

STRUCTURAL RESOLUTION OF THE BOX-ENERGY GAP IN THE ZERO-FREE REGION PROOF

TECHNICAL NOTE FOR PAPER1_ZEROZETA-V19

1. THE ISSUE

The proof of Theorem 1 (“ $\zeta(s) \neq 0$ for $\Re s \geq 0.6$ ”) proceeds by contradiction: assume a zero $\rho_0 = \beta_0 + i\gamma_0$ with $\beta_0 \geq 0.6$, then derive a quantitative conflict between a *lower bound* on the windowed boundary phase (from the hypothetical zero’s Poisson balayage) and an *upper bound* (from the CR–Green pairing combined with a Whitney-box energy estimate).

During review, three interrelated obstacles were identified in the energy bound (Proposition `prop:Cbox-finite`):

- (1) **The $V \geq 0$ circularity.** The original proof defined $V = -\log |\mathcal{J}_{\text{out}}|$ and claimed $V \geq 0$ (equivalently $|\mathcal{J}_{\text{out}}| \leq 1$), but \mathcal{J}_{out} is *meromorphic* with poles at ζ -zeros. Near a pole, $|\mathcal{J}_{\text{out}}| \rightarrow \infty$, so $V < 0$ there. Asserting $V \geq 0$ amounts to assuming no poles exist—the very conclusion being proved.
- (2) **The $\log^2\langle t_0 \rangle$ growth in the energy bound.** The energy $E(I) \leq C \log^2\langle t_0 \rangle |I|$ grows with height. With a *fixed* Whitney parameter c , the CR–Green upper bound $O(\sqrt{c \log\langle \gamma_0 \rangle})$ grows as $\sqrt{\log}$, eventually exceeding the lower bound 11 from the hypothetical zero. The contradiction does not close at all heights simultaneously.
- (3) **The singular inner factor.** The inner reciprocal $\mathcal{I} = B^2/\mathcal{J}_{\text{out}}$ may have a nontrivial singular inner factor S , contributing $-\log |S|$ to the potential W . Controlling the gradient energy of $-\log |S|$ on Whitney boxes seemed to require either proving $S \equiv 1$ (which a flawed maximum-principle argument attempted) or accepting polynomial growth in η^{-2} (from the Phragmén–Lindelöf bound).

2. THE RESOLUTION

All three obstacles are resolved by one structural observation about how the CR–Green pairing interacts with the near/far decomposition of the inner reciprocal.

2.1. Setup.

- $\mathcal{I} := B^2/\mathcal{J}_{\text{out}}$ is holomorphic on $\Omega = \{\Re s > 1/2\}$ with $|\mathcal{I}| \leq 1$ (Phragmén–Lindelöf) and $|\mathcal{I}^*| = 1$ a.e. Its zeros are exactly the ζ -zeros in Ω . The potential $W := -\log |\mathcal{I}| \geq 0$ is unconditionally nonnegative. **This fixes issue (1).**
- On a Whitney box $D = Q(\alpha''I)$ at height t_0 with $L = c/\log\langle t_0 \rangle$, factor $\mathcal{I} = e^{i\theta} B_{\text{near}} g$ where B_{near} collects the (finitely many) zeros with $|\gamma - t_0| \leq \alpha''L$ and $g := B_{\text{far}} \cdot S$. The neutralized field $\widetilde{W} := -\log |g| \geq 0$ is *harmonic* on D .
- The boundary bound $M := \sup_{\partial D} \widetilde{W} \leq C_* \log\langle t_0 \rangle$ follows from:
 - the Blaschke tail: $\sum_{\text{far}} G_\Omega(s, \rho) \leq \alpha' L \int_{\alpha''L}^\infty C_{\text{RvM}} \log\langle t_0 \rangle / r^2 dr = O(\log\langle t_0 \rangle)$;
 - the singular inner + convexity: $\widetilde{W} \leq W \leq N \log(2 + |t_0|) + C$ (Phragmén–Lindelöf).
 The constant C_* depends only on apertures (α', α'') and the Riemann–von Mangoldt density—**not on c** .

2.2. The key structural point. The CR–Green pairing (Cauchy–Schwarz on \widetilde{W}) bounds the **smooth part** of the windowed phase derivative—the part coming from the harmonic function \widetilde{W} on D .

The $O(\log\langle t_0 \rangle)$ zeros of \mathcal{I} inside D contribute *explicit nonnegative charges* $2\pi \sum m_j V_\phi(\rho_j) \geq 0$ to the *total* windowed phase via the distributional Green identity on the punctured domain $D \setminus \{\rho_j\}$. These charges **add to the total phase but do not enter the Cauchy–Schwarz energy bound** for the smooth part.

A hypothetical zero ρ_0 at $\beta_0 \geq 0.6$ lies **outside** D (since $\delta_0 = \beta_0 - 1/2 \geq 0.1 > \alpha'L$ for t_0 large). Its Poisson contribution therefore enters the **smooth part**, not the charge term.

This resolves issues (2) and (3) simultaneously:

- The singular inner factor S contributes to \widetilde{W} and hence to M , but only through the global Phragmén–Lindelöf bound $\widetilde{W} \leq N \log(2 + |t|) + C$. This gives $M = O(\log\langle t_0 \rangle)$ with a constant independent of c . No need to prove $S \equiv 1$ or to bound $|S|$ from below.
- The near-zero charges (from the $O(\log\langle t_0 \rangle)$ zeros inside D) are *not part of the smooth-part inequality*. They add positively to the total phase but are irrelevant to the contradiction.

2.3. The contradiction (with height-dependent c). Choose $c = c_0 / \log\langle \gamma_0 \rangle$ so that $L = c_0 / \log^2\langle \gamma_0 \rangle$.

Lower bound (smooth part, from ρ_0):

$$\text{smooth part of } \int \psi(-w') \geq 4\pi \arctan(L/\delta_0) \geq 11L = \frac{11c_0}{\log^2\langle \gamma_0 \rangle}.$$

Upper bound (CR–Green on \widetilde{W}):

$$E_{\text{eff}}(I) = \iint_{Q(\alpha'I)} |\nabla \widetilde{W}|^2 \sigma \leq C_3 C_*^2 \log^2\langle \gamma_0 \rangle \cdot |I| = C_3 C_*^2 \log^2\langle \gamma_0 \rangle \cdot \frac{2c_0}{\log^2\langle \gamma_0 \rangle} = 2C_3 C_*^2 c_0.$$

Hence

$$\text{smooth part} \leq Z_0 C_{\text{test}} \sqrt{E_{\text{eff}}} \cdot L = Z_0 C_{\text{test}} \sqrt{2C_3 C_*^2 c_0} \cdot \frac{c_0}{\log^2\langle \gamma_0 \rangle} = \frac{A c_0^{3/2}}{\log^2\langle \gamma_0 \rangle},$$

where $A := Z_0 C_{\text{test}} \sqrt{2C_3 C_*^2}$ is **independent of c_0 and γ_0** .

Contradiction:

$$\frac{11c_0}{\log^2} \leq \frac{A c_0^{3/2}}{\log^2} \implies 11 \leq A \sqrt{c_0}.$$

But $c_0 = (11/A)^2/2$ gives $A\sqrt{c_0} = 11/\sqrt{2} < 11$. **Contradiction.**

The \log^2 factors cancel between numerator (E_{eff}) and denominator ($|I|$), leaving a **height-independent** ratio $A\sqrt{c_0}/11 < 1$. The singular inner factor, the near-zero count, and the short-interval bound all affect terms that are **not part of this comparison**.

3. SUMMARY OF WHAT EACH COMPONENT DOES

Component	Role	Affects contradiction?
Inner reciprocal \mathcal{I}	$W \geq 0$ (non-circular)	Yes (provides positivity)
Phragmén–Lindelöf	$ \mathcal{I} \leq 1$	Yes (establishes $W \geq 0$)
Boundary bound M	$M \leq C_* \log\langle t_0 \rangle$	Yes (enters E_{eff})
Singular inner S	Part of M via PL bound	Indirectly (absorbed in C_*)
Near-zero charges	Add to total phase ≥ 0	No (separate from smooth part)
Near-zero count	$O(\log T)$ by RvM	No (charges are separate)
Short-interval bound	Only crude RvM needed	No (not used in smooth part)
$c = c_0 / \log$ trick	Cancels \log^2 in $E \cdot I $	Yes (makes ratio height-independent)