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CONFIGURABLE PARALLEL EXECUTION OF SYSTEM TESTS WITHIN THE STRIMZI PROJECT

KONFIGURATEĽNÁ PARALELNÁ EXEKÚCIA SYSTÉMOVÝCH TESTOV V RÁMCI PROJEKTU STRIMZI

MASTER'S THESIS

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Abstract

In recent years, many companies adopted the Kubernetes system and architecture of Microservices. This technology opened up a lot of new possibilities not just for a big companies, but also for a small users of the containers. Since Kuberentes is container-orchestration system a new idea about how to orchestrate the containers came – the Operator pattern. and with it appeared the operator pattern. One of these operators is developed under project Strimzi. This project gathers together several tools, which takes care of Apache Kafka deployed on top of Kubernetes. Since Kafka is a vast system, horizontally scalable middleware product, you can imagine that the installation of such system is a relatively complex action. Therefore, one of the biggest challenges of the Kubernetes environment is how to effectively and quickly test systems such as Strimzi and at the same time verify integration with other similar products. Additionally, the resources available at Kubernetes are much more demanding and thus rank among the most complex compared to the deployment of Kafka on virtual machines or typical container instances. To tackle this problem, we adopt the principles of parallel execution and create a mechanism within Strimzi system tests, which runs in parallel against only a single Kubernetes cluster. Furthermore, we proposed a brand new architecture of the end-to-end tests. The improvements aim at scalability and reduction of execution time. Through several experiments, this paper shows that proposed mechanism with different configurations of Kubernetes cluster (including number of Kubernetes nodes, number of tests and suites executed in parallel) significantly accelerated execution of the tests.

Abstrakt

Do tohoto odstavce bude zapsán výtah (abstrakt) práce v českém (slovenském) jazyce.

Keywords

Strimzi, Kubernetes, Orchestration, Clustering, Azure, Openstack, AWS, Apache Kafka, Distributed systems, middleware, end-to-end tests, paralelism, multi-threaded execution, race condition, synchronization, scalability, operators

Klíčová slova

Sem budou zapsána jednotlivá klíčová slova v českém (slovenském) jazyce, oddělená čárkami.

Reference

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Rozšířený abstrakt

Do tohoto odstavce bude zapsán rozšířený výtah (abstrakt) práce v českém (slovenském) jazyce.

Configurable parallel execution of system tests within the Strimzi project

Declaration

Prohlašuji, že jsem tuto bakalářskou práci vypracoval samostatně pod vedením pana X... Další informace mi poskytli... Uvedl jsem všechny literární prameny, publikace a další zdroje, ze kterých jsem čerpal.

Maroš Orsák September 8, 2021

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Contents

1	Introduction (TODO)	3
2	Preliminaries 2.1 Kubernetes	4 11 18 23
3	Theory of paralelization 3.1 Amdahl's & Gustafson's law	25 25 25 25 25 25
4	Proposal of parallel approach 4.1 Possible approaches 4.2 Architecture changes 4.3 Method wide paralelization 4.4 Class wide paralelization 4.5 Algorithms	26 26 26 26 26
5	Implementation5.1 Resource Manager paralell approach5.2 Parallel annotations5.3 Method wide paralelization5.4 Class wide paralelization5.5 Complications during implementation	27 27 27 27 27 27
6	Experimental evaluation 6.1 Experimental setup	28 28 28 28
7	Future work	29
8	Conclusion	30
Bi	ibliography	31

A	How to use this template	33
В	Writing english texts	38
\mathbf{C}	Checklist	42
D	IATEX for beginners	46
${f E}$	Examples of bibliographic citations	49

Introduction (TODO)

These days, we are increasingly encountering parallel programs. A dozen programs that have been written in a typical way for single core systems cannot take advantage of the presence of computers with multiple cores. In the past, when we wanted to speed up problem solving, we wanted to create something that will eliminate the time we spent on calculations. And so we invented the computer, which at the beginning knew relatively nothing to do. However, after a few years, all this changed and computer was able to solve problems that took a person many days. Today we live in a time when computers has significantly improved execution time by solving different problems using parallelism.

- 1. odstavec úvaha a motivácia paralelizácie...dnešný trend...
- 2. odstavec pár slov ku Strimzi...
- 3. bottle-nech prístupu ku aktuálnemu testovaniu
- 4. návrh na vyriešenie aktuálnych problémov
- 5. implementácia a ohodnotenie experimentov čo sa zistilo...
- 6. štruktúra diplomky...

Preliminaries

This chapter provides a fundamentals of technologies used across the whole thesis. Notable technologies used are Kubernetes¹, Apache Kafka² and Strimzi³, which are described in details. Note that author has published description of this technologies in his bachelor's thesis [13]. On the other hand, the author tried to explain the obscure things better and more clearly, and at the same time keep the things that were very well described. Furthermore, some ideas related to the Kubernetes were taken from the *The Kubernetes book* [16]. The section 2.4 describes the e2e Strimzi tests that run on the top of the Kubernetes cluster. Also note that author described this topic in series of blogs posts *Introduction to system tests* [15] and *How system tests work* [14] and has taken over a few sections that were necessary.

2.1 Kubernetes

A few years ago came a new concept of container management. This concept has opened the door to many products to simplify their management of deployments. This technology defined a set of primitives, which collectively provide mechanisms that deploy, maintain and scale applications based on CPU, memory or custom metrics. Moreover, it does not create a virtual machine but uses the kernel of the physical computer. Also known as the lightweight approach compared to virtual machines. Kubernetes follows master and slave architecture. Master node controls Kubernetes resources. On the other hand, the slave node is responsible for resource creation. The definition of these resources is given in a declarative way using YAML⁴ formated files.

2.1.1 History

So far, we have developed four approaches to managing applications on the top of the operating system [3]. And in each direction, we have eliminated certain disadvantages based on empirical knowledge.

¹Kubernetes – orchestration system found in 2014 by Google (https://kubernetes.io/)

²Apache Kafka – distributed messaging system originally founded in 2013 by LinkedIn (https://kafka.apache.org/)

³Strimzi – collection of operators for deploying and managing Apache Kafka on top of the Kubernetes (https://strimzi.io/)

⁴YAML – human readable serialization format (https://yaml.org/)

- 1. Physical The first phase of how to deploy applications was to execute the program on the physical computer. Nevertheless, this approach was not as practical as it seemed at first. The main issues were scalability, management of hardware, security, and price. Besides that, sharing memory between five running applications in an identical environment is not ideal. Moreover, to isolate the environment, one has to buy five physical servers, which could cost a significant amount of money. All of this led to the formation of the follow-up phase.
- 2. Virtualization The next phase has solved problems like scalability, security, and also price. This scenario of the applications can run on a single machine without sharing memory, which means it is isolated and encapsulated from the outside world. Furthermore, you can run four of these virtual machines on a single physical server, and your only limitations are the server resources. These virtual machines are independent of each other, and therefore security is much higher. However, resource consumption is still high since each virtual machine includes an Operating System. At the same time, the management of these entities is not easy if we imagine production with hundred virtual machines. Sometimes applications need to share information, and with that comes another phase.
- 3. **Containerization** In the last phase, containerization is considered as a lightweight to virtualization. The difference between these two phases is that virtualization is using hypervisors⁵ to manage all the virtual machines which have operating systems. The container shares the operating system with the server. Similar to virtualization, they have their filesystem, memory and space. Containerization has become the most popular technology due to the several benefits it offers:
 - **Isolation** predictable application performance
 - Observability gathering of information, providing metrics, logs
 - **Portability** of distribution in the cloud and OS runs on basically all available OS, public clouds and so on
 - **Agile approach** easy to create and manage container images instead of using virtual machine images

Unfortunately, containerization still has several shortcomings, such as managing more running containers simultaneously, making debugging challenging.

4. Container orchestration — The phase of the present. Let's imagine a situation where we run several containers and want to know the container's current state or metadata information. It is not straightforward to get such information because we have to look at each running container separately and analyze it. That is the point where Kubernetes brings us a solution to this problem. While in containers, we have to search each one individually, so in Kubernetes, we all have it simultaneously. Figure 2.1 illustrates and summarises the phases of managing an application on top of the operating system, starting in 1950 when the first computer, ENIAC, was assembled—moving to the virtualization era, which started in the early 70s. IBM Cambridge Scientific Center begins the development of CP-40, the first operating system that implemented complete virtualization. However, what is very important to note is that the first known virtualization software was VMware, created in 1997.

⁵Hypervisor - It is a software that managing virtual machines, for instance VMware or VirtualBox.

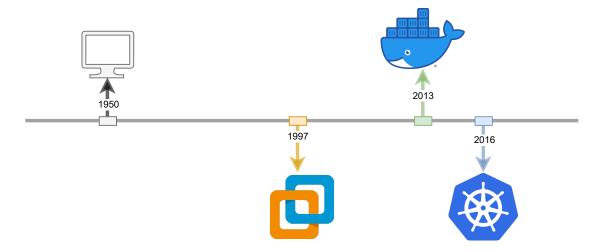


Figure 2.1: Evolution of virtual technologies

Afterwards, the lightweight era comes with an idea whose functionality was based on containerization [10]. Finally, we have a manager who takes care of the overall management of the individual containers and guarantees their reliability, scales it pretty effectively and more. This is what we call container orchestration system [1]. It has the following entities:

- (a) Deployment, StatefulSet, ReplicaSet, and Custom resource definitions (CRDs).
- (b) Service and Load balancing (Service discovery).
- (c) Storage (Storage orchestration).
- (d) Secrets (Secret and configuration management).

2.1.2 Essential components of Kubernetes

These Linux hosts can be virtual machines, bare-metal servers in the data centre, or private or public cloud instances. Production environments typically have more than one master node running because the need of High Availability⁶). Kubernetes services from the biggest cloud providers such as Azure Kubernetes Service (AKS), Amazon Elastic Kubernetes service (EKS), and Google Kubernetes service (GKS) has five master nodes, which are replicated in case of any failure. The master node 2.2 contains several components such as kube-controller-manager, kube-scheduler and kube-apiserver. These components are also called the control plane. The kube-controller-manager taking care of all controllers where each of these controllers runs as a separate process. Node controller responsibility is to control and respond to the current status of the node. In other words, do a health check of nodes. There is also the Endpoint controller for Service and Pod objects, Job controller for Job objects and so on. All these controllers follow algorithm 2.1.2.

 $^{^6\}mathrm{High}$ availability (HA) – is the characteristic of the system to run without failing for some period of time.

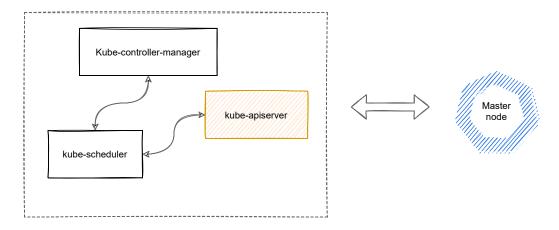


Figure 2.2: Representation of the Master node

Algorithm 1 Generic algorithm for each Kubernetes controller

```
1: desired\_state \leftarrow controller.obtain\_desired\_state()
```

- 2: while True do
- $3: desired_state \leftarrow controller.obtain_desired_state()$
- 4: $current state \leftarrow controller.observe current state()$
- 5: **if** $current_state \not\models desired_state$ **then**
- 6: controller.reconcile()
- 7: $desired state \leftarrow current state$

The *kube-apiserver* works like the controller of API calls and communicates with the *kube-scheduler*. It makes sure that every created Pod has some node assigned to run there. The worth of mention is that we also have a component called *etcd*, which works as a backup for cluster data. Slave or node components 2.3 as *kubelet* have taken care of containers running inside the Pod. *Kube-proxy*, which reflects all the services defined in the kube-apiserver. In the following Figure 2.4, one can see relation between master and slave nodes.

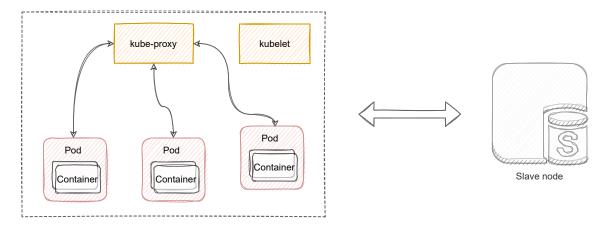


Figure 2.3: Representation of the Slave node

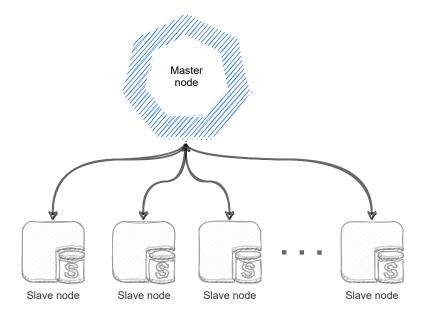


Figure 2.4: Relation between master and slave nodes

2.1.3 Common objects

- 1. **Pod** is the atomic unit of the Kubernetes. For instance, in the VMware environment, the atomic unit is a virtual machine and the Docker has a container. The term Pod originated from the Docker logo. If we think about it, Docker has one whale on his logo and we call a group of such whales pod or in other words pod of whales. Deductively, we can find out the property of the Pod that is that one or more containers can run in it. These containers share storage, network and specification of how to run the container. If the container wants to communicate with the other container, this can be achieved using the localhost interface. One of the disadvantages of these resources is their lifecycle. If Pod crashes or is deleted, it will no longer be possible to have a copy of this Pod. Instead, Kubernetes will create a new Pod that will have a unique ID and a new IP address assigned.
- 2. Service represents a way how particular components communicate. Services provide reliable networking for a set of Pods. This means that if Pod fails and Kubernetes create a new Pod the IP address is changed. Moreover, operations like scaling up or scaling down do the same. This is where Services comes into play. They provide reliable names/alias and IP address. Furthermore, the Kubernetes service has its DNS name and port. It is a stable network abstraction, which provides TCP and UDP load-balancing across a dynamic set of Pods. By default, a service in Kubernetes has a type of ClusterIP, which means that communication can be established only inside of the Kubernetes cluster. The way how one is able to expose your application outside of the cluster is to use the following type of service which Kubernetes offers:
 - nodeport exposes the service to be accessible via node IP with a specific port.
 For instance, you want to expose your HTTP server to be publicly accessible on a specific port.
 - load balancer exposes the service externally using a cloud provider load balance. The load balancer is shown in the definition. .status.loadBalancer field,

- where you can find a real IP address. As an example, if your demands are high and you want an application that requires more ports on specific IPs, then the usage of load balance is a wise choice.
- ingress the previously mentioned types of how to expose a service were service types, but ingress is an entry point for the cluster. It lets you consolidate your routing rules into a single resource as it can expose multiple services under the same IP address [5].
- 3. Namespace this concept of namespaces was introduced in order to run numerous virtual clusters inside one physical. It is great for applying different quotas and access control policies. On the other hand, it is not suitable for strong workload isolation. By default, Kubernetes starts with three initial namespaces:
 - default the objects which do not have another namespace belongs to the default namespace,
 - **kube-system** namespace for objects created by the Kubernetes system i.e Pods, kube-proxy, kube-dns. Furthermore, the service account is used to run the Kubernetes controllers.
 - **kube-public** this namespace is created automatically and is recognizable by all users (including those not authenticated). In other words, there is a situation we need to have shared resources across the whole cluster; then we have to make sure that theses resources are inside this namespace [4]
- 4. **Volume** is data storage. The Volume is a separated object bind to a Pod. The main ideas behind volumes are the following: at first, assume a scenario when your Pod crashed, and the application will lose all data, and one would like to retrieve it secondly if one wants to share the same data between more Pods. The answer to these problems is the *Kubernetes Volume abstraction*.

2.1.4 Controllers

- 1. ReplicaSet is the Controller that is responsible for the correct number of running Pods. Furthermore, ReplicaSet plays a significant role in the Deployment controller where supplies a self-healing mechanism and scale operations. The self-healing mechanism guarantees that the Pod is running and in the event of any error or termination of the Pod, a new one will be created immediately. Scale operations guarantee an easy way to increase the number of Application Pods if necessary in the event of a heavy load. The same applies even if the given number of Pods is already high (then we simply use a scale down operation). ReplicaSet also has a great responsibility for the Rolling Update and Rollback operations available in Deployment. We will talk more about them in the next section.
- 2. **Deployment** It is one of the most widely used application management controllers in the Kubernetes environment.
 - Based on our knowledge, the skilful reader will realize that Pod as an atomic unit will not be sufficient. This is mainly since Pod has no self-healing mechanism, does not support scale operations, Rolling Update⁷ or Rollback. Deployment has all these

 $^{^{7}}$ Rolling Update – is the process when one updates the Deployment configuration and this update trigger replacements of the Pods with the new desired configuration

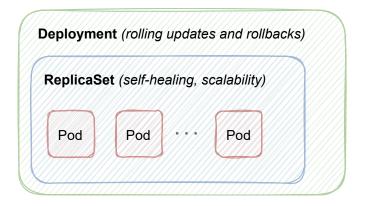


Figure 2.5: Hierarchy of Deployment, ReplicaSet and Pod inspired by The Kubernetes Book [16]

features at its disposal. Noteworthy, this controller manages the ReplicaSet, which takes care of self-healing and scale operations. This means that the ReplicaSet checks whether the desired state is equal to the current state, such as the number of replicas are equivalent to the current state. Additionally, Deployment supplies the remaining properties, which are Rolling Update and Rollback. Since Deployment is a fully-fledged object in the Kubernetes API similar to Service, Pod, or Volume that gives us the ability to define such an object in a YAML files. Such an object can then be edited and updated, which will trigger Rolling Update. Figure 2.5 shows us the hierarchy of mentioned the controllers.

- 3. StatefulSet The last major controller is StatefulSet. This controller has many features like Deployment such as reconciliation loop described in 2.1.2, scaling operations and self-healing mechanism. The difference between Deployment and StatefulSet are as follows:
 - unique identity to Pods in case of failure remains the same (deployment will create a new Pod with a completely new name). Moreover, StatefulSet guarantees that Pods are created/deleted in order (deployment does not care about order).
 - scaling operation ensures that each new Pod is installed before the previous one is ready and running. This process is repeated until we reach the number of replicas required. Figure 2.6 illustrates a scaling up scenario, where firstly Pod_1 is being deployed and after a while when Pod_1 is running and ready, the Pod_2 is being deployed.

With that being, we see that architecturally StatefulSets has a different mechanism of self-healing and scaling operations compared to the Deployment. In addition, Volumes plays a big role in StatefulSet. When the Pod is created, Statefulset immediately creates an associated volume and attach this Volume to the Pod, which shows Figure 2.6. This guarantees that Pod can keep all the information even in the event of an unexpected failure.

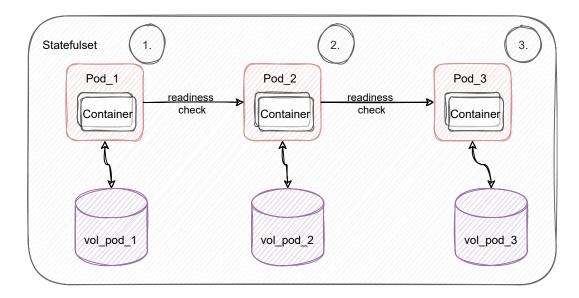


Figure 2.6: StatefulSet ordered creation of Pods

2.2 Apache Kafka

This section explains and defines the basics of the Apache Kafka system. The description is based on two books *Designing Event-Driven Systems* [20] and *Real-Time Data and Stream-Processing at Scale* [12]. Moreover, the Kafka streams subsection is based on *Mastering Kafka Streams and ksqlDB Building real-time data systems* [11]. We also used Kafka's documentation as the most up-to-date reference [2]. In these books and documentation, there can be found a more detailed explanation of Kafka itself.

Apache Kafka is a event streaming platform that offers many features like high performance, distribution, commit log service⁸, and more. It publishes and subscribes to record streams that are similar to a message queue or enterprise messaging system. Additionally, it stores record streams in a robust, fault-tolerant way. Kafka also creates real-time data flows that reliably capture data transferred between systems or applications. This technology is widely used by many big companies like LinkedIn, Spotify, Netflix, and Uber.

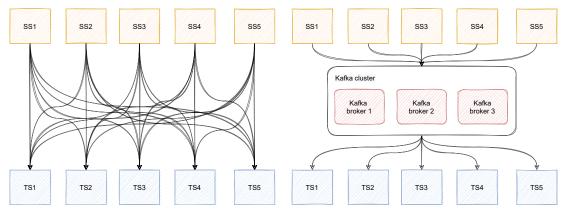
2.2.1 Motivation [13]

In the past, companies had applications or systems that share many data. Moreover, the application was able to provide some valuable information to another application. So, there was one source system and one target system. But what about adding some more source and target systems? Assume an example where one has five source systems and five target systems. Each source system needs something from each particular target system.

Therefore has twenty-five links, which is not effective i.e 2.7 (quadratic complexity). That is why Kafka was invented. Let illustrate the same example with ten systems and Kafka in the middle serving as Middleware⁹, which is placed in the middle of these systems. In that case, each source system is bind to the Kafka broker, and all data are delivered by a single link. You can see the updated system in the Figure 2.7.

⁸Commit log — is a type of data structure that stores ordered sequences of events.

⁹Middleware – Software, that acts as the middle man between two systems and guarantees interoperability between them.



- (a) Source and Target systems without Kafka
- (b) Source and Target systems with Kafka

Figure 2.7: How to make system more efficient with Kafka

2.2.2 Fundamental concepts

In this subsection, we describe fundamental concepts of Apache Kafka such as Producer, Consumer, Kafka broker, Kafka cluster and so on. The description is based on the *Real-Time Data and StreamProcessing at Scale* [12] and partially [2].

- 1. **Producer** are one of the types of clients that Kafka provides. They produce new messages that are sent to a specific topic. In general, the client does not need to know to which partition it is necessary to send messages. It simply sends messages divided among several partitions. Thus, producers represent the entity that creates the data in the Kafka world. Kafka also provides the implementation of these clients in several languages such as Java, Go, C++, Python and many others. Noteworthy, Kafka also provides the client with a higher abstraction, which means that it is no longer necessary to create the producers themselves, but those entities are encapsulated in the client. These are, for example, Kafka Streams for stream processing or Kafka Connect API for data integration.
- 2. Consumer Unlike producing messages, a consumer or group of consumers tries to obtain messages. At the same time, as a producer, it is necessary to specify the topic from which the consumer will read. However, the consumer can also read from a group of topics. The consumer maintains an internal offset value that lets them know where it is when reading the data from topic. The method that consumers use is called polling ¹⁰. The consumer group behaves as an individual logical unit. Kafka does not support reading from one specific partition with two or more consumers simultaneously. The reason why this concept was created is based on straightforward questions. How are we able to consumes data concurrently? Likewise, what is worth mentioning is that we can not have more consumers than partitions because, in that type of example, some of them are inactive. This concept differs from other messaging solutions and describing why Kafka is so flexible in comparing with the traditional messaging based on AMQP protocol like ActiveMQ or RabbitMQ.
- 3. **Kafka broker/cluster** It is a server application that manages messages that are sent by producers and at the same time obtained by consumers. In other words, it

¹⁰Pooling – periodic querying to the server in that case, to the Kafka broker

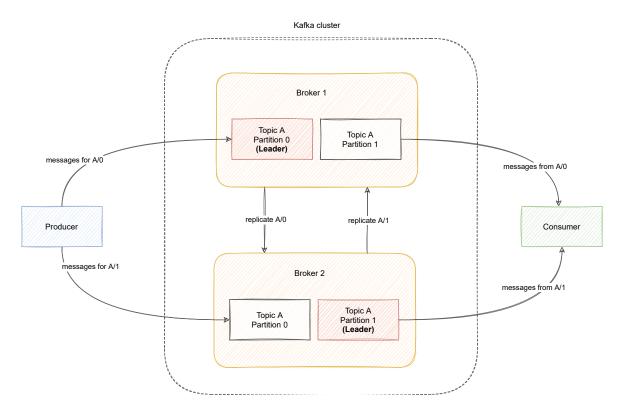


Figure 2.8: Kafka topic partition replication scenario in Kafka cluster inspired by *Real-Time Data and StreamProcessing at Scale* [12]

takes care about the order of the data. Sometimes we can see a Kafka broker with names such as Kafka server or Kafka node. These names are synonymous with Kafka broker. Kafka broker was designed to be horizontally scalable to create a Kafka cluster (two and more Kafka brokers). Within Kafka cluster, we always know who is the cluster controller. The cluster controller takes care of fundamental operations such as assigning partitions to brokers or monitoring for the failure of Kafka brokers. One broker in the Kafka cluster always owns the topic partition. This broker is called the leader of this topic partition. Of course, this topic partition can be replicated into several Kafka brokers, which will result in its replication and thus data redundancy. On the other hand, if the leader Kafka broker fails, the one who has the replicated topic partition will take control and become the new partition leader. If the leader Kafka broker fails, the one with the replicated topic partition can become the new partition leader. Figure 2.8 illustrates this type of scenario, where two Kafka brokers shared data between each other and partitions of topic are replicated.

4. **Kafka Topic** – is not a simple concept and includes several parts such as the replication factor, partitions and more. Kafka topic is equivalent to database table as one can see in the Figure 2.9.

Messages are being stored in a specific topic. A replication factor is a number, which defines how many replicas will be available on the other brokers from Kafka cluster. Imagine the following scenario – we have a Kafka cluster with three Kafka brokers. We create a new topic with a unique name by using a command-line interface. (In the Section 2.3, we will also talk about an alternative way of creating resources.)

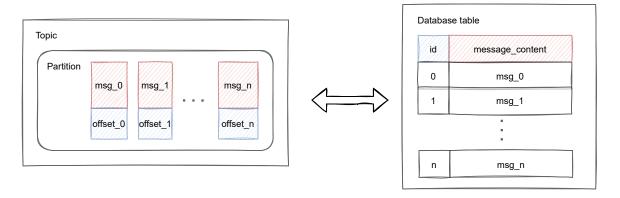


Figure 2.9: Equivalence of Kafka topic and database table

The question can be what happens if we set higher replication factor then we have available Kafka brokers. We are notified that the Topic can not be created because we do not have enough accessible Kafka brokers. More about this in ??. Partitions are an entities that splits your Topic into separate parts. It means that in each partition, we have different data; using this feature, we allow the consumer to fetch data in a concurrent way. A partition contains offset, which serves as an id for the specific message. Offset is an integer value assigned to each consumer indicating the next message, which will be read. Consider the scenario when we have one Kafka broker and one Topic with hundred messages. According to offset implementation, the maximum offset value is 100 because it reflects the position of the last message in the Topic. If we configure consumers to subscribe to that Topic, it uses the polling method and starts with offset, e.g. one to zero. The first poll gets twenty messages, so offset move on nineteen and so on. The Figure 2.10 illustrate this scenario. In general, we can understand offset as the message index.

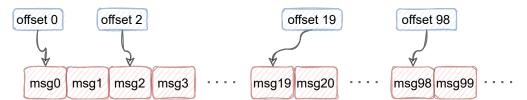


Figure 2.10: Partition offset

2.2.3 Kafka Streams

It is a stream processing tool created by the Kafka community that does not suffers from the low level of the Consumer API and Producer API. These client APIs are very flexible, and the user can create the data processing logic he wants. However, there is a payoff, and it is writing many lines of code. Unfortunately, we cannot classify these APIs into stream processing APIs because they do not contain primitives that would classify them there, such as *Local* and *Fault-tolerant* state and a set of transformers that work with data (a transformer is an operator that transforms data).

¹¹Consumes more than one message at the specific period.

In 2016, Kafka introduced the Kafka Streams API, which solved these problems. Inexperienced users in Kafka Streams would think it is just a matter of sending messages to and from Kafka. Instead, we can see that Kafka has a part of Producer and Consumer, where it offers a wide range of libraries for data transformation. Kafka streams also support two crucial operating characteristics:

1. Scalability – In Kafka Streams, the smallest unit of work is a single partition. If we want to scale the Kafka Streams application, we have to divide Topic into several partitions. Practically speaking, you use the Kafka Streams API to deploy multiple instances of an application, each of which will handle a subset of the work. For illustration, one Topic has sixteen partitions, and it is up to us how we scale it. One scenario could be to deploy two instances, and each of them would trade eight partitions. Figure 2.11 shows example with three partitions.

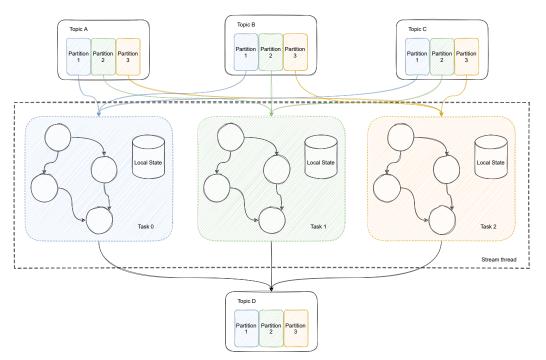


Figure 2.11: Kafka Streams with local state stores inspired by Kafka Documentation [2]

2. **Reliability** – If an error occurs on any node, Kafka automatically distributes the load to other nodes. However, we must realize that if the node that fell is the last, we may lose the data if we do not use some Volume or other external storage. At the same time, when the node returns to run the given error is corrected, Kafka will rebalance again. These sentences only prove that Kafka Streams is a fault-tolerant and provides reliability.

One of the main differences between other similar systems is the processing model that Kafka Streams offers. These systems, such as $Apache\ Spark\ Streaming^{12}$ or $Trident^{13}$, use micro-batching, which occurs very much in neural networks where work is divided into

¹²Apache Spark Streaming – is a extension of Spark API with many transformation methods.

¹³**Trident** – high-level abstraction for stream processing. Based on the Apache Storm. It provides multiple transformation methods such as filters, grouping and aggregations.

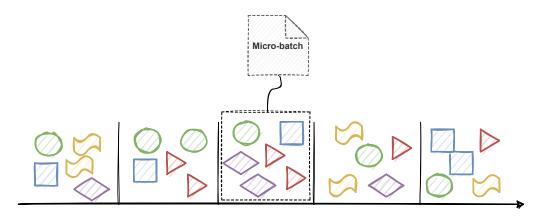


Figure 2.12: Micro-batching processing (typical for different systems) inspired by [11]

several batches. These groups are then loaded into memory then emitted at a pre-selected interval (typically 1s or less). Figure 2.12 shows a micro-batching strategy, where one can see that events are coupled into groups. By contrast, Kafka Streams offers us event-at-atime processing, where events are processed as soon as they arrive. This approach gives us low latency and is considered true data streaming. Figure 2.13 illustrates the event-at-atime processing strategy.

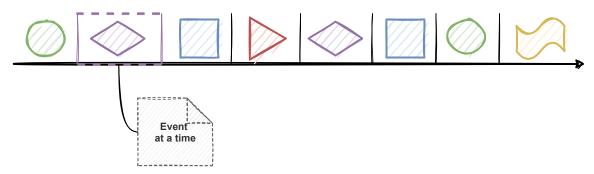


Figure 2.13: Kafka Streams uses the event at a time processing inspired by Mastering Kafka Streams and ksqlDB Building real-time data systems [11]

Kafka Streams is thus a set of libraries that offer developers incredible power over data processing. Additionally, it have a model of parallelism, where the logical unit partitions. Easily scalable by either increasing or decreasing partitions, and lastly, Fault tolerance is rooted in Kafka itself (dependent on Topic replicas). This collection of characteristics make it the perfect choice for today's applications. These types of applications could be, for instance:

- email tracking, monitoring,
- chat infrastructure (Slack), virtual assistants, chatbots,
- machine-learning pipelines (Twitter),
- smart home (IoT sensors).

We have many such types of applications. However, what brings together all the examples is real-time data processing.

2.2.4 Kafka Connect

One of the most critical questions that every data engineer is asking: "How to move data from Kafka to datastore or vice versa?". At the same time, create data pipelines that connect several systems, for instance, by selecting data from Twitter and then sending it to Elasticsearch or other external storage. Of course, Kafka will play a middleware role in this data transfer. We can answer the previous question very quickly and solve the data integration problem thanks to the Kafka Connect component.

Kafka Connect offers an incredible number of features that are transparent to the users. These include configuration, parallelization, error handling and much more. Moreover, for data integration, Kafka Connect offers two types of connectors. Connectors are already predefined templates. These connectors need metadata information to work. We give this connector information such as the names of one or more Topics to follow. In addition, these are attributes such as the connector class, number of tasks executed in parallel and the connector URL. The first such type of connector is Kafka Connect Source, which obtains the data from the datastore. Information about what datastore and other metadata are provided in the connector configuration files. In case the data in datastore are changed, the data is automatically sent to Topic or more Topics. The second type is the Kafka Connect Sink, which is analogous to the Source connector. In the connector configuration, we define which datastore it should add data to and from which Topic it should monitor changes. When Topic changes his state, this data is automatically pushed into the given datastore. The simplest examples of connectors already mentioned above are the FileSource and FileSink connectors.

However, to properly understand Kafka Connect, it is necessary to know how the following fundamental mechanisms work:

- 1. **Connector** As mentioned above, the connectors are used to transfer data to and from Kafka. Among the essential responsibilities of connecting connectors to a given datastore, it maps the data structure that the external storage has at its disposal and decides how many tasks (threads) will run simultaneously during the transformation.
- 2. Worker This entity is responsible for the REST API available to Kafka Connect. They check REST API requests and respond accordingly. If a worker error occurs in any way, the other workers in Kafka Connect will know this information as soon as possible and then perform rebalance and redistribute the work.
- 3. Data model and converters Kafka Connect API contains endpoints of data objects and the scheme. These objects can be database tables, JSON, XML, AVRO schemas. Converters transform this schema to a Connect Schema object. Subsequently, this Connect Schema object is sent to the target system. Noteworthy, there are currently many such converters available, and it is only about the configuration.

All the mentioned Kafka components can be divided into three stages, which Kafka itself went through. The first milestone was the emergence of a new messaging system with basic functionality and no enterprise libraries. These included components such as Kafka Broker, Topic, Consumer and Producer. The lack of libraries and writing vast amounts of code in data processing brought Kafka Streams. Kafka Connect solved data integration problems between other systems. Finally, the Kafka Mirror Maker 2 concept came along, which improved the Kafka Mirror Maker predecessor with many capabilities. It was a way to move data from one Kafka cluster to another. To one, the Kafka ecosystem would not

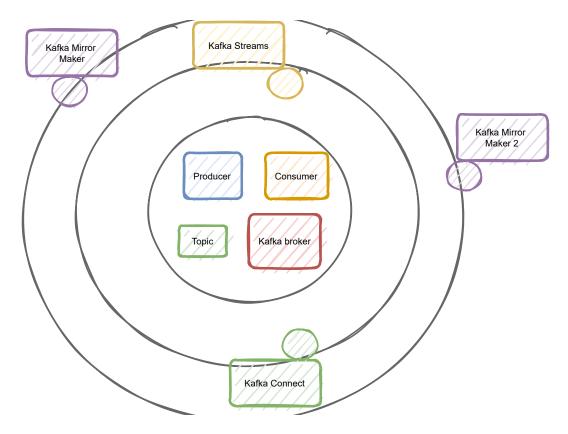


Figure 2.14: The entire Apache Kafka ecosystem.

seem small, where we have described its main elements. Figure 2.14 shows these stages starting with the Kafka Broker, Producer, Consumer and Topic. There are many other parts, such as Kafka Quotas or Kafka Rebalance features. Nonetheless, in the thesis, we do not deal with Rebalance, Mirror Maker or Kafka Quotas, and therefore it is not necessary to explain them in detail. However, in case of interest, I recommend the previously mentioned literature.

2.3 Strimzi

This section describes and defines the fundamental parts of the Strimzi system. Moreover, it explains the whole architecture with all Operators (Topic, User, Cluster). The description is based mainly on [7, 6].

The information described in sections 2.1, 2.2 was a precursor to a complete understanding of the Strimzi system. Strimzi is an Apache Kafka orchestrator in the Kubernetes environment. It is therefore a collection of operators that simplify work with Kafka. The operator in Kubernetes is a component that is always in one of the following three states:

- Observe gain the desired and current state,
- Analysis compares these two states and finds the differences,
- Act subsequently, if the given differences were found, it will do a reconciliation that will make the current and desired state identical

One can then be understood as a superset of the Deployment controller, which, like other controllers, followed this 2.1.2 algorithm. The main difference is that the Operator oversees Custom Resources, which is an extension of the Kubernetes API. Custom Resources were created to define your application objects in the Kubernetes environment. At the same time, this is associated with the Custom Resource Definition, which is a declaration of what values and types a given Custom Resource can acquire. We can also imagine that Custom Resource Definition is a template comparable to classes in the Object Oriented programming world. At the same time, Custom Resource is already an instance of the class. Strimzi has a defined Custom Resource Definition for each Kafka component we described in section 2.2 except for clients. For example, for the KafkaBroker component, Strimzi has its Custom Resource Definition and others.

```
apiVersion: apiextensions.k8s.io/v1
kind: CustomResourceDefinition
metadata:
 name: kafkas.kafka.strimzi.io
 labels
  app: strimzi
spec:
 group: kafka.strimzi.io
 names
  kind: Kafka
 scope: Namespaced
 schema:
   openAPIV3Schema:
     type: object
    properties:
      spec:
       type: object
       properties:
        kafka:
          type: object
          properties:
           version:
            type: string
           replicas:
            type: integer
            minimum: 1
           image:
            type: string
           listeners:
            type: array
            minItems: 1
```

apiVersion: kafka.strimzi.io/v1beta2 kind Kafka metadata: name: my-cluster spec: kafka: version: 2.8.0 replicas: 3 listeners: - name: plain port: 9092 type: internal tls: false - name: tls port: 9093 type: internal tls: true confia: offsets.topic.replication.factor: 3 transaction.state.log.replication.factor: 3 transaction.state.log.min.isr: 2 log.message.format.version: "2.8" inter.broker.protocol.version: "2.8" storage: type: ephemeral zookeeper: replicas: 3 storage: type: ephemeral entityOperator: topicOperator: {} userOperator: {}

- (a) Example of Kafka Custom Resource Definition (Unnecessary parts omitted for brevity).
- (b) Example of Kafka Custom Resource

Figure 2.15: Kafka Custom Resource Definition and Kafka Custom Resource (Class and Instance)

Figure 2.15 illustrates the mentioned Custom Resource and Custom Resource Definitions. Kafka Custom Resource Definition (Figure 2.15 – right side) shows several essential parts:

- labels.app.strimzi every Kafka Custom Resource contains in Kubernetes contains this label, and with that, it is easier to find these resources
- **spec.names.kind.Kafka** In this attribute, we specify how the Custom Resource type will be uniquely named. In this case, Custom Resource is labelled with Kafka name.
- spec.scope.Namespaced type of environment scope. It distinguishes between Custom Resource, which works multi-namespace or single-namespace. Because Kafka Custom resource is set to Namespaced (single-namespace), it can work in one namespace. On the other hand, we also know the Custom Resource, which can have the scope set to Cluster (multi-namespace), which means that they will observe all the namespaces that the Kubernetes cluster has.
- spec.schema this is the whole declaration of the Custom Resource Definition. In the child nodes, we can see what types the individual attributes must comply with and what the restrictions are on the given types. For example, the attribute *replicas* we have a restriction that it must be set to at least one and similarly for other attributes. For imagination, the current version of Strimzi 0.25.0 Kafka Custom Resource Definition consists of 7000 lines of code.

On the other hand, we have Kafka Custom Resource (Figure 2.15 - left side), which includes some parts worth mentioning:

- apiVersion This is the REST API offered by the Custom Resource Definition. The prefix must also match the value found in Kafka, Custom Resource Definition in spec: group.
- metadata. name Custom Resource name,
- spec.kafka.version version of Kafka to be used,
- spec.kafka.replicas number of Kafka Pods to be installed,
- spec.kafka.listeners types of listeners to be supported by a given Kafka instance. In this case, we see two types, one with plain communication listening on port 9092. Furthermore, a second listener will use encrypted communication using TLS technology and listen on port 9093.
- **spec.kafka.config** these are additional configuration features that are added to Kafka,
- spec.kafka.storage [19] the type of storage that Kafka will have. We know two types that Kubernetes has been supporting for a long time. In our case, it is ephemeral storage. This is usually a directory somewhere in the operating system on our Kubernetes node. It works the same as the temporal directory. There are also risks associated with this, when the Kubernetes node may crash, and the data stored in the given storage will be lost. The same thing will happen if we get a running Pod that will use ephemeral storage. In case of a restart, together with the new Pod, empty storage will be created, not containing the previous data. The second type of storage is Persistent, which eliminates these risks.
- spec.zookeeper.replicas number of Zookeeper Pods to be installed,
- spec.entityOperator instance of Entity Operator with default configuration.,

2.3.1 Architecture

Strimzi architecture consists of two larger units, where the first unit is Kafka architecture and other components with which it communicates. The second unit is the Operators architecture, consisting of a Cluster Operator, an Entity Operator, a Topic Operator and a User Operator. These Operators then have control loops, which control the already defined Custom Resources, such as Kafka User, Kafka Topic, Kafka and Kafka Connect.

Kafka Architecture consists of several components, each of which performs specific tasks. Zookeeper is one of the most significant dependencies for Kafka and limits it in several areas. Whether it is scalability, metadata management or deployment itself, the answer to these problems was also in 2020 Kafka Improvement Proposal abbreviated KIP¹⁴, which was accepted. Kafka 3.0 should already be without Zookeeper's dependency. His responsibilities include, for example, leader election of partitions or storing the status of Kafka Brokers or Consumer offsets. Clients in Figure 2.16 are classically producer and consumer as we know them from the section 2.2, so their objective is clear. On the other hand, HTTP clients communicate with Kafka Bridge and thus connect the Kafka cluster and the clients themselves. It communicates by default via the REST API, and the user can create, delete, update Consumer, Producer, Topic and similar resources that Kafka Bridge offers. So

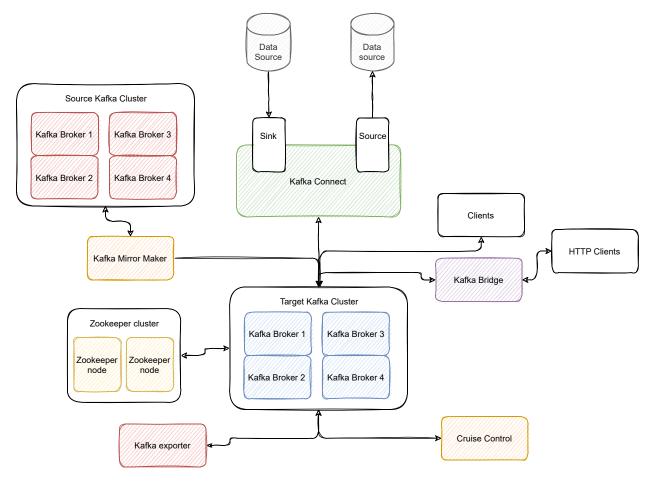


Figure 2.16: Strimzi Kafka architecture

¹⁴KIP-500 - removal of Zookeeper with replacing him with self-managed metadata quorum https://cwiki.apache.org/confluence/display/KAFKA/KIP-500

Kafka Bridge is nothing more than an HTTP proxy that integrates HTTP clients with a Kafka cluster. Another part of the Kafka architecture is the Kafka Exporter and is used to extract data from the Prometheus¹⁵. Then we have Kafka Connect and Kafka Mirror Maker, where we described the meaning of these components in the section 2.2. The last important component, especially for the overall balancing of the Kafka cluster, is Cruise Control. This component collects data on CPU pull-out, partitions status and many other metrics. Cruise Control creates an information model and analyzes when necessary to perform balancing and rearrange the load. Everything we have described can be seen in the following Figure 2.16.

The second part in the Strimzi architecture is the collection of Operators. In the beginning, we described what such an Operator does (reconciliation loop). Strimzi contains three Operators, where hierarchically the highest is Cluster Operator, which manages Kafka, Kafka Mirror Maker, Kafka Mirror Maker 2, Kafka Connect, Kafka Rebalance and Kafka Bridge Custom Resources. Furthermore, since Kafka Custom Resource encapsulates the Entity Operator (Topic and User Operator running in the same Pod but different containers) and Zookeeper, the Operators mentioned above are also deployed with each Kafka Custom Resource deployment. Figure 2.17 shows whole Strimzi ecosystem, for which is Cluster Operator responsible.

Topic Operator takes care of creating, deleting, updating individual Topics. It is also necessary to mention that Topic Operator ensures synchronization between Custom Resource Topic and Topic (located inside Kafka container) and keep them in sync. For instance, assume the scenario where the user changes different topic properties in Kubernetes but simultaneously in Kafka itself. Also, imagine another scenario where one change topic property at the same time. The first action is considered as allowed, and the solution for this is 3-way diff (more about this method in section 2.19). In general, this method constructs these two differences' union and finds out where the intersection is not empty. The second one is treated as incompatible change. It must deterministically select by some winner policy implemented inside Topic Operator.

The User Operator is responsible for the Kafka User Resource, which specifies authentication and authorization for individual components. It can be, for example, the Producer that can not change data in a Topic with a particular name or prefix name. In other words, we can define read, read and write rules for Topics. In addition, we can create different types of Kafka Users, which support authentication such as TLS or SCRAM-SHA. Nevertheless, if we use SCRAM-SHA authentication, we must also configure one of the Kafka Broker listeners. Noteworthy, when one creates Kafka Custom Resource, then immediately User Operator creates associated Secret with the credentials. These credentials are then submitted to the Consumer or Producer configuration. Credentials ensure that the Producer or Consumer can connect to Kafka Broker and send or receive messages. In authorization, several components can also be used, such as ACLs (access control lists). For more complex rules, there is support for the Keycloak or Hydra authorization server. Another exciting feature is User quotas, ensuring that one client will never control the entire Kafka Broker, and the total load will be limited.

 $^{^{15}}$ Prometheus – open-soured metrics-based project. Moreover, it provides an alerting system with cool features, in case of interest https://prometheus.io/

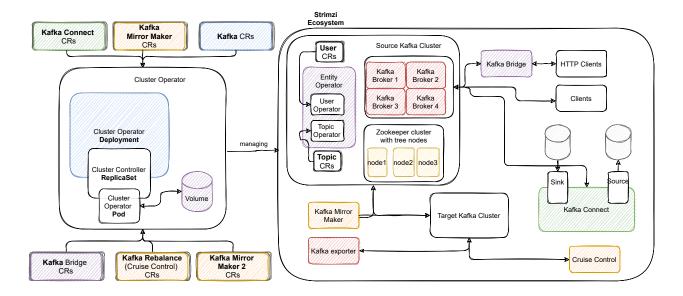


Figure 2.17: Strimzi Operators architecture with Strimzi ecosystem

2.4 Strimzi system tests

This section describes the basics of the Strimzi system tests. We start with a short description of any way we test the Strimzi product. Then in the section 2.4.1 we explain the architecture of the core parts in Strimzi system tests and describe the individual supporting components. Finally, in the?? section, we describe the algorithms that are available for overall resource management.

It all starts with a typical regression, where we start with unit tests, integration tests, and system tests. Of course, the most time-consuming is system tests, which in our case take about 40 hours. The testing phases are dependent on each other in the order in which they are executed. For instance, if unit tests fail, integration tests will not run at all. The same is true for integration and system tests. System tests run on multiple infrastructures such as Openstack, Microsoft Azure or Amazon Web Services. On each of these infrastructures, there are certain limitations for the set of tests. Since these are Kubernetes system tests, it is essential to realize that the total load on the resource is enormous. At the same time, the preparation of resources and their cleaning is time-consuming. Therefore, our system tests have two essential parts. The first is resource classes that provide the user interface for creating, retrieving, deleting, and updating these resources. Moreover, we have three stacks that take care of the total lifecycle test. These stacks are responsible for storing all resources based on the test case. Furthermore, the deletion of these resources is transparent for the user as well as if it is a resource created in @BeforeAll¹⁶ annotation. The second fundamental part is auxiliary classes such as Utils¹⁷, Apache Kafka clients for external communication, Kubernetes client offering an API for communication with the Kubernetes cluster and finally classes such as Constants and Environment.

 $^{^{16}}$ @BeforeAll – is JUnit5 annotation, where one specify what must be executed before all tests in the test suite

¹⁷Utils – type of class that consists of static methods, which in general dynamically waiting for a specific event. For instance, waiting for Rolling Update, if one change Kafka configuration

2.4.1 Architecture

Each resource has his own creation method which is not good practice. For instance Kafka resources has default instance predefined like this:

- also add here some diagram, which will show communication between components
- another diagram how it is called (relation between templates, user, creation and deletion methods), resource manager and so on...

```
private static KafkaBuilder defaultKafka(
            Kafka kafka,
            String name,
            int kafkaReplicas,
            int zookeeperReplicas) {
   return new KafkaBuilder(kafka)
        .withNewMetadata()
            .withName(name)
            .withNamespace(ResourceManager.kubeClient().getNamespace())
        .endMetadata()
        .editSpec()
            .editKafka()
                 .withVersion(Environment.ST_KAFKA_VERSION)
                .withReplicas(kafkaReplicas)
            .endKafka()
            .editZookeeper()
            .endZookeeper()
            .editEntityOperator()
            .endEntityOperator()
```

Algorithm 2 Sequential algorithm for creation all resources inside Resource manager

1: start_here

Algorithm 3 Sequential algorithm for deletion all resources inside Resource manager

1: start_here

Implementation

Theory of paralelization

... nejaka dlha rozprava o paralelizacii vseobecne

- 3.1 Amdahl's & Gustafson's law
- 3.2 Dependencies
- 3.3 Race conditions, mutual exclusion, synchronization
- 3.4 Fine-grained, coarse-grained, and embarrassing parallelism
- 3.5 JUnit5 paralelization

Proposal of parallel approach

- 4.1 Possible approaches
- 4.2 Architecture changes
- 4.3 Method wide paralelization
- 4.4 Class wide paralelization
- 4.5 Algorithms

```
Algorithm 4 Parallel algorithm for creation all resources inside Resource manager
```

```
Input: extensionContext, resources
1: for each resource \in resources do
2:
       type \leftarrow findResourceType(resource)
3:
       type.create(resource)
4:
       // here starts critical section
5:
       all\_resources.computeIfAbsent((test\_name), k->newStack <> ())
6:
       all\_resources.get((test\_name).push(deleteResource(resource)))
7:
8:
       // here ends critical section
9:
       if wait for resource readiness then
10:
          for each resource \in resources do
11:
              type \leftarrow findResourceType(resource)
12:
              wait for resource readiness
13:
          end for each
14:
15: end for each
```

Implementation

- 5.1 Resource Manager paralell approach
- 5.2 Parallel annotations
- 5.3 Method wide paralelization
- 5.4 Class wide paralelization
- 5.5 Complications during implementation

Experimental evaluation

- 6.1 Experimental setup
- 6.2 Results
- 6.3 Evaluation of the obtained results

Future work

Conclusion

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Appendix A

How to use this template

This chapter describes individual parts of the template, followed by a brief instructions on how to use it. If you have any questions, comments etc, feel free to email them to sablona@fit.vutbr.cz.

Template parts description

Once you extract the template, you will find the following files and directories:

bib-styles Literature styles (see below).

obrazky-figures Directory for your images. Currently contains placeholder.pdf (a.k.a TODO image – see below) and image keep-calm.png to demonstrate inserting raster images (you don't submit these images with your thesis). It is advised to use shorter directory name, so that it is only in your chosen language.

template-fig Template images (BUT logo).

fitthesis.cls Template (design definition).

Makefile Makefile used to compile the project, count standard pages etc. (see below).

projekt-01-kapitoly-chapters-en.tex File for Your text (replace it's contents).

projekt-20-literatura-bibliography.bib Reference list (see below).

projekt-30-prilohy-appendices-en.tex File for your appendices (replace it's contents).

projekt.tex Main project file – definitions of formal parts.

The style of literature in the template is from Ing. Radek Pyšný [17], whose work was improved by prof. Adam Herout, dr. Jaroslav Dytrych and Mr. Karel Hanák to comply with the norm and support all frequently used types of citations. Its documentation can be found in the appendix

Aside from compilation to PDF, the Makefile also offers additional functions:

- rename files (see below),
- count standard pages,

- run a wave that adds unbreakable spaces,
- compress (zip) the result, ready to be sent to your supervisor and checked (make sure that all the files you've added are included, if not, add them manually).

Keep in mind that the wave is not perfect. You always need to check whether or not there is something inappropriate at the end of a line manually – see Online language handbook¹.

Similar rules apply also in English - see eg. article Run Ragged², according to which there should be no prepositions, dash or short words (2–3 letters) at the end of the lines, the two lines following each other should not end with a comma and line break should not be also in the phrases from 2-3 words.

Pay attention to page numbering! If the table of contents is 2 pages long and the second page contains only "Enclosures" and "List of enclosures" (but there is no enclosure), the page numbering is changed by 1 (table of contents and contents "mismatch"). The same thing happens if the second or third page contains only "References" and there's a chance that this can occur in other situations too. There are multiple solutions to this (from editing the table of contents, setting the page counter all the way to more sophisticated methods). Check the page numbering before you submit your thesis!

Recommendations for working with the template

- 1. Make sure you have the latest version of template. If you have a template from last year, there should be a newer version (updated information, fixed errors etc.) available at the faculty or study advisor web pages.
- 2. Choose a language, that you want to use for your technical report (czech, slovak or english) and consult your supervisor about your choice (unless it was agreed upon in advance). If your language of choice is not czech, set the respective template parameter in file projekt.tex (e.g.: documentclass[english]{fitthesis} and translate the declaration and acknowledgement to english or slovak).
- 3. Rename the files. When you extract the files, there should be a file named projekt.tex. If you compile it, it will create a PDF with technical report named projekt.pdf. If multiple students send their supervisor projekt.pdf to have it checked, they have to rename them. For that reason, it is advised to rename the file so that it contains your login and (if needed, abbreviated) work topic. Avoid using spaces, diacritic and special symbols. An appropriate name for your file can look like this: "xlogin00-Cleaning-and-extraction-of-text.tex". You can use the included Makefile to rename it:

make rename NAME=xlogin00-Cleaning-and-extraction-of-text

4. Fill in the required information in file, that was originally named projekt.text, that means type, year (of submission), thesis title, author's name, department (according to specification), supervisor's titles and name, abstract, keywords and other formal requirements.

¹Internetová jazyková příručka http://prirucka.ujc.cas.cz/?id=880

²Run Raggedhttps://24ways.org/2013/run-ragged/

- 5. Replace the contents of thesis chapters, references and enclosures files with the contents of your technical report. Individual enclosures or thesis chapters can be saved to separate files if you choose this approach, it is advised to comply with the file naming convention, and the number will be followed by the chapter title.
- 6. If you don't need enclosures, comment the respective part in projekt.tex and erase everything from the corresponding file or delete it. Don't try to come up with an aimless enclosures just to have something in that file. An appropriate enclosure can be the contents of included memory medium.
- 7. Delete the chapter and attachment files for a language you haven't used (with or without -en).
- 8. Assignment that you download in PDF from FIT IS (link "Thesis assignment") save to file zadani.pdf and enable its insertion into work by appropriate template parameter (documentclass[zadani]{fitthesis}) in projekt.tex.
- 9. If you don't want to print references in color (i cannot recommend this without consulting your supervisor), you'll need to create a second PDF for printing and set the template printing parameter: (documentclass[english,zadani,print]{fitthesis}). Colored logo must not be printed in black and white.
- 10. The binder templace where the thesis will be typeset can be generated in faculty IS at specification. Can be enabled for dissertation using the cover parameter in template.
- 11. Don't forget that source files and (both versions) PDF has to be on a CD or other medium included in the technical report.

Instructions for double-sided printing

- It is advised to consult your supervisor about double-sided printing.
- If you used double-sided printing for your thesis and it's thickness is smaller than the thickness of the binder, it doesn't look too good.
- Enabled using the following template parameter: \documentclass[twoside]{fitthesis}
- After printing a double-sided sheet, make sure that the canon of page construction is in the same position on both pages. Inferior printers with duplex printing unit usually cause a shift by 1–3 mm. This can be solved with some printers. Print the odd pages first, put them back into the same tray and print the even pages.
- Leave a blank page after title page, table of contents, references, list of tables, list of appendices and other lists to make sure that the following part starts on an odd page (\cleardoublepage).
- Check the final result thoroughly.

Paragraph style

Paragraphs have justified alignment and there are multiple methods for formatting them. In Czech paper literature, a paragraph indentation method is common, where each paragraph of the text have the first line of a paragraph indented by about one to two quads, that is, about two widths of the capital letter M of the base text (always about the same preselected value). In this case, the last line of the previous paragraph and the first line of the following paragraph are not separated by a vertical space. The interleaving between these lines is the same as the interleaving inside the paragraph [18].

Another method is indenting paragraphs, which is common for electronic typesetting and for English texts. In this method, the first line of a paragraph is not indented and a vertical space of approximately half of a line is inserted between the paragraphs. Both methods can be used in the thesis, however, the latter method is often more suitable. Methods should not be combined.

One of the above methods is set as the default in the template, the other can be selected by the template parameter "odsaz".

Useful tools

The following list is not a list of all useful tools. If you have experience with a certain tool, feel free to use it. However, if you don't know which tool to choose, consider the ones listed below:

- MikTeX IATEX for Windows a distribution with simple installation and great automated package downloading. MikTeX even has it's own editor, but I highly recommend TeXstudio.
- TeXstudio Portable opensource GUI for LaTeX. Ctrl+click switches between source text and PDF. Integrated spell checker³, syntax highlighter etc. To use this tool, you need to first install MikTeX or another LaTeX distribution.
- **WinEdt** A good combination for Windows is WinEdt + MiKTeX. WinEdt is a GUI for Windows, and if you want to use it, you need to first install MikTeX or TeX Live.
- **Kile** Editor for KDE (Linux) desktop environment. Real-time preview. To use this tool, you need to have TeX Live and Okular installed.
- **JabRef** Neat and simple Java program for bibliography (references) file management. No need to learn anything provides a simple window and a form for entry editing.
- **InkScape** Portable opensource vector graphic (SVG and PDF) editor. Excellent tool to use to create images for technical text. Difficult to master, but the results are worth it.
- GIT Great tool for teamwork when it comes to projects, but can be incredibly useful even to a single author. Simple version control system, backup options and transfer between multiple computers.

³Spell checker for czech version can be installed from https://extensions.openoffice.org/de/project/czech-dictionary-pack-ceske-slovniky-cs-cz

Overleaf Online IATEX tool. A real-time compilation of source text that allows for simple collaboration (supervisor can continuously keep an eye on the progress made), move to a place in source file just by clicking in the PDF preview, spell checker etc. There are some limitations to what you can do if you want to use it for free (some people are comfortable with it for dissertation, others can run into it while they write a bachelor's thesis) and it is rather slow for long texts. FIT BUT has for students and employees of a license, which can be activated on https://www.overleaf.com/edu/but.

Note: Overleaf does not use template Makefile – to get compilation to work, you need to go to the menu and select projekt.tex as s Main document.

Appendix B

Writing english texts

This chapter is taken from web pages of Jan Černocký [8].

A lot of people write their technical reports in english (which is good!), but they make a lot of unnecesary mistakes (which is bad!). I'm not an english export myself, but I've been using this language for a while now to write, read and even communicate – this chapter contains a handful of important things. If you want to be certain that your thesis or article is 100% correct, your best bet is to hire a native speaker (preferably someone who is technically capable and understands what you write about ...).

In general

- Before you jump into it head first, I suggest you read a handful of technical articles
 written in english and try to remember or preferably understand how you should
 approach writing one yourself.
- Always use a spell checking tools built in tools in Word, or in OpenOffice. If you work on Linux, I suggest you use ISPELL. Some spell checking (I think it's the one in PSPad) are not very good and ignore a lot of mistakes.
- Use grammer checking tools. I'm not entirely sure if there is one available for Linux, but the one in Word is fairly decent and if it underlines anything with green color, it's probably wrong. You can even copy and paste Latex source code here, fix any and all grammar errors and save it as a clean text again. If you use vim, there's a built in grammar checking tool too, and it's capable of detecting typos and errors in basic grammar. Write this in the first line of your thesis tex file:

```
% vim:spelllang=en_us:spell
```

(alternatively en_gb for OED english) *Editor's note*: There is a very good online tool Grammarly¹, with free basic version.

• Online dictionaries are good, but don't rely on them in every situation. Usually you get multiple choices and not all of them are correct for the given context.

¹https://www.grammarly.com/

• You can probably figure out what the correct option is by looking each option up and seeing the context in which they're used, example given: "advantage/privilege/facility of approach". Online dictionaries give you a handful of results. Look them up one by one using google search:

```
"advantage of this approach" 1100000 hits
"privilege of this approach" 6 hits
"facility of this approach" 16 hits
```

I'm not saying it's 100% correct, but at least you have something to go on. This can be used to find the correct connectives (e.g. "among two cases" or "between two cases"?)

SVOMPT and concord

The structure of an english sentence is SVOPMT: SUBJECT VERB OBJECT MANNER PLACE TIME and there's no other way around it. It is not a flexible structure. There are possibly exceptions in things like a theater play, where something needs to be emphasized. Subject must be present in every single single sentence, people tend to forget as some languages have a sentence structure where the subject can be implicit and not mentioned. SVOMPT applies to dependent clauses too!

```
BAD: We have shown that is faster than the other function. GOOD: We have shown that it is faster than the other function.
```

Concord or grammatical agreement between two words in a sentence – it sounds silly, but people make countless mistakes here.

```
he has
the users have
people were
```

Articles

Articles in english are a nightmare and almost all of us fail to use them correctly. The basic rule is, that if there's a particular noun, it's preceded by "the". Definite articles must be in following phrases:

```
the first, the second, ...
the last
the most (superlatives and adverbs) ...
the whole
the following
the figure, the table.
the left, the right - on the left pannel, from the left to the right ...
```

On the contrary, there can't be an article when you're referring to a specific figure, chapter, etc.

```
in Figure 3.2 in Chapter 7 in Table 6.4
```

The use of "a" and "an" is based on the pronounciation, rather than how the word is written:

```
an HMM
an XML
a universal model
a user
```

Verbs

Passive voice can be tricky – regular verbs are usually not a problem, irregular verbs however are a common source of errors, typically

```
packet was sent (rather than send)
approach was chosen (rather than choosed)
```

... most of the time, the spell checker will correct it, but it's not guaranteed.

Tenses are a mess at times. If something just is in general, use present tense. If you did something, use past tense. If you got results that already exist and you just discuss them, use present tense. Try to avoid complicated tenses such as present perfect or worse past perfect if you're not $100\,\%$ sure.

```
JFA is a technique that works for everyone in speaker recognition. We implemented it according to Kenny's recipe in \cite{Kenny}. 12000 segments from NIST SRE 2006 were processed. When compared with a GMM baseline, the results are completely bad.
```

Sentence length and structure

- Try to write shorter sentences. If you sentence is 5 lines long, it's probably a pain to read, if it can even be done.
- Comma is a powerful tool and you should use it for your sentence structure. Use a comma to seperate the initial dependent clause from the main independent clause. Sometimes it is appropriate to put a comma just before "and" (unlike other languages)!

```
In this chapter, we will investigate into ...

The first technique did not work, the second did not work as well, and the third one also did not work.
```

The specifics of a technical text

When writing a technical text, don't use common phrases such as

```
he's gonna
Petr's working on ...
```

and others. The only tolerated thing is "doesn't", but you can never go wrong with "does not".

Technical texts utilize passive voice a lot more than active voice:

```
BAD: In this chapter, I describe used programming languages. GOOD: In this chapter, used programming languages are described.
```

If you want to use active voice, it's more common to use "we", even though you work alone. "I", "my", etc. are only used when you need to emphasize that you are the person of utmost importance, for example in the conclusion or when discussing "original claims" in disertation.

Common erros in words

- Pay attention to his/hers, it's not "it's" but "its"
- Image is not picture, it's figure.
- The connective is "than", not "then" bigger than this, smaller than this ...very common error! "Then" is used in the context of time.

Appendix C

Checklist

This checklist was taken from a template for academic work, that is available on Adam Herout's blog [9], based on the ideas of Igor Szöke¹, with their permission.

A big part of the safety of air transport are checklists. They have checklists for basically anything and everything, even the most cut-and-dry procedures. If a pilot can get over the tedious process of marking off every single checkbox of a procedure, you can as well. Make a checklist of your own before you submit your thesis. **Yes, really:** print it, grab a pencil and check every single item on the list. It will make your life easier — avoid unnecessary errors that can be fixed within a couple minutes — as well as others', at very least your supervisor and reviewer of your thesis.

Structure

[You can tell that the assignment was completed just by looking at the chapter titles as well as their structures.
[There is no chapter with less than four pages (except for introduction and conclusion). And if so, I discussed this with my supervisor and they gave me a green light.
Fig	gu	res and charts
[Every single image and table was checked and their position is close to the text that references them. In other words, they're easy to find.
[Every single image and table has a good enough caption, to ensure that the figure makes sense on it's own, without the necessity to read the text. (There's no harm in a long caption.)
[If an image is taken from somewhere, it is mentioned in the caption: "Taken from [X]."
[Texts in all images have a font size similar to the surrounding text (neither signifficantly larger, nor signifficantly smaller).
[Charts and schemes are vector graphics (eg. in PDF).
[Screenshots don't use lossy compression (they're in PNG).

¹http://blog.igor.szoke.cz/2017/04/predstartovni-priprava-letu-neni.html

\Box All images are referenced in the text.
$\hfill\Box$ Axes in charts have their captions (name of the axis, units of measurement, values) and a grind if need be.
Equations
\Box Identifiers and their indexes in equations are single letters (except for rather uncommon cases like t_{max}).
\Box Equations are numbered.
$\hfill\Box$ All the variables and functions that haven 't been explained yet are explained below (or rarely above) the equation.
Citations
\square All used sources are cited.
☐ URL adresses referencing services, projects, sources, github, etc. are referenced using \footnote{}.
\square URL adresses in citations are only present, if necessary – article is cited like an article (author, title, where and when was it published), not using URL.
☐ Citations have author, title, publisher (conference title), year of publishing. If a citation does not have either of these, there is a good explanation for this special case and my supervisor agreed.
\Box If there is anything taken over from some other work in the program source code, it is properly cited therein in conformance with the license.
\Box If an essential part of the source code of the program is taken over, this is mentioned in the text of the thesis and the source is cited.
Typography
\square No line extends past the right margin.
$\hfill\Box$ There is no single-letter preposition at the end of a line (fixed using unbreakable space ~).
\Box Number of image, table, equation, citation is never a first item of a new line (fixed using unbreakable space ~).
\Box There is no space before a numeric reference to a footnote (like this ² , not like this ³).
² footnote example ³ another footnote example

Language	
\Box I used spellchecker and there were no typos in the text.	
\Box I had someone else read my thesis (at least one person), that knows czech / slovak english well.	: /
\Box Someone who knows english well checked the abstract in a czech or slovak writt abstract thesis.	er
\square No part of the text is written in second person (you).	
☐ If first person is used (i, we), a subjective matter is being described (i decided, i d signed, i focused on, i found out, etc.).	le-
\Box There are no colloquialisms in the text.	
\Box There are no default words in the text.	
Result is on a data medium, i.e. software	
\Box I have a non-rewritable data medium ready.	
 CD-R, DVD-R, DVD+R in ISO9660 format (with RockRidge and/or Jolliet extension) or UD SD (Secure Digital) card in FAT32 or exFAT format, the card is set to write protected mode □ If the result is online (service, application,), URL is visible in introduction as 	te-
conclusion.	
$\hfill\Box$ The medium contains the following mandatory items:	
 source codes (e.g. Matlab, C/C++, Python,) libraries necessary for compilation, compiled solution, PDF containing a technical report, text source code (LATEX), 	
and the following optional items after consulting your supervisor:	
 relevant (e.g. testing) data, demo video, poster in PDF 	
☐ Source codes are refactorized, commented and labelled with an authorship header	sc
that others can tell what they actually are	

□ Any and all snippets of code taken from another sources are properly cited – differentiated using a opening and in case of multiple lines of code a closing comment. Comments contain everything that the license on web (always try to find out what the license is – for example, Stack Overflow ⁴ has a very strict citation policy).
Submission
□ Do I want to delay (by at most 3 years) the publication? If so, I will submit an application (in IS) at least a month prior to the submission of the academic work, and I'll include attitude of the company that the intellectual property belongs to and needs to be protected.
\square I have at least minimum number of standard pages (can be calculated using Makefile and by adding number of pages that images translate to). If I'm just under the minimum, I consulted my supervisor about it.
$\hfill\Box$ If I want a two-sided print, I consulted my supervisor about it and I've used correct template settings for two-sided printing. Chapters begin on odd pages.
\Box Technical report is bound in a bookbindery (at least one print, both prints if I'm delaying the publishing).
\Box Title page is followed by the specification (in other words, downloaded from IS and inserted into the template)
$\hfill \square$ Abstract and keywords are uploaded in IS.
\bullet There are no \sim characters for non-breaking spaces in the abstract and keywords in IS.
$\hfill\Box$ PDF of thesis (with clickable links) is in IS.
\square Both prints are signed.
□ One (both if I'm delaying the publishing) of the prints contains a data medium with my login written on it using a CD marker (CD marker can be borrowed in library, at Student affairs or when I'm submitting the work).

⁴https://stackoverflow.blog/2009/06/25/attribution-required/

Appendix D

LATEX for beginners

This chapter contains commonly used LATEX packages and commands, that you might need when you're developing a thesis.

Useful packages

Students usually encounter the same issues. Some of them can be solved using the following LATEX packages:

- amsmath additional equation typesetting options,
- float, afterpage, placeins image placement,
- fancyvrb, alltt change the properties of Verbatim environment,
- makecell additional table options,
- pdflscape, rotating rotate a page by 90 degress (for image or table),
- hyphenat change how words break,
- picture, epic, eepic direct image drawing.

Some packages are used in this very template (in the lower section of fitthesis.cls file). It is also advised to read the documentation for individual packages.

A table column aligned to left with a fixed width is defined as "L" in the template (used as "p").

To reference a place within text, use command \ref{label}. Depending on the placement of this label, it will be a number of chapter, subchapter, image, table or a similar numbered element. If you want to reference a specific page, use command \pageref{label}. To cite a literature reference, use command \cite{identifier}. To reference an equation, you can use command \eqref{label}.

Symbol – (dash) is used generated using two minus signs (like this: --) in LATEX.

Commonly used LATEX commands

I highly recommend you check the source text of this chapter and see how the following examples are created. The source text even contains helpful comments.

Example table:

Table D.1: Assessment table

Name		
Name	Surname	Assessment
Jan Petr	Novák Novák	7.5 2

Example equation:

$$\cos^3 \theta = \frac{1}{4} \cos \theta + \frac{3}{4} \cos 3\theta \tag{D.1}$$

and two horizontally aligned equations:

$$3x = 6y + 12 \tag{D.2}$$

$$x = 2y + 4 \tag{D.3}$$

If you need to reference an equation from the text, you can use command **\eqref**. For example, to reference the equations above (D.1). If you want to align the equation number vertically, you can use command **split**:

$$3x = 6y + 12$$

$$x = 2y + 4$$
(D.4)

Mathematical symbols (α) and expressions can be placed even in text $\cos \pi = -1$ and can also be in a footnote¹.

Image D.1 displays a wide image comprised of multiple smaller images. Standard raster image is inserted in the same way as image D.2.



Figure D.1: **Wide image.** Image can be comprised of multiple smaller images. If you want to address the partial images from text, use packagae **subcaption**.

¹Formula in a footnote: $\cos \pi = -1$



Figure D.2: Good text is a bad text, that has been changed countless times. You have to start somewhere.

Sometimes it is necessary to attach a diagram that does not fit on an A4 page. Then it is possible to insert one A3 page and fold it into the thesis (so-called Engineering fold, similar to Z-fold, where two folds are created – face down and face up). Switching is performed as follows: \eject \pdfpagewidth=420mm (210mm to switch it back).

Other frequently used commands can be found above in the text, because a single practical example of correct use is better than ten pages of examples.

Appendix E

Examples of bibliographic citations

The czplain style is based on the style created by mr. Pyšný [17]. This appendix contains a set of supported type of citations with specific examples of bibliographic citations.

The next pages of the appendix contain examples of bibliographic citations of the following publications and their parts:

- Article in a periodical literature (magazine) (str. 51),
- monographic publication (str. 52),
- conference proceedings (str. 53),
- conference proceedings entry or book chapter (str. 54),
- manual, documentation, technical report and unpublished materials (str. 55),
- academic work (str. 56),
- web page (str. 57),
- and web site (str. 58).

Items are color-coded depending on whether or not they are required or optional:

- required element according to the standard
- optional element according to the standard
- required element for online information sources according to the standard
- element that is not specified in the standard, but is available and optional within the template's bibliographic style

Required items are only stated if they exist.

The bibliography file contains records in the following form:

```
@Article{Doe:2020,
   author
                        = "Doe, John",
                        = "How to cite",
   title
   subtitle
                        = "Article citation",
   journal
                        = "Writing theses and dissertations",
                        = "Formal aspects",
   journalsubtitle
                        = "online",
   howpublished
   address
                        = "Brno",
                        = "Brno University of Technology,
   publisher
                          Faculty of information technology",
                        = "Translated by Jan NOVÁK",
   contributory
                        = "1",
   edition
   version
                        = "version 1.0",
                        = 2,
  month
   year
                        = "2020",
                        = "revised 12. 2. 2020",
   revised
                        = "4",
   volume
                        = "24",
  number
                        = "8--21",
   pages
                        = "2020-02-12",
   cited
                        = "10.1000/BC1.0",
   doi
                        = "1234-5678",
   issn
  note
                        = "This a made up citation",
                        = "https://merlin.fit.vutbr.cz"
   url
}
```

Article in a periodical literature - @Article

Record items

Element	BibTeX item	Example
Author	author	Doe, John
Article title	title	How to cite
Article subtitle	subtitle	Article citation
Periodical literature title	journal	Writing theses and disserta-
		tions
Periodical literature subti-	journalsubtitle	Formal aspects
tle		
Type of medium	howpublished	online
Edition	edition	1
Version	version	version 1.0
Secondary author(s)	contributory	Translated by Jan NOVÁK
Place of publication	address	Brno
Publisher	publisher	Brno University of Technol-
		ogy, Faculty of information
		technology
Month	month	2
Year	year	2020
Volume	volume	4
Number	number	24
Pages	pages	8-21
Revision	revised	revised 12. 2. 2020
Date of citation	cited	2020-02-12
Series title	series	Guidelines for writing the-
		ses and dissertations
Number in series	editionnumber	42
Digital object identifier	doi	10.1000/BC1.0
Standard number	issn	1234-5678
Notes	note	This is a made up citation
Availability	url	https://merlin.fit.vutbr.cz

Bibliographic citation

DOE, J. How to cite: Article citation. Writing theses and dissertations: Formal aspects [online]. 1st ed., version 1.0. Translated by Jan NOVÁK. Brno: Brno University of Technology, Faculty of information technology. February 2020, vol. 4, num. 24, p. 8–21, revised 12. 2. 2020, [cit. 2020-02-12]. Guidelines for writing theses and dissertations, no. 42. DOI: 10.1000/BC1.0. ISSN 1234-5678. This is a made up citation. Available at: https://merlin.fit.vutbr.cz

Monographic publication - @Book, @Booklet (book, brochure)

Record items

Element	BibTeX item	Example
Author	author	John von Doe
Title	title	How to cite
Subtitle	subtitle	Monographic publication
		citation
Type of medium	howpublished	online
Edition	edition	1
Secondary author(s)	contributory	Translated by Jan NOVÁK
Place of publication	address	Brno
Publisher	publisher	Brno University of Technol-
		ogy, Faculty of information
		technology
Month	month	2
Year	year	2020
Revision	revision	revised 12. 2. 2020
Date of citation	cited	2020-02-12
Pages	pages	220
Series title	series	Guidelines for writing the-
		ses and dissertations
Number in series	editionnumber	2
Standard number	isbn	01-234-5678-9
Notes	note	This is a made up citation
Availability	url	$\rm https://merlin.fit.vutbr.cz$

Bibliographic citation

VON DOE, J. How to cite: Monographic publication citation [online]. 1st ed. Translated by Jan NOVÁK. Brno: Brno University of Technology, Faculty of information technology, February 2020, revised 12. 2. 2020 [cit. 2020-02-12]. 220 p. Guidelines for writing theses an dissertations, no. 2. ISBN 01-234-5678-9. This is a made up citation. Available at: https://merlin.fit.vutbr.cz

Conference proceedings - @Proceedings

Record items

Element	BibTeX item	Example
Author*	author	Čechmánek, Jan
Editor*	editor	Čechmánek, Jan
Title	title	How to cite
Subtitle	subtitle	Conference proceedings ci-
		tation
Type of medium	howpublished	online
Edition	edition	1
Secondary author(s)	contributory	Translated by Jan NOVÁK
Place of publication	address	Brno
Publisher	publisher	Brno University of Technol-
		ogy, Faculty of information
		technology
Month	month	2
Year	year	2020
Volume	volume	4
Number	number	24
Pages	pages	8-21
Revision	revised	revised 12. 2. 2020
Date of citation	cited	2020-02-12
Series title	series	Guidelines for writing the-
		ses and dissertations
Number in series	editionnumber	2
Digital object identifier	doi	10.1000/BC1.0
Standard number	isbn or issn	01-234-5678-9
Notes	note	This is a made up citation
Availability	url	https://merlin.fit.vutbr.cz
	1	• •

^{*}Either author or editor is stated.

Bibliographic citation

ČECHMÁNEK, J. How to cite: Conference proceedings citation [online]. 1st ed. Translated by Jan NOVÁK. Brno: Brno University of Technology, Faculty of information technology, February 2020, vol. 4, num. 24, p. 8–21, revised 12. 2. 2020 [cit. 2020-02-12]. Guidelines for writing theses and dissertations, no. 2. DOI: 10.1000/BC1.0. ISBN 01-234-5678-9. This is a made up citation. Available at: https://merlin.fit.vutbr.cz

Conference proceedings entry or book chapter - @InProceedings, @InCollection, @Conference, @InBook

Record items

Element	BibTeX item	Example
Author	author	John von Doe
Entry title	title	How to cite
Entry subtitle	subtitle	Article citation
Parent document author	editor or organisation	Smith, Peter
Parent document title	booktitle	Conference proceedings on writing theses and disserta- tions
Parent document subtitle	booksubtitle	Formal aspects
Type of medium	howpublished	online
Edition	edition	1
Version	version	version 1.0
Parent document secondary author(s)	contributory	Translated by Jan NOVÁK
Place of publication	address	Brno
Publisher	publisher	Brno University of Technology, Faculty of information technology
Month	month	2
Year	year	2020
Volume	volume	4
Number	number	24
Chapter	chapter	5
Pages	pages	8-21
Revision	revised	revised 12. 2. 2020
Date of citation	cited	2020-02-12
Series title	series	Guidelines for writing theses and dissertations
Number in series	editionnumber	2
Standard number	isbn or issn	1234-5678
Notes	note	This is a made up citation
Availability	url	https://merlin.fit.vutbr.cz

Bibliographic citation

DOE, J. How to cite: Article citation. In: SMITH, P., ed. Conference proceedings on writing theses and dissertations: Formal aspects [online]. 1st ed., version 1.0. Translated by Jan NOVÁK. Brno: Brno University of Technology, Faculty of information technology, February 2020, vol. 4, num. 24, chap. 5, p. 8–21, revised 12. 2. 2020 [cit. 2020-02-12]. Guidelines for writing theses and dissertations, no. 2. ISSN 1234-5678. This is a made up citation. Available at: https://merlin.fit.vutbr.cz

Manual, documentation, technical report and unpublished materials - @Manual, @TechReport, @Unpublished

Record items

Element	BibTeX item	Example
Author (person or organisa-	author	Brno University of Technol-
tion)		ogy, Faculty of information
		technology
Title	title	Manual for writing theses
		and dissertations
Subtitle	subtitle	Manual citation
Type of medium	howpublished	online
Document type	type	User manual
Document number	number	3
Edition	edition	1
Secondary author(s)	contributory	Edited by Jan NOVÁK
Place of publication	address	Brno
Organisation or institution	organization or institution	Brno University of Technol-
		ogy, Faculty of information
		technology
Month	month	2
Year	year	2020
Revision	revised	revised 12. 2. 2020
Date of citation	cited	2020-02-12
Pages	pages	220
Notes	note	This is a made up citation
Availability	url	https://merlin.fit.vutbr.cz

Bibliographic citation

Brno University of Technology, Faculty of Information technology. *Manual for writing theses and dissertations: Manual citation* [online]. User manual 3, 1st ed. Edited by Jan NOVÁK. Brno: Brno University of Technology, Faculty of information technology, February 2020, revised 12. 2. 2020 [cit. 2020-02-12]. 220 p. This is a made up citation. Available at: https://merlin.fit.vutbr.cz

${\bf Academic\ work\ -\ @Bachelors Thesis,\ @Masters Thesis,\ @PhdThesis,\ @Thesis}$

Record items

Element	BibTeX item	Example
Author	author	Brno University of Technol-
		ogy, Faculty of information
		technology
Title	title	BiBTeX style for ČSN ISO
		690 and ČSN ISO $690-2$
Subtitle	subtitle	
Type of medium	howpublished	online
Document type	type	Dissertation
Place of publication	address or location	Brno
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Standard number	isbn	01-234-5678-9
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Notes	note	This is a made up citation
Availability	url	https://www.fit.vut.cz/study/theses

Bibliographic citation

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Site title	title	Web on writing theses and dissertations
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Type of medium	howpublished	online
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tion)		
Site title	title	Web on writing theses and
		citations
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Type of medium	howpublished	online
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