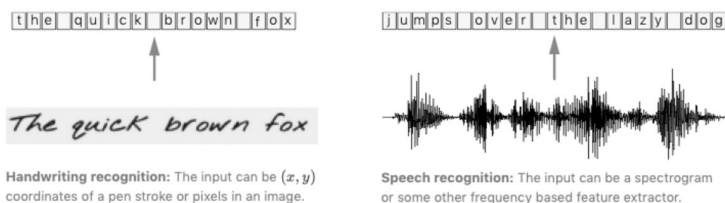


## Sequence-to-Sequence Problems without known Alignment



- fixed character rate – too restrictive
- hand alignment – very expensive
- alternative: special loss function

## CTC: Connectionist Temporal Classification

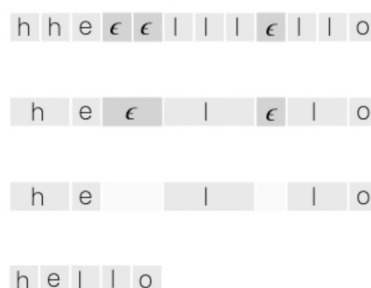
- alignment-free
- sums over probability of all possible alignments of X and Y
- approximate solution for inference (less expensive)

## Supervised Learning Objective

- map from input sequence X to output sequence Y
  - X and Y can vary in length.
  - ratio of the lengths of X and Y can vary
  - no accurate alignment (correspondence of the elements) of X and Y available
- reformulated: for a given X, return an output distribution over all possible Ys

## CTC: Introducing $\epsilon$ (Blank) Token

- does not correspond to anything and is simply removed from the output



First, merge repeat characters.

Then, remove any  $\epsilon$  tokens.

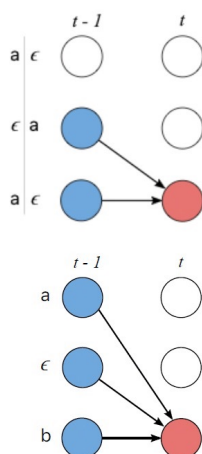
The remaining characters are the output.

## CTC Alignments Properties

- **monotonic** (left-to-right model) – no re-ordering (unlike neural machine translation)
- **many-to-one** – many inputs can map to the same output (however many outputs cannot map to a single input)
- $\text{len}(Y) \leq \text{len}(X)$

Recall: CTC does not find a single alignment, sums over all possible alignments

## Allowed Transitions



- no skip transition allowed for
  - non-blank symbols
  - blanks between same symbol
- skip transition allowed
  - previous token is blank between different symbols

# Example

- output  $Y = [c, a, t]$   
input length  $\text{len}(X) = 6$

## Valid Alignments

€ c c € a t

c c a a t t

c a € € € t

## Invalid Alignments

c € c € a t

c c a a t   

c € € € | t t

corresponds to  
 $Y = [c, c, a, t]$

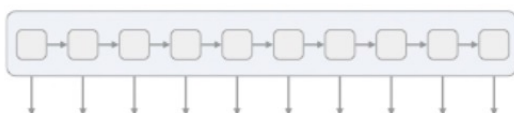
has length 5

missing the 'a'

## CTC Loss Function



We start with an input sequence, like a spectrogram of audio.



The input is fed into an RNN, for example.

h	h	h	h	h	h	h	h	h	h
e	e	e	e	e	e	e	e	e	e
l	l	l	l	l	l	l	l	l	l
o	o	o	o	o	o	o	o	o	o
€	€	€	€	€	€	€	€	€	€

The network gives  $p_t(a | X)$ , a distribution over the outputs  $\{h, e, l, o, \epsilon\}$  for each input step.

h	e	€	l	l	€	l	l	o	o
h	h	e	l	l	€	€	l	€	o
€	e	€	l	l	€	€	l	o	o

With the per time-step output distribution, we compute the probability of different sequences

h	e	l	l	o
e	l	l	o	
h	e	l	o	

By marginalizing over alignments, we get a distribution over outputs.

## CTC Loss (work together!)

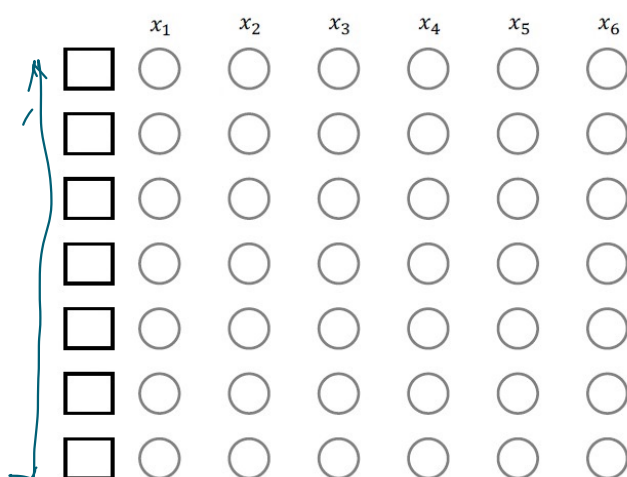
- List all valid alignments of length 6 for the target sequence “door”!
  - basis: do $\epsilon$ or (double o requires  $\epsilon$ )
  - only possible to (a) add an  $\epsilon$  somewhere or (b) double a normal char

a)  $\epsilon$ do $\epsilon$ or d $\epsilon$ o $\epsilon$ or do $\epsilon\epsilon$ or do $\epsilon$ o $\epsilon$ r do $\epsilon$ o $\epsilon$ r $\epsilon$

b) ddo $\epsilon$ or doo $\epsilon$ or do $\epsilon$ oor do $\epsilon$ orr

## CTC Loss (work together!)

Consider a sequence aab which is used to train a model with CTC loss. Draw all connections in the following CTC graph structure which correspond to valid sequences for the 6 time steps below. Use the empty rectangles on the left to write the respective character.

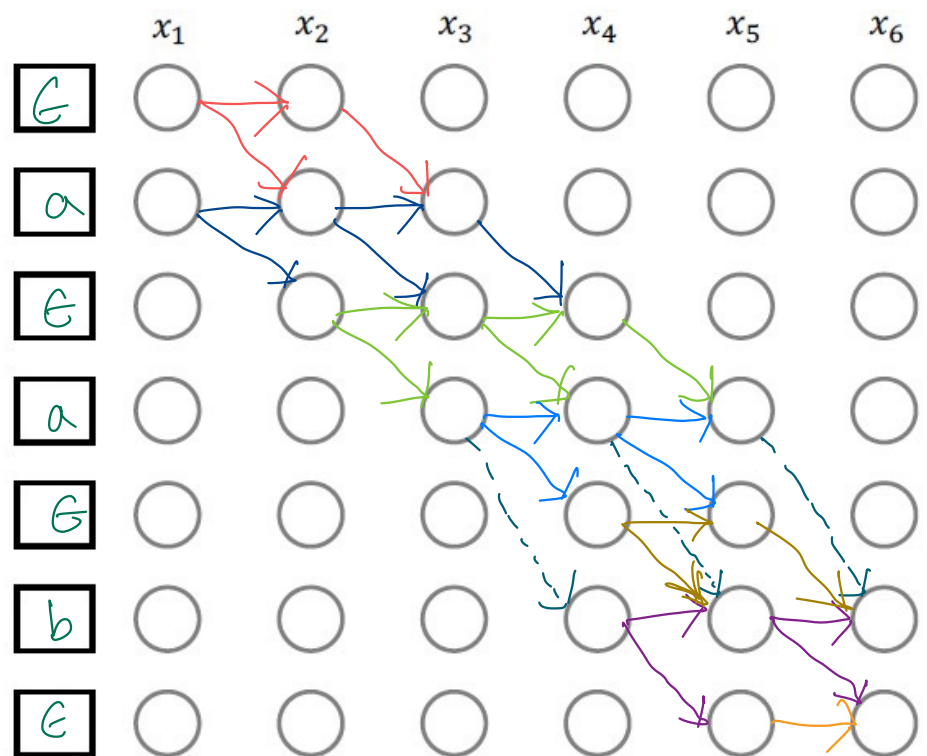


aab  
 $\epsilon$ a $\epsilon$ a $\epsilon$ b $\epsilon$   
 $\epsilon$ a $\epsilon$ ab $\epsilon$  $\epsilon$

# CTC Loss (work together!)

Consider a sequence aab which is used to train a model with CTC loss. Draw all connections in the following CTC graph structure which correspond to valid sequences for the 6 time steps below. Use the empty rectangles on the left to write the respective character.

given aab  
inp-seq-len=7  
(or)  
E a E a b E E



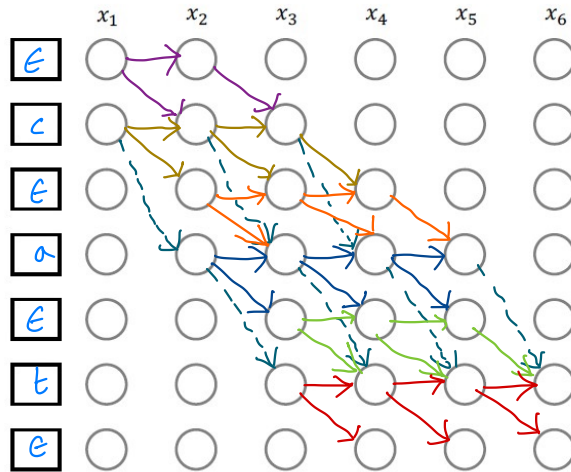
skip connections



# CTC Loss (work together!)

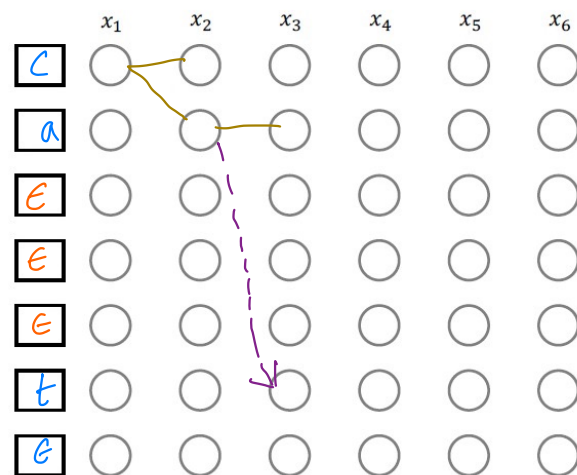
Consider a sequence aab which is used to train a model with CTC loss. Draw all connections in the following CTC graph structure which correspond to valid sequences for the 6 time steps below. Use the empty rectangles on the left to write the respective character.

cat



## CTC Loss (work together!)

Consider a sequence aab which is used to train a model with CTC loss. Draw all connections in the following CTC graph structure which correspond to valid sequences for the 6 time steps below. Use the empty rectangles on the left to write the respective character.



# CTC Loss (work together!)

Consider a sequence aab which is used to train a model with CTC loss. Draw all connections in the following CTC graph structure which correspond to valid sequences for the 6 time steps below. Use the empty rectangles on the left to write the respective character.

