

# Hybrid kinetic-shear Alfvén physics using discrete differential forms

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## 1 Full model and model reduction

We consider a hybrid kinetic-MHD model where the coupling of the fluid and kinetic species is done via a current coupling scheme. In classical vector calculus notation the model reads

$$\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{U}) = 0, \quad (1.1)$$

$$\frac{\partial \mathbf{U}}{\partial t} + (\mathbf{U} \cdot \nabla) \mathbf{U} = \frac{1}{\rho} (\nabla \times \mathbf{B} + \rho_h \mathbf{U} - \mathbf{j}_h) \times \mathbf{B} - \frac{\nabla p}{\rho}, \quad (1.2)$$

$$\frac{\partial \mathbf{B}}{\partial t} = \nabla \times (\mathbf{U} \times \mathbf{B}), \quad (1.3)$$

$$\frac{\partial p}{\partial t} + \nabla \cdot (p \mathbf{U}) + (\gamma - 1)p \nabla \cdot \mathbf{U} = 0, \quad (1.4)$$

$$\frac{\partial f_h}{\partial t} + \mathbf{v} \cdot \nabla f_h + (\mathbf{B} \times \mathbf{U} + \mathbf{v} \times \mathbf{B}) \cdot \nabla_{\mathbf{v}} f_h = 0, \quad (1.5)$$

$$\rho_h = \int f_h d^3 v, \quad \mathbf{j}_h = \int \mathbf{v} f_h d^3 v. \quad (1.6)$$

where we set all physical constants equal to one<sup>1</sup>. This set of equations forms a closed system of nonlinear partial differential equations for the the bulk mass density  $\rho$ , the bulk velocity  $\mathbf{U}$ , the magnetic induction  $\mathbf{B}$  (which we will simply refer to as magnetic field), the bulk pressure  $p$  and the distribution function of the hot ions  $f_h$ . Furthermore,  $\gamma = 5/3$  is the adiabatic exponent. This system possesses a Hamiltonian structure with the following conserved energy:

$$\mathcal{H}_0(t) = \frac{1}{2} \int \rho \mathbf{U}^2 d^3 x + \frac{1}{\gamma - 1} \int p d^3 x + \frac{1}{2} \int \mathbf{B}^2 d^3 x + \frac{1}{2} \int \int \mathbf{v}^2 f_h d^3 v d^3 x. \quad (1.7)$$

In order to start from a simpler model we shall restrict ourselves for the moment on waves which are non-perturbative in density and pressure. Hence we drop equations (1.1) and (1.4). Moreover, we linearize all terms related to the MHD part by assuming that MHD waves are small perturbations about an equilibrium state. Making the Ansatzes  $\mathbf{B} = \mathbf{B}_{\text{eq}} + \tilde{\mathbf{B}}$ ,  $\mathbf{U} = \tilde{\mathbf{U}}$  and  $\rho = \rho_{\text{eq}}$  and neglecting nonlinear terms in the MHD part yields

$$\rho_{\text{eq}} \frac{\partial \tilde{\mathbf{U}}}{\partial t} = (\nabla \times \tilde{\mathbf{B}}) \times \mathbf{B}_{\text{eq}} + (\nabla \times \mathbf{B}_{\text{eq}}) \times \tilde{\mathbf{B}} + (\rho_h \tilde{\mathbf{U}} - \mathbf{j}_h) \times \mathbf{B}, \quad (1.8)$$

$$\frac{\partial \tilde{\mathbf{B}}}{\partial t} = \nabla \times (\tilde{\mathbf{U}} \times \mathbf{B}_{\text{eq}}), \quad (1.9)$$

$$\frac{\partial f_h}{\partial t} + \mathbf{v} \cdot \nabla f_h + (\mathbf{B} \times \mathbf{U} + \mathbf{v} \times \mathbf{B}) \cdot \nabla_{\mathbf{v}} f_h = 0. \quad (1.10)$$

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<sup>1</sup>We assume hot ions with a positive charge. Therefore, one does not have to be careful with the signs in (1.6)

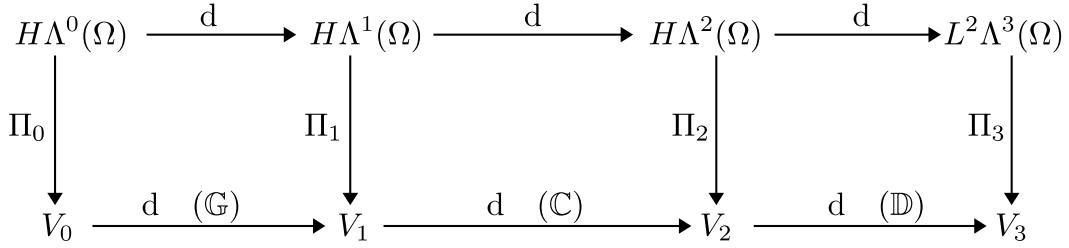


Figure 1: Commuting diagram for function spaces in 3d. The upper line represents the de Rham sequence for the continuous spaces, while the lower line represents the discrete counterpart.

The linearization of the MHD part breaks energy conservation, i.e. the Hamiltonian (1.7) is no longer conserved. However, we can write down the new Hamiltonian

$$H_1(t) = \frac{1}{2} \int \rho_{\text{eq}} \tilde{\mathbf{U}}^2 d^3x + \frac{1}{2} \int \tilde{\mathbf{B}}^2 d^3x + \frac{1}{2} \int \int \mathbf{v}^2 f_h d^3v d^3x, \quad (1.11)$$

which is conserved if  $\nabla \times \mathbf{B}_{\text{eq}} = 0$ .

We shall use classical particle-in-cell techniques for solving the kinetic equation (1.10) and the framework of *finite element exterior calculus* (FEEC) for solving field equations. In the latter one works with differential forms instead of vector and scalar field. This allows us to treat arbitrary geometries in a natural fashion. For physical reasons we assume the bulk mass density and the hot charge density to be 3-forms ( $\rho_{\text{eq}}, \rho_h \rightarrow \rho_{\text{eq}}^3, \rho_h^3$ ), the magnetic field to be a 2-form ( $\mathbf{B}_{\text{eq}}, \tilde{\mathbf{B}} \rightarrow B_{\text{eq}}^2, \tilde{B}^2$ ) and the bulk velocity and the hot current density to be 1-forms ( $\tilde{\mathbf{U}}, \mathbf{j}_h \rightarrow \tilde{U}^1, j_h^1$ ). Eq. (1.8) and (1.9) can then be written as

$$(*\rho_{\text{eq}}^3) \wedge \frac{\partial \tilde{U}^1}{\partial t} = i_{\# * B_{\text{eq}}^2} d * \tilde{B}^2 + i_{\# * \tilde{B}^2} d * B_{\text{eq}}^2 - (*\rho_h^3) \wedge i_{\# \tilde{U}^1} B^2 + i_{\# j_h^1} B^2, \quad (1.12)$$

$$\frac{\partial \tilde{B}^2}{\partial t} + d(i_{\# U^1} B_{\text{eq}}^2) = 0, \quad (1.13)$$

where  $*$  is the Hodge-star operator,  $\wedge$  the wedge product,  $i$  the interior product and  $\#$  the sharp operator which transforms a 1-form to a vector field.

## 2 Semi-discretization in space

As a next step, we introduce finite element basis functions which satisfy a discrete deRham sequence and which form a commuting diagram with the continuous functions via the interpolation-histopolation projectors  $\Pi_0, \Pi_1, \Pi_2$  and  $\Pi_3$ . This is depicted in Fig. 1. Assuming that we know the basis functions in each space (how this can be done with e.g. tensor-product B-splines, see Sec. ...), we express the forms in their resepective bases as

$$\tilde{U}^1(\mathbf{q}) \approx \tilde{U}_h^1(\mathbf{q}) = \sum_{\mathbf{i}} \sum_{\mu=1}^3 u_{\mu,\mathbf{i}} \Lambda_{\mu,\mathbf{i}}^1(\mathbf{q}) dq^\mu, \quad \mathbf{u}^\top := (\mathbf{u}_1^\top, \mathbf{u}_2^\top, \mathbf{u}_3^\top) \in \mathbb{R}^{3N}, \quad (2.1)$$

$$\tilde{B}^2(\mathbf{q}) \approx \tilde{B}_h^2(\mathbf{q}) = \sum_{\mathbf{i}} \sum_{\mu=1}^3 b_{\mu,\mathbf{i}} \Lambda_{\mu,\mathbf{i}}^2(\mathbf{q}) (dq^\alpha \wedge dq^\beta)_\mu, \quad \mathbf{b}^\top := (\mathbf{b}_1^\top, \mathbf{b}_2^\top, \mathbf{b}_3^\top) \in \mathbb{R}^{3N}, \quad (2.2)$$

where  $\mathbf{i} = (i_1, i_2, i_3)$  is a multi-index and  $N$  the total number of basis functions. To simplify the notation, we write for the components of the differential forms

$$\tilde{U}_h^1 \leftrightarrow \tilde{\mathbf{U}}_h^\top = (\mathbf{u}_1^\top, \mathbf{u}_2^\top, \mathbf{u}_3^\top) \begin{pmatrix} \mathbf{\Lambda}_1^1 & 0 & 0 \\ 0 & \mathbf{\Lambda}_2^1 & 0 \\ 0 & 0 & \mathbf{\Lambda}_3^1 \end{pmatrix} = \mathbf{u}^\top \mathbb{A}^1, \quad \mathbb{A}^1 \in \mathbb{R}^{3N \times 3}, \quad (2.3)$$

$$\tilde{B}_h^2 \leftrightarrow \tilde{\mathbf{B}}_h^\top = (\mathbf{b}_1^\top, \mathbf{b}_2^\top, \mathbf{b}_3^\top) \begin{pmatrix} \mathbf{\Lambda}_1^2 & 0 & 0 \\ 0 & \mathbf{\Lambda}_2^2 & 0 \\ 0 & 0 & \mathbf{\Lambda}_3^2 \end{pmatrix} = \mathbf{b}^\top \mathbb{A}^2, \quad \mathbb{A}^2 \in \mathbb{R}^{3N \times 3}. \quad (2.4)$$

As already stated, solve the kinetic equation with particle-in-cell techniques. Hence we assume a particle-like distribution function which in physical space takes the form

$$f_h = f_h(t, \mathbf{x}, \mathbf{v}) \approx \sum_k w_k \delta(\mathbf{x} - \mathbf{x}_k(t)) \delta(\mathbf{v} - \mathbf{v}_k(t)). \quad (2.5)$$

From this, the hot ion charge density, current density and energy density can easily be obtained by taking the first three moments in velocity space:

$$\dot{\rho}_h(t, \mathbf{x}) = \sum_k w_k \delta(\mathbf{x} - \mathbf{x}_k(t)), \quad (2.6)$$

$$\dot{\mathbf{j}}_h(t, \mathbf{x}) = \sum_k w_k \delta(\mathbf{x} - \mathbf{x}_k(t)) \mathbf{v}_k(t), \quad (2.7)$$

$$\dot{\epsilon}_h(t, \mathbf{x}) = \sum_k w_k \delta(\mathbf{x} - \mathbf{x}_k(t)) \mathbf{v}_k^2(t). \quad (2.8)$$

To avoid confusions, we use the notation  $(\cdot)$  where necessary for quantities which are defined on physical space. Since there is no difference between vectors/scalars and forms in physical space, these expression are as well the components of the 3-form number density, the 1-form current density and the 3-form energy density. To get the components on the logical domain we apply the transformation formulas for 3-forms, respectively 1-forms to obtain

$$\rho_{h,123}(t, \mathbf{q}) = \sqrt{g} \dot{\rho}_h(t, F(\mathbf{q})) = \sum_k w_k \delta(\mathbf{q} - \mathbf{q}_k(t)), \quad (2.9)$$

$$\mathbf{j}_h(t, \mathbf{q}) = DF^\top \dot{\mathbf{j}}_h(t, F(\mathbf{q})) = \frac{1}{\sqrt{g}} DF^\top \sum_k w_k \delta(\mathbf{q} - \mathbf{q}_k(t)) \mathbf{v}_k(t), \quad (2.10)$$

$$\epsilon_{h,123}(t, \mathbf{q}) = \sqrt{g} \dot{\epsilon}_h(t, F(\mathbf{q})) = \sum_k w_k \delta(\mathbf{q} - \mathbf{q}_k(t)) \mathbf{v}_k^2(t), \quad (2.11)$$

where we made use of the transformation formula

$$\delta(\mathbf{x} - \mathbf{x}_k(t)) = \frac{1}{\sqrt{g}} \delta(\mathbf{q} - \mathbf{q}_k(t)). \quad (2.12)$$

Let us use these results to derive an energy conserving semi-discrete system for the finite element coefficients of  $\tilde{U}_h^1$  and  $\tilde{B}_h^2$  and the particle's positions and velocities  $(\mathbf{q}_k, \mathbf{v}_k)_{k=1,\dots,N_p}$ .

## 2.1 Momentum equation

We choose a weak formulation for the momentum equation and consequently take the inner product with a test function  $V^1 \in H\Lambda^1(\Omega)$  to obtain the variational formulation: Find  $\tilde{U}^1 \in H\Lambda^1(\Omega)$  such that

$$\left( (*\rho_{eq}^3) \wedge \frac{\partial \tilde{U}^1}{\partial t}, V^1 \right) = \left( i_{\#*B_{eq}^2} d * \tilde{B}^2, V^1 \right) + \left( i_{\#*\tilde{B}^2} d * B_{eq}^2, V^1 \right) \quad (2.13)$$

$$- \left( (*\rho_h^3) \wedge i_{\#\tilde{U}^1} B^2, \tilde{V}^1 \right) + \left( i_{\#j_h^1} B^2, V^1 \right) \quad \forall V^1 \in H\Lambda^1(\Omega). \quad (2.14)$$

We apply the Galerkin approximation to each term and project back into the right spaces where necessary. Let us start with the first term on the left-hand side involving the equilibrium bulk density. To achieve conservation of energy at the discrete level we make use of the fact that the wedge with a 0-form is just a multiplication with a scalar. Hence the wedge product can as well be applied to the test function and the following equality holds:

$$\left( (*\rho_{eq}^3) \wedge \frac{\partial \tilde{U}^1}{\partial t}, V^1 \right) = \frac{1}{2} \left( (*\rho_{eq}^3) \wedge \frac{\partial \tilde{U}^1}{\partial t}, V^1 \right) + \frac{1}{2} \left( \frac{\partial \tilde{U}^1}{\partial t}, (*\rho_{eq}^3) \wedge V^1 \right). \quad (2.15)$$

Using the definition of the inner product of 1-forms yields for the first term

$$\left( (*\rho_{\text{eq}}^3) \wedge \frac{\partial \tilde{U}^1}{\partial t}, V^1 \right) = \int_{\hat{\Omega}} \frac{1}{\sqrt{g}} \rho_{\text{eq},123} \dot{\mathbf{U}}^\top G^{-1} \mathbf{V} \sqrt{g} d^3 q \approx \int_{\hat{\Omega}} \Pi_1 \left( \frac{1}{\sqrt{g}} \rho_{\text{eq},123} \dot{\mathbf{U}}_h^\top \right) G^{-1} \mathbf{V}_h \sqrt{g} d^3 q \quad (2.16)$$

$$= \dot{\mathbf{u}}^\top \mathcal{W}^\top \underbrace{\int_{\hat{\Omega}} \mathbb{A}^1 G^{-1} (\mathbb{A}^1)^\top \sqrt{g} d^3 q}_{=: \mathbb{M}^1} \mathbf{v} = \dot{\mathbf{u}}^\top \mathcal{W}^\top \mathbb{M}^1 \mathbf{v} \quad \forall \mathbf{v} \in \mathbb{R}^{3N}, \quad (2.17)$$

where  $\mathbb{M}^1 \in \mathbb{R}^{3N \times 3N}$  is the mass matrix in the space  $V_1$ . The projection matrix, for which we use calligraphic symbols, is given by

$$\mathcal{W}_{ij} = \Pi_{1,\mu}^{i_\mu} \left[ \frac{1}{\sqrt{g}} \rho_{\text{eq},123} \mathbb{A}_{j\mu}^1 \right], \quad i = \begin{cases} i_\mu, & \mu = 1 \\ N + i_\mu, & \mu = 2 \\ 2N + i_\mu, & \mu = 3 \end{cases} \quad (2.18)$$

for  $i_\mu = \{1, \dots, N\}$ . Unfortunately, this matrix is dense which is problematic from a memory consumption point of view. Therefore, we just compute the right-hand sides of the projection for each basis function which defines a sparse matrix due to the compact support of B-splines. The final projection we then perform in each time step again. Explicitly, the right-hand side reads

$$\tilde{\mathcal{W}} := \begin{pmatrix} \text{vec}_{1,1} [\rho_0 / \sqrt{g} (\mathbf{\Lambda}_1^1)^\top] & 0 & 0 \\ 0 & \text{vec}_{1,2} [\rho_0 / \sqrt{g} (\mathbf{\Lambda}_2^1)^\top] & 0 \\ 0 & 0 & \text{vec}_{1,3} [\rho_0 / \sqrt{g} (\mathbf{\Lambda}_3^1)^\top] \end{pmatrix} \quad (2.19)$$

$$\mathcal{I}_1^{-1} := \begin{pmatrix} \mathcal{I}_{1,1}^{-1} & 0 & 0 \\ 0 & \mathcal{I}_{1,2}^{-1} & 0 \\ 0 & 0 & \mathcal{I}_{1,3}^{-1} \end{pmatrix}, \quad (2.20)$$

$$\Rightarrow \mathcal{W} = \mathcal{I}_1^{-1} \tilde{\mathcal{W}}. \quad (2.21)$$

For some 1-form  $f^1 = f_1 dq^1 + f_2 dq^2 + f_3 dq^3$  the projection is a mixed interpolation-histopolation problem defined by

$$(\text{vec}_{1,1})_i(f^1) = \int_{\xi_{i_1}}^{\xi_{i_1+1}} f_1(q_1, \xi_{i_2}, \xi_{i_3}) dq^1, \quad (\mathcal{I}_{1,1})_{ij} = \int_{\xi_{i_1}}^{\xi_{i_1+1}} \mathbf{\Lambda}_{1,j}^1(q_1, \xi_{i_2}, \xi_{i_3}) dq^1 \quad (2.22)$$

$$(\text{vec}_{1,2})_i(f^1) = \int_{\xi_{i_2}}^{\xi_{i_2+1}} f_2(\xi_{i_1}, q_2, \xi_{i_3}) dq^2, \quad (\mathcal{I}_{1,2})_{ij} = \int_{\xi_{i_2}}^{\xi_{i_2+1}} \mathbf{\Lambda}_{2,j}^1(\xi_{i_1}, q_2, \xi_{i_3}) dq^2 \quad (2.23)$$

$$(\text{vec}_{1,3})_i(f^1) = \int_{\xi_{i_3}}^{\xi_{i_3+1}} f_3(\xi_{i_1}, \xi_{i_2}, q_3) dq^3, \quad (\mathcal{I}_{1,3})_{ij} = \int_{\xi_{i_3}}^{\xi_{i_3+1}} \mathbf{\Lambda}_{3,j}^1(\xi_{i_1}, \xi_{i_2}, q_3) dq^3, \quad (2.24)$$

where the  $\xi_i$  are some well-chosen interpolation points on the logical domain. Finally, we obtain

$$\left( (*\rho_{\text{eq}}^3) \wedge \frac{\partial \tilde{U}^1}{\partial t}, V^1 \right) \approx \frac{1}{2} \mathbf{v}^\top (\mathbb{M}^1 \mathcal{W} + \mathcal{W}^\top \mathbb{M}^1) \dot{\mathbf{u}} =: \mathbf{v}^\top \mathcal{A} \dot{\mathbf{u}}, \quad (2.25)$$

with  $\mathcal{A} \in \mathbb{R}^{3N \times 3N}$  being symmetric.

Using the identities  $\langle i_{\# \gamma^1} \alpha^2, \beta^1 \rangle = \langle \alpha^2, \gamma^1 \wedge \beta^1 \rangle$  and  $*(*\alpha^2 \wedge \beta^1) = i_{\# \beta^1} \alpha^2$ , the first term on the right-hand side can be written as

$$\left( i_{\# * B_{\text{eq}}^2} d * \tilde{B}^2, V^1 \right) = \left( d * \tilde{B}^2, * B_{\text{eq}}^2 \wedge V^1 \right) = \left( * d * \tilde{B}^2, * (* B_{\text{eq}}^2 \wedge V^1) \right) = \left( d^* \tilde{B}^2, i_{\# V^1} B_{\text{eq}}^2 \right), \quad (2.26)$$

where we introduced the co-differential operator  $d^* \alpha^p = (-1)^p * d * \alpha^p$ . Applying the Green formula for differential forms and assuming that the boundary term vanishes yields

$$\left( i_{\# * B_{\text{eq}}^2} d * \tilde{B}^2, V^1 \right) = \left( \tilde{B}^2, d i_{\# V^1} B_{\text{eq}}^2 \right) = \int_{\hat{\Omega}} \frac{1}{g} \hat{\mathbf{B}}^\top G \left( \nabla \times (\hat{\mathbf{B}}_{\text{eq}} \times G^{-1} \mathbf{V}) \right) \sqrt{g} d^3 q \quad (2.27)$$

$$\approx \mathbf{b}^\top \underbrace{\int_{\hat{\Omega}} \frac{1}{\sqrt{g}} \mathbb{A}^2 G (\mathbb{A}^2)^\top d^3 q}_{=: \mathbb{M}^2} \mathbb{C} \tilde{\Pi}_1 \left( \mathbb{B}_{\text{eq}} G^{-1} (\mathbb{A}^1)^\top \right) \mathbf{v} = \mathbf{b}^\top \mathbb{M}^2 \mathbb{C} \mathcal{T} \mathbf{v} \quad \forall \mathbf{v} \in \mathbb{R}^{3N}, \quad (2.28)$$

where we introduced the discrete curl matrix  $\mathbb{C} \in \mathbb{R}^{3N \times 3N}$ , the mass matrix  $\mathbb{M}^2 \in \mathbb{R}^{3N \times 3N}$  in the space  $V_2$  and we wrote the vector product of the background magnetic field with the velocity field in terms of a matrix-vector product by using the matrix

$$\mathbb{B}_{\text{eq}} = \begin{pmatrix} 0 & -B_{\text{eq},12} & B_{\text{eq},31} \\ B_{\text{eq},12} & 0 & -B_{\text{eq},23} \\ -B_{\text{eq},31} & B_{\text{eq},23} & 0 \end{pmatrix} \in \mathbb{R}^{3 \times 3}. \quad (2.29)$$

The projection matrix  $\mathcal{T}$  is given by

$$\mathcal{T}_{ij} := \Pi_{1,\mu}^{i_\mu} [(\mathbb{B}_{\text{eq}})_{\mu k} G^{kl} \Lambda_{j,l}^1] = \Pi_{1,\mu}^{i_\mu} [\epsilon_{\mu m k} B_{\text{eq},m} G^{kl} \Lambda_{j,l}^1], \quad \mu = \{1, 2, 3\}, \quad (2.30)$$

which has the right-hand sides

$$\begin{aligned} \tilde{\mathcal{T}} &= \\ &= \begin{pmatrix} \text{vec}_{1,1} [(B_{0,31}G^{31} - B_{0,12}G^{21})(\Lambda_1^1)^\top, (B_{0,31}G^{32} - B_{0,12}G^{22})(\Lambda_2^1)^\top, (B_{0,31}G^{33} - B_{0,12}G^{23})(\Lambda_3^1)^\top] \\ \text{vec}_{1,2} [(B_{0,12}G^{11} - B_{0,23}G^{31})(\Lambda_1^1)^\top, (B_{0,12}G^{12} - B_{0,23}G^{32})(\Lambda_2^1)^\top, (B_{0,12}G^{13} - B_{0,23}G^{33})(\Lambda_3^1)^\top] \\ \text{vec}_{1,3} [(B_{0,23}G^{21} - B_{0,31}G^{11})(\Lambda_1^1)^\top, (B_{0,23}G^{22} - B_{0,31}G^{12})(\Lambda_2^1)^\top, (B_{0,23}G^{23} - B_{0,31}G^{13})(\Lambda_3^1)^\top] \end{pmatrix}. \end{aligned} \quad (2.31)$$

Performing the same steps for the second term yields

$$(i_{\#*\tilde{B}^2} d * B_{\text{eq}}^2, V^1) = (B_{\text{eq}}^2, d i_{\#V^1} \tilde{B}^2) = \int_{\hat{\Omega}} \frac{1}{g} \hat{\mathbf{B}}_{\text{eq}}^\top G (\nabla \times (\tilde{\mathbf{B}} \times G^{-1} \mathbf{V})) \sqrt{g} d^3q \quad (2.33)$$

$$\approx \tilde{\Pi}_2 (\hat{\mathbf{B}}_{\text{eq}}^\top) \int_{\hat{\Omega}} \frac{1}{\sqrt{g}} \mathbb{A}^2 G (\mathbb{A}^2)^\top d^3q \mathbb{C} \tilde{\Pi}_1 [(\mathbb{A}^2)^\top \mathbf{b} \times G^{-1} (\mathbb{A}^1)^\top \mathbf{v}] \quad (2.34)$$

$$= \mathbf{b}_{\text{eq}}^\top \mathbb{M}^2 \mathbb{C} (\mathbf{b}^\top \mathcal{P} \mathbf{v}) \quad \forall \mathbf{v} \in \mathbb{R}^{3N}. \quad (2.35)$$

The projection tensor  $\mathcal{P} \in \mathbb{R}^{3N \times 3N \times 3N}$  is given by

$$\mathcal{P}_{ijk} = \Pi_1^{j_\mu} [\epsilon_{\mu l m} \Lambda_{i,l}^2 G^{mn} \Lambda_{k,n}^1], \quad (2.36)$$

where it is important to note that the entries of  $\mathbf{b}$  contract from left with the index  $i$  and the entries of  $\mathbf{v}$  from right with the index  $k$ . The result is then a vector defined by the index  $j$ .

Next, we consider the last term involving the hot ion current density

$$(i_{\#j_h^1} B^2, V^1) = \int_{\hat{\Omega}} (\hat{\mathbf{B}} \times G^{-1} \mathbf{j}_h)^\top G^{-1} \mathbf{V} \sqrt{g} d^3q \approx \sum_k w_k \mathbf{V}_h^\top (\mathbf{q}_k) G^{-1} (\hat{\mathbf{B}}_h(\mathbf{q}_k) \times D F^{-1} \mathbf{v}_k), \quad (2.37)$$

$$= \mathbf{v}^\top \mathbb{P}_1 \mathbb{W} \tilde{G}^{-1} \hat{\mathbb{B}} D \tilde{F}^{-1} \mathbf{V}, \quad (2.38)$$

where we introduced the antisymmetric block matrix

$$\hat{\mathbb{B}} = \hat{\mathbb{B}}(\mathbf{b}, \mathbf{Q}) = \quad (2.39)$$

$$= \begin{pmatrix} 0 & -\text{diag}[\mathbb{P}_3^{2\top}(\mathbf{Q}) \mathbf{b}_3 + B_{\text{eq},12}(\mathbf{Q})] & \text{diag}[\mathbb{P}_2^{2\top}(\mathbf{Q}) \mathbf{b}_2 + B_{\text{eq},31}(\mathbf{Q})] \\ \text{diag}[\mathbb{P}_3^{2\top}(\mathbf{Q}) \mathbf{b}_3 + B_{\text{eq},12}(\mathbf{Q})] & 0 & -\text{diag}[\mathbb{P}_1^{2\top}(\mathbf{Q}) \mathbf{b}_1 + B_{\text{eq},23}(\mathbf{Q})] \\ -\text{diag}[\mathbb{P}_2^{2\top}(\mathbf{Q}) \mathbf{b}_2 + B_{\text{eq},31}(\mathbf{Q})] & \text{diag}[\mathbb{P}_1^{2\top}(\mathbf{Q}) \mathbf{b}_1 + B_{\text{eq},23}(\mathbf{Q})] & 0 \end{pmatrix}. \quad (2.40)$$

where  $(\mathbb{P}_{1/2/3}^2)_{ik} = \Lambda_{1/2/3,i}^2(\mathbf{q}_k) \in \mathbb{R}^{N \times N_p}$  represents the evaluation of all basis functions at all particle

positions and  $\mathbf{Q} \in \mathbb{R}^{3N_p}$  is the vector holding all particle positions. Moreover,

$$\mathbf{V} = (v_{1x}, v_{2x}, \dots, v_{N_p x}, v_{1y}, v_{2y}, \dots, v_{N_p y}, v_{1z}, v_{2z}, \dots, v_{N_p z})^\top \in \mathbb{R}^{3N_p}, \quad (2.41)$$

$$\mathbb{W} = \begin{pmatrix} \text{diag}(w_1, \dots, w_{N_p}) & & \\ & \text{diag}(w_1, \dots, w_{N_p}) & \\ & & \text{diag}(w_1, \dots, w_{N_p}) \end{pmatrix} \in \mathbb{R}^{3N_p \times 3N_p}, \quad (2.42)$$

$$\mathbb{P}^1 = \mathbb{P}^1(\mathbf{Q}) = \begin{pmatrix} \mathbb{P}_1^1(\mathbf{Q}) & & \\ & \mathbb{P}_2^1(\mathbf{Q}) & \\ & & \mathbb{P}_3^1(\mathbf{Q}) \end{pmatrix} \in \mathbb{R}^{3N \times 3N_p}. \quad (2.43)$$

$$\tilde{G}^{-1} = \tilde{G}^{-1}(\mathbf{Q}) \begin{pmatrix} \text{diag}(G^{11}(\mathbf{Q})) & \text{diag}(G^{12}(\mathbf{Q})) & \text{diag}(G^{13}(\mathbf{Q})) \\ \text{diag}(G^{21}(\mathbf{Q})) & \text{diag}(G^{22}(\mathbf{Q})) & \text{diag}(G^{23}(\mathbf{Q})) \\ \text{diag}(G^{31}(\mathbf{Q})) & \text{diag}(G^{32}(\mathbf{Q})) & \text{diag}(G^{33}(\mathbf{Q})) \end{pmatrix} \in \mathbb{R}^{3N_p \times 3N_p}, \quad (2.44)$$

$$\tilde{D}\tilde{F}^{-1} = \tilde{D}\tilde{F}^{-1}(\mathbf{Q}) \begin{pmatrix} \text{diag}(DF^{11}(\mathbf{Q})) & \text{diag}(DF^{12}(\mathbf{Q})) & \text{diag}(DF^{13}(\mathbf{Q})) \\ \text{diag}(DF^{21}(\mathbf{Q})) & \text{diag}(DF^{22}(\mathbf{Q})) & \text{diag}(DF^{23}(\mathbf{Q})) \\ \text{diag}(DF^{31}(\mathbf{Q})) & \text{diag}(DF^{32}(\mathbf{Q})) & \text{diag}(DF^{33}(\mathbf{Q})) \end{pmatrix} \in \mathbb{R}^{3N_p \times 3N_p}. \quad (2.45)$$

Finally, the term involving the hot ion charge density amounts to

$$\left( (*\rho_h^3) \wedge i_{\#\tilde{U}^1} B^2, \tilde{V}^1 \right) = \int_{\tilde{\Omega}} \left( \frac{1}{\sqrt{g}} \rho_{h,123} \hat{\mathbf{B}} \times G^{-1} \tilde{\mathbf{U}} \right)^\top G^{-1} \mathbf{V} \sqrt{g} d^3q \quad (2.46)$$

$$\approx \sum_k w_k \mathbf{V}_h^\top(\mathbf{q}_k) G^{-1} (\hat{\mathbf{B}}_h(\mathbf{q}_k) \times G^{-1} \tilde{\mathbf{U}}_h(\mathbf{q}_k)) = \mathbf{v}^\top \mathbb{P}_1 \mathbb{W} \tilde{G}^{-1} \hat{\mathbf{B}} \tilde{G}^{-1} \mathbb{P}_1^\top \mathbf{u}, \quad (2.47)$$

which is clearly antisymmetric because  $\hat{\mathbf{B}}$  is antisymmetric. In total we get the following semi-discrete momentum balance equation:

$$\mathcal{A}\dot{\mathbf{u}} = \mathcal{T}^\top \mathbb{C}^\top \mathbb{M}^2 \mathbf{b} + \mathbf{b}_{\text{eq}}^\top \mathbb{M}^2 \mathbb{C} \mathcal{P}^{jki} \mathbf{b} + \mathbb{P}_1 \mathbb{W} \tilde{G}^{-1} \hat{\mathbf{B}} \tilde{G}^{-1} \mathbb{P}_1^\top \mathbf{u} + \mathbb{P}_1 \mathbb{W} \tilde{G}^{-1} \hat{\mathbf{B}} \tilde{D}\tilde{F}^{-1} \mathbf{V} \quad (2.48)$$

where  $\mathcal{P}^{jki}$  means that order of the indices is changed from  $(\cdot)_{ijk}$  to  $(\cdot)_{jki}$  such that  $\mathbf{b}$  still contracts with the index  $i$  from right and the  $\mathbb{C}$  with the index  $j$  from left.

## 2.2 Induction equation

In contrast to the momentum equation, we keep the induction equation in strong form. This time we have to use the projector  $\Pi_2$  which commutes with the curl operator:

$$\frac{\partial \tilde{\mathbf{B}}_h}{\partial t} + \Pi_2 \left[ \nabla \times (\hat{\mathbf{B}}_{\text{eq}} \times G^{-1} \tilde{\mathbf{U}}_h) \right] = 0 \quad (2.49)$$

$$\Leftrightarrow \frac{\partial \mathbf{b}}{\partial t} + \mathbb{C} \tilde{\Pi}_1 \left[ \mathbb{B}_{\text{eq}} G^{-1} (\mathbb{A}^1)^\top \right] \mathbf{u} = 0 \quad (2.50)$$

$$\Leftrightarrow \frac{\partial \mathbf{b}}{\partial t} + \mathbb{C} \mathcal{T} \mathbf{u} = 0. \quad (2.51)$$

We immediately see that we obtain the same projection matrix as for the Hall term in the momentum equation.

## 2.3 Particles' equation of motion

As a last step, the equations of motion for a single particle with logical coordinate  $\mathbf{q}_k$  and physical velocity  $\mathbf{v}_k$  read

$$\frac{d\mathbf{q}_k}{dt} = DF^{-1} \mathbf{v}_k, \quad (2.52)$$

$$\frac{d\mathbf{v}_k}{dt} = \frac{1}{\sqrt{g}} DF \hat{\mathbf{B}}_h(\mathbf{q}_k) \times DF^{-\top} \mathbf{U}_h(\mathbf{q}_k) - \frac{1}{\sqrt{g}} DF \hat{\mathbf{B}}_h(\mathbf{q}_k) \times \mathbf{v}_k. \quad (2.53)$$

$$= DF^{-\top} (\hat{\mathbf{B}}_h(\mathbf{q}_k) \times G^{-1} \mathbf{U}_h(\mathbf{q}_k)) - DF^{-\top} (\hat{\mathbf{B}}_h(\mathbf{q}_k) \times DF^{-1} \mathbf{v}_k), \quad (2.54)$$

where we used the identity  $A\mathbf{b} \times A\mathbf{c} = \det(A)A^{-\top}(\mathbf{b} \times \mathbf{c})$  from vector calculus. Writing the above equation of motion in matrix-vector form for all particles yields

$$\frac{d\mathbf{Q}}{dt} = \tilde{D}\tilde{F}^{-1}\mathbf{V}, \quad (2.55)$$

$$\frac{d\mathbf{V}}{dt} = \tilde{D}\tilde{F}^{-\top}\hat{\mathbb{B}}\tilde{G}^{-1}\mathbb{P}^{1\top}\mathbf{u} - \tilde{D}\tilde{F}^{-\top}\hat{\mathbb{B}}\tilde{D}\tilde{F}^{-1}\mathbf{V}. \quad (2.56)$$

## 2.4 Hamiltonian system

To write down the semi-discrete system in Hamiltonian form, let us introduce the discrete Hamiltonian

$$\mathcal{H}_h := \frac{1}{2} \left( (*\rho_{eq}^3) \wedge \tilde{U}_h^1, \tilde{U}_h^1 \right) + \frac{1}{2} (\tilde{B}_h^2, \tilde{B}_h^2) + \frac{1}{2} \int_{\hat{\Omega}} \epsilon_{h,123} d^3q \quad (2.57)$$

$$= \frac{1}{2} \mathbf{u}^\top \mathcal{A} \mathbf{u} + \frac{1}{2} \mathbf{b}^\top \mathbb{M}^2 \mathbf{b} + \frac{1}{2} \mathbf{V}^\top \mathbb{W} \mathbf{V}. \quad (2.58)$$

With this we can formulate the semi-discrete system in a  $4 \times 4$  block structure:

$$\frac{d}{dt} \begin{pmatrix} \mathbf{u} \\ \mathbf{b} \\ \mathbf{Q} \\ \mathbf{V} \end{pmatrix} = \mathbb{J}(\mathbf{b}, \mathbf{Q}) \nabla \mathcal{H} = \mathbb{J}(\mathbf{b}, \mathbf{Q}) \begin{pmatrix} \mathcal{A}\mathbf{u} \\ \mathbb{M}^2\mathbf{b} \\ 0 \\ \mathbb{W}\mathbf{V} \end{pmatrix}, \quad (2.59)$$

$$\mathbb{J}(\mathbf{b}, \mathbf{Q}) = \begin{pmatrix} \mathcal{A}^{-1}\mathbb{P}_1(\mathbf{Q})\tilde{G}^{-1}(\mathbf{Q})\hat{\mathbb{B}}(\mathbf{b}, \mathbf{Q})\mathbb{P}_1^\top(\mathbf{Q})\mathcal{A}^{-1} & \mathcal{A}^{-1}\mathcal{T}^\top\mathbb{C}^\top & 0 & 0 \\ -\mathbb{C}\mathcal{T}\mathcal{A}^{-1} & 0 & 0 & 0 \\ 0 & 0 & \tilde{D}\mathcal{F}^{-1}(\mathbf{Q})\mathbb{W}^{-1} & 0 \\ 0 & 0 & -\tilde{D}\mathcal{F}^{-\top}(\mathbf{Q}) & -\mathbb{W}^{-1}\tilde{D}\mathcal{F}^{-\top}(\mathbf{Q}) \end{pmatrix}, \quad (2.60)$$

$$\begin{pmatrix} \mathcal{A}^{-1}\mathbb{P}_1(\mathbf{Q})\mathbb{W}\tilde{G}^{-1}(\mathbf{Q})\hat{\mathbb{B}}(\mathbf{b}, \mathbf{Q})\tilde{G}^{-1}(\mathbf{Q})\mathbb{P}_1^\top(\mathbf{Q})\mathcal{A}^{-1} & \mathcal{A}^{-1}\mathcal{T}^\top\mathbb{C}^\top & 0 & 0 \\ -\mathbb{C}\mathcal{T}\mathcal{A}^{-1} & 0 & 0 & 0 \\ 0 & 0 & \tilde{D}\mathcal{F}^{-1}(\mathbf{Q})\mathbb{W}^{-1} & 0 \\ 0 & 0 & -\mathbb{W}^{-1}\tilde{D}\mathcal{F}^{-\top}(\mathbf{Q}) & -\mathbb{W}^{-1}\tilde{D}\mathcal{F}^{-\top}(\mathbf{Q})\mathbb{P}_1^\top(\mathbf{Q})\mathcal{A}^{-1} \end{pmatrix} \quad (2.61)$$

We end up with an antisymmetric Poisson matrix meaning that the discrete Hamiltonian is conserved.

### **3 Time integration**

#### **3.1 Hamiltonian splitting**

We split the part of the Hamiltonian involving the kinetic energy in its 9 sub-parts