

## Lecture 6a: From transfer functions to state space

... in which we examine several ways to obtain state space representations from block diagrams or signal flow graphs.

### I. Motivating Example

Recall the rocket system

$$\begin{pmatrix} \dot{x} \\ \dot{v} \end{pmatrix} = \begin{pmatrix} v \\ -g/m + f \end{pmatrix}$$

↑  
thruster force

Define  $u = -g/m + f$ . Then the system has the form

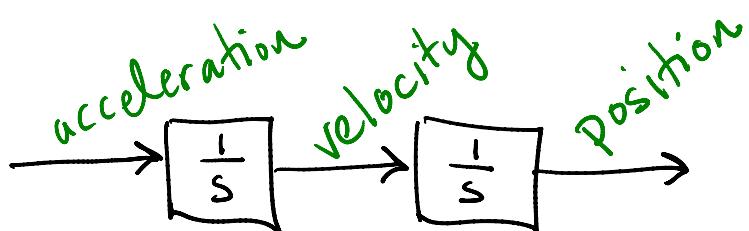
$$\begin{pmatrix} \dot{x} \\ \dot{v} \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} x \\ v \end{pmatrix} + \begin{pmatrix} 0 \\ 1 \end{pmatrix} u$$

$$y = (1 \ 0) \begin{pmatrix} \dot{x} \\ \dot{v} \end{pmatrix}$$

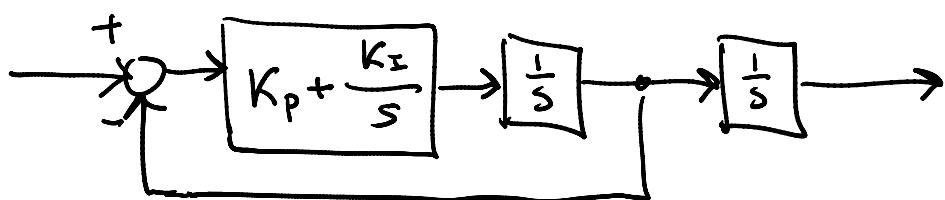
The transfer function is

$$\begin{aligned}\frac{Y(s)}{U(s)} &= C(SI - A)^{-1}B \\ &= \begin{pmatrix} 0 & 1 \end{pmatrix} \frac{1}{s^2} \begin{pmatrix} s & 1 \\ 1 & s \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \\ &= \frac{1}{s^2} \begin{pmatrix} 0 & 1 \end{pmatrix} \begin{pmatrix} s \\ 1 \end{pmatrix} = \frac{1}{s^2}\end{aligned}$$

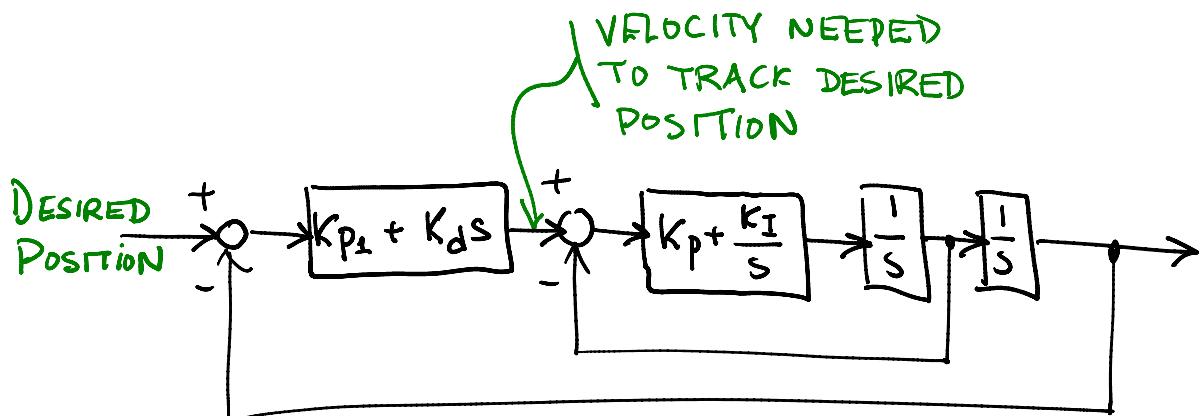
One way to look at this is as a series of blocks



The velocity controller we made looked essentially like:



One can then do position control assuming that velocity is directly activated:



This is called inner-loop/outer-loop control. The inner loop is sometimes called the slave and the outer loop is called the master.

The inner loop has T.F.  $L(s) = \frac{G(s)}{1+G(s)}$   
where

$$G(s) = \left( K_p + \frac{K_I}{s} \right) \frac{1}{s}$$

$$= \frac{K_p s + K_I}{s^2}$$

So that  $L(s) = (K_p s + K_I) / (s^2 + K_p s + K_I)$ .

The whole system's TF is then

$$T(s) = \frac{H(s)}{1 + H(s)}$$

$$\text{where } H(s) = (K_{P_1} + K_D s) L(s) \cdot \frac{1}{s}$$

$$= \frac{(K_{P_1} + K_D s)(K_P s + K_I)}{s^3 + K_P s^2 + K_I s}$$

$$= \frac{K_P K_D s^2 + (K_D K_I + K_{P_1} K_P) s + K_{P_1} K_I}{s^3 + K_P s^2 + K_I s}$$

So,

$$T(s) = \frac{K_P K_D s^2 + (K_D K_I + K_{P_1} K_P) s + K_{P_1} K_I}{s^3 + (K_P + K_P K_D) s^2 + (K_I + K_D K_I + K_{P_1} K_P) s + K_{P_1} K_I}$$

Our goal is to understand this system better by looking at it in state space.

## II. Phase Canonical Form

Goal: Write Down A, B and C matrices from a T.F. So say

$$\frac{Y(s)}{R(s)} = \frac{b_3 s^3 + b_2 s^2 + b_1 s + b_0}{s^4 + a_3 s^3 + a_2 s^2 + a_1 s + a_0}.$$

Multiply by  $Z(s)/Z(s)$  to get

$$Y(s) = (b_3 s^3 + \dots + b_0) Z(s)$$

$$U(s) = (s^4 + \dots + a_0) Z(s)$$

$$\begin{array}{c} \uparrow \\ z \\ \downarrow \end{array}$$

$$y = b_3 \ddot{z} + b_2 \dot{z} + b_1 z + b_0 z$$

$$u = \ddot{z} + a_3 \ddot{z} + a_2 \dot{z} + a_1 z + a_0 z$$

Put  $x_1 = \dot{z}$

$$x_2 = \ddot{z} = \dot{x}_1$$

$$x_3 = \dddot{z} = \dot{x}_2$$

$$x_4 = \ddot{\ddot{z}} = \dot{x}_3$$

Then

$$\begin{aligned}\dot{x}_4 &= \dots = -a_0 z - a_1 \dot{z} - a_2 \ddot{z} - a_3 \ddot{\dot{z}} + u \\ &= -a_0 x_1 - a_1 x_2 - a_2 x_3 - a_3 x_4 + u\end{aligned}$$

And

$$y = b_3 x_4 + b_2 x_3 + b_1 x_2 + b_0 x_1$$

In matrix form this is

$$\dot{\vec{x}} = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ -a_0 & -a_1 & -a_2 & -a_3 \end{pmatrix} \vec{x} + \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \end{pmatrix} u$$

$$y = (b_0 \ b_1 \ b_2 \ b_3) \vec{x}$$

For example, with the inner-loop  
 $L(s) = (K_p s + K_I) / (s^2 + K_p s + K_I)$   
we get

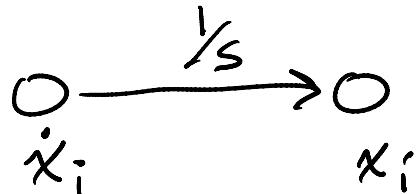
$$\dot{\vec{x}} = \begin{pmatrix} 0 & 1 \\ -K_I & -K_p \end{pmatrix} \vec{x} + \begin{pmatrix} 0 \\ 1 \end{pmatrix} u$$

$$y = (K_I \quad K_p) \vec{x}$$

In this case  $\vec{x} = \begin{pmatrix} x_1 \\ x_2 \end{pmatrix}$  where  
 $x_2 = v$  is the velocity and  $x_1$  is the internal state of the integrator part of the controller.

Note also that in this case the output  $y = K_I x_1 + K_p x_2$  is the output of the controller, which is kind-of weird!

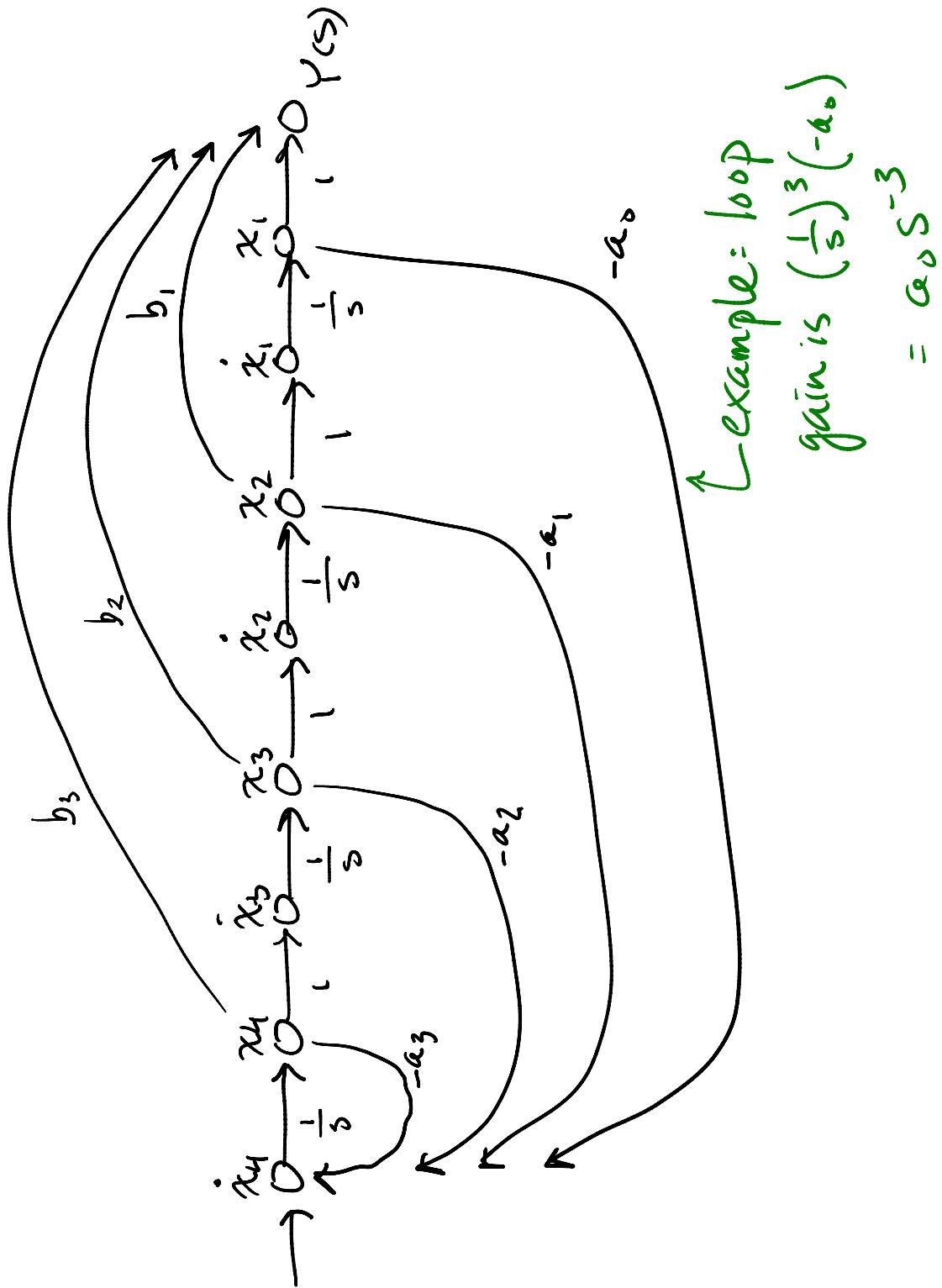
One way to look at phase canonical form is to look at the signal flow graph of  $Y(s)/U(s)$ . First note:



represents integration. Now, multiply  $Y(s)/R(s)$  by  $s^{-4}$  to get

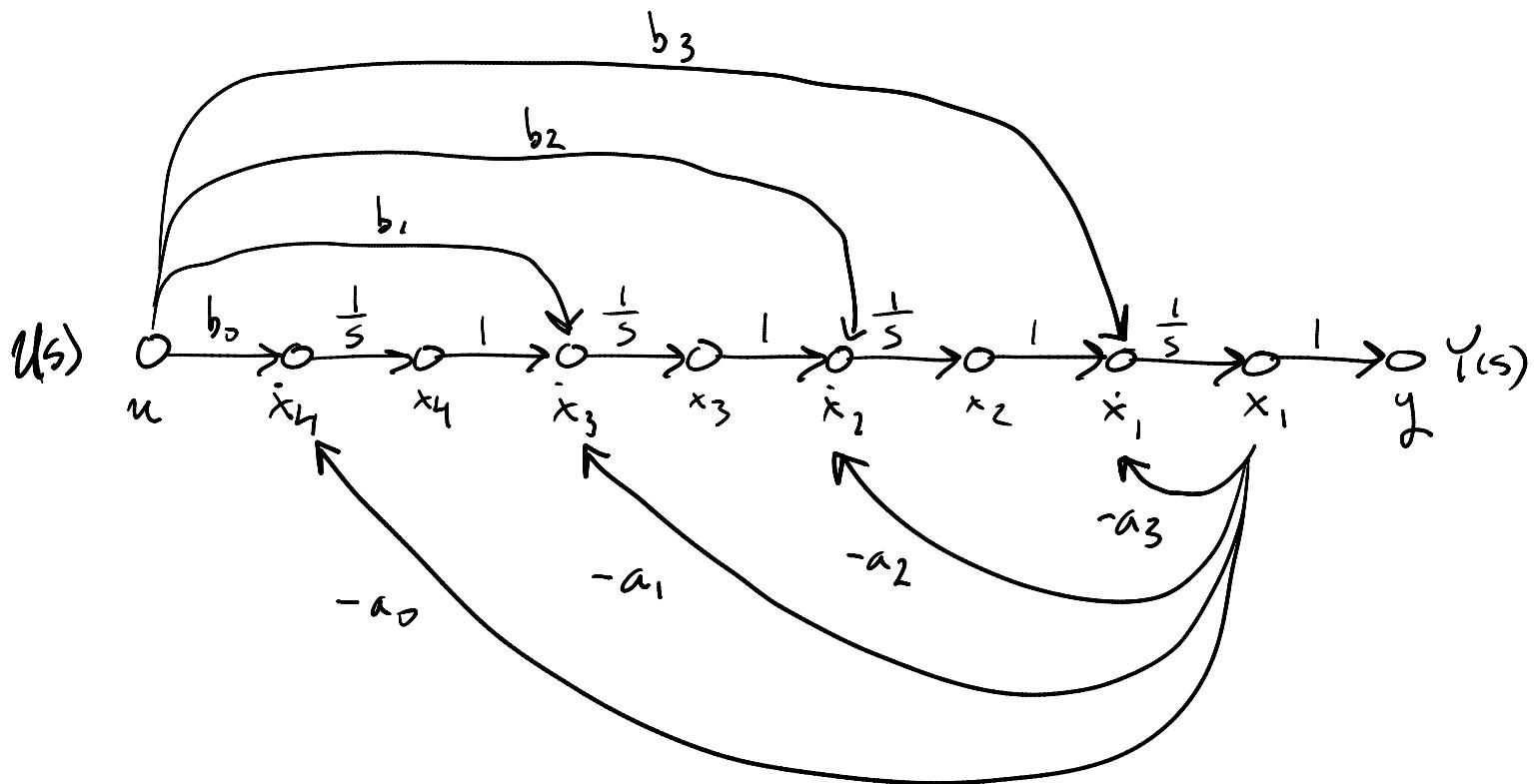
$$\begin{aligned} \frac{Y(s)}{R(s)} &= \frac{b_3 s^{-1} + b_2 s^{-2} + b_1 s^{-3} + b_0 s^{-4}}{1 + a_3 s^{-1} + a_2 s^{-2} + a_1 s^{-3} + a_0 s^{-4}} \\ &= \frac{\sum T_k \Delta_k}{\Delta} \quad \begin{matrix} \leftarrow \text{forward paths} \\ \leftarrow \text{loop terms} \end{matrix} \end{aligned}$$

Using Mason's rule, we suppose the TF came from a signal flow graph:



### III. Feedforward Canonical Form

The above analysis suggests another form.



$$\frac{Y(s)}{R(s)} = \frac{b_3 s^{-1} + b_2 s^{-2} + b_1 s^{-3} + b_0 s^{-4}}{1 + a_3 s^{-1} + a_2 s^{-2} + a_1 s^{-3} + a_0}$$

In this case, we get

$$\dot{\vec{x}} = \begin{pmatrix} -a_3 & 1 & 0 & 0 \\ -a_2 & 0 & 1 & 0 \\ -a_1 & 0 & 0 & 1 \\ -a_0 & 0 & 0 & 0 \end{pmatrix} \vec{x} + \begin{pmatrix} b_3 \\ b_2 \\ b_1 \\ b_0 \end{pmatrix} u$$

$$y = (1 \ 0 \ 0 \ 0) \vec{x}$$

This is a bit more natural for the output. Now look at  $L(s)$ :

$$\dot{\vec{x}} = \begin{pmatrix} -K_P & 1 \\ -K_I & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} + \begin{pmatrix} K_P \\ K_I \end{pmatrix} u$$

$$y = (1 \ 0) \vec{x}$$

But neither of the states correspond to a physical variable!