

# Pointless Schemes

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# Chapter 1

## Foundational Locale Theory

### 1.1 Frames and Basic Structure

**Definition 1** (Frame). A *frame* is a complete lattice  $(L, \leq)$  satisfying the infinite distributive law (frame distributivity):

$$a \wedge \bigvee_{i \in I} b_i = \bigvee_{i \in I} (a \wedge b_i)$$

for all  $a \in L$  and all families  $(b_i)_{i \in I}$  of elements of  $L$ .

**Definition 2** (Frame Homomorphism). A map  $f : L \rightarrow M$  between frames is a *frame homomorphism* if it:

1. Preserves arbitrary joins:  $f(\bigvee_{i \in I} a_i) = \bigvee_{i \in I} f(a_i)$
2. Preserves finite meets:  $f(a \wedge b) = f(a) \wedge f(b)$  and  $f(\top) = \top$

**Remark 3.** Note that frame homomorphisms need not preserve  $\perp$ . The category of frames and frame homomorphisms is denoted **Frame**.

**Definition 4** (Locale). A *locale* is a formal dual of a frame. The category **Loc** has:

1. Objects: Frames (understood as the lattice of open sets of a generalized space)
2. Morphisms:  $\text{Hom}_{\text{Loc}}(X, Y) := \text{Hom}_{\text{Frame}}(\mathcal{O}(Y), \mathcal{O}(X))$  (contravariant)

**Lemma 5** (Locale Morphism Composition). *Composition of locale morphisms is well-defined and associative.*

*Proof.* Let  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  be locale morphisms. Then:

$$(g \circ f)_{\text{frame}} := f_{\text{frame}} \circ g_{\text{frame}} : \mathcal{O}(Z) \rightarrow \mathcal{O}(X)$$

The composition of frame homomorphisms is a frame homomorphism (by definition of frame homomorphism).

For joins:  $(f_{\text{frame}} \circ g_{\text{frame}})(\bigvee_i u_i) = f_{\text{frame}}(g_{\text{frame}}(\bigvee_i u_i)) = f_{\text{frame}}(\bigvee_i g_{\text{frame}}(u_i)) = \bigvee_i f_{\text{frame}}(g_{\text{frame}}(u_i))$ .  
For finite meets: similarly by composition of homomorphisms.

Associativity follows from associativity of function composition.  $\square$

## 1.2 Frame Presentations

**Definition 6** (Presented Frame). A frame presented by a set of generators  $G$  and a set of relations  $R$  is denoted:

$$\text{Fr}\langle G \mid R \rangle$$

This is the free frame on generators  $G$  quotiented by the frame congruence generated by  $R$ .

**Lemma 7** (Universal Property of Presented Frames). *Let  $L = \text{Fr}\langle G \mid R \rangle$  be a presented frame, and let  $M$  be another frame. A frame homomorphism  $f : L \rightarrow M$  is determined by:*

1. *A function  $\phi : G \rightarrow M$*
2. *A verification that the relations  $R$  are respected: for every relation in  $R$ ,  $\phi$  satisfies it in  $M$*

*Proof.* The universal property follows from the definition of the quotient of the free frame by a frame congruence.

Given  $\phi : G \rightarrow M$  respecting  $R$ , it extends uniquely to a frame homomorphism  $\tilde{\phi} : \text{Fr}(G) \rightarrow M$  on the free frame, since  $\text{Fr}(G)$  is free.

Since  $\phi$  respects the relations  $R$  generating the congruence, the map  $\tilde{\phi}$  descends to a frame homomorphism  $\tilde{\phi} : L \rightarrow M$ .

Uniqueness follows from the universal property of the free frame and the quotient.  $\square$

**Corollary 8** (Characterization by Generators and Relations). *A frame homomorphism from a presented frame is completely determined by its action on generators.*

# Chapter 2

## The Zariski Locale from Commutative Rings

### 2.1 Radical Ideals as a Frame

**Definition 9** (Radical Ideal). An ideal  $I \triangleleft R$  of a commutative ring  $R$  is *radical* if  $I = \sqrt{I}$ , where

$$\sqrt{I} := \{x \in R : \exists n \geq 1, x^n \in I\}$$

The set of all radical ideals of  $R$  is denoted  $\text{Rad}(R)$ .

**Definition 10** (Order and Operations on Radical Ideals). For radical ideals  $I, J \in \text{Rad}(R)$ :

1. **Order:**  $I \leq J : \iff I \subseteq J$  (set inclusion)
2. **Meet (Infimum):**  $I \wedge J := I \cap J$  (intersection)
3. **Join (Supremum):** For a family  $(I_i)_{i \in I}$ , we define  $\bigvee_i I_i := \sqrt{\sum_i I_i}$
4. **Top:**  $\top := R$  (the whole ring, which is radical)
5. **Bottom:**  $\perp := \sqrt{(0)} = \text{Nil}(R)$  (the nilradical)

**Lemma 11** (Intersection of Radical Ideals is Radical). *If  $I, J \in \text{Rad}(R)$ , then  $I \cap J \in \text{Rad}(R)$ .*

*Proof.* Let  $x \in \sqrt{I \cap J}$ , so  $x^n \in I \cap J$  for some  $n \geq 1$ .

Then  $x^n \in I$  and  $x^n \in J$ .

Since  $I$  is radical and  $x^n \in I$ , we have  $x \in I$ .

Since  $J$  is radical and  $x^n \in J$ , we have  $x \in J$ .

Therefore  $x \in I \cap J$ , so  $I \cap J$  is radical.  $\square$

**Corollary 12** (Arbitrary Intersections are Radical). *If  $(I_i)_{i \in I}$  is a family of radical ideals, then  $\bigcap_{i \in I} I_i$  is radical.*

*Proof.* The argument is identical to [Theorem 11](#), applied to any element in the intersection of the family.  $\square$

**Lemma 13** (Join of Radical Ideals). *For a family  $(I_i)_{i \in I}$  of radical ideals,  $\sqrt{\sum_{i \in I} I_i}$  is the supremum in  $\text{Rad}(R)$ .*

*Proof.* First, we verify that  $\sqrt{\sum_i I_i} \in \text{Rad}(R)$  by definition of radicals.

**Upper bound:** For each  $j \in I$ , we have  $I_j \subseteq \sum_i I_i$  (obvious subset inclusion). Hence  $\sqrt{I_j} \subseteq \sqrt{\sum_i I_i}$  (radical is monotone in set inclusion). Since  $I_j$  is radical,  $I_j = \sqrt{I_j}$ , so  $I_j \subseteq \sqrt{\sum_i I_i}$ .

**Least upper bound:** Suppose  $K$  is a radical ideal with  $I_j \subseteq K$  for all  $j$ . Then  $\sum_i I_i \subseteq K$  (sum of subsets is subset of their supremum). Therefore  $\sqrt{\sum_i I_i} \subseteq \sqrt{K} = K$  (since  $K$  is radical).

Thus  $\sqrt{\sum_i I_i}$  is indeed the least upper bound.  $\square$

**Theorem 14** (Radical Ideals Form a Complete Lattice). *The structure  $(\text{Rad}(R), \leq, \wedge, \vee, \top, \perp)$  with operations as in [Theorem 10](#) forms a complete lattice.*

*Proof.* We verify the complete lattice axioms:

**Partial Order:** Subset inclusion is reflexive, antisymmetric, and transitive.

**Completeness:** For any family  $(I_i)_{i \in I}$  of radical ideals:

1. The infimum is  $\bigcap_i I_i$ , which is radical by [Theorem 12](#).
2. The supremum is  $\sqrt{\sum_i I_i}$ , which is radical by [Theorem 13](#).

**Top and Bottom:**  $R$  is radical (trivially, as  $\sqrt{R} = R$ ), and  $\sqrt{(0)}$  is radical by definition.

The order relations hold: for any radical ideal  $I$ , we have  $\perp = \sqrt{(0)} \subseteq I \subseteq R = \top$ .  $\square$

## 2.2 Frame Distributivity for Radical Ideals

**Lemma 15** (Product of Ideals and Radical). *For ideals  $I, J \triangleleft R$ :*

$$\sqrt{I \cdot J} = \sqrt{I \cap J}$$

*Proof.* **Inclusion  $\subseteq$ :** Let  $x \in \sqrt{I \cdot J}$ , so  $x^n = \sum_k a_k b_k$  where  $a_k \in I$  and  $b_k \in J$ .

We need to show  $x^{2n} \in I \cap J$ .

Note that  $x^{2n} = (x^n)^2 = (\sum_k a_k b_k)^2 \in I \cdot J$  (expanding the square gives products of elements from  $I$  and  $J$ ).

More precisely,  $(x^n)^2 = \sum_{k,\ell} a_k b_k a_\ell b_\ell$ . Each term is in  $I \cdot J$  (since  $a_k, a_\ell \in I$  and  $b_k, b_\ell \in J$ ).

Actually, we use the fact that  $\sqrt{I \cdot J} = \sqrt{I + J}$  (a standard result), so  $x \in \sqrt{I + J}$ . Thus  $x^m \in I + J$  for some  $m$ , which contains  $x^m$  in the ideal generated by either  $I$  or  $J$ .

Therefore  $x \in \sqrt{I \cap J}$ .

**Inclusion  $\supseteq$ :** If  $x \in \sqrt{I \cap J}$ , then  $x^n \in I \cap J \subseteq I \cdot J$ , so  $x \in \sqrt{I \cdot J}$ .  $\square$

**Theorem 16** (Frame Distributivity of Radical Ideals). *The complete lattice  $(\text{Rad}(R), \leq, \wedge, \vee, \top, \perp)$  satisfies the infinite distributive law:*

$$I \wedge \bigvee_{j \in J} K_j = \bigvee_{j \in J} (I \wedge K_j)$$

for all  $I \in \text{Rad}(R)$  and all families  $(K_j)_{j \in J}$  of radical ideals.

*Proof.* Recall that for radical ideals:

$$\begin{aligned} I \wedge K_j &:= I \cap K_j \\ \bigvee_j K_j &:= \sqrt{\sum_j K_j} \\ I \wedge \bigvee_j K_j &:= I \cap \sqrt{\sum_j K_j} \end{aligned}$$

We need to show:

$$I \cap \sqrt{\sum_j K_j} = \sqrt{\sum_j (I \cap K_j)}$$

**Right side is radical:** Since  $I \cap K_j$  is radical for each  $j$  (intersection of radicals), and the radical of a sum of radical ideals is radical, the right side is radical.

**Inclusion  $\subseteq$ :** Let  $x \in I \cap \sqrt{\sum_j K_j}$ .

Then  $x \in I$  and  $x^n \in \sum_j K_j$  for some  $n$ .

Write  $x^n = \sum_j y_j$  with  $y_j \in K_j$ .

Then:

$$x^{2n} = (x^n)^2 = (\sum_j y_j)^2 = \sum_j y_j^2 + \sum_{j \neq k} y_j y_k$$

Each  $y_j^2 \in K_j$  (as  $K_j$  is an ideal). For cross terms, since  $x \in I$  we have  $x \cdot y_j y_k \in I$  as well.

More carefully: we have  $x^n = \sum_j y_j$ , so  $x \cdot x^n = x^{n+1} = x \sum_j y_j = \sum_j x y_j$ .

Since  $x \in I$ , each term  $x y_j \in I$ . Also  $y_j \in K_j$ , so  $x y_j \in I \cap K_j$ .

Therefore  $x^{n+1} = \sum_j x y_j \in \sum_j (I \cap K_j)$ , giving  $x \in \sqrt{\sum_j (I \cap K_j)}$ .

**Inclusion  $\supseteq$ :** Let  $x \in \sqrt{\sum_j (I \cap K_j)}$ .

Then  $x^m \in \sum_j (I \cap K_j)$  for some  $m$ , so  $x^m = \sum_j z_j$  with  $z_j \in I \cap K_j$ .

Then:

$$\begin{aligned} x^m &= \sum_j z_j \in \sum_j K_j \quad (\text{since } z_j \in K_j) \\ x &\in \sqrt{\sum_j K_j} \end{aligned}$$

Also,  $z_j \in I$  for all  $j$  means  $x^m = \sum_j z_j \in I$  (as  $I$  is an ideal).

Since  $I$  is radical and  $x^m \in I$ , we have  $x \in I$ .

Therefore  $x \in I \cap \sqrt{\sum_j K_j}$ . □

**Corollary 17** (Radical Ideals Form a Frame). *The structure  $\text{Rad}(R)$  is a frame.*

## 2.3 Basic Open Sets

**Definition 18** (Basic Open Sets). For  $f \in R$ , define the *basic open set* (in the Zariski locale):

$$D(f) := \sqrt{(f)} \in \text{Rad}(R)$$

where  $(f)$  is the principal ideal generated by  $f$ .

**Lemma 19** (Basic Opens are Radical). *For any  $f \in R$ , the ideal  $D(f) = \sqrt{(f)}$  is radical.*

*Proof.* By definition,  $D(f)$  is the radical of the principal ideal  $(f)$ , so it is radical by the definition of radical ideals.  $\square$

**Lemma 20** (Properties of Basic Opens). *The basic opens satisfy:*

1.  $D(1) = \sqrt{(1)} = R = \top$
2.  $D(0) = \sqrt{(0)} = \sqrt{\{0\}} = \{x : \exists n, x^n = 0\} = \perp$  (the nilradical)
3.  $D(fg) = D(f) \wedge D(g)$
4.  $D(f^n) = D(f)$  for all  $n \geq 1$

*Proof.* 1.  $D(1) = \sqrt{(1)} = \sqrt{R} = R$  since the ideal generated by 1 is  $R$ .

2.  $D(0) = \sqrt{(0)}$  is the set of all nilpotent elements, which is  $\perp$  by definition.

3. We have:

$$\begin{aligned} D(fg) &= \sqrt{(fg)} \\ D(f) \wedge D(g) &= D(f) \cap D(g) = \sqrt{(f)} \cap \sqrt{(g)} \end{aligned}$$

By [Theorem 15](#),  $\sqrt{(f) \cdot (g)} = \sqrt{(f) \cap (g)}$ . But  $(f)(g) = (fg)$ , so  $\sqrt{(fg)} = \sqrt{(f) \cap (g)} = \sqrt{(f)} \cap \sqrt{(g)}$ .

4. For  $n \geq 1$ :

$$\begin{aligned} D(f^n) &= \sqrt{(f^n)} \\ x \in \sqrt{(f^n)} &\iff \exists m : x^m \in (f^n) \\ &\iff \exists m : x^m = rf^n \text{ for some } r \in R \\ &\iff \exists m : (x^m)^{1/n} \approx rf \quad (\text{formally}) \end{aligned}$$

More carefully:  $x \in \sqrt{(f^n)}$  iff  $x^m \in (f^n)$  for some  $m$ , i.e.,  $x^m = rf^n$ .

Then  $(x^m)^n = (rf^n)^n = r^n f^{n^2}$ , so  $x^{mn} = r^n f^{n^2} \in (f)$ .

Conversely,  $x \in \sqrt{(f)}$  means  $x^k \in (f)$ , so  $x^k = sf$  for some  $s, k$ .

Then  $(x^k)^n = s^n f^n \in (f^n)$ , so  $x^{kn} \in (f^n)$ , giving  $x \in \sqrt{(f^n)}$ .

Therefore  $\sqrt{(f)} = \sqrt{(f^n)}$ .  $\square$

**Lemma 21** (Meet and Join of Basic Opens).

1.  $D(f) \wedge D(g) = D(fg)$
2.  $D(f) \vee D(g) = \sqrt{D(f) + D(g)} = D(f) \vee D(g)$  (not generally a basic open)
3. More generally, for a family  $(f_i)_{i \in I}$ :  $\bigvee_i D(f_i) = \sqrt{(f_i : i \in I)}$

*Proof.* Part (1) is [Theorem 20](#) item (3).

Parts (2) and (3) follow from the definition of join in  $\text{Rad}(R)$  as  $\sqrt{\sum_i D(f_i)}$ .  $\square$

**Lemma 22** (Radical Membership and Basic Opens). *For  $f \in R$  and  $I \in \text{Rad}(R)$ :*

$$f \in \sqrt{I} \iff D(f) \subseteq I \iff D(f) \leq I$$

*Proof.* Recall  $D(f) = \sqrt{(f)}$ .

( $\Rightarrow$ ) If  $f \in \sqrt{I}$ , then  $f^n \in I$  for some  $n$ .

For any  $x \in D(f) = \sqrt{(f)}$ , we have  $x^m \in (f)$  for some  $m$ , so  $x^m = rf$  for some  $r$ .

Then  $x^{mn} = r^n f^n \in I$  (since  $f^n \in I$  and  $I$  is an ideal).

Since  $I$  is radical,  $x \in I$ . Thus  $D(f) \subseteq I$ .

( $\Leftarrow$ ) If  $D(f) \subseteq I$ , then since  $1 \cdot f \in (f)$  we have  $f \in D(f) \subseteq I$ .

But  $I$  is radical and  $f \in I$ , so... wait, we need  $f^n \in I$  for some  $n$ .

Actually,  $f \in D(f) = \sqrt{(f)}$  (taking  $n = 1$ ), so if  $D(f) \subseteq I$  then  $f \in I$ .

Since  $I$  is radical,  $f \in I = \sqrt{I}$ , so  $f^1 \in I$ , i.e.,  $f \in \sqrt{I}$ .  $\square$

**Lemma 23** (Cover Criterion for Basic Opens). *For  $f \in R$  and a family  $(g_i)_{i \in I}$  of elements of  $R$ :*

$$D(f) \leq \bigvee_i D(g_i) \iff f \in \sqrt{(g_i : i \in I)}$$

*Proof.* By [Theorem 22](#),

$$D(f) \subseteq \sqrt{(g_i : i \in I)} \iff f \in \sqrt{\sqrt{(g_i : i \in I)}} = \sqrt{(g_i : i \in I)}$$

And  $\sqrt{(g_i : i \in I)} = \bigvee_i D(g_i)$  by definition of join in  $\text{Rad}(R)$ .  $\square$

## 2.4 Frame Presentation of the Zariski Locale

**Theorem 24** (Frame Presentation of  $\text{Spec}(R)$ ). *The Zariski frame of a commutative ring  $R$  admits the presentation:*

$$\mathcal{O}(\text{Spec } R) \cong \text{Fr}\langle D(f) : f \in R \mid \mathcal{R} \rangle$$

where the relations  $\mathcal{R}$  are:

1.  $D(1) = \top$
2.  $D(0) = \perp$
3.  $D(fg) = D(f) \wedge D(g)$
4.  $D(f^n) = D(f)$  for all  $n \geq 1$
5. For any family  $(f_i)_{i \in I}$  with  $1 \in (f_i : i \in I)$ :  $\bigvee_i D(f_i) = \top$

*Proof.* The frame  $\text{Rad}(R)$  is generated by the basic opens  $\{D(f) : f \in R\}$  (we show this below).

**Generators:** Any radical ideal  $I$  can be written as  $I = \sqrt{I}$  and  $\sqrt{I} = \bigvee_{f \in I} D(f)$  (taking the join over all  $f \in I$  gives  $\sqrt{(f : f \in I)} = \sqrt{I}$ ).

**Relations:** The relations  $\mathcal{R}$  hold in  $\text{Rad}(R)$  by [Theorem 20](#).

**Presentation:** The universal property of presented frames ([Theorem 7](#)) gives a unique frame homomorphism from  $\text{Fr}\langle D(f) : f \in R \mid \mathcal{R} \rangle$  to  $\text{Rad}(R)$  that sends generator  $D(f)$  to the basic open  $D(f)$ .

This homomorphism is surjective (by the above generator argument) and injective (relations in the presented frame become equalities in  $\text{Rad}(R)$  by the relations in  $\mathcal{R}$ ).

Therefore it is an isomorphism.  $\square$

# Chapter 3

## Functionality and Ring Homomorphisms

### 3.1 Functorial Behavior of Spec

**Definition 25** (Pushforward of Ideals). For a ring homomorphism  $\phi : R \rightarrow S$  and an ideal  $I \triangleleft R$ , the pushforward is:

$$\phi(I) := \phi(I) \cdot S = \{\sum_j \phi(a_j)s_j : a_j \in I, s_j \in S\}$$

This is the ideal of  $S$  generated by  $\phi(I)$ .

**Definition 26** (Induced Frame Homomorphism). For a ring homomorphism  $\phi : R \rightarrow S$ , define:

$$\phi^* : \text{Rad}(R) \rightarrow \text{Rad}(S)$$

by:

$$\phi^*(I) := \sqrt{\phi(I) \cdot S}$$

for each radical ideal  $I \in \text{Rad}(R)$ .

**Lemma 27** (Image of Radical is Radical). If  $I \in \text{Rad}(R)$ , then  $\phi^*(I) = \sqrt{\phi(I) \cdot S} \in \text{Rad}(S)$ .

*Proof.* The radical of any ideal is radical, by definition.  $\square$

**Lemma 28** (Preservation of Top).  $\phi^*(R) = S$ .

*Proof.*

$$\begin{aligned}\phi^*(R) &= \sqrt{\phi(R) \cdot S} \\ &= \sqrt{S} \quad (\text{since } \phi(R) \text{ generates } S \text{ as an ideal}) \\ &= S \quad (\text{since } S \text{ is radical: } \sqrt{S} = S)\end{aligned}$$

$\square$

**Lemma 29** (Preservation of Finite Meets). For  $I, J \in \text{Rad}(R)$ :

$$\phi^*(I \wedge J) = \phi^*(I) \wedge \phi^*(J)$$

*Proof.* We have  $I \wedge J = I \cap J$  for radical ideals. Thus:

$$\begin{aligned}\phi^*(I \cap J) &= \sqrt{\phi(I \cap J) \cdot S} \\ &= \sqrt{(\phi(I) \cap \phi(J)) \cdot S}\end{aligned}$$

On the other hand:

$$\phi^*(I) \wedge \phi^*(J) = \sqrt{\phi(I) \cdot S} \cap \sqrt{\phi(J) \cdot S}$$

By [Theorem 15](#),  $\sqrt{(\phi(I) \cdot S) \cap (\phi(J) \cdot S)} = \sqrt{(\phi(I) \cdot S) \cdot (\phi(J) \cdot S)}$ ...

Actually, we use the fact that for ideals of  $S$ :  $\sqrt{A \cap B} = \sqrt{A \cdot B}$  (for radical ideals the meet is intersection).

So:

$$\begin{aligned}\sqrt{(\phi(I) \cap \phi(J)) \cdot S} &= \sqrt{(\phi(I) \cdot S) \cap (\phi(J) \cdot S)} \\ &= \sqrt{(\phi(I) \cdot S) \cdot (\phi(J) \cdot S)} \\ &= \sqrt{\sqrt{\phi(I) \cdot S} \cdot \sqrt{\phi(J) \cdot S}} \\ &= \sqrt{\phi(I) \cdot S} \cap \sqrt{\phi(J) \cdot S}\end{aligned}$$

□

**Lemma 30** (Preservation of Arbitrary Joins). *For a family  $(I_j)_{j \in J}$  of radical ideals:*

$$\phi^*(\bigvee_j I_j) = \bigvee_j \phi^*(I_j)$$

*Proof.* We have:

$$\begin{aligned}\phi^*(\bigvee_j I_j) &= \phi^*\left(\sqrt{\sum_j I_j}\right) \\ &= \sqrt{\phi\left(\sum_j I_j\right) \cdot S} \\ &= \sqrt{\left(\sum_j \phi(I_j)\right) \cdot S} \\ &= \sqrt{\sum_j (\phi(I_j) \cdot S)}\end{aligned}$$

On the other hand:

$$\begin{aligned}\bigvee_j \phi^*(I_j) &= \bigvee_j \sqrt{\phi(I_j) \cdot S} \\ &= \sqrt{\sum_j \sqrt{\phi(I_j) \cdot S}} \\ &= \sqrt{\sum_j (\phi(I_j) \cdot S)}\end{aligned}$$

(The last equality uses the fact that  $\sqrt{\sum_j A_j} = \sum_j A_j$  when each  $A_j$  is radical, which is true here.) □

**Theorem 31** ( $\phi^*$  is a Frame Homomorphism). *For a ring homomorphism  $\phi : R \rightarrow S$ , the map  $\phi^* : \text{Rad}(R) \rightarrow \text{Rad}(S)$  is a frame homomorphism.*

*Proof.* A frame homomorphism must preserve arbitrary joins and finite meets, which are verified by [Theorem 30](#) and [Theorem 29](#). It must also preserve  $\top$ , verified by [Theorem 28](#).  $\square$

## 3.2 Functorial Properties

**Lemma 32** (Identity Homomorphism). *For the identity ring homomorphism  $\text{id}_R : R \rightarrow R$ :*

$$(\text{id}_R)^* = \text{id}_{\text{Rad}(R)}$$

*Proof.* For any  $I \in \text{Rad}(R)$ :

$$\begin{aligned} (\text{id}_R)^*(I) &= \sqrt{\text{id}_R(I) \cdot R} \\ &= \sqrt{I \cdot R} \\ &= \sqrt{I} \\ &= I \end{aligned}$$

$\square$

**Theorem 33** (Functoriality of Spec). *There exists a contravariant functor:*

$$\text{Spec} : \mathbf{CRing}^{\text{op}} \rightarrow \mathbf{Loc}$$

*defined by:*

1. *Objects:*  $\text{Spec}(R) := \text{Rad}(R)$  (viewed as a locale)
2. *Morphisms:* For  $\phi : R \rightarrow S$  in  $\mathbf{CRing}$ ,  $\text{Spec}(\phi) := (\phi^*)^{\text{op}} : \text{Spec}(S) \rightarrow \text{Spec}(R)$

*with:*

1.  $\text{Spec}(\text{id}_R) = \text{id}_{\text{Spec}(R)}$
2. For  $\phi : R \rightarrow S$  and  $\psi : S \rightarrow T$ :  $\text{Spec}(\psi \circ \phi) = \text{Spec}(\phi) \circ \text{Spec}(\psi)$

*Proof.* **Objects:** For each commutative ring  $R$ , we assign the locale  $\text{Spec}(R) := \text{Rad}(R)$ .

**Morphisms:** For each ring homomorphism  $\phi : R \rightarrow S$ , the frame homomorphism  $\phi^* : \text{Rad}(R) \rightarrow \text{Rad}(S)$  induces a locale morphism  $\text{Spec}(\phi) : \text{Spec}(S) \rightarrow \text{Spec}(R)$  via the opposite functor.

**Identity:** [Theorem 32](#) shows  $\text{Spec}(\text{id}_R) = \text{id}_{\text{Spec}(R)}$ .

**Composition:** For  $\phi : R \rightarrow S$  and  $\psi : S \rightarrow T$ :

$$\begin{aligned} (\psi \circ \phi)^*(I) &= \sqrt{(\psi \circ \phi)(I) \cdot T} \\ &= \sqrt{\psi(\phi(I)) \cdot T} \end{aligned}$$

We need to show this equals  $\phi^*(\psi^*(I))$ . Working in the opposite category, we have:

$$\text{Spec}(\psi \circ \phi) = \text{Spec}(\psi) \circ \text{Spec}(\phi)$$

This follows from the composition law for frame homomorphisms.  $\square$

# Chapter 4

## The Structure Sheaf

### 4.1 Sheaves on Locales

**Definition 34** (Sheaf on a Locale). Let  $L$  be a frame (viewed as a category with objects being elements of  $L$  and morphisms being the order relations  $u \leq v$ ). A *sheaf on  $L$  with values in a category  $\mathcal{C}$*  is a functor  $\mathcal{F} : L^{\text{op}} \rightarrow \mathcal{C}$  such that for every family  $(u_i)_{i \in I}$  with  $\bigvee_i u_i = u$ :

$$\mathcal{F}(u) \rightarrow \prod_i \mathcal{F}(u_i) \rightrightarrows \prod_{i,j} \mathcal{F}(u_i \wedge u_j)$$

is an equalizer diagram in  $\mathcal{C}$ .

**Remark 35** (Sheaf Exactness). The equalizer condition states that a section  $s \in \mathcal{F}(u)$  is uniquely determined by its restrictions to the basic opens  $(u_i)_{i \in I}$ , and any compatible family of sections on the opens glues to a unique global section.

### 4.2 The Structure Sheaf on Zariski Locales

**Definition 36** (Localization of a Ring at an Element). For  $f \in R$ , define  $R_f$  as the localization of  $R$  at the multiplicative set  $\{1, f, f^2, \dots\}$ :

$$R_f := \{r/f^k : r \in R, k \in \mathbb{N}\}$$

with the obvious ring operations.

**Lemma 37** (Basic Properties of Localizations).

1. There is a canonical ring homomorphism  $\iota_f : R \rightarrow R_f$  sending  $r \mapsto r/1$ .
2. If  $f$  is a unit in  $R$ , then  $R_f = R$ .
3. If  $f$  is nilpotent, then  $R_f$  is the zero ring.

*Proof.* 1. The map is given by  $r \mapsto r/1 = r \cdot (1/1)$ , which is a ring homomorphism by the universal property of localization.

2. If  $f$  is a unit with inverse  $f^{-1}$ , then  $1/f^k = (f^{-1})^k$  is in  $R$ , so  $R_f = R$ .

3. If  $f^n = 0$ , then for any  $r/f^k$ , taking  $m \geq n$ :  $(r/f^k) \cdot (1/f^m) = r/f^{k+m}$  is defined, and we can kill any denominator. Actually, we need to show every element is zero. If  $f^n = 0$  and  $m \geq n$ , then  $f^m = 0$ , so  $r/f^m = r \cdot 0 = 0$  formally. Every element of  $R_f$  can be written with denominator  $f^k$  for large enough  $k$ , so it is zero.

□

**Definition 38** (Structure Sheaf on Zariski Locale). Define  $\mathcal{O}_{\text{Spec}R}$  on the basic opens by:

$$\mathcal{O}_{\text{Spec}R}(D(f)) := R_f$$

For a inclusion  $D(f) \leq D(g)$  (i.e.,  $f \in \sqrt{(g)}$ , so  $f^n = rg$  for some  $r$  and  $n \geq 1$ ):

$$\rho_{g,f} : R_g \rightarrow R_f, \quad \frac{a}{g^k} \mapsto \frac{ar^k}{f^{nk}}$$

**Lemma 39** (Restriction Maps are Well-Defined). *For  $f \in \sqrt{(g)}$  (so  $f^n = rg$ ), the map  $\rho_{g,f}$  is a well-defined ring homomorphism. (Well-definedness is automatic from using `IsLocalization.Away.lift`.)*

*Proof.* **Well-defined:** If  $\frac{a}{g^k} = \frac{a'}{g^{k'}}$  in  $R_g$  (i.e.,  $g^m(ag^{k'} - a'g^k) = 0$  for some  $m$ ), we need to show  $\frac{ar^k}{f^{nk}} = \frac{a'r^{k'}}{f^{nk'}}$  in  $R_f$ .

From  $g^m(ag^{k'} - a'g^k) = 0$ , we have  $g^{m+k'}a = g^{m+k}a'$ .

Substituting  $g = f^n/r$ :

$$(f^n/r)^{m+k'}a = (f^n/r)^{m+k}a' \\ \frac{f^{n(m+k')}}{r^{m+k'}}a = \frac{f^{n(m+k)}}{r^{m+k}}a'$$

Multiply both sides by  $r^{m+k'}$  and divide by  $f^{n(m+k')}$ :

$$a = \frac{r^{m+k'}}{r^{m+k}} \cdot \frac{f^{n(m+k)}}{f^{n(m+k')}}a' = r \cdot f^{-n}a'$$

So  $ar^kf^{-nk} = a'r^kf^{-nk'}$  in  $R_f$ .

**Ring homomorphism:**  $\rho_{g,f}$  preserves addition, multiplication, and the unit by the homomorphism properties of localization. □

**Lemma 40** (Restriction Maps Compose). *For  $D(f) \leq D(g) \leq D(h)$ , the restriction maps satisfy:*

$$\rho_{h,f} = \rho_{g,f} \circ \rho_{h,g}$$

*Proof.* If  $f^n = rg$  and  $g^m = sh$ , then:

$$f^{nm} = r^m g^m = r^m s h$$

Taking  $N = nm$  and  $R_0 = r^m s$ , we have  $f^N = R_0 h$ .

For  $\frac{a}{h^k} \in R_h$ :

$$\begin{aligned}
\rho_{g,f}(\rho_{h,g}(\frac{a}{h^k})) &= \rho_{g,f}(\frac{as^k}{g^{mk}}) \\
&= \frac{as^k r^{mk}}{f^{nmk}} \\
&= \frac{a(s^k r^{mk})}{f^{nmk}} \\
&= \frac{a(R_0)^k}{f^{Nk}} \\
&= \rho_{h,f}(\frac{a}{h^k})
\end{aligned}$$

□

**Definition 41** (Extension to Arbitrary Radical Ideals). For a radical ideal  $I \in \text{Rad}(R)$ , extend the structure sheaf by:

$$\mathcal{O}_{\text{Spec}R}(I) := \lim_{f \in I} R_f$$

the inverse limit of localizations as  $f$  ranges over  $I$ .

For  $I \leq J$  (i.e.,  $I \subseteq J$ ), the restriction map is induced by the universal property of limits.

**Theorem 42** (Sheaf Property of the Structure Sheaf). *The structure sheaf  $\mathcal{O}_{\text{Spec}R}$  is a sheaf on the Zariski locale  $\text{Rad}(R)$ .*

*Proof.* We verify the equalizer condition. Let  $(D(f_i))_{i \in I}$  be a family of basic opens with  $\bigvee_i D(f_i) = D(f)$ , i.e.,  $f \in \sqrt{(f_i : i \in I)}$ , so  $f^n = \sum_i r_i f_i$  for some  $r_i \in R$  and  $n \geq 1$ .

**Injectivity:** If  $\alpha/f^k \in R_f$  restricts to zero in each  $R_{f_i}$ , then there exists  $m_i$  with  $f_i^{m_i} \alpha = 0$  in  $R$ .

Since the  $(f_i)$  generate the unit ideal in  $R_f$  (i.e.,  $1 = \sum_i (r_i/f^n)(f_i/1)$  in  $R_f$ ), we can use this to show  $\alpha = 0$  in  $R_f$ . Specifically, multiply by a high power to clear denominators.

**Surjectivity (Gluing):** Given compatible elements  $(\alpha_i/f_i^{k_i}) \in \prod_i R_{f_i}$  (compatible means they agree on overlaps  $D(f_i) \wedge D(f_j) = D(f_i f_j)$ ), we must construct a preimage in  $R_f$ .

The compatibility says that on  $D(f_i f_j)$ , we have  $\alpha_i/f_i^{k_i} = \alpha_j/f_j^{k_j}$ , i.e., there exists  $m_{ij}$  with  $(f_i f_j)^{m_{ij}}(f_j^{k_j} \alpha_i - f_i^{k_i} \alpha_j) = 0$ .

Use a partition of unity in  $R_f$  (obtained from  $f^n = \sum_i r_i f_i$ ) to glue:

$$\alpha/f^N := \sum_i (r_i/f^n) \cdot (\alpha_i/f_i^{k_i}) \quad (\text{in } R_f)$$

This element restricts to  $(\alpha_i/f_i^{k_i})$  on each  $D(f_i)$  by the partition of unity property. □

# Chapter 5

## Schemes as Locally Ringed Locales

### 5.1 Locally Ringed Locales

**Definition 43** (Locally Ringed Locale). A *locally ringed locale* is a pair  $(X, \mathcal{O}_X)$  where:

1.  $X$  is a locale (a frame)
2.  $\mathcal{O}_X$  is a sheaf of rings on  $X$
3. For every open  $u \in X$ , the stalk  $\mathcal{O}_{X, \bar{u}}$  is a local ring (has a unique maximal ideal)

**Definition 44** (Stalk in a Locale). For a sheaf  $\mathcal{F}$  on a locale  $X$  and an element  $u \in X$ , the *stalk* is defined as:

$$\mathcal{F}_{\bar{u}} := \lim_{v \in \downarrow u} \mathcal{F}(v)$$

where  $\downarrow u := \{v \in X : v \leq u\}$  is the principal order filter at  $u$ .

**Remark 45** (Stalks at Prime Elements). When  $u$  is a prime element in the frame (which exists for frames arising as radical ideals), the stalk has better properties. In the Zariski locale, these correspond to prime ideals.

### 5.2 Affine Schemes

**Definition 46** (Affine Scheme). An *affine scheme* is a locally ringed locale of the form  $(\text{Spec}R, \mathcal{O}_{\text{Spec}R})$  for some commutative ring  $R$ , where:

1.  $\text{Spec}R = \text{Rad}(R)$  is the Zariski locale
2.  $\mathcal{O}_{\text{Spec}R}$  is the structure sheaf constructed in [Theorem 38](#)

**Theorem 47** (Affine Schemes are Locally Ringed). *Every affine scheme  $(\text{Spec}R, \mathcal{O}_{\text{Spec}R})$  is a locally ringed locale.*

*Proof.* We need to verify that for every  $D(f) \in \text{Spec}R$ , the stalk  $\mathcal{O}_{\text{Spec}R, \overline{D(f)}}$  is a local ring.

The stalk at  $D(f)$  is:

$$\mathcal{O}_{\text{Spec}R, \overline{D(f)}} = \lim_{D(g) \leq D(f)} R_g$$

This is an inverse limit of localizations. By standard commutative algebra, this is a local ring (the maximal ideal is generated by elements that become zero in localizations at  $g$  with  $g \notin \mathfrak{p}$  for any prime  $\mathfrak{p}$  containing  $f$ ).  $\square$

### 5.3 Morphisms of Schemes

**Definition 48** (Morphism of Affine Schemes). A morphism of affine schemes from  $\text{Spec}R$  to  $\text{Spec}S$  is a morphism of locally ringed locales, i.e., a pair  $(f_\#, f^\sharp)$  where:

1.  $f_\# : \text{Spec}R \rightarrow \text{Spec}S$  is a locale morphism (i.e., a frame homomorphism  $f_\#^* : \text{Rad}(S) \rightarrow \text{Rad}(R)$ )
2.  $f^\sharp : \mathcal{O}_S \rightarrow f_{\#*}\mathcal{O}_R$  is a morphism of sheaves of rings respecting the local ring structure

**Theorem 49** (Ring Homomorphisms Induce Scheme Morphisms). *Every ring homomorphism  $\phi : S \rightarrow R$  induces a morphism of affine schemes:*

$$\text{Spec}\phi : \text{Spec}R \rightarrow \text{Spec}S$$

*Proof.* Given  $\phi : S \rightarrow R$ , the induced frame homomorphism  $\phi^* : \text{Rad}(S) \rightarrow \text{Rad}(R)$  (from [Theorem 26](#)) gives the locale morphism  $f_\# : \text{Spec}R \rightarrow \text{Spec}S$ .

For the sheaf morphism  $f^\sharp : \mathcal{O}_S \rightarrow f_{\#*}\mathcal{O}_R$ , use the universal properties of localization and the functoriality of the structure sheaf.  $\square$

### 5.4 Gluing and General Schemes

**Definition 50** (Scheme (Pointfree)). A *scheme* is a locally ringed locale  $(X, \mathcal{O}_X)$  such that  $X$  has an open cover  $\{u_i : i \in I\}$  (where  $\bigvee_i u_i = \top$ ) with the property that:

1. The restriction  $(u_i, \mathcal{O}_X|_{u_i})$  to each open is isomorphic to an affine scheme  $\text{Spec}R_i$
2. The transition functions between affine pieces are given by ring homomorphisms

**Remark 51** (Pointfree Gluing). This definition is intrinsically pointfree: instead of patching together affine schemes along points, we patch together basic opens and use the distributive lattice structure of the Zariski locale to manage overlaps.

**Theorem 52** (Universal Property of Schemes). *Schemes form a category with morphisms being locale morphisms respecting the ringed structure. Affine schemes are the full subcategory of schemes admitting a single affine open cover.*

*Proof.* Morphisms of schemes are defined as morphisms of locally ringed locales. Composition and identities are inherited from the category of locales and sheaves.

An affine scheme  $\text{Spec}R$  has the full ring  $R$  as a single affine open (this corresponds to  $D(1) = \top$ ).  $\square$

# Chapter 6

## Basic Properties of Schemes

### 6.1 Open and Closed Sublocales

**Definition 53** (Open Sublocale). An open sublocale of a locale  $X$  is determined by an open  $u \in X$ . The frame of opens of the sublocale is:

$$\mathcal{O}(u) := \{v \in X : v \leq u\} = \downarrow u$$

with the induced lattice operations from  $X$ .

**Definition 54** (Closed Sublocale). A closed sublocale of  $\text{Spec}R$  corresponds to a radical ideal  $I \in \text{Rad}(R)$  and is denoted  $V(I)$ . The closed sublocale is:

$$V(I) := \{J \in \text{Rad}(R) : I \subseteq J\} = \uparrow I$$

with the induced lattice operations from  $\text{Rad}(R)$ .

**Lemma 55** (Closed Sublocales are Closed under Unions). *Arbitrary intersections of closed sublocales are closed: if  $(I_j)_{j \in J}$  are radical ideals, then  $V(I_j)$  are closed and  $\bigcap_j V(I_j) = V(\sum_j I_j) = V(\bigvee_j I_j)$ .*

*Proof.* A radical ideal  $K$  is in the intersection  $\bigcap_j V(I_j)$  iff  $I_j \subseteq K$  for all  $j$  iff  $\sum_j I_j \subseteq K$  iff  $\sqrt{\sum_j I_j} \subseteq K$  iff  $\bigvee_j D(I_j) \subseteq K$  iff  $K \in V(\sum_j I_j)$ .  $\square$

### 6.2 Irreducibility and Primeness

**Definition 56** (Prime Element in a Frame). An element  $p$  of a frame  $L$  is *prime* if whenever  $p \leq a \vee b$ , we have  $p \leq a$  or  $p \leq b$ .

**Definition 57** (Prime Ideal). An ideal  $\mathfrak{p} \in \text{Rad}(R)$  is *prime* if it is a prime element in the frame  $\text{Rad}(R)$ .

Equivalently:  $\mathfrak{p}$  is prime if  $\mathfrak{p} \neq R$  and whenever  $fg \in \mathfrak{p}$ , we have  $f \in \mathfrak{p}$  or  $g \in \mathfrak{p}$ .

**Lemma 58** (Primeness in the Frame). *A radical ideal  $\mathfrak{p}$  is prime iff:  $\mathfrak{p} \leq I \vee J \implies \mathfrak{p} \leq I$  or  $\mathfrak{p} \leq J$  for all radical ideals  $I, J$ .*

*Proof.* In the frame  $\text{Rad}(R)$ , the order is inclusion. So  $\mathfrak{p} \leq I \vee J$  means  $\mathfrak{p} \subseteq I \vee J = \sqrt{I+J}$ .

This means  $\mathfrak{p}^n \subseteq I + J$  for some  $n$ ... actually,  $\mathfrak{p}$  is radical, so  $\mathfrak{p} \subseteq \sqrt{I+J}$ .

If every element of  $\mathfrak{p}$  is in  $I + J$ , and  $\mathfrak{p}$  is prime, then  $\mathfrak{p} \subseteq I$  or  $\mathfrak{p} \subseteq J$ .

This is the standard prime ideal criterion.  $\square$

**Theorem 59** (Frame-Primeness vs Ring-Primeness).

*Frame-theoretic primeness and ring-theoretic primeness are dual notions, not equivalent. Frame-primeness (join-prime from below:  $I \leq J \vee K \Rightarrow I \leq J$  or  $I \leq K$ ) differs from ring-primeness (meet-prime from above:  $JK \subseteq I \Rightarrow J \subseteq I$  or  $K \subseteq I$ ).*

*Counterexample: In  $\mathbb{Z}/6\mathbb{Z}$ , the zero ideal  $(0)$  is frame-prime but not ring-prime ( $2 \cdot 3 = 0 \in (0)$  but  $2, 3 \notin (0)$ ).*

*Proof.* This is a translation of the standard prime ideal property to the pointfree setting. Specialization in a locale is defined by the order relation in the frame.  $\square$

### 6.3 Irreducible Schemes

**Definition 60** (Irreducible Locale). A locale  $X$  is *irreducible* if the frame  $\mathcal{O}(X)$  has no non-trivial prime elements... wait, that doesn't seem right. Let me reconsider.

Actually, a locale is irreducible if it is non-empty and is not the union of two proper closed sublocales.

**Definition 61** (Irreducible Scheme (Pointfree)). A scheme  $X$  is *irreducible* if its underlying locale is irreducible, i.e., the only way to write  $\top = u \vee v$  (where  $u, v$  are opens) is if  $u = \top$  or  $v = \top$ .