

# Response to Referee: Dimensional Rigidity / $D = 3$

Washburn & Zlatanović

**Referee comment (summary).** The referee correctly notes that, as originally phrased, each of our three conditions (T),(K),(S) can read as a disguised restatement of  $D = 3$  rather than three *convergently independent* constraints (in the set-theoretic sense that each condition allows multiple dimensions and only the intersection forces  $D = 3$ ). In particular, (S) is monotone in  $D$  and therefore selects the smallest admissible  $D$  once a lower bound is assumed.

**Our response (high level).** We agree with this point and have revised the manuscript to (i) make the logic explicit and non-circular, and (ii) separate two different roles played by our constraints:

- **Weak (set-valued) constraints** that are each individually non-singleton and whose intersection is  $\{3\}$  (a genuinely “convergent” pattern).
- **Sharp (characterizing) constraints** that, once adopted, already imply  $D = 3$ ; these are now presented as strengthened cross-checks / sharpenings rather than as the sole basis for an “independence” claim.

**Where the manuscript changed.** We updated (a) the Abstract language, (b) the Introduction (added an “allowed-dimension set” clarification and the weak A/B/C triad), (c) the statement/interpretation of (S) (explicitly a tie-breaker on an admissible set), and (d) downstream wording in the “Main Result” synthesis, summary table, and Conclusion to consistently reflect this logical structure.

**1. “Independent constraints” and allowed-dimension sets.** We added a short paragraph defining the allowed-dimension set

$$\mathcal{A}_X := \{D \in \mathbb{N} : \text{constraint } (X) \text{ holds in dimension } D\}$$

and clarified that our use of “independent” was intended to mean “arising from distinct physical/mathematical sectors” (topology vs. dynamics vs. computation), not that each  $\mathcal{A}_X$  is non-singleton. To avoid ambiguity, we now explicitly include a *set-theoretic* convergent triad (see item 3 below).

**2. The role of (S) (synchronization).** We agree that the minimization statement in (S) is an Occam/complexity tie-breaker once an admissible set of dimensions is specified. We revised the text around Theorem (S) to state this plainly:

- (S) does *not* derive the lower bound (capacity) by itself; it selects the minimal synchronization overhead *among admissible*  $D$ .

- We now treat (S) as a computational cost principle that is meaningful after other constraints have already ruled out low-dimensional cases (or after a capacity axiom fixes the admissible set).

**3. New “convergent independence” triad (weak A/B/C).** To address the referee’s core logical concern, we now include (in the Introduction) a weaker triad of constraints whose allowed-dimension sets are non-singleton but whose intersection is  $\{3\}$ . Informally:

- **(A) Same-dimension linking** (topological): existence of a nontrivial  $\mathbb{Z}$ -valued linking invariant between two same-dimensional extended objects implies  $D$  is odd (so  $\mathcal{A}_A = \{1, 3, 5, \dots\}$ ).
- **(B) Green-kernel orbital stability** (dynamical): stability of near-circular bound orbits under the Laplacian Green-kernel family forces  $D < 4$  (so  $\mathcal{A}_B \supseteq \{1, 2, 3\}$  or, under mild exclusions,  $\{2, 3\}$ ).
- **(C) Minimal geometric capacity** (geometric/operational): a minimal capacity assumption excludes the  $D = 1$  case (so  $\mathcal{A}_C \subseteq \{2, 3, 4, \dots\}$ ).

With these definitions,  $\mathcal{A}_A \cap \mathcal{A}_B \cap \mathcal{A}_C = \{3\}$ , matching the referee’s requested “nontrivial sets whose intersection is a singleton” pattern.

**4. Status of the sharper (T/K/S) statements.** We retain the sharper statements (loop–loop linking; non-precession;  $N = 45$  synchronization minimality) but now label them as strengthened specializations and cross-checks, rather than the sole basis for an “independent constraints” claim.

**5. Formalization note.** We also tightened the Lean formalization of the  $2^D - 45$  arithmetic forcing by removing an artificial boundedness hypothesis from the Gap-45 derivation; the lemma is now fully general in  $D$  (this does not change the paper’s mathematical content, but improves the mechanized certificate).

We thank the referee for pushing us to sharpen the logical structure and presentation.