# An interpretation of dependent type theory in a model category of locally cartesian closed categories

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### Abstract

Locally cartesian closed (lcc) categories are natural categorical models of extensional dependent type theory. This paper introduces the "gros" semantics in the category of lcc categories: Instead of constructing an interpretation in a given individual lcc category, we show that also the category of all lcc categories can be endowed with the structure of a model of dependent type theory. The original interpretation in an individual lcc category can then be recovered by slicing.

As in the original interpretation, we face the issue of coherence: Categorical structure is usually preserved by functors only up to isomorphism, whereas syntactic substitution commutes strictly with all type theoretic structure. Our solution involves a suitable presentation of the higher category of lcc categories as model category. To that end, we construct a model category of lcc sketches, from which we obtain by the formalism of algebraically (co)fibrant objects model categories of strict lcc categories and then algebraically cofibrant strict lcc categories. The latter is our model of dependent type theory.

### 1 Introduction

Locally cartesian closed (lcc) categories are natural categorical models of extensional dependent type theory (Seely, 1984): Given an lcc category C, one interprets

- contexts  $\Gamma$  as objects of C;
- (simultaneous) substitutions from context  $\Delta$  to context  $\Gamma$  as morphisms  $f: \Delta \to \Gamma$  in C;
- types  $\Gamma \vdash \sigma$  as morphisms  $\sigma : \text{dom } \sigma \to \Gamma$  in  $\mathcal{C}$  with codomain  $\Gamma$ ; and
- terms  $\Gamma \vdash s : \sigma$  as sections  $s : \Gamma \rightleftarrows \text{dom } \sigma : \sigma$  to the interpretations of types.

A context extension  $\Gamma.\sigma$  is interpreted as the domain of  $\sigma$ . Application of substitutions  $f: \Delta \to \Gamma$  to types  $\Gamma \vdash \sigma$  is interpreted as pullback

$$\begin{array}{ccc}
\operatorname{dom} \sigma[f] & \longrightarrow & \operatorname{dom} \sigma \\
\downarrow^{\sigma[f]} & \downarrow^{\sigma} & \downarrow^{\sigma} \\
\Delta & \xrightarrow{f} & \Gamma
\end{array}$$

and similarly for terms  $\Gamma \vdash s : \sigma$ . By definition, the pullback functors  $f^* : \mathcal{C}_{/\Gamma} \to \mathcal{C}_{/\Delta}$  in lcc categories  $\mathcal{C}$  have both left and right adjoints  $\Sigma_f \dashv f^* \dashv \Pi_f$ , and these are used for interpreting  $\Sigma$ -types and  $\Pi$ -types. For example, the interpretations of a pair of types  $\Gamma \vdash \sigma$  and  $\Gamma.\sigma \vdash \tau$  is a composable pair of morphisms  $\Gamma.\sigma.\tau \xrightarrow{\tau} \Gamma.\sigma \xrightarrow{\sigma} \Gamma$ , and then the dependent product type  $\Gamma \vdash \Pi_\sigma \tau$  is interpreted as  $\Pi_\sigma(\tau)$ , which is an object of  $\mathcal{C}_{/\Gamma}$ , i.e. a morphism into  $\Gamma$ .

However, there is a slight mismatch: Syntactic substitution is functorial and commutes strictly with type formers, whereas pullback is generally only pseudo-functorial and preserves universal objects only up to isomorphism. Here functoriality of substitution means that if one has a sequence  $\mathcal{E} \xrightarrow{g} \Gamma \xrightarrow{f} \Delta$  of substitutions, then we have equalities  $\sigma[g][f] = \sigma[gf]$  and s[g][f] = s[gf], i.e. substituting in succession yields the same result as substituting with the composition. For pullback functors, however, we are only guaranteed a natural isomorphism  $f^* \circ g^* \cong (g \circ f)^*$ . Similarly, in type theory we have  $(\mathbf{\Pi}_{\sigma} \tau)[f] = \mathbf{\Pi}_{\sigma[f]} \tau[f^+]$  (where  $f^+$  denotes the weakening of f along  $\sigma$ ), whereas for pullback functors there merely exist isomorphisms  $f^*(\Pi_{\sigma}(\tau)) \cong \Pi_{f^*(\sigma)} (f^+)^*(\tau)$ .

In response to these problems, several notions of models with strict pullback operations have been introduced, e.g. categories with families (cwfs) (Dybjer, 1995), and coherence techniques have been developed to "strictify" weak models such as lcc categories to obtain models with well-behaved substitution (Curien, 1990; Hofmann, 1994; Lumsdaine and Warren, 2015). Thus to interpret dependent type theory in some lcc category C, one first constructs an equivalence  $C \simeq C^s$  such that  $C^s$  can be endowed with the structure of a strict model of type theory (say, cwf structure), and then interprets type theory in  $C^s$ .

In this paper we construct cwf structure on the category of all lcc categories instead instead of cwf structure on some specific lcc category. First note that the classical interpretation of type theory in an lcc category  $\mathcal{C}$  is essentially an interpretation in the slice categories of  $\mathcal{C}$ :

- Objects  $\Gamma \in \text{Ob } \mathcal{C}$  can be identified with slice categories  $\mathcal{C}_{/\Gamma}$ .
- Morphisms  $f: \Delta \to \Gamma$  can be identified with lcc functors  $f^*: \mathcal{C}_{/\Gamma} \to \mathcal{C}_{/\Delta}$  which commute with the pullback functors  $\Gamma^*: \mathcal{C} \to \mathcal{C}_{/\Gamma}$  and  $\Delta^*: \mathcal{C} \to \mathcal{C}_{/\Delta}$ .
- Morphisms  $\sigma: \operatorname{dom} \sigma \to \Gamma$  with codomain  $\Gamma$  can be identified with the objects of the slice categories  $\mathcal{C}_{/\Gamma}$ .
- Sections  $s: \Gamma \leftrightarrows \operatorname{dom} \sigma : \sigma$  can be identified with morphisms  $1 \to \sigma$  with  $1 = \operatorname{id}_{\Gamma}$  the terminal object in the slice category  $\mathcal{C}_{/\Gamma}$ .

Removing all reference to the base category  $\mathcal{C}$ , we may now attempt to interpret

- each context  $\Gamma$  as a separate lcc category;
- a substitution from  $\Delta$  to  $\Gamma$  as an lcc functor  $f:\Gamma\to\Delta$ ;
- types  $\Gamma \vdash \sigma$  as objects  $\sigma \in \mathrm{Ob}\,\Gamma$ ; and
- terms  $\Gamma \vdash s : \sigma$  as morphisms  $s : 1 \to \sigma$  from a terminal object 1 to  $\sigma$ .

In the original interpretation, substitution in types and terms is defined by the pullback functor  $f^*: \mathcal{C}_{/\Gamma} \to \mathcal{C}_{/\Delta}$  along a morphism  $f: \Delta \to \Gamma$ . In our new

interpretation, f is already an lcc functor, which we simply apply to objects and morphisms of lcc categories.

The idea that different contexts should be understood as different categories is by no means novel, and indeed widespread among researchers of geometric logic; see e.g. Vickers (2007, section 4.5). Not surprisingly, some of the ideas in this paper have independently already been explored in Vickers (2016) for geometric logic. /todoMention model category theory To my knowledge, however, an interpretation of type theory along those lines, especially one with strict substitution, has never been spelled out explicitly, and the present paper is an attempt at filling this gap.

Like Seely's original interpretation, the naive interpretation in the category of lcc categories outlined above suffers from coherence issues: Lcc functors preserve lcc structure up to isomorphism, but not necessarily up to equality, and the latter would be required for a model of type theory. Furthermore, the obvious choice for context extension by a variable of type  $\sigma \in \operatorname{Ob}\Gamma$ , the slice category  $\Gamma_{/\sigma}$ , has the required universal property only in a higher sense: The groupoid of lcc functors  $f:\Gamma \to \Delta$  and terms  $\Delta \vdash w:f(\sigma)$  with natural isomorphisms of lcc functors is equivalent, but not isomorphic, to the groupoid of lcc functors  $k:\Gamma_{/\sigma} \to \Delta$  which commute with the pullback functor  $\sigma^*:\Gamma \to \Gamma_{/\sigma}$  and map the diagonal  $\sigma \to \sigma \times \sigma$  in  $\Gamma_{/\sigma}$  to w.

To motivate our solution to these coherence problems, it is instructive to think of the category of lcc categories as a (2,1)-category, with natural isomorphisms of lcc functors as 2-cells. Higher categories can often be presented in terms of 1-categories using model category theory (see e.g. Hirschhorn (2009)). The underlying 1-category of the presenting model category is not unique, and we may thus hope that some presentations are more suitable for interpreting type theory than others. To that end, we explore three Quillen equivalent model categories, all of which encode the same higher category of lcc categories.

The reader of the paper is thus expected to be familiar with basic notions of model category theory. We make extensive use of the notion of algebraically (co)fibrant object in a model category (Nikolaus, 2011; Ching and Riehl, 2014), but the relevant results are explained where necessary and can be taken as black boxes for the purpose of this paper. Because of the condition on enrichment in theorem 20, all model categories considered here are proved to be model Gpdcategories, that is, model categories enriched over the category of groupoids with their canonical model structure. See Guillou and May (2011) for background on enriched model category theory, Anderson (1978) for the canonical model category of groupoids, and Lack (2007) for the closely related model Catcategories. While it is more common to work with the more general simplicially enriched model categories, the fact that the higher category of lcc categories is 2-truncated affords us to work with the simpler groupoid enrichment instead.

In section 2 we construct the model category Lcc of *lcc sketches*, a left Bousfield localization of an instance of Isaev's model category structure on marked objects (Isaev, 2016). Lcc sketches are to lcc categories as finite limit sketches are to finite limit categories. Thus lcc sketches are categories with some diagrams marked as supposed to correspond to a universal object of lcc categories, but marked diagrams do not have to actually satisfy the universal property. The model category structure is set up such that every lcc sketch generates an lcc category via fibrant replacement, and lcc sketches are equivalent if and only if they generate equivalent lcc categories.

In section 3 we define the model category sLcc of *strict lcc categories*. Strict lcc categories are the algebraically fibrant objects of Lcc, that is, they are objects of Lcc *equipped* with canonical lifts against trivial cofibrations witnessing their fibrancy in Lcc. Such canonical lifts correspond to canonical choices of universal objects in lcc categories, and the morphisms in sLcc preserve these canonical choices not only up to isomorphism but up to equality.

Section 4 finally establishes the model of type theory in the opposite of CoasLcc, the model category of algebraically cofibrant objects in sLcc. The objects of CoasLcc are strict lcc categories  $\Gamma$  such that every (possibly non-strict) lcc functor  $\Gamma \to \Delta$  has a canonical strict isomorph. This additional structure is crucial to reconcile the context extension operation, which is given by freely adjoining a morphism to a strict lcc category, with taking slice categories.

In section 5 we show that the cwf structure on  $(\text{CoasLcc})^{\text{op}}$  can be used to rectify Seely's original interpretation in a given lcc category  $\mathcal{C}$ . This is done by choosing an equivalent lcc category  $\Gamma \simeq \mathcal{C}$  with  $\Gamma \in \text{Ob}(\text{CoasLcc})$ , and then  $\Gamma$  inherits cwf structure from the core of the slice cwf  $(\text{CoasLcc})^{\text{op}}_{/\Gamma}$ .

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## 2 Lcc sketches

This section is concerned with the model category Lcc of lcc sketches. Lcc is constructed as left Bousfield localization of a model category of lcc-marked objects, an instance of Isaev's model category structure on marked objects.

**Definition 1** (Isaev (2016) Definition 2.1). Let  $\mathcal{C}$  be a category and let  $i: I \to \mathcal{C}$  be a diagram in  $\mathcal{C}$ . An  $(i\text{-})marked\ object\ is\ given\ by\ an\ object\ X\ in\ \mathcal{C}$  and a subfunctor  $m_X$  of  $\operatorname{Hom}(i(-),X):I^{\operatorname{op}}\to\operatorname{Set}$ . A map of the form  $k:i(K)\to X$  is  $marked\ if\ k\in m_X(K)$ .

A morphism of *i*-marked objects is a marking-preserving morphism of underlying objects in  $\mathcal{C}$ , i.e. a morphism  $f: X \to Y$  such that the image of  $m_X$  under postcomposition by f is contained in  $m_Y$ . The category of *i*-marked objects is denoted by  $\mathcal{C}^i$ .

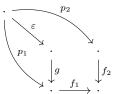
The forgetful functor  $U: \mathcal{C}^i \to \mathcal{C}$  has a left and right adjoint: Its left adjoint  $X \mapsto X^{\flat}$  is given by equipping an object X of  $\mathcal{C}$  with the minimal marking  $m_{X^{\flat}} = \emptyset \subseteq \operatorname{Hom}(i(-), X)$ , while the right adjoint  $X \mapsto X^{\sharp}$  equips objects with their maximal marking  $m_{X^{\sharp}} = \operatorname{Hom}(i(-), X)$ .

In our application, C = Cat is the category of (sufficiently small) categories, and  $I = I_{\text{lcc}}$  contains diagrams corresponding to the shapes (e.g. a squares for pullbacks) of lcc structure.

**Definition 2.** The subcategory  $I_{lcc} \subseteq Cat$  of lcc shapes is given as follows. Its objects are the three diagrams Tm, Pb and Pi. Tm is given by the category with a single object t and no nontrivial morphisms; it corresponds to terminal objects. Pb is the free-standing non-commutative square

$$\begin{array}{c}
 & \xrightarrow{p_2} \\
\downarrow p_1 & \downarrow f_2 \\
 & \xrightarrow{f_1} \\
\vdots & & \vdots
\end{array}$$

and corresponds to pullback squares. Pi is the free-standing non-commutative diagram



and corresponds to dependent products  $f_2 = \Pi_{f_1}(g)$  and their evaluation maps  $\varepsilon$ . The only nontrivial functor in  $I_{lcc}$  is the inclusion of Pb into Pi as indicated by the variable names. It corresponds to the requirement that the domain of the evaluation map of dependent products must be a suitable pullback.

We obtain the category  $Cat^{lcc} = Cat^{I_{lcc}}$  of *lcc-marked categories*.

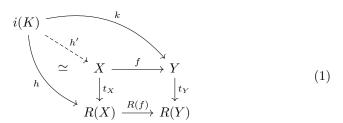
Now suppose that  $\mathcal{C} = \mathcal{M}$  is a model category. Let  $\gamma : \mathcal{M} \to \operatorname{Ho} \mathcal{M}$  be the quotient functor to the homotopy category. A marking  $m_X \subseteq \operatorname{Hom}(i(-), X)$  of some  $X \in \operatorname{Ob} \mathcal{M}$  induces a canonical marking  $\gamma(m_X) \subseteq \operatorname{Hom}(\gamma(i(-)), \gamma(X))$  on  $\gamma(X)$  by taking  $\gamma(m_X)$  to be the image of  $m_X$  under  $\gamma$ . Thus a morphism  $K \to X$  in  $\operatorname{Ho} \mathcal{M}$  is marked if and only if it has a preimage under  $\gamma$  which is marked.

**Theorem 3** (Isaev (2016) Theorem 3.3). Let  $\mathcal{M}$  be a combinatorial model category and let  $i: I \to \mathcal{M}$  be a diagram in  $\mathcal{M}$  such that every object in the image of i is cofibrant. Then the following defines the structure of a combinatorial model category on  $\mathcal{M}^i$ :

- A morphism  $f:(m_X,X)\to (m_Y,Y)$  in  $\mathcal{M}^i$  is a cofibration if and only if  $f:X\to Y$  is a cofibration in  $\mathcal{M}$ .
- A morphism  $f:(m_X,X) \to (m_Y,Y)$  in  $\mathcal{M}^i$  is a weak equivalence if and only if  $\gamma(f):(\gamma(m_X),\gamma(X)) \to (\gamma(m_Y),\gamma(Y))$  is an isomorphism in  $(\operatorname{Ho} \mathcal{M})^{\gamma i}$ .

A marked object  $(X, m_X)$  is fibrant if and only if X is fibrant in  $\mathcal{M}$  and the markings of X are stable under homotopy; that is, if  $k \simeq h : i(K) \to X$  are homotopic maps in  $\mathcal{M}$  and k is marked, then h is marked. The adjunctions  $(-)^{\flat} \dashv U$  and  $U \dashv (-)^{\sharp}$  are Quillen adjunctions.

Remark 4. The description of weak equivalences in theorem 3 does not appear as stated in Isaev (2016), but follows easily from results therein. Let  $t: \mathrm{Id} \Rightarrow R: \mathcal{M} \to \mathcal{M}$  be a fibrant replacement functor. By Isaev (2016, lemma 2.5), a map  $f: (m_X, X) \to (m_Y, Y)$  is a weak equivalence in  $\mathcal{M}^i$  if and only if f is a weak equivalence in  $\mathcal{M}$  and for every diagram (of solid arrows)



in which the outer square commutes up to homotopy and k is marked, there exists a marked map  $h': i(K) \to X$  as indicated such that  $h't_X \simeq h$ . (h' is not required to commute with k and f.)

Now assume that  $f:(m_X,X)\to (m_Y,Y)$  satisfies this condition and let us prove that  $\gamma(f)$  is an isomorphism of induced marked objects in the homotopy category.  $\gamma(f)$  is an isomorphism in  $\mathcal{M}$ , so it suffices to show that  $\gamma(f)^{-1}$  preserves markings. By definition, every marked morphism of  $\gamma(Y)$  is of the form  $\gamma(k):\gamma(i(K))\to\gamma(Y)$  for some marked  $k:i(K)\to Y$ . Because i(X) is cofibrant and R(X) is fibrant, the map  $\gamma(t_X)^{-1}\circ\gamma(f)^{-1}\circ\gamma(k):\gamma(i(K))\to\gamma(R(X))$  has a preimage  $h:i(K)\to R(X)$  under  $\gamma$ . As i(K) is cofibrant, R(Y) is fibrant and  $\gamma(h\circ R(f))=\gamma(t_Yk)$ , there is a homotopy  $h\circ R(f)\simeq t_Yk$ . By assumption, there exists a marked map  $h':i(K)\to X$  such that  $h't_X\simeq h$ , thus  $\gamma(f)^{-1}\circ\gamma(k)=\gamma(h')$  is marked.

To prove the other direction of the equivalence, assume that  $\gamma(f)$  is an isomorphism of marked objects and let h, k as in (1).  $\gamma(f)^{-1}\gamma(k)$  is marked, hence has a preimage  $h': i(K) \to X$  under  $\gamma$  which is marked. We have  $\gamma(t_X h') = \gamma(h)$  because postcomposition of both sides with the isomorphism  $\gamma(R(f))$  gives equal results. i(K) is cofibrant and R(X) is fibrant, thus  $t_X h' \simeq h$ .

**Lemma 5.** Let  $\mathcal{M}$  and  $i: K \to \mathcal{M}$  be as in theorem 3.

- (1) If  $\mathcal{M}$  is a left proper model category, then  $\mathcal{M}^i$  is a left proper model category.
- (2) If  $\mathcal{M}$  is a model Gpd-category, then  $\mathcal{M}^i$  admits the structure of a model Gpd-category such that  $(-)^{\flat} \dashv U$  and  $U \dashv (-)^{\sharp}$  lift to Quillen Gpd-adjunctions.

Proof. (1). Let

$$\begin{array}{ccc} X & \stackrel{g}{\longrightarrow} Y_2 \\ \downarrow^f & & \downarrow^{f'} \\ Y_1 & \stackrel{g'}{\longrightarrow} Z \end{array}$$

be a pushout square in  $\mathcal{M}^i$  such that f is a weak equivalence.  $\mathcal{M}$  is left proper, so  $\gamma(f')$  is invertible as a map in Ho  $\mathcal{M}$ .

A map  $k: i(K) \to U(Z)$  is marked if and only if it factors via a marked map  $k_1: i(K) \to U(Y_1)$  or via a marked map  $k_2: i(K) \to U(Y_2)$ . In the first case,

$$\gamma(f')^{-1} \circ \gamma(k) = \gamma(g) \circ \gamma(f)^{-1} \circ \gamma(k_1),$$

which is marked because f is a weak equivalence. Otherwise

$$\gamma(f')^{-1}\gamma(k) = \gamma(k_2),$$

which is also marked. We have shown that  $\gamma(f')$  is an isomorphism of marked objects in Ho  $\mathcal{M}$ , thus f' is a weak equivalence.

(2). Let X and Y be marked objects. We define the mapping groupoid  $\mathcal{M}^i(X,Y)$  as the full subgroupoid of  $\mathcal{M}(G(X),G(Y))$  of marking preserving maps.

 $\mathcal{M}^i$  is complete and cocomplete as a 1-category. Thus if we construct tensors  $\mathcal{G} \otimes X$  and powers  $X^{\mathcal{G}}$  for all  $X \in \operatorname{Ob} \mathcal{M}$  and  $\mathcal{G} \in \operatorname{Ob} \operatorname{Gpd}$  it follows that  $\mathcal{M}^i$  is also complete and cocomplete as a Gpd-category. The underlying object of powers and copowers is constructed in  $\mathcal{M}$ , i.e.  $G(\mathcal{G} \otimes X) = \mathcal{G} \otimes G(X)$  and  $G(X^{\mathcal{G}}) = G(X)^{\mathcal{G}}$ . A map  $k: i(K) \to X^{\mathcal{G}}$  is marked if and only if the composite

$$i(K) \xrightarrow{k} G(X)^{\mathcal{G}} \xrightarrow{X^{v}} G(X)^{1} = G(X)$$

is marked for every  $v \in \text{Ob } \mathcal{G}$  (which we identify with a map  $v: 1 \to \mathcal{G}$ ). Similarly, a map  $k: i(K) \to \mathcal{G} \otimes X$  is marked if and only if it factors as

$$i(K) \xrightarrow{k_0} G(X) = 1 \otimes G(X) \xrightarrow{v \otimes \mathrm{id}} \mathcal{G} \otimes G(X)$$

for some object v in  $\mathcal{G}$  and marked  $k_0$ . It follows by Kelly and Kelly (1982, Theorem 4.85) from the preservation of tensors and powers by U that the 1-categorical adjunctions  $(-)^{\flat} \dashv U$  and  $U \dashv (-)^{\flat}$  extend to Gpd-adjunctions.

It remains to show that the tensoring  $\operatorname{Gpd} \times \mathcal{M}^i \to \mathcal{M}^i$  is a Quillen bifunctor. For this we need to prove that if  $f: \mathcal{G} \to \mathcal{H}$  is a cofibration of groupoids and  $g: X \to Y$  is a cofibration of marked objects, then their pushout-product

$$f \square g : \mathcal{G} \otimes Y \coprod_{\mathcal{G} \otimes X} \mathcal{H} \otimes X \to \mathcal{H} \otimes Y$$

is a cofibration, and that it is a weak equivalence if either f or g is furthermore a weak equivalence. The first part follows directly from the same property for the Gpd-enrichment of  $\mathcal M$  and the fact that U preserves tensors and pushouts, and reflects cofibrations.

In the second part we have in both cases that  $f \square g$  is a weak equivalence in  $\mathcal{M}$ . Thus we only need to show that  $f \square g$  reflects a given marked morphism  $k: i(K) \to \mathcal{H} \otimes G(Y)$  in Ho  $\mathcal{M}$ . It follows from the construction of  $\mathcal{H} \otimes Y$  that for any such k there exists  $w \in \text{Ob } \mathcal{H}$  such that  $k = (w \otimes \text{id}) \circ k_0$  for some marked map  $k_0: i(K) \to G(Y)$ .

Assume first that f is a trivial cofibration, i.e. an equivalence of groupoids that is injective on objects. Then there exists  $v \in \text{Ob}\,\mathcal{G}$  such that f(v) and w are isomorphic objects of  $\mathcal{H}$ . k is (left) homotopic to  $(f(v) \otimes \text{id}) \circ k_0$ , which factors via the marked map  $(v \otimes \text{id}) \circ k_0 : i(K) \to \mathcal{G} \otimes G(Y)$ . It follows that  $\gamma(f \square g)$  reflects marked morphisms.

Now assume that g is a trivial cofibration. Then  $\gamma(g)$  reflects marked maps, i.e. there exists a marked map  $h_0: i(K) \to G(X)$  such that  $\gamma(g) \circ \gamma(h_0) = \gamma(h)$ . Thus the equivalence class of  $(w \otimes \mathrm{id}) \circ h_0: i(K) \to \mathcal{H} \otimes X$  in Ho  $\mathcal{M}$  is marked and mapped to  $\gamma(k)$  under postcomposition by  $\gamma(f)$ .

In the semantics of logic, one usually defines the notion of model of a logical theory in two steps: First a notion of structure is defined that interprets the theory's signature, i.e. the function and relation symbols that occur in its axioms. Then one defines what it means for such a structure to satisfy a formula over the signature, and a model is a structure of the theory's signature which satisfies the theory's axioms. For very well-behaved logics such as Lawvere theories, there is a method for freely turning structures into models of the theory, so that the category of models is a reflective subcategory of the category of structures.

By analogy, lcc-marked categories correspond to the structures of the signature of lcc categories. The model structure of  $\operatorname{Cat}^{\operatorname{lcc}}$  ensures that markings respect the homotopy theory of Cat, in that the choice of marking is only relevant up to isomorphism of diagrams. However, the model structure does not encode the universal property that marked diagrams are ultimately supposed to satisfy. To obtain the analogue of the category of models for a logical theory, we now define a reflective subcategory of  $\operatorname{Cat}^{\operatorname{lcc}}$ . The technical tool to accomplish this is a left Bousfield localization at a set S of morphism in  $\operatorname{Cat}^{\operatorname{lcc}}$ . S corresponds to the set of axioms of a logical theory. We thus need to define S in such a way that an lcc-marked category is lcc if and only if it has the right lifting property against the morphisms in S such that lifts are determined uniquely up to unique isomorphism.

Cat is a combinatorial and left proper model Gpd-category with mapping groupoids  $Cat(\mathcal{C}, \mathcal{D})$  given by sets of functors and their natural isomorphisms. Thus  $Cat^{lcc}$  has the structure of a combinatorial and left proper model Gpd-category by lemma 5. It follows that the left Bousfield localization at any (small) set of maps exists by Hirschhorn (2009, Theorem 4.1.1).

**Definition 6.** The model category Lcc of *lcc sketches* is the left Bousfield localization of the model category of lcc-marked categories at the following morphisms.

- The morphism  $tm_1$  given by the unique map from the empty category to the marked category with a single, Tm-marked object.  $tm_1$  corresponds to the essentially unique existence of a terminal object.
- The morphism tm<sub>2</sub> given by the inclusion of the category with two objects

such that t is Tm-marked into

$$\cdot \longrightarrow t$$

tm<sub>2</sub> corresponds to the universal property of terminal objects.

 The morphism pb<sub>0</sub> given by the quotient map from the free-standing noncommutative and Pb-marked square

$$\begin{array}{c}
 & \xrightarrow{p_2} \\
\downarrow p_1 & \downarrow f_2 \\
 & \xrightarrow{f_1} \\
\vdots & \xrightarrow{f_1}
\end{array}$$

to the commuting square

$$\begin{array}{c}
 & \xrightarrow{p_2} \\
\downarrow p_1 \circlearrowleft & \downarrow f_2 \\
\vdots & \xrightarrow{f_1} \end{array}$$

(which is still marked via Pb).  ${\rm pb_0}$  corresponds to the commutativity of pullback squares.

 $\bullet$  The morphism  $\mathrm{pb}_1$  given by the inclusion of the cospan

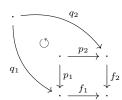


with no markings into the non-commutative square

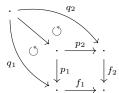
$$\begin{array}{c}
 & \xrightarrow{p_2} \\
\downarrow p_1 & \downarrow f_2 \\
\vdots & \xrightarrow{f_1} \\
\vdots
\end{array}$$

which is marked via Pb.  ${\rm pb}_1$  corresponds to the essentially unique existence of pullback squares.

 $\bullet$  The morphism  $\mathrm{pb}_2$  given by the inclusion of

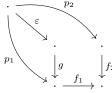


in which the lower right square is non-commutative and marked via Pb, into the diagram

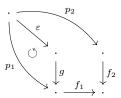


in which the indicated triangles commute.  ${\rm pb}_2$  corresponds to the universal property of pullback squares.

 $\bullet$  The morphism  $\mathrm{pi}_0$  given by the quotient map of the non-commutative diagram



in which the square made of the  $p_i$  and  $f_i$  is marked via Pb and the whole diagrams is marked via Pi, to

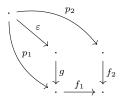


in which the indicated triangle commutes.  $\operatorname{pi}_0$  corresponds to the requirement that the evaluation map  $\varepsilon$  of the dependent product  $f_2 = \Pi_{f_1}(g)$  is a morphism in the slice category over  $\operatorname{cod} g$ .

 $\bullet$  The morphism  $\mathrm{pi}_1$  given by the inclusion of a composable pair of morphisms

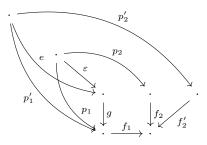


into the non-commutative diagram



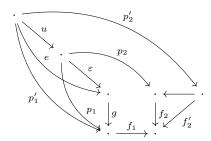
which is marked via Pi (and hence the outer square is marked via Pb).  $pi_1$  corresponds to the essentially unique existence of dependent products  $f_2 = \Pi_{f_1}(g)$  and their evaluation maps  $\varepsilon$ .

 $\bullet$  The morphism  $\mathrm{pi}_2$  given by the inclusion of the diagram



in which the square given by the  $f_i$  and  $p_i$  is marked via Pb, the subdiagram given by the  $f_i, p_i, g$  and  $\varepsilon$  is marked via Pi, the square given by

 $f_1, f_2'$  and the  $p_i'$  is marked via Pb, and  $e \circ g = p_1'$ , into the diagram



in which u commutes with the  $p_i$  and  $p'_i$ , and  $e = \varepsilon \circ u$ . pi<sub>2</sub> corresponds to the universal property of the dependent product  $f_2 = \prod_{f_1}(g)$ .

**Proposition 7.** The model category Lcc is a model for the (2,1)-category of lcc categories and lcc functors:

- (1) An object  $C \in \text{Lcc}$  is fibrant if and only if its underlying category is lcc and
  - a map  $i(Tm) \to U(C)$  is marked if and only if its image is a terminal object:
  - a map  $i(Pb) \to U(C)$  is marked if and only if its image is a pullback square; and
  - a map  $i(Pi) \to U(C)$  is marked if and only if its image is (isomorphic to) the diagram of the evaluation map of a dependent product.
- (2) The homotopy category of Lcc is equivalent to the category of lcc categories and isomorphism classes of lcc functors.
- (3) The homotopy function complexes of fibrant lcc sketches are given by the groupoids of lcc functors and their natural isomorphisms.

*Proof.* Homotopy function complexes of maps from cofibrant to fibrant objects in a model Gpd-category can be computed as nerves of the groupoid enrichment. Thus (2) and (3) follow from (1) and lemma 5.

By Hirschhorn (2009, Theorem 4.1.1), the fibrant objects of the left Bousfield localization  $\operatorname{Lcc} = S^{-1}\operatorname{Cat}^{\operatorname{lcc}}$  at the set S of morphisms from definition 6 are precisely the fibrant lcc-marked categories  $\mathcal C$  which are f-local for all  $f \in S$ . The verification of the equivalence asserted in (1) can thus be split up into three parts corresponding to terminal objects, pullback squares and dependent products. As the three proofs are very similar, we give only the proof for pullbacks. For this we must show that if  $\mathcal C$  is a Pb-marked category, then marked maps  $i(\operatorname{Pb}) \to \mathcal C$  are stable under isomorphisms and  $\mathcal C$  is  $\operatorname{pb}_i$ -local for i=0,1,2 if and only if the underlying category  $U(\mathcal C)$  has all pullbacks and maps  $i(\operatorname{Pb}) \to U(\mathcal C)$  are marked if and only if their images are pullbacks.

Let  $\mathcal{M}$  be a model Gpd-category. The homotopy function complexes of maps from cofibrant to fibrant objects in  $\mathcal{M}$  can be computed as nerves of mapping groupoids. The nerve functor  $N: \operatorname{Gpd} \to \operatorname{sSet}$  preserves and reflects trivial

fibrations. Thus if  $f: A \to B$  is a morphism of cofibrant objects A, B, then a fibrant object X is f-local if and only if

$$\mathcal{M}(f,X):\mathcal{M}(B,X)\to\mathcal{M}(A,X)$$

is a trivial fibration of groupoids, i.e. an equivalence that is surjective on objects. Unfolding this we obtain the following characterization of  $\mathrm{pb}_i$ -locality for a fibrant Pb-marked category:

- $\mathcal{C}$  is pb<sub>0</sub>-local if and only if all Pb-marked squares commute.
- C is pb<sub>1</sub>-local if and only if every cospan can be completed to a Pb-marked square, and isomorphisms of cospans can be lifted uniquely to isomorphisms of Pb-marked squares completing them.
- C is pb<sub>2</sub>-local if and only if every commutative square completing the lower cospan of a Pb-marked square factors via the Pb-marked square, and every factorization is compatible with natural isomorphisms of diagrams. By compatibility with the identity isomorphism, the factorization is unique.

If these conditions are satisfied, then every cospan in  $\mathcal{C}$  can be completed to a pullback square which is Pb-marked, and Pb-marked squares are pullbacks. By fibrancy of  $\mathcal{C}$ , it follows that precisely the pullback squares are Pb-marked.

Conversely, if we take as Pb-marked squares the pullbacks in a category  $\mathcal{C}$  with all pullbacks, then Pb-marked squares will be stable under isomorphism, and, by the characterization above,  $\mathcal{C}$  will be pb<sub>i</sub>-local for all i.

# 3 Strict lcc categories

A naive interpretation of type theory in the fibrant objects of Lcc as outlined in the introduction suffers from very similar issues as Seely's original version: Type theoretic structure is preserved up to equality by substitution, but lcc functors preserve the corresponding objects with universal properties only up to isomorphism.

In this section, we explore an alternative model categorical presentation of the higher category of lcc categories. Our goal is to rectify the deficiency that lcc functors do not preserve lcc structure up to equality. Indeed, lcc structure on fibrant lcc sketches is induced by a right lifting *property*, so there is no preferred choice of lcc structure on fibrant lcc sketches. We can thus not even state the required preservation up to equality. To be able to speak of distinguished choice of lcc structure, we employ the following technical device.

**Definition 8** (Nikolaus (2011)). Let  $\mathcal{M}$  be a combinatorial model category and let J be a set of trivial cofibrations such that objects with the right lifting property against J are fibrant. An algebraically fibrant object of  $\mathcal{M}$  (with respect to J) consists of an object  $G(X) \in \text{Ob } \mathcal{M}$  equipped with a choice of lifts against all morphisms  $j \in J$ . Thus X comes with maps  $\ell_X(j,a) : B \to G(X)$  for all  $j : A \to B$  in J and  $a : A \to G(X)$  in  $\mathcal{M}$  such that



commutes. A morphism of algebraically fibrant objects  $f: X \to Y$  is a morphism  $f: G(X) \to G(Y)$  in  $\mathcal{M}$  that preserves the choices of lifts, in the sense that  $f \circ \ell_X(j,a) = \ell_Y(j,fa)$  for all  $j: A \to B$  in J and  $a: A \to G(X)$ . The category of algebraically fibrant objects is denoted by Alg  $\mathcal{M}$ , and the evident forgetful functor Alg  $\mathcal{M} \to \mathcal{M}$  by G.

**Proposition 9.** Denote by  $\mathcal{I} \in \operatorname{Ob}\operatorname{Gpd}$  the free-standing isomorphism with objects 0 and 1 and let  $K \in \operatorname{Ob}\operatorname{I}_{\operatorname{lcc}}$ . Let  $A_K, B_K$  be the lcc-marked object given by  $U(A_K) = U(B_K) = \mathcal{I} \times K$  with  $K \cong \{0\} \times K \hookrightarrow \mathcal{I} \times K$  the only marking for  $A_K$  and  $K \cong \{\varepsilon\} \times K \hookrightarrow \mathcal{I} \times K$ ,  $\varepsilon = 0, 1$  the markings for  $B_K$ , and denote by  $j_K : A_K \to B_K$  the obvious inclusion.

Then  $j_K$  is a trivial cofibration in  $Cat^{lcc}$ , and an object of  $Cat^{lcc}$  is fibrant if and only if it has the right lifting property against  $j_K$  for all K.

*Proof.* The maps  $j_K$  are injective on objects and hence cofibrations, and they reflect markings up to isomorphism, hence are also weak equivalences. A map  $a:A_K\to\mathcal{C}$  corresponds to an isomorphism of maps  $a_0,a_1:i(K)\to\mathcal{C}$  with  $a_0$  marked, and a can be lifted to  $B_K$  if and only if  $a_1$  is also marked. Thus  $\mathcal{C}$  has the right lifting property against the  $j_K$  if and only if its markings are stable under isomorphism, which is the case if and only if  $\mathcal{C}$  is fibrant.

**Proposition 10.** An object of Lcc is fibrant if and only if it has the right lifting property against all of the following morphisms, all of which are trivial cofibrations in Lcc:

- (1) The maps  $j_K$  of proposition 9.
- (2) The morphisms of definition 6.
- (3) The maps  $\langle \operatorname{id}, \operatorname{id} \rangle : B \coprod_A B \to B$ , where  $A \to B$  is one of  $\operatorname{tm}_2, \operatorname{pb}_2$  or  $\operatorname{pi}_2$ .

*Proof.* All three types of maps are injective on objects and hence cofibrations in  $\operatorname{Cat}^{\operatorname{lcc}}$  and  $\operatorname{Lcc}$ . By proposition 9, the maps  $j_K$  are trivial cofibrations of lcc-marked categories and hence also trivial cofibrations in  $\operatorname{Lcc}$ .

By proposition 7, the fibrant objects of Lcc are precisely the lcc categories. If  $\mathcal{C}$  is an lcc category and  $f: X \to Y$  is a morphism of type (2) or (3), then

$$Lcc(f, \mathcal{C}) : Lcc(Y, \mathcal{C}) \to Lcc(X, \mathcal{C})$$

is an equivalence of groupoids and hence induces a bijection of isomorphism classes. It follows by the Yoneda lemma that  $\gamma(f)$  is an isomorphism in Ho Lcc, so f is a weak equivalence in Lcc.

On the other hand, let  $\mathcal{C}$  be a fibrant lcc-marked category with the right lifting property against morphisms of type (2) and the morphisms of type (3). The right lifting property against  $\mathrm{pb}_0$ ,  $\mathrm{pb}_1$  and  $\mathrm{pb}_2$  implies that Pb-marked diagrams commute, that every cospan can be completed to a Pb-marked square, and that every square over a cospan factors via every Pb-marked square over the cospan. Uniqueness of factorizations follows from the right lifting property against the map of type (3) corresponding to pullbacks. Thus  $\mathcal{C}$  has pullbacks, and the argument for terminal objects and dependent products is similar.  $\square$ 

**Definition 11.** A strict lcc category is an algebraically fibrant object of Lcc with respect to the set J consisting of the morphisms of types (1) – (3) of proposition 10. The category of strict lcc categories is denoted by sLcc.

Remark 12. The objects in the image of the forgetful functor  $G: \operatorname{sLcc} \to \operatorname{Lcc}$  are the fibrant lcc sketches, i.e. lcc categories. To endow an lcc category with the structure of a strict lcc category, we need to choose canonical lifts  $\ell(j,-)$  against the morphisms  $j \in J$ . Because the lifts against all other morphisms are uniquely determined, only the choices for  $\operatorname{tm}_1,\operatorname{pb}_1$  and  $\operatorname{pi}_1$  are relevant for this. Thus a strict lcc category is an lcc category with assigned terminal object, pullback squares and dependent products (including the evaluation maps of dependent products). A strict lcc functor is then an lcc functor that preserves these canonical choices of universal objects not just up to isomorphism but up to equality.

The slice category  $\mathcal{C}_{/\sigma}$  over an object  $\sigma$  of an lcc category  $\mathcal{C}$  is lcc again. A morphism  $s:\sigma\to\tau$  in  $\mathcal{C}$  induces by pullback an lcc functor  $s^*:\mathcal{C}_{/\tau}\to\mathcal{C}_{/\sigma}$ , and there exist functors  $\Pi_s,\Sigma_s:U(\mathcal{C}_{/\tau})\to U(\mathcal{C}_{/\sigma})$  and adjunctions  $\Sigma_s\dashv U(s^*)\dashv \Pi_s$ . These data depend on choices of pullback squares and dependent product, and hence preserved by lcc functors only up to isomorphism.

For strict lcc categories  $\Gamma$ , however, these functors can be constructed using canonical lcc structure, i.e. using the lifts  $\ell(j,-)$  for various  $j \in J$ , and this choice is preserved by strict lcc functors.

**Proposition 13.** Let  $\Gamma$  be a strict lcc category, and let  $\sigma \in \text{Ob }\Gamma$ . Then there is a strict lcc category  $\Gamma_{/\sigma}$  whose underlying category is the slice  $U(G(\Gamma))_{/\sigma}$ .

If  $s: \sigma \to \tau$  is a morphism in  $\Gamma$ , then there is a canonical choice of pullback functor  $s^*: G(\Gamma_{/\tau}) \to G(\Gamma_{/\sigma})$  which is lcc (but not necessarily strict) and canonical left and right adjoints

$$\Sigma_s \dashv U(s^*) \dashv \Pi_s$$
.

These data are natural in  $\Gamma$ . Thus if  $f: \Gamma \to \Delta$  is strict lcc, then the evident functor  $f_{/\sigma}: U(G(\Gamma_{/\sigma})) \to U(G(\Delta_{/f(\sigma)}))$  is strict lcc, and the following squares in Lcc respectively Cat commute:

(Here application of G and U has been omitted; the left square is valued in Lcc, and the two right squares are valued in Cat.)  $f_{/\sigma}$  and  $f_{/\tau}$  commute with taking transposes along the involved adjunctions.

*Proof.* We take as canonical terminal object of  $\Gamma_{/\sigma}$  the identity morphism  $\mathrm{id}_\sigma$  on  $\sigma$ . Canonical pullbacks in  $\Gamma_{/\sigma}$  are computed as canonical pullbacks of the underlying diagram in  $\Gamma$ , and similarly for dependent products.

The canonical pullback and dependent product functors  $\sigma^*$ ,  $\Pi_s$  are defined using canonical pullbacks and dependent products, and dependent sum functors  $\Sigma_s$  are computed by composition with s. Units and counits of the adjunctions are given by the evaluation maps of canonical dependent products and the projections of canonical pullbacks.

Because these data are defined in terms of canonical lcc structure on  $\Gamma$ , they are preserved by strict lcc functors.

The context morphisms in our categories with families (cwfs) (Dybjer, 1995) will usually be defined as functors of categories in the opposite directions. Cwfs are categories equipped with contravariant functors to Fam, the category of families of sets. To avoid having to dualize twice, we thus introduce the following notion.

**Definition 14.** A covariant cwf is a category C equipped with a (covariant) functor  $(Ty, Tm) : C \to Fam$ .

The intuition for a context morphism  $f:\Gamma\to\Delta$  in a cwf is an assignment of terms in  $\Gamma$  to the variables occurring in  $\Delta$ . Dually, a morphism  $f:\Delta\to\Gamma$  in a covariant cwf should thus be thought of as a mapping of the variables in  $\Delta$  to terms in context  $\Gamma$ , or more conceptually as an interpretation of the mathematics internal to  $\Delta$  into the mathematics internal to  $\Gamma$ .

Apart from our use of covariant cwfs, we adhere to standard terminology with the obvious dualization. For example, an empty context in a covariant cwf is an initial (instead of terminal) object in the underlying category.

To distinguish type and term formers in (covariant) cwfs from the corresponding categorical structure, the type theoretic notions are typeset in bold where confusion is possible. Thus  $\Pi_{\sigma} \tau$  denotes a dependent product type whereas  $\Pi_{\sigma}(\tau)$  denotes application of a dependent product functor  $\Pi_{\sigma}: \mathcal{C}_{/\sigma} \to \mathcal{C}$  to an object  $\tau \in \mathrm{Ob}\,\mathcal{C}_{/\sigma}$ .

**Definition 15.** The covariant cwf structure on sLcc is given by  $\operatorname{Ty}(\Gamma) = \operatorname{Ob}\Gamma$  and  $\operatorname{Tm}(\Gamma, \sigma) = \operatorname{Hom}_{\Gamma}(1, \sigma)$ , where 1 denotes the canonical terminal object of  $\Gamma$ .

**Proposition 16.** The covariant cwf sLcc has an empty context and context extensions, and it supports finite product and extensional equality types.

*Proof.* It follows from theorem 18 below that sLcc is cocomplete and that  $G: \operatorname{sLcc} \to \operatorname{Lcc}$  has a left adjoint F. In particular, there exists an initial strict lcc category, i.e. an empty context in sLcc.

Let  $\Gamma \vdash \sigma$ . The context extension  $\Gamma \cdot \sigma$  is constructed as pushout

$$F(\lbrace t, \sigma \rbrace) \longrightarrow F(\lbrace v : t \to \sigma \rbrace)$$

$$\downarrow \qquad \qquad \downarrow$$

$$\Gamma \xrightarrow{p} \Gamma.\sigma$$

where  $\{t,\sigma\}$  denotes a minimally marked lcc sketch with two objects and  $\{v:t\to\sigma\}$  is the minimally marked free-standing arrow. The vertical morphism on the left is induced by mapping t to 1 (the canonical terminal object of  $\Gamma$ ) and  $\sigma$  to  $\sigma$ , and the top morphism is the evident inclusion. The variable  $\Gamma.\sigma \vdash v:p(\sigma)$  is given by the image of v in  $\Gamma.\sigma$ .

Unit types  $\Gamma \vdash 1$  are given by the canonical terminal objects of strict lcc categories  $\Gamma$ . Binary product types  $\Gamma \vdash \sigma \times \tau$  are given by canonical pullbacks  $\sigma \times_1 \tau$  over the canonical terminal object 1 in  $\Gamma$ . Finally, equality types  $\Gamma \vdash \operatorname{Eqs} t$  are constructed as canonical pullbacks

$$\begin{array}{ccc}
\text{Eq } s t & \longrightarrow 1 \\
\downarrow & & \downarrow t \\
1 & \xrightarrow{s} \sigma,
\end{array}$$

in  $\Gamma$ , i.e. as equalizers of s and t.

Because these type constructors (and evident term formers) are defined from canonical lcc structure, they are stable under substitution.  $\Box$ 

Remark 17. Unfortunately, sLcc does not support dependent product or dependent sum types in a similarly obvious way. The introduction rule for dependent types is

$$\frac{\Gamma \vdash \sigma \qquad \Gamma.\sigma \vdash \tau}{\Gamma \vdash \Pi_{\sigma}\tau}.$$

To interpret it, we would like to apply the dependent product functor  $\Pi_{\sigma}$ :  $U(G(\Gamma))_{/\sigma} \to U(G(\Gamma))$  to  $\tau$ .

We thus need a functor  $U(G(\Gamma.\sigma)) \to U(G(\Gamma_{/\sigma}))$  to obtain an object of the slice category, and the construction of such a functor appears to be not generally possible. Note that the most natural strategy for constructing this functor using the universal property of  $\Gamma.\sigma$  does not work: For this we would note that the pullback functor  $\sigma^*: G(\Gamma) \to G(\Gamma_{/\sigma})$  is lcc, and that the diagonal  $d: \sigma \to \sigma \times \sigma$  is a morphism  $1 \to \sigma^*(\sigma)$ ) in  $\Gamma_{/\sigma}$ , and then try to obtain  $\langle \sigma^*, d \rangle : \Gamma.\sigma \to \Gamma_{/\sigma}$ . The flaw in this argument is that  $\sigma^*$ , while lcc, is not strict, and the universal property of  $\Gamma.\sigma$  only applies to strict lcc functors. A solution to this problem is presented in section 4.

We conclude the section with a justification for why we have not gone a stray so far: The initial claim was that our interpretation of type theory would be valued in the category of lcc categories, but sLcc is neither 1-categorically nor bicategorically equivalent to the category  $\operatorname{Lcc}_f$  of fibrant lcc sketches. Indeed, not every non-strict lcc functor of strict lcc categories is isomorphic to a strict lcc functor. Nevertheless, sLcc has model category structure that presents the same higher category of lcc categories by the following theorem:

**Theorem 18** (Nikolaus (2011) Proposition 2.4, Bourke (2019) Theorem 19). Let  $\mathcal{M}$  be a combinatorial model category, and let J be a set of trivial cofibrations such that objects with the right lifting property against J are fibrant. Then G: Alg  $\mathcal{M} \to \mathcal{M}$  is monadic with left adjoint F, and Alg  $\mathcal{M}$  is a locally presentable category. The model structure of  $\mathcal{M}$  can be transferred along the adjunction  $F \dashv G$  to Alg  $\mathcal{M}$ , endowing Alg  $\mathcal{M}$  with the structure of a combinatorial model category.  $F \dashv G$  is a Quillen equivalence, and the unit  $X \to G(F(X))$  is a trivial cofibration for all  $X \in \mathcal{M}$ .

Theorem 18 appears in Nikolaus (2011) with the additional assumption that all cofibrations in  $\mathcal{M}$  are monomorphisms. This assumption is lifted in Bourke (2019), but there J is a set of generating trivial cofibrations, which is a slightly stronger condition than the one stated in the theorem. However, the proof in Bourke (2019) works without change in the more general setting.

That the model structure of Alg  $\mathcal{M}$  is obtained by transfer from that of  $\mathcal{M}$  means that G reflects fibrations and weak equivalences.

**Lemma 19.** Let  $\mathcal{M}$  and J be as in theorem 18, and suppose furthermore that  $\mathcal{M}$  is a model Gpd-category. Then Alg  $\mathcal{M}$  has the structure of a model Gpd-category, and the adjunction  $F \dashv G$  lifts to a Quillen Gpd-adjunction.

*Proof.* Let X and Y be algebraically fibrant objects. We define the mapping groupoid  $(Alg \mathcal{M})(X,Y)$  to be the full subgroupoid of  $\mathcal{M}(G(X),G(Y))$  whose objects are the maps of algebraically fibrant objects  $X \to Y$ .

Because Gpd is generated under colimits by the free-standing isomorphism  $\mathcal{I}$ , it will follow from the existence of powers  $X^{\mathcal{I}}$  that Alg  $\mathcal{M}$  is complete as a Gpd-category. As we will later show that G is a right adjoint, the powers in Alg  $\mathcal{M}$  must be constructed such that they commute with G, i.e.  $G(X^{\mathcal{I}}) = G(X)^{\mathcal{I}}$ .

Let  $j:A\to B$  be in J and let  $a:A\to G(X)^{\mathcal{I}}$ . The canonical lift  $\ell(j,a):B\to G(X)^{\mathcal{I}}$  is constructed as follows. a corresponds to a map  $\bar{a}:\mathcal{I}\to\mathcal{M}(A,G(X))$ , i.e. an isomorpism of maps  $A\to G(X)$ . Its source and target are morphisms  $\bar{a}_0,\bar{a}_1:A\to G(X)$ , for which we obtain canonical lifts  $\ell(j,\bar{a}_i):B\to G(X)$  using the canonical lifts of X. Because G(X) is fibrant and j is a trivial cofibration, the map  $\mathcal{M}(j,X):\mathcal{M}(B,X)\to\mathcal{M}(A,X)$  is a trivial fibration and in particular an equivalence. It follows that  $\bar{a}$  can be lifted uniquely to an isomorphism of  $\ell(j,\bar{a}_0)$  with  $\ell(j,\bar{a}_1)$ , and we take  $\ell(j,a):B\to G(X)^{\mathcal{I}}$  as this isomorphism's transpose.

From uniqueness of the lift defining  $\ell(j,a)$ , it follows that a map  $G(Y) \to G(X)^{\mathcal{I}}$  preserves canonical lifts if and only if the two maps

$$G(Y) \longrightarrow G(X)^{\mathcal{I}} \xrightarrow{G(X)^{\{i\}}} G(X)$$

given by evaluation at the endpoints i=0,1 of the isomorphism  $\mathcal{I}$  preserve canonical lifts. Thus the canonical isomorphism

$$\operatorname{Gpd}(\mathcal{I}, \mathcal{M}(G(Y), G(X))) \cong \mathcal{M}(G(Y), G(X)^{\mathcal{I}})$$

restricts to an isomorphism

$$\operatorname{Gpd}(\mathcal{I}, (\operatorname{Alg} \mathcal{M})(Y, X)) \cong (\operatorname{Alg} \mathcal{M})(Y, X^{\mathcal{I}}).$$

It follows by Kelly and Kelly (1982, theorem 4.85) and the preservation of powers by G that the 1-categorical adjunction  $F \dashv G$  is groupoid enriched. It is proved in Nikolaus (2011) that G, when considered as a functor of ordinary categories, is monadic using Beck's monadicity theorem. The only additional assumption for the enriched version of Beck's theorem (Dubuc, 1970, theorem II.2.1) we have to check is that the coequalizer of a G-split pair of morphisms as constructed in Nikolaus (2011) is a colimit also in the enriched sense. This follows immediately from the fact that G is locally full and faithful. G is Gpdmonadic and accessible, so Alg  $\mathcal M$  is Gpd-cocomplete by Blackwell et al. (1989, theorem 3.8).

It remains to show that  $Alg \mathcal{M}$  is groupoid enriched also in the model categorical sense. For this it suffices to note that G preserves (weighted) limits and that G preserves and reflects fibrations and weak equivalences, so that the map

$$X^{\mathcal{H}} \to X^{\mathcal{G}} \times_{Y^{\mathcal{G}}} Y^{\mathcal{H}}$$

induced by a cofibration of groupoids  $f: \mathcal{G} \rightarrow \mathcal{H}$  and a fibration  $g: X \rightarrow Y$  in Alg  $\mathcal{M}$  is a fibration and a weak equivalence if either f or g is a weak equivalence.

# 4 Algebraically cofibrant strict lcc categories

As noted in remark 17, to interpret dependent sum and dependent product types in sLcc, we would need to relate context extensions  $\Gamma.\sigma$  to slice categories  $\Gamma_{/\sigma}$ .

In this section we discuss how this problem can be circumvented by considering yet another Quillen equivalent model category: The category of algebraically *cofibrant* strict lcc categories.

The slice category  $\mathcal{C}_{/x}$  of an lcc category  $\mathcal{C}$  is bifreely generated by (any choice of) the pullback functor  $\sigma^*: \mathcal{C} \to \mathcal{C}_{/x}$  and the diagonal  $d: x \to x \times x$ , viewed as a morphism  $1 \to x^*(x)$  in  $\mathcal{C}_{/x}$ : Given a pair of lcc functor  $f: \mathcal{C} \to \mathcal{D}$  and morphism  $s: 1 \to f(x)$  in  $\mathcal{D}$ , there is an lcc functor  $g: \mathcal{C}_{/\sigma} \to \mathcal{D}$  that commutes with f and  $\sigma^*$  up to a natural isomorphism under which g(d) corresponds to s, and every other lcc functor with this property is uniquely isomorphic to g.

Phrased model categorically, this biuniversal property amounts to asserting that the square

$$\begin{cases}
\{t, x\} & \longrightarrow \{d : t \to x\} \\
\downarrow & \downarrow \\
\mathcal{C} & \xrightarrow{x^*} & \mathcal{C}_{/x}
\end{cases}$$

is a homotopy pushout square in Lcc. Here  $\{t,x\} = \{t,x\}^{\flat}$  denotes the discrete category with two objects and no markings, from which  $\{d:t\to x\}$  is obtained by adjoining a single morphism  $t\to x$ . The left vertical map  $\{t,x\}\to \mathcal{C}$  maps t to some terminal object and x to x, and the right vertical map maps d to the diagonal  $x\to x^*(x)$  in  $\mathcal{C}_{/x}$ .

Recall from proposition 16 that a context extension  $\Gamma.\sigma$  in sLcc is defined by the 1-categorical pushout square

$$F(\lbrace t, \sigma \rbrace) \longrightarrow F(\lbrace v : t \to \sigma \rbrace)$$

$$\downarrow \qquad \qquad \downarrow$$

$$\Gamma \xrightarrow{p} \Gamma.\sigma.$$

$$(2)$$

Because  $F \dashv G$  is a Quillen equivalence, we should thus expect to find weak equivalences relating  $\Gamma_{/\sigma}$  to  $\Gamma.\sigma$  if the pushout (2) is also a *homotopy* pushout.

By Lurie (2009, Proposition A.2.4.4), this is the case if  $\Gamma$ ,  $F(\{t,\sigma\})$  and  $F(\{v:t,\sigma\})$  are cofibrant, and the map  $F(\{t,\sigma\}) \to F(\{v:t,\sigma\})$  is a cofibration. The cofibrations of Lcc are the maps which are injective on objects. It follows that  $\{t,\sigma\}$  and  $\{v:t\to\sigma\}$  are cofibrant lcc sketches, and that the inclusion of the former into the latter is a cofibration. F is a left Quillen functor and hence preserves cofibrations. Thus the pushout (2) is a homotopy pushout if  $\Gamma$  is cofibrant.

Note that components of the counit  $\varepsilon: FG \Rightarrow \operatorname{Id}: \operatorname{sLcc} \to \operatorname{sLcc}$  are cofibrant replacements: Every lcc sketch is cofibrant in Lcc, every strict lcc category is fibrant in sLcc, and  $F\dashv G$  is a Quillen equivalence. It follows that a strict lcc category  $\Gamma$  is cofibrant if and only if the counit  $\varepsilon_{\Gamma}$  is a retraction, say with section  $\lambda:\Gamma\to F(G(\Gamma))$ .

And indeed, this section can be used to strictify the pullback functor. We have  $\sigma^*: G(\Gamma) \to G(\Gamma_{/\sigma})$ , which induces a strict lcc functor  $\overline{\sigma^*}: F(G(\Gamma)) \to \Gamma_{/\sigma}$ . Now let

$$(\sigma^*)^s:\Gamma\xrightarrow{\lambda} F(G(\Gamma))\xrightarrow{\overline{\sigma^*}} \Gamma_{/\sigma},$$

which is naturally isomorphic to  $\sigma^*$ . Adjusting the domain and codomain of the diagonal d suitably to match  $(\sigma^*)^s$ , we thus obtain the desired comparison functor  $\langle \lambda(\sigma^*)^s, d \rangle : \Gamma.\sigma \to \Gamma_{/\sigma}$ .

At first we might thus attempt to restrict the category of contexts to the cofibrant strict lcc categories  $\Gamma$ , for which sections  $\lambda:\Gamma\to F(G(\Gamma))$  exist. Indeed, cofibrant objects are stable under pushouts along cofibrations, so the context extension  $\Gamma.\sigma$  will be cofibrant again if  $\Gamma$  is cofibrant. The dependent product type  $\Pi_{\sigma} \tau$  would be defined by application of

$$\Gamma.\sigma \longrightarrow \Gamma_{/\sigma} \xrightarrow{\Pi_{\sigma}} \Gamma$$

to  $\tau$ . Unfortunately, the definition of the comparison functor  $\Gamma.\sigma \to \Gamma_{/\sigma}$  required a *choice* of section  $\lambda : \Gamma \to F(G(\Gamma))$ , and this choice will not generally be compatible with strict lcc functors  $\Gamma \to \Delta$ . The dependent products defined as above will thus not be stable under substitution.

To solve this issue, we make the section  $\lambda$  part of the structure. Similarly to how strict lcc categories have associated structure corresponding to their fibrancy in lcc, we make the section  $\lambda$  witnessing the cofibrancy of strict lcc categories part of the data, and require morphisms to preserve it. We thus consider algebraically cofibrant objects, which, dually to algebraically fibrant objects, are defined as coalgebras for a cofibrant replacement comonad. As in the case of algebraically fibrant objects, we are justified in doing so because we obtain an equivalent model category:

**Theorem 20** (Ching and Riehl (2014) Lemmas 1.2 and 1.3, Theorems 1.4 and 2.5). Let  $\mathcal{M}$  be a combinatorial and model Gpd-category. Then there are arbitrarily large cardinals  $\lambda$  such that

- (1)  $\mathcal{M}$  is locally  $\lambda$ -presentable;
- (2) M is cofibrantly generated with a set of generating cofibrations for which domains and codomains are λ-presentable objects;
- (3) an object X ∈ Ob M is λ-presentable if and only if the functor M(X, −):
  M → Gpd, given by the groupoid enrichment of M, preserves λ-filtered colimits.

Let  $\lambda$  be any such cardinal. Then there is a cofibrant replacement Gpd-comonad  $C: \mathcal{M} \to \mathcal{M}$  that preserves  $\lambda$ -filtered colimits. Let C be any such comonad and denote its category of coalgebras by  $\operatorname{Coa} \mathcal{M}$ .

Then the forgetful functor  $U: \operatorname{Coa} \mathcal{M} \to \mathcal{M}$  has a left adjoint  $V. \operatorname{Coa} \mathcal{M}$  is a complete and cocomplete Gpd-category, and  $V \dashv U$  is a Gpd-adjunction. The model category structure of  $\mathcal{M}$  can be transferred along  $V \dashv U$ , making  $\operatorname{Coa} \mathcal{M}$  a model Gpd-category.  $V \dashv U$  is a Quillen equivalence.

For  $\mathcal{M} = \mathrm{sLcc}$ , the first infinite cardinal  $\omega$  satisfies the three conditions of theorem 20, and C = FG is a suitable cofibrant replacement comonad.

**Definition 21.** The covariant cwf structure on CoasLcc is defined as the composite

$$\operatorname{CoasLcc} \to \operatorname{sLcc} \to \operatorname{Fam}$$

in terms of the covariant cwf structure on sLcc.

We denote by  $\eta: \mathrm{Id} \Rightarrow GF: \mathrm{Lcc} \to \mathrm{Lcc}$  the unit and by  $\varepsilon: FG \Rightarrow \mathrm{Id}: \mathrm{sLcc} \to \mathrm{sLcc}$  the counit of the adjunction  $F \dashv G$ .

**Lemma 22.** Let  $\lambda : \Gamma \to F(G(\Gamma))$  be an FG-coalgebra. Then there is a canonical natural isomorphism  $\phi : G(\lambda) \cong \eta_{G(\Gamma)} : G(\Gamma) \to G(F(G(\Gamma)))$  of lcc functors which is compatible with morphisms of FG-coalgebras.

*Proof.* It suffices to construct a natural isomorphism

$$\psi: \mathrm{id}_{G(\Gamma)} \cong \eta_{G(\Gamma)} \circ G(\varepsilon_{\Gamma})$$

of lcc endofunctors on  $G(F(G(\Gamma)))$  for every strict lcc category  $\Gamma$ , because then

$$\psi \circ G(\lambda) : G(\lambda) \cong \eta_{G(\Gamma)} \circ G(\varepsilon_{\Gamma} \lambda) = \eta_{G(\Gamma)}$$

for every coalgebra  $\lambda:\Gamma\to F(G(\Gamma)).$ 

 $\eta_{G(\Gamma)}$  is a trivial cofibration, so the map

$$- \circ \eta_{G(\Gamma)} : \operatorname{Lcc}(G(F(G(\Gamma))), G(F(G(\Gamma)))) \to \operatorname{Lcc}(G(\Gamma), G(F(G(\Gamma))))$$
 (3)

is a trivial fibration of groupoids. By one of the triangle identities of units and counits,  $\eta_{G(\Gamma)} \circ G(\varepsilon_{\Gamma}) \circ \eta_{G(\Gamma)} = \eta_{G(\Gamma)}$ . Thus both  $\mathrm{id}_{G(\Gamma)}$  and  $\eta_{G(\Gamma)} \circ G(\varepsilon_{\Gamma})$  are sent to  $\eta_{G(\Gamma)}$  under the surjective equivalence (3), and so we can lift the identity natural isomorphism on  $\eta_{G(\Gamma)}$  to an isomorphism  $\psi$  as above. Because the lift is unique, it is preserved under strict lcc functors in  $\Gamma$ .

**Proposition 23.** The covariant cwf CoasLcc has an empty context and context extensions, and the forgetful functor CoasLcc  $\rightarrow$  sLcc preserves both.

*Proof.* The model category CoasLcc has an initial object, i.e. an empty context. Its underlying strict lcc category  $\Gamma$  is the initial strict lcc category, and the structure map  $\lambda: \Gamma \to F(G(\Gamma))$  is the unique strict lcc functor with this signature.

Now let  $\lambda: \Gamma \to F(G(\Gamma))$  be an FG-coalgebra and  $\Gamma \vdash \sigma$  be a type. We must construct coalgebra structure  $\lambda.\sigma: \Gamma.\sigma \to F(G(\Gamma.\sigma))$  on the context extension in sLcc such that

$$\Gamma \xrightarrow{p} \Gamma.\sigma$$

$$\downarrow^{\lambda} \qquad \downarrow^{\lambda.\sigma}$$

$$F(G(\Gamma)) \xrightarrow{F(G(p))} F(G(\Gamma.\sigma))$$

commutes, and show that the strict lcc functor  $\langle f, w \rangle : \Gamma.\sigma \to \Delta$  induced by a coalgebra morphism  $f : (\Gamma, \lambda) \to (\Delta, \lambda')$  and a term  $\Delta \vdash w : f(\sigma)$  is a coalgebra morphism.

Let  $v: 1 \to p(\sigma)$  be the variable term of the context extension  $\Gamma.\sigma$ .  $\eta_{\Gamma.\sigma}(v)$  is a morphism

$$\eta_{\Gamma.\sigma}(1) \to \eta_{\Gamma.\sigma}(p(\sigma)) = F(G(p))(\eta_{\Gamma}(\sigma))$$

in  $F(G(\Gamma.\sigma))$ .  $\eta_{\Gamma.\sigma}(1)$  is a terminal object and hence uniquely isomorphic to the canonical terminal object 1 of  $F(G(\Gamma.\sigma))$ , and  $F(G(p))(\eta_{\Gamma}(\sigma))$  is isomorphic to  $F(G(p))(\lambda(\sigma))$  via a component of  $F(G(p))\circ\phi$ , where  $\phi$  is the natural isomorphism constructed in lemma 22. We thus obtain a term  $\Gamma.\sigma \vdash v': F(G(p))(\lambda(\sigma))$  and can define

$$\lambda.\sigma = \langle F(G(p)) \circ \lambda, v' \rangle$$

by the universal property of  $\Gamma.\sigma$ .  $\lambda.\sigma$  is compatible with p and  $\lambda$  by construction.

Now let  $f:(\Gamma,\lambda)\to(\Delta,\lambda')$  be a comonad morphism and let  $\Delta\vdash w:f(\sigma)$ . We need to show that

$$\Gamma.\sigma \xrightarrow{\langle f,w \rangle} \Delta 
\downarrow_{\lambda.\sigma} \qquad \downarrow_{\lambda'} 
F(G(\Gamma.\sigma)) \xrightarrow{G(F(\langle f,w \rangle))} F(G(\Delta)$$

commutes. This follows from the universal property of  $\Gamma.\sigma$ : The two maps  $\Gamma.\sigma \to F(G(\Delta))$  agree after precomposing  $p:\Gamma \to \Gamma.\sigma$  because by assumption f is a coalgebra morphism, and they both map v to the term  $F(G(\Delta)) \vdash w': \lambda'(f(\sigma))$  obtained from w similarly to v' from v because the isomorphism  $\phi$  constructed in lemma 22 is compatible with coalgebra morphisms.

For C a Gpd-category and  $x \in \text{Ob } C$ , we denote by  $C_{x/}$  the higher coslice Gpd-category of objects under x. Its objects are morphisms out of x, its morphisms are triangles

$$\begin{array}{c|c} x \\ y_0 & \phi \\ & \cong \\ & f \end{array} .$$

in  $\mathcal{C}$  which commute up to specified isomorphism  $\phi$ , and its 2-cells  $(f_0, \phi_0) \cong (f_1, \phi_1)$  are 2-cells  $\psi : f_0 \cong f_1$  in  $\mathcal{C}$  such that  $\phi_1(\psi \circ y_0) = \phi_0$ .

**Definition 24.** Let  $\mathcal{C}$  be an lcc category and  $x \in \text{Ob } \mathcal{C}$ . A weak context extension of  $\mathcal{C}$  by x consists of an lcc functor  $f: \mathcal{C} \to \mathcal{D}$  and a morphism  $v: t \to f(x)$  with t a terminal object in  $\mathcal{D}$  such that the following biuniversal property holds:

For every lcc category  $\mathcal{E}$ , lcc functor  $g: \mathcal{C} \to \mathcal{E}$  and morphism  $w: u \to g(x)$  in  $\mathcal{E}$  with u terminal, the full subgroupoid of  $\mathrm{Lcc}_{\mathcal{C}/}(f,g)$  given by pairs of lcc functor  $h: \mathcal{D} \to \mathcal{E}$  and natural isomorphism  $\phi: hf \cong g$  such that the square

$$h(t) \xrightarrow{h(v)} h(f(x))$$

$$\downarrow \qquad \qquad \downarrow^{\phi_x}$$

$$u \xrightarrow{w} g(x)$$

in  $\mathcal{D}$  commutes is contractible (i.e. equivalent to the terminal groupoid).

Remark 25. Note that the definition entails that mapping groupoids of lcc functors  $\mathcal{D} \to \mathcal{E}$  under  $\mathcal{C}$  with  $\mathcal{D}$  a weak context extension are equivalent to discrete groupoids. Lcc functors  $h_0, h_1 : \mathcal{D} \to \mathcal{E}$  under  $\mathcal{C}$  are (necessarily uniquely) isomorphic under  $\mathcal{C}$  if and only if they correspond to the same morphism  $w : u \to g(x)$  in  $\mathcal{E}$ .

**Lemma 26.** Let  $\lambda : \Gamma \to F(G(\Gamma))$  be an FG-coalgebra and let  $\Delta$  be a strict lcc category. Then the full and faithful inclusion of groupoids

$$\operatorname{sLcc}(\Gamma, \Delta) \subseteq \operatorname{Lcc}(G(\Gamma), G(\Delta)) \tag{4}$$

admits a canonical retraction  $f \mapsto f^s$ . There is a natural isomorphism  $\zeta^f$ :  $G(f^s) \cong f$ , exhibiting the retract (4) as an equivalence of groupoids. The retraction  $f \mapsto f^s$  and natural isomorphism  $\zeta^f$  is Gpd-natural in  $(\Gamma, \lambda)$  and  $\Delta$ .

Proof. Let  $f: G(\Gamma) \to G(\Delta)$ . The transpose of f is a strict lcc functor  $\bar{f}: F(G(\Gamma)) \to \Delta$  such that  $G(\bar{f})\eta = f$ . We set  $f^s = \bar{f}\lambda$  and  $\zeta^f = G(\bar{f})\phi$  for  $\phi: G(\lambda) \cong \eta$  as in lemma 22. If f = G(g) already arises from a strict lcc functor  $g: \Gamma \to \Delta$ , then  $\bar{g} = g\varepsilon$  and hence  $\bar{g}\lambda = g$ . The action of the retraction  $f \mapsto f^s$  on natural isomorphisms  $f_0 \cong f_1$  is defined analogously from the Gpdenrichment of  $F \dashv G$ .

**Lemma 27.** Let  $(\Gamma, \lambda)$  be an FG-coalgebra. Then  $G(p) : G(\Gamma) \to G(\Gamma, \sigma)$  and  $v : 1 \to p(\sigma)$  form a weak context extension of  $G(\Gamma)$  by  $\sigma$ .

*Proof.* Let  $f: G(\Gamma) \to \mathcal{E}$  be an lcc functor and  $w: t \to f(\sigma)$  be a morphism with terminal domain in  $\mathcal{E}$ . Let  $\Delta$  be a strict lcc category such that  $G(\Delta) = \mathcal{E}$ . Then by lemma 26 there is an isomorphism  $\zeta^f: G(f^s) \cong f$  for some strict lcc functor  $f^s: \Gamma \to \Delta$ . Set  $g = \langle f^s, w^s \rangle$ , where  $w^s$  is the unique morphism in  $G(\Delta)$  such that

$$\begin{array}{ccc}
1 & \xrightarrow{w^s} f^s(\sigma) \\
\downarrow & & \downarrow^{\zeta^f_\sigma} \\
t & \xrightarrow{w} f(\sigma)
\end{array}$$

commutes. (Both vertical arrows are isomorphisms.) Now with  $g = \langle f^s, w^s \rangle$ :  $\Gamma.\sigma \to \Delta$  we have  $\zeta^f: G(g) \circ G(p) \cong f$ .

Let  $h:G(\Gamma.\sigma)\to\mathcal{E}$  and  $\phi:h\circ G(p)\cong f$  be any other lcc functor over  $G(\Gamma)$  such that

$$\begin{array}{ccc} h(1) & \xrightarrow{h(v)} & h(\sigma) \\ \downarrow & & \downarrow^{\phi_{\sigma}} \\ t & \xrightarrow{w} & f(\sigma) \end{array}$$

commutes. We need to show that h and G(g) are uniquely isomorphic over x. Lemma 26 reduces this to the unique existence of an extension of the isomorphism  $gp\cong h^sp:\Gamma\to\Delta.\sigma$  defined as composite

$$G(gp) \cong f \cong h \circ G(p) \cong G((h \circ G(p))^s) = G(h^s p)$$

to an isomorphism  $g\cong h^s:\Gamma.\sigma\to\Delta$  under  $\Gamma.$  This follows from the construction of  $\Gamma.\sigma$  as pushout

$$F(\lbrace t, \sigma \rbrace) \longrightarrow F(\lbrace v : t \to \sigma \rbrace)$$

$$\downarrow \qquad \qquad \downarrow$$

$$\Gamma \xrightarrow{p} \Gamma.\sigma,$$

and its universal property on 2-cells.

**Lemma 28.** Let x be an object of an lcc category C, and let  $x^* : C \to C_{/x}$  be any choice of pullback functor. Denote by  $d = \langle \operatorname{id}_x, \operatorname{id}_x \rangle : \operatorname{id}_x \to x^*(x)$  the diagonal morphism in  $C_{/x}$ . Then  $x^*$  and d form a weak context extension of C by x.

*Proof.* Let  $\mathcal{E}$  be an lcc category,  $f: \mathcal{C} \to \mathcal{E}$  be an lcc functor and  $w: t \to f(\sigma)$  be a morphism in  $\mathcal{E}$  with t terminal. We define the induced lcc functor  $g: \mathcal{C}_{/x} \to \mathcal{E}$  as composition

$$\mathcal{C}_{/x} \xrightarrow{f_{/x}} \mathcal{E}_{/f(x)} \xrightarrow{w^*} \mathcal{E}$$

where  $w^*: \mathcal{E}_{/x} \to \mathcal{E}_{/t} \xrightarrow{\sim} \mathcal{E}$  is given by a choice of pullback functor.

Let  $y \in \text{Ob } \mathcal{C}$ . We denote the composite  $f(x) \to t \xrightarrow{w} f(x)$  by w'. Then the two squares

$$g(x^*(y)) \longrightarrow f(x \times y) \qquad \qquad f(\tau) \xrightarrow{\langle w, \mathrm{id} \rangle} f(x \times y)$$

$$\downarrow \qquad \qquad \downarrow f(\mathrm{pr}_1) \qquad \qquad \downarrow \qquad \downarrow f(\mathrm{pr}_1)$$

$$t \xrightarrow{w} f(x) \qquad \qquad t \xrightarrow{w} f(x)$$

are both pullbacks over the same cospan. Here  $\operatorname{pr}_1 = x^*(y)$  denotes the first projection of the product defining the pullback functor  $x^*$ , and  $x \times y$  is the projection's domain. (These should not be confused with canonical products in strict lcc categories;  $\mathcal C$  and  $\mathcal D$  are only lcc categories.) f preserves pullbacks, so  $f(x \times y)$  is a product of f(x) with f(y). We obtain natural isomorphisms  $\phi_y : g(x^*(y)) \cong f(y)$  relating the two pullbacks for all y.

The diagram

$$\begin{array}{ccc} t & \xrightarrow{w} & f(x) & \longrightarrow & t \\ \downarrow^{w} & & \downarrow^{\langle w', \mathrm{id} \rangle} & \downarrow^{w} \\ f(x) & \xrightarrow{f(d)} & f(x \times x) & \xrightarrow{\mathrm{pr}_{1}} & f(x) \end{array}$$

commutes, and in particular the left square commutes. It follows that  $\phi$  is compatible with d and w.

g and  $\phi$  are unique up to unique isomorphism because for every morphism  $k: y \to x$  in C, i.e. object of  $C_{/x}$ , the square

$$k \xrightarrow{\langle k, \mathrm{id} \rangle} x^*(y)$$

$$\downarrow^k \qquad \qquad \downarrow^{x^*(k)}$$

$$\mathrm{id}_x \xrightarrow{d} x^*(x)$$

is a pullback square in  $\mathcal{C}_{/x}$ .

**Lemma 29.** Let  $\lambda: \Gamma \to F(G(\Gamma))$  be an FG-coalgebra and let  $\Gamma \vdash \sigma$  be a type. Then  $G(p): G(\Gamma) \to G(\Gamma.\sigma)$  and  $\sigma^*: G(\Gamma) \to G(\Gamma_{/\sigma})$  are equivalent objects of the coslice category  $\operatorname{Lcc}_{G(x)/}$ . The equivalence  $a: G(\Gamma.\sigma) \rightleftharpoons G(\Gamma_{/\sigma}): b$  can be constructed naturally in  $(\Gamma, \lambda)$  and  $\sigma$ , in the sense that coalgebra morphisms in  $(\Gamma, \lambda)$  preserving  $\sigma$  induce natural transformations of diagrams

$$G(\Gamma.\sigma)^{\mathcal{I}} \longleftarrow G(\Gamma.\sigma) \xrightarrow{a \atop b} G(\Gamma_{/\sigma}) \longrightarrow G(\Gamma_{/\sigma})^{\mathcal{I}}.$$
 (5)

*Proof.* It follows immediately from lemmas 27 and 28 that  $G(\Gamma.\sigma)$  and  $G(\Gamma_{/\sigma})$  are equivalent over  $G(\Gamma)$ . However, a priori the corresponding diagrams (5) can only be assumed to vary pseudonaturally in  $(\Gamma, \lambda)$  and  $\sigma$ , meaning that for example the square

$$G(\Gamma.\sigma) \longrightarrow G(\Gamma_{/\sigma})$$

$$\downarrow \qquad \qquad \downarrow$$

$$G(\Delta.f(\sigma)) \longrightarrow G(\Delta_{/f(\sigma)})$$
(6)

induced by a coalgebra morphism  $f:(\Gamma,\lambda)\to(\Delta,\mu)$  would only commute up to isomorphism.

The issue is that definition 24 only requires that certain mapping groupoids are contractible to a point, but the choice of point is not uniquely determined. To obtain a square (6) that commutes up to equality, we have to explicitly construct a map  $G(\Gamma,\sigma) \to G(\Gamma/\sigma)$  (i.e. point of the contractible mapping groupoid) and show that this choice is strictly natural.

The map  $G(\Gamma,\sigma) \to G(\Gamma_{/\sigma})$  over  $G(\Gamma)$  is determined up to unique isomorphism by compatibility with the (canonical) pullback functor  $\sigma^*: G(\Gamma) \to G(\Gamma_{/\sigma})$  and the diagonal  $d: \mathrm{id}_{\sigma} \to \sigma^*(\sigma)$ . Recall from the proof of lemma 27 that  $a = \langle (\sigma^*)^s, d^s \rangle : G(\Gamma,\sigma) \to G(\Gamma_{/\sigma})$  and  $\alpha = \zeta^{\sigma^*}: G(a) \circ G(p) \cong \sigma^*$  is a valid choice. d is stable under strict lcc functors, hence by lemmas 13 and 26, a and a are natural in FG-coalgebra morphisms.

As in the proof of lemma 28, the map in the other direction can be constructed as composite

$$b: G(\Gamma_{/\sigma}) \xrightarrow{p_{/\sigma}} G(\Gamma.\sigma_{/p(\sigma)}) \xrightarrow{v^*} G(\Gamma.\sigma_{/1}) \xrightarrow{\cong} G(\Delta),$$

where  $v^*$  is the canonical pullback along the variable v, and the components of the natural isomorphism  $\beta:b\sigma^*\cong G(p)$  are the unique isomorphisms relating pullback squares

$$b(\sigma^*(\tau)) \longrightarrow p(\sigma) \times p(\tau) \qquad p(\tau) \xrightarrow{\langle v, \mathrm{id} \rangle} p(\sigma) \times p(\tau)$$

$$\downarrow \qquad \qquad \downarrow^{\mathrm{pr}_1} \qquad \qquad \downarrow^{\mathrm{pr}_1}$$

$$1 \xrightarrow{v} p(\sigma) \qquad 1 \xrightarrow{v} p(\sigma).$$

All data involved in the construction are natural in  $\Gamma$  by proposition 13, hence so are b and  $\beta$ .

By remark 25, the natural isomorphisms  $(b, \beta) \circ (a, \alpha) \cong id$  and  $id \cong (b, \beta) \circ (a, \alpha)$  over  $G(\Gamma)$  are uniquely determined given their domain and codomain. Their naturality in  $(\Gamma, \lambda)$  and  $\sigma$  thus follows from that of  $(a, \alpha)$  and  $(b, \beta)$ .  $\square$ 

**Lemma 30.** Let  $\lambda : \Gamma \to F(G(\Gamma))$  be an FG-coalgebra, let  $\sigma, \tau$  be types in context  $\Gamma$  and let  $\Gamma.\tau \vdash t : p_{\tau}(\sigma)$  be a term. Let  $\bar{t} : \tau \to \sigma$  be the morphism in  $\Gamma$  that corresponds to t under the isomorphism

$$\operatorname{Hom}_{\Gamma,\tau}(1,p_{\tau}(\sigma)) \cong \operatorname{Hom}_{\Gamma/\tau}(\operatorname{id}_{\tau},\tau^{*}(\sigma)) \cong \operatorname{Hom}_{\Gamma}(\tau,\sigma)$$

induced by the equivalence of lemma 29 and the adjunction  $\Sigma_{\tau} \dashv \tau^*$ . Then the square

$$\begin{array}{ccc} G(\Gamma.\sigma) & \longrightarrow & G(\Gamma_{/\sigma}) \\ & & & \downarrow_{\bar{t}^*} \\ & & & & G(\Gamma.\tau) & \longrightarrow & G(\Gamma_{/\tau}) \end{array}$$

in  $Lcc_{G(\Gamma)/}$  commutes up to a unique natural isomorphism that is compatible with FG-coalgebra morphisms in  $(\Gamma, \lambda)$ .

*Proof.*  $\bar{t}^*$  maps the diagonal of  $\sigma$  to the diagonal of  $\tau$  up to the canonical isomorphism  $\bar{t}^* \circ \sigma^* \cong \tau^*$ , hence lemma 27 applies.

**Theorem 31.** The cwf CoasLcc is a model of dependent type theory with finite product, extensional equality, dependent product and dependent sum types.

*Proof.* CoasLcc has an empty context and context extensions by proposition 23. Finite product and equality types are interpreted as in sLcc (see proposition 16).

Let  $\Gamma \vdash \sigma$  and  $\Gamma.\sigma \vdash \tau$ . Denote by  $a: \Gamma.\sigma \to \Gamma_{/\sigma}$  the functor that is part of the equivalence established in lemma 29. Then  $\Gamma \vdash \Sigma_{\sigma} \tau$  respectively  $\Gamma \vdash \Pi_{\sigma} \tau$  are defined by application of the functors

$$\Gamma.\sigma \xrightarrow{a} \Gamma/\sigma \xrightarrow{\Sigma_{\sigma}} \Gamma$$

to  $\tau$ .

a being an equivalence and the adjunction  $\sigma^* \dashv \Pi_\sigma$  establish an isomorphism

$$\operatorname{Hom}_{\Gamma,\sigma}(1,\tau) \cong \operatorname{Hom}_{\Gamma/\sigma}(\sigma^*(1),a(\tau)) \cong \operatorname{Hom}_{\Gamma}(1,\Pi_{\sigma}(a(\tau)))$$

by which we define lambda abstraction  $\Gamma \vdash \lambda(t) : \Pi_{\sigma} \tau$  for some term  $\Gamma.\sigma \vdash t : \tau$  and the inverse to  $\lambda$  (i.e. application of  $p_{\sigma}(u)$  to the variable  $\Gamma.\sigma \vdash v : \sigma$  for some term  $\Gamma \vdash u : \Pi_{\sigma} \tau$ ).

Now let  $\Gamma \vdash s : \sigma$  and  $\Gamma \vdash t : (\operatorname{id}_{\Gamma}, s)(\tau)$ . The pair term u = (s, t) of type  $\Gamma \vdash \Sigma_{\sigma} \tau$  is defined by the diagram

$$\langle \mathrm{id}_{\Gamma}, s \rangle(\tau) \xrightarrow{\cong} s^*(a(\tau)) \xrightarrow{\sum_{\sigma} (a(\tau))} \sum_{s \to \sigma} a(\tau)$$

Here the isomorphism  $\langle \mathrm{id}, s \rangle(\tau) \cong s^*(a(\tau))$  is a component of the natural isomorphism  $\langle \mathrm{id}, s \rangle \cong s^* \circ a$  constructed in lemma 30, instantiated for  $\tau = 1$ . Given just u we recover s by composition with  $a(\tau)$ , and then t as composition

$$1 \xrightarrow{\langle \mathrm{id}, u \rangle} s^*(a(\tau)) \xrightarrow{\cong} \langle \mathrm{id}_{\Gamma}, s \rangle(\tau).$$

These constructions establish an isomorphism of terms s and t with terms u, so the  $\beta$  and  $\eta$  laws hold.

The functors  $a, \sigma^*, \Sigma_{\sigma}, \Pi_{\sigma}$  and the involved adjunctions are preserved by FG-coalgebra morphisms (proposition 13, lemmas 29 and 30), so our type theoretic structure is stable under substitution.

# 5 Cwf structure on individual lcc categories

In this section we show that the covariant cwf structure on CoasLcc that we established in theorem 31 can be used as a coherence method to rectify Seely's interpretation in a given lcc category C.

**Lemma 32.** Let  $\lambda : \Gamma \to F(G(\Gamma))$  be an FG-coalgebra. Then the following categories are equivalent:

- (1)  $\Gamma^{\text{op}}$ ;
- (2) the category of isomorphism classes of morphisms in the restriction of the higher coslice category  $Lcc_{G(\Gamma)}/$  to slice categories  $\sigma^*: G(\Gamma) \to G(\Gamma_{/\sigma});$
- (3) the category of isomorphism classes of morphisms in the restriction of the higher coslice category  $Lcc_{G(\Gamma)}/$  to context extensions  $G(p_{\sigma}): G(\Gamma) \to G(\Gamma.\sigma)$ ;
- (4) the full subcategory of the 1-categorical coslice category  $(\text{Coa} \operatorname{Lcc})_{(\Gamma,\lambda)/}$  given by the context extensions  $p_{\sigma}: (\Gamma, \lambda) \to (\Gamma, \sigma, \lambda, \sigma)$ .

*Proof.* As noted in remark 25, the higher categories in (2) and (3) are already locally equivalent to discrete groupoids and hence biequivalent to their categories of isomorphism classes.

The functor from (1) to (2) is given by assigning to a morphism  $s: \tau \to \sigma$  in  $\Gamma$  the isomorphism class of the pullback functor  $s^*: G(\Gamma_{/\sigma}) \to G(\Gamma_{/\tau})$ . The isomorphism class of an lcc functor  $f: G(\Gamma_{/\sigma}) \to G(\Gamma_{/\tau})$  over  $G(\Gamma)$  is uniquely determined by the morphism

$$\operatorname{id}_{\tau} \xrightarrow{\cong} f(\operatorname{id}_{\sigma}) \xrightarrow{f(d)} f(\sigma^*(\sigma)) \xrightarrow{\cong} \tau^*(\sigma),$$

which in turn corresponds to a morphism  $s: \tau = \Sigma_{\tau} \mathrm{id}_{\tau} \to \sigma$ , and then  $f \cong s^*$ .

The categories (2) and (3) are equivalent because they are both categories of weak context extensions (lemmas 27 and 28). Finally, the inclusion of (4) into (3) is an equivalence by the strictification lemma 26. Note that every strict lcc functor  $\Gamma.\sigma \to \Gamma.\tau$  commuting (up to equality) with the projections  $p_{\sigma}$  and  $p_{\tau}$  is compatible with the coalgebra structures of  $\lambda.\sigma:\Gamma\to\Gamma.\sigma$  and  $\lambda.\tau:\Gamma\to\Gamma.\tau$ .

**Definition 33.** Let  $\mathcal{C}$  be a covariant cwf and let  $\Gamma$  be a context of  $\mathcal{C}$ . Then the coslice covariant cwf  $\mathcal{C}_{\Gamma/}$  has as underlying category the (1-categorical) coslice category under  $\Gamma$ , and its types and terms are given by the composite functor  $\mathcal{C}_{\Gamma/} \xrightarrow{\operatorname{cod}} \mathcal{C} \to \operatorname{Fam}$ .

**Lemma 34.** Let C be a covariant cwf and let  $\Gamma$  be a context of C. Then the coslice covariant cwf  $C_{\Gamma/}$  has an initial context. If C has context extensions, then  $C_{\Gamma/}$  has context extensions, and they are preserved by  $\operatorname{cod}: C_{\Gamma/} \to C$ . If C supports any of finite product, extensional equality, dependent product or dependent sum types, then so does  $C_{\Gamma/}$ , and they are preserved by  $\operatorname{cod}: C_{\Gamma/} \to C$ .

**Definition 35.** Let  $\mathcal{C}$  be a covariant cwf with an empty context and context extensions. The *core* of  $\mathcal{C}$  is a covariant cwf on the least full subcategory Core  $\mathcal{C} \subseteq \mathcal{C}$  that contains the empty context and is closed under context extensions, with types and terms given by  $\operatorname{Core} \mathcal{C} \hookrightarrow \mathcal{C} \to \operatorname{Fam}$ .

**Lemma 36.** Let C be a covariant cwf with an empty context and context extension. If C supports any of finite product types, extensional equality types, dependent product or dependent sums, then so does Core C, and they are preserved by the inclusion  $Core C \hookrightarrow C$ .

If  $\mathcal{C}$  supports unit and dependent sum types, then  $\operatorname{Core} \mathcal{C}$  is democratic (Clairambault and Dybjer, 2011), i.e. every context is isomorphic to a context obtained from the empty context by a single context extension.

**Theorem 37.** Let  $\lambda: \Gamma \to F(G(\Gamma))$  be an FG-coalgebra. Then the underlying category of  $\operatorname{Core}((\operatorname{CoasLcc})_{(\Gamma,\lambda)/})$  is equivalent to  $U(G(\Gamma))^{\operatorname{op}}$ . In particular, every lcc category is equivalent to a cwf that has an empty context and context extensions, and that supports finite product, extensional equality, dependent sum and dependent product types.

*Proof.* Core ((CoasLcc)<sub>( $\Gamma,\lambda$ )/</sub>) is a covariant cwf supporting all relevant type constructors by lemmas 34 and 36. It is democratic and hence equivalent to category (4) of lemma 32.

Given an arbitrary lcc category  $\mathcal{C}$ , we set  $\Gamma = F(\mathcal{C})$  and define coalgebra structure by  $\lambda = F(\eta) : F(\mathcal{C}) \to F(G(F(\mathcal{C})))$ . Then  $G(\Gamma)$  is equivalent to both  $\mathcal{C}$  and a cwf supporting the relevant type constructors.

### 6 Conclusion

We have shown that the category of lcc categories is a model of dependent type theory. Previously only individual lcc categories had been considered as targets of interpretations. As in these classical interpretations, we had to deal with the issue of coherence: Lcc functors (and pullback functors in particular) preserve lcc structure only up to isomorphism, whereas substitution in type theory commutes with type and term formers up to equality.

Our novel solution to the coherence problem relies on working globally on all lcc categories at once. In contrast to some individual lcc categories, the higher category of all lcc categories is locally presentable. This allowed the use of model category theory to present this higher category in terms of different 1-categories.

We explored three such presentations: First we constructed the model category Lcc of lcc sketches using Isaev's model structure of marked objects. Its category of fibrant objects are precisely categories which can be endowed with lcc structure and functors which preserve lcc structure up to isomorphism.

Next we transferred the model structure of Lcc to the category sLcc = Alg Lcc of algebraically fibrant lcc sketches. The objects of sLcc are categories with assigned lcc structure, and its morphisms are functors which preserve this canonical lcc structure.

Finally, our interpretation is valued in the model category CoasLcc of algebraically cofibrant strict lcc categories. Its objects are categories  $\mathcal{C}$  with assigned lcc structure such that every lcc functor out of  $\mathcal{C}$  can be assigned an isomorphic strict lcc functor.

While we only studied an interpretation of a type theory with dependent sum and dependent product, extensional equality and finite product types, it is straightforward to adapt our method to theories with other type constructors. For example, a dependent type theory with a type of natural numbers can be interpreted in the category of lcc categories with objects of natural numbers. Alternatively, we can add finite coproduct, quotient and list types while omitting dependent products and obtain an interpretation in the category of arithmetic universes (Maietti, 2010; Vickers, 2016).

Ultimately one would hope to obtain by a general theorem a type theory and its interpretation in the category of algebras for every (higher) monad M on Cat (with the algebras of M perhaps subject to being finitely complete and stable

under slicing). Such a theorem, however, is beyond the scope of the present paper.

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