# **Big Data for LITTLE Cores**

# Combining Learning and Control for Mobile Energy Efficiency

# **Anonymous Submission**

## **Abstract**

Mobile systems must deliver performance to interactive applications while simultaneously conserving resources to extend battery life. There are two central challenges to meeting these conflicting goals: (1) the complicated optimization spaces arising from hardware heterogeneity and (2) dynamic changes in application behavior and resource availability. Machine learning techniques handle complicated optimization spaces, but do not incorporate models of system dynamics; control theory provides formal guarantees of dynamic behavior, but struggles with non-linear system models. In this paper, we propose CALOREE, a combination of learning and control techniques to meet performance requirements on heterogeneous devices in unpredictable environments. CALOREE combines a hierarchical Bayesian model (HBM) with a lightweight control system (LCS). The HBM runs remotely, learning customized performance/power models. The LCS runs on the mobile system and tunes resource usage to meet performance goals. The Performance Hash Table (PHT) is the interface between the two and allows the LCS to apply the learned models in constant time. We test CALOREE's ability to manage ARM big.LITTLE systems. Compared to existing learning and control methods, CALOREE delivers more reliable performance - only 2% error compared to 4.5-5.4% for learning and 4.7% for control – and lower energy – within 7% of optimal on average as compared to 25-52% for learning and 26% for control. Furthermore, we demonstrate CALOREE's ability to meet performance and energy goals in dynamic systems with phase changes and multiple applications running on the same system.

### 1. Introduction

Mobile systems have clear requirements for user satisfaction: they must meet performance goals necessary for interacting with sensors and human users while simultaneously conserving energy to maximize battery life. To address these conflicting requirements, mobile processors have become increasingly diverse, exposing heterogeneous resources that must be managed by software. Meeting performance requirements is further complicated by the dynamic nature of these systems: application resource demands can vary widely as a function of input, application phase, and multiple applications may compete for resources.

Meeting performance requirements with minimal energy on mobile systems poses two challenges: *complexity* 

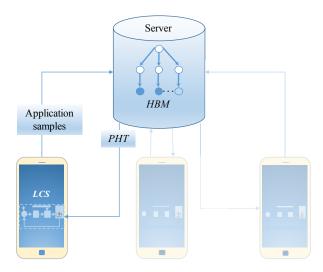


Figure 1: CALOREE overview: Mobile devices communicate with a server running a hierarchical Bayesian model (HBM) to learn performance/power tradeoffs. The learned model is stored in a Performance Hash Table (PHT) and sent to a lightweight control system (LCS), which tunes the device's resource usage to meet performance goals with minimal energy.

and *dynamics*. Machine learning approaches can handle the complex performance/power tradeoff spaces that arise on heterogeneous mobile systems [4, 9, 19, 30, 34, 35, 40, 51], but they have no established mechanisms for reacting to environmental fluctuations. Control theoretic techniques explicitly address system and resource dynamics [6, 14, 16, 18, 25, 44, 49], but rely on linear models that do not capture the diversity of modern hardware. Independently, neither control nor learning meet the challenges of modern mobile resource management.

We therefore propose CALOREE<sup>1</sup>, a combination of learning and control consisting of the three components shown in Figure 1: (1) a hierarchical Bayesian model (HBM) that learns application-specific relationships between performance/power and resource usage, (2) a lightweight control system (LCS) that dynamically tunes resource usage to meet performance requirements with minimal energy, and (3) a performance hash table (PHT), which is the interface between learning and control.

CALOREE's design has two unique features: *Remote Learning* and *Fast Control*. First, sophisticated learning

<sup>&</sup>lt;sup>1</sup>Control And Learning for Optimal Resource Energy Efficiency

techniques produce accurate models of complicated systems but they are computationally expensive. CALOREE mitigates this expense by running the learner on a remote server. This approach not only addresses overhead, it allows the learner to aggregate data from multiple devices, improving learning accuracy. Second, the controller must not significantly impact performance or energy on the mobile device. Yet, the controller must solve a constrained optimization problem to meet the performance goal with minimal energy. To overcome this difficulty, CALOREE's remote learner constructs a PHT for each application. While building the PHT is expensive, it allows the LCS to solve the constrained optimization problem in constant (O(1)) time.

We run HBM on an x86 server and the LCS on ARM big.LITTLE devices and evaluate across 20 parallel benchmarks that exhibit a variety of power nd performance trade-off behaviors. We find that CALOREE delivers:

- **Reliable Performance:** CALOREE achieves an average error of 2%, compared to 4.5-5.4% for existing learning methods and 4.7% for existing control approaches.
- Lower Average Energy: CALOREE achieves an average energy consumption of only 7% over optimal, compared to 25-52% for existing learning methods and 26% for existing control approaches.
- Better Worst Case Behavior: CALOREE's worst observed error across all applications and targets is 9%, compared to 19-73% for prior learning methods and 25% for existing control methods. The worst observed energy for CALOREE is 1.82× greater than optimal, while it is 4-12× greater for learning and 2.9 × greater for control.
- Adaptability to Dynamics: We test CALOREE's ability to deal with changing environments by introducing resource contention with other processes. In a dynamically fluctuating environment, CALOREE's worst performance error is 30%, as compared to 71-84% for prior learning approaches and 35% for prior control approaches.

In summary, this paper makes the following contributions:

- Proposes CALOREE, a combination of a hierarchical Bayesian model with a lightweight control system, which addresses the twin challenges of *complex*ity and *dynamics* to meet application performance goals with minimal energy on heterogeneous mobile systems.
- An interface for combining learned models of discrete resource usage with continuous control models
  of resource dynamics that allows the lightweight
  controller to run in constant time.
- Demonstrates that CALOREE achieves both smaller error and higher energy savings compared to inde-

pendent learning and control techniques.

# 2. Motivational Example

We present two simple examples to illustrate the complementary strengths and weaknesses of learning and control. We use mobile development boards featuring Samsung's Exynos 5 Octa with an ARM big.LITTLE architecture that has four energy-efficient LITTLE cores and four high-performance big cores. Each cluster can be set to different frequencies, leading to a large configuration space for assigning resources to multi-threaded applications.

Figures 2a and 2c show how performance varies as a function of both resource usage and application. The figures show cores on the x-axis and frequency on the y-axis, with darker colors representing higher performance. The presence of local minima and maxima mean that the function from resource usage to performance is non-convex. Therefore, simple gradient ascent/descent methods are not suitable to navigating these configuration spaces. Additionally, lavamd has a significantly more complicated configuration space than kmeans.

We consider prior *learning* and *control* approaches. LEO, a hierarchical Bayesian learner, estimates application performance as a function of its resource usage [30]. POET, a control system, adjusts resource usage to meet application performance requirements with minimal energy [18]. This section develops intuition about when one approach performs better than the other, motivating our proposal to combine the two.

# 2.1. Learning Complexity

Many machine learning approaches have been proposed to estimate application performance in a variety of scenarios [22–24, 34, 40, 42, 51]. Machine learning is well suited to building models of complicated systems like those shown in Figures 2a and 2c.

To demonstrate how well learning manages complexity, we consider meeting a performance requirement for lavamd, which has a complicated configuration space. We launch the application and use either *learning* or *control* to meet a performance requirement with minimal energy. The *learning* approach estimates all configurations' performance and power and then uses the lowest power configuration that delivers the required performance. The *control* approach has a generic model of power/performance frontiers (similar to kmeans) and it constantly measures performance and adjusts resource usage according to this generic model.

Figure 2b shows the results of controlling 30 iterations of lavamd to meet the performance requirement. The x-axis shows iteration number and the y-axis shows normalized performance. The learning approach achieves the goal, but the controller oscillates wildly around it, sometimes not achieving the goal and sometimes delivering

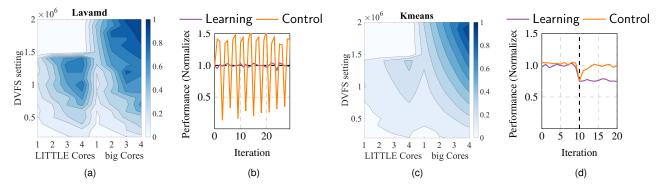


Figure 2: (a) Performance for lavamd as a function of configuration. (b) Managing lavamd's performance: Learning navigates the complicated configuration space, but control's simple model leads to oscillation. (c) Performance for kmeans as a function of configuration. (d) Managing kmeans' performance when another application starts: Control detects the change and adapts, but learning has no mechanism to handle these dynamics.

performance that is too high (and wastes energy). The oscillations occur because the controller adjusts resources based on an incorrect (over-simplified) model of the configuration space. Hence, the *learner*'s ability to handle complex models is crucial for reliable performance in this example.

## 2.2. Controlling Dynamics

We now consider a dynamic environment. We begin with kmeans as the only application running on the system. Halfway through its execution, we launch a second app on a big core, dynamically altering resource availability.

Figure 2d shows the results of this experiment. The vertical dashed line represents when the second application begins. The figure clearly shows the benefits of a control system in this dynamic scenario. After a small dip in performance, the controller returns it back to the desired level. The learning system however, does not have any inherent mechanism to measure the change or adapt to the altered performance. While we could theoretically relearn the configuration space whenever the environment changes, doing so is impractical.

Control systems are a light-weight mechanism for managing such dynamics [14]. Control systems are resilient to scale change in the system performance or power. Many dynamic changes reduce all configurations' performance almost uniformly, changing the magnitude of performance without altering the relative difference between configurations. For this reason, control systems have proven especially useful in webservers with fluctuating request rates [17, 27, 43] and multimedia applications with dynamically varying inputs [25, 28, 44].

# 3. Combining Learning and Control

CALOREE combines learning with control to tackle both complexity and dynamics. Figure 1 shows a detailed overview of CALOREE. A mobile system runs an ap-

plication and some small number of measurements are taken and sent to a server. The server uses a hierarchical Bayesian model to combine these measurements with ones taken on other devices and with measurements of other applications. Using this large volume of data, the HBM produces an application-specific model of performance and power for all resource configurations. The model is sent to a lightweight control system (LCS) that manages the device, adjusting resource usage to meet application performance requirements with minimal energy. The learned models are stored a performance hash table (PHT), which is the interface between the remote HBM and the LCS that manages the device. The expensive process of constructing the PHT is done by the server. Once built, the PHT allows the LCS to apply the learned models in constant (O(1)) time. This section provides a brief overview of the relevant learning and control techniques used in CALOREE, and then describes the interface that combines them.

### 3.1. The Hierarchical Bayesian Model

Machine learning models are predictive in nature. Given some observations of a system, they create a model to predict future behavior in unobserved settings. CALOREE uses a hierarchical Bayesian model (HBM), based on LEO [30], to turn observations of applications' performance and power given some resource allocation into predictions of the performance and power of other, unobserved resource allocations. The HBM provides a statistically sound framework for learning across applications and devices.

The HBM is non-parametric in terms of resource configurations. Instead of modeling a configuration's performance/power as a function of the exact number of cores or clockspeed, it captures correlations between configurations. Hence, the HBM is well suited to learning complicated configuration spaces, like those in Figures 2a

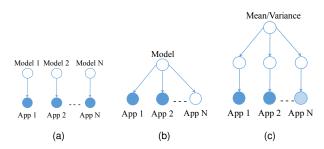


Figure 3: Comparison of online, offline, and hierarchical Bayesian models. Arrows represent dependences, circles are random variables, white circles are hidden and must be learned, solid circles are fully observed data, and shaded circles are partially observed.

and 2c. The non-smoothness and application-specificity of these configuration spaces means that parametric models – based on clockspeed/cores – will not produce accurate predictions. In contrast, the HBM requires fewer assumptions about the relationship between configurations and performance/power and is thus more robust for this modeling problem [30].

Figures 3a–3c compare CALOREE to *online* and *offline* learning models. The online model only uses observations of the current application and it must be parametric in the configuration space, since it has no other information. The online model is thus highly dependent on the model parameterization; *e.g.*, if the model is specified to be linear in frequency, but an application is memory-bound, the online model will over-allocate resources. The offline model only uses information from previously observed applications and lacks knowledge of the current application. This general model will capture trends - *e.g.*, when most applications should transition from LITTLE to big cores – but it will miss key inflection points for applications that deviate from the general trend.

The HBM is a combination of the *online* and *offline*) approaches, incorporating both (1) observations of the current application and (2) observations of other applications to learn correlations between different configurations. The HBM's correlation matrix captures these relations and scales with the number of configurations, making the model non-parametric. In practice, such a non-parametric model is much more flexible; e.g., it can learn a linear relationship between frequency and performance for a compute-bound application and that there is no relationship for a memory-bound one. Unlike the pure online approach, in the HBM all applications' models are conditionally dependent on a hidden mean and covariance matrix. Rather than over-generalizing (like the offline model) or over-specifying (like the online model) the HBM implicitly uses a pool of similar applications to produce new models. Additionally, the HBM's accuracy increases as more applications are observed because more

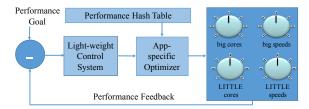


Figure 4: Light-weight control system (LCS)

behaviors are represented in the pool of prior knowledge. Of course, the HBM's computational cost – which is linear in the number of applications – also increases with increasing applications, but this is why we offload the learning to a remote server.

# 3.2. The Lightweight Control System

Control theory provides a discipline for tuning system parameters to ensure operating goals are met in a dynamic environment. We use a controller to adjust system resource usage to see that the performance goals (corresponding to a quality-of-service or real-time constraint) are met over time. The difficulty is that classical control formulations integrate the application-dependent relationship between performance and the controlled resource directly in the control formulation. This makes the control models too specific to a particular class of applications. Thus, we face the problem of implementing a general control system that is applicable to a number of applications, and where the models relating resources to performance are not known ahead of time, but are provided by the HBM at runtime.

CALOREE addresses this problem using the classic computer science approach of adding a layer of indirection, as illustrated in Figure ??. Instead of directly controlling resources using an application-dependent model, CALOREE controls *speedup* and a separate module uses the learned models to optimize energy while respecting this speedup constraint. Similar models have been used to build generalized controllers where users are responsible for supplying the models [18, 49]. Our goal is to eliminate this user burden and have a remote HBM supply the model.

**3.2.1. Controlling Speedup** We write a simple difference model relating speedup to performance:

$$perf(t) = m \cdot speedup(t-1) + \delta$$
 (1)

where *m* is the *max speed* of the application, here defined as the speed when all resources are available. While *m* is application specific, it is easy to measure online, by simply allocating all resources. Such a configuration should not violate any performance constraints (although it is unlikely to be energy efficient) so it is safe to take this measurement without risk of violating performance constraints.

With this model, the control law is simply:

$$error(t) = goal - perf(t)$$
 (2)

$$error(t) = goal - perf(t)$$
 (2)  
 $speedup(t) = speedup(t-1) - \frac{error(t)}{m}$  (3)

which states that the speedup to apply at time t is a function of the previous speedup, the error at time t and the max speed m. This is a very simple *deadbeat* controller that provides all the standard control theoretic formal guarantees [14]. Using the above definition of max speed, most speedups will be less than one. In addition to making max speed easier to measure, this definition bounds the HBM's output, making for more robust learning.

**3.2.2. Optimizing Speedup** CALOREE must turn the speedup produced by Eqn. 3 into a resource allocation. The primary challenge here is that the HBM produces a non-linear function mapping the discrete system resource allocations into speedup and powerup, while Eqn. 3 is a continuous linear function. CALOREE bridges this divide by assigning time to resource allocations such that the average speedup over a control interval is that produced by Eqn. 3.

We call an assignment of time to resources a schedule. We call a combination of settings for each resource a configuration. For example, using two big cores at 1.5 GHzand putting all the little cores to sleep is one configuration. There are typically many schedules that meet a required speedup. To extend battery life, CALOREE finds a minimal energy schedule. Given a time interval T, a workload W to complete in that interval, and a set of C configurations, we formalize this problem as:

$$\underset{\tau}{\operatorname{arg\,min}} \qquad \sum_{c=0}^{C-1} \tau_c \cdot p_c \tag{4}$$

$$\underset{\tau}{\operatorname{arg\,min}} \qquad \sum_{c=0}^{C-1} \tau_c \cdot p_c \tag{4}$$

$$\underset{\tau}{\operatorname{such that}} \qquad \sum_{c=0}^{C-1} \tau_c \cdot s_c \cdot b = W \tag{5}$$

$$\sum_{c=0}^{C-1} \tau_c = T \tag{6}$$

$$0 < \tau_c < \tau, \quad \forall c \in \{0, \dots, C-1\}$$
 (7)

where  $p_c$  and  $s_c$  are the estimated powerup and speedup of configuration c and  $\tau_c$  is the amount of time to spend in configuration c. Eqn. 4 simply states that the objective is to minimize energy (power times time). Eqn. 5 states that the work must be done, while Eqn. 6 requires the work to be done on time. Eqn. 7 simply avoids negative time.

# 3.3. The Performance Hash Table

While most linear programming problems would be inefficient to solve repeatedly on a mobile device, the one in Eqns. 4–7 has a constant time, O(1), solution. Kim et al. analyzed solutions to the problem of minimizing energy while meeting a performance constraint [20]. They

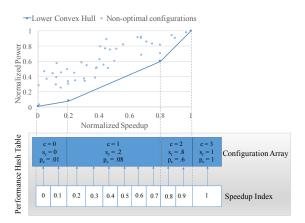


Figure 5: The Performance Hash Table and its relationship to the power/performance tradeoff space. The HBM encodes the configuration as the lower convex hull of points in the performance/power tradeoff space and stores those in a table indexed by speedup.

observed that there must be an optimal solution with the following properties:

- At most two of  $\tau_c$  are non-zero, meaning that at most two resource configurations will be used in any time interval. This property both drastically limits the search space and puts a limit on the overhead of switching configurations, since it is never profitable to switch more than twice in an interval.
- If one plots the configurations in the power and performance tradeoff space (so that performance is on the x-axis and power is on the y-axis) the two configurations with non-zero  $\tau_c$  lie on the lower convex hull of that space.

We use these two facts to construct a constant time algorithm for finding the optimal solution to Eqns. 4-7 online. The intuition behind our solution is illustrated in the upper half of Figure 5. This figure shows a hypothetical example of the power and performance tradeoffs the HBM might learn for some application and device. Each point represents a configuration and each configuration is charted with normalized performance on the x-axis and normalized power on the y-axis. For any feasible performance requirement, there is a minimal energy schedule that uses no more than two of the configurations on the lower convex hull, as any points that lie above the lower convex hull require more power for equivalent performance [20]. Therefore, the HBM estimates the power and performance of all configurations, then finds the lower convex hull, and sends that to the LCS. This lower convex hull is the interface between the HBM and the LCS, and the key enabler of CALOREE's combination of learning and control.

The HBM stores the lower convex hull in a performance hash table (PHT). The PHT and its relationship to

the lower convex hull is illustrated in Figure 5. It consists of two arrays, the first is an array of pointers into the second array, which stores the configurations on the lower convex hull sorted by speedup. Recall that speedups are computed relative to the maximum speed. We therefore know the largest speedup is 1, so we need only concern ourselves with speedups less than 1. The first table of pointers has a *resolution* indicating how many decimal points of precision it captures. The example in Figure 5 has a resolution of 0.1. Each pointer in the bottom table points to the configuration in the second array that has the largest speedup less than or equal to the index.

To use the table, the optimizer receives a speedup s(t) from the controller. It needs to convert this into two configurations referred to as hi and lo. To find the hi configuration, the optimizer clamps the desired speedup to the largest index lower than s(t) and then walks forward until it finds the first configuration with a speedup higher than s(t). To find the lo configuration, the optimizer clamps the desired speedup to the smallest index higher than s(t) and then walks backwards until it finds the configuration with the largest speedup less than s(t).

Finally, the optimizer sets  $\tau_{hi}$  and  $\tau_{lo}$  by solving the following set of equations:

$$\tau = \tau_{hi} + \tau_{lo} \tag{8}$$

$$s(t) = s_{hi} \cdot \tau_{hi} + s_{lo} \cdot \tau_{lo} \tag{9}$$

In these equations, s(t) is the speedup requested by the optimizer and  $s_c$  are speedups estimated by the learner.

By solving Eqns. 8 and 9, the optimizer has turned the controller's requested speedup into a resource allocation schedule using the models provided by the HBM. Provided that the resolution is large enough to get a good spread of configurations to indices, the optimizer will index the configuration one entry (on average) from where it needs to be. Thus, the entire optimization process runs in constant time – assuming that the learner is responsible for building the PHT once before passing it on to the optimizer. This efficiency comes at a cost of memory usage, as many of the entries in the speedup index table will point to redundant locations in the configuration array. This tradeoff is reasonable in practice as the code that runs on the mobile device must be fast or we risk wasting energy while trying to save energy. In practice, we recommend a table of size 100 which provides a sufficient resolution and is not too wasteful of space.

# 4. Experimental Setup

# 4.1. Platform and Benchmarks

We perform our experiments on four ODROID-XU3 devices which are small mobile devices running Ubuntu 14.04. The ODROID boards have Samsung Exynos 5 Octa processors based on the ARM big.LITTLE archi-

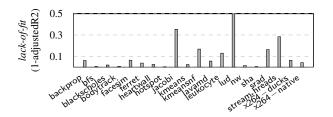


Figure 6: Lack-of-fit for performance vs clock-speed for a fixed number of cores. Lower value for lack-of-fit indicates a more compute-bound application whereas a higher value indicates a memory bound application.

tecture. Each processor has 19 speed settings for its 4 big cores and 13 for 4 LITTLE cores. Each board has an on-board power meter updated at 1/4 msintervals. Each resource configuration (combination of big/LITTLE cores and clock-speeds) has a different performance and power, which is, itself, application-dependent.

We use 20 different benchmarks from different suites including PARSEC [3], Minebench [32], Rodinia [5], and STREAM [29]. Our benchmarks include compute-bound, memory-bound, and hybrid workloads as shown in Figure 6. That figure reports the *lack-of-fit* or the lack of correlation between frequency and performance. Applications with high lack-of-fit do not improve performance with increasing frequency, typical of memory bound applications, while applications with low lack-of-fit do see increasing performance with increasing clock-speed [31]. Applications with intermediate lack-of-fit tend to improve with increasing clock speed up to a point and then see no further improvement. Each application has been instrumented to report its performance as heartbeats/second an application-specific performance metric [18].

#### 4.2. Evaluation Metrics

A performance target can be thought of as a quality of service expectation for the given application. We run our applications from 10% to 90% performance targets and observe how CALOREE performs under each constraint. We quantify the ability to meet performance targets using the standard metric *mean absolute percentage error* (MAPE). Suppose the application wants to emit n heartbeats while maintaining a speed of  $S_r$ , then MAPE is calculated as:

$$MAPE = 100\% \cdot \frac{1}{n} \sum_{i=1}^{n} max \left( \frac{S_r - s_m(i)}{S_r}, 0 \right)$$
 (10)

where  $S_r$  is the performance target and  $s_m(i)$  is the performance observed for ith heartbeat.

We run every application in every resource configuration and record performance and power for every heartbeat. By post-processing this data we can determine the optimal configuration for each heartbeat and each performance target. To produce an energy metric that we can compare across applications, we normalize energy as:

$$normalized\ energy = 100\%.(e_m/e_{optimal} - 1)$$
 (11)

where  $e_m$  is the measured energy and  $e_{optimal}$  is the optimal energy produced by our oracle. We subtract 1, so that this metric shows the proportion of energy consumed over optimal.

# 4.3. Points of Comparison

We compare CALOREE's combination of HBM with control to baselines constructed with a combination of different learning models and a state-of-art controller based on the *Pace-to-idle (P2I)* heuristic [20]. Pace-to-idle is proven never to be worse than race-to-idle and often provides as much as  $2\times$  energy savings, but requires application-specific knowledge to implement. Pace-to-idle completes jobs in the most energy-efficient configuration and then transitions to a low-power sleep state until the next job is ready. As the energy-efficiency of a configuration is application-dependent, it is natural to combine pace-to-idle with machine learning approaches that can estimate the energy efficiency for different applications. In the next section, we will compare CALOREE's delivered performance and energy savings with:

- 1. *Online-P2I* This strategy observes the application at small number of configurations then performs polynomial multivariate regression for the performance and power of unobserved configurations.
- 2. Offline-P2I This is our zero-sample policy, used when we do not observe any values for the current application. This method takes the mean over all known applications and uses that to estimate power and performance for new applications. This strategy only uses prior information and does not update the model based on runtime observations.
- 3. *LEO-P2I* We use the *LEO* learning model in combination with *Pace-to-idle (P2I)* heuristic.
- 4. POET A state-of-the-art, open source control system designed to meet application performance with minimal energy [18]. POET requires users to specify a model of resource performance and power consumption. We use POET with the model produced by the Offline learner.

### 5. Experimental Evaluation

We evaluate CALOREE's ability to deliver requested performance with near-optimal energy. We first examine a single-application scenario, where each application is run by itself. We then consider a multi-application scenario where each application is run and then, halfway through, a second random application is launched, testing the ability to deliver performance in a changing environment. We then examine other dynamic scenarios where application inputs or behavior changes during application execution.

#### 5.1. Performance and Energy for Single App

To test the ability to deliver performance and minimize energy, we set a range of targets from 10-90% of the maximum achievable performance on our system. The extreme targets are generally easy to hit. The most interesting performance targets are around 30%-70%, where there are not obvious choices for configuration settings. These intermediate targets require a blend of big and LITTLE cores and getting that blend to both meet the performance and reduce energy is dependent on accurate models of performance and power.

The results for these single-application tests are shown in Figures 7 and 8. The benchmarks are shown on the x-axis; the y-axes show MAPE and the normalized energy, respectively. We find that CALOREE has lower MAPE and energy consumption than the baseline algorithms. Across all applications and targets, the online model produces an average error of 5.4%, the offline: 4.5%, LEO: 4.0%, POET: 4.7%, and CALOREE: 2.0%. These results show that CALOREE reduces error by a factor of two compared to prior approaches.

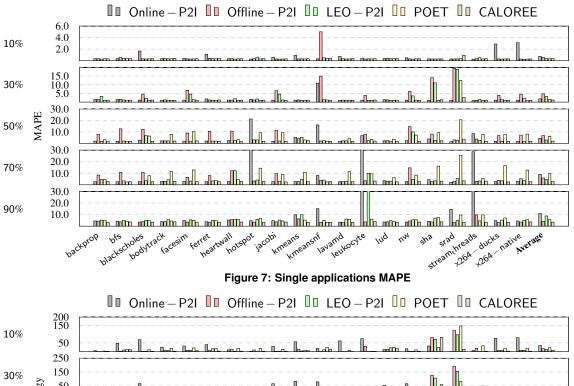
The energy consumption results are even more impressive. The online model requires an average of 52% more energy than optimal, offline: 25%, LEO: 31%, POET: 26%, and CALOREE: 7%. Thus the combination of learning and control provides a dramatic reduction in energy consumption compared to even state-of-the-art learning or control approaches. The reason is that the combination is complementary: LEO produces accurate models while control both can correct small errors in those models and adapt to dynamic changes in behavior.

# 5.2. Performance and Energy for Multiple Apps

In this experiment, we test the ability to deliver performance in an environment where applications compete for resources. We launch each of our benchmarks with a performance target (using the same targets as the prior study). Halfway through execution, we start another application randomly drawn from our benchmark set. We bind this application to one big core. Launching this second application clearly changes performance and power consumption. Delivering performance to the original application in this dynamic scenario tests the ability to react to environmental changes.

The results for the multi-application tests are shown in Figures 9 and 10. The benchmarks are shown on the x-axis; the y-axes show MAPE and the normalized energy, respectively. We note that the 90% target is generally not reachable in this scenario as it would require the controlled application to have exclusive use of all big cores.

CALOREE again has lower MAPE than the baseline algorithms. Across all applications and targets, the online model produces an average error of 38.5%, the offline:

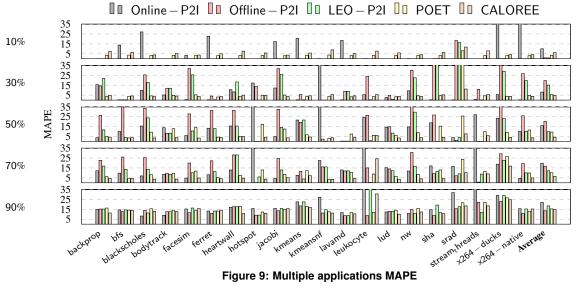


normalized energy 50 250 150 50% 50 300 200 70% 100 300 200 90% 100 stream.hreads min ducks 264 native Figure 8: Single applications energy

15.5%, LEO: 19.7%, POET: 21%, and CALOREE: 18%. CALOREE is also more energy efficient than the baseline algorithms. Across all applications and targets, the average normalized energy for online model is 22%, the offline: 13.5%, LEO: 18%, POET: 15%, and CALOREE: 14%. But, the main benefits of CALOREE can be seen in terms of worst case scenarios where CALOREE is significantly better than the baselines. The online approach has a highest MAPE of 84%, for offline: 72%, for LEO: 84%, for POET: 37% and for CALOREE: 30%. CALOREE is also more energy efficient in the worst case scenario; the worst case energy consumption for online approach is 863%, for Offline: 307%, LEO: 747%, POET: 158.7% and CALOREE: 110%

POET and CALOREE both produce better outcomes in the dynamic scenario because they are specifically designed to handle system dynamics. CALOREE, however, does significantly better in the worst case than POET because the HBM produces more robust models. The energy consumption results are not as meaningful for this experiment, but they are included for completeness. Because there is another application running that is not under control, it consumes resources and energy that drags down energy efficiency for the control approaches. The learning approaches are not affected the same way. Because they do not re-allocate resources, the learning approaches actually save energy, but do so by missing the performance target.

To demonstrate CALOREE in this dynamic environment we look at the specific example of bodytrack with a 70% target. The time series data for bodytrack is shown in Figure 11. The figures show time (measured in frames) on the x-axis and performance/power on the y-axes. Performance is normalized to the target. There is a curve for



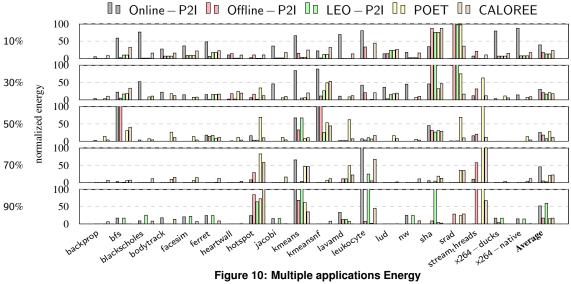
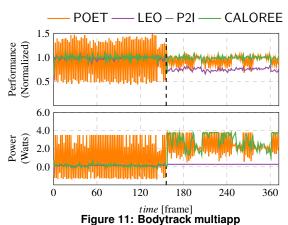
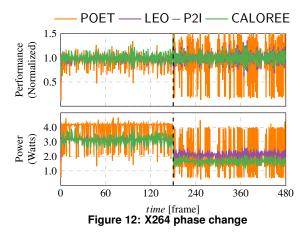


Figure 10: Multiple applications Energy



each of LEO, POET, and CALOREE.

This small example clearly illustrates the benefits of CALOREE compared to prior approaches that use only control or learning. There are two key regions in the figures, the times before the second application starts (on the left of the vertical dashed line) and the times after (on the right). Before the second application starts (at the dashed line), both LEO and CALOREE do a good job of tracking the target and keeping energy low. In contrast, POET produces oscillating performance (and thus power) because it has a bad model of LITTLE core performance causing it to use the LITTLE cores too much and then too little. This oscillation also results in unnecessary power consumption. After the second application starts, POET and CALOREE recognize the performance has changed and adjust resource usage. CALOREE does a slightly better job of tracking the change, producing fewer oscillations. LEO cannot adjust to the change as it computes the optimal configuration once at the beginning of the application. Overall, LEO produces a MAPE of



13%, POET's is 9%, and CALOREE's is 4%. CALOREE produces better results than LEO because it reacts to the change; it produces better results than POET because it has captured the complex application-specific behavior on this system.

**5.2.1. Phase Change** In this experiment we demonstrate that CALOREE allows applications to operate well by changing resource allotment when the input varies with time. In Figure 12 we see x264, a video encoder application with 2 different phases, where the phase change occurs at the 180<sup>th</sup> frame. The first scene is difficult and the second one becomes significantly easier. In the first phase, CALOREE and the baseline LEO-P2I seem to have lower MAPE as compared to POET. At the same time, these two algorithms seem to consume less energy than POET indicating that POET is operating at a configuration not on the Pareto frontier of power and performance. When we change phase, CALOREE and LEO-P2I both algorithms are still able to meet the performance target with far less fluctuations as compared to POET. But, CALOREE provides better energy savings as compared to LEO-P2I.

# 5.3. Sensitivity to the Measured Samples

We examine CALOREE's sensitivity to sample size. We vary the number of samples taken online and show how it affects the accuracy of the learned models. We note that this is simply the HBM's accuracy in producing the model used by the controller. This number is significant, because we do not want to take a large number of samples of new applications, if we can avoid it.

Figure 13 shows the results and compares CALOREE's accuracy to the online approach for learning both performance (top) and power (bottom). The figure shows sample size on the x-axis and accuracy on the y-axis. CALOREE's HBM initially performs as well as the Offline approach and as sample size increases, the accuracy uniformly improves and reaches greater than 90% with around 20 samples. On the other hand, the online approach needs at least 7 samples so that the design matrix for the polynomial regression has more samples than vari-

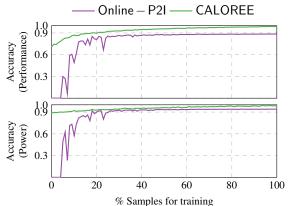


Figure 13: Estimation accuracy versus sample size.

ables. As we get more samples the accuracy for the online model improves but still does not meet CALOREE's accuracy for the same number of samples.

### 5.4. Overhead

The main overhead of CALOREE is due to sampling where the applications need to run through a few configurations before CALOREE can reliably estimate the entire power and performance frontier. We argue that the sampling cost can be distributed across devices by asking each of them to contribute samples for estimation. Once the sampling phase is over, the HBM is quite fast and can generate an estimate as fast as 500 ms which is significantly smaller than the time required for sampling the applications.

The LCS requires only a few floating point operations to execute, plus the table lookups in the PHT. To evaluate the LCS' overhead, we time 1000 iteration of the controller. We find that it is under 2 microseconds, which is significantly faster than we can change any resource allocation on our system. We conclude that the LCS has negligible impact on performance and energy consumption of the controlled device.

#### 6. Related Work

We discuss related work in managing resources to meet performance goals and reduce energy.

### 6.1. Machine Learning

There are a huge array of different learning techniques that are applicable to different problems. As discussed in Section 3.1 we break learning for resource management into 3 categories: offline, online, and hybrid approaches.

6.1.1. Offline Learning The offline approaches build models before deployment and then use those fixed models to allocate resources [2, 7, 22, 24, 48]. In these approaches, the model-building phase is generally very expensive, requiring both a large number of samples and substantial computation to turn those samples into ac-

curate predictive models. Applying the model online, however, tends to be low overhead. The main drawback is that the models are not updated as the system runs which is a problem for adapting workloads. A good example of an offline approach applies learning to render web pages on mobile systems with low energy [51]. It builds an offline model mapping web page features into estimations of performance for different core types. When a new page is downloaded, the system quickly estimates the resource need to render the web page and uses the lowest energy resources that will still maintain user satisfaction. The mapping of web pages to resource use is very complicated and this approach deals with that complication. It does not, however, address system dynamics; *e.g.*, when other apps are running concurrently with the web browser.

**6.1.2.** Online Learning Online techniques use observations of the current application to tune system resource usage for that application [1, 23, 26, 34, 35, 42]. For example, Flicker is a configurable architecture and optimization framework that uses only online models to maximize performance under a power limitation [34]. Another example, ParallelismDial, uses online adaptation to tailor parallelism to application workload [42].

**6.1.3. Hybrid Approaches** Some approaches combine offline predictive models with online adaptation [8, 9, 39, 40, 45, 47, 50]. For example, Dubach et al. propose such a combo for optimizing the microarchitecture of a single core [9]. Such predictive models have also been employed at the operating systems level to manage system energy consumption [39, 40]. [47].

Many other approaches combine offline modeling with online updates [4, 15, 19]. Bitirgen et al use an artificial neural network to allocate resources to multiple applications in a multicore [4]. The neural network is trained offline and then adapted online using measured feedback. This approach optimizes performance but does not consider power or energy minimization.

#### 6.2. Control

Almost all control solutions can be thought of as a combination of offline model building with online adaptation. Usually the model building involves substantial empirical measurement and modeling to build a model that is then used to synthesize a control system [6, 16, 18, 25, 28, 37, 38, 41, 46, 49]. The combination of offline learning and control works well over a narrow range of applications, as the offline models capture the general behavior of the entire class of application and require negligible online overhead. This focused approach is extremely effective for multimedia applications [12, 13, 21, 28, 44] and web-servers [17, 27, 43] because the workloads can be characterized ahead of time so that the models produce sound control.

Indeed, the need for good models is the central tension

in developing control for computing systems. It is always possible to build a controller for a specific application and system by extensively modeling that pair. More general controllers which work with a range of applications have addressed this issue with models in several ways. Some provide control libraries that encapsulate control functionality and require users to input a model [18, 38, 41, 49]. Others automatically synthesize both a model and a controller for either hardware [36] or software [10, 11]. JouleGuard combines learning for energy efficiency with control for managing application parameters [15]. In JouleGuard, a learner adapts the controller's coefficients to model certainty, but JouleGuard's learner does not produce a new model for the controller. Because Joule-Guard's learner runs on the same device as the controlled application, it must be computationally efficient and thus it cannot identify correlations across applications or even different resource configurations. CALOREE is unique in that a remote server generates an application-specific model automatically. By offloading the learning task, we are able to (1) combine data from many applications and systems and (2) apply computationally expensive, but highly accurate learning techniques.

Carat also aggregates data across multiple devices [33]. Carat uses learning to generate a report for human users about how to configure their device to increase battery life. While both Carat and CALOREE learn across devices, they have very different goals. Carat's goal is to return very high-level information to human users; *e.g.*, you should update a driver to extend battery life. CALOREE returns lower-level models to another automated system that will apply those models to save energy.

# 7. Conclusion

This paper presents CALOREE, a combination of machine learning and control for managing resources in mobile systems. We have compared CALOREE to prior learning and control approaches and find that CALOREE delivers more reliable performance with lower energy both in a quiescent device, where the controlled application is the only one running, and in a more realistic scenario where other applications can interfere with the managed application. CALOREE's ability to manage both complexity and dynamics make it well-suited to managing modern mobile devices which must deliver reliable performance to users while dealing with energy limitations.

# References

- [1] J. Ansel, M. Pacula, Y. L. Wong, C. Chan, M. Olszewski, U.-M. O'Reilly, and S. Amarasinghe. "Siblingrivalry: online autotuning through local competitions". In: *CASES*. 2012.
- [2] J. Ansel, Y. L. Wong, C. Chan, M. Olszewski, A. Edelman, and S. Amarasinghe. "Language and compiler support for auto-tuning variable-accuracy algorithms". In: *CGO*. 2011.
- [3] C. Bienia, S. Kumar, J. P. Singh, and K. Li. "The PARSEC Benchmark Suite: Characterization and Architectural Implications". In: *PACT*. 2008.
- [4] R. Bitirgen, E. Ipek, and J. F. Martinez. "Coordinated management of multiple interacting resources in chip multiprocessors: A machine learning approach". In: *MICRO*. 2008.
- [5] S. Che, M. Boyer, J. Meng, D. Tarjan, J. W. Sheaffer, S.-H. Lee, and K. Skadron. "Rodinia: A Benchmark Suite for Heterogeneous Computing". In: *IISWC*. 2009.
- [6] J. Chen and L. K. John. "Predictive coordination of multiple on-chip resources for chip multiprocessors". In: *ICS*. 2011.
- [7] J. Chen, L. K. John, and D. Kaseridis. "Modeling Program Resource Demand Using Inherent Program Characteristics". In: *SIGMETRICS Perform. Eval. Rev.* 39.1 (June 2011), pp. 1–12. ISSN: 0163-5999.
- [8] R. Cochran, C. Hankendi, A. K. Coskun, and S. Reda. "Pack & Cap: adaptive DVFS and thread packing under power caps". In: *MICRO*. 2011.
- [9] C. Dubach, T. M. Jones, E. V. Bonilla, and M. F. P. O'Boyle. "A Predictive Model for Dynamic Microarchitectural Adaptivity Control". In: MI-CRO. 2010.
- [10] A. Filieri, H. Hoffmann, and M. Maggio. "Automated design of self-adaptive software with control-theoretical formal guarantees". In: *ICSE*. 2014.
- [11] A. Filieri, H. Hoffmann, and M. Maggio. "Automated multi-objective control for self-adaptive software design". In: *FSE*. 2015.
- [12] J. Flinn and M. Satyanarayanan. "Energy-aware adaptation for mobile applications". In: *SOSP*. 1999
- [13] J. Flinn and M. Satyanarayanan. "Managing battery lifetime with energy-aware adaptation". In: *ACM Trans. Comp. Syst.* 22.2 (May 2004).

- [14] J. L. Hellerstein, Y. Diao, S. Parekh, and D. M. Tilbury. Feedback Control of Computing Systems. John Wiley & Sons, 2004. ISBN: 047126637X.
- [15] H. Hoffmann. "JouleGuard: energy guarantees for approximate applications". In: *SOSP*. 2015.
- [16] H. Hoffmann, M. Maggio, M. D. Santambrogio, A. Leva, and A. Agarwal. "A Generalized Software Framework for Accurate and Efficient Managment of Performance Goals". In: *EMSOFT*. 2013.
- [17] T. Horvath, T. Abdelzaher, K. Skadron, and X. Liu. "Dynamic Voltage Scaling in Multitier Web Servers with End-to-End Delay Control". In: *Computers, IEEE Transactions on* 56.4 (2007).
- [18] C. Imes, D. H. K. Kim, M. Maggio, and H. Hoffmann. "POET: A Portable Approach to Minimizing Energy Under Soft Real-time Constraints". In: RTAS. 2015.
- [19] E. Ipek, O. Mutlu, J. F. Martínez, and R. Caruana. "Self-Optimizing Memory Controllers: A Reinforcement Learning Approach". In: *ISCA*. 2008.
- [20] D. H. K. Kim, C. Imes, and H. Hoffmann. "Racing and Pacing to Idle: Theoretical and Empirical Analysis of Energy Optimization Heuristics". In: CPSNA. 2015.
- [21] M. Kim, M.-O. Stehr, C. Talcott, N. Dutt, and N. Venkatasubramanian. "xTune: A Formal Methodology for Cross-layer Tuning of Mobile Embedded Systems". In: ACM Trans. Embed. Comput. Syst. 11.4 (Jan. 2013).
- [22] B. Lee, J. Collins, H. Wang, and D. Brooks. "CPR: Composable performance regression for scalable multiprocessor models". In: *MICRO*. 2008.
- [23] B. C. Lee and D. Brooks. "Efficiency Trends and Limits from Comprehensive Microarchitectural Adaptivity". In: *ASPLOS*. 2008.
- [24] B. C. Lee and D. M. Brooks. "Accurate and Efficient Regression Modeling for Microarchitectural Performance and Power Prediction". In: ASPLOS. 2006.
- [25] B. Li and K. Nahrstedt. "A control-based middleware framework for quality-of-service adaptations". In: *IEEE Journal on Selected Areas in Communications* 17.9 (1999).
- [26] J. Li and J. Martinez. "Dynamic power-performance adaptation of parallel computation on chip multiprocessors". In: *HPCA*. 2006.

- [27] C. Lu, Y. Lu, T. Abdelzaher, J. Stankovic, and S. Son. "Feedback Control Architecture and Design Methodology for Service Delay Guarantees in Web Servers". In: *IEEE TPDS* 17.9 (2006), pp. 1014–1027.
- [28] M. Maggio, H. Hoffmann, M. D. S. and Anant Agarwal, and A. Leva. "Power optimization in embedded systems via feedback control of resource allocation". In: *IEEE Transactions on Control Systems Technology (to appear)* ().
- [29] J. D. McCalpin. "Memory Bandwidth and Machine Balance in Current High Performance Computers". In: *IEEE TCCA Newsletter* (Dec. 1995), pp. 19–25.
- [30] N. Mishra, H. Zhang, J. D. Lafferty, and H. Hoffmann. "A Probabilistic Graphical Model-based Approach for Minimizing Energy Under Performance Constraints". In: ASPLOS. 2015.
- [31] A. Miyoshi, C. Lefurgy, E. Van Hensbergen, R. Rajamony, and R. Rajkumar. "Critical Power Slope: Understanding the Runtime Effects of Frequency Scaling". In: *ICS*. 2002.
- [32] R. Narayanan, B. Ozisikyilmaz, J. Zambreno, G. Memik, and A. Choudhary. "MineBench: A Benchmark Suite for Data Mining Workloads". In: *IISWC*. 2006.
- [33] A. J. Oliner, A. P. Iyer, I. Stoica, E. Lagerspetz, and S. Tarkoma. "Carat: Collaborative Energy Diagnosis for Mobile Devices". In: *Proceedings of the 11th ACM Conference on Embedded Networked Sensor Systems*. SenSys '13. Roma, Italy: ACM, 2013, 10:1–10:14. ISBN: 978-1-4503-2027-6. DOI: 10.1145/2517351. 2517354. URL: http://doi.acm.org/10.1145/2517351.2517354.
- [34] P. Petrica, A. M. Izraelevitz, D. H. Albonesi, and C. A. Shoemaker. "Flicker: A Dynamically Adaptive Architecture for Power Limited Multicore Systems". In: *ISCA*. 2013.
- [35] D. Ponomarev, G. Kucuk, and K. Ghose. "Reducing Power Requirements of Instruction Scheduling Through Dynamic Allocation of Multiple Datapath Resources". In: *MICRO*. 2001.
- [36] R. Pothukuchi, A. Ansari, P. Voulgaris, and J. Torrellas. "Using Multiple Input, Multiple Output Formal Control to Maximize Resource Efficiency in Architectures". In: ISCA. 2016.
- [37] R. Raghavendra, P. Ranganathan, V. Talwar, Z. Wang, and X. Zhu. "No "power" struggles: coordinated multi-level power management for the data center". In: *ASPLOS*. 2008.

- [38] R. Rajkumar, C. Lee, J. Lehoczky, and D. Siewiorek. "A resource allocation model for QoS management". In: *RTSS*. 1997.
- [39] A. Roy, S. M. Rumble, R. Stutsman, P. Levis, D. Mazières, and N. Zeldovich. "Energy Management in Mobile Devices with the Cinder Operating System". In: *EuroSys.* 2011.
- [40] D. C. Snowdon, E. Le Sueur, S. M. Petters, and G. Heiser. "Koala: A Platform for OS-level Power Management". In: *EuroSys.* 2009.
- [41] M. Sojka, P. Písa, D. Faggioli, T. Cucinotta, F. Checconi, Z. Hanzálek, and G. Lipari. "Modular software architecture for flexible reservation mechanisms on heterogeneous resources". In: *Journal of Systems Architecture* 57.4 (2011).
- [42] S. Sridharan, G. Gupta, and G. S. Sohi. "Holistic Run-time Parallelism Management for Time and Energy Efficiency". In: *ICS*. 2013.
- [43] Q. Sun, G. Dai, and W. Pan. "LPV Model and Its Application in Web Server Performance Control". In: *ICCSSE*. 2008.
- [44] V. Vardhan, W. Yuan, A. F. H. III, S. V. Adve, R. Kravets, K. Nahrstedt, D. G. Sachs, and D. L. Jones. "GRACE-2: integrating fine-grained application adaptation with global adaptation for saving energy". In: *IJES* 4.2 (2009).
- [45] J. A. Winter, D. H. Albonesi, and C. A. Shoemaker. "Scalable thread scheduling and global power management for heterogeneous many-core architectures". In: *PACT*. 2010.
- [46] Q. Wu, P. Juang, M. Martonosi, and D. W. Clark. "Formal online methods for voltage/frequency control in multiple clock domain microprocessors". In: ASPLOS. 2004.
- [47] W. Wu and B. C. Lee. "Inferred models for dynamic and sparse hardware-software spaces". In: *Microarchitecture (MICRO)*, 2012 45th Annual *IEEE/ACM International Symposium on*. IEEE. 2012, pp. 413–424.
- [48] J. J. Yi, D. J. Lilja, and D. M. Hawkins. "A Statistically Rigorous Approach for Improving Simulation Methodology". In: *HPCA*. 2003.
- [49] R. Zhang, C. Lu, T. Abdelzaher, and J. Stankovic. "ControlWare: A middleware architecture for Feedback Control of Software Performance". In: *ICDCS*. 2002.
- [50] X. Zhang, R. Zhong, S. Dwarkadas, and K. Shen. "A Flexible Framework for Throttling-Enabled Multicore Management (TEMM)". In: *ICPP*. 2012.

[51] Y. Zhu and V. J. Reddi. "High-performance and energy-efficient mobile web browsing on big/little systems". In: *HPCA*. 2013.