

Octave Gravity: Why an 8-Step Update Cycle Produces Geometric Gravity

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Abstract

We propose that gravity is the macroscopic expression of a loop-closure requirement, and that the smallest complete closure cycle has eight steps—the *Octave*. The Octave carries a 3-bit Gray cycle visiting all $2^3 = 8$ local states with one-bit adjacency per tick; its shift symmetry induces a DFT-8 spectral basis and discrete-derivative energy with weights $4 \sin^2(\pi k/8)$. In the continuum limit, loop closure yields conservation laws and curvature, recovering General Relativity. The discrete claims are machine-verified in Lean 4; the continuum bridge remains an explicit hypothesis.

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CONTENTS

I. Introduction	5
II. Thesis	5
A. Contributions	6
III. Definitions	6
A. Tick	6
B. RS-native units and constants (τ_0 , ϕ , and J_{bit})	6
C. Ledger	7
D. Closure	7
E. Octave	7
F. J-cost (Strain)	8
G. Emergence	8
H. Geometry	8
I. Curvature	9
IV. Postulates and mathematical model	9
A. Clock and phases	9
B. Local state space as binary patterns	9
C. Physical postulates used by this paper	10

V. Why loops matter for gravity	11
VI. The Octave theorem: a 3-bit Gray cycle on eight phases	11
A. Why eight is the “first spatial” size	11
B. Existence: an explicit Hamiltonian cycle on the 3-cube	12
C. Minimality: you cannot cover 3-bit space in fewer than eight ticks	12
D. Interpretation: why this is a gravity-relevant statement	13
E. Why three spatial dimensions	13
VII. Octave spectral structure: DFT-8 and the canonical discrete derivative	14
A. DFT-8 as the canonical eigenbasis	14
B. A unique local quadratic energy on the Octave	15
VIII. Discrete closure: from loops to conservation	16
A. A discrete calculus: boundaries and the identity “boundary of a boundary is zero”	16
B. Closure implies conservation (discrete divergence-free condition)	17
C. Closure forces compatibility constraints on dynamics	17
D. Why a variational principle appears	18
E. Preview: where curvature enters	18
IX. Continuum limit: geometric gravity and Einstein dynamics	18
A. Kinematics: metric, connection, curvature	19
B. Dynamics: the Einstein–Hilbert action	19
C. Why conservation is automatic (Bianchi identity)	19
D. Why GR is (nearly) unique as a local covariant closure	20
E. Where RS sits: “least strain” as “stationary action”	20
X. What gravity is, in this picture	21
A. Gravity is the consistency field	21
B. Matter sources gravity because matter creates demands	21
C. Free fall is the path of least strain	22
D. Weak-field limit (what reduces to Newton’s law)	22
E. Why gravity cannot be shielded	23

XI. Finite-information closure: Information-Limited Gravity (ILG) as an effective display	23
A. The basic idea	23
B. Cosmology (quasi-static limit): a source-side kernel	23
C. Galaxies (phenomenological display): a time-lag kernel	24
XII. Predictions and falsification	25
A. Structural predictions	25
B. Where the theory touches data (GR baseline + ILG departures)	25
C. Hard falsifiers (examples)	26
D. What would falsify this story	26
XIII. Related work	27
A. Other discrete gravity approaches	27
B. Companion papers in this program	27
XIV. Proof status: what is proven, what is not	27
A. Machine-verified discrete facts (Lean)	27
B. Formalized but still incomplete / proof debt remains	29
C. Explicit hypotheses (not yet formalized)	29
XV. Conclusion	29
References	30

I. INTRODUCTION

General Relativity describes gravity as spacetime curvature, but does not explain *why* gravity takes a geometric form. This paper proposes that geometric gravity is the macroscopic consequence of enforcing *loop closure*—the requirement that any closed path through a sequence of local updates returns to a consistent state.

The central observation is that the smallest complete closure loop has eight steps—the *Octave*. This minimal structure carries a 3-bit Gray cycle, admits a canonical DFT-8 spectral basis, and in the continuum limit reproduces the conservation and curvature structure of GR. The discrete half of this story is machine-verified in Lean 4; the continuum bridge is an explicit hypothesis under active formalization.

The key conceptual link is:

$$\textit{discrete closure } (\partial^2 = 0) \quad \longrightarrow \quad \textit{continuum Bianchi identity } (\nabla_\mu G^{\mu\nu} = 0).$$

This correspondence is what makes loop closure the seed of geometric gravity.

II. THESIS

If reality updates locally but must remain globally consistent, then the smallest non-contradictory closed update loop matters. An 8-step loop—the *Octave*—is the minimal closure that supports complete coverage of a three-bit neighborhood model, and its closure rules become, in the continuum limit, the conservation laws and curvature that define geometric gravity.

The argument proceeds as follows:

1. Geometry is the natural language for gravity because gravity enforces global consistency of local updates.
2. Given the local-neighborhood model `Pattern(3)` with one-bit adjacency, eight ticks is the minimal period for a complete Gray cycle.
3. Discrete closure becomes continuous conservation and curvature in the appropriate limit.

Phenomenological applications (galaxy rotation curves, cosmological tests) and the detailed GR emergence proof are developed in companion papers.

A. Contributions

1. We formalize the Octave as a machine-verified 3-bit Gray cycle on $\mathbb{Z}/8\mathbb{Z}$ (Theorem 1).
2. We prove the synchronization kernel $\text{lcm}(2^D, 45) = 360 \leftrightarrow D = 3$, and relate it to the canonical 2^D -tick cover of $\text{Pattern}(D)$ (Proposition 2).
3. We show that the Octave’s shift symmetry canonically induces a DFT-8 spectral basis and discrete Laplacian (Section VII).
4. We trace the bridge from discrete loop closure ($\partial^2 = 0$) to the continuum Bianchi identity ($\nabla_\mu G^{\mu\nu} = 0$), explaining why geometric gravity is the natural macroscopic limit (Sections VIII–IX).
5. We explicitly separate machine-verified claims from hypotheses under formalization (Section XIV).

III. DEFINITIONS

A. Tick

A **tick** is one atomic update of the underlying system—a minimal indivisible unit of change. We do not assume continuous time at the deepest level.

B. RS-native units and constants (τ_0 , ϕ , and J_{bit})

To avoid hidden parameters, the core Recognition Science (RS) development is expressed in *RS-native units*. In the Lean codebase, the fundamental time quantum is defined as one tick:

$$\tau_0 \equiv 1 \text{ tick.}$$

RS also uses the **golden ratio** ϕ , defined as

$$\phi := \frac{1 + \sqrt{5}}{2}.$$

In the Lean codebase this is `Constants.phi` (see `IndisputableMonolith/Constants.lean`).

From ϕ one defines the **elementary ledger bit cost**

$$J_{\text{bit}} := \ln \phi,$$

which appears throughout the RS “no free parameters” accounting as the fixed cost per discrete scale step (Lean: `Constants.J_bit`).

C. Ledger

A **ledger** is a bookkeeping model of local interactions. Every update has a matching record, so that when you examine any closed loop of interactions, nothing is mysteriously created or destroyed.

The analogy is double-entry accounting: if something is credited somewhere, it is debited somewhere else. The books always balance. A ledger is not literally money—it is a model for how physical updates can be recorded in a way that prevents contradictions from hiding.

D. Closure

Closure means: if you follow a closed loop of local updates, the loop adds up to zero net inconsistency. You cannot walk around a loop and return with an accounting mismatch.

Closure is the core stability requirement. It is what prevents “energy from nowhere” or “influence without source.” If closure fails anywhere, the theory is internally broken.

E. Octave

The **Octave** is a specific claim about closure: that the minimal *complete* closed cycle—the smallest loop that visits a full local neighborhood and returns consistently—has length eight ticks.

This is not yet a statement about gravity. It is a statement about the structure of consistent discrete updating. Gravity enters later, when we ask what this structure looks like at large scales.

F. J-cost (Strain)

The **J-cost** is a nonnegative function that measures “how far a ratio is from unity.” In the Lean codebase it is defined for a real ratio x by

$$J(x) := \frac{x + x^{-1}}{2} - 1,$$

which is symmetric under inversion ($J(x) = J(x^{-1})$), satisfies $J(1) = 0$, and is nonnegative for $x > 0$ (AM–GM inequality).

Remark 1 (Stationarity principle (physics postulate)). The physical content of RS is not just the definition of J , but a selection rule: realized configurations are those that minimize (or make stationary) an appropriate *total* strain functional built from local ledger-consistency constraints. In continuum language, this becomes a variational principle (Sections [VIII–IX](#)).

G. Emergence

When we say gravity **emerges**, we mean:

- The underlying rules are discrete and local.
- The simplest and most accurate *macroscopic* description is continuous and geometric.
- The geometric field equations are the best “compressed” summary of the large-scale behavior of the discrete closure rules.

Emergence does not mean “approximate” or “illusory.” It means the geometric description is genuinely the right language at large scales—just as fluid dynamics is genuinely the right language for water, even though water is made of molecules.

H. Geometry

By **geometry** we mean a rule that tells you what distances and times mean locally, and therefore what paths are “straightest” (least cost, least strain). In General Relativity, this rule is encoded by a metric field $g_{\mu\nu}$. In this framework, the metric is the tensor that captures local variations of the RRF such that total J -cost is stationary.

I. Curvature

Curvature measures how local rules fail to be globally flat. If you parallel-transport a vector around a closed loop and it comes back rotated, the region enclosed is curved. Curvature is the continuum version of “the loop did not close trivially.”

IV. POSTULATES AND MATHEMATICAL MODEL

We separate:

- **postulates** (physical assumptions),
- **discrete theorems** (finite statements that can be proved exactly),
- **continuum-limit hypotheses** (how the discrete model coarse-grains).

A. Clock and phases

Definition 1 (Tick clock). *We model a single “Octave window” of time as the cyclic group $\mathbb{Z}/8\mathbb{Z}$ (equivalently, $\text{Fin}8$). An element $t \in \mathbb{Z}/8\mathbb{Z}$ is called a phase.*

Definition 2 (Shift symmetry). *The one-tick time-translation on phases is the map $t \mapsto t + 1 \pmod{8}$. On an 8-tuple $x = (x_t)_{t \in \mathbb{Z}/8\mathbb{Z}}$, the induced shift operator is*

$$(Sx)_t := x_{t+1}.$$

B. Local state space as binary patterns

Definition 3 (Local pattern space). *For an integer $d \geq 1$, define the d -bit pattern space*

$$\text{Pattern}(d) := \{0, 1\}^d.$$

Concretely, an element $p \in \text{Pattern}(d)$ is a function $p : \{0, \dots, d-1\} \rightarrow \{0, 1\}$.

Definition 4 (One-bit adjacency). *Two patterns $p, q \in \text{Pattern}(d)$ are one-bit adjacent if they differ in exactly one coordinate:*

$$\text{OneBitDiff}(p, q) \iff \exists! k \in \{0, \dots, d-1\} \text{ such that } p(k) \neq q(k).$$

This corresponds to a single-bit flip or unit Hamming distance.

Definition 5 (Gray cover and Gray cycle). *Let $T \geq 1$. A Gray cover of $\text{Pattern}(d)$ with period T is a map $\gamma : \mathbb{Z}/T\mathbb{Z} \rightarrow \text{Pattern}(d)$ that is surjective and satisfies one-bit adjacency $\text{OneBitDiff}(\gamma(t), \gamma(t+1))$ for all t .*

A Gray cycle is a Gray cover that is also injective (hence bijective, hence visits every pattern exactly once).

C. Physical postulates used by this paper

Assumption 1 (Discrete local update). *At sufficiently fine scale, the system evolves in discrete ticks, and there exists a physically distinguished closure period of eight ticks (an Octave window), so that phase can be modeled by $\mathbb{Z}/8\mathbb{Z}$.*

Assumption 2 (Local completeness + adjacency). *Over one Octave window, the system’s local “neighborhood state” is fully explored: there exists a Gray cycle $\gamma : \mathbb{Z}/8\mathbb{Z} \rightarrow \text{Pattern}(3)$.*

Remark 2. The existence of such a Gray cycle for $d = 3$ is a pure finite theorem (we give an explicit construction in Section VI). The *physical interpretation* of that cycle as “what a local neighborhood is” is the postulate.

Assumption 3 (Simplicial Nyquist surjection (explicit hypothesis seam)). *In the simplicial-ledger bridge layer, a “recognition loop” is modeled as a closed cycle of adjacent 3-simplices. We assume (as an explicit hypothesis) that any such loop admits a phase-indexed map into $\text{Pattern}(3)$ that is surjective; equivalently, any closed recognition loop must have length at least 8.*

In Lean this is tracked as an explicit hypothesis (not yet a theorem) because the ledger-to-pattern identification and loop adjacency are still scaffolded (`IndisputableMonolith/Foundation/SimplicialLedger.lean`).

Assumption 4 (Ledger closure (conservativity)). *There exists a conservative ledger representation of evolution such that for any contractible closed loop of admissible updates, the net ledger mismatch is zero. (In differential-geometric language: the fundamental bookkeeping constraint is a closure constraint on loops.)*

Remark 3. Sections VIII–IX explain how this closure postulate becomes conservation laws and curvature in the continuum limit, and what additional regularity assumptions are needed to make the bridge rigorous.

V. WHY LOOPS MATTER FOR GRAVITY

Gravity appears to encode global constraints: energy-momentum is conserved everywhere, causal structure is coherent, spacetime has a unified geometry. Yet physics is supposed to be local. How can local rules produce global consistency?

The answer is *loop closure*. If you only check consistency point by point, contradictions can hide—a local rule might seem fine everywhere yet produce a mismatch when you trace a closed path. Loops are where contradictions reveal themselves. So if you want physics that cannot harbor hidden contradictions, you enforce closure on every loop.

Once you commit to loop closure, a structural question arises: what is the *smallest* nontrivial loop that can close? This minimal loop becomes foundational. It determines:

- how many independent directions a local region can support,
- what symmetries appear when the loop is analyzed as a repeating structure,
- what neighborhoods can exist without contradiction.

A loop shorter than the minimum cannot visit enough distinct states to cover a neighborhood. Larger loops decompose into combinations of minimal ones. So the minimal loop is fundamental; everything else is composite.

VI. THE OCTAVE THEOREM: A 3-BIT GRAY CYCLE ON EIGHT PHASES

This section states the Octave claim in the cleanest mathematical form we currently have: an explicit 8-step, one-bit-adjacent cycle that visits all 2^3 local binary states exactly once.

A. Why eight is the “first spatial” size

Proposition 1 (Counting). $|\text{Pattern}(d)| = 2^d$. *In particular, $|\text{Pattern}(3)| = 8$.*

Remark 4. This is the precise sense in which “three independent binary partitions” corresponds to “eight local states.” In the Octave story, those three independent binary partitions are what later become the three independent spatial directions.

B. Existence: an explicit Hamiltonian cycle on the 3-cube

Theorem 1 (3-bit Gray cycle of period 8). *There exists a map $\gamma : \mathbb{Z}/8\mathbb{Z} \rightarrow \text{Pattern}(3)$ such that:*

1. γ is bijective (every 3-bit pattern occurs exactly once),
2. $\text{OneBitDiff}(\gamma(t), \gamma(t+1))$ for all $t \in \mathbb{Z}/8\mathbb{Z}$ (one-bit adjacency, including wrap-around).

Equivalently, γ is a Hamiltonian cycle on the 3-dimensional hypercube graph Q_3 .

Remark 5 (A concrete witness). One explicit choice is the standard binary-reflected Gray code [7] on 3 bits:

$$0, 1, 3, 2, 6, 7, 5, 4,$$

where $\gamma(t)$ is the 3-bit binary expansion of the listed integer at phase t . Consecutive codewords differ in exactly one bit, and the final codeword 4 differs from 0 in exactly one bit, so the cycle closes.

This witness is machine-verified in Lean as a function $\text{Fin}8 \rightarrow (\text{Fin}3 \rightarrow \text{Bool})$ with proofs of bijectivity and one-bit adjacency.

Remark 6 (Lean “witness layer” packaging). The Lean codebase deliberately separates the *finite theorem* from any physics interpretation. It packages the Gray-cycle witness into a minimal `OctaveKernel.Layer` instance whose state is just the 8-phase clock:

- **Observation:** `patternAtPhase : Phase → Pattern 3` is defined as the Gray-cycle path.
- **Layer:** `PatternCoverLayer` has `State := Phase` and `step s := s + 1`.

This is a conservative artifact that lets later bridge theorems talk about “an 8-phase clock whose observations cover 3-bit patterns adjacently” without asserting that the layer is already “physics” (see `IndisputableMonolith/OctaveKernel/Instances/PatternCover.lean`).

C. Minimality: you cannot cover 3-bit space in fewer than eight ticks

Theorem 2 (Eight-tick lower bound). *Let $T \geq 1$. If a map $\gamma : \mathbb{Z}/T\mathbb{Z} \rightarrow \text{Pattern}(3)$ is surjective, then $T \geq 8$.*

Remark 7. This is the simplest “no free lunch” fact behind the Octave: if you really want to visit all 2^3 local states, you need at least eight visits. The nontrivial content of Theorem 1 is that you can do so while only changing one bit per tick (local adjacency).

D. Interpretation: why this is a gravity-relevant statement

The Octave is not yet gravity; it is the *minimal stable local clock+neighborhood structure*. Gravity enters when we add the closure postulate and ask: what is the macroscopic language of a system whose evolution must be globally consistent under this minimal local structure?

E. Why three spatial dimensions

Proposition 2 (Dimension forcing from $8 \leftrightarrow 45$ synchronization). *For $D \in \mathbb{N}$,*

$$\text{lcm}(2^D, 45) = 360 \iff D = 3.$$

This is proved in Lean as `lcm_pow2_45_eq_iff` (in `IndisputableMonolith/RecogSpec/Bands.lean`).

Remark 8 (Relation to Octave coverage). Independently, the discrete pattern space $\text{Pattern}(D)$ has 2^D elements, and Lean constructs a complete cover of period 2^D (see `Patterns.cover_exact_pow`). Combining this canonical 2^D clock with the synchronization condition $\text{lcm}(2^D, 45) = 360$ uniquely selects $D = 3$.

Remark 9 (What is “gap-45”?). In Recognition Science, “gap” refers to a mismatch cost when self-similar ϕ -patterns are projected onto a discrete clock. The number 45 is a distinguished rung on the ϕ -ladder that enters the synchronization condition $\text{lcm}(2^D, 45) = 360$, and is treated as an explicit structural feature of the RS clocking layer.

In the next sections we add two additional ingredients:

- a **canonical quadratic “variation energy”** on the 8-tick cycle, and
- a **closure constraint on loops**, whose continuum limit becomes curvature and conservation laws.

VII. OCTAVE SPECTRAL STRUCTURE: DFT-8 AND THE CANONICAL DISCRETE DERIVATIVE

The Octave is not only a counting fact (eight phases) and not only a combinatorial fact (a Gray cycle on the cube). It is also a *spectral* fact: an 8-tick clock has a canonical shift symmetry, and shift symmetry forces a canonical Fourier basis and a canonical notion of “variation energy” on the cycle.

A. DFT-8 as the canonical eigenbasis

Let $x : \mathbb{Z}/8\mathbb{Z} \rightarrow \mathbb{C}$ be any complex-valued signal on the eight phases. Define the one-tick shift operator S by $(Sx)_t = x_{t+1}$. By standard representation theory, the irreducible unitary representations of the cyclic group $\mathbb{Z}/8\mathbb{Z}$ are one-dimensional characters, so the eigenbasis of S is the discrete Fourier basis.

Define $\omega := e^{-2\pi i/8}$. The unitary DFT-8 matrix entries are

$$B_{t,k} := \frac{\omega^{tk}}{\sqrt{8}}, \quad t, k \in \mathbb{Z}/8\mathbb{Z}.$$

Fourier coefficients are $c_k := \sum_{t \in \mathbb{Z}/8\mathbb{Z}} \overline{B_{t,k}} x_t$. In this basis, the shift acts diagonally:

$$S : c_k \mapsto \omega^k c_k.$$

The $k = 0$ mode is the DC component. Modes $k \neq 0$ are mean-free (“neutral”) in the sense that $\sum_t B_{t,k} = 0$ for $k \neq 0$.

Remark 10 (Alignment with the Lean artifact). In the Lean formalization, the primitive 8th root is defined as

$$\omega_8 := e^{-i\pi/4},$$

and the DFT entry is defined (in normalized form) by

$$\text{dft8_entry}(t, k) = \frac{\omega_8^{tk}}{\sqrt{8}}$$

(see `IndisputableMonolith/LightLanguage/Basis/DFT8.lean`). The diagonalization statement (that the DFT basis diagonalizes the cyclic shift matrix) is proved as

$$\text{dft8_diagonalizes_shift}.$$

The stronger statement “any unitary basis that diagonalizes shift agrees with DFT-8 up to phase and permutation” is currently tracked as an explicit hypothesis:

`dft8_unique_up_to_phase_hypothesis;`

we use the standard mathematical fact but keep the proof-status distinction explicit.

B. A unique local quadratic energy on the Octave

Among quadratic energies on x that are local and shift-invariant, the simplest choice is the discrete derivative energy built from the one-step difference:

$$(Dx)_t := (Sx)_t - x_t = x_{t+1} - x_t, \quad E[x] := \sum_{t \in \mathbb{Z}/8\mathbb{Z}} |(Dx)_t|^2.$$

In the Fourier basis this energy is diagonal. Since D has eigenvalues $\omega^k - 1$, we obtain

$$E[x] = \sum_{k \in \mathbb{Z}/8\mathbb{Z}} |c_k|^2 |\omega^k - 1|^2 = 4 \sum_{k \in \mathbb{Z}/8\mathbb{Z}} |c_k|^2 \sin^2\left(\frac{\pi k}{8}\right).$$

The factor $4 \sin^2(\pi k/8)$ are the eigenvalues of the discrete Laplacian D^*D . This is not an ad hoc weight; it is the spectral footprint of the nearest-neighbor, shift-invariant quadratic variation energy on the Octave (unique up to an overall scale).

Remark 11 (Connection to RS “gap” accounting). In Recognition Science, the same DFT-8 backbone and the same Laplacian weights appear in the parameter-free “gap” bookkeeping on an eight-tick window: forcing a self-similar scaling pattern onto a discrete 8-tick clock generically produces neutral (non-DC) spectral content, and the “gap” measures the weighted cost of that neutral content.

The relevant self-similarity premise is the *ϕ -ladder hypothesis* (explicitly marked as a hypothesis in Lean; see `IndisputableMonolith/RRF/Hypotheses/PhiLadder.lean`).

Concretely, the Lean support documents define the canonical 8-tick ϕ -pattern $p(t) = \phi^t$ for $t \in \text{Fin}8$, compute its DFT-8 coefficients, weight the neutral modes by the canonical Laplacian spectrum, and then apply an explicit normalization/projection step (including the $64 = 8 \times 8$ “ticks \times vertices” measure choice).

The repository exposes (paths relative to `IndisputableMonolith/`):

- `w8_dft_candidate` in `Constants/GapWeight/Formula.lean`,

- `w8_projected` in `Constants/GapWeight/Projection.lean`,
- `w8_from_eight_tick` in `Constants/GapWeight.lean`.

Numerically, the pipeline constant is

$$w_8 = \frac{348 + 210\sqrt{2} - (204 + 130\sqrt{2})\phi}{7} \approx 2.4905\dots$$

(see `docs/internal_memo_w8_derivation.tex`). Proving the equality between the transparent projected definition and the closed form is tracked as an internal follow-up theorem.

VIII. DISCRETE CLOSURE: FROM LOOPS TO CONSERVATION

We now trace the path from a discrete closure constraint on loops to the conservation laws that any viable continuum limit must satisfy. This is the first half of the Octave-to-gravity bridge.

A. A discrete calculus: boundaries and the identity “boundary of a boundary is zero”

To make “closure on loops” precise, we need a language for loops, surfaces, and volumes on a discrete substrate. The standard tool is a cell complex (specifically a 3-simplicial complex of tetrahedra) together with its boundary operator (a discrete exterior calculus viewpoint) [4, 5].

Let K be an oriented cell complex approximating a region of space(-time). Let $C_k(K)$ denote formal integer combinations of oriented k -cells (chains). There is a boundary operator

$$\partial : C_k(K) \rightarrow C_{k-1}(K),$$

that sends an oriented cell to its oriented boundary (e.g. a triangle to its three directed edges). A fundamental identity of this calculus is [4, 5]

$$\partial \circ \partial = 0,$$

often read as “the boundary of a boundary is empty.” This identity is the discrete, topology-level ancestor of the continuum identities that later become Bianchi constraints.

Remark 12 (Plain language). If you take the boundary of a patch, you get its edge. If you then take the boundary of that edge, you get nothing: edges do not have edges. This “nothing” is exactly what prevents contradictions from hiding when you sum around closed loops.

B. Closure implies conservation (discrete divergence-free condition)

A conserved flow can be represented discretely as fluxes through faces. For example, in 3D take a 2-cochain J assigning a signed flux $J(f)$ to each oriented face f . Conservation in a volume cell V is the statement

$$\sum_{f \in \partial V} J(f) = 0,$$

meaning: net flux out of any closed volume is zero. This is the discrete divergence-free condition.

In the continuum limit (when cells become small and sums become integrals), this becomes the familiar divergence law. Writing a continuum current density \mathbf{j} ,

$$\oint_{\partial\Omega} \mathbf{j} \cdot \mathbf{n} \, dA = 0 \implies \nabla \cdot \mathbf{j} = 0$$

in regions without sources/sinks.

C. Closure forces compatibility constraints on dynamics

The conservation law is not just an observational fact; it is a *compatibility constraint* that any macroscopic field equation must respect. If a continuum field equation claims “field = source,” then taking a divergence of both sides must be consistent. In successful physical theories, this is guaranteed by an identity (not by tuning):

- In electromagnetism, gauge structure implies a differential identity that makes charge conservation automatic.
- In General Relativity, the Bianchi identity implies $\nabla_\mu G^{\mu\nu} = 0$, forcing $\nabla_\mu T^{\mu\nu} = 0$.

In this framework, those identities are the continuum shadow of the discrete fact $\partial^2 = 0$: closure at the discrete level becomes “divergence of the left-hand side is identically zero” at the continuum level.

D. Why a variational principle appears

Once closure is a hard constraint, the system is not free to evolve arbitrarily. Given sources and boundary conditions, there is typically a family of admissible configurations; the physical configuration is selected by an optimization principle: the system chooses the configuration of *least strain*.

Mathematically, the least-strain statement is naturally expressed by a functional $J[\text{fields}]$ whose stationary points are the realized configurations:

$$\delta J = 0.$$

The job of the next section is to identify what this functional must look like in the continuum limit if we also require locality and covariance.

E. Preview: where curvature enters

The discrete closure constraint controls *holonomy*: how local frames compare after transport around loops. In the continuum, holonomy is measured by curvature.

In Regge calculus [6], spacetime is triangulated into 4-simplices, and curvature is concentrated on 2-dimensional “hinges” (triangular faces). The curvature at a hinge is measured by the *deficit angle*: the amount by which the dihedral angles of the 4-simplices meeting at that hinge fail to sum to 2π . The Regge action is

$$S_{\text{Regge}} = \sum_{\text{hinges } h} A_h \epsilon_h,$$

where A_h is the area of hinge h and ϵ_h is its deficit angle. This converges to the Einstein–Hilbert action in the continuum limit.

The connection to Octave gravity is direct: a loop that does not close in the ledger becomes a hinge with nonzero deficit angle in the geometric description. Curvature is the natural “storage location” for loop-bookkeeping mismatch.

IX. CONTINUUM LIMIT: GEOMETRIC GRAVITY AND EINSTEIN DYNAMICS

We now move from discrete closure language to the standard continuum language of gravitational physics [1, 2]. The point of this section is not to re-teach GR, but to show

exactly *where* GR sits in the Octave story: GR is the cleanest covariant continuum closure of a loop-based consistency constraint.

A. Kinematics: metric, connection, curvature

A Lorentzian metric $g_{\mu\nu}(x)$ assigns local spacetime intervals. The Levi–Civita connection ∇ (the unique torsion-free connection compatible with g) tells us how to compare vectors at nearby points.

Curvature measures the failure of transporting around a small loop to return a vector to itself. Formally, for a vector field V^ρ ,

$$[\nabla_\mu, \nabla_\nu]V^\rho = R^\rho{}_{\sigma\mu\nu}V^\sigma,$$

where $R^\rho{}_{\sigma\mu\nu}$ is the Riemann curvature tensor. Contracting indices gives the Ricci tensor $R_{\mu\nu}$ and scalar curvature R .

B. Dynamics: the Einstein–Hilbert action

The simplest local, generally covariant action for a metric is the Einstein–Hilbert action (plus a cosmological constant term):

$$S_{\text{EH}}[g] = \frac{c^3}{16\pi G} \int (R - 2\Lambda) \sqrt{-g} \, d^4x.$$

Matter fields contribute an additional action $S_m[g, \psi]$, and define stress-energy by

$$T_{\mu\nu} := -\frac{2}{\sqrt{-g}} \frac{\delta S_m}{\delta g^{\mu\nu}}.$$

Stationarity $\delta(S_{\text{EH}} + S_m) = 0$ yields the Einstein field equations

$$G_{\mu\nu} + \Lambda g_{\mu\nu} = \frac{8\pi G}{c^4} T_{\mu\nu}, \quad G_{\mu\nu} := R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R.$$

C. Why conservation is automatic (Bianchi identity)

The differential-geometric analogue of $\partial^2 = 0$ is the Bianchi identity, which implies

$$\nabla_\mu G^{\mu\nu} = 0.$$

Therefore any matter source coupled consistently to gravity must satisfy

$$\nabla_\mu T^{\mu\nu} = 0.$$

In the Octave story, this is not an afterthought: it is the continuum form of ledger closure. The metric field equations must be built so that a divergence identity is automatic, not tuned.

D. Why GR is (nearly) unique as a local covariant closure

There is a standard uniqueness theorem behind the slogan “if you want a local covariant theory of a metric with second-order field equations, you essentially get GR.” In four spacetime dimensions, the Lovelock theorem [3] states that the most general symmetric, divergence-free rank-2 tensor built from $g_{\mu\nu}$ and up to its second derivatives is a linear combination of $G_{\mu\nu}$ and $g_{\mu\nu}$ (the cosmological constant term).

Remark 13 (What this means here). If the macroscopic limit of a ledger-closure theory is:

- local (no explicit long-range kernels in the fundamental covariant law),
- generally covariant (no preferred coordinates),
- metric-based (gravity is encoded in $g_{\mu\nu}$),
- second-order (to avoid extra propagating ghost degrees of freedom),

then the closure/compatibility requirement forces the GR form. The remaining task is to show that the RS ledger strain functional really produces S_{EH} (or an equivalent local covariant action) in its continuum limit.

E. Where RS sits: “least strain” as “stationary action”

At the narrative level, the Octave story says:

$$\begin{aligned} \text{discrete closure} + \text{least strain} &\implies \text{covariant continuum action,} \\ &\implies \text{automatic divergence identity.} \end{aligned} \tag{1}$$

At the mathematical level, the bridge is formalized using a **Recognition Reality Field** (RRF), a scalar field $\Psi : \mathbb{R}^{3+1} \rightarrow \mathbb{R}$ representing the coarse-grained recognition potential. The current Lean bridge defines a **field cost density**:

$$\mathcal{J}_{\text{RRF}}(\Psi, g) = \frac{1}{2} g^{\mu\nu} \partial_\mu \Psi \partial_\nu \Psi.$$

Assumption 5 (Field-Cost/Curvature Bridge). *When Ψ encodes the emergent metric degrees of freedom, varying \mathcal{J}_{RRF} with respect to $g^{\mu\nu}$ produces the same Euler–Lagrange response as varying the Ricci scalar:*

$$\frac{\delta}{\delta g^{\mu\nu}(x)} \left(\mathcal{J}_{\text{RRF}}(\Psi, g) \right) = \frac{\delta}{\delta g^{\mu\nu}(x)} (R(g)).$$

Status: Recorded in Lean as `field_cost_equals_curvature`, currently with proof debt (sorry). Completing this proof is the central open problem for GR emergence.

X. WHAT GRAVITY IS, IN THIS PICTURE

We can now say plainly what gravity is.

A. Gravity is the consistency field

Gravity is the field that enforces consistent global bookkeeping of local updates. It is not primarily a force. It is the rule that determines which configurations of motion and influence can exist without contradiction.

When you feel gravity pulling you toward the Earth, what you are experiencing is this: configurations in which you hover motionless require more bookkeeping strain than configurations in which you fall. The geometry is telling you which paths are least strained.

B. Matter sources gravity because matter creates demands

Matter is where updates happen—where changes are being attempted. Updates create demands on the ledger: “something changed here; the books must still balance.”

The geometry is the system’s solution that satisfies closure while accommodating those demands. In the GR continuum language, those demands are summarized by a stress-energy tensor $T_{\mu\nu}$: a local accounting object that encodes energy density, momentum flux, and

pressure/stress. The statement “matter sources gravity” is the statement that the curvature of the metric is constrained by $T_{\mu\nu}$ through the Einstein field equations (Section IX).

C. Free fall is the path of least strain

If geometry encodes consistency and the system chooses least strain, then test bodies move along paths that are easiest to keep consistent.

That is why free fall appears as the “straightest path” in curved geometry. It is not that curved spacetime exerts a force. It is that curved spacetime *is* the consistency solution, and free-fall paths are the paths along which consistency is maintained with least effort.

In GR this is encoded by the geodesic equation. Writing a worldline $x^\mu(\tau)$ with tangent $u^\mu = dx^\mu/d\tau$,

$$u^\mu \nabla_\mu u^\nu = 0,$$

or in coordinates,

$$\frac{d^2 x^\mu}{d\tau^2} + \Gamma_{\alpha\beta}^\mu \frac{dx^\alpha}{d\tau} \frac{dx^\beta}{d\tau} = 0,$$

where $\Gamma_{\alpha\beta}^\mu$ are the Christoffel symbols of the Levi-Civita connection.

D. Weak-field limit (what reduces to Newton’s law)

Any candidate continuum limit of Octave gravity must reproduce the weak-field, slow-motion regime tested in the solar system. In GR this appears as the Newtonian limit of the metric:

$$g_{00} \approx -\left(1 + \frac{2\Phi}{c^2}\right),$$

where Φ is the Newtonian gravitational potential. In this limit, the Einstein field equations reduce to Poisson’s equation

$$\nabla^2 \Phi = 4\pi G \rho,$$

for nonrelativistic mass density ρ . This is the regime in which “gravity looks like a force.” In the geometric view, the “force” is an approximation to geodesic motion in a weakly curved metric.

E. Why gravity cannot be shielded

Gravity couples to everything because the ledger tracks everything. You cannot opt out of consistency enforcement. Any update, anywhere, creates demands that the geometry must accommodate. There is no configuration of matter that erases its own ledger entries.

This is why gravity is universal: it is not a force carried by a special particle that some things might not interact with. It is the consistency requirement itself, and nothing escapes the requirement to be consistent.

XI. FINITE-INFORMATION CLOSURE: INFORMATION-LIMITED GRAVITY (ILG) AS AN EFFECTIVE DISPLAY

Up to this point, we have described the *ideal* closure limit: exact ledger closure, exact continuum limit, and a local covariant macroscopic law (GR). Recognition Science also contains a second, empirically motivated layer: what happens when closure is *information-limited*. In that regime the macroscopic law can remain geometric, but the *effective sourcing* of gravity by observed matter is modified because the system is not permitted to condition on (or “recognize”) arbitrary fine-grained information.

A. The basic idea

In plain terms: if the gravitational inference process cannot fully resolve a source at all scales, it must use a coarse-grained source. Coarse-graining does not merely “blur” the field; it can rescale the effective source strength in a scale-dependent way. In RS this effect is organized by the Octave clock: the same eight-tick structure that forces DFT-8 spectral rigidity also supplies a canonical way to talk about “what information is available” per update cycle.

B. Cosmology (quasi-static limit): a source-side kernel

In the Newtonian/quasi-static regime of cosmological perturbations, gravity is often summarized by a Poisson-type constraint relating the potential Φ to the matter density

perturbation. Information-Limited Gravity modifies this *on the source side* by a dimensionless kernel w that depends on scale and time.

In Fourier space one writes schematically

$$-k^2 \Phi(k, a) = 4\pi G a^2 w(k, a) \delta\rho_b(k, a),$$

where $\delta\rho_b$ is the baryonic matter perturbation (the “observed” source). The ILG proposal fixes w to a simple scale/time form

$$w(k, a) = 1 + C \left(\max(\varepsilon, \frac{a}{k\tau_0}) \right)^\alpha,$$

where ε is a small positive regulator (in the Lean formalization $\varepsilon = 0.01$) used as a guard against division-by-zero edge cases; physically one considers $k > 0$ and drops the regulator.

In the RS-canonical parameterization formalized in Lean, the exponent and amplitude are fixed by ϕ :

$$\alpha = \frac{1 - 1/\phi}{2}, \quad C = \phi^{-3/2},$$

and τ_0 is the fundamental tick (RS-native time quantum). The detailed motivation, parameter policy, and linear-regime predictions are developed in the dedicated ILG cosmology paper(s); the corresponding Lean formalization lives in `IndisputableMonolith/ILG/Kernel.lean` and `IndisputableMonolith/ILG/PoissonKernel.lean`.

C. Galaxies (phenomenological display): a time-lag kernel

At galaxy scales, one convenient “display” of information-limited sourcing is a causal-response/time-lag kernel that rescales the baryonic contribution to rotation curves. A representative form used in the repository’s ILG weak-field display is

$$w_t(T_{\text{dyn}}, \tau_0) = 1 + C_{\text{lag}} \left(\max(\varepsilon_t, T_{\text{dyn}}/\tau_0) \right)^\alpha,$$

where ε_t is a small positive floor (in the current Lean formalization it is set to 0.01; see `IndisputableMonolith/Relativity/ILG/WeakField.lean`). leading to a multiplicative prediction for squared circular speed v^2 :

$$v_{\text{model}}^2(r) = w_t(T_{\text{dyn}}(r), \tau_0) v_b^2(r),$$

where v_b is the baryonic contribution and $T_{\text{dyn}}(r)$ is a local dynamical time. This is an *effective* description; its job is to make the information-limited modification measurable in data analysis. A full covariant embedding is a separate paper-level task.

Remark 14 (Why include ILG here at all?). Because it answers the reader’s natural next question: “Fine, GR is the local covariant closure—so where do the dark-matter-like and dark-energy-like effects come from in your theory?” In this program the answer is: they are *not* new substances; they are effective consequences of information-limited sourcing on top of the GR baseline.

XII. PREDICTIONS AND FALSIFICATION

Before discussing predictions, readers may wish to consult Section [XIV](#) for a detailed accounting of what is machine-verified versus hypothesized.

A good theory makes predictions that could be wrong. What does Octave gravity predict?

A. Structural predictions

- **Rigidity of form:** The theory strongly constrains what macroscopic field equations are allowed. Not any geometric theory will do—only theories compatible with the Octave closure structure.
- **Dimensional structure:** The same 8-step structure that forces 3D geometry should constrain other sectors (symmetry groups, particle content). This is tested by checking whether the broader Recognition Science program correctly predicts Standard Model structure from the same Octave.
- **Auditability:** The derivation is intended to be machine-checkable. If formal verification reveals a gap, the theory must either close the gap or retract the claim.

B. Where the theory touches data (GR baseline + ILG departures)

Octave gravity makes contact with observation in two layers:

- **GR baseline (ideal closure):** recover the standard successes of GR: gravitational redshift, light bending, time delay, perihelion precession, strong equivalence principle tests, and gravitational waves propagating at speed c (to observational accuracy).

- **ILG departures (finite-information closure):** introduce scale/time-dependent effective sourcing encoded by kernels like $w(k, a)$ (Section XI). This produces distinctive signatures in galaxies (rotation curves, lensing) and in cosmology (growth, lensing, ISW).

C. Hard falsifiers (examples)

Beyond the structural falsifiers, the information-limited layer has sharp empirical failure modes. Examples (to be quantified in the dedicated ILG papers):

- **Wrong-sign ISW prediction:** if the kernel predicts a suppression (or sign change) of a large-scale late-time effect and the opposite is robustly measured, the kernel form is ruled out.
- **No k -dependence where required:** if the model predicts a scale-dependent growth/lensing relation and data show scale-independence over the predicted regime, the kernel is ruled out.
- **GW-sector inconsistency:** any covariant completion that changes GW propagation in conflict with multimessenger constraints is ruled out.

D. What would falsify this story

- **Alternative minimal cycle:** Showing that a cycle length other than eight can support the same closure and completeness requirements would weaken or falsify the Octave uniqueness claim.
- **Broken bridge:** Showing that loop closure does not force the required compatibility identities would break the bridge from discrete rules to geometric field equations.
- **Wrong continuum limit:** Showing that the continuum limit of the discrete structure does not produce GR-like dynamics would falsify the emergence claim.

These are not vague worries. They are specific checks that the theory must survive.

XIII. RELATED WORK

A. Other discrete gravity approaches

Several programs derive gravity from discrete or combinatorial structures. *Regge calculus* [6] discretizes GR on simplicial manifolds; our use of deficit angles and discrete exterior calculus is directly inspired by this tradition. *Causal dynamical triangulations* [8] and *spin foam models* [9] also build spacetime from discrete building blocks, though with different dynamical rules. *Causal set theory* posits a fundamental partial order [10]. What distinguishes the Octave approach is the emphasis on the *minimal closure period* (8 ticks) arising from complete coverage of a 3-bit local neighborhood, together with the induced spectral weights of the discrete Laplacian.

B. Companion papers in this program

- **Foundations paper:** Machine-verified proofs of the structural claims (Octave minimality, closure-to-conservation bridge).
- **GR emergence paper:** Explicit derivation of the Einstein field equations from the discrete structure.
- **Phenomenology papers:** Galaxy rotation curves, cosmological tests, and comparison with data.

XIV. PROOF STATUS: WHAT IS PROVEN, WHAT IS NOT

Honesty requires separating what is established from what is conjectured.

A. Machine-verified discrete facts (Lean)

8-phase clock closure: Fin8 arithmetic and “add 8 gives identity” facts.

Octave/Theorem.lean: `phase_add8, phase_add1_iter8`.

3-bit pattern coverage and Gray adjacency: Complete coverage of Pattern(3) at period 8, plus a concrete Gray-cycle witness with one-bit steps.

Claim	Status	Reference
8-phase clock (Fin8)	Proven	Octave/Theorem.lean
Gray cycle existence	Proven	Patterns/GrayCycle.lean
Gray cycle minimality (8 ticks)	Proven	Patterns.eight_tick_min
DFT-8 diagonalizes shift	Proven	LightLanguage/Basis/DFT8.lean
D=3 uniqueness (gap-45 sync)	Proven	RecogSpec/Bands.lean
Field cost = curvature	sorry (Hyp. 5)	RecognitionField.lean
Continuum limit	Not formalized	—

TABLE I. Summary of proof status for key claims.

Patterns.lean: card_pattern, eight_tick_min.

Patterns/GrayCycle.lean: grayCycle3, grayCover_eight_tick_min.

Octave/Theorem.lean: patternAtPhase and one-bit step facts.

Octave witness-layer packaging: (No physics claim.)

OctaveKernel/Instances/PatternCover.lean: PatternCoverLayer.

DFT-8 backbone: Shift diagonalization and neutral modes.

LightLanguage/Basis/DFT8.lean: dft8_diagonalizes_shift, dft8_mode_neutral.

Constants/GapWeight/Projection.lean: diffEnergy8_mode.

Gap weight w_8 : Parameter-free constant and transparent projection operator.

Constants/GapWeight.lean: w8_from_eight_tick, w8_pos.

Constants/GapWeight/Formula.lean: w8_dft_candidate.

Constants/GapWeight/Projection.lean: w8_projected, projectionScale_eq.

ILG kernel definitions: Effective, finite-information display.

ILG/Kernel.lean: kernel, rsKernelParams.

ILG/PoissonKernel.lean: modified Poisson operator.

Relativity/ILG/WeakField.lean, KernelForm.lean: time-kernel w_t .

All paths are relative to IndisputableMonolith/.

B. Formalized but still incomplete / proof debt remains

Ledger-to-manifold bridge: A simplicial-ledger topology layer exists but includes explicit hypotheses/scaffolds.

Scaffold: `Foundation/SimplicialLedger.lean` (hypothesis `H_SimplicialNyquistSurjection`).

GR variational bridge: The repository contains an audited GR-emergence manuscript and a Lean roadmap; several key lemmas (functional derivatives, Palatini identities, boundary-term handling) remain to be completed.

See `docs/GRAVITATIONAL_EMERGENCE_PAPER.tex` and `docs/GR_EMERGENCE_PLAN.md`.

Field Cost Isomorphism: The theorem identifying RRF field-cost variation with Ricci scalar variation is currently a named lemma with a `sorry`.

`Relativity/Dynamics/RecognitionField.lean`: `field_cost_equals_curvature`.

Covariant completion of ILG: A full covariant embedding of the effective source-side kernel (consistent with GW constraints) is a planned, not-yet-finished deliverable.

C. Explicit hypotheses (not yet formalized)

- The existence and uniqueness of the continuum limit (regularity assumptions, interchange of limits, and the precise sense in which discrete sums converge to continuum integrals).
- The identification of the RS ledger strain functional with a local covariant continuum action equivalent (up to boundary terms) to $S_{\text{EH}} + S_m$.
- The regime map between ideal closure (GR) and finite-information closure (ILG): where the effective kernels apply, and what the covariant completion must look like.

XV. CONCLUSION

Gravity, in this framework, is the macroscopic face of a deeper requirement: local updates must compose without contradiction.

The Octave—the minimal 8-step closed cycle—is the smallest complete loop that covers a 3D neighborhood consistently. It sets the dimensional structure of space, and its closure rules become conservation laws and curvature in the continuum limit.

Curvature is the ledger’s record of loop nontriviality. Matter is the source of ledger demands. Free fall is the path of least strain. We do not assume geometry; we argue that geometry is the natural macroscopic description when closure is enforced.

Gravity is consistency, and consistency closes on loops.

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