## A Simple One-Pass Compiler (to Generate Code for the JVM)

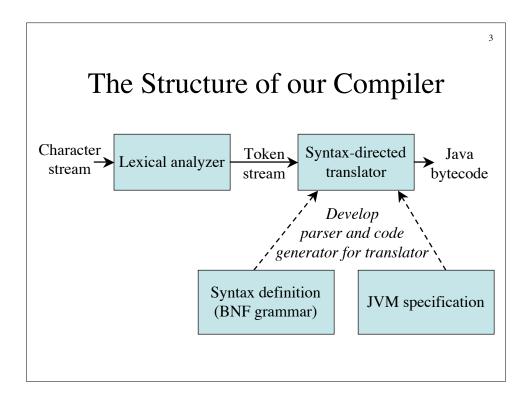
#### Chapter 2

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#### Overview

- This chapter contains introductory material to Chapters 3 to 8
- Building a simple compiler
  - Syntax definition
  - Syntax directed translation
  - Predictive parsing
  - The JVM abstract stack machine
  - Generating Java bytecode for the JVM



### Syntax Definition

- Context-free grammar is a 4-tuple with
  - A set of tokens (terminal symbols)
  - A set of *nonterminals*
  - A set of *productions*
  - A designated start symbol

#### Example Grammar

Context-free grammar for simple expressions:

$$G = \langle \{list, digit\}, \{+,-,0,1,2,3,4,5,6,7,8,9\}, P, list \rangle$$
 with productions  $P =$  
$$list \rightarrow list + digit$$
 
$$list \rightarrow list - digit$$
 
$$list \rightarrow digit$$

 $digit \rightarrow 0 \mid 1 \mid 2 \mid 3 \mid 4 \mid 5 \mid 6 \mid 7 \mid 8 \mid 9$ 

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#### Derivation

- Given a CF grammar we can determine the set of all *strings* (sequences of tokens) generated by the grammar using *derivation* 
  - We begin with the start symbol
  - In each step, we replace one nonterminal in the current sentential form with one of the righthand sides of a production for that nonterminal

## Derivation for the Example Grammar

$$\frac{list}{\Rightarrow list} + digit$$

$$\Rightarrow \underline{list} + digit + digit$$

$$\Rightarrow \underline{digit} - digit + digit$$

$$\Rightarrow 9 - \underline{digit} + digit$$

$$\Rightarrow 9 - 5 + \underline{digit}$$

$$\Rightarrow 9 - 5 + 2$$

This is an example *leftmost derivation*, because we replaced the leftmost nonterminal (underlined) in each step

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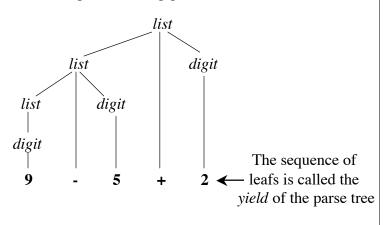
#### Parse Trees

- The root of the tree is labeled by the <u>start symbol</u>
- Each leaf of the tree is labeled by a <u>terminal</u> (=token) or ε
- Each interior node is labeled by a <u>nonterminal</u>
- If  $A \rightarrow X_1 X_2 ... X_n$  is a production, then node A has children  $X_1, X_2, ..., X_n$  where  $X_i$  is a (non)terminal or  $\varepsilon$  ( $\varepsilon$  denotes the *empty string*)

Parse Tree for the Example

Grammar

Parse tree of the string 9-5+2 using grammar G



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### **Ambiguity**

Consider the following context-free grammar:

$$G = \langle \{string\}, \{+,-,0,1,2,3,4,5,6,7,8,9\}, P, string \rangle$$

with production P =

$$string \rightarrow string + string \mid string - string \mid 0 \mid 1 \mid ... \mid 9$$

This grammar is *ambiguous*, because more than one parse tree generates the string **9-5+2** 

Ambiguity (cont'd)

string string string string string string

9 - 5 + 2 9 - 5 + 2

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### Associativity of Operators

Left-associative operators have left-recursive productions

$$left \rightarrow left + term \mid term$$

String **a+b+c** has the same meaning as **(a+b)+c** 

Right-associative operators have right-recursive productions

$$right \rightarrow term = right \mid term$$

String **a=b=c** has the same meaning as **a=(b=c)** 

### Precedence of Operators

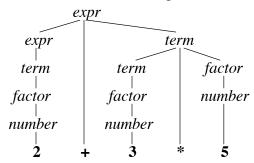
Operators with higher precedence "bind more tightly"

```
expr \rightarrow expr + term \mid term

term \rightarrow term * factor \mid factor

factor \rightarrow number \mid (expr)
```

String 2+3\*5 has the same meaning as 2+(3\*5)



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### Syntax of Statements

```
stmt \rightarrow id := expr
| if expr then stmt
| if expr then stmt else stmt
| while expr do stmt
| begin opt_stmts end

opt_stmts \rightarrow stmt; opt_stmts
| \epsilon
```

#### Syntax-Directed Translation

- Uses a CF grammar to specify the syntactic structure of the language
- AND associates a set of *attributes* with (non)terminals
- AND associates with each production a set of semantic rules for computing values for the attributes
- The attributes contain the translated form of the input after the computations are completed

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## Synthesized and Inherited Attributes

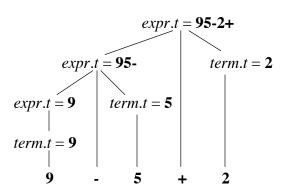
- An attribute is said to be ...
  - synthesized if its value at a parse-tree node is determined from the attribute values at the children of the node
  - inherited if its value at a parse-tree node is determined by the parent (by enforcing the parent's semantic rules)

### Example Attribute Grammar

```
Production Semantic Rule  expr \rightarrow expr_1 + term \qquad expr.t := expr_1.t \, || \, term.t \, || \, "+" \\ expr \rightarrow expr_1 - term \qquad expr.t := expr_1.t \, || \, term.t \, || \, "-" \\ expr \rightarrow term \qquad expr.t := term.t \\ term \rightarrow \mathbf{0} \qquad term.t := "0" \\ term.t := "1" \\ ... \\ term \rightarrow \mathbf{9} \qquad term.t := "9"
```

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#### Example Annotated Parse Tree

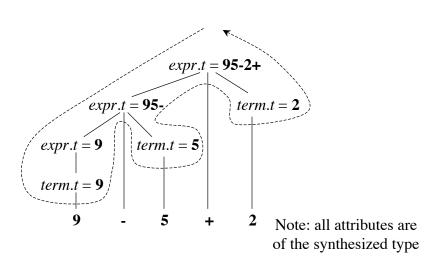


### **Depth-First Traversals**

```
procedure visit(n : node);
begin
  for each child m of n, from left to right do
    visit(m);
  evaluate semantic rules at node n
end
```

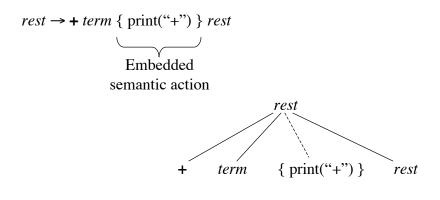
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### Depth-First Traversals (Example)



#### **Translation Schemes**

• A *translation scheme* is a CF grammar embedded with *semantic actions* 



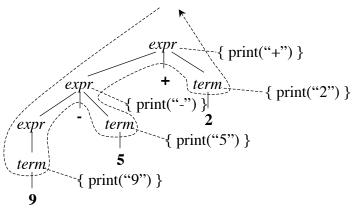
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### **Example Translation Scheme**

```
\begin{array}{ll} expr \rightarrow expr + term & \{ \ print("+") \ \} \\ expr \rightarrow expr - term & \{ \ print("-") \ \} \\ expr \rightarrow term \\ term \rightarrow \mathbf{0} & \{ \ print("0") \ \} \\ term \rightarrow \mathbf{1} & \{ \ print("1") \ \} \\ \dots & \dots \\ term \rightarrow \mathbf{9} & \{ \ print("9") \ \} \end{array}
```

Example Translation Scheme

(cont'd)



Translates 9-5+2 into postfix 95-2+

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### Parsing

- Parsing = process of determining if a string of tokens can be generated by a grammar
- For any CF grammar there is a parser that takes at most  $O(n^3)$  time to parse a string of n tokens
- Linear algorithms suffice for parsing programming language
- *Top-down parsing* "constructs" parse tree from root to leaves
- Bottom-up parsing "constructs" parse tree from leaves to root

#### **Predictive Parsing**

- Recursive descent parsing is a top-down parsing method
  - Every nonterminal has one (recursive) procedure responsible for parsing the nonterminal's syntactic category of input tokens
  - When a nonterminal has multiple productions, each production is implemented in a branch of a selection statement based on input look-ahead information
- Predictive parsing is a special form of recursive descent parsing where we use one lookahead token to unambiguously determine the parse operations

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## Example Predictive Parser (Grammar)

```
type → simple
| ^ id
| array [ simple ] of type
simple → integer
| char
| num dotdot num
```

## Example Predictive Parser (Program Code)

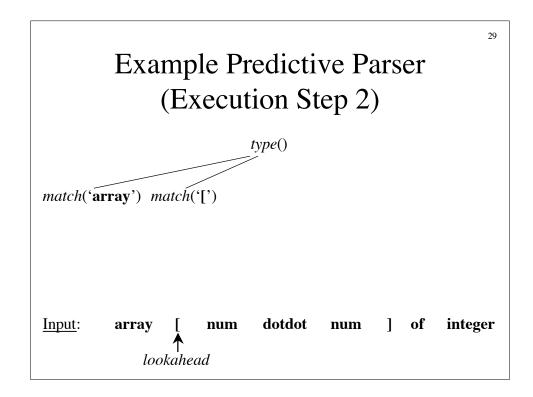
```
procedure match(t : token);
                                                           procedure simple();
                                                           begin
begin
  if lookahead = t then
                                                             if lookahead = 'integer' then
                                                                match('integer')
     lookahead := nexttoken()
                                                             else if lookahead = 'char' then
  else error()
end;
                                                                match('char')
                                                             else if lookahead = 'num' then
procedure type();
                                                               match('num');
begin
                                                               match('dotdot');
  if lookahead in { 'integer', 'char', 'num' } then
                                                               match('num')
                                                             else error()
     simple()
  else if lookahead = '^' then
                                                          end;
    match('^'); match(id)
  else if lookahead = 'array' then
    match('array'); match('['); simple();
    match(']'); match('of'); type()
  else error()
end;
```

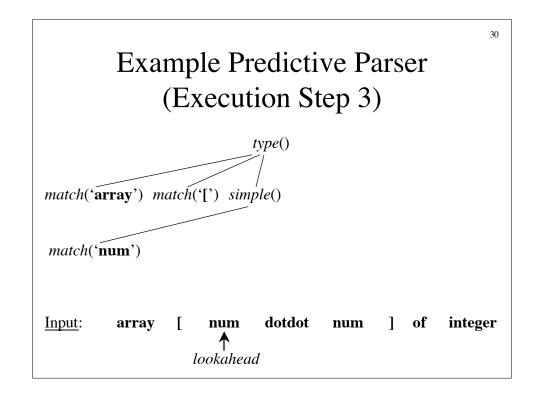
## Example Predictive Parser (Execution Step 1)

Check lookahead and call match

match('array')

Input: array [ num dotdot num ] of integer | hookahead





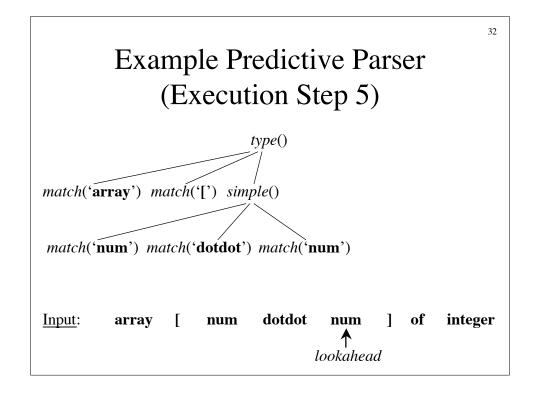
Example Predictive Parser
(Execution Step 4)

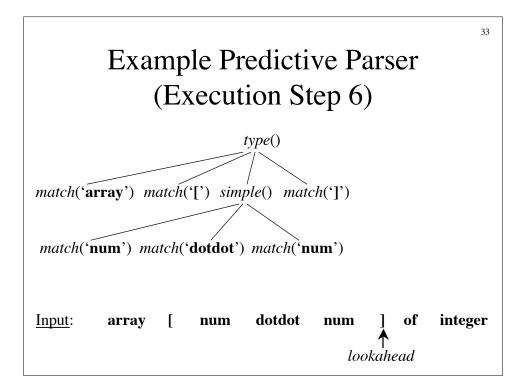
type()

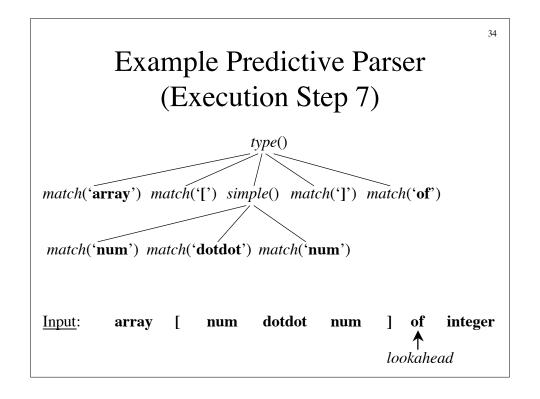
match('array') match('[') simple()

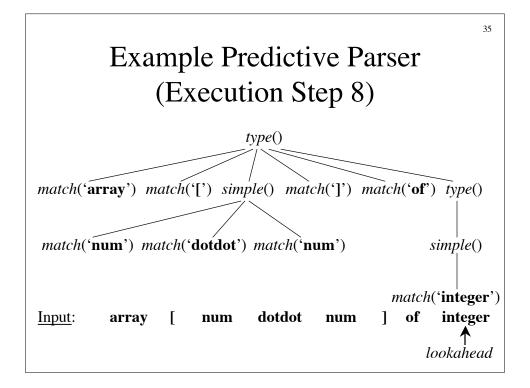
match('num') match('dotdot')

Input: array [ num dotdot num ] of integer lookahead









**FIRST** 

FIRST( $\alpha$ ) is the set of terminals that appear as the first symbols of one or more strings generated from  $\alpha$ 

### **Using FIRST**

We use FIRST to write a predictive parser as follows

```
expr \rightarrow term \ rest
rest \rightarrow + term \ rest
| - term \ rest|
| \epsilon
| \epsilon
match('+'); term(); rest()
else \ if \ lookahead \ in \ \underline{FIRST(+ term \ rest)} \ then
match('-'); term(); rest()
else \ return
end;
```

When a nonterminal A has two (or more) productions as in

$$A \rightarrow \alpha$$
  
  $\mid \beta$ 

Then FIRST ( $\alpha$ ) and FIRST( $\beta$ ) must be disjoint for predictive parsing to work

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#### Left Factoring

When more than one production for nonterminal A starts with the same symbols, the FIRST sets are not disjoint

```
stmt \rightarrow if \ expr \ then \ stmt
| if \ expr \ then \ stmt \ else \ stmt
```

We can use *left factoring* to fix the problem

```
stmt \rightarrow if expr then stmt opt_else
opt_else \rightarrow else stmt
\mid \epsilon
```

#### Left Recursion

When a production for nonterminal A starts with a self reference then a predictive parser loops forever

$$A \to A \alpha$$

$$\mid \beta$$

$$\mid \gamma$$

We can eliminate *left recursive productions* by systematically rewriting the grammar using *right recursive productions* 

$$A \to \beta R$$

$$\mid \gamma R$$

$$R \to \alpha R$$

$$\mid \varepsilon$$

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## A Translator for Simple Expressions

```
\begin{array}{ll} expr \rightarrow expr + term & \{ \ print("+") \} \\ expr \rightarrow expr - term & \{ \ print("-") \} \\ expr \rightarrow term \\ term \rightarrow \mathbf{0} & \{ \ print("0") \} \\ term \rightarrow \mathbf{1} & \{ \ print("1") \} \\ ... & ... \\ term \rightarrow \mathbf{9} & \{ \ print("9") \} \end{array}
```

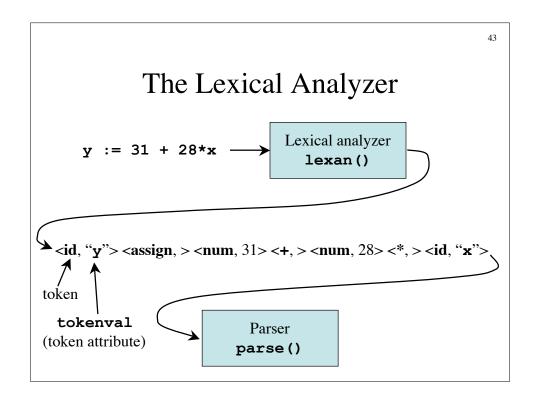
After left recursion elimination:

```
\begin{array}{l} expr \rightarrow term \ rest \\ rest \rightarrow + term \ \{ \ print("+") \ \} \ rest \ | \ - term \ \{ \ print("-") \ \} \ rest \ | \ \epsilon \\ term \rightarrow \mathbf{0} \ \{ \ print("0") \ \} \\ term \rightarrow \mathbf{1} \ \{ \ print("1") \ \} \\ ... \\ term \rightarrow \mathbf{9} \ \{ \ print("9") \ \} \end{array}
```

```
lookahead = getchar();
                                            expr();
                                        expr()
                                            term();
                                            while (1) /* optimized by inlining rest()
             expr \rightarrow term \ rest
                                                           and removing recursive calls */
                                                 if (lookahead == '+')
                                                     match('+'); term(); putchar('+');
rest \rightarrow + term \{ print("+") \} rest
      | - term { print("-") } rest
                                                 else if (lookahead == '-')
                                                     match('-'); term(); putchar('-');
                                                 else break;
     term \rightarrow \mathbf{0} \{ print("0") \}
                                            if (isdigit(lookahead))
     term \rightarrow 1 \{ print("1") \}
                                                 putchar(lookahead); match(lookahead);
                                            else error();
     term \rightarrow 9 \{ print("9") \}
                                        match(int t)
                                            if (lookahead == t)
                                                 lookahead = getchar();
                                            else error();
                                        error()
                                            printf("Syntax error\n");
                                            exit(1);
```

### Adding a Lexical Analyzer

- Typical tasks of the lexical analyzer:
  - Remove white space and comments
  - Encode constants as tokens
  - Recognize keywords
  - Recognize identifiers



#### Token Attributes

#### Symbol Table

The symbol table is globally accessible (to all phases of the compiler)

Each entry in the symbol table contains a string and a token value: struct entry

{ char \*lexptr: /\* lexeme (string) \*/

```
{ char *lexptr; /* lexeme (string) */
  int token;
};
struct entry symtable[];
```

insert(s, t): returns array index to new entry for string s token t
lookup(s): returns array index to entry for string s or 0

Possible implementations:

- simple C code (see textbook)
- hashtables

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#### **Identifiers**

#### Handling Reserved Keywords

We simply initialize the global symbol table with the set of keywords

```
/* global.h */
#define DIV 257 /* token */
#define MOD 258 /* token */
#define ID 259 /* token */

/* init.c */
insert("div", DIV);
insert("mod", MOD);

/* lexer.c */
int lexan()
{
    ...
    tokenval = lookup(lexbuf);
    if (tokenval == 0)
        tokenval = insert(lexbuf, ID);
    return symtable[p].token;
}
```

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## Handling Reserved Keywords (cont'd)

# Generic Instructions for Stack Manipulation

push constant value v onto the stack push vrvalue lpush contents of data location l lvalue lpush address of data location l discard value on top of the stack pop the r-value on top is placed in the l-value below it := and both are popped push a copy of the top value on the stack copy add value on top with value below it pop both and push result subtract value on top from value below it pop both and push result \*, /, ... ditto for other arithmetic operations ditto for relational and logical operations

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### Generic Control Flow Instructions

label llabel instruction with lgoto ljump to instruction labeled l

**gofalse** l pop the top value, if zero then jump to l pop the top value, if nonzero then jump to l

halt stop execution

jsr l jump to subroutine labeled l, push return address

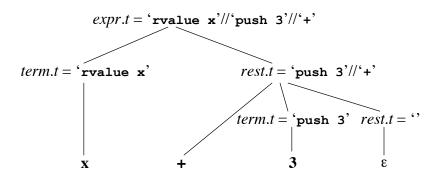
return pop return address and return to caller

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## Syntax-Directed Translation of Expressions

```
expr \rightarrow term \ rest \ \{ \ expr.t := term.t \ | \ rest.t \ \}
rest \rightarrow + term \ rest_1 \ \{ \ rest.t := term.t \ | \ `+` \ | \ rest_1.t \ \}
rest \rightarrow - term \ rest_1 \ \{ \ rest.t := term.t \ | \ `-` \ | \ rest_1.t \ \}
rest \rightarrow \epsilon \ \{ \ rest.t := `` \ \}
term \rightarrow \mathbf{num} \ \{ \ term.t := `\mathbf{push} \ ` \ | \ \mathbf{num}.value \ \}
term \rightarrow \mathbf{id} \ \{ \ term.t := `\mathbf{rvalue} \ ` \ | \ \mathbf{id}.lexeme \ \}
```

## Syntax-Directed Translation of Expressions (cont'd)



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### Translation Scheme to Generate Abstract Machine Code

```
expr → term moreterms

moreterms → + term { print('+') } moreterms

moreterms → - term { print('-') } moreterms

moreterms → ε

term → factor morefactors

morefactors → * factor { print('*') } morefactors

morefactors → div factor { print('DIV') } morefactors

morefactors → mod factor { print('MOD') } morefactors

morefactors → ε

factor → (expr)

factor → num { print('push '//num.value) }

factor → id { print('rvalue '//id.lexeme) }
```

## Translation Scheme to Generate Abstract Machine Code (cont'd)

stmt → id := { print('lvalue '// id.lexeme) } expr { print(':=') }

lvalue id.lexeme

code for expr
:=

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## Translation Scheme to Generate Abstract Machine Code (cont'd)

stmt → if expr { out := newlabel(); print('gofalse' // out) } then stmt { print('label' // out) }

code for expr
gofalse out
code for stmt
label out

### Translation Scheme to Generate Abstract Machine Code (cont'd)

```
stmt → while { test := newlabel(); print('label '// test) }
expr { out := newlabel(); print('gofalse '// out) }
do stmt { print('goto '// test // 'label '// out ) }
```

label test				
code for expr				
gofalse out				
code for stmt				
goto test				
label out				

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## Translation Scheme to Generate Abstract Machine Code (cont'd)

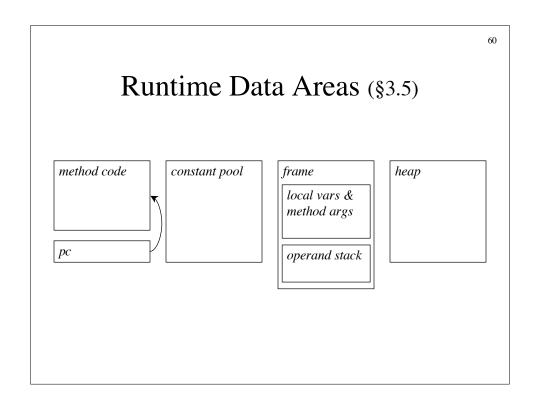
```
start \rightarrow stmt \{ print('halt') \}

stmt \rightarrow begin \ opt\_stmts \ end

opt\_stmts \rightarrow stmt \ ; \ opt\_stmts \mid \epsilon
```

#### The JVM

- Abstract stack machine architecture
  - Emulated in software with JVM interpreter
  - Just-In-Time (JIT) compilers
  - Hardware implementations available
- Java bytecode
  - Platform independent
  - Small
  - Safe
- The Java<sup>TM</sup> Virtual Machine Specification, 2nd ed. http://java.sun.com/docs/books/vmspec



#### Constant Pool (§3.5.5)

- Serves a function similar to that of a symbol table
- Contains several kinds of constants
- Method and field references, strings, float constants, and integer constants larger than 16 bit cannot be used as operands of bytecode instructions and must be loaded on the operand stack from the constant pool
- Java *bytecode verification* is a pre-execution process that checks the consistency of the bytecode instructions and constant pool

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#### Frames (§3.6)

- A new *frame* (also known as *activation record*) is created each time a method is invoked
- A frame is destroyed when its method invocation completes
- Each frame contains an array of variables known as its *local variables* indexed from 0
  - Local variable 0 is "this" (unless the method is static)
  - Followed by method parameters
  - Followed by the local variables of blocks
- Each frame contains an *operand stack*

### Data Types (§3.2, §3.3, §3.4)

byte a 8-bit signed two's complement integer short a 16-bit signed two's complement integer int a 32-bit signed two's complement integer long a 64-bit signed two's complement integer

**char** a 16-bit Unicode character

**float** a 32-bit IEEE 754 single-precision float value double a 64-bit IEEE 754 double-precision float value

**boolean** a virtual type only, **int** is used to represent true (1) false (0)

returnAddress the location of the pc after method invocation

reference a 32-bit address reference to an object of *class type*,

array type, or interface type (value can be NULL)

Operand stack has 32-bit slots, thus long and double occupy two slots

#### Instruction Set (§3.11, §6)

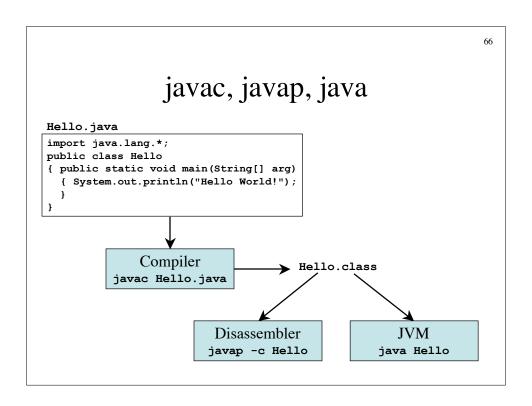
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opcode	byte	short	int	long	float	double	char	reference
Tipush	bipush	sipush						
Tconst			iconst	lconst	fconst	dconst		aconst
Tload			iload	lload	fload	dload		aload
Tstore			istore	lstore	fstore	dstore		astore
Tinc			iinc					
Taload	baload	saload	iaload	laload	faload	daload	caload	aaload
Tastore	bastore	sastore	iastore	lastore	fastore	dastore	castore	aastore
Tadd			iadd	ladd	fadd	dadd		
Tsub			isub	lsub	fsub	dsub		
Tmul			imul	lmul	fmul	dmul		
Tdiv			idiv	ldiv	fdiv	ddiv		
Trem			irem	lrem	frem	drem		
Tneg			ineg	lneg	fneg	dneg		
Tshl			ishl	lshl				
Tshr			ishr	lshr				
Tushr			iushr	lushr				
Tand			iand	land				
Tor			ior	lor				
Txor			ixor	lxor				
i2T	i2b	i2s		i2l	i2f	i2d		
l2T			12i		l2f	12d		
f2T			f2i	f2l		f2d		
d2T			d2i	d2l	d2f			
Тстр				lcmp				
Templ					fcmpl	dcmpl		
Тстрд					fcmpg	dcmpg		
if_TcmpOP			if_icmpOP					if_acmpOI
Treturn			ireturn	lreturn	freturn	dreturn		areturn

Actual Type	Computational Type	Category	
boolean	int	category 1	
byte	int	category 1	
<u>char</u>	int	category 1	
short	int	category 1	
<u>int</u>	int	category 1	
float	float	category 1	
reference	reference	category 1	
returnAddress	returnAddress	category 1	
long	long	category 2	
double	double	category 2	

#### The Class File Format (§4)

- A class file consists of a stream of 8-bit bytes
- 16-, 32-, and 64-bit quantities are stored in 2, 4, and 8 consecutive bytes in *big-endian* order
- Contains several components, including:
  - Magic number 0xCAFEBABE
  - Version info
  - Constant pool
  - This and super class references (index into pool)
  - Class fields
  - Class methods



```
javap -c Hello
Local variable 0 = "this"
                                 Index into constant pool
                                                            Method descriptor
  public class Hello extends java.la
  public Hello();
    Code:
     0:
                        #1; //Method java/lang/Object."<init>":()V
    1:
         invokespecial
         return
  public static void main(java.lang.String[]);
    Code:
    0:
                        #2; //Field java/lang/System.out:Ljava/io/PrintStream;
         getstatic
                        #3; //String Hello World!
    5:
8:
         invokevirtual
                        #4; // thod java/io/PrintStr
         return
                                          Field descriptor
           String literal
```

#### 68 Field/Method Descriptors (§4.3) FieldType: BaseType Character Type Interpretation byte signed byte C Unicode character char double-precision floating-point value double float single-precision floating-point value int integer long long integer L<classname>: reference an instance of class <classname> signed short Z boolean true or false reference one array dimension MethodDescriptor: ( ParameterDescriptor\* ) ReturnDescriptor ParameterDescriptor: FieldTypeReturnDescriptor: FieldType

#### Generating Code for the JVM

```
expr → term moreterms

moreterms → + term { emit(iadd) } moreterms

moreterms → - term { emit(isub) } moreterms

moreterms → ε

term → factor morefactors

morefactors → * factor { emit(imul) } morefactors

morefactors → div factor { emit(idiv) } morefactors

morefactors → mod factor { emit(irem) } morefactors

morefactors → ε

factor → (expr)

factor → int8 { emit2(bipush, int8.value) }

factor → int16 { emit3(sipush, int16.value) }

factor → int32 { idx := newpoolint(int32.value);

emit2(ide, idx) }

factor → id { emit2(iload, id.index) }
```

## Generating Code for the JVM (cont'd)

```
stmt \rightarrow id := expr \{ emit2(istore, id.index) \}
stmt \rightarrow if expr \{ emit(iconst_0); loc := pc; emit3(if_icmpeq, 0) \}
then stmt \{ backpatch(loc, pc-loc) \}
loc: if_icmpeq off_1 off_2 code for stmt
pc: backpatch() sets the offsets of the relative branch when the target <math>pc value is known
```