



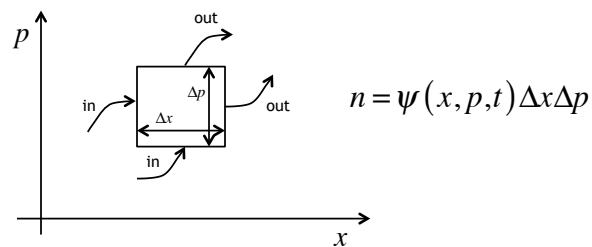
Evolution of the Distribution Function

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Our simple model can only go so far. Now we have to develop the tools to help us deal with real particle distributions in phase space.

Phase density:

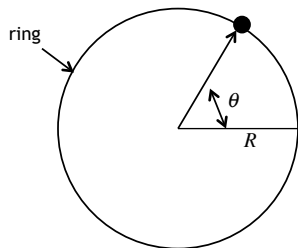


$$\begin{aligned}
 n(t + \Delta t) &= n(t) + (\text{flow in}) - (\text{flow out}) \\
 (\text{flow in}) &= \psi(x, p, t) (\Delta p \dot{x} \Delta t + \Delta x \dot{p} \Delta t) \\
 (\text{flow out}) &= \psi(x + \Delta x, p + \Delta p, t) (\Delta p \dot{x} \Delta t + \Delta x \dot{p} \Delta t)
 \end{aligned}$$

$$\begin{aligned}
\frac{n(t+\Delta t) - n(t)}{\Delta t} &= \frac{(\psi(x, p, t+\Delta t) - \psi(x, p, t)) \Delta x \Delta p}{\Delta t} \\
&= \psi(x, p, t) \Delta p \dot{x} + \psi(x, p, t) \Delta x \dot{p} \\
&\quad - \psi(x+\Delta x, p, t) \Delta p \dot{x} - \psi(x, p+\Delta p, t) \Delta x \dot{p} \\
&= - \left(\dot{x} \Delta p \frac{\partial \psi}{\partial x} \Delta x + \dot{p} \Delta x \frac{\partial \psi}{\partial p} \Delta p \right) \\
&= - \left(\dot{x} \frac{\partial \psi}{\partial x} + \dot{p} \frac{\partial \psi}{\partial p} \right) \Delta x \Delta p \\
&\rightarrow \boxed{\frac{\partial \psi}{\partial t} + \dot{x} \frac{\partial \psi}{\partial x} + \dot{p} \frac{\partial \psi}{\partial p} = 0} \quad \leftarrow \text{Vlasov Equation}
\end{aligned}$$

Dispersion Relation

Apply a special case of the Vlasov Equation



$$\theta = \frac{S}{R}$$

$$\dot{\theta} = \omega$$

$$\delta = \frac{\delta p}{p}$$

$$\dot{\delta} = \frac{\dot{p}}{p_0} = \frac{1}{\beta^2} \frac{\Delta \dot{E}}{E}$$

$$\frac{\partial \psi}{\partial t} + \dot{x} \frac{\partial \psi}{\partial x} + \dot{p} \frac{\partial \psi}{\partial p} = 0$$

$$x = R\theta \quad p = p_0(1 + \delta)$$

$$\dot{x} = R\dot{\theta} \quad \dot{p} = p_0\dot{\delta}$$

$$\partial x = R \partial \theta \quad \partial p = p_0 \partial \delta$$

$$\rightarrow \frac{\partial \psi}{\partial t} + \dot{\theta} \frac{\partial \psi}{\partial \theta} + \dot{\delta} \frac{\partial \psi}{\partial \delta} = 0$$

Recall that in our discussion of the Distribution Function, we found that if the current is described by

$$I = I_0 + I_1 e^{i(\Omega t - n\theta)}$$

\nwarrow frequency of oscillation
 \nearrow mode

then

$$\begin{aligned} \frac{dE}{dt} &= (\text{energy lost per turn})(\text{turns/sec}) \\ &= -e I_1 Z_{\parallel} e^{i(\Omega t - n\theta)} \left(\frac{\omega_0}{2\pi} \right) \\ \rightarrow \dot{\delta} &= \frac{1}{\beta^2} \frac{\Delta \dot{E}}{E} \\ &= -\frac{e \omega_0 I_1 Z_{\parallel}}{2\pi \beta^2 E} e^{i(\Omega t - n\theta)} \end{aligned}$$

Just as we did with the current, we will define the density to have a constant part and an oscillatory part.

$$\psi(\delta, \theta, t) = \psi_0(\delta) + \psi_1(\delta) e^{i(\Omega t - n\theta)}$$

Plug this into the Vlasov equation, and we get

$$\begin{aligned} \frac{\partial \psi}{\partial t} &= i \Omega \psi_1 e^{i(\Omega t - n\theta)} \\ \dot{\theta} \frac{\partial \psi}{\partial \theta} &= -i \omega n \psi_1 e^{i(\Omega t - n\theta)} \\ \dot{\delta} \frac{\partial \psi}{\partial \delta} &\approx -\frac{e \omega_0 I_1 Z_{\parallel}}{2\pi \beta^2 E} e^{i(\Omega t - n\theta)} \frac{\partial \psi_0}{\partial \delta} \\ \rightarrow i(\Omega - n\omega) \psi_1 - \frac{\partial \psi_0}{\partial \delta} \frac{e \omega_0 I_1 Z_{\parallel}}{2\pi \beta^2 E} &= 0 \end{aligned}$$

Convert $\partial \delta$ to $\partial \omega$

$$\begin{aligned} \omega T = 2\pi \rightarrow \frac{d\omega}{\omega} &= -\frac{dT}{T} = -\delta \eta \\ \rightarrow \frac{\partial}{\partial \delta} &\approx -\omega_0 \eta \frac{\partial}{\partial \omega} \end{aligned}$$



Combining, we get

$$i(\Omega - n\omega)\psi_1 + \frac{\partial\psi_0}{\partial\omega} \frac{e\omega_0^2 I_1 Z_{\parallel} \eta}{2\pi\beta^2 E} = 0$$

$$\psi_1 = i \frac{e\omega_0^2 I_1 Z_{\parallel} \eta}{2\pi\beta^2 E} \frac{1}{(\Omega - n\omega)} \frac{\partial\psi_0}{\partial\omega}$$

Integrating the LHS, we have

$$\int_{-\infty}^{\infty} \psi_1(\omega) d\omega = -\omega_0 \eta \int_{-\infty}^{\infty} \psi_1(\delta) d\delta = -\eta \int_{-\infty}^{\infty} \psi_1(\delta) \omega_0 d\delta$$

$$= -\frac{\eta I_1}{e}$$

Integrating the RHS and equating, we get

$$1 = -i \frac{e^2 \omega_0^2 Z_{\parallel}}{2\pi\beta^2 E} \int_{-\infty}^{\infty} \left(\frac{\partial\psi_0}{\partial\omega} \right) \frac{1}{(\Omega - n\omega)} d\omega \quad \leftarrow \text{dispersion relation}$$



Application to the negative mass instability
Unbunched beam with $\delta=0$

$$\rightarrow \psi_0(\delta, \theta, t) = \frac{N}{2\pi} \delta(\delta) \quad \leftarrow \text{yeah, I know. Deal with it}$$

Write this in terms of ω , we have

$$\psi_0(\omega, \theta) = -\frac{N\eta\omega_0}{2\pi} \delta(\omega - \omega_0)$$

$$\rightarrow \int \frac{(\partial\psi_0 / \partial\omega)}{(\Omega - n\omega)} d\omega = -\frac{N\eta\omega_0}{2\pi} \int \frac{\delta'(\omega - \omega_0)}{(\Omega - n\omega)} d\omega$$

$$= \frac{N\eta\omega_0}{2\pi} \frac{n}{(\Omega - n\omega)^2} \quad \text{recall } \int f(x) \delta'(x - x_0) dx = f'(x_0)$$

Dispersion relation gives

$$1 = -i \frac{e^2 \omega_0^2 Z_{\parallel}}{2\pi\beta^2 E} \int_{-\infty}^{\infty} \left(\frac{\partial\psi_0}{\partial\omega} \right) \frac{1}{(\Omega - n\omega)} d\omega = -i \frac{e\omega_0^2 Z_{\parallel}}{2\pi\beta^2 E} \frac{\eta}{2\pi} \frac{n}{(\Omega - n\omega)^2} \left(\frac{eN\omega_0}{2\pi} \right) = -i \frac{e\omega_0^2 Z_{\parallel} \eta n}{2\pi\beta^2 E} \frac{I_0}{(\Omega - n\omega)^2}$$

$$(\Omega - n\omega)^2 = -i \frac{e\eta I_0 Z_{\parallel} \omega_0^2 n}{2\pi\beta^2 E} \quad \text{as before!}$$



Now consider a more realistic beam with a momentum spread

$$\begin{aligned}\psi(\delta, \theta) &= \frac{N}{2\pi} \frac{1}{\sqrt{2\pi\sigma_\delta^2}} e^{-\delta^2/2\sigma_\delta^2} \\ &= \frac{N}{(2\pi)^{3/2} \sigma} e^{-\delta^2/2\sigma^2} \quad \leftarrow \text{Drop subscript}\end{aligned}$$

in terms of angular frequency

$$\begin{aligned}\psi_0 \omega &= \frac{N}{2\pi} \frac{1}{\sqrt{2\pi\sigma_\delta^2}} e^{-\delta^2/2\sigma_\delta^2} \\ &= \frac{N}{(2\pi)^{3/2} \sigma} e^{-(\omega - \omega_0)^2/2(\eta\omega_0\sigma)^2}\end{aligned}$$

The dispersion integral becomes

$$\begin{aligned}\int \frac{\partial \psi_0}{\partial \omega} \frac{1}{\Omega - n\omega} d\omega &= -\frac{N}{(2\pi)^{3/2} \sigma} \frac{1}{(\eta\omega_0\sigma)^2} \int \frac{(\omega - \omega_0)}{(\Omega - n\omega)} e^{-(\omega - \omega_0)^2/2(\eta\omega_0\sigma)^2} d\omega \\ &= \frac{N}{(2\pi)^{3/2} \eta\omega_0\sigma^2 n} \int \frac{u}{u - u_0} e^{-u^2/2} du \quad \text{where} \quad \begin{aligned} u &\equiv \frac{\omega - \omega_0}{\eta\omega_0\sigma} \\ u_0 &\equiv \frac{\Omega - n\omega_0}{\eta\omega_0\sigma} \end{aligned}\end{aligned}$$

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9



So the dispersion relation becomes

$$\begin{aligned}1 &= -i \frac{e^2 \omega_0^2 Z_\parallel}{2\pi \beta^2 E} \frac{N}{(2\pi)^{3/2} \eta\omega_0\sigma^2 n} \int \frac{u}{u - u_0} e^{-u^2/2} du \\ &\quad \left[\frac{e\omega_0 N}{2\pi} = I_0 \right] \\ &= -i \frac{e I_0 Z_\parallel}{2\pi \beta^2 E \eta \sigma^2 n} \left[\frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \frac{u}{u - u_0} e^{-u^2/2} du \right] \quad \equiv I_D(u_0)\end{aligned}$$

recall

$$\begin{aligned}\psi &= \psi_0 + \psi_1 e^{i(\Omega t - n\theta)} \\ &= \psi_0 + \psi_1 e^{i((\Delta\Omega + n\omega_0)t - n\theta)} \\ &= \psi_0 + \psi_1 e^{i(\Delta\Omega t + n(\omega_0\theta))}\end{aligned}$$

If $\Delta\Omega$ has a negative imaginary part, then motion will be unstable.

Evolution of the Distribution Function

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10



Use trick

$$\begin{aligned}
 \frac{1}{u-u_0} &= -i \int_0^\infty e^{i(u-u_0)\alpha} d\alpha \\
 I_D &= \frac{1}{\sqrt{2\pi}} \int_{-\infty}^\infty \frac{u}{u-u_0} e^{-u^2/2} du \\
 &= \frac{-i}{\sqrt{2\pi}} \int_{-\infty}^\infty u e^{-u^2/2} \left(\int_0^\infty e^{i(u-u_0)\alpha} d\alpha \right) du \\
 &= \frac{-i}{\sqrt{2\pi}} \int_0^\infty e^{iu_0\alpha} \left(\int_{-\infty}^\infty u e^{-(u^2-2iu\alpha-\alpha^2)/2} e^{-\alpha^2/2} du \right) d\alpha \\
 &= \frac{-i}{\sqrt{2\pi}} \int_0^\infty e^{iu_0\alpha} e^{-\alpha^2/2} \left(\int_{-\infty}^\infty u e^{-(u-i\alpha)^2/2} du \right) d\alpha \\
 &= \int_0^\infty \alpha e^{-iu_0\alpha} e^{-\alpha^2/2} d\alpha \quad \text{recall } u_0 = \frac{\Delta\Omega}{\eta\omega_0\sigma\eta}
 \end{aligned}$$

If $u_0 = 0$, then $I_D = 1$. If $\text{Im}(u_0) < 0$ (ie unstable), then $e^{-iu_0\alpha} = e^{-i\text{Re}\{u_0\}\alpha} \times e^{+\text{Im}\{u_0\}\alpha}$

will decay and $I_D(u_0) < 1$



From the dispersion relation.

$$1 = -i \frac{eI_0 Z_\parallel}{2\pi\beta^2 E\eta\sigma^2 n} I_D(u_0)$$

The unstable solution ($I_D(u_0) < 1$) can only exist if

$$\left| \frac{eI_0 Z_\parallel}{2\pi\beta^2 E\eta\sigma^2 n} \right| > 0$$

So motion will be stable if

$$\sigma^2 > \frac{eI_0}{2\pi\beta^2 E\eta} \left| \frac{Z_\parallel}{n} \right|$$

More generally, motion will be stable if

$$\left| \frac{Z_\parallel}{n} \right| < \mathcal{F} \frac{2\pi\beta^2 E\eta\sigma^2}{eI_0} \quad \text{"Keil-Schnell criterion"}$$

Form factor which depends on details of distribution