A Secure Similar Document Comparison Protocol

Alan J. Fret[§], Samuel Li[†], Alexander Studor[‡], and Maalik Winters[‡]

[§]University of Puerto Rico, allanjonuel@gmail.com; [†]Princeton University, seli@princeton.edu;
[‡]Missouri University of Science & Technology, {ajs6z9, mcw8f7}@mst.edu;

NSF REU Program in Cloud Security, Missouri University of Science & Technology, Summer 2017

Contribution

- **Problem**: Let there be a client (C) and a server (S) such that C wants to compare a query document d_q with every document in the server collection and retrieve the top-k similarity scores, without revealing either party's documents to the other party.
- **Objective**: To develop protocol to achieve this comparison without a trusted third party.

Background: IR & Cryptography

- **Document Model**: *Vector Space Model.* Term weight is given by:
  $term\ wt. = term\ doc.\ freq. * term\ inv.\ doc.\ freq.$
- **Similarity Metric**: Naïvely, a vector dot product, similar to *Apache Lucene*:

$$\text{score}(q,d) = \cdot \Sigma_{t \epsilon q} \text{tf}(t,d) \cdot \text{idf}(t)^2 \qquad (1)$$

- **Cryptosystem**: Paillier - Asymmetric with homomorphic properties: If $c_1 = E(m_1)$ & $c_2 = E(m_2)$ then,

$$D(c_1 \cdot c_2) = (m_1 + m_2 \mod n) \ \&$$
$$D({c_1}^r) = (m_1 \cdot r \mod n)$$

- **Adversary Model**: Semi-honest as defined in Secure Multiparty Computation (SMP) protocol literatures.

Algorithm

Require: Server has <d_1,...d_n> and client has d_q.

1: *Server:*
  (a). **for** i = 1 to n **do**
    Index(d_i)
  (b). Create dictionary of terms
  (c). **for** i = 1 to n **do**
    create vector v_i
  (d). Send dictionary to client
2: *Client:*
  (a). Index(d_q)
  (b). Create vector v_q
  (c). PaillierEncrypt(v_q) $\rightarrow E(v_q)$
  (d). Send $E(v_q)$ to server
3: *Server:*
  (a). **for** i = 1 to n **do**
    homomorphic(v_q) $\rightarrow E(s_i)$
  (b). Send <$E(s_i)$, ...$E(s_n)$> to client
4: *Client:*
  (a). PaillierDecrypt(<$E(s_i)$, ...$E(s_n)$>) $\rightarrow$ <s_1,...s_n>

Application Interface

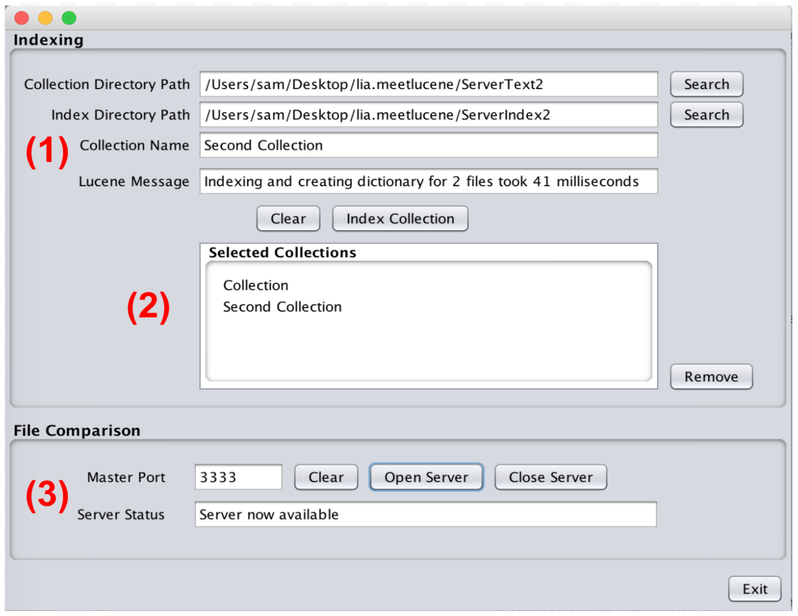

Figure 1: Server Application

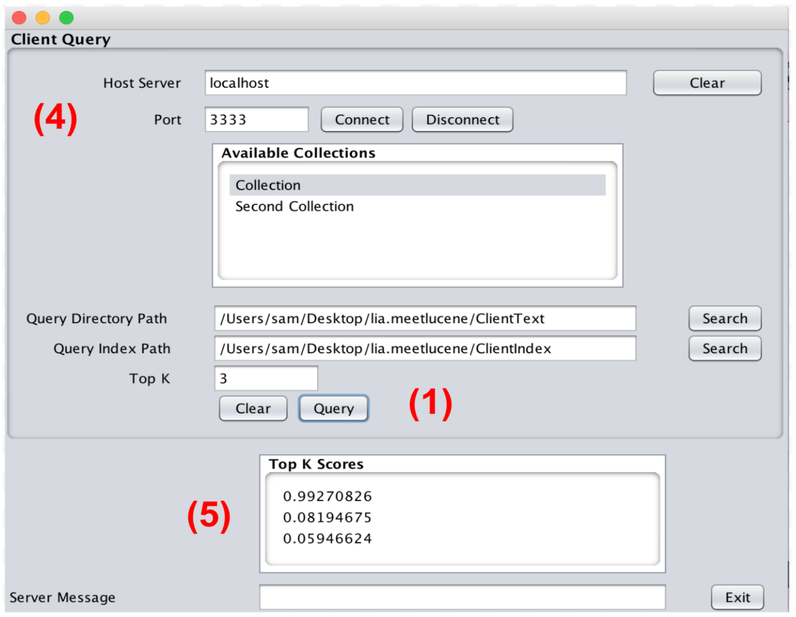

Figure 2: Client Application

Labeled Application Features

1. **Lucene Indexing**: The Apache Lucene library efficiently indexes the documents for vector creation
2. **Multiple Collections**: The client will be able to choose between multiple collections to query to
3. **Open master port**: All clients initially connect via the master port (3333)
4. **Connect to server**: Client must provide host name and master port
5. **Top-k scores**: Client displays scores

Time Complexity

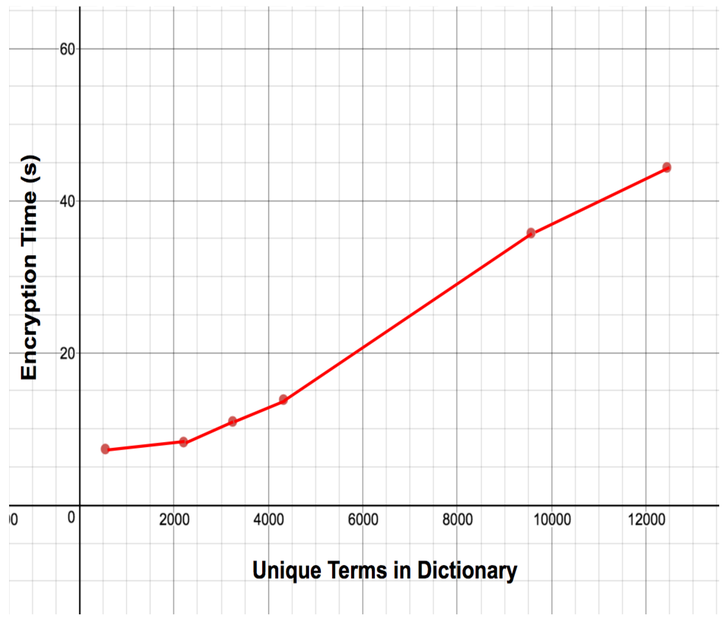

Figure 3: Encryption time versus dictionary size

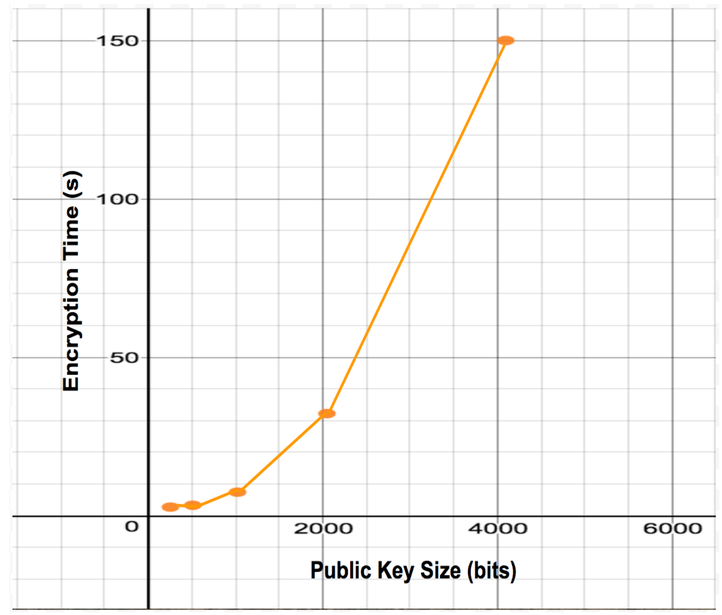

Figure 4: Encryption time versus public key size

We found that the client query encryption is the bottleneck of our protocol. Test cases carried out on varying parameters indicate a linear relationship between encryption time and dictionary size, and a quadratic relationship between encryption time and public key size.

Future Work

- **Implement SMIN**: Adopt secure SMIN protocol developed by Jiang et. al. so that client only receives top-k scores
- **Improve efficiency**: Modify our implementation to calculate comparisons faster.