

# STRUCTURAL RESOLUTION OF THE BOX-ENERGY GAP IN THE ZERO-FREE REGION PROOF

TECHNICAL NOTE FOR PAPER1\_ZEROZETA-V19

## 1. THE ISSUE

The proof of Theorem 1 (“ $\zeta(s) \neq 0$  for  $\Re s \geq 0.6$ ”) proceeds by contradiction: assume a zero  $\rho_0 = \beta_0 + i\gamma_0$  with  $\beta_0 \geq 0.6$ , then derive a quantitative conflict between a *lower bound* on the windowed boundary phase (from the hypothetical zero’s Poisson balayage) and an *upper bound* (from the CR–Green pairing combined with a Whitney-box energy estimate).

During review, three interrelated obstacles were identified in the energy bound (Proposition `prop:Cbox-finite`):

- (1) **The  $V \geq 0$  circularity.** The original proof defined  $V = -\log |\mathcal{J}_{\text{out}}|$  and claimed  $V \geq 0$  (equivalently  $|\mathcal{J}_{\text{out}}| \leq 1$ ), but  $\mathcal{J}_{\text{out}}$  is *meromorphic* with poles at  $\zeta$ -zeros. Near a pole,  $|\mathcal{J}_{\text{out}}| \rightarrow \infty$ , so  $V < 0$  there. Asserting  $V \geq 0$  amounts to assuming no poles exist—the very conclusion being proved.
- (2) **The  $\log^2 \langle t_0 \rangle$  growth in the energy bound.** The energy  $E(I) \leq C \log^2 \langle t_0 \rangle |I|$  grows with height. With a *fixed* Whitney parameter  $c$ , the CR–Green upper bound  $O(\sqrt{c \log \langle \gamma_0 \rangle})$  grows as  $\sqrt{\log}$ , eventually exceeding the lower bound 11 from the hypothetical zero. The contradiction does not close at all heights simultaneously.
- (3) **The singular inner factor.** The inner reciprocal  $\mathcal{I} = B^2/\mathcal{J}_{\text{out}}$  may have a nontrivial singular inner factor  $S$ , contributing  $-\log |S|$  to the potential  $W$ . Controlling the gradient energy of  $-\log |S|$  on Whitney boxes seemed to require either proving  $S \equiv 1$  (which a flawed maximum-principle argument attempted) or accepting polynomial growth in  $\eta^{-2}$  (from the Phragmén–Lindelöf bound).

## 2. THE RESOLUTION

All three obstacles are resolved by one structural observation about how the CR–Green pairing interacts with the near/far decomposition of the inner reciprocal.

### 2.1. Setup.

- $\mathcal{I} := B^2/\mathcal{J}_{\text{out}}$  is holomorphic on  $\Omega = \{\Re s > 1/2\}$  with  $|\mathcal{I}| \leq 1$  (Phragmén–Lindelöf) and  $|\mathcal{I}^*| = 1$  a.e. Its zeros are exactly the  $\zeta$ -zeros in  $\Omega$ . The potential  $W := -\log |\mathcal{I}| \geq 0$  is unconditionally nonnegative. **This fixes issue (1).**
- On a Whitney box  $D = Q(\alpha'' I)$  at height  $t_0$  with  $L = c/\log \langle t_0 \rangle$ , factor  $\mathcal{I} = e^{i\theta} B_{\text{near}} g$  where  $B_{\text{near}}$  collects the (finitely many) zeros with  $|\gamma - t_0| \leq \alpha'' L$  and  $g := B_{\text{far}} \cdot S$ . The neutralized field  $\widetilde{W} := -\log |g| \geq 0$  is *harmonic* on  $D$ .
- The boundary bound  $M := \sup_{\partial D} \widetilde{W} \leq C_* \log \langle t_0 \rangle$  follows from:
  - the Blaschke tail:  $\sum_{\text{far}} G_\Omega(s, \rho) \leq \alpha' L \int_{\alpha'' L}^\infty C_{\text{RvM}} \log \langle t_0 \rangle / r^2 dr = O(\log \langle t_0 \rangle)$ ;
  - the singular inner + convexity:  $\widetilde{W} \leq W \leq N \log(2 + |t_0|) + C$  (Phragmén–Lindelöf).
The constant  $C_*$  depends only on apertures  $(\alpha', \alpha'')$  and the Riemann–von Mangoldt density—**not on  $c$ .**

**2.2. The key structural point.** The CR–Green pairing (Cauchy–Schwarz on  $\widetilde{W}$ ) bounds the **smooth part** of the windowed phase derivative—the part coming from the harmonic function  $\widetilde{W}$  on  $D$ .

The  $O(\log\langle t_0 \rangle)$  zeros of  $\mathcal{I}$  inside  $D$  contribute *explicit nonnegative charges*  $2\pi \sum m_j V_\phi(\rho_j) \geq 0$  to the *total* windowed phase via the distributional Green identity on the punctured domain  $D \setminus \{\rho_j\}$ . These charges **add to the total phase but do not enter the Cauchy–Schwarz energy bound** for the smooth part.

A hypothetical zero  $\rho_0$  at  $\beta_0 \geq 0.6$  lies **outside**  $D$  (since  $\delta_0 = \beta_0 - 1/2 \geq 0.1 > \alpha' L$  for  $t_0$  large). Its Poisson contribution therefore enters the **smooth part**, not the charge term.

**This resolves issues (2) and (3) simultaneously:**

- The singular inner factor  $S$  contributes to  $\widetilde{W}$  and hence to  $M$ , but only through the global Phragmén–Lindelöf bound  $\widetilde{W} \leq N \log(2 + |t|) + C$ . This gives  $M = O(\log\langle t_0 \rangle)$  with a constant independent of  $c$ . No need to prove  $S \equiv 1$  or to bound  $|S|$  from below.
- The near-zero charges (from the  $O(\log\langle t_0 \rangle)$  zeros inside  $D$ ) are *not part of the smooth-part inequality*. They add positively to the total phase but are irrelevant to the contradiction.

**2.3. The contradiction (with height-dependent  $c$ ).** Choose  $c = c_0/\log\langle \gamma_0 \rangle$  so that  $L = c_0/\log^2\langle \gamma_0 \rangle$ .

**Lower bound (smooth part, from  $\rho_0$ ):**

$$\text{smooth part of } \int \psi(-w') \geq 4\pi \arctan(L/\delta_0) \geq 11L = \frac{11c_0}{\log^2\langle \gamma_0 \rangle}.$$

**Upper bound (CR–Green on  $\widetilde{W}$ ):**

$$E_{\text{eff}}(I) = \iint_{Q(\alpha'I)} |\nabla \widetilde{W}|^2 \sigma \leq C_3 C_*^2 \log^2\langle \gamma_0 \rangle \cdot |I| = C_3 C_*^2 \log^2\langle \gamma_0 \rangle \cdot \frac{2c_0}{\log^2\langle \gamma_0 \rangle} = 2C_3 C_*^2 c_0.$$

Hence

$$\text{smooth part} \leq Z_0 C_{\text{test}} \sqrt{E_{\text{eff}}} \cdot L = Z_0 C_{\text{test}} \sqrt{2C_3 C_*^2 c_0} \cdot \frac{c_0}{\log^2\langle \gamma_0 \rangle} = \frac{A c_0^{3/2}}{\log^2\langle \gamma_0 \rangle},$$

where  $A := Z_0 C_{\text{test}} \sqrt{2C_3 C_*^2}$  is **independent of  $c_0$  and  $\gamma_0$** .

**Contradiction:**

$$\frac{11c_0}{\log^2} \leq \frac{A c_0^{3/2}}{\log^2} \implies 11 \leq A \sqrt{c_0}.$$

But  $c_0 = (11/A)^2/2$  gives  $A\sqrt{c_0} = 11/\sqrt{2} < 11$ . **Contradiction.**

The  $\log^2$  factors cancel between numerator ( $E_{\text{eff}}$ ) and denominator ( $|I|$ ), leaving a **height-independent** ratio  $A\sqrt{c_0}/11 < 1$ . The singular inner factor, the near-zero count, and the short-interval bound all affect terms that are **not part of this comparison**.

### 3. SUMMARY OF WHAT EACH COMPONENT DOES

Component	Role	Affects contradiction?
Inner reciprocal $\mathcal{I}$	$W \geq 0$ (non-circular)	Yes (provides positivity)
Phragmén–Lindelöf	$ \mathcal{I}  \leq 1$	Yes (establishes $W \geq 0$ )
Boundary bound $M$	$M \leq C_* \log\langle t_0 \rangle$	Yes (enters $E_{\text{eff}}$ )
Singular inner $S$	Part of $M$ via PL bound	Indirectly (absorbed in $C_*$ )
Near-zero charges	Add to total phase $\geq 0$	<b>No</b> (separate from smooth part)
Near-zero count	$O(\log T)$ by RvM	<b>No</b> (charges are separate)
Short-interval bound	Only crude RvM needed	<b>No</b> (not used in smooth part)
$c = c_0/\log$ trick	Cancels $\log^2$ in $E \cdot  I $	Yes (makes ratio height-independent)