

# Axiomatic Derivation of the Recognition Composition Law: Cost Uniqueness and Combiner Rigidity

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Machine-verified in Lean 4 ([IndisputableMonolith](#))

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## Abstract

We study cost functions on  $\mathbb{R}_{>0}$  whose log-lift satisfies a d'Alembert-type composition law. Under normalization, reciprocity,  $C^2$  regularity, and a unit-curvature calibration in log-coordinates, we show that the cost is uniquely determined as the reciprocal cost  $J(x) = \frac{1}{2}(x + x^{-1}) - 1$ . We then prove a rigidity result for composition rules: if a function  $P : \mathbb{R}^2 \rightarrow \mathbb{R}$  satisfies  $J(xy) + J(x/y) = P(J(x), J(y))$  for all  $x, y > 0$ , then  $P(u, v) = 2uv + 2u + 2v$  for all  $u, v \geq 0$ , with global extension to  $\mathbb{R}^2$  under real-analyticity. The core results are machine-verified in Lean 4.[deleted: prior abstract overstated what follows from the stated axioms; revised to match the actual assumptions used in the proofs.]

## Contents

<b>1</b>	<b>Introduction</b>	<b>3</b>
1.1	Overview and contributions	3
1.2	Motivation	3
1.3	Standing assumptions	3
<b>2</b>	<b>Part I: Derivation of the Cost Function</b>	<b>4</b>
2.1	The d'Alembert Reduction	4
2.2	The Aczél Classification	5
2.3	Selection by calibration	5
2.4	The Unique Cost Function	5
<b>3</b>	<b>Part II: Derivation of the Combiner</b>	<b>6</b>
3.1	The d'Alembert Identity for $J$	6
3.2	Surjectivity of $J$	6
3.3	Determination of $P$ on $[0, \infty)^2$	6
<b>4</b>	<b>Part III: Extension to All of <math>\mathbb{R}^2</math></b>	<b>7</b>
4.1	The Obstruction	7
4.2	The Analyticity Bridge	7
4.3	Interpretation	7

<b>5</b>	<b>The Main Theorem</b>	<b>7</b>
<b>6</b>	<b>Discussion</b>	<b>8</b>
6.1	Uniqueness of the Metric Structure . . . . .	8
6.2	Minimality of the Axioms . . . . .	8
6.3	Structural Determination . . . . .	9
<b>7</b>	<b>Machine Verification</b>	<b>9</b>
7.1	Verified Theorems . . . . .	9
7.2	Explicit Hypotheses . . . . .	9
<b>8</b>	<b>Relation to companion work</b>	<b>9</b>
<b>9</b>	<b>Conclusion</b>	<b>10</b>

# 1 Introduction

## 1.1 Overview and contributions

Let  $F : \mathbb{R}_{>0} \rightarrow \mathbb{R}$  be a cost function and write  $G(t) := F(e^t)$ . The central functional identity in this paper is a d'Alembert-type composition law for  $G$ , which becomes the classical d'Alembert functional equation after the shift  $H := G + 1$ .

Our contributions are:

- **Cost uniqueness.** Under normalization, reciprocity,  $C^2$  regularity, and a unit-curvature calibration in log-coordinates, the d'Alembert-type composition law forces  $F$  to coincide with the reciprocal cost  $J$ .
- **Combiner rigidity.** Once  $F = J$  is fixed, any function  $P$  satisfying  $J(xy) + J(x/y) = P(J(x), J(y))$  is uniquely determined on  $[0, \infty)^2$  with *no* regularity assumptions on  $P$ . If  $P$  is real-analytic, this determination extends to all of  $\mathbb{R}^2$ .

We refer to the polynomial combiner  $P(u, v) = 2uv + 2u + 2v$  as the *Recognition Composition Law* (RCL). [deleted: previous hierarchy table of “conditional/semi-conditional” results; replaced with an explicit contributions list and sharper scope.]

## 1.2 Motivation

In many mathematical and physical settings one introduces a scalar *cost* (or action, divergence, or penalty) to quantify deviation from a reference state, and then posits a rule for composing costs across compound comparisons. If the cost and/or the composition rule are freely chosen, the framework contains an implicit degree of freedom: changing these choices can change downstream conclusions while preserving the same qualitative narrative.

This note isolates two rigidity questions: (i) when does a natural d'Alembert-type composition law, together with a calibration at equilibrium, force the cost itself; and (ii) once the cost is fixed, whether the associated combiner can be anything other than the polynomial RCL on its natural domain. [deleted: prior motivation framed as an objection to a “semi-conditional” theorem; revised to match the current scope and terminology.]

## 1.3 Standing assumptions

We work with a cost function  $F : \mathbb{R}_{>0} \rightarrow \mathbb{R}$  satisfying:

1. **Normalization:**  $F(1) = 0$
2. **Reciprocity:**  $F(x) = F(x^{-1})$  for all  $x > 0$
3. **Smoothness:**  $F \in C^2$  (twice continuously differentiable)
4. **Calibration:**  $G''(0) = 1$  where  $G(t) := F(e^t)$
5. **d'Alembert-type composition law:** for all  $x, y > 0$ ,

$$F(xy) + F(x/y) = 2F(x)F(y) + 2F(x) + 2F(y).$$

**Remark.** The mere existence of an arbitrary combiner  $P$  in an identity of the form  $F(xy) + F(x/y) = P(F(x), F(y))$  is too weak to force a unique cost: one can construct many smooth reciprocal costs together with a tailored  $P$ . The d'Alembert-type identity above is therefore the central structural assumption.

Each assumption has a natural interpretation:

- **Normalization:** Zero deviation has zero cost (definitional).
- **Reciprocity:** Comparing  $x$  to 1 costs the same as comparing 1 to  $x$  (definitional).
- **Smoothness:** The cost function has no discontinuities or cusps (regularity).
- **Calibration:** Choice of units (convention).
- **Composition law:** A d'Alembert-type coherence condition for combining multiplicative comparisons (structural identity).

## 2 Part I: Derivation of the Cost Function

### 2.1 The d'Alembert Reduction

The key insight is that the d'Alembert-type composition law is the multiplicative avatar of a classical functional equation: after passing to log-coordinates and shifting by a constant, it becomes the d'Alembert functional equation.[deleted: prior claim that a merely existential “combiner” axiom forces the d'Alembert structure without additional hypotheses.]

**Definition 2.1** (Log-coordinate cost). Given  $F : \mathbb{R}_{>0} \rightarrow \mathbb{R}$ , define  $G : \mathbb{R} \rightarrow \mathbb{R}$  by  $G(t) := F(e^t)$ .

**Lemma 2.2** (Composition law implies d'Alembert equation). *Assume  $F$  satisfies the d'Alembert-type composition law in the standing assumptions. Then  $H(t) := G(t) + 1$  satisfies the d'Alembert functional equation:*

$$H(t+u) + H(t-u) = 2H(t)H(u) \quad \forall t, u \in \mathbb{R}$$

with  $H(0) = 1$ .

*Proof sketch.* Substitute  $x = e^t$  and  $y = e^u$  into the d'Alembert-type composition law to obtain

$$G(t+u) + G(t-u) = 2G(t)G(u) + 2G(t) + 2G(u).$$

Now set  $H := G + 1$  and expand:

$$H(t+u) + H(t-u) = (G(t+u) + 1) + (G(t-u) + 1) = 2(G(t) + 1)(G(u) + 1) = 2H(t)H(u).$$

Finally,  $H(0) = G(0) + 1 = F(1) + 1 = 1$ .

**Lean reference:** `IndisputableMonolith.CostUniqueness.T5_uniqueness_complete` (derivation of the d'Alembert identity for  $H$  from the cosh-add identity for  $G$ ).  $\square$

## 2.2 The Aczél Classification

The d'Alembert functional equation has been completely classified.

**Theorem 2.3** (Aczél 1966). *The real-valued continuous solutions to  $H(t+u) + H(t-u) = 2H(t)H(u)$  with  $H(0) = 1$  are:*

1.  $H(t) = 1$  (constant)
2.  $H(t) = \cos(ct)$  for some  $c \in \mathbb{R}$
3.  $H(t) = \cosh(ct)$  for some  $c \in \mathbb{R}$

See [1, 2] for proofs and broader context.

## 2.3 Selection by calibration

The standing assumptions select a unique branch and fix the remaining parameter via calibration:

- The **constant solution**  $H \equiv 1$  yields  $H''(0) = 0$ , violating the calibration  $H''(0) = G''(0) = 1$ .
- The cosine family  $H(t) = \cos(ct)$  satisfies  $H''(0) = -c^2 \leq 0$ , also incompatible with  $H''(0) = 1$ .
- The **hyperbolic cosine** family  $H(t) = \cosh(ct)$  remains, and the calibration  $H''(0) = c^2 = 1$  forces  $c = \pm 1$  and hence  $H(t) = \cosh(t)$ .

[deleted: prior “selection” argument that appealed to non-negativity; the calibration already rules out the cosine branch for real-valued solutions.]

**Lean reference.** The ODE-based uniqueness route is formalized as `IndisputableMonolith.Cost.P`.

## 2.4 The Unique Cost Function

**Theorem: Cost Uniqueness (T5)**

**Theorem 2.4** (Cost Uniqueness). *Any cost function  $F : \mathbb{R}_{>0} \rightarrow \mathbb{R}$  satisfying the standing assumptions equals  $J$  on  $(0, \infty)$ :*

$$F(x) = J(x) = \frac{1}{2} (x + x^{-1}) - 1$$

*Proof.* From the d'Alembert reduction,  $H(t) := G(t) + 1 = \cosh(t)$ , so  $G(t) = \cosh(t) - 1$ . Therefore:

$$F(x) = G(\ln x) = \cosh(\ln x) - 1 = \frac{e^{\ln x} + e^{-\ln x}}{2} - 1 = \frac{x + x^{-1}}{2} - 1 = J(x)$$

□

**Lean reference:** `CostUniqueness.T5_uniqueness_complete`

### 3 Part II: Derivation of the Combiner

Now that  $F = J$  is established, we derive  $P$  directly.

#### 3.1 The d'Alembert Identity for $J$

**Lemma 3.1** (Composition Identity). *For all  $x, y > 0$ :*

$$J(xy) + J(x/y) = 2J(x)J(y) + 2J(x) + 2J(y)$$

Lean reference: DAlembert.Unconditional.J\_computes\_P

#### 3.2 Surjectivity of $J$

**Lemma 3.2** (Surjectivity). *The function  $J : \mathbb{R}_{>0} \rightarrow [0, \infty)$  is surjective. For any  $v \geq 0$ , there exists  $x > 0$  with  $J(x) = v$ .*

*Proof.* For  $v = 0$ , take  $x = 1$ . For  $v > 0$ , solve  $J(x) = v$ : the equation  $x^2 - (2v+2)x + 1 = 0$  has solution

$$x = v + 1 + \sqrt{v^2 + 2v} > 0$$

□

Lean reference: DAlembert.Unconditional.J\_surjective\_nonneg

#### 3.3 Determination of $P$ on $[0, \infty)^2$

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##### Theorem: Combiner Uniqueness

**Theorem 3.3** (Combiner Uniqueness). *Let  $P : \mathbb{R}^2 \rightarrow \mathbb{R}$  satisfy the consistency equation with  $J$ :*

$$J(xy) + J(x/y) = P(J(x), J(y)) \quad \forall x, y > 0$$

*Then for all  $u, v \geq 0$ :*

$$P(u, v) = 2uv + 2u + 2v$$

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*Proof.* By surjectivity, for any  $u, v \geq 0$ , there exist  $x, y > 0$  with  $J(x) = u$  and  $J(y) = v$ .

By the consistency hypothesis:

$$P(u, v) = P(J(x), J(y)) = J(xy) + J(x/y)$$

By the composition identity:

$$J(xy) + J(x/y) = 2J(x)J(y) + 2J(x) + 2J(y) = 2uv + 2u + 2v$$

□

Lean reference: DAlembert.Unconditional.rcl\_unconditional

## 4 Part III: Extension to All of $\mathbb{R}^2$

The preceding theorem determines  $P$  on  $[0, \infty)^2$ . Can we extend to all of  $\mathbb{R}^2$ ?

### 4.1 The Obstruction

The fundamental obstruction is that  $J : \mathbb{R}_{>0} \rightarrow \mathbb{R}$  has range  $[0, \infty)$ . The consistency equation never evaluates  $P$  at negative arguments. Therefore, no purely unconditional theorem can determine  $P$  on  $\mathbb{R}^2 \setminus [0, \infty)^2$ .

### 4.2 The Analyticity Bridge

If we add a regularity assumption on  $P$ , the result extends.

**Theorem 4.1** (Analytic Extension). *If  $P : \mathbb{R}^2 \rightarrow \mathbb{R}$  is real-analytic and satisfies the consistency equation with  $J$ , then*

$$P(u, v) = 2uv + 2u + 2v \quad \forall u, v \in \mathbb{R}$$

*Proof.* A real-analytic function is determined by its values on any open set. Since  $P(u, v) = 2uv + 2u + 2v$  on  $(0, \infty)^2$  (an open set), and this polynomial is analytic, uniqueness of analytic continuation implies equality everywhere.  $\square$

**Corollary 4.2** (Polynomial Extension). *If  $P$  is assumed to be a polynomial, then  $P(u, v) = 2uv + 2u + 2v$  on all of  $\mathbb{R}^2$ .*

### 4.3 Interpretation

The extension theorem answers the question: “Is the Recognition Composition Law the unique polynomial combiner?”

**Yes.** If one requires  $P$  to be polynomial (or analytic), the composition law is uniquely determined on all of  $\mathbb{R}^2$ , not just the first quadrant.

## 5 The Main Theorem

Combining all parts:

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## Main Theorem: Cost and combiner rigidity

**Theorem 5.1** (Cost and combiner rigidity). *Let  $F : \mathbb{R}_{>0} \rightarrow \mathbb{R}$  satisfy:*

1.  $F(1) = 0$  (normalization)
2.  $F(x) = F(x^{-1})$  for all  $x > 0$  (reciprocity)
3.  $F \in C^2$  (smoothness)
4.  $G''(0) = 1$  where  $G(t) = F(e^t)$  (calibration)
5.  $F(xy) + F(x/y) = 2F(x)F(y) + 2F(x) + 2F(y)$  for all  $x, y > 0$  (d'Alembert-type composition law)

Then:

- (a)  $F(x) = J(x) = \frac{1}{2}(x + x^{-1}) - 1$  for all  $x > 0$
  - (b) If  $P : \mathbb{R}^2 \rightarrow \mathbb{R}$  satisfies  $J(xy) + J(x/y) = P(J(x), J(y))$  for all  $x, y > 0$ , then  $P(u, v) = 2uv + 2u + 2v$  for all  $u, v \geq 0$ .
  - (c) If additionally  $P$  is real-analytic, then  $P(u, v) = 2uv + 2u + 2v$  for all  $u, v \in \mathbb{R}$ .
- 

## 6 Discussion

### 6.1 Uniqueness of the Metric Structure

The main theorem has two rigidity consequences: (i) within the class of costs satisfying the standing assumptions (in particular the d'Alembert-type composition law and the unit-curvature calibration), the cost is uniquely determined as  $J$ ; and (ii) once the cost is fixed to  $J$ , the associated combiner is not an additional degree of freedom: any function  $P$  compatible with  $J$  agrees with the polynomial RCL on  $[0, \infty)^2$  (and everywhere under real-analyticity). [deleted: prior bullet list that claimed the composition law itself is derived “by the axioms”; in the current paper the d'Alembert-type composition law is an explicit assumption, while the combiner rigidity is derived.]

### 6.2 Minimality of the Axioms

Each axiom is either:

- **Definitional:** What “cost of deviation” means (normalization, reciprocity)
- **Regularity:** Smoothness (no discontinuities)
- **Convention:** Choice of units (calibration)
- **Structural:** A d'Alembert-type composition identity

The proofs are purely analytic/functional-equation arguments: no empirical input is used.

## 6.3 Structural Determination

At the level of functional form, the theorem removes a hidden degree of freedom: within the stated class, there is no alternative choice of cost, and no alternative choice of combiner (on the natural range) once the cost is fixed.

# 7 Machine Verification

## 7.1 Verified Theorems

- `IndisputableMonolith.CostUniqueness.T5_uniqueness_complete`: cost uniqueness (Lean statement packaged with explicit hypotheses).
- `IndisputableMonolith.Foundation.DAlembert.Unconditional.rcl_unconditional`: combiner rigidity on  $[0, \infty)^2$  (Theorem 3.3).
- `IndisputableMonolith.Cost.FunctionalEquation.Jcost_cosh_add_identity`: the cosh-add identity for  $J$  in log-coordinates.
- `IndisputableMonolith.Cost.FunctionalEquation.ode_cosh_uniqueness_contdiff`: ODE uniqueness for cosh.
- `IndisputableMonolith.Foundation.DAlembert.Unconditional.J_surjective_nonneg`: surjectivity of  $J$  onto  $[0, \infty)$ .

## 7.2 Explicit Hypotheses

The Lean proof uses standard regularity hypotheses from functional equation theory (made explicit as named hypotheses):

- `dAlembert_continuous_implies_smooth_hypothesis`
- `dAlembert_to_ODE_hypothesis`
- `ode_regularity_continuous_hypothesis`
- `ode_regularity_differentiable_hypothesis`
- `ode_linear_regularity_bootstrap_hypothesis`

These correspond to well-known implications used in the classical analysis of the d'Alembert functional equation (see, e.g., [1, 2]). They are stated explicitly in Lean to keep the formal proof modular.

# 8 Relation to companion work

The cost-uniqueness statement in Theorem 2.4 is a standard consequence of the d'Alembert functional equation and a curvature calibration at the origin (see, e.g., [1, 2]). A companion preprint by Washburn and Zlatanović (2026) [4] develops the cost-uniqueness result on  $\mathbb{R}_{>0}$  with a sharper focus on minimal regularity assumptions.

The main additional contribution of the present note is the combiner rigidity theorem (Theorem 3.3) and the analytic-extension observation in Part III.[deleted: prior internal “conditional/semi-conditional” comparison table; replaced with a conventional relation-to-prior-work paragraph.]

## 9 Conclusion

Under the standing assumptions (in particular the d'Alembert-type composition law and the unit-curvature calibration), the cost is forced to be the reciprocal cost  $J$ . Moreover, once  $J$  is fixed, the combiner is rigid: any function  $P$  compatible with  $J$  agrees with the polynomial RCL on the natural range  $[0, \infty)^2$ , with global extension under real-analyticity.[deleted: prior “Given only” bullet list which overstated the generality of the assumptions by treating the d'Alembert-type composition law as a mere existential consistency condition.]

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**Machine Verification.** The core theorems compile in Lean 4 with zero unproved assumptions (modulo explicit regularity hypotheses from functional equation theory).

**Repository.** `IndisputableMonolith` (Lean 4), files:

- `CostUniqueness.lean`
  - `Foundation/DAlembert/Unconditional.lean`
  - `Cost/FunctionalEquation.lean`
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## References

- [1] J. Aczél, *Lectures on Functional Equations and Their Applications*, Academic Press, New York, 1966.
- [2] M. Kuczma, *An Introduction to the Theory of Functional Equations and Inequalities*, 2nd ed., Birkhäuser, 2009.
- [3] F. J. Papp, The d'Alembert functional equation, *Amer. Math. Monthly* **92** (1985), 273–275.
- [4] J. Washburn and M. Zlatanović, *Uniqueness of the Canonical Reciprocal Cost*, preprint, 2026.