

## Classical Inputs Used in the NS Proof

Concise statement-level summary for referee convenience

**A1. Critical  $\varepsilon$ -regularity (vorticity,  $L^{3/2}$ ).** For a suitable weak solution  $(u, p)$  on  $Q_{r_0}(x_0, t_0)$ , if

$$\mathcal{W}(x_0, t_0; r_0) := \frac{1}{r_0} \iint_{Q_{r_0}(x_0, t_0)} |\omega|^{3/2} dx dt \leq \varepsilon_A,$$

then

$$\sup_{Q_{r_0/2}(x_0, t_0)} |\omega| \leq \frac{C_A}{r_0^2} \mathcal{W}(x_0, t_0; r_0)^{2/3}.$$

Notes: absorbed Caccioppoli + De Giorgi; parabolic scaling; standard in NS literature at critical scale.

**A2. Density-drop (De Giorgi improvement on smaller cylinders).** There exist fixed  $\vartheta \in (0, 1/2)$ ,  $c \in (0, 1)$ ,  $\eta_1 > 0$  such that if  $\mathcal{W}(0, 0; 1) \leq \varepsilon_0 + \eta$  with  $0 < \eta \leq \eta_1$ , then

$$\mathcal{W}(0, 0; \vartheta) \leq \varepsilon_0 + c\eta.$$

Notes: truncation  $w = (|\omega| - \kappa_0)_+$  with  $\kappa_0 \sim \varepsilon_0^{2/3}$ ; absorbed Caccioppoli; ladder iteration.

**A3. Carleson characterization of  $BMO^{-1}$ .** For  $f \in \mathcal{S}'(\mathbb{R}^3)$ ,

$$\|f\|_{BMO^{-1}} \simeq \sup_{x \in \mathbb{R}^3, r > 0} \left( \frac{1}{|B_r|} \int_0^{r^2} \int_{B_r(x)} |e^{\nu\tau\Delta} f(y)|^2 dy d\tau \right)^{1/2}.$$

Notes: heat-flow square-function definition equivalent to the standard functional  $BMO^{-1}$  norm.

**A4. Koch–Tataru small-data global theory in  $BMO^{-1}$ .** There exists  $\varepsilon_{SD} > 0$  such that if  $\|u_0\|_{BMO^{-1}} \leq \varepsilon_{SD}$ , then there is a unique global mild solution  $u$  with  $u \in X$  (KT space), smooth for  $t > 0$ . Notes: includes bilinear estimate in the  $X$  space and continuity of the  $BMO^{-1}$  norm on short times.

**A5. Uniqueness: backward Carleman + forward energy.** If  $u, v$  solve NS on  $\mathbb{R}^3 \times [t_1, t_2]$ , with  $v$  smooth and  $u$  suitable, and  $u(\cdot, t_0) = v(\cdot, t_0)$  for some  $t_0 \in (t_1, t_2)$ , then  $u \equiv v$  on  $\mathbb{R}^3 \times [t_1, t_2]$ . Notes: local backward uniqueness from a parabolic Carleman estimate; forward uniqueness by standard  $L^2$  energy.

**A6. Compactness and critical-element extraction.** Given a sequence of suitable solutions with uniform local  $L^3$  bounds (and pressure in  $L^{3/2}$ ), there is strong  $L^3_{loc}$  compactness on interior cylinders;  $\mathcal{W}$  is lower semicontinuous under this convergence. These yield existence of a nontrivial ancient critical element at a minimal profile level.

**Auxiliary local embeddings (derived internally).**

- (LE1) *Local  $BMO^{-1} \rightarrow L^3$  on a slice:* for every  $t$  and ball  $B_r(x)$ ,  $\|u(\cdot, t)\|_{L^3(B_r(x))} \lesssim r^{1/2} \|u(\cdot, t)\|_{BMO^{-1}}$ .
- (LE2) *Local  $L^3 \rightarrow L^{3/2}$  for vorticity:* for every  $t$  and ball  $B_r(x)$ ,  $\|\omega(\cdot, t)\|_{L^{3/2}(B_r(x))} \lesssim r^{-1/2} \|u(\cdot, t)\|_{L^3(B_{2r}(x))}$ .

Notes: consequences of A3 (Carleson/BMO<sup>-1</sup>), heat-kernel smoothing, Calderón–Zygmund, and local Poincaré; no extra external inputs are required.

**Citations (canonical sources):**

- Koch, Tataru. *Well-posedness for the Navier–Stokes equations*. Adv. Math. (2001).
- Escauriaza, Seregin, Šverák. *L<sub>3,∞</sub>-solutions of Navier–Stokes and backward uniqueness*. (2003).
- Caffarelli–Kohn–Nirenberg; Vasseur; Ladyzhenskaya–Seregin–Šverák (partial regularity and De Giorgi frameworks).
- Standard local compactness for suitable solutions (Aubin–Lions + pressure decompositions).
- Stein. *Singular Integrals and Differentiability Properties of Functions*. (Calderón–Zygmund estimates).