

Date of publication xxxx 00, 0000, date of current version xxxx 00, 0000.

Digital Object Identifier 10.1109/ACCESS.2017.DOI

# Facial Landmark Detection with Learnable Connectivity Graph Convolutional Network

LE QUAN NGUYEN<sup>1</sup>, VAN DUNG PHAM, YANFEN LI<sup>1</sup>, HANXIANG WANG<sup>1</sup>, LIEN MINH DANG, HYOUNG-KYU SONG<sup>2</sup>, AND HYEONJOON MOON<sup>1</sup>

<sup>1</sup>Department of Computer Science and Engineering, Sejong University, Seoul 05006, Korea;

<sup>2</sup>Department of Information and Communication Engineering and Convergence Engineering for Intelligent Drone, Sejong University, Seoul 05006, Korea  
(e-mail: songhk@sejong.ac.kr)

Corresponding author: Hyeonjoon Moon (e-mail: hmoon@sejong.ac.kr).

**ABSTRACT** The conventional heatmap regression with deep networks has become one of the mainstream approaches for landmark detection. Despite their success, these methods do not exploit the overall landmarks structure. We present a new landmark detection which is capable to capture the overall structure of landmarks by modeling these landmarks as a graph structure. Our method combines a deep heatmap regression network with Graph Convolutional Network (GCN) into an end-to-end differentiable model. The proposed method can utilize both visual information and overall landmarks structure to localize landmarks from an image. The ad hoc spatial relationships between landmarks are learned naturally with GCN network. Experiments on multiple datasets show the robustness of the proposed method.

**INDEX TERMS** Face alignment, Graph Convolutional Network, High Resolution Net, Heatmap

## I. INTRODUCTION

Facial landmark detection aims to detect multiple predefined points of human facial components and contours. It has become increasingly important in various facial analysis tasks like pose estimation [1], face recognition [2], [3], and face alignment [4], [5]. Nevertheless, it is still a challenging task in the real world principally because different poses and facial expressions can easily influence the accuracy and reliability of landmark detection. As a result, there is a pressing need to develop a framework that can precisely and robustly detect facial landmarks.

To address this problem, the existing approaches are mainly separated into three different categories, which include coordinate regression methods [6]–[8], heatmap regression methods [9]–[11], and graph learning methods [10], [12], [13]. The difference among them is how to use the information on facial appearance. The coordinate regression methods directly learn the mapping relationship between discriminative features and coordinates vectors of landmarks, drawing lots of attention. Many previous methods [6], [14] reached satisfactory performances, while the results of coordinate regression methods are sensitive to face occlusion. Besides, the heatmap regression approach creates a probability heatmap for all target landmarks, which achieved state-

of-the-art performances in the studies of landmark detection for multiple views [5]. In addition, the landmark detection methods with graphs also have the potential to represent the predefined landmarks as a graph. The landmark detection with graphs makes the landmarks learnable, and it is robust against appearance variations [12], [13].

Graph-structured data are ubiquitous in computer vision, such as point-cloud, human body joints (pose estimation), hand joints (hand gesture classification), and scene graphs. Integrating relation inductive biases from graph-structured data into deep learning architectures is essential for these deep learning systems to learn, reason, predict and generalize well on these kinds of data. Recent years have seen a surge in research on deep learning for Graph-structured data. The advancement in graph representation learning creates a new way for tackling many challenging computer vision problems by leveraging the inter-relationship between entities in a scene.

A facial landmark can represent a node, and these nodes form a graph that represents the overall facial structure. Unlike some graph-structured data in which the topology of a graph comes naturally (e.g. atoms in protein molecules), facial landmarks do not have an intrinsic graph connectivity scheme. Therefore, the graph topology for facial landmarks is

either made using heuristic [15] or learned from data [12]. In this work, the latter approach is selected and further improved with a per-image graph connectivity scheme where graph topology changes based on the input image to increase the system's robustness in some challenging scenarios where the initial prediction of the landmarks may not be reliable.

Formally, a graph  $G = (V, E)$  is defined on a set of vertices  $V$  and a set of edges  $E$  which connect the vertices. A convenient way to represent the connection of nodes in a graph is using adjacency matrix  $A \in R^{|V| \times |V|}$ . An edge  $e$  connects a node  $v$  and  $u$  can be represented in the adjacency matrix as an entry  $A(u, v) = 1$ . While the adjacency matrix can represent a graph without any loss of information, Graph Laplacian matrices with some useful mathematical properties come as alternatives for analyzing a graph. In spectral graph theory, characteristic matrices such as adjacency matrices and Laplacian matrices are used to study the properties of a graph.

Graph Neural Network (GNN) is a class of deep learning architectures for graph-structured data. GNN can be roughly categorized into Spectral Graph Convolution methods [16], and Spatial Graph Convolution method [17]. The difference between spectral and spatial methods is whether a method requires Eigen-decomposition of the graph Laplacian or not. Regardless of spectral or spatial domains, a GNN layer can be modelled with a neural message-passing mechanism in which nodes interact with each other with vector messages. In general, Spatial Graph Convolution methods are simpler and faster than spectral methods while still achieving good performance on many benchmarks. In this paper, we develop our graph model based on [17] which is a spatial graph method.

We propose a landmark detection framework to efficiently and accurately locate landmarks on facial images by leveraging the overall facial landmark structure with a graph convolutional network. The proposed method combines the heatmap regression method and graph neural network to utilise both approaches' advantages. The main contributions of this study can be listed as follows.

- We proposed a novel learnable algorithm based on per image graph connectivity, which accounts for both landmarks' class and prediction likelihood. It allows the graph connectivity scheme to change depending on the input image, in order to adapt to different scenarios.
- Our method allows the reuse of pre-trained heatmap models to obtain powerful landmark preliminaries and visual features, which are then used to construct the node features of our graph model.
- Our method achieves satisfactory and robust performance on three main metrics NME, FR0.1, and AUC0.1, on a highly challenging WFLW dataset. Experiments demonstrated that the proposed method is balance in utilizing local visual information and the global structure of landmarks.

## II. RELATED WORK

There are many algorithms that have been reported in the field of facial landmark detection over the years, including coordinate-based methods [6]–[8], [14], [18], heatmap-based methods [9], [11], [19]–[21], and graph-methods [10], [12], [13], [22].

**Coordinate regression methods.** This deep learning-based approach directly maps the input images to the landmark coordinates, which are applied to lots of landmark detection works. For example, the Mnemonic Descent Method (MDM) that adopts the combination of CNN and RNN to detect landmark locations was firstly proposed in [6]. Zhang et al. [18] applied multi-task learning methods to obtain more auxiliary information (like gender and expression) to improve the accuracy of face alignment. Experiments showed that the proposed method performed better than other face alignment methods, especially in handling the scenarios of pose changes and severe occlusion [18]. Zhu et al. presented a coarse-to-fine shape searching method to improve the robustness of the convolutional neural network (CNN) [7]. Besides, the authors in [14] proposed an end-to-end model based on deep learning and a new loss function (LUVLI) to focus on the locations and effectiveness of landmarks. In [8], Cascaded Regression and De-occlusion (CRD) algorithm was proposed to remove the occluded part of the face to obtain more accurate locations of landmarks. Even the above coordinate regression methods obtained state-of-the-art performances, they lack the ability of spatial generalization, and it is easy to lose the spatial information on feature maps.

**Heatmap regression methods.** Another category of methods predicts likelihood heatmaps of landmarks and performs well on facial landmark detection. Chandran et al. presented the first fully convolutional regional network for landmark prediction on high-resolution images [9], which performed well on the images with different resolutions. In another work, a framework combining unsupervised learning and fully supervised learning was designed to generate the heatmaps with landmarks, which can reduce the overfitting problem in the training process [19]. The global heatmap correction unit (GHCU) was designed to correct the detected anomalous points to improve the accuracy of landmark detection in low-quality or partially occluded images. Experiments demonstrated that the method achieved encouraging results on different databases [20]. Wu et al. introduced a novel algorithm to estimate the heatmap of the facial boundary and then locate the key points of the face using the boundary information [21]. According to the style and shape transformation of different regions in the facial image, an image enhancement method is proposed to improve the robustness of the face landmark detection algorithm [11]. Unfortunately, the heatmap regression method is not an end-to-end differential model. By using the soft argmax algorithm to convert the heatmap information into coordinate values, the values obtained are integers, which results in the loss of part of the accuracy and the offset of the coordinate position predicted by the model in the case of low resolution. Besides,

the heatmap regression method has a slow training speed and large memory consumption because it requires a large output feature map.

**Graph learning methods.** Graph learning methods construct graphs by learning global and local features, which were applied in the landmark detection and expression recognition tasks. Li et al. designed a novel deep graph neural network to learn the relationship between human facial landmarks so as to detect landmarks accurately [12]. Similarly, a three-dimensional network was built to generate proposals for the facial area, and then the graphic prior knowledge is used to improve the performance of facial landmark detection [13]. In [10], a graph-based CNN was introduced to extract and fuse different features in order to improve expression recognition performance. Ngoc et al [22]. applied a graph neural network to obtain facial expression information by fusing image features and landmark images. Experimental results verified that the presented network obtained promising performance on various datasets.

Unlike the previous studies that focus on graph classification from the predefined graph, our approach learns the relationship between nodes from data, and it performed well without explicitly labelling occlusion landmarks. In addition, the proposed method combining heatmap information and graph neural networks to detect facial landmarks with a top-performing result. Furthermore, the presented method is robust for many challenging scenarios like noise, occlusion, poor illumination, etc.

### III. METHODOLOGY

In this work, we develop an end-to-end landmark detection model which combine heatmap keypoint detection and GCN landmark regression. Given an input image, our model first predict a coarse landmark location and likelihood with heatmap model. The landmark prediction then is refined with GCN landmark regression model. An overview of our proposed method is shown in figure 1

#### A. PRELIMINARY

Let  $I \in R^{H \times W \times 3}$  be an input image of size  $(W, H)$  where  $(W, H, 3)$  is the width, height and number of channels of the input image respectively. Our model first take in image  $I$  as input and produce a heatmap  $\hat{Y} \in [0, 1]^{\frac{H}{R} \times \frac{W}{R} \times C}$ , where  $R$  is a downsampling factor and  $C$  is the number of landmark types. We employ the HRNet18 [23] as a CNN backbone to generate a heatmap. We also use features extracted from the backbone to construct node features for the landmark regression model.

High-Resolution Net (HRNet) [23] is a universal CNN-based architecture designed for many computer vision tasks, including object segmentation, human pose estimation, and object detection. The design of HRNet architecture is based on two main concepts: maintaining high-resolution representations and multi-scale features fusion. HRNet architecture starts with a high-resolution convolution stream, then gradually adds high-to-low resolution streams as stream flow to

the next stages. Every time the network transit to the next stage, the multi-scale features are fused for each stream. An overview of HRNet architecture is illustrated in figure 2.

#### B. GRAPH CONVOLUTION

Let  $G = (V, E)$  be a graph, where the vertex of graph  $V = \{v_i\}$  denotes landmarks and edges  $E = \{e_{ij}\}$  represents the learned connectivity between landmarks. Similar to [12], we also use graph convolutional networks (GCN) [17] for handling the information exchanging between nodes.

Let  $h_i^l$  be the hidden of vertex  $v_i$  at iteration  $l$  and  $e_{ij}$  be the learned connectivity of between node, Information is propagated through the graph  $G$  as follow:

$$h_i^{l+1} = W_1^l h_i^l + \sum_j e_{ij} W_2^l h_j^l \quad (1)$$

#### C. NODE FEATURES

Following the work of li et al. [12], we also enrich Node features with visual features and shape features. We think that visual information can provide some useful information, such as boundary constraint, while shape feature explicitly provides information on the overall landmark structure. This information is beneficial to the GCN landmark regression model for refining initial landmark prediction.

**Visual features** is taken feature map from the final layers of HRNet18 [23] just before the heatmap layer. The size of the visual feature vector taken from the feature map is 270 (from HRNet18 [23]), which is much larger than the 2D location vector  $[x_i, y_i]$ . Therefore, we use a small multi-layer perceptron network (MLP) as an embedding layer to reduce the size of the visual feature vector. The node feature of a node  $v_i$  is constructed by concatenating the embedded visual feature vector  $f_i$  with the landmark 2D location

$$h_i^0 = [x_i, y_i] \oplus f_i \quad (2)$$

**Shape features:** similar to [12], We also use displacement between two nodes as shape features  $q_{ij} = [x_i - x_j, y_i - y_j]$ . The shape features  $q_{ij}$  are concatenated to node features of neighbor nodes  $v_j$  before aggregate information to target node  $v_i$ . The shape features are added to the hidden of neighbor nodes for every iteration to ensure overall shape information persists as the graph model progressively updates.

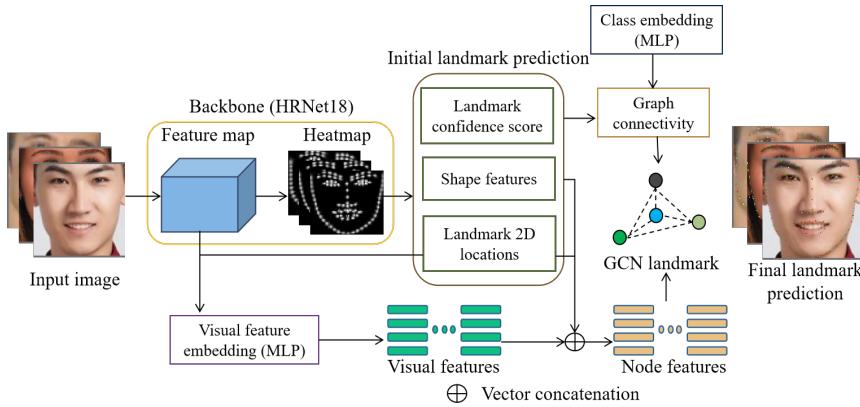
$$h_j^l \leftarrow h_j^l \oplus q_{ij} \quad (3)$$

For simplicity, we can combine equation 1 and 3 as follow:

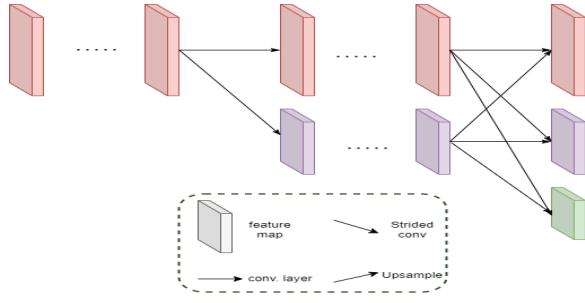
$$h_i^{l+1} = W_1^l h_i^l + \sum_j e_{ij} W_2^l (h_j^l \oplus q_{ij}) \quad (4)$$

#### D. LEARNABLE GRAPH CONNECTIVITY

The graph connectivity illustrates the relationship between a pair of landmarks and determines the impact of an incoming signal from a neighbor node to a target node in GCN. As analyzed in [12], using hand-crafted graph connectivity may



**FIGURE 1.** Overview of the proposed method. A heatmap is generated from input image by a CNN backbone. Initial landmark predictions and feature map is then used for constructing a graph representation of landmarks structure. The landmark graph representation is fed to the GCN landmark model to produce the final landmark prediction.



**FIGURE 2.** Overview of HRNet architecture

introduce some biases, which could lead to sub-optimal performance. In their work, li et al. [12] treat graph connectivity  $E = \{e_{ij}\}$  as a learnable adjacency matrix and is trained in end-to-end manners. Therefore, the graph connectivity remains the same for a given task and is independent of input images.

We argue that may not be the optimal way to handle some challenging situations like occlusion or blurry, where the prediction of some landmarks may be highly uncertain. If the initial prediction of 2 nodes is unreliable, even if their location is highly correlated, it would be better if we use other nodes with a more reliable prediction to estimate the landmarks that are visually challenging for prediction. Therefore, we think the graph connectivity should depend on both the landmark types and the confidence scores of the initial prediction from the heatmap.

For a pair of landmark  $(v_i, v_j)$  with corresponding landmark types  $(l_i, l_j)$  and confidence scores  $(c_i, c_j)$ , we first compute a class embedding:

$$l_{ij} = MLP(g(l_i) \oplus g(l_j)) \quad (5)$$

where  $g$  is one-hot encoding operation. Then the graph connectivity is computed from class embedding and nodes confidence score:

$$e_{ij} = MLP([c_i, c_j] \oplus l_{ij}) \quad (6)$$

A softmax function is apply to the graph connectivity to normalize the signal from neighbors nodes.

## E. TRAINING

**GCN landmark:** we use L1 loss on all predicted landmark coordinates to learn precise localization:

$$\mathcal{L}_1 = \frac{1}{N} \sum_{i=1}^N |\hat{v}_i - v_i| \quad (7)$$

where  $v_i = (x_i, y_i)$  and  $\hat{v}_i = (\hat{x}_i, \hat{y}_i)$  are predicted and ground truth landmark coordinates respectively, and  $N$  is the number of landmarks in an image.

**Heatmap model:** Two potential problems may arise when training the heatmap model. Firstly, there is an extreme imbalance between the foreground landmarks and background in the heatmap. The second problem is that the heatmap influences the constructions of node features and edge features for the GCN landmark model. So a dramatic change in the heatmap may cause a large variation in the output of the GCN landmark model. These two problems will lead to unstable optimization behaviour. As suggested in [24], [25], we employ the Focal loss [26] to stabilize the training process:

$$\mathcal{L}_2 = \frac{-1}{N} \sum \begin{cases} (1 - \hat{Y})^\alpha \log(\hat{Y}) & \text{if } Y = 1 \\ (1 - Y)^\beta \hat{Y}^\alpha \log(1 - \hat{Y}) & \text{otherwise} \end{cases} \quad (8)$$

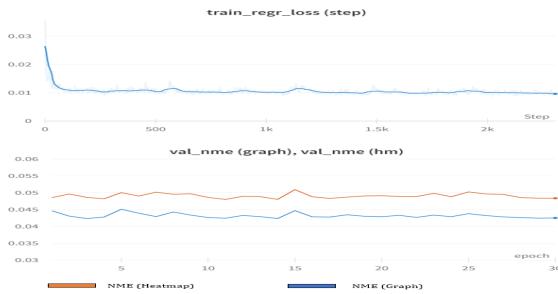
where  $\alpha$  and  $\beta$  are hyper-parameters of the focal loss, and  $N$  is the number of landmarks in an image. We pick  $\alpha = 2$  and  $\beta = 4$  in all experiments as following [24], [25]. The overall loss function to train the model in end-to-end manners is the combination of the two above loss functions:

$$\mathcal{L} = \lambda_1 \mathcal{L}_1 + \lambda_2 \mathcal{L}_2 \quad (9)$$

where  $\lambda_1$  and  $\lambda_2$  are the weights for each loss.



**FIGURE 3.** Visualization of Landmark detection result. Image pairs are displayed side by side for comparison. **Left images:** result from heatmap model (HRNet18). **Right images** result from GCN landmark model. **Green dot:** predicted landmark location. **Red dot:** groundtruth landmark location.



**FIGURE 4.** Training loss and NME-validation on WFLW dataset

## IV. EXPERIMENTS

### A. DATASET

We evaluate our proposed method on two public datasets:

**WFLW** [21] dataset consist of 7500 facial images for training and 2500 facial images for testing. All these images are manually annotated with 98 landmarks and 6 attributes: pose, expression, illumination, make-up, occlusion and blur. These attributes depict different difficult scenarios to test the robustness of the landmark detection method.

**300W** [27] dataset includes 5 face datasets: LFPW, AFW, HELEN, XM2VTS and IBUG. All images are annotated with 68 landmarks. Following the common setting in [7], [28], [29], the training set size is 3148 images taken from the training set of LFPW, HELEN and the full set of AFW. 554 images from LFPW and HELEN testing form the common set, and 135 images from IBUG are regarded as the challenging subset. The full set is the combination of common and challenging subsets. The official test set has 600 face images split into 300 indoor and 300 outdoor images.

### B. IMPLEMENTATION DETAILS

Following the preprocessing step in [30], all the face images are cropped according to the center location and resized to  $256 \times 256$ . The HRNet18 is selected as the backbone because its network design allows us to extract deep semantic features from high-resolution feature maps. The GCN landmark model consists of 3 GCN blocks with hidden sizes of 64, 16 and 2, respectively. The final GCN block predicts the final 2D landmark coordinates. We choose  $\lambda_1 = \lambda_2 = 1$  for different part of the overall loss function. The learning rate is

set to  $10^{-4}$  and  $10^{-3}$  for CNN backbone and GCN landmark model. For data augmentation, we used: rotate an image with a random angle [-30, 30], scale image with a random scale factor in [0.8, 1.2], random translation in the range [0.9, 1.1], random horizontal flip and color jitter.

### C. EXPERIMENTAL RESULTS

**WFLW** is a challenging dataset with multiple difficult detection scenarios. Testing result is reported in Table 1. Following previous research, we evaluate our method with 3 metrics: normalized mean error (inter-ocular), AUC@0.1 and FR@0.1. Our method is among the top performers, achieves 4.24% NME (second best), 2.68% FR0.1 (best), and 0.5892 AUC0.1. Training loss and NME on validation set is shown in figure 4

**300W**: We also compare our approach with several top performing methods on 300W dataset. Results on common, challenge and full sets are evaluated using NME(%). We use AUC@0.1 and FR@0.1 for testing set. Our method achieves competitive result compare. As shown in table 2, our method achieves competitive results to previous methods.

A visualization of landmark prediction on some images is shown in figure 3. As can be observed from 3, the GCN landmark model can correct the initial landmark prediction from the backbone.

### D. LEARNED CONNECTIVITY VISUALIZATION

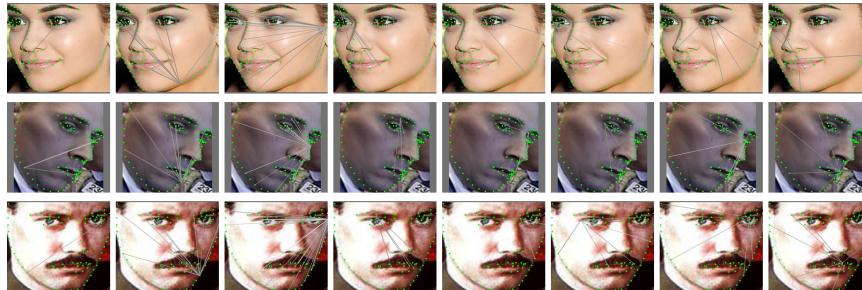
We draw the landmark connection based on the learned edge weight to study the graph structure. For ease of comparison, each column is in figure 5 shows the connection of a landmark to its neighbor. As can be seen from figure 5, the graph structure varies from image to image. This behavior is intended, and we believe the graph structure's flexibility boosts GCN landmark model performance.

### E. ABLATION STUDY

In this section, we examine the performance of our proposed method for learning the graph connectivity by comparing it to the learnable task-specific graph connectivity proposed by Li et al. [12]. We experiment with both WFLW and 300W datasets. We use the same HRNet18 backbone pretrained WFLW and 300W datasets and freeze its weights for a fair comparison. The backbone in this experiment achieves the

**TABLE 1.** Evaluation on the WFLW dataset (98 Landmarks). Top-2 results are highlighted with colors (1st, 2nd)

Method	Year	NME(%)						
		Test	Pose	Expr.	Illum.	Make-up	Occlu.	Blur
LAB	2018	5.27	10.24	5.51	5.23	5.15	6.79	6.32
SAN	2018	5.22	10.39	5.71	5.19	5.49	6.83	5.80
WING	2018	5.11	8.75	5.36	4.93	5.41	6.37	5.81
HRNet18	2020	4.60	7.94	4.85	4.55	4.29	5.44	5.42
STYLE	2019	4.39	8.42	4.68	4.24	4.37	5.60	4.86
AWING	2019	4.36	<b>7.38</b>	4.58	4.32	4.27	5.19	4.96
li et al.	2020	<b>4.21</b>	<b>7.36</b>	<b>4.49</b>	<b>4.12</b>	<b>4.05</b>	<b>4.98</b>	<b>4.82</b>
AnchorFace	2020	4.32	7.51	4.69	<b>4.20</b>	4.11	<b>4.98</b>	<b>4.82</b>
DSCN	2021	5.66	10.43	6.06	5.48	7.97	14.44	9.96
Our	2022	<b>4.24</b>	7.57	<b>4.47</b>	<b>4.20</b>	<b>4.01</b>	<b>5.03</b>	<b>4.83</b>
FR@0.1								
LAB	2018	7.56	28.83	6.37	6.73	7.77	13.72	10.74
SAN	2018	6.32	27.91	7.01	4.87	6.31	11.28	6.60
WING	2018	6.00	22.70	4.78	4.30	7.77	12.50	7.76
HRNet18	2020	4.64	23.01	3.50	4.72	2.43	8.29	6.34
STYLE	2019	4.08	18.10	4.46	2.72	4.37	7.74	4.40
AWING	2019	<b>2.84</b>	<b>13.50</b>	<b>2.23</b>	2.58	2.91	5.98	3.75
li et al.	2020	3.04	15.95	2.86	2.72	<b>1.45</b>	<b>5.29</b>	4.01
AnchorFace	2020	2.96	16.56	<b>2.55</b>	<b>2.15</b>	2.43	5.30	<b>3.23</b>
DSCN	2021	8.36	34.36	7.96	5.87	7.97	14.44	9.96
Our	2022	<b>2.68</b>	<b>15.03</b>	<b>2.23</b>	<b>2.44</b>	<b>0.97</b>	<b>5.03</b>	<b>3.36</b>
AUC@0.1								
LAB	2018	0.5323	0.2345	0.4951	0.5433	0.5394	0.4490	0.4630
SAN	2018	0.5355	0.2355	0.4620	0.5552	0.5222	0.4560	0.4932
WING	2018	0.5504	0.3100	0.4959	0.5408	0.5582	0.4885	0.4932
HRNet18	2020	0.5237	0.2506	0.5102	0.5326	0.5445	0.4585	0.4515
STYLE	2019	<b>0.5913</b>	0.3109	0.5490	<b>0.6089</b>	0.5812	0.5164	<b>0.5513</b>
AWING	2019	0.5719	0.3120	0.5149	0.5777	0.5715	0.5022	0.5120
li et al.	2020	<b>0.5893</b>	<b>0.3150</b>	<b>0.5663</b>	<b>0.5953</b>	<b>0.6038</b>	<b>0.5235</b>	0.5329
AnchorFace	2020	0.5769	0.2923	0.5440	0.5865	0.5914	0.5193	0.5286
DSCN	2021	0.4784	0.1827	0.4354	0.4653	0.4980	0.3965	0.4220
Our	2022	0.5892	<b>0.3226</b>	<b>0.5615</b>	0.5951	<b>0.6083</b>	<b>0.5258</b>	<b>0.5390</b>



**FIGURE 5.** Visualization of node connectivity. Each column shows the connection of a landmark to its neighbor. Only edges with value larger than a certain threshold are shown

**TABLE 2.** Evaluation on the 300W dataset (68 Landmarks)

Method	Year	NME(%)			AUC@0.1	FR@0.1
		Common	Challenge	Full		
LAB [21]	2018	2.98	5.19	3.49	0.5885	0.83
STYLE [11]	2019	3.21	6.49	3.86	-	-
AWING [31]	2019	2.72	<b>4.52</b>	3.07	<b>0.6440</b>	<b>0.33</b>
li et al. [12]	2020	<b>2.62</b>	4.77	<b>3.04</b>	0.6361	<b>0.33</b>
AnchorFace [32]	2020	3.12	6.19	3.72	-	-
HORNet [33]	2020	3.38	6.36	3.96	-	-
DSCN [34]	2021	3.58	5.36	3.85	-	-
Our	2022	2.95	5.15	3.38	0.6024	0.50

NME of 4.72 and 3.92 on the WFLF and 300W datasets, respectively. The other part of the GCN model is the same as described in section III. We set the learning rate for the GCN landmark model to  $10^{-3}$  for two epochs, then reduce it to  $10^{-4}$  for 30 epochs. As shown in table 3, our proposed method significantly improves the NME on the WFLW dataset, while the performance on the 300W dataset is near identical to the task-specific learnable graph connectivity method. As the WFLW dataset is considered more challenging than the 300W dataset, we conclude that our method improves the final prediction results significantly when encountering challenging scenarios that are pretty common in the WFLW dataset.

TABLE 3. Ablation study on graph connectivity

Method	NME (%)	
	300W full	WFLW
backbone	3.92	4.72
li et al. [12]	<b>3.23</b>	4.40
<b>our</b>	<b>3.23</b>	<b>4.23</b>

## V. DISCUSSION

Utilizing landmark structure to improve prediction is a well-studied approach for facial landmark detection. Wu et al. [21] propose explicitly using heatmap boundary to group highly correlated landmarks. In AnchorFace [32], the authors proposed to use a set of anchors as a template to model landmark positions. While the GNN is widely used in other computer vision tasks such as pose estimation, research on applying GNN for facial landmark detection is still quite lacking despite its potential.

To the best of our knowledge, besides the work of Li et al. [12], our paper is the only work that applies GNN for learning the facial landmark structure. The main difference between our work and [12] is in how the initial landmark position is obtained. In [12], the initial landmark prediction is from the mean average of 2D locations of landmarks, while in our work, the initial landmark prediction results from a heatmap model. By utilizing the heatmap model, we can access the confidence score for each landmark for constructing the graph connectivity. While in [12], the graph connectivity is modelled as a learnable adjacency matrix. The comparison of these two approaches is analyzed in the ablation study. Another advantage of using heatmap for initial landmark prediction is that we only need a single stage GCN for landmark regression, while [12] method requires a 2-stages cascaded GCN regression model for coarse-to-fine prediction because the mean average 2d location is not good enough for coarse prediction. Our method can reuse pre-trained landmark detection directly, which eases the training process. We can freeze the heatmap model during training and still obtain a good result and simplify the training process.

Other methods, such as WING [35], and AWING [31] about loss function so orthogonal to our approach and can

be used in conjunction with our work to improve landmark detection further.

Our method is built on top of a heatmap model, and its performance is aligned with the quality of the used heatmap model. Even though we only test our method with HRNet18, our method can be plugged into any kind of heatmap model and enjoy the boost in accuracy as analyzed in the ablation study section. In addition, figure 4 shows a clear gap in NME between landmark prediction from the heatmap model and one from the graph model. It means our graph model can consistently improve the landmark prediction from the heatmap model. On the contrary, an obvious limitation of our approach is that the graph model only performs well when the initial guess from the heatmap model is good enough.

## VI. CONCLUSION

Due to its performance, heatmap prediction is currently the mainstream solution for facial landmark prediction. One of the flaws of the heatmap model is lacking a mechanism to exploit the overall structure of the human face to aid the landmark prediction when visual information is insufficient. Using Graph Neural Network (GNN) utilizing the overall human face structure to refine the landmark prediction from heatmap is a good solution in challenging cases such as pose variation, blurry image, low illumination and expression variation.

We propose a novel landmark detection model based on a graph convolutional network, which utilizes the overall landmark structure by modelling them as a graph. The graph structure varies depending on the input images for adapting to different situations. The experimental results show that our approach is competitive with some current state-of-the-art methods. The proposed method can be applied to any heatmap model to boost landmark prediction accuracy. Experiment shows that the proposed method can consistently boost the heatmap model's accuracy. The proposed method is model agnostic. Hence it can apply to any heatmap prediction model. This quality allows easy integration of the proposed graph model into an existing system.

## ACKNOWLEDGMENT

This work was supported by Basic Science Research Program through the National Research Foundation of Korea (NRF) funded by the Ministry of Education (2020R1A6A1A03038540) and by Korea Institute of Planning and Evaluation for Technology in Food, Agriculture, Forestry and Fisheries (IPEF) through Digital Breeding Transformation Technology Development Program, funded by Ministry of Agriculture, Food and Rural Affairs(MAFRA)(322063-03-1-SB010) and by the Technology development Program(RS-2022-00156456) funded by the Ministry of SMEs and Startups(MSS, Korea)

## REFERENCES

- [1] A. Bulat and G. Tzimiropoulos, "Binarized convolutional landmark localizers for human pose estimation and face alignment with limited re-

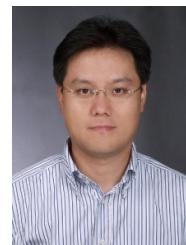
- sources,” in *Proceedings of the IEEE International Conference on Computer Vision*, 2017, pp. 3706–3714.
- [2] K.-K. Huang, D.-Q. Dai, C.-X. Ren, Y.-F. Yu, and Z.-R. Lai, “Fusing landmark-based features at kernel level for face recognition,” *Pattern Recognition*, vol. 63, pp. 406–415, 2017.
- [3] M. Asad, A. Hussain, and U. Mir, “Low complexity hybrid holistic–landmark based approach for face recognition,” *Multimedia Tools and Applications*, pp. 1–14, 2020.
- [4] A. Kumar and R. Chellappa, “Disentangling 3d pose in a dendritic cnn for unconstrained 2d face alignment,” in *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition*, 2018, pp. 430–439.
- [5] J. Deng, G. Trigeorgis, Y. Zhou, and S. Zafeiriou, “Joint multi-view face alignment in the wild,” *IEEE Transactions on Image Processing*, vol. 28, no. 7, pp. 3636–3648, 2019.
- [6] G. Trigeorgis, P. Snape, M. A. Nicolaou, E. Antonakos, and S. Zafeiriou, “Mnemonic descent method: A recurrent process applied for end-to-end face alignment,” in *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition*, 2016, pp. 4177–4187.
- [7] S. Zhu, C. Li, C. Chang, Loy, and X. Tang, “Face alignment by coarse-to-fine shape searching,” in *Proceedings of the IEEE conference on computer vision and pattern recognition*, 2015, pp. 4998–5006.
- [8] J. Wan, J. Li, Z. Lai, B. Du, and L. Zhang, “Robust face alignment by cascaded regression and de-occlusion,” *Neural Networks*, vol. 123, pp. 261–272, 2020.
- [9] P. Chandran, D. Bradley, M. Gross, and T. Beeler, “Attention-driven cropping for very high resolution facial landmark detection,” in *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, 2020, pp. 5861–5870.
- [10] Y. Liu, X. Zhang, Y. Lin, and H. Wang, “Facial expression recognition via deep action units graph network based on psychological mechanism,” *IEEE Transactions on Cognitive and Developmental Systems*, vol. 12, no. 2, pp. 311–322, 2019.
- [11] S. Qian, K. Sun, W. Wu, C. Qian, and J. Jia, “Aggregation via separation: Boosting facial landmark detector with semi-supervised style translation,” in *Proceedings of the IEEE/CVF International Conference on Computer Vision*, 2019, pp. 10 153–10 163.
- [12] W. Li, Y. Lu, K. Zheng, H. Liao, C. Lin, J. Luo, C.-T. Cheng, J. Xiao, L. Lu, C.-F. Kuo *et al.*, “Structured landmark detection via topology-adapting deep graph learning,” *arXiv preprint arXiv:2004.08190*, 2020.
- [13] C. Chen, X. Yang, R. Huang, W. Shi, S. Liu, M. Lin, Y. Huang, Y. Yang, Y. Zhang, H. Luo *et al.*, “Region proposal network with graph prior and iou-balance loss for landmark detection in 3d ultrasound,” in *2020 IEEE 17th International Symposium on Biomedical Imaging (ISBI)*. IEEE, 2020, pp. 1–5.
- [14] A. Kumar, T. K. Marks, W. Mou, Y. Wang, M. Jones, A. Cherian, T. Koike-Akino, X. Liu, and C. Feng, “Luvli face alignment: Estimating landmarks’ location, uncertainty, and visibility likelihood,” in *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, 2020, pp. 8236–8246.
- [15] N. D. Reddy, M. Vo, and S. G. Narasimhan, “Occlusion-net: 2d/3d occluded keypoint localization using graph networks,” in *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, 2019, pp. 7326–7335.
- [16] J. Bruna, W. Zaremba, A. Szlam, and Y. LeCun, “Spectral networks and locally connected networks on graphs,” *arXiv preprint arXiv:1312.6203*, 2013.
- [17] T. N. Kipf and M. Welling, “Semi-supervised classification with graph convolutional networks,” *arXiv preprint arXiv:1609.02907*, 2016.
- [18] Z. Zhang, P. Luo, C. C. Loy, and X. Tang, “Learning deep representation for face alignment with auxiliary attributes,” *IEEE transactions on pattern analysis and machine intelligence*, vol. 38, no. 5, pp. 918–930, 2015.
- [19] B. Browatzki and C. Wallraven, “3fabrec: Fast few-shot face alignment by reconstruction,” in *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, 2020, pp. 6110–6120.
- [20] Z. Liu, X. Zhu, G. Hu, H. Guo, M. Tang, Z. Lei, N. M. Robertson, and J. Wang, “Semantic alignment: Finding semantically consistent ground-truth for facial landmark detection,” in *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, 2019, pp. 3467–3476.
- [21] W. Wu, C. Qian, S. Yang, Q. Wang, Y. Cai, and Q. Zhou, “Look at boundary: A boundary-aware face alignment algorithm,” in *Proceedings of the IEEE conference on computer vision and pattern recognition*, 2018, pp. 2129–2138.
- [22] Q. T. Ngoc, S. Lee, and B. C. Song, “Facial landmark-based emotion recognition via directed graph neural network,” *Electronics*, vol. 9, no. 5, p. 764, 2020.
- [23] K. Sun, B. Xiao, D. Liu, and J. Wang, “Deep high-resolution representation learning for human pose estimation,” in *CVPR*, 2019.
- [24] H. Law and J. Deng, “CornerNet: Detecting objects as paired keypoints,” in *Proceedings of the European conference on computer vision (ECCV)*, 2018, pp. 734–750.
- [25] X. Zhou, D. Wang, and P. Krähenbühl, “Objects as points,” *arXiv preprint arXiv:1904.07850*, 2019.
- [26] T.-Y. Lin, P. Goyal, R. Girshick, K. He, and P. Dollár, “Focal loss for dense object detection,” in *Proceedings of the IEEE international conference on computer vision*, 2017, pp. 2980–2988.
- [27] C. Sagonas, G. Tzimiropoulos, S. Zafeiriou, and M. Pantic, “300 faces in-the-wild challenge: The first facial landmark localization challenge,” in *Proceedings of the IEEE International Conference on Computer Vision Workshops*, 2013, pp. 397–403.
- [28] X. Dong, Y. Yan, W. Ouyang, and Y. Yang, “Style aggregated network for facial landmark detection,” in *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition*, 2018, pp. 379–388.
- [29] J. Lv, X. Shao, J. Xing, C. Cheng, and X. Zhou, “A deep regression architecture with two-stage re-initialization for high performance facial landmark detection,” in *Proceedings of the IEEE conference on computer vision and pattern recognition*, 2017, pp. 3317–3326.
- [30] J. Wang, K. Sun, T. Cheng, B. Jiang, C. Deng, Y. Zhao, D. Liu, Y. Mu, M. Tan, X. Wang *et al.*, “Deep high-resolution representation learning for visual recognition,” *IEEE transactions on pattern analysis and machine intelligence*, 2020.
- [31] X. Wang, L. Bo, and L. Fuxin, “Adaptive wing loss for robust face alignment via heatmap regression,” in *Proceedings of the IEEE/CVF International Conference on Computer Vision*, 2019, pp. 6971–6981.
- [32] Z. Xu, B. Li, M. Geng, and Y. Yuan, “Anchorface: An anchor-based facial landmark detector across large poses,” *AAAI*, 2021.
- [33] X. Zhen, M. Yu, Z. Xiao, L. Zhang, and L. Shao, “Heterogenous output regression network for direct face alignment,” *Pattern Recognition*, vol. 105, p. 107311, 2020. [Online]. Available: <https://www.sciencedirect.com/science/article/pii/S0031320320301151>
- [34] J. Ma, J. Li, B. Du, J. Wu, J. Wan, and Y. Xiao, “Robust face alignment by dual-attentional spatial-aware capsule networks,” *Pattern Recognition*, vol. 122, p. 108297, 2022. [Online]. Available: <https://www.sciencedirect.com/science/article/pii/S0031320321004775>
- [35] Z.-H. Feng, J. Kittler, M. Awais, P. Huber, and X.-J. Wu, “Wing loss for robust facial landmark localisation with convolutional neural networks,” in *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition*, 2018, pp. 2235–2245.



NGUYEN LE QUAN received the B.S. degree in Food Engineering in 2015 from the Hanoi University of Science and Technology. He is currently pursuing PhD degree in Computer Science from Sejong University, Seoul, South Korea. He joined Computer Vision Pattern Recognition Laboratory (CVPR Lab) at the beginning of 2020. His current research interests include computer vision, graph neural network and video understanding.



**PHAM VAN DUNG** received the B.S. degree in Finance in 2015 from the HCM Banking University. He is currently member of Viet Nam Deep Learning and Application . His current research interests include computer vision, graph neural network and image processing.



**HYEONJOON MOON** received the B.S. degree in Electronics and Computer Engineering from Korea University in 1990. He received the M.S. and the Ph.D. degrees from Electrical and Computer Engineering at State University of New York at Buffalo in 1992 and 1999, respectively. From January 1996 to October 1999, he was senior research in Electro-Optics/Infrared Image Processing Branch at U.S. Army Research Laboratory (ARL) in Adelphi, MD. He developed a face recognition system evaluation methodology based on the Face Recognition Technology (FERET) program. From November 1999 to February 2003, he was a principal research scientist at Viisage Technology in Littleton, MA. His main interest is on research and development is on real-time facial recognition system for access control, surveillance, and big database applications. He has extensive background on still image and real-time video-based computer vision and pattern recognition. Since March 2004, he has joined the Department of Computer Science and Engineering at Sejong University, where he is currently a professor and chairman. His current research interests include image processing, biometrics, artificial intelligence and machine learning.

• • •



**YANFEN LI** received the B.S. degree in Software engineering in 2018 from the LinYi University. She is currently pursuing PhD degree in Computer Science from Sejong University, Seoul, South Korea. She joined Computer Vision Pattern Recognition Laboratory (CVPR Lab) at the beginning of 2018. Her current research interests include computer vision, deep learning, image processing and video coding.



**HANXIANG WANG** received the B.S. degree in Software engineering in 2018 from the LinYi University. He is currently pursuing PhD degree in Computer Science from Sejong University, Seoul, South Korea. He joined Computer Vision Pattern Recognition Laboratory (CVPR Lab) at the beginning of 2018. His current research interests include computer vision, video coding and artificial intelligence.



**DANG LIEN MINH** received the B.S. degree in information systems from the University of Information Technology, VNU HCMC, Vietnam, in 2016. He is currently pursuing the Ph.D. degree in computer science with Sejong University, Seoul, South Korea. In 2017, he joined the Computer Vision Pattern Recognition Laboratory. His current research interests include computer vision, natural language processing, and artificial intelligence



**HYOUNG-KYU SONG** received the B.S., M.S., and Ph.D. degrees in electronic engineering from Yonsei University, Seoul, South Korea, in 1990, 1992, and 1996, respectively. From 1996 to 2000, he had been a Managerial Engineer with the Korea Electronics Technology Institute (KETI), South Korea. Since 2000, he has been a Professor with the Department of Information and Communication Engineering, and Convergence Engineering for Intelligent Drone, Sejong University, Seoul. His research interests include digital and data communications, information theory, and their applications with an emphasis on mobile communications