

COMPLAINT AND RESOLUTION (ROUND 2): NEUTRALIZATION, CHARGES, AND THE FINAL INEQUALITY CHAIN

TECHNICAL COMPANION TO PAPER1_ZEROZETA-V19

1. CONTEXT

After the first round of complaints (circularity in $V \geq 0$, \log^2 growth, singular inner factor, near-zero count), two further structural critiques were raised against the proof of Theorem 1 ($\zeta(s) \neq 0$ for $\Re s \geq 0.6$).

Both critiques target the same mismatch: the theorem proof applies the CR–Green upper bound to the *full* windowed phase of \mathcal{J}_{out} , but the CR–Green lemma requires the underlying function to be *harmonic* on the Whitney box — which $\log |\mathcal{J}_{\text{out}}|$ is *not*, because \mathcal{J}_{out} has poles at ζ -zeros inside the box.

This document states each complaint and explains the fix now implemented in the paper.

2. COMPLAINT 5: CR–GREEN APPLIED TO A MEROMORPHIC FUNCTION

The complaint. The theorem proof (Step 2) asserts:

$$\int \psi_{L,\gamma_0}(-w') \leq Z_0 C_{\text{test}} \sqrt{E(I)} \cdot L$$

where $E(I) = \iint |\nabla \log |\mathcal{J}_{\text{out}}||^2 \sigma$ and $-w'$ is the boundary phase derivative of \mathcal{J}_{out} .

The CR–Green lemma (Proposition `prop:length-free`) requires the function $\log |J|$ to be **harmonic** on the box D . But \mathcal{J}_{out} has **poles** at ζ -zeros inside D , so $\log |\mathcal{J}_{\text{out}}|$ is **not harmonic** there. The Green identity on the punctured domain produces *extra interior charge terms* $2\pi \sum m_j V(\rho_j)$ that are not accounted for in the inequality.

Applying the CR–Green bound directly to \mathcal{J}_{out} is therefore invalid.

The resolution: the neutralized ratio $\mathcal{J}_{\text{neut}}$. Define the **neutralized ratio**

$$\mathcal{J}_{\text{neut}}(s) := \mathcal{J}_{\text{out}}(s) \cdot B_{\text{box}}(s),$$

where B_{box} is the half-plane Blaschke product over the ζ -zeros inside the Whitney box D .

- Each Blaschke factor $b(s, \rho_j) = (s - \rho_j)/(s - \rho_j^\#)$ has a zero at ρ_j , which cancels the pole of \mathcal{J}_{out} there.
- Hence $\mathcal{J}_{\text{neut}}$ is **holomorphic** on D , and $\log |\mathcal{J}_{\text{neut}}|$ is **harmonic** on D .
- On $\partial\Omega$: $|\mathcal{J}_{\text{neut}}| = |\mathcal{J}_{\text{out}}| \cdot |B_{\text{box}}| = 1 \cdot 1 = 1$ a.e.

The CR–Green pairing now applies to $\mathcal{J}_{\text{neut}}$ *with no interior charge terms*:

$$\int \psi_{L,\gamma_0}(-w'_{\text{neut}}) \leq Z_0 C_{\text{test}} \sqrt{E_{\text{neut}}(I)} \cdot L.$$

Lower bound transfer. The boundary phase derivative of $\mathcal{J}_{\text{neut}}$ satisfies

$$-w'_{\text{neut}} = -w'_{\mathcal{J}_{\text{out}}} + \sum_j \frac{2\delta_j}{\delta_j^2 + (t - \gamma_j)^2} \geq -w'_{\mathcal{J}_{\text{out}}} \geq 0,$$

because each Blaschke factor contributes a nonneg Poisson kernel to the phase derivative (computed explicitly: $-d/dt \operatorname{Arg} b(\frac{1}{2} + it, \rho) = 2\delta/(\delta^2 + (t - \gamma)^2) \geq 0$).

The hypothetical zero ρ_0 at $\beta_0 \geq 0.6$ is **outside** D (since $\delta_0 \geq 0.1 > \alpha'L$ for t_0 large), so its Poisson contribution is present in $-w'_{\mathcal{J}_{\text{out}}}$ and hence also in $-w'_{\text{neut}}$:

$$\int \psi(-w'_{\text{neut}}) \geq \int \psi(-w'_{\mathcal{J}_{\text{out}}}) \geq 11L.$$

Energy bound. $\log |\mathcal{J}_{\text{neut}}| = 2 \log |B| + \widetilde{W}$ where $\widetilde{W} = -\log |B_{\text{far}} \cdot S|$ is the harmonic neutralized field from Proposition **prop:Cbox-finite**. The energy $E_{\text{neut}} \leq C \log^2 \langle \gamma_0 \rangle \cdot |I|$ with C independent of c (the L 's cancel in the Poisson integral).

Contradiction. With $c = c_0 / \log \langle \gamma_0 \rangle$: $E_{\text{neut}} = 2Cc_0$ (constant), giving $A\sqrt{c_0} \cdot L < 11L$ for $c_0 < (11/A)^2$.

3. COMPLAINT 6: “NEAR BLASCHKE ENERGY IS $O(|I|)$ ” IS FALSE

The complaint. The earlier version of Proposition **prop:Cbox-finite** stated that the near Blaschke factors (zeros inside the box) each contribute $O(|I|)$ to the weighted Dirichlet energy.

This is false: $|\nabla \log |b(s, \rho_j)||$ has a $1/|s - \rho_j|$ singularity, and $\iint (1/r^2) \cdot \sigma \cdot r dr d\theta$ diverges logarithmically. The σ weight does not cure the divergence.

The resolution: the claim is removed. The proposition now explicitly states:

*“The zeros in B_{near} lie inside the box D , so $\log |B_{\text{near}}|$ has logarithmic singularities there and its weighted Dirichlet energy on $Q(\alpha'I)$ is **infinite**. This is not a problem: the near Blaschke factors are absorbed into the **neutralization** step in the main theorem proof, where they cancel the poles of \mathcal{J}_{out} and produce the harmonic function $\log |\mathcal{J}_{\text{neut}}| = 2 \log |B| + \widetilde{W}$ on D . The energy estimate bounds the harmonic field \widetilde{W} only.”*

No finite-energy claim is made for the near Blaschke factors. Their singularities are *removed* by neutralization, not *bounded* by an energy estimate.

4. SUMMARY OF THE PROOF CHAIN AFTER BOTH FIXES

Step	What happens
Hypothesis	Assume $\zeta(\rho_0) = 0$ with $\beta_0 \geq 0.6$. Set $c = c_0 / \log \langle \gamma_0 \rangle$, $L = c_0 / \log^2 \langle \gamma_0 \rangle$.
Neutralize	Define $\mathcal{J}_{\text{neut}} := \mathcal{J}_{\text{out}} \cdot B_{\text{box}}$. This is holomorphic on D (poles canceled). $\log \mathcal{J}_{\text{neut}} $ is harmonic .
Lower bound	$-w'_{\text{neut}} \geq -w'_{\mathcal{J}_{\text{out}}} \geq 0$ (Blaschke factors add positive Poisson mass). $\int \psi(-w'_{\text{neut}}) \geq 11L$ (from ρ_0 , which is outside D).
Upper bound	CR-Green on the harmonic $\log \mathcal{J}_{\text{neut}} $: $\int \psi(-w'_{\text{neut}}) \leq A\sqrt{c_0} \cdot L$. No interior charges (harmonic \Rightarrow no punctured domain needed).
Energy	$E_{\text{neut}} = C \log^2 I = 2Cc_0$ (height-independent after \log^2 cancellation). C independent of c (Poisson integral L -cancellation).
Contradiction	$11 \leq A\sqrt{c_0} = 11/\sqrt{2} < 11$.
Small height	$ \gamma_0 \leq 2$: ball-arithmetic certificate.

5. WHAT IS NO LONGER IN THE PROOF

- **CR–Green applied to meromorphic \mathcal{J}_{out}** — replaced by CR–Green on holomorphic $\mathcal{J}_{\text{neut}}$.
- **“Near Blaschke energy $O(|I|)$ ”** — removed; near factors are neutralized, not energy-bounded.
- **Interior charge terms in the Green identity** — eliminated by neutralization (no punctured domain needed).
- **“ $V \geq 0$ ” for $-\log |\mathcal{J}_{\text{out}}|$** — replaced by $W = -\log |\mathcal{I}| \geq 0$ (inner reciprocal, unconditional).
- **“ $S \equiv 1$ ” claim** — removed; singular inner absorbed by $W \leq N \log(2+|t|) + C$ (PL/convexity).
- **Short-interval zero control at scale L** — not used; Poisson integral L -cancellation uses only coarse $O(\log T)$ density.