## Parkinsons Dataset

This section is implemented by William Cai, including the code and the writeup.

# I. Nature of the Dataset and Algorithms

The Oxford Parkinson's Diseases Detection Dataset is composed of a range of biomedical voice measurements of people with or without Parkinson's disease. It has 22 numerical features. The goal is to detect whether a person is healthy or with Parkinson's disease.

Because the dataset contains high-dimensional data, traditional data analysis like regressions are ill-suited for the tasks. We should explore multiple powerful machine learning algorithms to address this problem.

The k-NN algorithm is good at finding the similarity between instances by calculating their Euclidean distances in a high-dimensional space. This method is effective, since we suspect that all features about the voice attributes are of similar importance. At least, when we do not know about the relative importance of features, treating them equally is a good baseline starting point. The drawback of k-NN is that the dataset being super high in dimension means that there are many "useless" features that mislead k-NN to a dead end. But this is not our case: 22 features are moderate, and they are all clearly relating to Parkinson's (or the absence thereof), by researchers.

The random forest algorithm could be suitable for this problem. It is built on many decision trees and uses bootstrapping and feature sampling to get robust results. If there are some features that are more important in classifying the disease, the decision tree will find out such features by obtaining the larger information gain via entropy or the Gini index. It compliments k-NN's treating features equally. This allows it to be resistant to some noise in the data. The drawback is that it could be hard to interpret than a simple decision tree, and it is often computationally expensive. We can alleviate this by multiprocessing to use all CPU cores.

We also explore neural networks for this dataset. With adequately sophisticated neural network configuration and non-linear activation functions like Sigmoid or ReLU at each neuron, and enough training data points, a NN can learn and potentially approximate any curves, and discover the hidden rules if there are any. This opens new ways for us to let the neural network learn from data by adjusting weights and biases in an automated fashion, without us to guess how to pick features. This powerful method comes with potential over curve-fitting, so we thus deploy regularization to mitigate such risks. Most complex NNs need intensive parallel computing, which may require RTX A6000, A100, H100, or H200. Fortunately, our dataset is small or moderate, and our CPU is quite cappable of doing it.

# II. Algorithms

#### 1. k-NN

The features are first scaled to [0, 1] using our own MinMaxScaler class before feeding them to the k-NN. This is a straightforward but important step to avoid meaningless or misleading scaling or unit distortions among features in calculating the distance between two instances, i.e., how similar and close they are.

Our k-NN code support many options as shown below.

-kmax KMAX, --kmax KMAX

Max K for KNN, default 52

-exclude\_self EXCLUDE\_SELF, --exclude\_self EXCLUDE\_SELF

Exclude self in traing, defualt False

 $-\mathtt{skip\_norm} \ \, \mathtt{SKIP\_NORMALIZATION}, \ \, -\mathtt{skip\_normalization} \ \, \mathtt{SKIP\_NORMALIZATION}$ 

Skip normalization, default False

-header HEADER, --header HEADER

CSV file header or not, default None

-kfold NUM\_KFOLDS, --num\_kfolds NUM\_KFOLDS

number of folds for stratified K-Fold, default 5

-random\_state RANDOM\_STATE, --random\_state RANDOM\_STATE

random seed like 42, -5, default None

The following command runs \$k\$-NN with our own stratified \$k\$-fold with shuffling.

\$ python knn\_cv.py --header true --exclude\_self false --num\_kfolds 10 -p parkinsons.csv

## Stratified Results:

#### Mean Train Metrics:

| nean main neorics. |          |           |          |          |  |  |  |  |
|--------------------|----------|-----------|----------|----------|--|--|--|--|
|                    | Accuracy | Precision | Recall   | F1       |  |  |  |  |
| 1                  | 1.000000 | 1.000000  | 1.000000 | 1.000000 |  |  |  |  |
| 3                  | 0.978925 | 0.964033  | 0.981339 | 0.972133 |  |  |  |  |
| 5                  | 0.958986 | 0.944525  | 0.945483 | 0.944647 |  |  |  |  |
| 7                  | 0.947025 | 0.942123  | 0.913403 | 0.926396 |  |  |  |  |
| 9                  | 0.941321 | 0.942955  | 0.897139 | 0.916935 |  |  |  |  |
| 11                 | 0.922512 | 0.922249  | 0.864443 | 0.888386 |  |  |  |  |
| 13                 | 0.907148 | 0.913029  | 0.830931 | 0.861749 |  |  |  |  |
| 15                 | 0.886050 | 0.898369  | 0.787209 | 0.823180 |  |  |  |  |
| 17                 | 0.858118 | 0.886512  | 0.725786 | 0.764043 |  |  |  |  |
| 19                 | 0.842741 | 0.890008  | 0.688295 | 0.724802 |  |  |  |  |
| 21                 | 0.836469 | 0.890918  | 0.673992 | 0.708410 |  |  |  |  |
| 23                 | 0.832472 | 0.897354  | 0.662788 | 0.694616 |  |  |  |  |
| 25                 | 0.831342 | 0.900377  | 0.659757 | 0.690288 |  |  |  |  |
| 27                 | 0.833621 | 0.909732  | 0.662077 | 0.693267 |  |  |  |  |
| 29                 | 0.833618 | 0.906606  | 0.662838 | 0.693937 |  |  |  |  |
| 31                 | 0.835326 | 0.910511  | 0.665539 | 0.697385 |  |  |  |  |
| 33                 | 0.834767 | 0.910267  | 0.664429 | 0.695919 |  |  |  |  |
| 35                 | 0.840456 | 0.912796  | 0.675951 | 0.710637 |  |  |  |  |
| 37                 | 0.839881 | 0.912527  | 0.674762 | 0.709311 |  |  |  |  |
| 39                 | 0.825076 | 0.901811  | 0.646309 | 0.663870 |  |  |  |  |
| 41                 | 0.822238 | 0.902895  | 0.639790 | 0.654743 |  |  |  |  |
| 43                 | 0.821108 | 0.904649  | 0.636734 | 0.651953 |  |  |  |  |
| 45                 | 0.817140 | 0.900572  | 0.629537 | 0.643290 |  |  |  |  |
| 47                 | 0.814270 | 0.899253  | 0.623643 | 0.636318 |  |  |  |  |
| 49                 | 0.801747 | 0.893592  | 0.598194 | 0.599940 |  |  |  |  |
| 51                 | 0.794906 | 0.890550  | 0.584294 | 0.579130 |  |  |  |  |

### Mean Test Metrics:

|   | Accuracy | Precision | Recall   | F1       |
|---|----------|-----------|----------|----------|
| 1 | 0.947807 | 0.933036  | 0.952143 | 0.935038 |
| 3 | 0.927251 | 0.915000  | 0.903214 | 0.902480 |
| 5 | 0.911988 | 0.896712  | 0.879881 | 0.878833 |
| 7 | 0.916959 | 0.907635  | 0.874286 | 0.883803 |

```
9
    0.896988
               0.884510
                          0.849881
                                    0.857592
11
   0.880585
               0.879688
                          0.807976
                                    0.825155
13
   0.860058
               0.873578
                          0.759048
                                    0.778530
    0.829240
               0.829874
                          0.702976
                                    0.723322
15
17
    0.813129
               0.808335
                          0.663810
                                    0.684416
               0.876722
                          0.657857
19
   0.824503
                                    0.680398
21
   0.819503
               0.781419
                          0.638929
                                    0.645394
23
   0.819503
               0.781072
                          0.636667
                                    0.642997
               0.780418
25
   0.813947
                          0.633095
                                    0.641183
27
   0.819503
               0.781072
                          0.636667
                                    0.642997
29
   0.819503
               0.781072
                          0.636667
                                    0.642997
31
   0.824503
               0.806656
                          0.640000
                                    0.647072
33
   0.835058
               0.861395
                          0.662500
                                    0.678832
35
   0.840614
               0.863968
                          0.675000
                                    0.693671
37
   0.835614
               0.861517
                          0.665000
                                    0.683322
39
   0.825614
               0.856873
                          0.645000
                                    0.659731
41
   0.815058
               0.851849
                          0.622500
                                    0.634543
               0.852421
                          0.625000
43
   0.815614
                                    0.635135
   0.795614
               0.743896
                          0.585000
                                    0.573803
45
47
    0.796170
               0.744210
                          0.587500
                                    0.577287
49
   0.780906
               0.588216
                          0.557500
                                    0.523440
51
   0.780906
               0.588216
                          0.557500
                                    0.523440
```

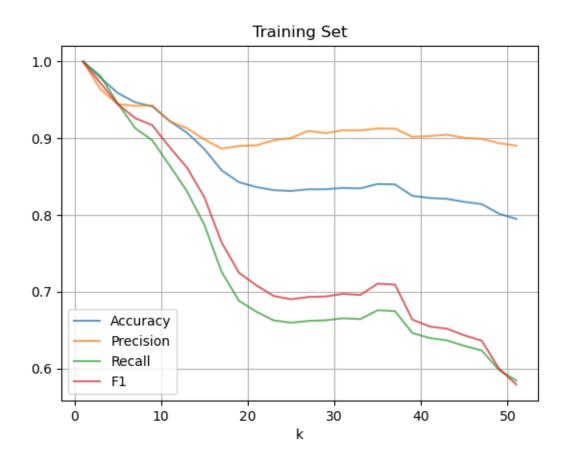


Figure 1: Parkinsons: k-NN Training (Include Self)

As we can see in the above chart, the training set achieves accuracy 100% when k = 1. This is because the model is comparing a data point itself (the closest distance is zero) for classification, hence the --exclude\_self false

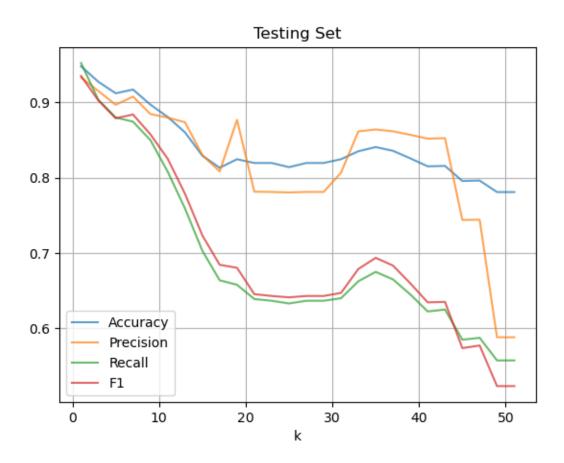


Figure 2: Parkinsons: k-NN Testing

option. This distortion continues for small k values. This self-included effect gradually fades away for large k. Let me exclude the data point itself in the classification by setting --exclude\_self true.

\$ python knn\_cv.py --header true --exclude\_self true --num\_kfolds 10 -p parkinsons.csv

#### Stratified Results:

```
Mean Train Metrics:
    Accuracy
              Precision
                            Recall
                                          F1
    0.954421
               0.930580
                         0.951038
                                    0.940060
1
3
    0.933330
               0.909115
                         0.912898
                                    0.910676
5
    0.923077
               0.898944
                         0.892860
                                    0.895655
7
    0.918522
               0.905150
                         0.870353
                                    0.885373
    0.901989
               0.889059
                         0.838336
9
                                    0.859139
11
   0.880343
               0.867794
                         0.796666
                                    0.822646
   0.861534
               0.861212
                         0.747568
                                    0.781513
13
15
   0.838771
               0.838938
                         0.699802
                                    0.732706
    0.829621
               0.845800
                         0.673396
17
                                    0.705025
19
    0.823920
               0.844723
                         0.660274
                                    0.689796
21
   0.823365
               0.866482
                         0.651374
                                    0.679489
23
   0.823365
               0.882016
                         0.646667
                                    0.674076
25
               0.896163
   0.824501
                         0.645854
                                    0.673138
27
   0.826793
               0.897953
                         0.650531
                                    0.678705
29
   0.829079
               0.901527
                         0.654399
                                    0.684094
    0.829063
               0.903987
                         0.653535
31
                                    0.683088
33
    0.830202
               0.905246
                         0.655858
                                    0.685654
35
   0.833053
               0.909434
                         0.660888
                                    0.692374
37
   0.831891
               0.906024
                         0.659267
                                    0.689606
39 0.822751
               0.902289
                         0.640662
                                    0.659229
41
   0.808553
               0.896764
                         0.611883
                                    0.620037
43 0.805121
               0.894006
                         0.604907
                                    0.608932
45 0.803423
               0.896831
                         0.600740
                                    0.605018
47
   0.793173
               0.885580
                         0.580673
                                    0.574719
   0.788604
49
               0.890632
                         0.570587
                                    0.559031
                         0.559875
51 0.782926
               0.884918
                                    0.541670
```

### Mean Test Metrics:

Accumo arr Draciaion

|    | Accuracy | Precision | Recall    | F1          |
|----|----------|-----------|-----------|-------------|
| 1  | 0.969181 | 0.961131  | 0.966190  | 0.959954    |
| ** | 3 0.9372 | 51 0.9197 | 02 0.9207 | 14 0.916103 |
| 5  | 0.943626 | 0.942589  | 0.911429  | 0.920953    |
| 7  | 0.917807 | 0.905189  | 0.881190  | 0.885579    |
| 9  | 0.907544 | 0.892630  | 0.861190  | 0.870721    |
| 11 | 0.892515 | 0.883787  | 0.815595  | 0.838304    |
| 13 | 0.866696 | 0.870858  | 0.763095  | 0.784677    |
| 15 | 0.856140 | 0.854596  | 0.742857  | 0.769041    |
| 17 | 0.841170 | 0.888424  | 0.690833  | 0.717167    |
| 19 | 0.830058 | 0.796377  | 0.668095  | 0.681895    |
| 21 | 0.830614 | 0.848583  | 0.661667  | 0.679328    |
| 23 | 0.825058 | 0.806970  | 0.642500  | 0.650556    |
| 25 | 0.830058 | 0.835899  | 0.661429  | 0.680047    |
| 27 | 0.829503 | 0.785805  | 0.658929  | 0.671878    |
| 29 | 0.835058 | 0.811356  | 0.662500  | 0.677039    |
| 31 | 0.835058 | 0.811356  | 0.662500  | 0.677039    |
| 33 | 0.840614 | 0.813930  | 0.675000  | 0.691878    |
|    |          |           |           |             |

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```
35
   0.840877
               0.814024
                          0.675000
                                     0.691952
37
                          0.665000
   0.835877
               0.811831
                                     0.678710
39
   0.845877
               0.816475
                          0.685000
                                     0.702301
41
    0.835877
               0.811831
                          0.665000
                                     0.678710
43
    0.815322
               0.702859
                          0.622500
                                     0.617668
45
   0.805322
               0.748254
                          0.602500
                                     0.595871
47
    0.795322
               0.693830
                          0.582500
                                     0.567594
49
    0.790322
               0.641856
                          0.572500
                                     0.549667
    0.790322
               0.641856
                          0.572500
                                     0.549667
51
```

(\*\* indicates the optimal tuning parameters)

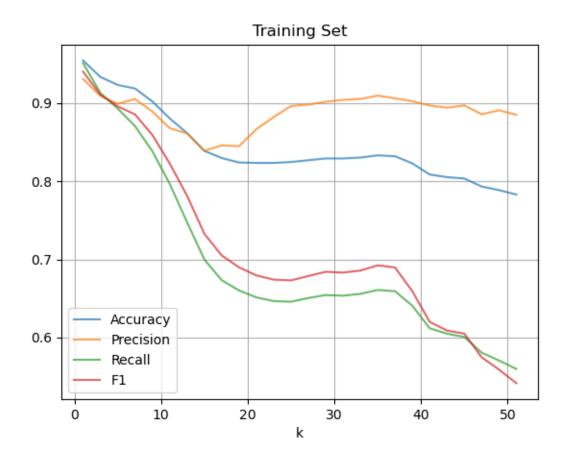


Figure 3: Parkinsons: k-NN Training (Exclude Self)

We found the testing set resembles the training set in accuracy, precision, recall, and F1. This further boosts the confidence of reliable results.

As we can see, k-NN performs best for k < 10, then the performance sharply drops for k = 10 20, then stablizes for k = 20 40, then drops again. It could be that Parkinson's disease marks or absent marks are very notbale, so keeping a small k is like keeping signal strong, whereas including far away data points dilutes the signal, thus weakening performance.

How to pick the best k values? I would like to pick k = 1, 3, or 5. From the charts, all accuracy, precision, recall, and F1 are above 0.90 for the training set. Such results are confirmed in testing set. For medical detection, false negatives are extremely harmful, so recall > 0.92 is very beneficial. F1 > 0.91 shows the robustness of these results.

Due to risk of conincidence, we would favor k = 3, or 5 over k = 1.

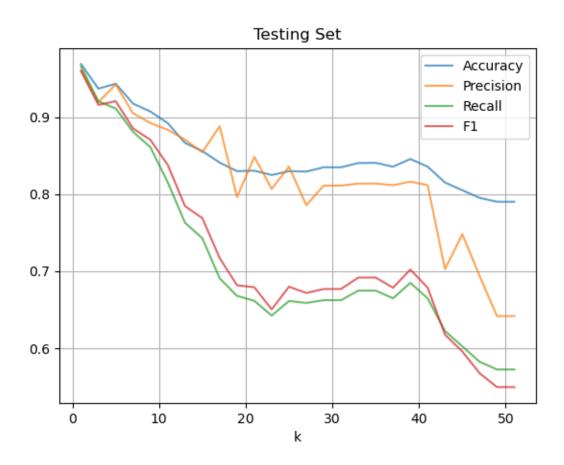


Figure 4: Parkinsons: k-NN Testing

#### 2. Random Forest

```
$ python random_forest.py --help
usage: random_forest.py [-h] [-p PATH] [-ntrees NUM_TREES] [-dmin MIN_DEPTH]
                        [-dmax MAX DEPTH] [-smin MIN SAMPLES] [-igmin MIN INFO GAIN]
                        [-skip norm SKIP NORMALIZATION] [-bst ISBST] [-gini USE GINI]
                        [-early_stop EARLY_STOP_THRESHOLD] [--random_state RANDOM_STATE]
optional arguments:
  -h, --help
                        show this help message and exit
  -p PATH, --path PATH csv file path, like wdbc.csv
  -ntrees NUM_TREES, --num_trees NUM_TREES
                        number of trees if it is greater than zero, 0 to loop over [1 5 10 20
                        30 40 50], less than 0 to loop over [1 5 10 20 30 40 50 75 100 125
                        150 200 250 300], default 0
  -dmin MIN_DEPTH, --min_depth MIN_DEPTH
                        Min depth of tree, default 2
  -dmax MAX_DEPTH, --max_depth MAX_DEPTH
                        Max depth of tree, default 8
  -smin MIN_SAMPLES, --min_samples MIN_SAMPLES
                        Min samples for split, default 2
  -igmin MIN_INFO_GAIN, --min_info_gain MIN_INFO_GAIN
                        Minimum information gain, default 0.001
  -skip_norm SKIP_NORMALIZATION, --skip_normalization SKIP_NORMALIZATION
                        skip simulation, default True
  -bst ISBST, --isBST ISBST
                        Use numerical splitting, default False
  -gini USE_GINI, --use_gini USE_GINI
                        Use Gini coefficient, default False
  -early_stop EARLY_STOP_THRESHOLD, --early_stop_threshold EARLY_STOP_THRESHOLD
                        Early majority stop threshold like 0.85, default 1.00
  --random_state RANDOM_STATE
                        random seed like 42, -5, default None
```

Use -ntrees -1 to let the random forest algorithm loop over [1 5 10 20 30 40 50 75 100 125 150 200 250 300] trees.

To handle the large computational demand, multiprocessing is used to use multicores (overcome python GIL lock). Multiprocessing queues are used to feed workers with tasks and collect results. For details, please see the code.

\$ python random\_forest.py -bst true --random\_state 42 -p parkinsons.csv

#### Random Forest Performance:

```
Accuracy Precision
                        Recall
                                      F1
             0.726777 0.751667 0.724058
   0.795877
5
   0.846959
            0.827656 0.760952 0.768689
10 0.897222
            0.901152 0.834286 0.851880
20 0.897222
             0.901847 0.825357 0.846831
30 0.917778
             0.929942 0.852262 0.872610
40 0.912515
             0.926241 0.842262 0.866618
50 0.922778
            0.935527 0.855595 0.879533
```

All our algorithms are properly handling random state, making results repeatable.

\$ python random\_forest.py -bst true -ntrees -1 --random\_state 42 -p parkinsons.csv

Random Forest Performance:

|     | Accuracy | Precision | Recall   | F1       |
|-----|----------|-----------|----------|----------|
| 1   | 0.795877 | 0.726777  | 0.751667 | 0.724058 |
| 5   | 0.846959 | 0.827656  | 0.760952 | 0.768689 |
| 10  | 0.897222 | 0.901152  | 0.834286 | 0.851880 |
| 20  | 0.897222 | 0.901847  | 0.825357 | 0.846831 |
| 30  | 0.917778 | 0.929942  | 0.852262 | 0.872610 |
| 40  | 0.912515 | 0.926241  | 0.842262 | 0.866618 |
| 50  | 0.922778 | 0.935527  | 0.855595 | 0.879533 |
| 75  | 0.907778 | 0.916609  | 0.838929 | 0.859276 |
| 100 | 0.907515 | 0.926679  | 0.825595 | 0.855340 |
| 125 | 0.917515 | 0.932561  | 0.845595 | 0.870965 |
| 150 | 0.917515 | 0.932561  | 0.845595 | 0.870965 |
| 200 | 0.917515 | 0.937770  | 0.838929 | 0.870066 |
| 250 | 0.917515 | 0.937770  | 0.838929 | 0.870066 |
| 300 | 0.917515 | 0.937770  | 0.838929 | 0.870066 |

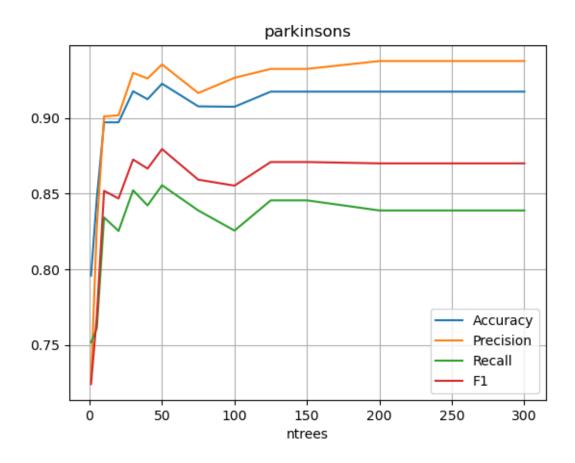


Figure 5: Random Forest: Parkinsons

We also explored the Gini index to see whether it offers improvement over entropy in picking the best features and values to split.

 $python\ random\_forest.py\ -bst\ true\ -ntrees\ -1\ -gini\ true\ --random\_state\ 42\ -p\ parkinsons.csv\ Random\ Forest\ Performance:$ 

|    | Accuracy | Precision | Recall   | F1       |
|----|----------|-----------|----------|----------|
| 1  | 0.815614 | 0.754211  | 0.798095 | 0.762186 |
| 5  | 0.820322 | 0.799579  | 0.743333 | 0.739653 |
| 10 | 0.892515 | 0.874021  | 0.853214 | 0.850105 |

```
0.903070
20
                0.912780
                           0.837857
                                      0.854952
30
     0.913333
                                      0.866985
                0.927066
                           0.844762
40
     0.908070
                 0.920537
                           0.841429
                                      0.862526
** 50
                              0.851429
                                        0.879419
        0.923333
                    0.945607
75
     0.912778
                 0.928137
                           0.835595
                                      0.862725
     0.912515
                 0.935012
                           0.828929
100
                                      0.861610
125
     0.912515
                 0.935012
                           0.828929
                                      0.861610
150
     0.917515
                 0.937770
                           0.838929
                                      0.870066
200
     0.912515
                0.935012
                           0.828929
                                      0.861610
250
     0.912515
                 0.935012
                           0.828929
                                      0.861610
300
     0.912515
                 0.935012
                          0.828929
                                      0.861610
```

(\*\* indicates the optimal tuning parameters)

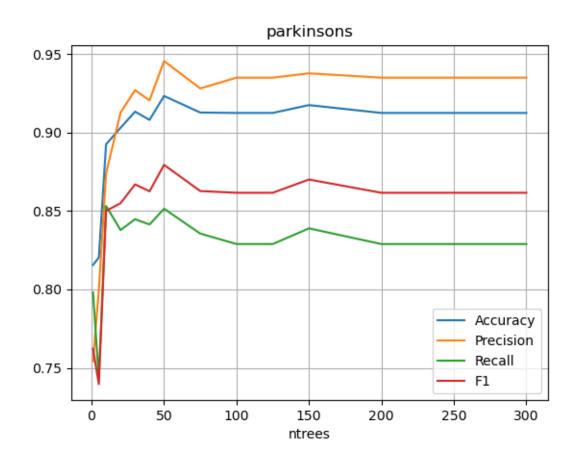


Figure 6: Random Forest by Gini: Parkinsons

Both entropy and the Gini index offer very similar performances. They all start with low accuracy, precision, recall, and F1 for low ntrees counts. This is expected, as a random forest relies on collection of the wisdom of weak learners. Each decision tree offers better than random performance, but it is far from stellar. As ntrees reaches 20, performances are significantly improved, with accuracy 0.90 and F1 0.85. The model continues to improve, synergizing the knowledge of more decision trees, until ntrees = around 50. This is the point where all metrics peak together, with accuracy 0.923, precision 0.936, recall 0.856, and F1 0.880. Then the performance more or less is flat. This is the point of diminishing returns for more computing.

The chart by Gini index is similar. It is just smoother, with less variation. This confirmation is welcome in our analysis.

So, we would pick ntrees = 50 as the optimal hyperparameter for random forest algorithms.

#### 3. Neural Network

\$ python nn.py --help

```
usage: nn.py [-h] [-p PATH] [-input NUM_INPUT] [-output NUM_OUTPUT]
             [-neuron HIDDEN_NEURONS] [-activation ACTIVATION] [-batch BATCH_SIZE]
             [-lr LEARNING RATE] [-rlambda RLAMBDA]
             [-loss_delta LOSS_DELTA_THRESHOLD] [-k K_EPOCH_SHUFFLE]
             [-epoch EPOCHS] [-kfold NUM_KFOLDS] [-random_state RANDOM_STATE]
             [-proj PROJECT_NAME_PREFIX]
optional arguments:
  -h, --help
                        show this help message and exit
  -p PATH, --path PATH csv file path, like wdbc.csv
  -input NUM_INPUT, --num_input NUM_INPUT
                        number of input, default 5
  -output NUM_OUTPUT, --num_output NUM_OUTPUT
                        number of output, default 1
  -neuron HIDDEN_NEURONS, --hidden_neurons HIDDEN_NEURONS
                        Hidden layer neurons, default [10]
  -activation ACTIVATION, --activation ACTIVATION
                        Non-output activation function, default sigmoid
  -batch BATCH_SIZE, --batch_size BATCH_SIZE
                        batch size, default 16
  -lr LEARNING_RATE, --learning_rate LEARNING_RATE
                        Learning rate, default 0.01
  -rlambda RLAMBDA, --rlambda RLAMBDA
                        Regularization lambda, default 0.01
  -loss_delta LOSS_DELTA_THRESHOLD, --loss_delta_threshold LOSS_DELTA_THRESHOLD
                        Early stop loss limit, default 0.001
  -k K_EPOCH_SHUFFLE, --k_epoch_shuffle K_EPOCH_SHUFFLE
                        Shuffle training data per k epochs, default -1 (never)
  -epoch EPOCHS, --epochs EPOCHS
                        number of epochs, default 1000
  -kfold NUM_KFOLDS, --num_kfolds NUM_KFOLDS
                        number of folds for stratified K-Fold, default 5
  -random_state RANDOM_STATE, --random_state RANDOM_STATE
                        random seed like 42, -5, default None
  -proj PROJECT_NAME_PREFIX, --project_name_prefix PROJECT_NAME_PREFIX
                        Project name prefix, like myproject, default proj
```

We want to build a simple network with strong performance, rather than a complex network of over curve-fitting. So we start by exploring a network with a single hidden layer with a low neuron count, then two hidden layers, then three hidden layers. We shall see that a moderate network is adequate.

The tuning of learning rate and regularization rate is key to a performing model.

| NN          | batch size | lr   | rlambda | loss    | Accuracy | Precision | Recall  | F1      |
|-------------|------------|------|---------|---------|----------|-----------|---------|---------|
| 22, [2], 1  | 16         | 0.01 | 0.01    | 0.33684 | 0.85107  | 0.83281   | 0.75487 | 0.77863 |
| 22, [4], 1  | 16         | 0.01 | 0.01    | 0.31594 | 0.86173  | 0.84761   | 0.77720 | 0.79734 |
| 22, [8], 1  | 16         | 0.01 | 0.01    | 0.29868 | 0.87199  | 0.86445   | 0.79065 | 0.81275 |
| 22, [16], 1 | 16         | 0.01 | 0.01    | 0.32073 | 0.86699  | 0.85735   | 0.78732 | 0.80774 |

| NN                             | batch size | lr    | rlambda           | loss              | Accuracy          | Precision         | Recall            | F1                |
|--------------------------------|------------|-------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| 22, [32], 1                    | 16         | 0.01  | 0.01              | 0.30235           | 0.87199           | 0.86445           | 0.79065           | 0.81275           |
| 22, [2, 2], 1                  | 16         | 0.01  | 0.01              | 0.37030           | 0.85134           | 0.82861           | 0.76264           | 0.78344           |
| 22, [4, 4], 1                  | 16         | 0.01  | 0.01              | 0.36277           | 0.84634           | 0.82300           | 0.75165           | 0.77424           |
| 22, [8, 8], 1                  | 16         | 0.01  | 0.01              | 0.36429           | 0.84107           | 0.81596           | 0.74820           | 0.76880           |
| 22, [16, 16], 1                | 16         | 0.01  | 0.01              | 0.33252           | 0.86673           | 0.86217           | 0.77287           | 0.79985           |
| 22, [32, 32], 1                | 16         | 0.01  | 0.01              | 0.32554           | 0.87199           | 0.87675           | 0.77632           | 0.80660           |
| 22, [8, 4], 1                  | 16         | 0.01  | 0.01              | 0.33749           | 0.85660           | 0.83565           | 0.76609           | 0.78888           |
| 22, [2, 2, 2], 1               | 16         | 0.01  | 0.01              | 0.39751           | 0.81516           | 0.79722           | 0.69019           | 0.70921           |
| 22, [4, 4, 4], 1               | 16         | 0.01  | 0.01              | 0.35818           | 0.84634           | 0.82300           | 0.75165           | 0.77424           |
| 22, [4, 2, 2], 1               | 16         | 0.01  | 0.01              | 0.55770           | 0.75398           | 0.37699           | 0.50000           | 0.42986           |
| 22, [4, 4, 2], 1               | 16         | 0.01  | 0.01              | 0.40452           | 0.85686           | 0.89128           | 0.72433           | 0.76005           |
| 22, [8, 4], 1                  | 16         | 0.01  | 0.05              | 0.36773           | 0.85620           | 0.85964           | 0.74398           | 0.77626           |
| 22, [8, 4], 1                  | 16         | 0.05  | 0.05              | 0.36645           | 0.86173           | 0.88341           | 0.73989           | 0.77749           |
| 22, [8, 4], 1                  | 16         | 0.1   | 0.1               | 0.39576           | 0.84107           | 0.91353           | 0.67667           | 0.70438           |
| 22, [8, 4], 1                  | 16         | 0.05  | 0.07              | 0.37681           | 0.85686           | 0.90222           | 0.71667           | 0.75696           |
| 22, [8, 4], 1                  | 16         | 0.03  | 0.2               | 0.55819           | 0.75398           | 0.37699           | 0.50000           | 0.42986           |
| 22, [8, 4], 1                  | 16         | 0.02  | 0.0               | 0.32641           | 0.88726           | 0.88326           | 0.80843           | 0.83154           |
| 22, [8, 4], 1                  | 16         | 0.02  | 0.05              | 0.36456           | 0.86673           | 0.87547           | 0.75854           | 0.79141           |
| 22, [8], 1                     | 16         | 0.01  | 0.01              | 0.32851           | 0.86146           | 0.85513           | 0.76943           | 0.79440           |
| 22, [8, 2], 1                  | 16         | 0.01  | 0.01              | 0.35864           | 0.84607           | 0.82341           | 0.75153           | 0.77357           |
| 22, [8, 2], 1                  | 16         | 0.02  | 0.01              | 0.31955           | 0.86686           | 0.84734           | 0.78720           | 0.80685           |
| 22, [8, 2], 1                  | 16         | 0.04  | 0.02              | 0.34631           | 0.85607           | 0.84009           | 0.75720           | 0.78235           |
| 22, [6, 2], 1 $22, [8, 2], 1$  | 16         | 0.04  | 0.01              | 0.31300           | 0.85621           | 0.82825           | 0.78031           | 0.79290           |
| 22, [6, 2], 1 $22, [8, 2], 1$  | 16         | 0.02  | 0.02              | 0.36044           | 0.85134           | 0.83045           | 0.75498           | 0.77902           |
| 22, [6, 2], 1 $22, [8, 2], 1$  | 16         | 0.02  | 0.005             | 0.29603           | 0.86173           | 0.84151           | 0.77720           | 0.79624           |
| 22, [6, 2], 1 $22, [8, 2], 1$  | 16         | 0.04  | 0.005             | 0.29365           | 0.87700           | 0.84671           | 0.82253           | 0.82731           |
| 22, [6, 2], 1 $22, [8, 2], 1$  | 16         | 0.04  | 0.0025            | 0.29750           | 0.89252           | 0.87518           | 0.83943           | 0.84835           |
| 22, [6, 2], 1 $22, [8, 2], 1$  | 16         | 0.04  | 0.0025            | 0.29504           | 0.88726           | 0.86059           | 0.83598           | 0.84160           |
| 22, [6, 2], 1 $22, [8, 2], 1$  | 16         | 0.08  | 0.005             | 0.31504           | 0.86161           | 0.81824           | 0.80475           | 0.80616           |
| 22, [6, 2], 1 $22, [8, 2], 1$  | 16         | 0.04  | 0.003             | 0.31300           | 0.85621           | 0.82825           | 0.78031           | 0.79290           |
| 22, [6, 2], 1 $22, [8, 2], 1$  | 16         | 0.04  | 0.075             | 0.38195           | 0.85686           | 0.90222           | 0.71667           | 0.75696           |
| 22, [6, 2], 1 $22, [8, 2], 1$  | 16         | 0.04  | 0.0075            | 0.30041           | 0.86673           | 0.84058           | 0.80153           | 0.80959           |
| 22, [6, 2], 1 $22, [8, 2], 1$  | 16         | 0.03  | 0.005             | 0.29198           | 0.87687           | 0.85118           | 0.80820           | 0.82236           |
| 22, [6, 2], 1 $22, [8, 2], 1$  | 16         | 0.03  | 0.0025            | 0.28229           | 0.89226           | 0.86751           | 0.83931           | 0.84862           |
| 22, [6, 2], 1 $22, [8, 2], 1$  | 16         | 0.06  | 0.0025            | 0.30148           | 0.87713           | 0.83884           | 0.82920           | 0.82964           |
| 22, [6, 2], 1 $22, [8, 2], 1$  | 16         | 0.045 | 0.0025            | 0.30119           | 0.88726           | 0.86059           | 0.83598           | 0.84160           |
| 22, [6, 2], 1 $22, [8, 2], 1$  | 16         | 0.025 | 0.0025            | 0.28486           | 0.87161           | 0.83847           | 0.80475           | 0.81554           |
| 22, [6, 2], 1 $22, [8, 2], 1$  | 16         | 0.025 | 0.0025            | 0.28680           | 0.89779           | 0.87810           | 0.85054           | 0.85744           |
| 22, [8, 2], 1<br>22, [8, 2], 1 | 16         | 0.03  | 0.0025 $0.00125$  | 0.27804           | 0.89252           | 0.86539           | 0.84709           | 0.85054           |
| 22, [8, 2], 1<br>22, [8, 2], 1 | 16         | 0.06  | 0.00125 $0.00125$ | 0.27804 $0.30785$ | 0.89232 $0.87213$ | 0.80539 $0.83134$ | 0.82586           | 0.83034 $0.82402$ |
| 22, [8, 2], 1<br>22, [8, 2], 1 | 16         | 0.045 | 0.00125 $0.00125$ | 0.30783           | 0.87213           | 0.85134 $0.85116$ | 0.82530 $0.83253$ | 0.82402 $0.83648$ |
|                                |            |       |                   |                   | 0.87687           |                   |                   |                   |
| 22, [8, 2], 1                  | 16<br>16   | 0.025 | 0.00125           | 0.28118           | 0.87087           | 0.84843           | 0.80820           | 0.82193           |
| 22, [8, 2], 1                  | 16<br>16   | 0.035 | 0.00125           | 0.27777           |                   | 0.87810           | 0.85054           | 0.85744           |
| 22, [8, 2], 1                  | 16         | 0.035 | 0.000625          | 0.27635           | 0.89779           | 0.87810           | 0.85054           | 0.85744           |
| 22, [8, 2], 1                  | 16<br>16   | 0.035 | 0.000325          | 0.27597           | 0.89779           | 0.87810           | 0.85054           | 0.85744           |
| 22, [8, 2], 1                  | 16         | 0.04  | 0.000325          | 0.27383           | 0.89266           | 0.86056           | 0.85475           | 0.85359           |

For a single layer, as we can see the performace metrics are similar for nurons 2, 4, 8, 16 and 32. They all have low loss around 0.31, accuracy 0.86, precision 0.85, recall 0.78, and F1 0.80. This hints a simple network with low neurons. It might suggest that more than 8 neurons mean unnecessary complexity, yet less than 2 is unnecessarily limiting.

From the above table, we can see that networks with two hidden layers are in general not much better performing than networks with a single hidden layer. Some more complex models perform a lot worse.

Three hidden layers do not help performance. It seems brittle: with small changes, performance tanks. This is likely due to overfitting.

We are mostly interested in a simple network with two hidden layers, for these reasons: it is as performing as a single layer but offers a lot more options to tune. In particular, we pick hidden layer [8, 2] network to further tune for cranking up performance.

## Tuning hyperparameters kfold = 10

 $\$  python nn.py –hidden\_neurons "8 2" -lr 0.05 -rlambda 0.000325 –batch\_size 16 –loss\_delta\_threshold 0.001 –k\_epoch\_shuffle -1 -kfold 10 –random\_state 42 -p parkinsons.csv

| NN            | batch size | lr   | rlambda  | loss    | accuracy | precision | recall  | f1      |
|---------------|------------|------|----------|---------|----------|-----------|---------|---------|
| 22, [8, 2], 1 | 16         | 0.01 | 0.01     | 0.34188 | 0.85673  | 0.84666   | 0.76488 | 0.78372 |
| 22, [8, 2], 1 | 16         | 0.04 | 0.000325 | 0.24287 | 0.90251  | 0.87037   | 0.87762 | 0.86774 |
| 22, [8, 2], 1 | 16         | 0.08 | 0.000325 | 0.26106 | 0.91778  | 0.89453   | 0.89679 | 0.88940 |
| 22, [8, 2], 1 | 16         | 0.03 | 0.00016  | 0.25103 | 0.89196  | 0.86160   | 0.85071 | 0.84491 |
| 22, [8, 2], 1 | 16         | 0.04 | 0.00024  | 0.24345 | 0.90251  | 0.87037   | 0.87762 | 0.86774 |
| 22, [8, 2], 1 | 16         | 0.05 | 0.000325 | 0.23790 | 0.91278  | 0.88762   | 0.87786 | 0.88027 |
| 22, [8, 2], 1 | 16         | 0.05 | 0.00024  | 0.23961 | 0.91278  | 0.88762   | 0.87786 | 0.88027 |
| 22, [8, 2], 1 | 16         | 0.05 | 0.0004   | 0.23627 | 0.91278  | 0.88762   | 0.87786 | 0.88027 |

(\*\* indicates the optimal tuning parameters)

The optimal result is achieved by:

#### Stratified K-Fold losses:

mean loss: 0.2362675003632269

### Stratified K-Fold Performances:

|   | Accuracy | Precision | Recall   | F1       |
|---|----------|-----------|----------|----------|
| 0 | 0.950000 | 0.968750  | 0.900000 | 0.928315 |
| 1 | 0.900000 | 0.866667  | 0.866667 | 0.866667 |
| 2 | 0.950000 | 0.916667  | 0.966667 | 0.937304 |
| 3 | 0.950000 | 0.968750  | 0.900000 | 0.928315 |
| 4 | 0.850000 | 0.797619  | 0.833333 | 0.811912 |
| 5 | 0.850000 | 0.812500  | 0.766667 | 0.784946 |
| 6 | 0.900000 | 0.866667  | 0.866667 | 0.866667 |
| 7 | 1.000000 | 1.000000  | 1.000000 | 1.000000 |
| 8 | 0.888889 | 0.839286  | 0.839286 | 0.839286 |
| 9 | 0.888889 | 0.839286  | 0.839286 | 0.839286 |

## 4. Summary

All of KNN, random forest, and NN deliveredd solid results:

KNN: accuracy 0.9373 F1 0.9161
RD: accuracy 0.8974 F1 0.8418
NN: accuracy 0.8128 F1 0.8803

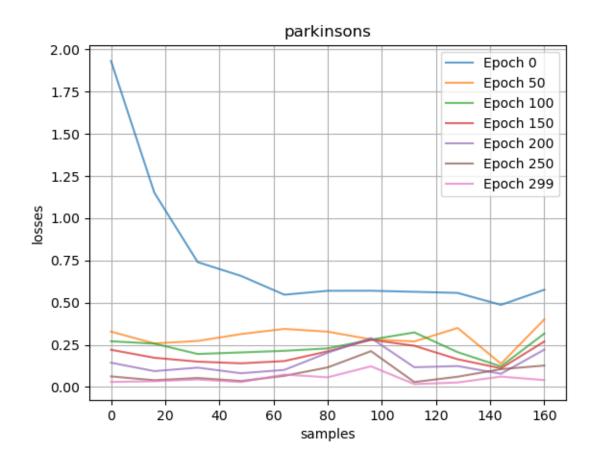


Figure 7: Optimal NN

All accuracy > 0.89, and all F1 > 0.84. The consistency boosts our confidence in the results.

RF shows remarkable resistance to noise, with stable and consistent high performance for ntrees  $\geq 125$ .

KNN is the top performer here. This might due to the clean data in the Parkinson's dataset. All features are more or less equally important. And it has a moderate demension. This is where KNN shines.

NN performs well too. We know that NN shines on large data sets. But we have less than 200 data samples here. This shows the power of NN and proper hyperparameter tuning.