glanzer**

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Vienna, 1.12.2014

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# Acknowledgements

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# Abstract

According to the guidelines of the faculty, an abstract in English has to be inserted here.

# **Kurzfassung**

Hier fügen Sie die Kurzfassung auf Deutsch gemäß den Vorgaben der Fakultät ein.

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# CHAPTER 1

## Introduction

### 1.1 Structure of the Master's Thesis

Motivation

Problem Statement

Aim of the work

Structure of the work

# CHAPTER 2

## State of the art

### 2.1 Security

The basic building stones of information security are confidentiality, integrity and availability, also called the *CIA - triad* [1]. **Confidentiality** is used to protect sensitive information from eavesdroppers who are not allowed to get knowledge of that information. **Integrity** ensures that some kind of information can not be altered by third-parties, or that such a modification can be detected by the receiver of the information, and also includes information non-repudiation. **Availability** ensures that information, which is needed by an entity to provide some service, is accessible. All three properties go hand-in-hand with each other because a successful attack on one property may allow attacking another one. For example, if a confidentiality attack against a computer system responsible for money transfers can be conducted to steal a password used for controlling this system, an attacker can subsequently render the system unusable, therefore compromising availability. On the other hand, the attacker could also try to remain undetected and change booking orders, thus mounting an attack against the integrity of the system. Thus, these 3 basic concepts are interleaved, and building a system which honors only parts of them will most likely lead to an insecure system.

Additionally to the CIA - triad, sometimes two more concepts are used in the security field: **Authenticity** is tied to integrity and ensures the property of being genuine, while **Accountability** allows to link actions performed on a system uniquely to the entity responsible for them.

## 2.2 Cryptography

The tool to achieve information security is cryptography. Cryptography<sup>1</sup> is the science of encrypting information. The evolution of cryptography was no linear process. Ciphers were used independently in different places, were forgotten and disappeared when the corresponding civilization died. Nevertheless, basics are found thousands of years ago, therefore a short time table for prominent events is presented:

One of the oldest witnesses for cryptography are hieroglyphs used in Egypt about 2000 B.C., forming the predecessor of a simple substitution cipher. 500 B.C., the "sky-tale" was used by greek and spartan military leaders, performing a transposition cipher. Another classical example was the "Caesar Cipher", used by its inventor about 100 B.C. to hide information by replacing every letter of the alphabet by a letter some fixed number down the alphabet, thus performing a substitution cipher. Ahmas al-Qalqashandi, an egypt writer, introduced the frequency analysis, a method for breaking substitution ciphers, in the 14th century. About 300 years later, the "Geheime Kabinets-Kanzlei" in Vienna routinely intercepts, copies and re-seals diplomatic correspondence to embassies, and manages to decrypt a great percentage of the ciphertexts. In the beginning of the 20th century, the first cryptographic device called "Enigma"<sup>2</sup> is patented for commercial use and is later used in World War 2 by german troops for military communication. Successful attacks against the "Enigma" cipher are demonstrated by polish mathematicians even before outbreak of the war, and systematic decryption of "Enigma" - based ciphertexts are conducted in Bleatchley Park, U.K., by using so called "Turing-Bombs", giving the allies invaluable advantages. The second half of the 20th century brings the introduction of public key cryptography with it: in 1976 Whitfield Diffie and Martin Hellman specify a protocol for key exchange, based on a public key system developed by Ralph Merkle, and one year later, the RSA public key encryption is found by the american mathematicians Rivest, Shamir and Adleman.

Cryptography is basically the art of hiding information by turning cleartext data into a pseudo-random looking stream or block of bits, called ciphertext, using some kind of *key*. This process is referred to as *encryption* in general, but it is important to note that for many block ciphers, this encryption process can also be used to generate a special tag called **Message Authentication Code (MAC)**, providing authenticity, as explained in section ??.

Key, clear- and cipher text all are strings built from the alphabet  $\mathcal{A}$ .

- $\mathcal{A}$  is a finite set, denoting the alphabet used, for example  $\mathcal{A} = \{0, 1\}$
- $\{0, 1\}^n$  denotes the set of all possible strings with length  $n$

---

<sup>1</sup>classical greek for *kryptôs*: *concealed*

<sup>2</sup>classical greek for "riddle"

- $\mathcal{M}$  is the message space, consisting of all strings that can be built with the underlying alphabet
- $\mathcal{C}$  is the ciphertext space, also consisting of the strings from the alphabet  $\mathcal{A} = \{0, 1\}$
- $\mathcal{K}$  is called keyspace, also built from the alphabet. Every element  $e \in \mathcal{K}$  is called a key and determines the function  $\mathcal{M} \rightarrow \mathcal{C}$ . This function,  $E_e$  is called the *encryption function*.

$$ciphertext = E_e(e, cleartext)$$

Unauthorized parties - lacking the used key - should, by looking at the ciphertext, learn absolutely nothing about the hidden cleartext beside the length of the origin message. Authorized parties, on the other hand, are able to retrieve the original data out of the ciphertext by using the key with polynomial work, thus reversing the encryption. This reversing process is called *decryption*.

- For every key  $d \in \mathcal{K}$ ,  $D_d$  denotes the function from  $\mathcal{C} \rightarrow \mathcal{M}$ , and is called *decryption function*.

$$cleartext = D_d(d, ciphertext)$$

The keys  $e$  and  $d$  are also referred to as *keypair*, written  $(e, d)$ . If it is computationally easy to derive the private key  $e$  from the public key  $d$  (in most cases  $e = d$ ), the encryption scheme is called *symmetric*, otherwise the scheme is called *asymmetric*.

Combining this properties yields a cipher or *encryption scheme* defined over  $(\mathcal{K}, \mathcal{M}, \mathcal{C})$ , which is a pair of *efficient*<sup>3</sup> algorithms s.t.

$$\begin{aligned}\mathcal{K} \times \mathcal{M} &\rightarrow \mathcal{C} \\ \mathcal{K} \times \mathcal{C} &\rightarrow \mathcal{M}\end{aligned}$$

The correctness property ensures for every pair of  $(e, d) \in \mathcal{K}$  and for every message  $m \in \mathcal{M}$  that encryption is reverseable, i.e. it must hold that

$$m = D_d(d, (E_e(e, m)))$$

---

<sup>3</sup>"runs in polynomial time"

## Kerckhoff's Principle

When designing ciphers, a fundamental question is what components of it must be protected from public knowledge, and what parts can be published without compromising the security of the system. A cipher is considered secure if it is not breakable by an adversary in a reasonable time frame [2], where this time frame is a function of the useful timespan of the protected data. This synonymously means that an adversary must spend exponential work. It follows that *every* cipher can be broken in principle by mounting the "brute-force" attack, searching the correct  $n$ -bit key in the exponential big key space  $2^n$ . Thus, such an exhaustive search must be rendered impracticable by using a suitable large key space to obtain a secure cipher.

The dutch cryptographer Auguste Kerckhoff added some additional rules for designing a secure cipher. According to *Kerckhoff's Principle* stated 1883, among other properties, a secure system should not rely on the secrecy of its components, the only part that should be kept secret is the key alone.

Mapped to the definitions above, the sets  $\mathcal{M}, \mathcal{C}, \mathcal{K}$ , as well as the transformation functions  $E_e$  and  $D_d$ , must not be secret. The only thing that has to be kept private is the keypair  $(e, d)$ .

This separation of key and algorithm allows the publication of the basic cipher methods, benefiting from peer review. The contradicting approach by trying to hide the inner workings from public to increase security is also known as "Security by Obscurity".

Another definition of a secure cipher was introduced by Shannon in 1949 [3], viewed from a communication-theory point of view. Depending on the message space, there is a finite number of possible cleartext messages, each occurring with its own *a priori* probability. These messages are encrypted and sent to the receiver. An eavesdropper, intercepting messages, can calculate the *a posteriori* probabilities for all possible cleartext messages, leading to the observed cipher text. If both probabilities are the same, the attacker has learned absolutely nothing from intercepting the cipher text, which is defined by Shannon as *perfect secrecy*. A prerequisite for a perfectly secure cipher is that the key space is at least as big as the message space. Otherwise there will exist cleartext messages which are mapped to the same cipher texts, and thus *a priori* and *a posteriori* probabilities will change.

In contrast, *semantic security* can be seen as a weaker form of security, namely perfect secrecy against an adversary having only polynomially bounded processing powers.

Because all cryptographic schemes rely on the generation of random numbers, a short introduction to probabilistic theory and **Pseudo Random Number Generator (PRNG)** is given, followed by a introduction to the most important representatives for symmetric and asymmetric ciphers.

## 2.3 Randomness and Probabilistic Theory

A basic requirement of all cryptographic schemes is the availability of randomness. *Entropy* is the unit of the unpredictability of a process, and was also defined by Shannon. The higher the predictability, or in other words, the more likely an event, the lower its entropy. Flipping a "fair" coin is a canonical example of a process with maximum entropy, because every coin flip has a probability of  $\frac{1}{2}$ , and all flips are independent from each other [4]. If obtaining heads of the coin is viewed as a logical "0" and tails as a logical "1", a binary string of arbitrary length can be built, where the probability of all possible strings of same length is equal, as shown in figure 2.1, yielding a *uniform distribution*.

The importance of random numbers in cryptography is founded on the nature of the cipher used, as will be shown in the next sections. For example, stream ciphers generate a keystream which is used for encryption. If the keystream is predictable by an adversary, the security of the cipher is reduced. Similar arguments are valid for block ciphers, which often rely on an initial value called **Initialization Vector (IV)** for encryption. As a last example, many protocols rely on determining a random prime number. Again, if such a prime number can be narrowed down within some borders, this fact may weaken the encryption process.

A fundamental problem in generating random numbers by utilizing computing devices is the deterministic nature of an algorithm:

*"Anyone who considers arithmetical methods of producing random digits is, of course, in a state of sin."*<sup>4</sup>

Such numbers are therefore called *pseudorandom*. Lots of cryptographic products suffered serious flaws because of relying on a broken **PRNG**. A historical example of such a broken random number generator, outputting biased (i.e., not uniformly distributed values) was "RANDU", invented by IBM in the 1960s. The generator belongs to the class of multiplicative congruential algorithms as proposed by Lehmer [5], which can in principle generate random numbers of sufficient quality, *if* the correct parameters are chosen. Random values can be obtained after setting an initial value for  $I_0$ , called *seed*, and repeatedly executing the calculation

$$I_{j+1} = 65539 * I_j \pmod{2^{31}}$$

One problem is that consecutive values generated by RANDU are not independent, a fact that can be seen in figure 2.2. To obtain the plot, 10000 uniformly distributed random numbers were chosen as initial seeds for  $I_i$  and plotted as x-values.  $I_{i+1}$  served

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<sup>4</sup>John von Neumann, 1951

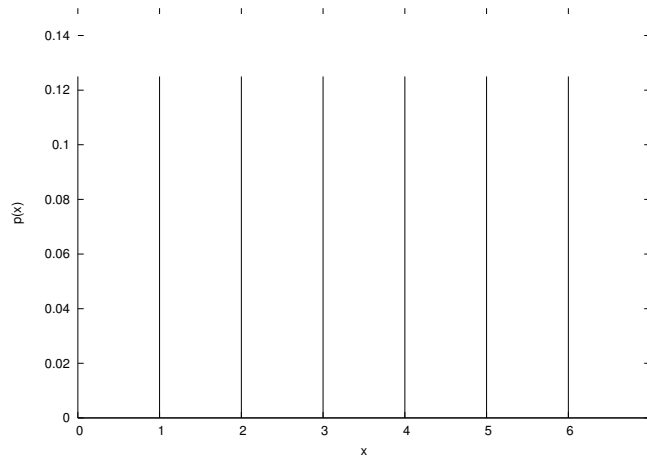


Figure 2.1: Uniform Distribution of binary string of length 3

as  $y$ - and  $I_{i+2}$  as  $z$ -values. While one would suspect that all points would be equally distributed in space, a clear pattern is visible, indicating that the values are correlated.

To assess the quality of a **PRNG**, beside of such spectral tests lots of additional tests are available, see [6] for details.

To encounter the shortcomings of a **PRNG**, a **True Random Number Generator (TRNG)** uses a natural process as non-deterministic data source, for example thermal noise of a semi conductor, cosmic noise from space or digital oscillators.

The used hardware platform, the RaspberryPi, offers a hardware number generator - the quality of its provided random numbers will be subject to various statistical tests, see chapter ?? for the results.

## 2.4 Symmetric vs. Asymmetric Cryptography

As stated above, two very fundamental differences regarding the key used in a cryptographic system can be found. Symmetric ciphers, where in the most cases the same key is used for encryption and decryption, outperform its asymmetric counterparts in regards of data throughput by a factor of about 1000 [7]. Additionally, they need shorter keys to achieve the same level of security - both arguments encourage it's use in embedded devices because of its less computing and memory demands.

The big disadvantage of symmetric ciphers is that the key must be known to sender and receiver of the message *before* secure communication can take place. This constitutes some kind of chicken-egg problem: to be able to send encrypted data, the key must be distributed, i.e. a secure channel has to be setup first for key exchange. But if such a secure channel can be established, it could also be used for transmitting the sensitive

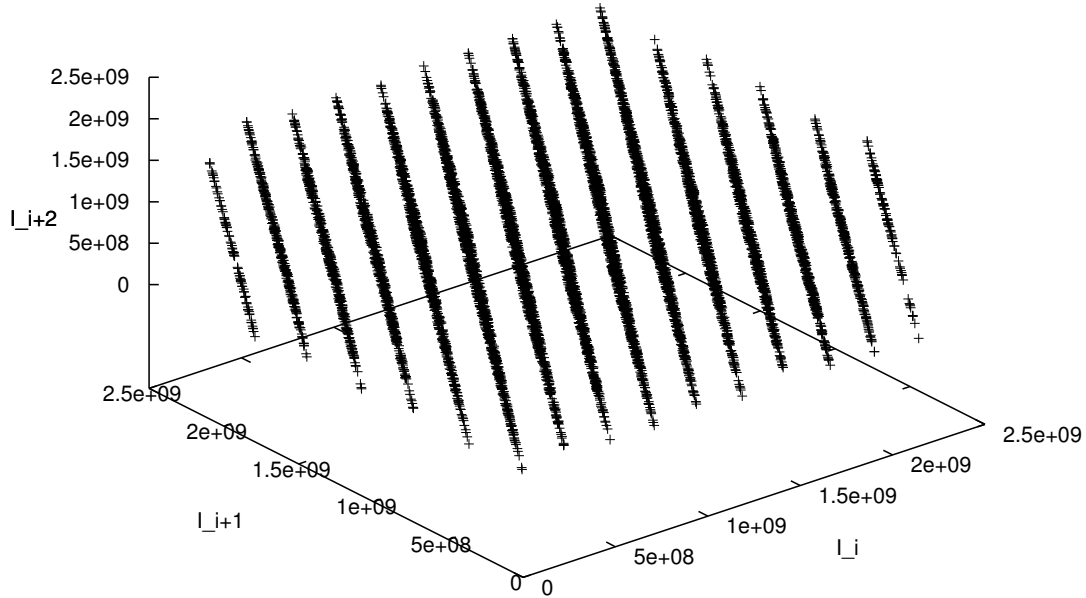


Figure 2.2: Spectral Plot of RANDU output

data themselves.

Asymmetric or public key cryptography solves the problem of key distribution by using two different keys, belonging to the same key pair: the *private* key must be protected from disclosure, while the *public* key can be published without harming security. For encryption, the public key of the receiver is used, who in turn will use his private key to decrypt the message.

To be able to take benefit from the advantages of both schemes, a hybrid approach is possible: at first, public key cryptography is used to negotiate a symmetric session key, which then can be used to encrypt the actual, sensitive data.

## Stream Ciphers

Most stream ciphers belong to the family of symmetric ciphers, thus  $e_i = d_i$ . The reason is that most asymmetric ciphers are deterministic ciphers, i.e. the encryption of the same message with a fixed public key always yields the same cipher text. Thus, such



repeated messages can be detected by an adversary. Probabilistic public-key encryption can solve this problem for stream ciphers, but this scheme will not be handled in this work because of its low practical application.

For encryption, stream ciphers take arbitrary long messages (from the message space  $\mathcal{M}$ ), and encrypt them to the corresponding ciphertext (out of the ciphertext-space  $\mathcal{C}$ ), by applying one digit of the message to one digit of the key. It is valid to say that a streamcipher is a block cipher with blocklength 1.

- A keystream is a sequence of symbols  $e_0, e_1, \dots, e_n$ , all taken from the keyspace  $\mathcal{K}$

The encryption function  $E_e$  performs the substitution  $c_i = E_e(e_i, m_i)$ , producing one encrypted symbol at a time. Analogously, the decryption function inverts this substitution:  $m_i = D_d(d_i, c_i)$ .

## The Vernam Cipher

This cipher, also called **One Time Pad (OTP)**, was invented by Gilbert Vernam in 1918, and belongs to the family of polyalphabetic stream ciphers, which means that every character of the origin message is mapped to another character of the same alphabet. In contrast to a monoalphabetical cipher, there is no fixed mapping between the input and output characters. The substitution is achieved by generating a keystream and by executing a bit-wise **Exclusive-Or (XOR)** operation, as defined in table 2.4, of key and message.

		$\oplus$
0	0	0
0	1	1
1	0	1
1	1	0

Decryption can be achieved by applying the **XOR** operation to key and ciphertext:

$$m_i = c_i \oplus k_i = (m_i \oplus k_i) \oplus k_i = m_i, \text{ with } k_i \oplus k_i = 0, \text{ const} \oplus 0 = \text{const}$$

Obviously, the security of the cipher heavily depends on the quality of the **PRNG**. If a truly random source is used to generate the key stream, this cipher has perfect secrecy: for a n-character ciphertext, **all** n-character cleartexts are equally probable, and vice versa. The reason for this is the **XOR** operation: both possible outcomes are both equally probable, introducing one bit of randomness into every data bit.

Additionally, the **XOR** operation can be built easily in hardware, accelerating the encryption or decryption process.

Nevertheless, the cipher can be completely broken if the same key is used for encrypting more than one cleartext message, allowing to mount an attack based on frequency analysis. If an attacker is able to intercept a high number of different ciphertexts, all encrypted with the same key, the pairwise xor'ing of the ciphertexts yields the xor-combination of the corresponding cleartexts, because

$$m_1 \oplus m_2 = (c_1 \oplus k) \oplus (c_2 \oplus k) = c_1 \oplus c_2 \oplus k \oplus k = c_1 \oplus c_2 \oplus 0 = c_1 \oplus c_2$$

Whenever the same character is present in two different ciphertexts at the same position, the result of the **XOR** operation will be 0x00, allowing to draw inferences about the language used. By utilizing frequency analysis, the used key can be determined position by position with effort bounded by  $O(n^2)$ .

### Stream Ciphers based on **Linear Feedback Shift Register (LFSR)**

An disadvantage of the Vernam cipher is that a key of equal length as the message is necessary. To mitigate this problem, a **LFSR** can be used to generate a key of proper length from a much shorter, initial key. Such **LFSR** are denoted by  $\langle L, C(D) \rangle$ .  $L$  is the number of stages, and  $C(D)$  is the *connection polynomial*. Because of the finite length, every **LFSR** can only take on a finite number of internal states, producing a periodic output sequence. If the degree of the connection polynomial is equal to the number of stages and the connection polynomial is irreducible (i.e. the polynomial can not be factored into 2 non-constant polynomials), no matter of the initial state, the output sequence produced will always be of maximum periodicity.

Figure 2.3 shows a 4 stage non-singular **LFSR** with

$$L = 4, C(D) = 1 + D + D^4,$$

Table 2.4 [8] shows the corresponding output sequence produced. After 15 shifts a state equal to the initial state is achieved, and the outputs begin to repeat.

While such **LFSR** can be easily built in hardware, a problematic fact remains that their *linear complexity* is bounded by  $L$ . Therefore, a **LFSR** should never be used as keystream generator directly, instead the outputs of different **LFSR** are combined by a non-linear function, thus obtaining a nonlinear generator.

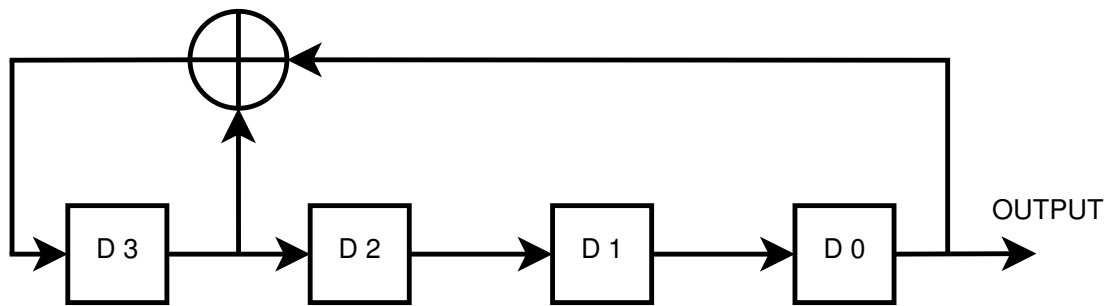


Figure 2.3: 4 Stage LFSR

t	$D_3$	$D_2$	$D_1$	$D_0$
0	0	1	1	0
1	0	0	1	1
2	1	0	0	1
3	0	1	0	0
4	0	0	1	0
5	0	0	0	1
6	1	0	0	0
7	1	1	0	0

t	$D_3$	$D_2$	$D_1$	$D_0$
8	1	1	1	0
9	1	1	1	1
10	0	1	1	1
11	1	0	1	1
12	0	1	0	1
13	1	0	1	0
14	1	1	0	1
15	0	1	1	0

1

## Block Ciphers

These ciphers operate on input blocks of fixed size, transforming them into output blocks of same size. This implies that larger messages must be broken into suitable blocks, and that for the last remaining block it may be necessary to add padding bytes to yield the full block size, adding overhead to the message - a disadvantage compared to stream ciphers. For example, to encrypt a message just exceeding the block size by one byte, for the excess byte a complete block must be concatenated.

On the other hand, while stream ciphers are strictly sequential by nature, there exist methods to speed up block ciphers by splitting the message first, and then process them in parallel<sup>5</sup>.

Two main types of block cipher exist: *transposition* ciphers use a key-dependent permutation to re-order the characters of the block to obtain the ciphertext. This is a bijective transformation, so decryption can be achieved by simply reversing the permutation.

<sup>5</sup>Counter Mode, see 2.4

Substitution ciphers define a key-dependent mapping of characters from the alphabet  $\mathcal{A}$  to the same alphabet, thus replacing every character by one or more other characters. In the latter case, this equals an injective function which can not be reversed directly.

A product cipher is a combination of ciphers of different types to achieve a higher level of security than possible as with the basic ciphers.

Feistel networks are special product ciphers, composed of **Substitution-Permutation (SP)** networks. They were first described by Horst Feistel in the year 1973[9], and are the basis of a variety of block ciphers like "LUCIFER" [10], developed by Feistel, and **Data Encryption Standard (DES)**.

Figure 2.4 shows the principal layout of such ciphers: at first, the plaintext block of length  $2n$ -bits is divided into two  $n$ -bits blocks, often called  $L_0$  and  $R_0$  for left and right block, respectively. After that the first round starts: every round is characterized by performing a substitution, followed by a permutation of the two half-blocks. For substitution, at first a *round function*, parametrized by a *round key* is applied to one half of the data block, followed by a **XOR** operation. The output of the rounds can be calculated according to the formulas shown in 2.4

$$\begin{array}{lcl}
 \text{Encryption of round 1:} & L_1 = R_0 & \\
 & R_1 = L_0 \oplus F(k_1, R_0) & \\
 \hline
 \text{Encryption of round 2:} & L_2 = R_1 & \\
 & R_2 = L_1 \oplus F(k_2, R_1) & \\
 \hline
 \dots & & \\
 \hline
 \text{Encryption of round n:} & L_n = R_{n-1} & \\
 & R_n = L_{n-1} \oplus F(k_n, R_{n-1}) & 
 \end{array}$$

Decryption is achieved by applying the ciphertext to the same network, with the round keys applied in reverse order, reducing hardware- respectively code size, as shown in 2.4. Because decryption does not rely on reversing the round function, there is no necessity for the round function to be bijective.

$$\begin{array}{lcl}
 \text{Decryption of round n:} & R_{n-1} = L_n & \\
 & L_{n-1} = R_n \oplus F(k_n, R_{n-1}) = R_n \oplus F(k_n, L_n) & 
 \end{array}$$

### **DES and Triple Data Encryption Standard (3DES)**

**DES**, designed by IBM and published by **National Institute of Standards and Technology (NIST)** in 1977 [11], encrypts 64 bit blocks in 16 processing rounds.

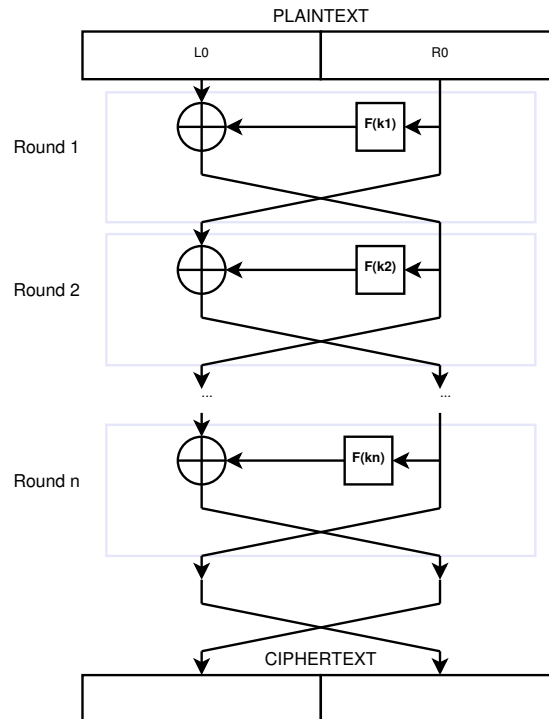


Figure 2.4: Feistel Substitution-Permutation Network

For every round, a 56 bit round key is derived from the basic 56 bit key by permutations. The 64 bit data block to be encrypted respectively decrypted is subjected to an initial permutation and then feed into the Feistel network. The round function operates as follows:

At first, the 32 bit half block is expanded to 48 bit by copying specific bits. The outcome is added to the round key modulo 2 (i.e., the **XOR** operation). Next, a non-linear transformation is applied by so-called "S-Boxes", performing a surjective function by substituting blocks of 6 bit by only 4 bit. Lastly, a deterministic permutation follows, achieved through "P-Boxes", concluding the round function.

Because of the small key size, **DES** was successfully broken for the first time<sup>6</sup> by a brute-force attack in 1997.

To prevent such attacks, **3DES** was published: the cleartext- respectively cipertext block is feed 3 times to the **DES** cipher, using 3 different keys  $k_1, k_2, k_3$  to first encrypt with  $k_1$ , decrypt with  $k_2$  and finally encrypt with  $k_3$ , effectively tripling the key size:

$$ciphertext = E(k_3(D(k_2, E(k_1, cleartext))))$$

<sup>6</sup>At least officially - rumors about the involvement of the **National Security Agency (NSA)** regarding the small key size and the design of the S-Boxes existed since the publication

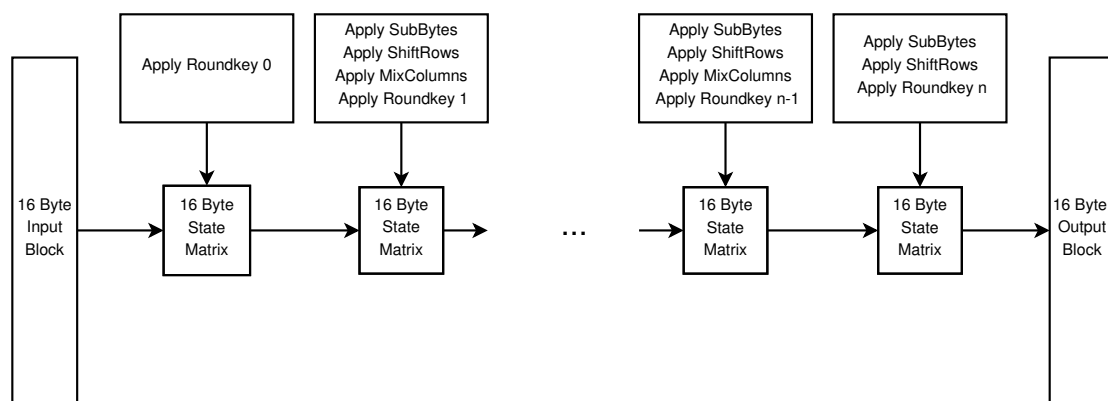


Figure 2.5: AES Encryption Process

The special sequence of encryption, decryption and again encrypting was chosen because by setting  $k_1 = k_2 = k_3$ , a **3DES** implementation can also be used for en/decryption of **DES** messages.

### Advanced Encryption Standard (AES)

Also called "Rijndael" after its developers Joan Daemen und Vincent Rijmen, **AES** is the successor of **3DES**, as proposed by the **NIST** in 2001. Basic properties are a block size of 128 bit, and possible key sizes of 128, 192 or 256 bit.

The operation, shown in figure 2.5, starts by copying the input block into a square matrix, called "State", followed by a **XOR** combination of the first round key and the matrix. Then, 9, 11 or 13 rounds, depending on the key size, are performed: substitution by S-Boxes, permutation by shifting rows, another substitution by mixing columns and applying the round key. A last round, omitting the mix-columns stage, concludes the encryption. Operating on the whole data block, **AES** is not a Feistel network, therefore all substitutions and permutations must be reversible to allow decryption: the S-Box used here is therefore implementing byte-by-byte substitutions. The round keys are derived from the origin key by the **AES** key expansion.

Decryption uses the round keys in reverse order. To reverse the first substitution of every round, a unique inverse S-Box is used, while the shifting rows and mixing columns can also be reversed.

FIXME: S-Box berechnung erklaren?? stallings, principles and practice

## Mode of Operation

Because block ciphers operate on a fixed number of bytes, messages larger than this block size must be broken into parts of suitable size, and depending on the resulting size of the last block, it may be necessary to append a padding to it. Five different such modes were defined by **NIST** in 2001 [12], which will be introduced in the next sections. For all modes it does not matter what underlying block cipher is used, as long as the block cipher implements a cryptographic secure function.

An important property of this modes is the error propagation. Whenever a bit error occurs on the transmission channel due to noise or interference, a logical '0' of the transmitted cipher text is substituted by a logical '1' or vice versa. This bit error in the cipher text produces one or more bit errors in the clear text, thus the name error propagation [13].

### Electronic Code Book (ECB)

**ECB** can be used to gain confidentiality and allows the parallel processing of all input blocks. This mode does not use any **IV** or nonce, therefore repeating input blocks are mapped to the same output blocks under the same key. This is problematic, which can be seen quite intuitively by comparing figures 2.6 and 2.7. Therefor this mode should be avoided.

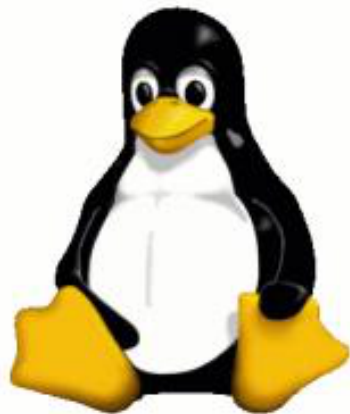


Figure 2.6: Unencrypted Picture



Figure 2.7: **ECB** encryption of the picture

## Cipher Block Chaining (CBC)

This mode uses an **IV** and can therefore be used for encryption of same messages without changing the key. Additionally, **CBC** can also be used for **MAC** generation, as explained in section 2.5.

Encrypting a message is shown in figure 2.8.

$$\begin{aligned}C_0 &= E(k, (M_0 \oplus IV)) \\C_1 &= E(k, (M_1 \oplus C_0)) \\&\dots \\C_i &= E(k, (M_i \oplus C_{i-1}))\end{aligned}$$

To reverse the process, i.e. decrypt the message, see figure 2.8

$$\begin{aligned}M_0 &= D(k, C_0) \oplus IV \\M_1 &= D(k, C_1) \oplus C_0 \\&\dots \\M_i &= D(k, C_i) \oplus C_{i-1}\end{aligned}$$

The **IV** does not have to be kept private, but must be known to the receiver of the message. It is important that such an **IV** is unpredictable, otherwise allowing a **Chosen Plaintext Attack (CPA)**. Also, it must not repeat over the lifetime of the key, otherwise introducing the **ECB** problem again.

The **IV** introduces overhead, which is more problematic for shorter messages. To avoid such a message expansion, a solution is to use a "nonce", which stands for "number used *once*", as suggested in [14]. Sender and receiver must maintain a message counter. This message counter must be encrypted to avoid predictability, and can then be used as **IV**. Care must be taken for the counter not to overflow within the lifetime of a key.

## Counter Mode (CTR)

This confidentiality mode generates a key stream by encrypting a counter value with a block cipher. The key stream is then applied to the cleartext blocks with the **XOR** operation, as shown in figure 2.10. For the last block, the key stream is truncated to match the size of the cleartext block.

Decryption works by generating the same key stream on the receiver's side, and applying the **XOR** operation to the ciphertext blocks, similar to the decryption process used in the Vernam cipher.

To avoid the duplicate usage of the same counter value in bidirectional communication, the counter can be combined with a sender-dependent nonce by concatenation before encrypting the counter:



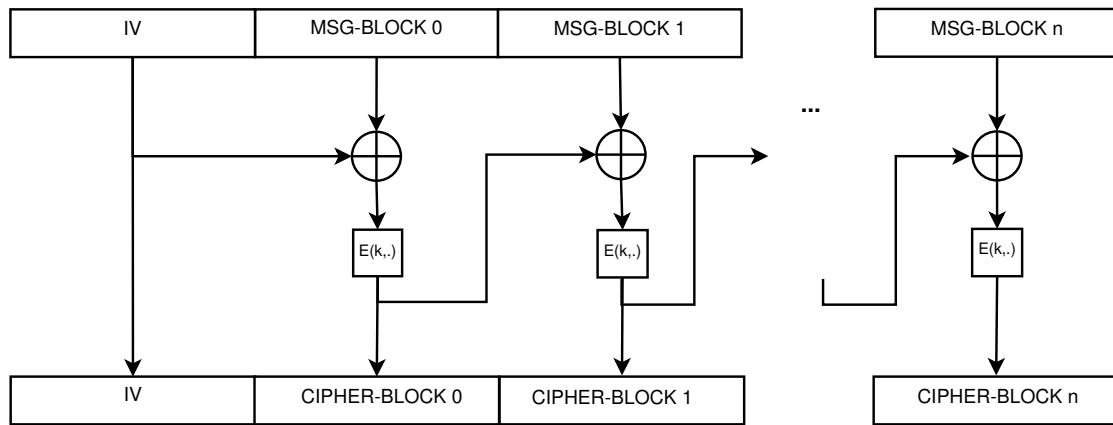


Figure 2.8: Cipher Block Chaining for encrypting messages

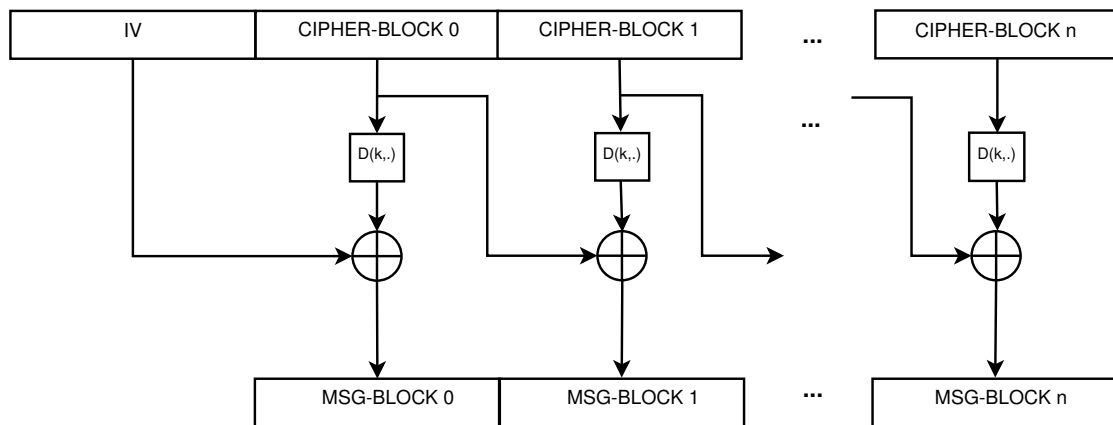


Figure 2.9: Cipher Block Chaining for decrypting messages

$$\begin{aligned}
 K_0 &= E(k, nonce || Ctr_0) \\
 K_1 &= E(k, nonce || Ctr_1) \\
 &\dots \\
 K_i &= E(k, nonce || Ctr_i) \\
 C_i &= K_i \oplus M_i
 \end{aligned}$$

### Cipher Feedback Mode (CFB)

**CFB** can be used to turn a block cipher into a stream cipher. Beside the block size  $b$ , another parameter  $s$  determines the operation.  $s$  corresponds to the size of one transmission unit. For initialization, an unpredictable **IV** is set as input for the underlying block

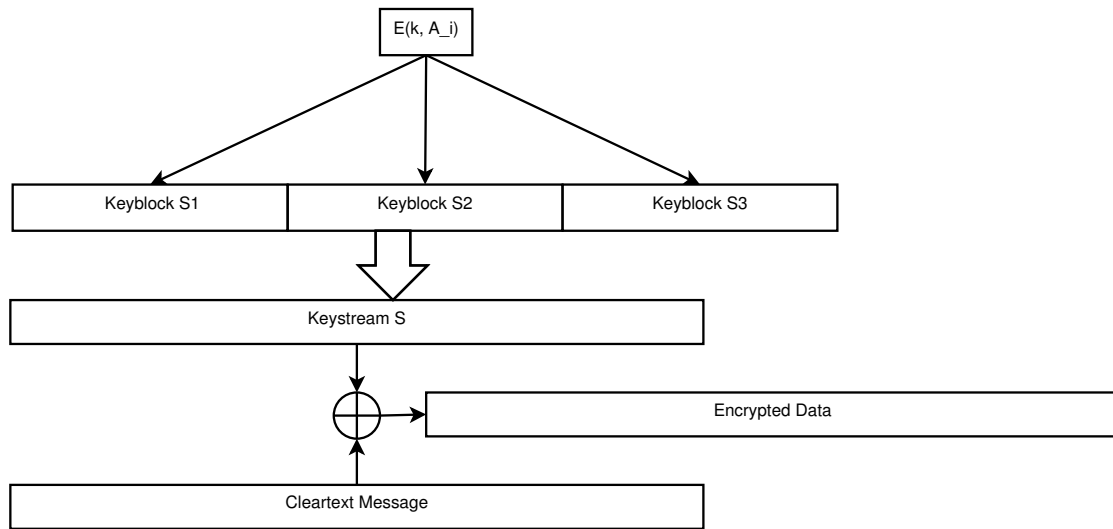


Figure 2.10: CTR Encryption

cipher. Then, in every processing step a new transmission unit is generated by **XOR**ing the  $s$  most significant bits of the output of the encryption function with the  $s$  bit message unit. After that, the **IV** is shifted to the left and the gap is filled by the newly generated character, as shown in figure 2.11:

$$\begin{aligned}
 C[0] &= E(k, IV[0 : b-1])[b-1 : b-s-1] \oplus M[0] \\
 C[1] &= E(k, IV[0 : b-s-1] || C[0])[b-1 : b-s-1] \oplus M[1] \\
 &\dots \\
 C[n] &= E(k, IV[0 : b-n s - 1] || C[0] || C[1] || \dots || C[n-1])[b-1 : b-s-1] \oplus M[n-1]
 \end{aligned}$$

To decrypt, the same encryption function, **IV** and key is used to retrieve one transmission unit at a time.

### Output Feedback Mode (OFB)

This mode is very similar to **CFB**, but here the  $s$  bit output from the encryption function is used directly to update the space caused by the **IV** left shift. This avoids error propagation in case a transmission unit was damaged on transmit and thus a bit changed its value: **OFB** one or more bit errors in one ciphertext character only affects the decryption of this character. In contrast, one bit error in **CFB** affects decryption of all following characters.

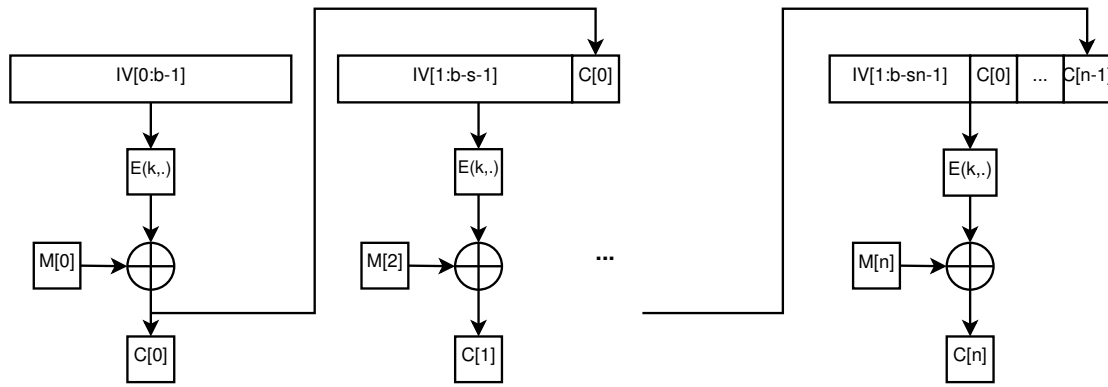


Figure 2.11: CFB Encryption

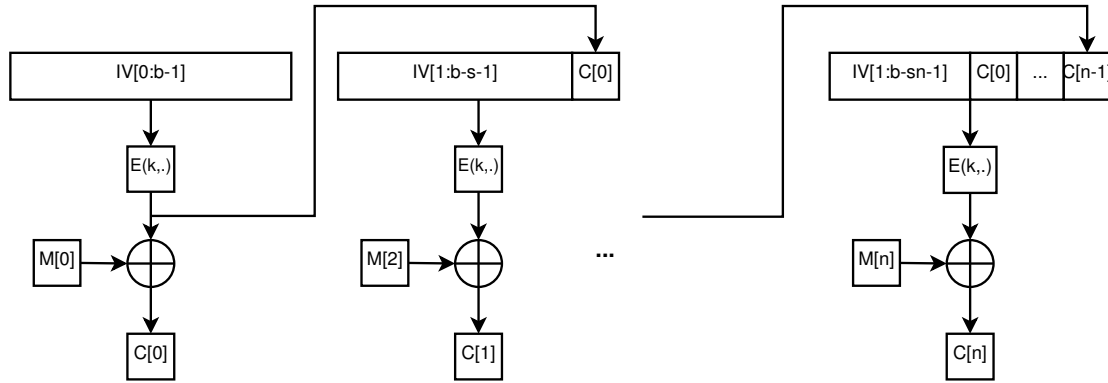


Figure 2.12: OFB Encryption

$$O_0 = E(k, IV[0 : b - 1])[0 : s - 1]$$

$$C[0] = O_0 \oplus M[0]$$

$$O_1 = E(k, IV[0 : b - 1] || O_0)[0 : s - 1]$$

$$C[1] = O_1 \oplus M[1]$$

...

$$O_n = E(k, IV[0 : ns - 1] || O_0 || O_1 || \dots || O_{n-1})[0 : s - 1]$$

$$C[n] = O_n \oplus M[n]$$

## 2.5 Public Key Cryptography

Public Key Cryptography solves the problem of establishing a secure channel by using an insecure one. Here sender and recipient use two different keys: one for encryption, called *public key*, the other for decryption, called *private key*. This key pair belongs together, hence this scheme is also called *asymmetric* encryption. A fundamental requirement is that it must be hard to derive the decryption key from the encryption key. This behavior is achieved by some kind of public known one-way function where it is computationally easy to calculate the result of  $f(x) = y$ , but only given  $y$ , it is computationally - in the domain of processing power and/or memory - hard to reverse this function to get  $x$ . The basic idea for such a one-way function was formulated for the first time in the year 1874 by William Stanley Jevons stating:

*"Can the reader say what two numbers multiplied together will produce the number 8616460799? I think it unlikely that anyone but myself will ever know."* [15]

Although his statement was not related to cryptography at all, and of course factoring of much bigger numbers is doable nowadays, this statement exactly describes the spirit of public key systems, and the security of RSA, introduced below, is directly connected to the inability to factor large numbers in reasonable time.

Because disclosure of the public key does not affect the security of the scheme, the public key can be published in some sort of dictionary. An entity wanting to send an encrypted message to a receiver can then look up the receiver's public key, encrypt the message and send the resulting ciphertext to the recipient, who then can decrypt the message.

It is remarkable that any algorithm establishing public keys must authenticate its participants, or it will be vulnerable to man-in-the-middle attacks.

### Merkle Puzzles

In [16], Ralph C. Merkle developed an algorithm for key exchange between two parties. While the algorithm is based on symmetric ciphers and is not practicable, it motivates the usage of public key systems based on algebraic structures and is therefor introduced.

The key idea is that the necessary work by the two legitimate parties when negotiating a key is bounded by  $O(n)$ , while an adversary must spend  $O(n^2)$  to also calculate the key, thus generating a quadratic gap. Merkle defines a puzzle as cipher text that is supposed to be broken. This can be achieved by restricting the size of the symmetric key used such that an exhaustive search can be finished in feasible time. Every puz-

zle contains an id and a session key, booth chosen randomly, as well as a static string, known to all participants.

The party initiating the key exchange, called  $X$ , generates  $n$  such puzzles and sends all of them to the receiver  $Y$ .  $Y$  picks one puzzle at random and decrypts it by trying all possible keys. Because of the static string inside the puzzle,  $Y$  knows for sure when the correct key has been tried.  $Y$  then extracts the session key and sends the corresponding id back to  $X$ . Subsequently, booth parties can use the session key referenced by the id for encryption. An eavesdropper  $Z$ , monitoring all puzzles, cannot directly determine which of them is containing the returned id and therefor does not know the session key the two parties agreed on - the only possibility for  $Z$  is to attack **all** puzzles, squaring the effort spent by  $X$  and  $Y$ .

If, for example, every puzzle can be broken by  $2^{32}$  computations, and  $2^{32}$  different puzzles are used,  $X$  must prepare, save and send  $2^{32}$  puzzles to  $Y$ , who in turn must try  $2^{32}$  different keys.  $Z$  must crack all  $2^{32}$  puzzles, each with effort  $2^{32}$ , thus resulting in  $2^{64}$  processing steps.

While this algorithm is very wasteful in regards of processing power, memory and communication capacity, such a protocol would be useful if a more-than-quadratic blowup could be achieved, but unfortunately, for all algorithms based on symmetric ciphers, this quadratic gap is the best that can be achieved, as shown in [17].

In the next sections, two important public key algorithms are introduced: **Diffie-Hellman (D-H)** key exchange and **Elliptic Curve Cryptography (ECC)**. Both of them are based on finite fields, therefore a short mathematical introduction is given first.

## Finite Fields

A field consists of a set  $\mathcal{F}$  together with two operations  $\cdot$ , namely addition "+" and multiplication "\*", satisfying the following properties:

- Closure: for all elements from  $\mathcal{F}$ , the set is closed under the defined operations, i.e. applying an operator  $\cdot$  to two elements from the set results in an element also belonging to the set.
- Associativity and Commutativity hold, i.e.  $a \cdot (b \cdot c) = (a \cdot b) \cdot c$  and  $a \cdot b = b \cdot a$
- For all elements from the set  $\mathcal{F}$  an identity element  $e$  exists s.t.  $a \cdot e = a$
- For all elements from the set  $\mathcal{F} \setminus \{0\}$  an inverse element exists s.t.  $a \cdot a^{-1} = e$
- Distributivity holds:  $(a + b) \cdot c = a \cdot c + b \cdot c$  for all elements

For a finite field, the size of the set  $\mathcal{F}$  is finite and called *order* of the field, with the identities "0" for addition and "1" for multiplication. Inverses can be found to for all elements regarding addition s.t.  $a + (-a) = e = 0$  and regarding multiplication for all elements except 0 s.t.  $a * a^{-1} = e = 1$ .

A finite field can be constructed by taking the set of integers  $\mathbb{Z} \pmod{p}$ , with  $p \in \mathbb{P}$ , thus restricting the set of all integers to the set  $\mathbb{Z}_p = \{0, 1, \dots, p-1\}$ . By choosing  $p$  as prime it is ensured that for any elements  $a \in \mathcal{F} \setminus \{0\}$ , a multiplicative inverse exists:  $a * a^{-1} = 1 \pmod{p}$ . The set of numbers for which multiplicative inverses exist is called  $\mathbb{Z}_p^*$ , so for a  $p$  being prime,  $\mathbb{Z}_p = \mathbb{Z}_p^*$ .

By raising an element  $a \in \mathbb{Z}_p$  to different powers, a subgroup of  $\mathbb{Z}_p^*$  is generated, a fact that follows from Fermat's little theorem stating that for  $p$  being prime and raising  $a$  to the power  $p-1$ , the outcome is congruent 1 modulo  $p$ :

$$a^{p-1} \equiv 1 \pmod{p}$$

Raising  $a$  to higher powers results in:

$$a^p \equiv a * a^{p-1} \equiv a \pmod{p}$$

...

$$a^{2p} \equiv a^2 * a^{p-1} * a^{p-1} \equiv a^2 \pmod{p}$$

Thus, generating higher powers than  $p-1$  does not yield different outcomes. If by raising  $a$  to  $(p-1)$  different powers all elements from  $\mathbb{Z}_p^*$  can be generated,  $a$  is called *primitive root* or *generator*. If  $p$  is prime it can be shown that at least one such generator  $g$  must exist. Conversely this means that for all elements from  $\mathbb{Z}_p^*$  a unique exponent in the interval  $[0, p-1]$  exists, called the *discrete logarithm for the base  $g \pmod{p}$* . Finding the discrete logarithm is considered a hard problem, a fact that is exploited by the **D-H** key exchange algorithm.

## **D-H Key-Exchange**

Whitfield Diffie and Martin Hellman proposed a way to solve the problem for key-exchange based on finite fields when they published their paper *New Directions in Cryptography* in the year 1976 [18]. The algorithm enables two entities to agree on a shared secret which never has to be transmitted between them. The security of this concept. is based on the hardness of the *Discrete Logarithm Problem*.

With the original **D-H** algorithm, 2 entities -  $A$  and  $B$  - use exponentiation over finite fields to agree on a shared secret, which then can be used parametrize a block or stream

cipher. The first step for both entities is to agree on the set of parameters  $\{p, q, g\}$ , where  $p$  is a large prime,  $q$  is a prime divisor of  $p - 1$ , and  $g$  is a generator of the cyclic group  $Z_p^*$  in the range  $[1, p - 1]$ . These parameters are not secret and can thus be sent over an unsecured channel. Additionally, each entity randomly chooses an integer  $x$  from the interval  $[1, q - 1]$ , and calculates the value  $y = g^x \pmod{p}$ .  $x$  is the private key,  $y$ , which is computationally easy to calculate, is the public key.  $A$  sends its public key  $y_A \equiv g^{x_A} \pmod{p}$  to  $B$ , and  $B$  its public key  $y_B \equiv g^{x_B} \pmod{p}$  to  $A$ . Due to the characteristics of exponentiation,  $A$  and  $B$  can now easily derive the shared secret by using its counterpart's public key and raising it to the power of its own private key in the domain of  $Z_p^*$ :

$$\begin{aligned} k_B &\equiv y_A^{x_B} \equiv (g^{x_A})^{x_B} \equiv g^{x_A x_B} \pmod{p} \\ &= \\ k_A &\equiv y_B^{x_A} \equiv g^{(x_B) x_A} \equiv g^{x_B x_A} \pmod{p} \end{aligned}$$

An eavesdropper that intercepts the initially sent parameter set  $\{p, g, q\}$  and the public keys  $y_A$  and  $y_B$  and that wants to calculate the shared secret  $k_A = K_B$  must therefore calculate the discrete logarithm of  $y_A$  or  $y_B$  to the base  $g$ , i.e. must solve the **Discrete Logarithm Problem (DLP)**, a hard problem as shown in section 2.5.

## Diffie-Hellman based on Elliptic Curves

### RSA

RSA, published in 1977 by Ron Rivest, Adi Shamir, and Leonard Adleman [19], relies on the hardness of finding the prime factors of a big composite number. In contrast to **D-H**, RSA is not directly connected to key negotiation, but can instead be used to encrypt *and* sign messages.

For key generation, two large primes  $p, q$ , which should be of about same size, are chosen randomly, with  $N = pq$ . Additionally, a public exponent  $e$  and a private exponent  $d$  are chosen s.t.

$$e * d \equiv 1 \pmod{\varphi(N)} \quad (2.1)$$

$\varphi(N)$ , Euler's totient function, counts the number of integers relatively prime to  $N$ . For a prime  $p$ ,  $\varphi(p) = (p - 1)$ , therefore for the product  $p * q$  of two different primes,  $\varphi(p * q) = (p - 1) * (q - 1)$ . Additionally, Euler's theorem is used:

$$a^{\varphi(N)} \equiv 1 \pmod{N} \quad (2.2)$$

The public key consists of the pair

$$(N, e)$$

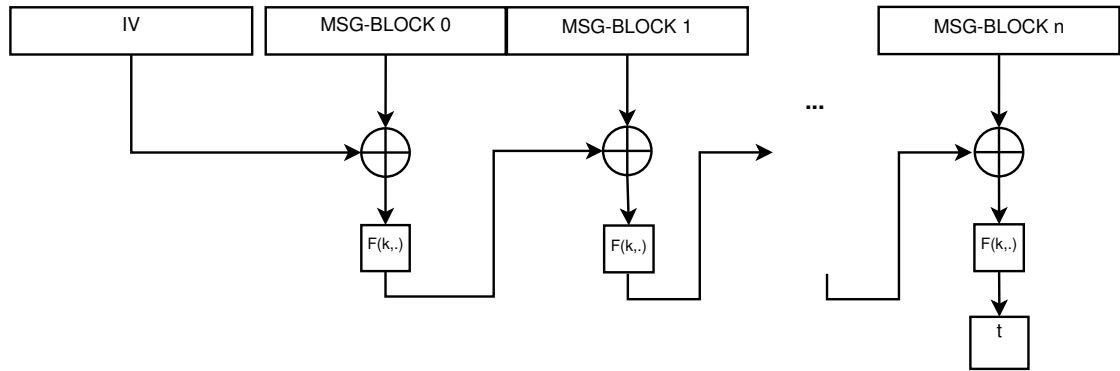


Figure 2.13: Cipher Block Chaining for generating a MAC

and the private key of the pair

$$(N, d)$$

To encrypt, the message  $M$  must be converted to an integer. Then, the sender uses the recipients public key and raises  $M$  to the power of  $e \pmod{N}$ :

$$C \equiv M^e \pmod{N}$$

To decrypt, the receiver uses his own private key to raise  $C$  to the power of  $d \pmod{N}$ :

$$M' \equiv C^d \equiv M^{d \cdot e} \pmod{N} \quad (2.3)$$

From the way  $e$  and  $d$  have been chosen in 2.1 it follows that

$$e * d = k * \varphi(N) + 1, k \in \mathbb{Z} \quad (2.4)$$

Inserting 2.4 in equation 2.3 yields:

$$M' \equiv M^{k \cdot \varphi(N) + 1} \equiv M * M^{k \cdot \varphi(N)} \pmod{N} \quad (2.5)$$

By using Euler's theorem 2.2, expression 2.5 shows that  $M = M'$ , i.e. decryption yields the correct value because

$$M' \equiv M * M^{k \cdot \varphi(N)} \equiv M * (M^{\varphi(N)})^k \equiv M * 1^k \equiv M \pmod{N}$$



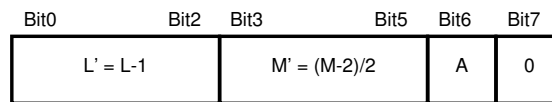


Figure 2.14: Flag Field of CBC IV

## Authenticity

### Cipher Block Chaining - CBC

## 2.6 Authenticated Encryption

### CCM

CCM<sup>7</sup>, short for *Counter with CBC-MAC* combines CBC for authentication and CTR mode for encryption. CBC generates the MAC for the message first, appends this MAC to the cleartext data and afterwards encrypts data + MAC with counter mode, thus using a *MAC-then-Encrypt* scheme. The only supported block size is 128-bit blocks, so it is possible, but not mandatory, to use 128-bit AES as underlying block cipher.

Two application dependent parameters have to be fixed first:

- **M:** Number of octets in the MAC field. A shorter MAC obviously means less overhead, but it also makes it easier for an adversary to guess the correct value of a MAC, so valid values are  $M \in \{4, 6, 8, 10, 12, 14, 16\}$ . **FIXME:** shorter MACs insecure, border=4 ?
- **L:** Number of octets in the length field. This is a trade-off between the maximum message size and the size of the nonce. Valid values are  $2 \leq L \leq 18$ . For example, when setting  $L = 2$ , 2 bytes are reserved for the length field, which means that the biggest message that can be encrypted is of size 64kB. The actual length of the message is filled into the field named 'length(msg)', as shown in figure 2.13.

Both parameters are encoded in the very first byte of the first message block, thus reducing the possible maximum size of the nonce, as shown in figure 2.14. Bit 6 of the length field is set to 1 if additional authenticated data(FIXME) are sent, and bit 7 is reserved and set to 0.

### Generating the MAC

As shown in chapter 2.5 in figure 2.13, the first message block  $M_0$  is **XOR**'d with a nonce or initialization vector(IV, see figure 2.15), which **must be unique per key**. **FIXME** The

<sup>7</sup><http://tools.ietf.org/html/rfc3610>



Figure 2.15: IV for CBC MAC

result of the **XOR** operation is then feed to the block-cipher to get the first cipherblock  $C_0$ . The encrypted data  $C_0$  gets **XOR**'d with the next message block  $M_1$ , and this result becomes the input for the block cipher, and so on, iterating over all  $n$  message blocks to determine the tag  $t$ :

$$\begin{aligned}
 C_0 &= F(k, M_0 \oplus IV) \\
 C_1 &= F(k, M_1 \oplus C_0) \\
 &\dots \\
 C_n &= F(k, M_n \oplus C_{(n-1)})
 \end{aligned}$$

The resulting tag  $t$  can be truncated, corresponding to the chosen MAC size  $M$ :

$$t = C_n[M : 0], \text{ with } M \in \{4, 6, 8, 10, 12, 14, 16\}$$

which means that the tag  $t$  consists of the least significant  $M$  bytes of the output of the last encryption block.

## Encrypting Data and MAC

Counter-mode is used for encrypting the actual payload and the concatenated, CBC mode generated MAC. Thus, authenticated encryption is achieved in a manner also called 'mac-then-encrypt'. While authenticated encryption modes implementing this ordering(generate mac first, then encrypt data and mac) *may* be vulnerable to padding oracle attacks(FIXME), counter mode effectively avoids these simply because there is no padding needed, as will be shown.

Counter mode implements a weaker form of the one time pad by generating a keystream of sufficient length, and then applying the **XOR** operation to the keystream and the data, as shown in figure 2.10.

First, keyblocks with 16 byte length each are generated by encrypting the nonce, a flag and a counter with the key. These keyblocks are then concatenated and trimmed to the proper length(=length of the message to encrypt). This obtained keystream is then bitwise **XOR**'ed with the cleartextmessage(which consists of the data and the MAC), yielding the final encryption.

## Decryption and Authenticity Check

### Attacks on CCM

FIXME: meet in the middle attack, siehe rfc 3610

## 2.7 Attacks on Ciphers

### Passive Attacks

timing attacks - constant time computation

### Active Attacks

BLABLA:

Such a cipher as defined above provides confidentiality, i.e. it ensures that only authorized parties are able to decrypt the message. This leads to other problems, namely how to determine who is authorized, i.e. how to provide authenticity, and how to assure that the message was not altered when, i.e. how to provide integrity. It turns out that such a cipher is suitable for these purposes

A system is an entity that interacts with other entities, which constitute the environment for the system and can be other systems, humans or the physical world [20]. Fundamental properties of communication systems are *functionality*, *performance*, *security and dependability*. The system provides services to the user(s) of the system through its service interface, described by the functional specification. Whenever the provided service deviates from correct service a system failure occurs. An informal definition of a dependable system is a system which delivers a service that can be justifiably trusted. More formally, dependability consists of the following attributes: *Availability*, which means that the system is ready for correct service, *reliability*, the continuity of correct service, *safety*, i.e. the avoidance of catastrophic consequences *integrity*, s.t. the system cannot be modified in an unwanted manner and *maintainability*, so that the system can be repaired in the case of a failure.

In case of a secure system, another important property is *confidentiality*, which means that no information is disclosed to unauthorized entities. To achieve

## 2.8 Security in HBA

# CHAPTER 3

## KNX

### 3.1 Introduction

**Konnex (KNX)** implements a specialized form of automated process control, dedicated to the needs of **HBA**. **KNX**<sup>1</sup> emerged from 3 leading standards namely the **European Installation Bus (EIB)**, the **European Home Systems Protocol (EHS)** and **BatiBUS**. It is an open, platform independent standard, developed by the KNX association implementing the EN50090 standard for home and building electronic systems.

To provide platform independence, the standard uses a layered structure, based on the **International Organization for Standardization (ISO) / Open System Intercommunication Model (OSI)**. Different kind of physical backends are supported, allowing it to be used in different scenarios.

**EIB** already supported interoperability between products from different manufacturers. This was achieved by the definition of the **EIB interworking standard (EIS)**, which standardizes the data transported inside the datagrams. **KNX** continued this efforts with the introduction of common **data types (DT)**, distinguishable through unique ids, thus standardizing their encoding, format, range and unit. Every **DT** groups related **data points (DPTs)**, the actual control variables of the network, together, allowing

For example, every **KNX** certified manufacturer producing a switching actuator must use the defined dataformat - an end-user can therefor exchange such an actuator without caring about compatibility issues. For configuration and parametrization of the devices, a Windows based software suite called **engineering tool software (ETS)** is used, which also offers a bus monitor for debugging.

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<sup>1</sup>connexio, latin for connetion

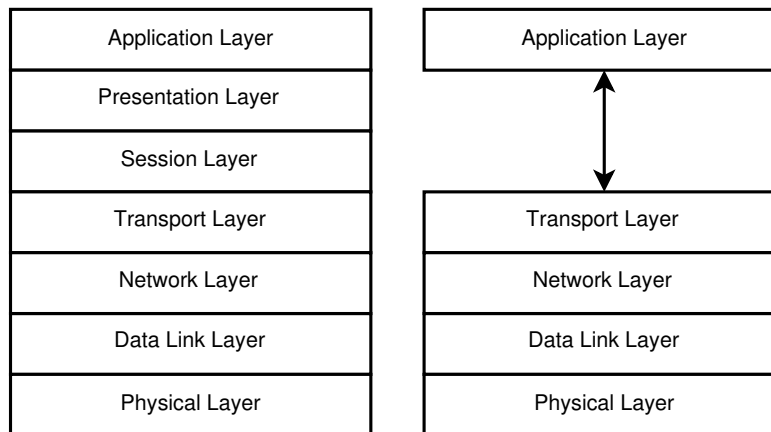


Figure 3.1: OSI Layer Model, compared to the KNX Modell

## 3.2 KNX Layers

The **OSI** standardizes the communication between different, independent systems by grouping the needed functions into 7 sublayers to provide interchangeability and abstraction. Every layer provides services to its next-higher layer, and uses the services provided by its next-lower layer. Every service is defined by standardized interfaces - that way any layer can be modified internally without compromising the function of the system, as long as the defined interfaces are implemented. This fragmentation of one service follows the paradigm of *impera et divide*<sup>2</sup> and facilitates the building of complex systems by dividing one complex problem into subsequent, less-complex problems.

KNX implements this model, omitting layers 5 and 6, as shown in figure 3.1. Data from applications are directly passed to the transport layer in a transparent way, and vice versa.

### Physical Layer

This is the lowest layer as defined by **OSI** and determines the basic transmission parameters like symbol rate, signal form but also mechanical characteristics like which connectors are used.

To provide flexibility in **KNX**, 4 different physical media are defined. **Twisted Pair (TP)-1** which was inherited from **EIB**, and is the successor of **TP-0**, as defined by Bati-BUS, is the basis medium, consisting of a twisted pair cabling. Data and power can be transmitted with one pair, so low-power devices can be fed over the bus. Data transfer is done asynchronously, with bidirectional, half-duplex communication and a data rate of 9600 bit/sec. **TP-1** uses collision avoidance, and allows all topologies beside rings.

---

<sup>2</sup>latin: *dive and rule*

Because this work is based on the **TP1** - part of KNX only, this medium will be explained in more detail in the next section.

PL110, which was also inherited from **EIB**, uses power line installations for communications. The carrier uses spread frequency shift keying, and can be used for bidirectional, half duplex communication with an even lower data rate of 1200 bit/sec. **KNX Radio Frequency (RF)** is used for short range wireless communication at 868,3 MHz. **KNXnet/Internet Protocol (IP)** allows the integration of **KNX** into networks using **Transmission Control Protocol (TCP) / IP** for communication. Here, 3 different communication modes are defined: *tunneling* mode is used for configuration and monitoring a client device by a **KNXnet/IP** server. Routing mode is used for connecting **KNX** lines over **IP**, while **KNX IP** is used for direct communication between **KNX** devices. [21]

## TP-1

The accurate name for this medium is 'Physical Layer type Twisted Pair', with variants PhL **TP-1-64** and PhL **TP-1-256**, which is backward compatible to the former one. While the first one allows the connection of up to 64 devices, the latter one allows up to 256 devices connected in a linear, star, tree or mixed topology as one physical segment, also called a *line*.

Bridges do not possess their own address and are used for galvanic separation of physical segments and for extension of TP-1-64 segments to allow up to 256 devices. Therefore, they acknowledge layer 2 frames received on one side and forward them to their second interface.

Routers have their own address space and only forward packages received on one side if the destination address is located on the other side of the router. As well as bridges, they can be used for galvanic separation and they acknowledge frames on layer 2. A **line coupler (LC)** is a router that integrates up to 16 lines into one logical object called *area*. A **backbone coupler (BbC)** is a router that connects up to 16 areas to one network, thus providing the maximum size of a network consisting of 65536 devices:

- up to 256 devices per line
- up to 16 lines per area = 4096 devices in 16 lines
- up to 16 areas for whole network = 65536<sup>3</sup> devices in 16 areas

Gateways are used to connect **KNX** networks to non-**KNX** networks.

A logical '1' is regarded as the idle state of the bus, so the transmitter of the **Medium Access Unit (MAU)** is disabled when sending a '1', i.e. the analog signal on the bus

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<sup>3</sup>it is to be noted that the actual number of usable devices is smaller because routers have their own address

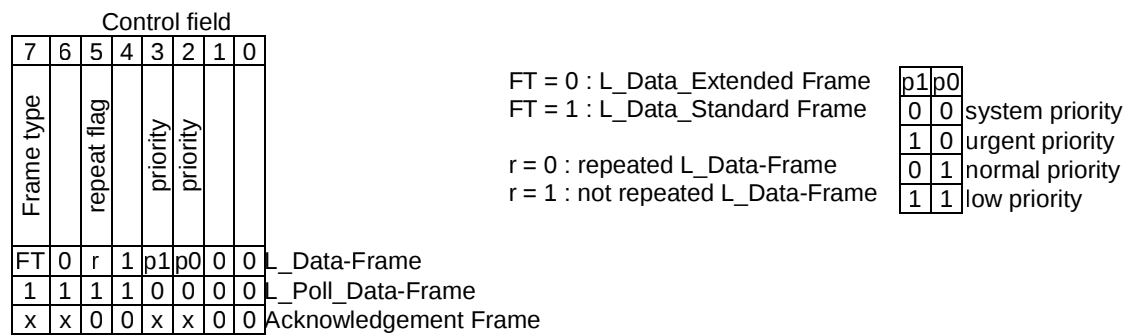


Figure 3.2: Control Field

consists only of the DC part. TP-1 uses **courier sense multiple access (CSMA)/collision avoidance (CA)** for bus access, so every devices must listen to the bus and is only allowed to begin sending when the bus is idle. In the case of a simultaneous transmission start, a logical '1' of one device will eventually be overwritten by a logical '0' of the other device. The overruled sender will detect this by continuously checking the state of the bus and has to stop transmission. This behavior is be used to implement priority control and is exploited by the next layer.

## Data Link Layer for TP1

This layer is responsible for error detection, retransmission of corrupted packages, framing of the higher level packages into suitable frames and accessing the bus according to the rules used by the particular bus medium. It is often broken into 2 distinct sublayers, namely the **Medium Access (MAC)** as bus arbiter and the **logical link control (LLC)**, providing a reliable point-to-point datagram service.

Three frame formats are defined: L\_Data frames are used for sending a data payload to an individual address, a group address or for broadcasting data to the bus. L\_Poll\_Data frames are used to request data from an individual knx device or a group of devices. Acknowledgement frames are used to provide a reliable transport mechanism, i.e. to acknowledge the reception of a frame by a knx device.

For L\_Data\_Frame, 2 different formats are defined: standard frames, as shown in figure 3.3 and extended frames, see figure 3.5. While standard frames can bear up to 15 bytes of application data, extended frames allow the transmission of up to 254 bytes of data.

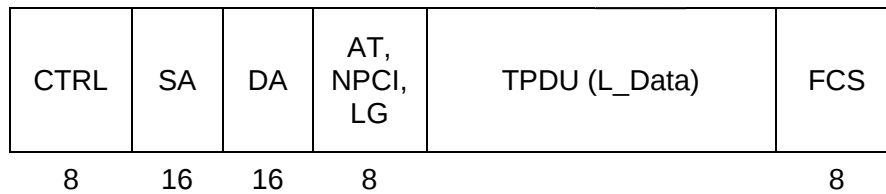


Figure 3.3: Standard Frame

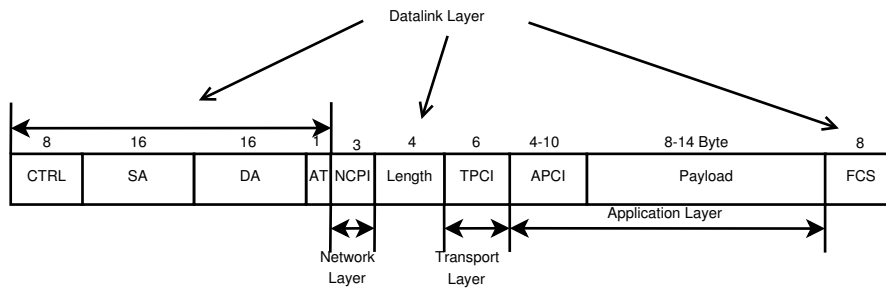


Figure 3.4: Standard Frame, in detail

### Standard L\_Data\_Frame

Every standard frame starts with the control field, determining the frame type. After that, sender address and destination address, each 2 byte, follow. The next byte contains 1 address type bit, 3 bits which belong to the **Link Service Data Unit (LSDU)** of the next higher layer and 4 bits of length information, resulting in an maximum payload of 15 bytes (by design, it is also allowed to set this length field to 0, i.e. to send an empty data frame). After the corresponding number of payload bytes, a check byte completes the frame. This check frame is defined as an odd parity over all preceding bytes, which represents a logical NOT XOR function.

### Extended L\_Data\_Frame

The extended frame starts with a control field, as a standard frame. After that, a special **Extended Control Field (CTRLE)** follows, as shown in figure 3.6. Source- and destination addresses, each 2 bytes, follow. To allow the bigger payload, the next byte is used as length field, with the value 0xFF reserved as escape code. After that, the payload and the check byte, as defined above, follow.

### L\_Poll\_Data Frame

These frames serve as data requests of the poll-data master for a maximum of 15 bytes and start with a control field, as defined, followed by the 2 byte source address of the





Figure 3.5: Extended Frame

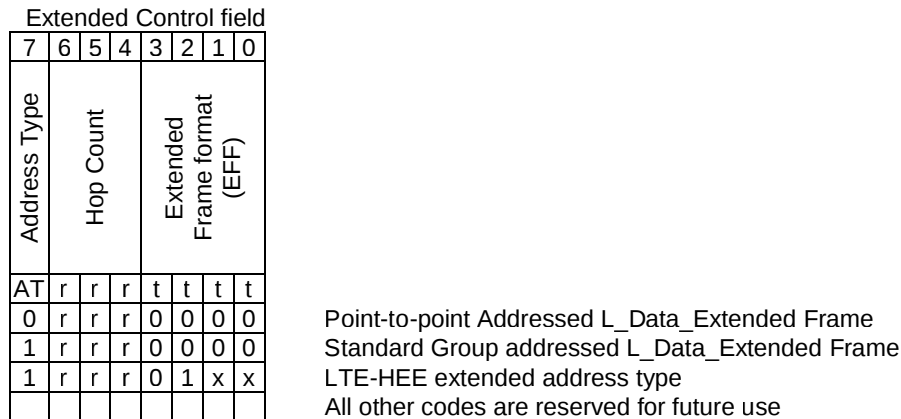


Figure 3.6: Extended Frame CTRLE field

sender(called Poll\_Data Master). The following 2 byte destination address is used to address up to 15 poll slaves, all belonging to the same poll group. The number of expected bytes and the check octet follow.

Poll requests are answered by poll slaves by transmitting the databytes in the corresponding poll slave slot. This is achieved by defining exact timings when each poll data slave must send the requested data. Therefore, such frames can only be used within one physical segment [22].

### Acknowledge Frame

This frames are used to acknowledge the reception of a knx data frames(FIXME: ONLY DATAFRAMES??) for group- or individual addresses and consist of one byte, sent after a fixed timespan after reception of the frame.

### KNX addressing scheme

Two different kinds of addresses are defined: group- and individual addresses, which type is used is determined by the 'address type' flag in the control field of the datagram(0 = individual address). While the source address always is an individual address and must be unique within the network, the destination address can be of type group or individual (see figure 3.7 for the layout).

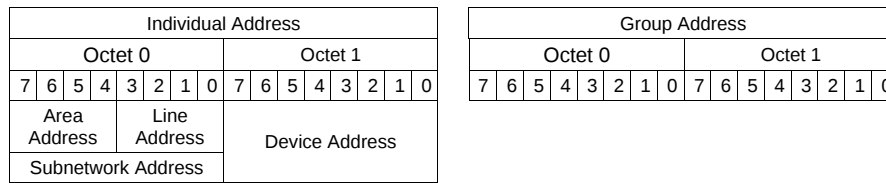


Figure 3.7: KNX individual and group addresses

For poll-data frames, the destination address determines the *poll group address* which must be unique within the physical segment.

Poll data responses as well as acknowledgement frames each just contain 1 byte, i.e. they do not possess source- and destination addresses.

## Network Layer

The main task of the network layer is the routing and forwarding of packets, so the main parameter on this layer is the destination address of the datagram. Additionally, **KNX** reserves 3 bits of every standard- and extended data frame as *hop count*. This counter is decremented by every router and the frame is discarded if the counter reaches the value zero. This mechanism, known from **Internet Protocol version 4 (IPv4)** [23]<sup>4</sup>, avoids the infinite circulation of packages within an incorrectly configured network.

## Transport Layer

According to the **OSI** modell, this layer provides point-to-point communication for hosts.

In **KNX**, the connection orientated, reliable point-to-point communication mode addresses the individual address of a remote device and uses a timer to detect timeouts. Up to 3 retransmissions are allowed if the sent datagram is not acknowledged. A simple handshake - similar to **transport control protocol (TCP)** - is used, as shown in figure. 3.8.

All other modes are unreliable, i.e. unacknowledged, transport mechanisms and can be used to address individual addresses, group addresses or all devices in the network. For the latter mode, the special **KNX** broadcast address 0x0000 is reserved. The **transport layer protocol control information (TPCI)**, included in the control field, determines the type of the **transport layer protocol data unit (TPDU)** and also possesses a 4 bit sequence number by which duplicate datagrams, caused by damaged acknowledge-frames, can be discarded.

<sup>4</sup>Originally, this was called **time-to-live (TTL)**

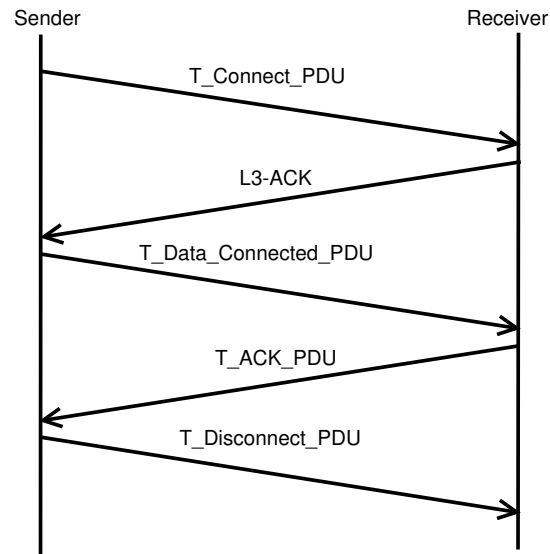


Figure 3.8: Handshake for connection-orientated communication

Octet 5								Octet 6							
								transport ctrl field							
7	6	5	4	3	2	1	0	7	6	5	4	3	2	1	0
Address Type (AT)								Data/Control Flag Numbered							
1								0	0	0	0	0	0		
1								0	0	0	0	0	0		
1								0	0	0	0	0	1		
0								0	0	0	0	0	0		
0								0	1						
										SeqNo					
										SeqNo					
										SeqNo					
										SeqNo					
0								1	0	0	0	0	0	0	0
0								1	0	0	0	0	0	0	1
0								1	1					1	0
										SeqNo					
										SeqNo					
										SeqNo					
										SeqNo					
0								1	1					1	1
										SeqNo					
										SeqNo					
										SeqNo					
										SeqNo					

T\_Data\_Broadcast-PDU (destination\_address = 0)  
 T\_Data\_Group-PDU (destination\_address <> 0)  
 T\_Data\_Tag\_Group-PDU  
 T\_Data\_Individual-PDU  
 T\_Data\_Connected-PDU  
  
 T\_Connect-PDU  
 T\_Disconnect-PDU  
 T\_ACK-PDU  
  
 T\_NAK-PDU

Figure 3.9: Flags used at the Transport Layer

## Session Layer

This layer is responsible for maintaining sessions, i.e. it provides services to maintain synchronized data exchange. It does not exist in KNX.

## Presentation Layer

This layer allows a system-independent data representation, which is not necessary in KNX because the useage of standardized DTs.

## Application Layer

This layer provides services for process-to-process information through a KNX network. Up to 10 bits are reserved in the application control field, inside the application layer protocol data unit (APDU), containing the application layer service code. The provided services range from tasks like reading or writing group values, distribution of network parameters to obtaining device information.

## 3.3 KNX security concept

In the early days of HBA, communication security was not considered a critical requirement: firstly, the communication was done over wires, i.e. physical access to the network would have been necessary for attacking the network. Secondly, the possible threats by misusing applications like lights- or shutters-switching were considered negligible. Additionally, the devices used in such networks were characterized by very limited processing power - thus, the comprehensive use of encryption would have put remarkable computing loads onto these devices and was therefore considered impracticable.

The basic KNX standard therefor does not specify any security mechanisms for control information:

*"For KNX, security is a minor concern, as any breach of security requires local access to the network. In the case of KNX TP1 or KNX PL110 networks this requires even physical access to the network wires, which in nearly all cases is impossible as the wires are inside a building or buried underground. Hence, security aspects are less of a concern for KNX field level media." [24]*

For KNX/IP, the physical containment arguments do not apply. To counter this, it is proposed to use firewalls and Virtual Private Networks (VPNs) to prevent unauthorized access, as well as hiding critical network parameters from public. The latter concept is also known as "security by obscurity", offering - if at all - only little protection.

For management communication, a rudimentary, password-based control is used. Therefore, **KNX** suffers the following security flaws [25]: for management, the used keys are transmitted as cleartext, enabling an attacker to perform a passive attack to obtain the password. Subsequently, the attacker can mount an active attack, injecting arbitrary management messages. No methods are foreseen for generation or distribution of the keys. For control information, an adversary can directly inject arbitrary messages to control the network, allowing passive and active attacks too. These shortcomings clearly disqualified **KNX** for usage in critical environments, restricting its possible field of application.

Today, **HBA** systems are used on a large scale, and the available processing power on embedded computing platforms has risen significantly, so the deployment of such systems would be possible also in critical environments, under the condition that proper security mechanisms are deployed. For **KNX**, several extensions exist which will be introduced in the next sections.

## **KNX Data Security**

In 2013, the KNX association published "Application Note 158" [26] which specifies the **KNX Secure-Application Layer (S-AL)**, providing authentication and encryption, and the **Application Interface Layer (AIL)**, implementing access control, both being part of the application layer. The settlement of these functions above the transport layer allows a transparent, communication media independent end-to-end encryption.

The application layer service code 0xF31 is reserved for this purpose, indicating that a secure header and a **S-AL protocol data unit (PDU)** follow instead of a plaintext-**PDU**. This allows the flexible usage of the secure services just in situations where they are needed - otherwise, the plaintext application layer services can be used.

The **S-AL** services defines modes for authenticated encryption or authentication-only of a higher-level cleartext **APDU**. As underlying block cipher **AES128** is used in **Counter with CBC MAC (CCM)** mode, encrypting the payload with **CTR** and providing integrity with **CBC** mode. The overhead introduced by the **MAC** is reduced by using only the 32 most significant bits instead of the whole 128 bit block obtained from **CBC**. Source- and destination address as well as frame- and address type, the **TPCI**, length information and a 6 byte sequence number determine the **IV** for the **CBC** algorithm and are therefore also protected by the **MAC**. The sequence number is a simple counter value that provides data freshness, thus preventing replay attacks, and is sent along with every **S-AL PDU**. For synchronization of this sequence number between two devices, a **S-AL sync-service** is defined. Because no sequence number can be used here to guarantee data freshness, a challenge-response mechanism is used instead. Two different types of keys are used: a **Factory Default Setup Key (FDSK)** is used for initial setup with the **ETS**. The **ETS** then generates the **Tool Key (TK)**, which is used by the device for

securing of the outgoing messages. Consequently, every device must know the **TK** of its communication partners.

While the **S-AL** empowers two devices to communicate in a secure way, the **AIL** allows a fine-grained control which sender has access to which data objects. Therefore, every *link* (a combination of source address and data or service object) is connected with a *role*, which in turn has some specific *permissions*.

## EIBsec

EIBsec is another extension to **KNX**, providing data integrity, confidentiality and freshness, allowing its deployment in security-critical environments. A semi-centralized approach is taken here by using special key servers, responsible for dedicated sets of keys, providing a sophisticated key management. EIBsec divides a **KNX** network into sub-nets, connected by devices called **Advanced Coupler Unit (ACU)**. Beside their native task, i.e. routing traffic, they are responsible for the key management of their network segments, which includes key generation, distribution and revocation. Every standard device that wishes to communicate with other devices must at first retrieve the corresponding secret key from its responsible **ACU**, which can therefor control the group membership of the requesting device by allowing or denying the request respectively by revoking the key at a later point in time.

EIBsec uses two different keys: in normal mode, a session with the keyserver is established to retrieve the session key, establishing a secure channel. This mode uses encryption-only by utilizing a **Pre Shared Key (PSK)**, integrity must therefor be guaranteed by the sender of the message. Counter mode is used for transmitting management and group data over the secure channel. A simple **Cyclic Redundancy Check (CRC)** is added to the payload before encryption and shall guarantee integrity. Both modes encrypt the traffic on transport level, allowing standard routers to handle the datagrams. As block cipher, **AES-128** is used in **CTR** mode.

FIXME: zitieren / originalpaper...?

## KNX IP Security

This work focuses on securing the **KNX IP** specification, which can be used as backbone for connecting distinct **KNX** installations [27]. Thanks to the widespread use of **TCP/IP**, a wide range of physical transport mechanisms can be utilized.

A structure comparable to the design of **Transport Layer Security (TLS)** is defined by building a distinct security layer, residing above the transport layer (therefore, it directly connects the transport to the application layer, because session- and presentation layer are empty, as defined by the **KNX** specifications, see chapter 3).

The design distinguishes 3 different types of modes:

in the *configuration phase*, every device that wants to participate in the secure network generates an asymmetric key pair, which is sent to the **ETS** over a secure channel (for example, by transmitting the data over a direct, serial connection between the **ETS** host and the **KNX** device). The **ETS**, acting as **Certification Authority (CA)**, signs the combination of **IP** and public key with its own private key, thus generating a certificate, which is sent back to the device, along with the public key of the **ETS**.

After that, the *key set distribution phase* starts, where a unicast and a multicast scenario are differentiated: in the unicast case, the device initiating the communication - called client - obtains the key set from the target device by a 2-step handshake: at first, mutual entity authenticity is established by utilizing the certificate provided by the **ETS**. Afterwards the keyset is obtained from the target, which concludes the second phase.

In the last step, secure communication can take place, i.e. the client is able to encrypt the data with the obtained key and sends it to the target device.

For the multicast scenario, a distinct *coordinator*, responsible for maintaining the group key, is necessary. Every powered-up device identifies the coordinator as soon as possible by broadcasting *hello* requests, adopting the coordinator role if no replies are received in time. To actually send a payload, the group key is obtained from the coordinator and the data is sent to the group, analog to the unicast case. By adding mechanisms to detect "dead" coordinators and delegating the coordinator role to a different device, the design avoids a **Single Point of Failure (SPOF)**.

## 3.4 Summary

## Solution

### 4.1 Basic Assumptions

One of the main purposes of this work is to establish secure knx communication in a transparent way, so a device outside of this network, unaware of the secured knx network, should be able to deliver through and receive messages from such a secured network without any prerequisites. Every device with one connection to an unsecured knx network and at least one connection to a secured knx network, running the master daemon, will work as a security gateway. Thus, the presence of at least 2 of these security gateways connected to each other by one or more secure lines will constitute a secured knx area, bridging between areas with low security levels, as shown in figure 4.1.

The basic tasks of such security gateways consist of:

- establishing keys with its communication partners within the secured knx network(the security gateways)
- maintaining some kind of synchronization token between all security gateways
- encrypting and authenticating all messages which are received on the unsecured line, and delivering them to the proper security gateways which act as border device for the given group address, using booth secure lines, to achieve redundancy
- checking all messages which are received on the secured lines for integrity and authenticity, removing duplicates, unwrapping and delivering them to the unsecured area



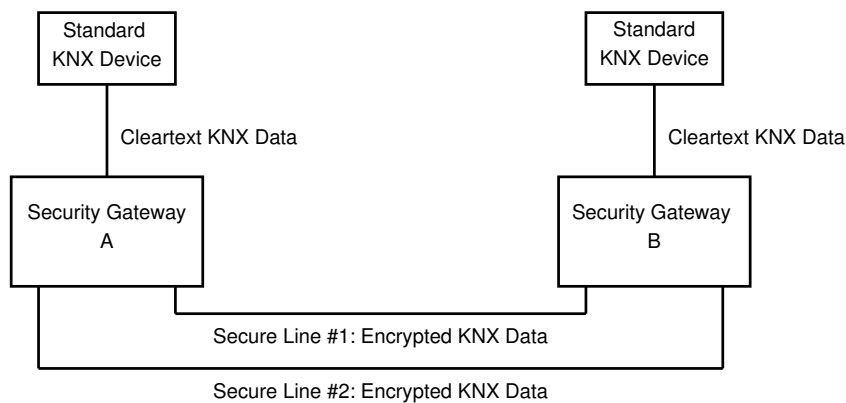


Figure 4.1: Secure Area

As stated in chapter 3, 3 different possibilities for communication within a KNX network are possible: point to point, multicast and broadcast. To introduce as little additional traffic into the network as possible, a sound concept for translating of clear- to secure-KNX address(and vice versa) has to be defined. While in principle it would be possible to use the communication modes in a transparent way(for example, point-to-point in unsecured knx translates to point-to-point in secured knx, and vice versa, and so on), this approach leads to some serious problems, rendering this solution impracticable: due to the topology of KNX, it is impossible to know a priori the exact physical location of a device(i.e., its individual address). Additionally, every device can be member of an arbitrary number of group addresses(bounded by the maximum number of group addresses), which also is not known in advance. Group-membership is also subject to change and therefore worsens the situation. Finally, devices can leave or join the network at every moment by powering the device up or down. Therefore, a device which receives a message on its unsecured knx line, examining the destination address, simply does not know which device(s), if any, will be the gateway(s) responsible for delivering this datagram one hop toward its final destination, regardless if the destination address is a group- or an individual address.

A straightforward solution to this problem would be to wrap every datagram which enters the secured knx network via a security gateway into a new, properly secured broadcast datagram, and delivering this new package to the secured knx network. Then, the package would be available to all other security gateways, which will unwrap it and forward the resulting inner datagram to its unsecured knx line. If the destination address(group or individual) of the actual payload is assigned to a device connected to the unsecured knx network, the device holding this individual- or group-address will recognize it and the package will reach its destination. Otherwise it will simply be discarded.

A serious constraint rising from this broadcast approach is that a single, global net-

work key must be used, because every security gateway must be able to decrypt and check every package which it receives on its secured lines, even if it does not serve as gateway to the wanted group address. The key of course can be renegotiated among the security gateways at every time, but this approach is considered unsafe because an attacker can target *any* of the security gateways constituting the secure network. An adversary breaking one single device gains access to the network traffic of all devices. This could be achieved by physical access to any of the security gateways, for example by reading out the memory of the device, and thus obtaining the globally used network key. This way, the network traffic can be decrypted by the adversary as long as no new key is renegotiated. Another problem is that multi-party key negotiation is a costly task if a public-private key scheme is to be used: as shown in figures 4.3 and 4.4, a lot of messages have to be exchanged before actual an encryption can be done.

To encounter this problems, different keys must be used. This way it is also possible to achieve different security levels, depending on the function a particular unsecured knx device fulfills. It would be possible, for example, to distinguish between 'normal' gateways and 'hardened' gateways which are specially guarded against physical access, for example by applying physical intrusion detection. Thus, the risk of breaking the whole system is reduced, because breaking a device in one security level does not affect the security of the devices with other security levels. So, for breaking all  $n$  security levels of a system, at least  $n$  devices, all belonging to different levels must be broken. As a motivating example, imagine a setup which consists of window controls in an upper floor, and door controls in the base level. Obviously, the security constraints for the latter one would be higher. By using normal devices for window control, and hardened devices for door control, a security firewall can be deployed, thus containing the damage an adversary can do to the whole system.

Figure 4.2 shows the logical connections within an KNX network with different security levels. An attack of node *A* can only compromise keys known to the device, thus effectively separating communication between the nodes *B*, *C*, and *D* from the attacker.

But, as stated above, to be able to use different keys, every security gateway has to know how to reach a given address, so that the data can be encrypted exclusively for the responsible gateway. The solution to this problem is to maintain some kind of routing table, mapping group and individual addresses of unsecured knx devices to individual addresses of responsible security gateways. Additionally, this table must hold the key that will be used for encryption. Such a routing table can be built statically at setup time, with the obvious disadvantage that the exact topology of the to be applied network has to be known in advance, thus reducing the flexibility. Here, every security gateway holds a static table which consists of mappings between individual- or group addresses of unsecured knx devices and individual addresses of security gateways at the border between the secured and the unsecured knx network, as well as all keys used for the

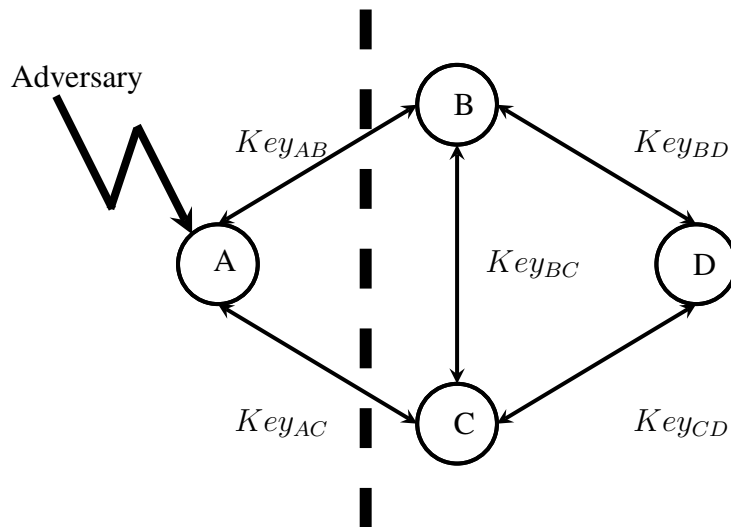


Figure 4.2: Firewall

particular security level the gateway belongs to. This table would be generated once, after the topology of the network has been fixed, must be equipped with the proper keys and can then be copied to the security gateways constituting the secured knx area. New security gateways can be deployed as long as they only introduce sending unsecured knx devices, whose recipients are already mapped, known group addresses. A new group address, introduced by a newly installed device behind an already existing security gateway, will not be reachable, simply because the routing information and the encryption key is not available. Another disadvantage is that the deployment of new security gateways, connecting devices with new or already known group addresses, is pointless as the individual address of the new gateway - which of course must be unique - is unknown to the existing setup, thus making the new unsecured knx devices unreachable.

To tackle this problem, another approach would be to build this mapping table dynamically. Therefore, every security gateway must periodically poll on it's unsecured lines for KNX devices(FIXME: HOW? analog zu ETS group address polling), thus populating a list of reachable knx devices. Whenever a device wants to send data to a group address, it has to do a lookup first to obtain the individual addresses of the responsible security gateways: the lookup must contain the wanted group address, as well as the senders public key. Every gateway which finds the wanted group address in its group list must reply with an according message to the requester, thus announcing that it is responsible for delivering data to the wanted group address, and must also publish it's own public key, thus allowing pairwise end-to-end encryption. The original requester must wait for a short time for replies, possibly retransmitting the request in case of no responses, and can then transmit the encrypted package to all responsible gateways,

if any, one at a time. This procedure requires no a priori knowledge of the network topology, so security gateways can be added to the network as well as unsecured knx devices behind new or existing gateways at any time. This flexibility of course has to be purchased with increased complexity as well as additional traffic induced into the network.

As a middle course it would be viable to generate the reachable group address list whenever a new security gateway is added to the network, and handle discovery of this group addresses as described above. This makes it possible to deploy new security gateways with connected unsecured devices, thus allowing a compromise between flexibility and complexity.

## **Security Related Architectural Overview**

To provide authenticity, all datagrams passing the secured knx network must contain a MAC to prevent modification of them(i.e. to guard against active adversaries). This mac must be combined with a counter value to avoid replay attacks. The counter must be strictly monotonically increasing and must not overflow. The counter can be seen as initialization vector that prevents the mapping of same cleartext messages to same ciphertext messages under the same encryption key. To guard against passive adversaries, i.e. eavesdropping, all datagrams carrying knx application data must be encrypted. These are all datagrams coming from outside of the secured area, originating from an unsecured knx device. As explained above, these packages will be encrypted end-to-end, with unique asymmetric keys between each two communication partners. Additionally, all discovery messages generated by security gateways will be encrypted too. Although these datagrams don't contain knx data per se, they allow a listening adversary to learn the topology of the network, knowledge which can be valuable for developing an attack strategy, as well as generating meta data. For example, if an attacker learns that a particular security gateway is responsible for only one group address, and she further gets knowledge that this group address is responsible for switching a light(i.e. by visual observation), she afterwards may be able to derive a personal profile just by seeing packages for this group address, although the datagrams are encrypted. If the discovery messages are encrypted too the adversary doesn't know how many group addresses are behind the gateway, and it will be harder to derive personal profiles.

## **Redundancy Related Architectural Overview**

To achieve a higher level of availability, all components that are needed to provide a specific service must exist multiple times.

Whenever a knx package is generated by a device on an unsecured line(called client), the connected security gateway will read, duplicate and encapsulate it into another knx frame and then send over booth lines. If booth lines are available, i.e. there is, for

example, no shortcut, a receiving security gateway will receive 2 different knx frames encapsulating the same payload, which itself is the knx frame generated by the knx client device in the first place. One message must be discarded to avoid duplicates. This is achieved with a monotonically increasing counter that also guards against replay attacks: whenever a package, generated by a client, enters the network, a counter for outgoing packages is incremented which must be sent along the duplicated packages so that the receiving side can discard one of the 2 packages. This counter must be unambiguous for every source/destination address tuple of the origin cleartext message. The receiving side must maintain a counter for incoming packets, also parametrized by source and destination address. If booth lines are available, one message will be handled first and trigger an incrementation of the corresponding source/destination counter. The duplicated message, which is handled after that, can safely be discarded because the corresponding counter value will be less than the saved value. Nevertheless which package from which secure line is forwarded to the unsecured line, each line must acknowledge every received package: this is done by generating a special acknowledge frame which is sent back to the sending gateway. The payload of this package must allow the sending gateway to unambiguously identify the acknowledged package, i.e. it must bear source and destination address of the package generated by the client, as well as the used counter value. As a consequence, these acknowledgement frames must be encrypted and authenticated as well. If no acknowledgement frame is received within time  $t_{ACK}$ , a retransmission is done on booth lines. This retransmission simply re-submits the same package with the same counter value again. Regarding the security this is no problem because a passive attacker can not learn anything from such a repeated package.

## Operational Constrains

The introduction of encapsulating security gateways implicates that some timing constraints, defined by KNX, cannot be met:

- Acknowledge frames, as defined in KNX and introduced in chapter 3, cannot be guaranteed to be delivered within the specified deadlines: whenever a new KNX datagram is generated by a client, at first the discovery phase has to occur. Only after that the to-acknowledged frame is sent. So there are multiple delays introduced, stalling the delivery: the first delay is caused by sending of the discovery package. After that, a second delay occurs because the security gateway must wait for the discovery response(s), possibly retransmitting the discovery request in case of a timeout. After receiving discovery responses, the third delay is caused by sending the actual, encapsulated client package to the responsible security gateway(s), which then must check the datagram, unpack it and forward it on it's unsecured line. Only after that, all addressed, unsecured clients would be

able to acknowledge the received frames to their local security gateways, which must forward the acknowledgement frame to the origin security gateway, causing another delay. Finally, the acknowledgement frame must be forwarded to the sender of the origin data frame, causing another delay. These delays will always occur, and most of them cannot be restricted, thus destroying the tight timing constraints for acknowledgement frames, as defined by the KNX standard. This will most likely result in multiple retransmissions of the same KNX packages by the client because the client's timer will generate a timeout. The only way to solve this is to immediately acknowledge a client frame by the security gateway that it is connected to. On the receiving side, the client will generate an acknowledgement frame, which must be discarded by its security gateway.

- Similar arguments avoid the processing of Poll-Data Frames. Here, even more stringent timing constraints are to be met, see chapter 3.

## Operation states FIXME

synchronization

joining

discovery

data

## Key Management

The previous statements imply that 3 different kind of keys must be used:

- First, a key known to all security gateways is used. As already stated, this key must be copied to every device at setup time so that it is known to all devices. This is a pre-shared key, named  $k_{psk}$ , used for symmetric encryption. This key serves for 2 different purposes: First, it is used as authentication token to synchronize new devices which want to join the network, as well for devices that have lost their synchronization (i.e. that have been unavailable for some time). Additionally, this key  $k_{psk}$  is used to encrypt another symmetric key  $k_{global}$ , which every device must obtain in the joining phase to be able to take part in the discovery procedures.
- $k_{global}$  is used to authenticate and encrypt locally generated and decrypt received discovery requests, as well as to authenticate and encrypt locally generated discovery responses and decrypt received ones. This discovery service datagrams securely transport the third type of keys:
- Asymmetric keys are used for end-to-end encryption of the actual data packages between 2 security gateways.

## Discovery of Group Addresses

To keep the mapping between group addresses and individual addresses of responsible gateways up to date, a discovery service is defined. Because an important information this mapping holds is the

## 4.2 Key Derivation

Key derivation is the process of establishing parameters for secure communication between 2 or more communication partners, most importantly, a shared key which is used to encrypt and/or authenticate data, but also parameters like key length and which encryption and authentication primitives to use. Because symmetric key encryption outperforms its asymmetric counterparts(FIXME: benchmarks symmetric vs. asymmetric @pi) in regards of performance, a hybrid approach is taken. In the very beginning of key negotiation, no secure channel is available, so some kind of already known authentication token must be used. This can be a key, known to all devices, called a pre-shared-key.

so an asymmetric encryption scheme is used. The asymmetric keys are used to derive the actual session key, which is volatile and can be re-negotiated at any time.

While it would be possible to use a centralized concept, no trusted on-line party(key server) is used in this work. This de-centralized setup is used to simplify the setup. A centralized approach would need fall-back key-servers which inherit the task of generating and distributing keys and parameters in case of a master key server failure. This key server would nevertheless need some singular authenticating property which must be known to all possibly joining devices, so a different approach is taken here: a so called *pre-shared key*  $k_{psk}$  is used for authentication.

This key serves as entry point into the secured network, authenticating messages between new joining and already joined devices. While it would be possible to also encrypt messages at this stage, it is to be noted that here, due to the characteristics of asymmetric encryption, it is sufficient to authenticate the messages because no secret parameters will be transmitted in this phase. A joining device is a device which is booted up and gets connected to the existing secure knx network, and which wants to become part of this network. The actual result of 'joining' is to obtain all parameters which are necessary for encrypted and/or authenticated communication with other devices in the network.

The pre-shared key must be kept secret, and has to be known to all devices which want to join the secured knx network. It is used to prove the identity of the new device to the already joined, other devices. Because this setup key is used as single security token, it is important to note that it is **impossible** to distinguish between a regular and a malicious device which both have knowledge of the pre-shared key.

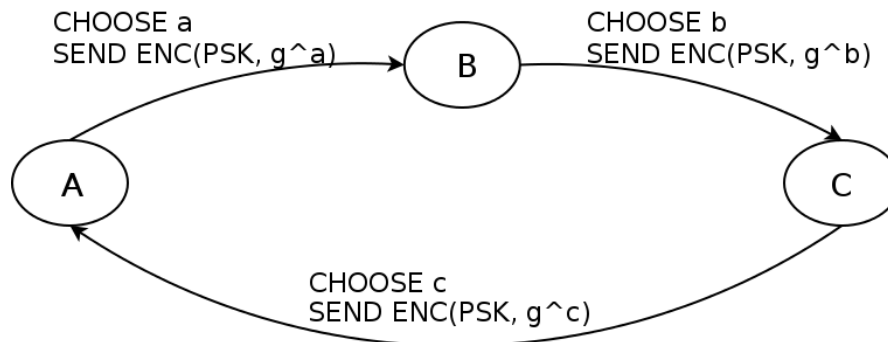


Figure 4.3: DH Round 1

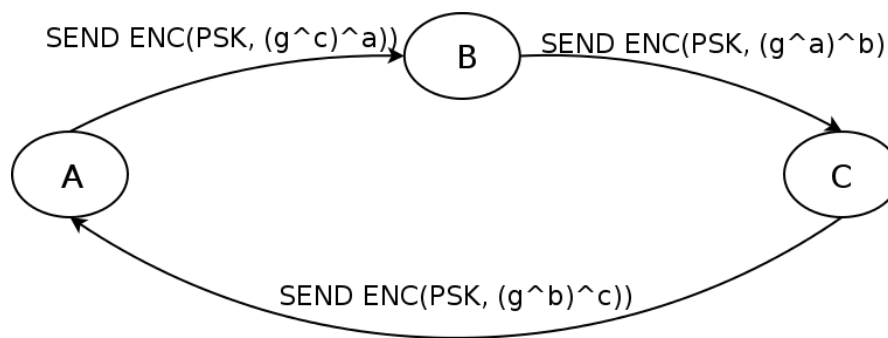


Figure 4.4: DH Round 2

## Diffie - Hellman

If possible, achieve *perfect forward secrecy* by using Diffie-Hellman. On the other hand, a single network key, known to **all** devices in the secure KNX network, has to be used. This constraint rises from the fact that within the secured network, it is not known **where**, and even stronger, **if** the recipient of the to be secured message is connected to a device at the border of the secured/unsecured network. Of course, it would be possible to encrypt the origin, unsecured message on a peer-to-peer basis, and send this message to **all** devices within the secured network, but this obviously would flood the message, adding additional busload for every new device within the network, so this way is considered not feasible.

## One Secret for all devices

parties A, B, C, with one known generator  $g$

1. first iteration, see 4.3:



A: chooses private key  $a$ , calcs  $x_a = g^a$ , send to B  $\text{ENC}(\text{PKS}, x_a)$   
 B: chooses private key  $b$ , calcs  $x_b = g^b$ , send to C  $\text{ENC}(\text{PSK}, x_b)$   
 C: chooses private key  $c$ , calcs  $x_c = g^c$ , send to A  $\text{ENC}(\text{PSK}, x_c)$

2. second iteration, see 4.4

A: calc  $(g^c)^a$ , send to B  
 B: calc  $(g^a)^b$ , send to C  
 C: calc  $(g^b)^c$ , send to A

3. third iteration: calculate shared secret

A: calc  $((g^b)^c)^a$   
 B: calc  $((g^c)^a)^b$   
 C: calc  $((g^a)^b)^c$

$$((g^a)^b)^c = g^{a*b*c} = \text{KEY}$$

This key is used to further derive 2 new keys: 1 MAC key, 1 Encryption key and can be generalized for  $n$  parties.

- Pro: one shared key for all parties
- Contra: for every new joining party, the whole key derivation rounds have to be done
- Contra: if one node is not reachable temporary or leaves network and another party wants to join, a new key is derived. if temporary unavailable node is reachable or again, new key has to be derived too.

## Secret for all pairs of devices

1. one device A present: A: choose private exponent  $a$
2. seconde devices B wants to join, see 4.5:  
 B: choose private exponent  $b$ , send  $\text{E}(\text{PSK}, \text{"Hello"} + g^b)$   
 A tries to decrypt the Message, if it can retrieve the string Hello the message originates from an allowed sender and answers with  $\text{ENC}(\text{PSK}, \text{"Welcome"} + g^a)$   
 A and B have now share the common secret  $s = (g^a)^b = g^{a*b}$
3. if new device C want to join, see 4.6  
 choose private exponent  $c$ , send  $\text{ENC}(\text{PSK}, \text{"Hello"} + g^c)$   
 A: answers with  $\text{ENC}(\text{PSK}, g^a)$   
 B: answers with  $\text{ENC}(\text{PSK}, g^b)$

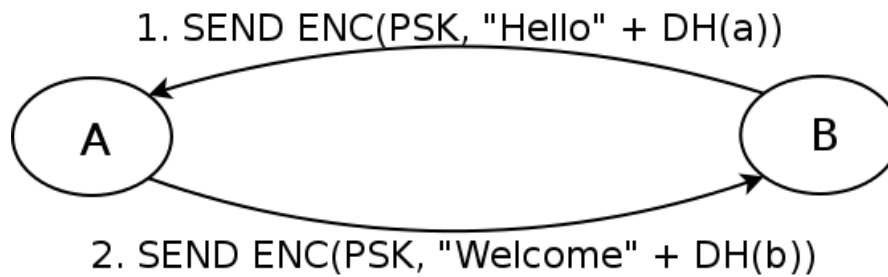


Figure 4.5: DH 2 Parties

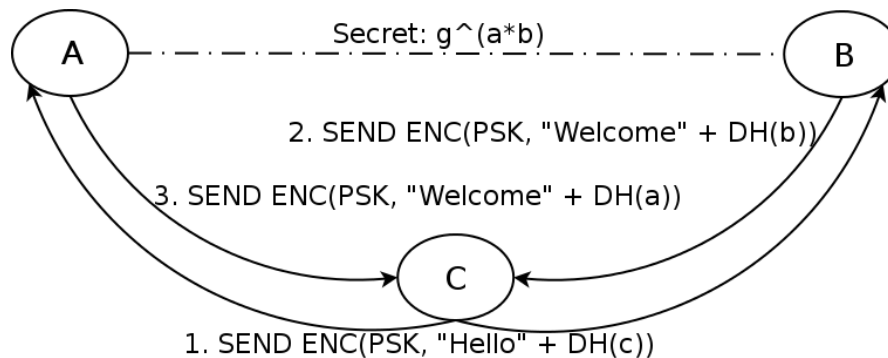


Figure 4.6: DH 3 Parties

4. for every new device such a 2 round iteration has to occur

- Pro: fewer messages for key derivation
- Pro: devices can leave network or become unavailable without disturbing key derivation of other nodes
- Contra:  $\frac{n*(n-1)}{2}$  keys for n devices

As shown in the beginning of the chapter, peer-to-peer keys cannot be used due to the broadcast nature of the knx network.

## Using the Factory Key

### Using a fixed String as Authentication Token

This first simple protocol will work only for passive adversaries. If active adversaries are present, it is vulnerable to replay attacks, although this kind of attack would bring no benefit for the adversary because she cannot get the actual sessionkey, because of the lacking of the preshared key.

1. A wants to 'join' a network which is unpopulated at this time:
  - sends  $c = E(k_{psk}, "Hello")$
  - timeout, no response due to the 'empty' network
  - A randomly chooses the session key  $k_s$  and resets the sequence number
2. B wants to join the network
  - B sends  $c = E(k_{psk}, "Hello")$
  - A decrypts ciphertext, **iff** decryption succeeds(i.e. received cyphertext decrypts to "Hello"): A waits for a short, randomly chosen time and sends  $c = E(k_{psk}, k_s)$
  - A: if decryption fails(i.e.  $c$  **does not** decrypt to "Hello" this means that an adversary is trying to enter the secured network and drops the message
  - B decrypts  $k_s = D(k_{psk}, c)$

### Challenge - Response

1. A wants to 'join' a network which is unpopulated at this time:
  - sends unencrypted 'Hello' message
  - timeout, no response due to the 'empty' network
  - A randomly chooses the session key  $k_s$  and resets the sequence number
2. B wants to join the network
  - B sends unencrypted 'Hello' message
  - A chooses a random number  $n$  and sends  $n$  unencrypted to B
  - B(legitimate user or adversary) can always encrypt the number and reply the value to A
  - A can compare the sent encryption of  $n$  by itself generating the encrypted value under  $k_{psk}$ . If the values match, A replies with  $c = E(k_{psk}, k_s)$ . Otherwise, it drops the message, considering B as an adversary.

Instead of a random number  $n$ , it would also be possible to use a timestamp of sufficient granularity, which would also provide data freshness. A drawback is that the clock of a joining party must be synchronized.

## **High Level Cryptography Library**

### **OpenSSL**

- install libssl, libssl-dev

### **Crypto++**

- install libcrypto++9

## Implementation

### 5.1 Master daemon

#### KNX addressing scheme

Care must be taken that no duplicate knx addresses are used within the network. Therefore, the following addressing convention is proposed: While it would be possible to use the same addresses on booth lines per gateway, a different scheme is used. For the secured network, the address ranges starting at address 1.1.1 to address 1.1.15 and 1.2.1 to 1.2.15 are reserved for secure line number 1 and 2 respectively, which allows a maximum of 15 gateways. Different addresses are used mainly because it facilitates debugging. Additionally, the used address ranges can be re-used outside the secured network by standard devices anyway. On the unsecured lines, every gateway uses an address from the range 1.0.1 - 1.0.15. Addresses are assigned in a linearly ascending way, so gateway number 1 uses addresses 1.1.1 and 1.2.1 for secure lines 1 and 2, and 1.0.1 for its unsecured line.

## Setup of the base system

The base system consists of raspbian pi board running the raspbian operating system(a Debian variant), the EIBD daemon, shared libraries which are used by EIBD and the master daemon. The operating system is based on the Debian project, with the kernel, libraries and binaries ported to the ARM platform, so it is possible to benefit from using a full-scale operating system, e.g. by using the comfortable packet manager called *aptitude* provided by Debian. A short introduction to the most important commands is given below as they are needed.

### A.1 Raspbian

To obtain a running system for deploying the secure KNX daemon, a prebuilt Debian image is used, which can be ownloaded from the raspberry homepage:

[http://downloads.raspberrypi.org/raspbian\\_latest.torrent](http://downloads.raspberrypi.org/raspbian_latest.torrent)

The image must be unzipped and copied to a suitable memorycard. First-generation raspberries(model 'A') have SD slots, while all later models come with micro-SD slots. To copy the basic operating system to the memorycard, the linux commandline tool 'dd' can be used. To find the correct device to write the image to, the following command can be used:

```
1 # tail -f /var/log/kern.log
```

After inserting the memorycard into a cardreader, look for output like that:

```
1 [1004111.533698] sdb: detected capacity change from 7909408768  
   to 0  
2 [1004114.055840] sd 6:0:0:0: [sdb] 15448064 512-byte logical  
   blocks: ...
```

Here, the proper device to use is the device `/dev/sdb`. **Pay attention to use the correct device in the following command - this device will be overwritten:**

```
1 # dd if=<Path to Image> of=<Device to overwrite>
```

After the write command has finished, the memory card is ready to use. For first time setup, a display must be connected via HDMI. Powering up the raspberry opens a ncurses configuration dialogue. First thing to do is to resize the root partition to maximum size and set a password for the administrative account. Optionally, different options like keyboard layout can be set. To be able to operate the raspberry without external display, it is necessary to start the **Secure Shell (SSH)** server under *Advanced Options* and assign a fixed ip to the host by editing the file `/etc/network/interfaces`, as shown in example **B.1**. This way it is possible to connect to the raspberry with a **SSH** client. For password less logins, create an unprivileged user and a **SSH** public/private key pair for that user by executing these commands on the raspberry pi:

```
1 # groupadd <usergroup>
2 # useradd -g <usergroup> -m <username>
3 # su <username>
4 # ssh-keygen
```

The program generates the user and the corresponding key pair and saves public and private key in the subdirectory `/.ssh/` on the actual host. When asked for a passphrase, it is possible to use a password-less keypair, an option that should only be used in restricted areas. To actually use the keypair for logging into the raspberry pi, the public key must be saved in the file `/.ssh/authorized_keys`. Additionally, the private key must be copied to every host from that **SSH** connections to the raspberry pi want to be opened. After that, it is possible to load the private key into memory with the command `ssh-add` and to connect to the host without a password:

```
1 # ssh-add // only necessary when non-empty password is used for
    keypair
2 # ssh <username>@<host-ip|host-dns-name>
```

It is also advisable to update the operating system at this time by running the following commands as user root:

```
1 # apt-get update
2 # apt-get install
```

This will install the latest package versions of all installed packages. New software can be installed from the command line with these commands:

```
1 # apt-cache search <pattern> // print a list matching packages for <
    pattern>
2 # apt-get install <packagename>
```

## A.2 EIBD

The maintainer of EIBD only provides binary packages for the i386 architecture, so the daemon and its prerequisites must be built from source to get suitable binaries and shared libraries for the ARM environment. Building software under GNU Linux or \*nix from source always follows this scheme:

1. Downloading and extracting the source code
2. If possible, comparing the developer supplied hash code with the hash code of the downloaded source files with *sha256* or one of its variants to verify that no modified software has been downloaded.
3. Optionally, apply patches to the source code(not necessary here).
4. Set the make-options by calling *./configure <options>*, overriding default compilation options by setting the corresponding command line parameters. *./configure --help* should print a list of valid options.
5. Compiling the source code by calling *make*.
6. Copying the generated binaries and shared libraries into their correct place by calling *make install*. This last step must always be executed as user root because the generated files will be copied into system directories which are not writeable by unprivileged users.

EIBD and the needed library *pthsem* are available from these locations:

[https://www.auto.tuwien.ac.at/~mkoegler/pth/pthsem\\_2.0.8.tar.gz](https://www.auto.tuwien.ac.at/~mkoegler/pth/pthsem_2.0.8.tar.gz) <http://sourceforge.net/projects/bcusdk/>

After copying the archives to the raspberry, they must be unpacked and compiled. First the pthsem shared library, which offers user mode multi threading with semaphores, must be compiled because it is used by EIBD.

```
1 # tar -xvzf pthsem-2.0.8.tar.gz
2 # cd pthsem-2.0.8
3 # ./configure
4 # make
5 # make install // must be executed as root
```

This will, among other things, generate the shared library *libpthsem.so.20* in the directory */usr/local/lib*. */usr/local* is by convention the destination where self compiled software should reside. Now that pthsem is available, which is a dependence of the EIBD daemon, EIBD itself is ready for compilation:



```

1  # tar -xvzf bcusdk-0.0.5.tar.gz
2  # cd bcdusk-0.0.5
3  # ./configure --without-pth-test --enable-onlyeibd --enable-
    tpuarts
4  # make
5  # make install // must be executed as root

```

These steps generate the binary *eibd* and lots of helper programs in the directories */usr/local/bin*, and the shared object */usr/local/lib/libeibclient.so.0* that provides the **European Installation Bus Daemon (EIBD) Application Programming Interface (API)** and therefore is needed to be linked to the master daemon.

## A.3 Revision control

The source of the master daemon is managed by GIT. GIT is a decentralized revision-control system and is available under Debian/Raspbian after installing the package 'git'. The command **A.3** fetches the latest version and creates a directory called 'knxSec' which contains all the needed source files, a proper makefile **B.3** for the project, as well as all other needed files.

```

1  # git clone git@github.com:hglanzer/knxSec.git

```

## A.4 Busware **USB** couplers

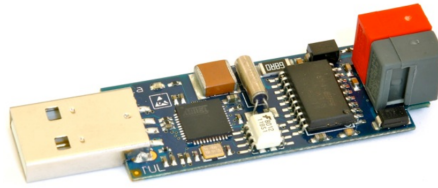
To make the KNX TP1 bus accessible, i.e. to write datagrams to and receive datagrams from the bus, **USB** dongles as shown in figure **A.1** from the company *Busware* are used. Depending on the revision, the bus couplers creates a new device which is used as **Uniform Resource Locator (URL)** by the **EIBD**. The coupler will be accessible by */dev/ACMx*, where x is the number of the device. It may be necessary to flash the bus couplers with the correct firmware first. The easiest way to check this is to use command **A.1** and look for output similar to listing **A.4** when plugging the coupler into an **USB** slot.

```

1 ... usb 1-1.2: new full-speed USB device number 19 using ehci_hcd
2 ... usb 1-1.2: New USB device found, idVendor=03eb, idProduct=204b
3 ... usb 1-1.2: New USB device strings: Mfr=1, Product=2, SerialNumber
    =220
4 ... usb 1-1.2: Product: TPUART
5 ... usb 1-1.2: Manufacturer: busware.de
6 ... usb 1-1.2: SerialNumber: 7543034373135130C140
7 ... cdc_acm 1-1.2:1.0: ttyACM0: USB ACM device

```

Figure A.1: Busware KNX-USB coupler



If no such line like 7 appears, the correct firmware is available as file *firmware/TPUARTtransparent.hex* inside the git project. To actually flash the coupler, the programming button on the bottom of the device must be kept pressed while connecting it to an **USB** slot. Afterwards, the commands shown in [A.4](#) must be executed.

```
1 # apt-get install dfu-programmer
2 # dfu-programmer atmega32u4 erase
3 # dfu-programmer atmega32u4 flash TPUARTtransparent.hex
4 # dfu-programmer atmega32u4 reset
```

## A.5 UDEV

To obtain a consistent naming scheme for the busware dongles, udev rules are provided. This way it is possible to always use the same device file for the distinct bus lines, no matter in which ordering the dongles are connected to the raspberry.

## A.6 Test setup

The test environment consists of 2 raspberry pis, as shown in figure [4.1](#).

## Code snippets and configuration files

Listing B.1: Raspbian configuration for static ip address

```
1 # device: eth0
2 auto eth0
3 iface eth0 inet static
4 address 192.168.0.2
5 broadcast 192.168.0.255
6 netmask 255.255.255.0
7 gateway 192.168.0.1
```

Listing B.2: Raspbian configuration for dynamic ip address

```
1 # device: eth0
2 iface eth0 inet dhcp
```

Listing B.3: Makefile for the master daemon

```
1 CFLAGS=-Wall
2 LIBS=-leibclient
3 #LIBS=-lgmp -lcrypto -llibeibclient
4
5 all: clean update
6     gcc $(CFLAGS) $(LIBS) master.c sec.c cls.c -o master -
7         pthread
8         #gcc $(CFLAGS) master.c sec.c cls.c -o master /usr/lib/
9         libeibclient.so.0 -pthread
10
11 debug: clean
```

```
10      gcc $(CFLAGS) master.c sec.c cls.c -o master /usr/lib/  
      libeibclient.so.0 -pthread -DDEBUG  
11  
12 clean:  
13     clear  
14     rm -rf *.o  
15     rm -f master  
16  
17 run: all  
18     ./master  
19  
20 update:  
21     git commit -a --allow-empty  
22     git pull  
23     git push
```

# Glossary

**3DES** Triple Data Encryption Standard. 12–14

**ACU** Advanced Coupler Unit. 38

**AES** Advanced Encryption Standard. 14, 37, 38

**AIL** Application Interface Layer. 37, 38

**APDU** application layer protocol data unit. 36, 37

**API** Application Programming Interface. 57

**BbC** backbone coupler. 30

**CA** Certification Authority. 39

**CA** collision avoidance. 31

**CBC** Cipher Block Chaining. 16, 37

**CCM** Counter with CBC MAC. 37

**CFB** Cipher Feedback Mode. 17, 18

**CPA** Chosen Plaintext Attack. 16

**CRC** Cyclic Redundancy Check. 38

**CSMA** carrier sense multiple access. 31

**CTR** Counter Mode. 16, 37, 38

**CTRLE** Extended Control Field. 32

**D-H** Diffie-Hellman. 21–23

**DES** Data Encryption Standard. 12–14

**DLP** Discrete Logarithm Problem. 23

**DPT** data point. 28

**DT** data types. 28, 36

**ECB** Electronic Code Book. 15, 16

**ECC** Elliptic Curve Cryptography. 21

**EHS** European Home Systems Protocol. 28

**EIB** European Installation Bus. 28–30

**EIBD** European Installation Bus Daemon. 57

**EIS** EIB interworking standard. 28

**ETS** engineering tool software. 28, 37, 39

**FDSK** Factory Default Setup Key. 37

**HBA** home and building automation. v, 27, 28, 36, 37

**IP** Internet Protocol. 30, 36, 38, 39

**IPv4** Internet Protocol version 4. 34

**ISO** International Organization for Standardization. 28

**IV** Initialization Vector. 6, 15–18, 37

**KNX** Konnex. 28–30, 34, 36–39

**LC** line coupler. 30

**LFSR** Linear Feedback Shift Register. 10

**LLC** logical link control. 31

**LSDU** Link Service Data Unit. 32

**MAC** Message Authentication Code. 3, 16, 37

**MAC** Medium Access. 31

**MAU** Medium Access Unit. 30

**NIST** National Institute of Standards and Technology. 12, 14, 15

**NSA** National Security Agency. 13

**OFB** Output Feedback Mode. 18

**OSI** Open System Intercommunication Model. 28, 29, 34

**OTP** One Time Pad. 9

**PDU** protocol data unit. 37

**PRNG** Pseudo Random Number Generator. 5–7, 9

**PSK** Pre Shared Key. 38

**RF** Radio Frequency. 30

**S-AL** Secure-Application Layer. 37, 38

**SP** Substitution-Permutation. 12

**SPOF** Single Point of Failure. 39

**SSH** Secure Shell. 55

**TCP** Transmission Control Protocol. 30

**TCP** transport control protocol. 34, 38

**TK** Tool Key. 37, 38

**TLS** Transport Layer Security. 38

**TP** Twisted Pair. 29–31

**TPCI** transport layer protocol control information. 34, 37

**TPDU** transport layer protocol data unit. 34

**TRNG** True Random Number Generator. 7

**TTL** time-to-live. 34

**URL** Uniform Resource Locator. 57

**USB** Universal Serial Bus. v, 57, 58

**VPN** Virtual Private Network. 36

**XOR** Exclusive-Or. 9, 10, 12–14, 16, 18, 25, 26



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