

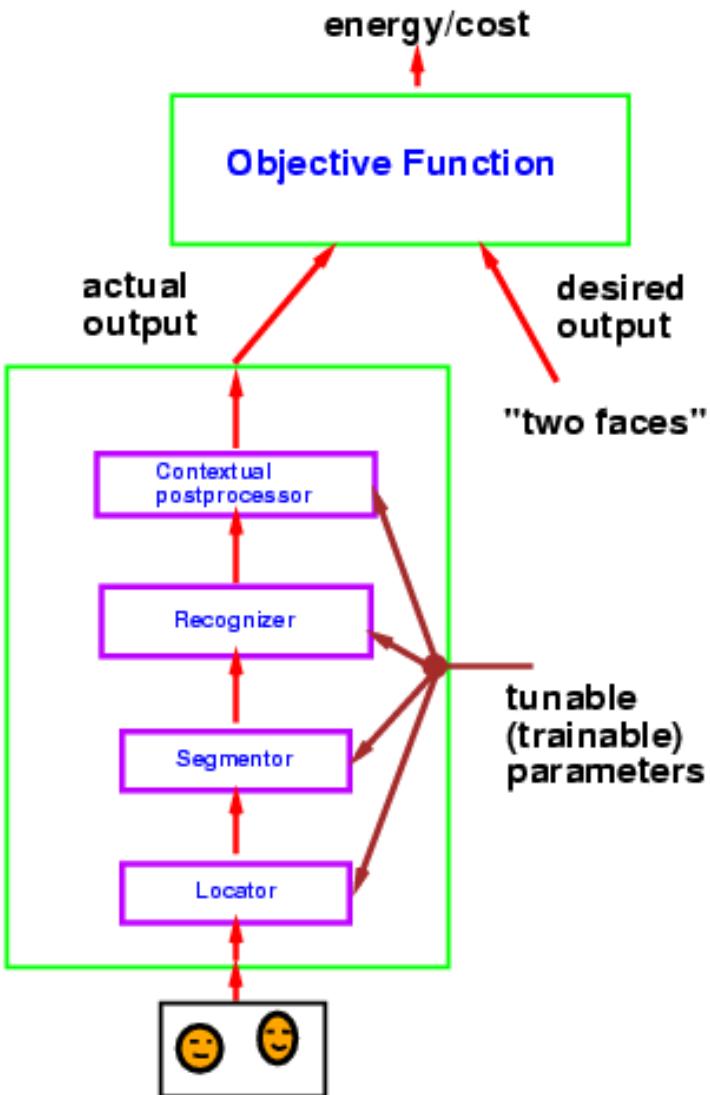
Deep Learning, Graphical Models, Energy-Based Models, Structured Prediction

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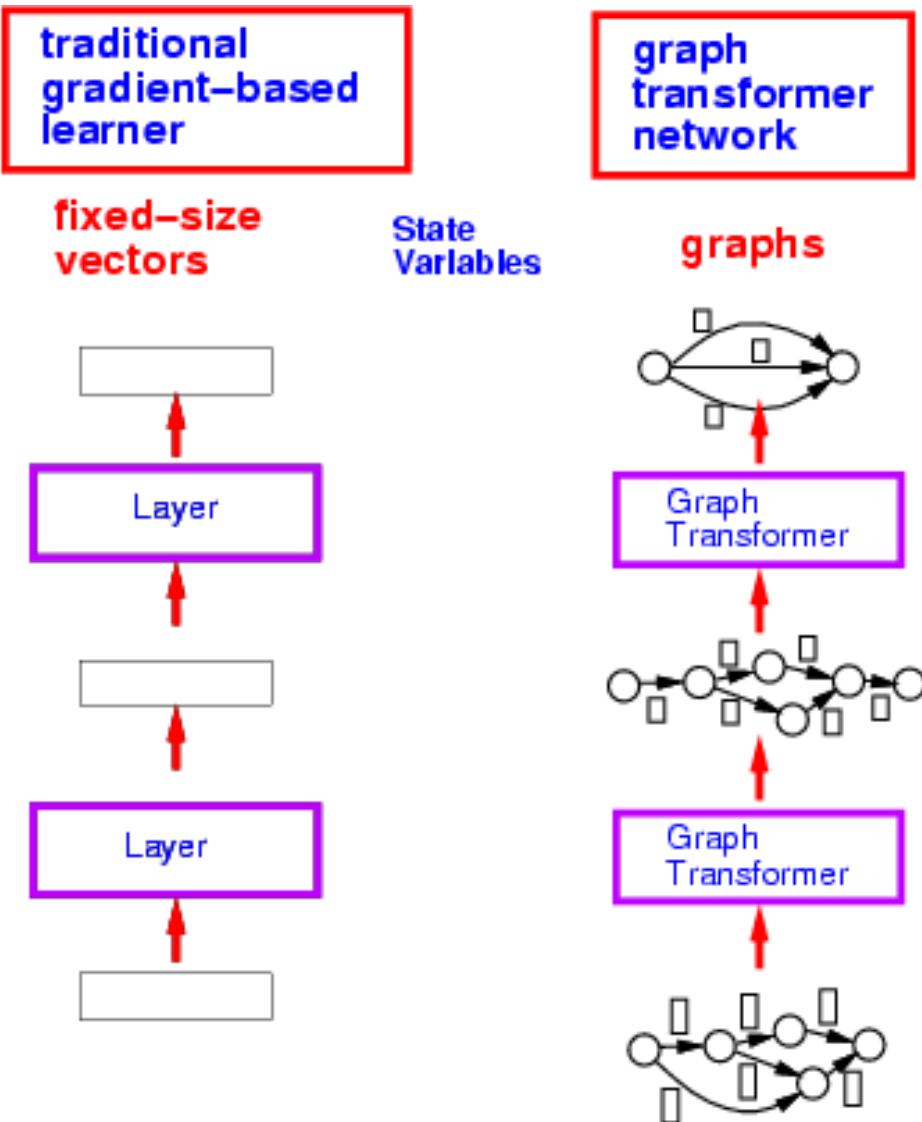
<http://www.cs.nyu.edu/~yann>

End-to-End Learning.



- ➊ Making every single module in the system trainable.
- ➋ Every module is trained simultaneously so as to optimize a global loss function.

Using Graphs instead of Vectors.

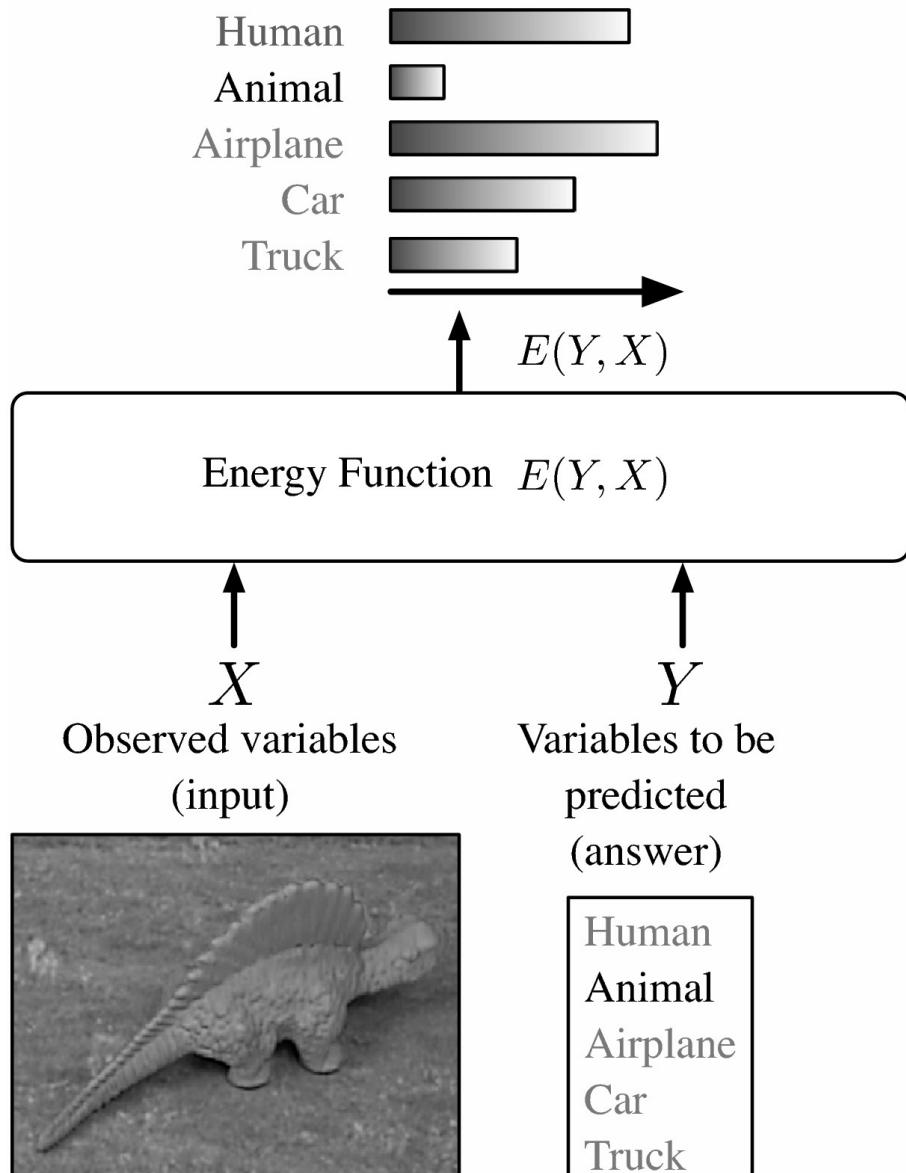


- Whereas traditional learning machines manipulate **fixed-size vectors**, Graph Transformer Networks manipulate **graphs**.

Energy-Based Model

- **Highly popular methods in the Machine Learning and Natural Language Processing Communities have their roots in Speech and Handwriting Recognition**
 - ▶ Structured Perceptron, Conditional Random Fields, and related learning models for “structured prediction” are descendants of discriminative learning methods for speech recognition and word-level handwriting recognition methods from the early 90's
- **A Tutorial and Energy-Based Learning:**
 - ▶ [LeCun & al., 2006]
- **Discriminative Training for “Structured Output” models**
 - ▶ The whole literature on discriminative speech recognition [1987-]
 - ▶ The whole literature on neural-net/HMM hybrids for speech [Bottou 1991, Bengio 1993, Haffner 1993, Bourlard 1994]
 - ▶ Graph Transformer Networks [LeCun & al. Proc IEEE 1998]
 - ▶ Structured Perceptron [Collins 2001]
 - ▶ Conditional Random Fields [Lafferty & al 2001]
 - ▶ Max Margin Markov Nets [Altun & al 2003, Taskar & al 2003]

Energy-Based Model for Decision-Making



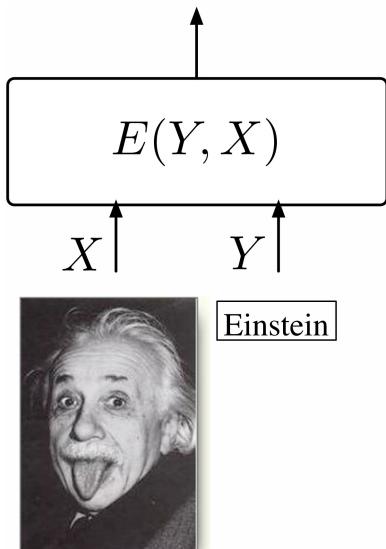
➊ **Model:** Measures the compatibility between an observed variable X and a variable to be predicted Y through an energy function $E(Y, X)$.

$$Y^* = \operatorname{argmin}_{Y \in \mathcal{Y}} E(Y, X).$$

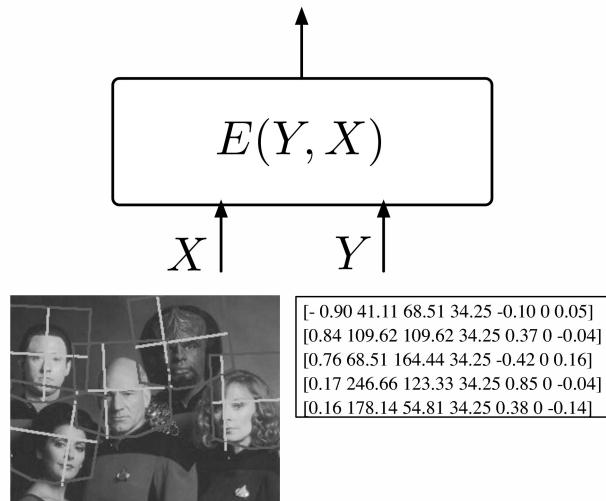
➋ **Inference:** Search for the Y that minimizes the energy within a set \mathcal{Y}

➌ If the set has low cardinality, we can use exhaustive search.

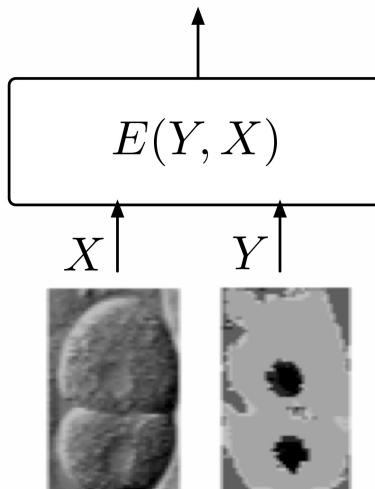
Complex Tasks: Inference is non-trivial



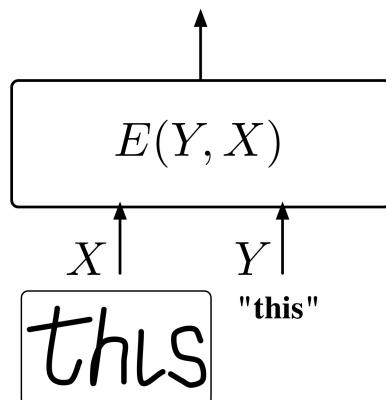
(a)



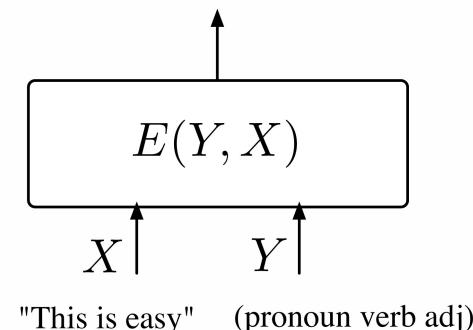
(b)



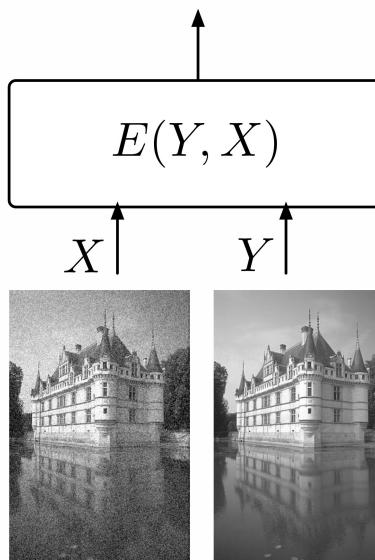
(c)



(d)



(e)



(f)

When the cardinality or dimension of Y is large, exhaustive search is impractical.

We need to use “smart” inference procedures: min-sum, Viterbi, min cut, belief propagation, gradient decent.....

Converting Energies to Probabilities

➊ Energies are uncalibrated

- ▶ The energies of two separately-trained systems cannot be combined
- ▶ The energies are uncalibrated (measured in arbitrary units)

➋ How do we calibrate energies?

- ▶ We turn them into probabilities (positive numbers that sum to 1).
- ▶ Simplest way: Gibbs distribution
- ▶ Other ways can be reduced to Gibbs by a suitable redefinition of the energy.

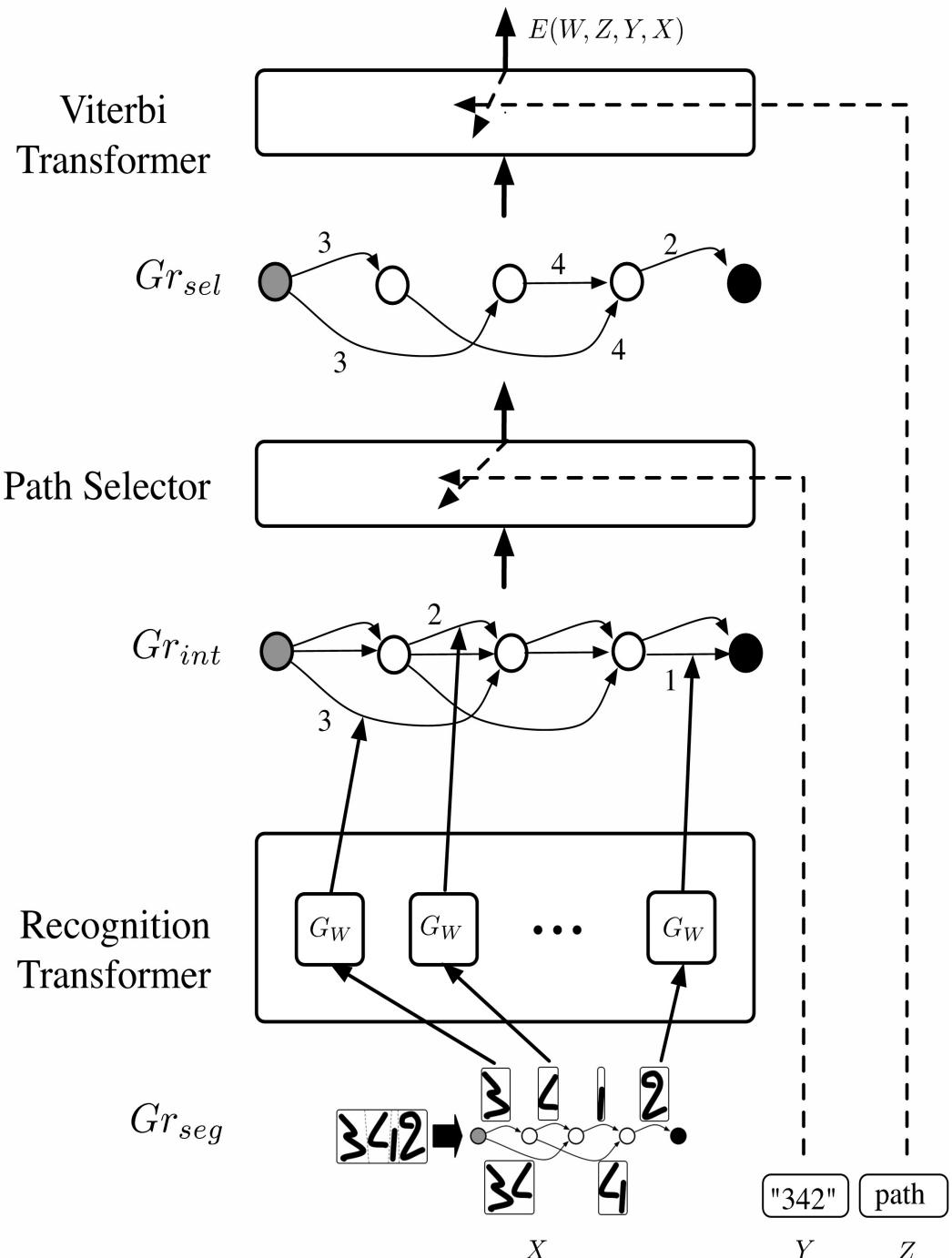
$$P(Y|X) = \frac{e^{-\beta E(Y,X)}}{\sum_{y \in \mathcal{Y}} e^{-\beta E(y,X)}},$$

Partition function

Inverse temperature

Handwriting recognition Sequence labeling

- integrated segmentation and recognition of sequences.
- Each segmentation and recognition hypothesis is a path in a graph
- inference = finding the shortest path in the interpretation graph.
- Un-normalized hierarchical HMMs a.k.a. Graph Transformer Networks
 - [LeCun, Bottou, Bengio, Haffner, Proc IEEE 1998]

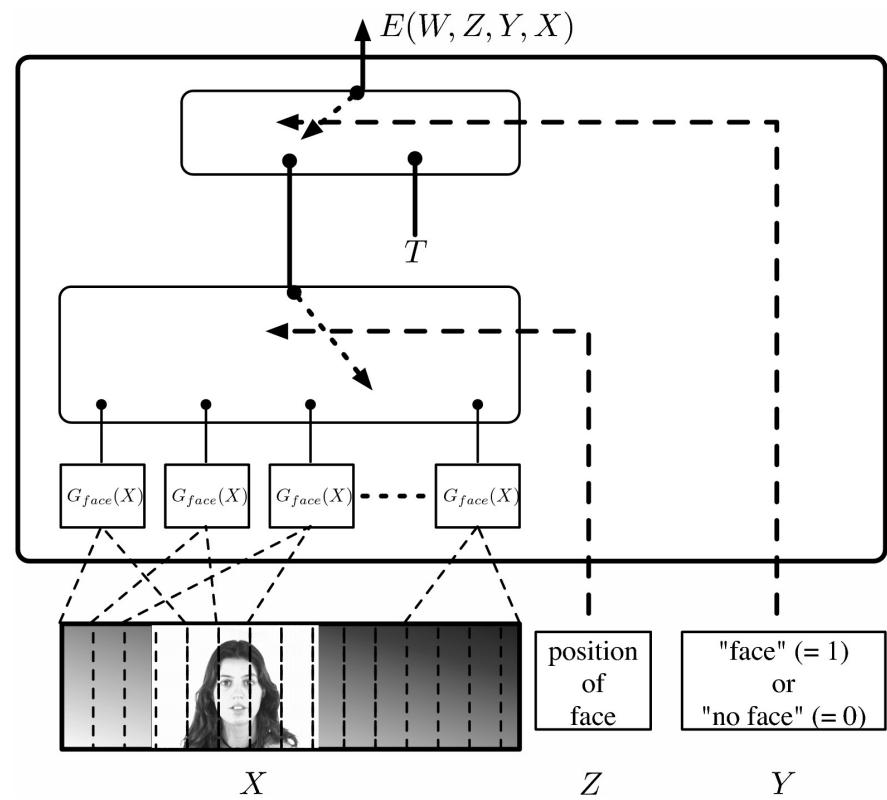
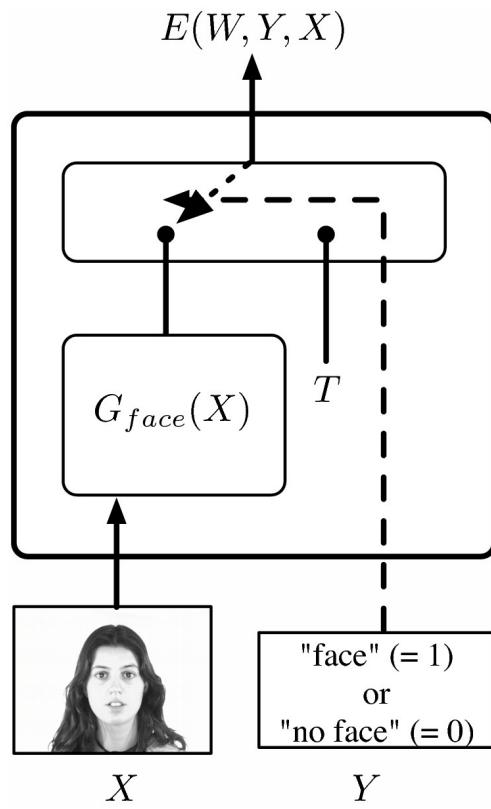


Latent Variable Models

- The energy includes “hidden” variables Z whose value is never given to us

$$E(Y, X) = \min_{Z \in \mathcal{Z}} E(Z, Y, X).$$

$$Y^* = \operatorname{argmin}_{Y \in \mathcal{Y}, Z \in \mathcal{Z}} E(Z, Y, X).$$



What can the latent variables represent?

- ➊ Variables that would make the task easier if they were known:

- ▶ **Face recognition**: the gender of the person, the orientation of the face.
 - ▶ **Object recognition**: the pose parameters of the object (location, orientation, scale), the lighting conditions.
 - ▶ **Parts of Speech Tagging**: the segmentation of the sentence into syntactic units, the parse tree.
 - ▶ **Speech Recognition**: the segmentation of the sentence into phonemes or phones.
 - ▶ **Handwriting Recognition**: the segmentation of the line into characters.
 - ▶ **Object Recognition/Scene Parsing**: the segmentation of the image into components (objects, parts,...)
- ➋ In general, we will search for the value of the latent variable that allows us to get an answer (Y) of smallest energy.

Probabilistic Latent Variable Models

- Marginalizing over latent variables instead of minimizing.

$$P(Z, Y|X) = \frac{e^{-\beta E(Z, Y, X)}}{\int_{y \in \mathcal{Y}, z \in \mathcal{Z}} e^{-\beta E(y, z, X)}}.$$

$$P(Y|X) = \frac{\int_{z \in \mathcal{Z}} e^{-\beta E(Z, Y, X)}}{\int_{y \in \mathcal{Y}, z \in \mathcal{Z}} e^{-\beta E(y, z, X)}}.$$

- Equivalent to traditional energy-based inference with a redefined energy function:

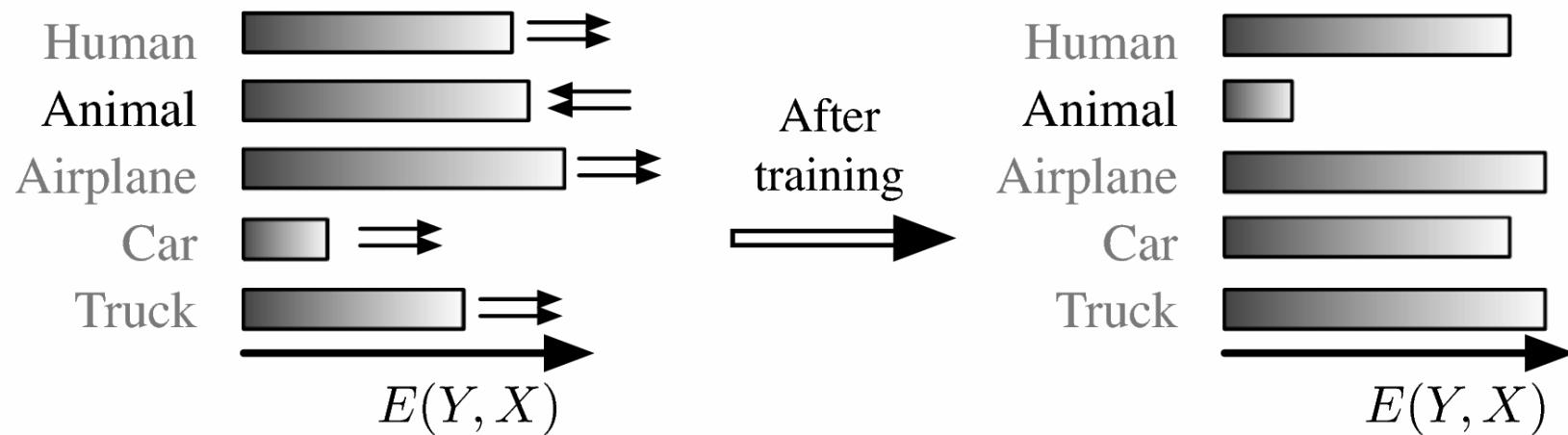
$$Y^* = \operatorname{argmin}_{Y \in \mathcal{Y}} -\frac{1}{\beta} \log \int_{z \in \mathcal{Z}} e^{-\beta E(z, Y, X)}.$$

- Reduces to traditional minimization when Beta->infinity

Training an EBM

- Training an EBM consists in shaping the energy function so that the energies of the correct answer is lower than the energies of all other answers.
- Training sample: X = image of an animal, Y = "animal"

$$E(\text{animal}, X) < E(y, X) \forall y \neq \text{animal}$$



Architecture and Loss Function

- **Family of energy functions**

$$\mathcal{E} = \{E(W, Y, X) : W \in \mathcal{W}\}.$$

- **Training set**

$$\mathcal{S} = \{(X^i, Y^i) : i = 1 \dots P\}$$

- **Loss functional / Loss function**

$$\mathcal{L}(E, \mathcal{S}) \quad \mathcal{L}(W, \mathcal{S})$$

- ▶ Measures the quality of an energy function on training set

- **Training**

$$W^* = \min_{W \in \mathcal{W}} \mathcal{L}(W, \mathcal{S}).$$

- **Form of the loss functional**

- ▶ invariant under permutations and repetitions of the samples

$$\mathcal{L}(E, \mathcal{S}) = \frac{1}{P} \sum_{i=1}^P L(Y^i, E(W, Y, X^i)) + R(W).$$

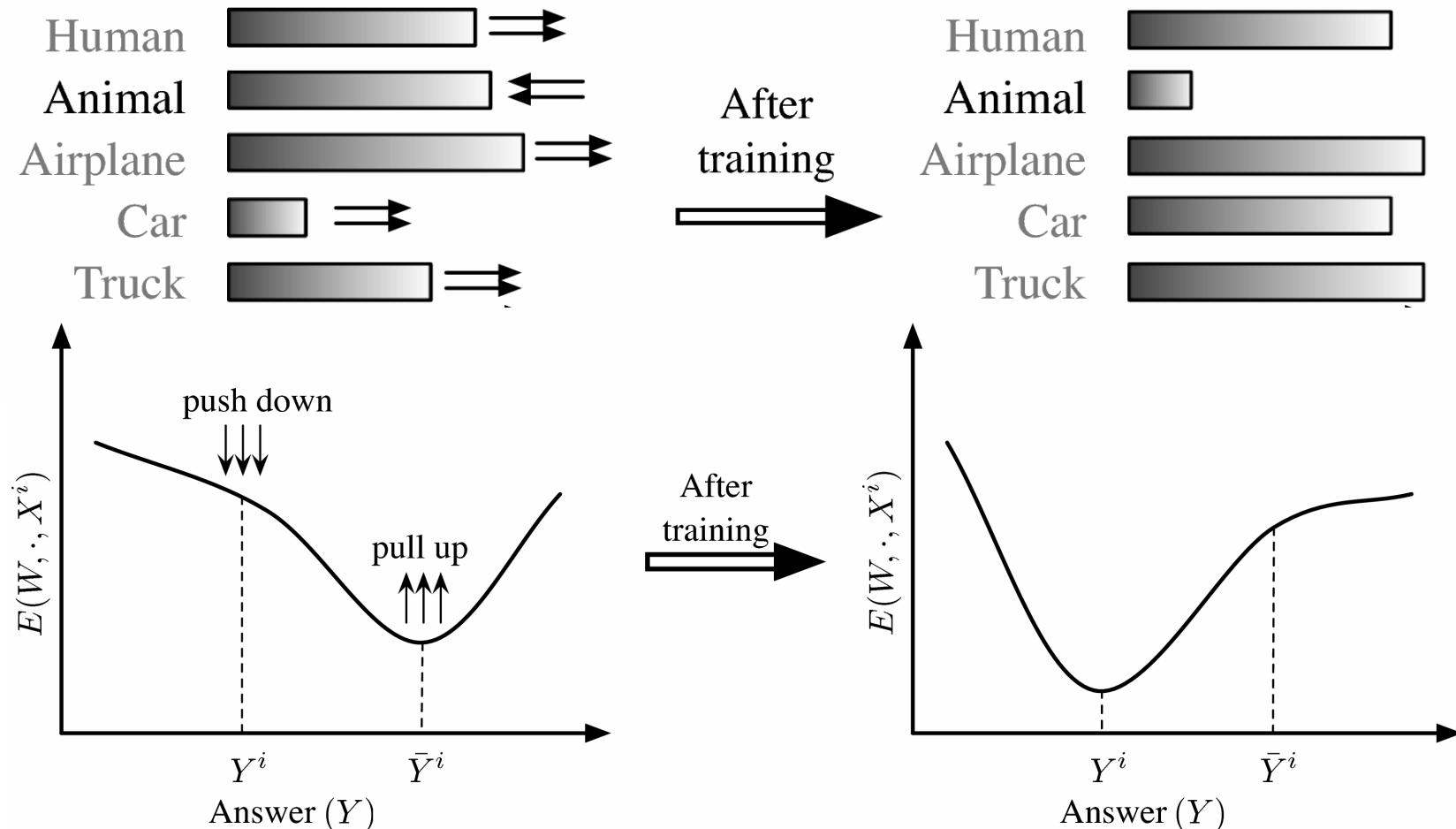
Per-sample
loss

Desired
answer

Energy surface
for a given X_i
as Y varies

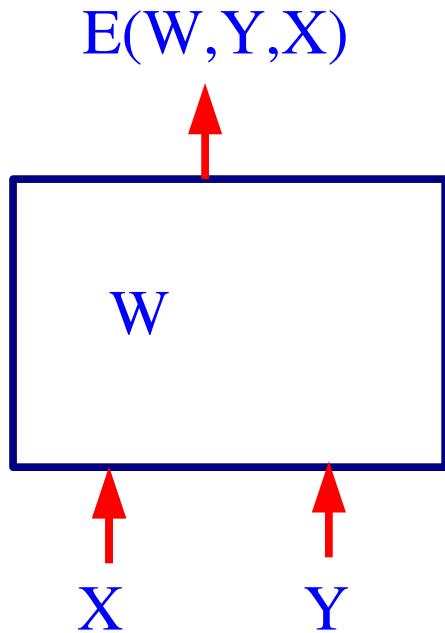
Regularizer

Designing a Loss Functional



- Push down on the energy of the correct answer
- Pull up on the energies of the incorrect answers, particularly if they are smaller than the correct one

Architecture + Inference Algo + Loss Function = Model



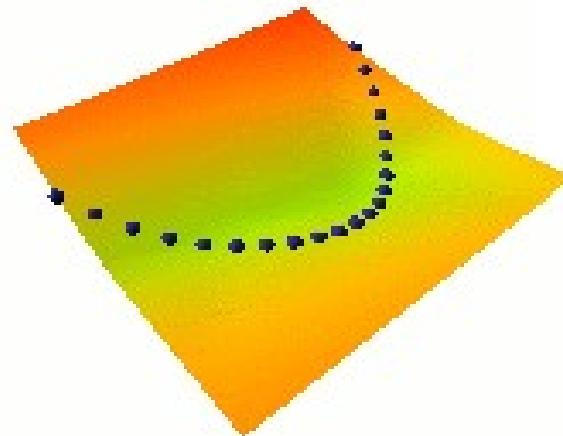
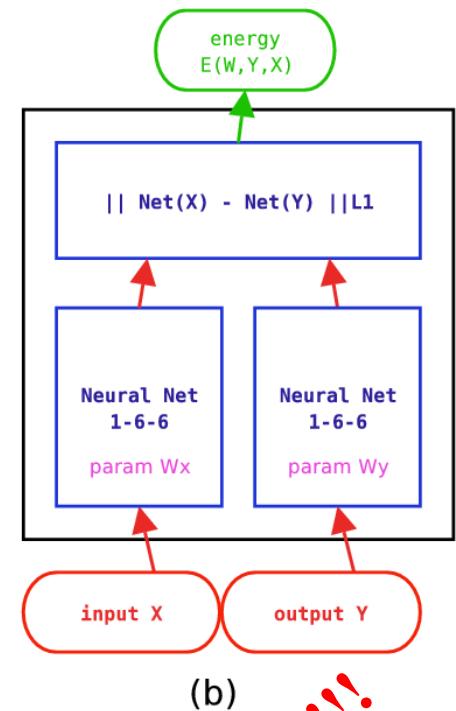
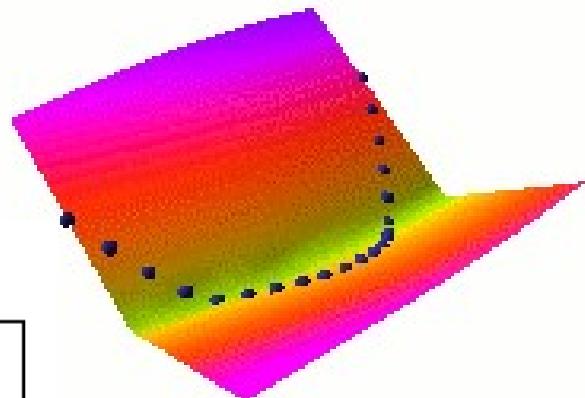
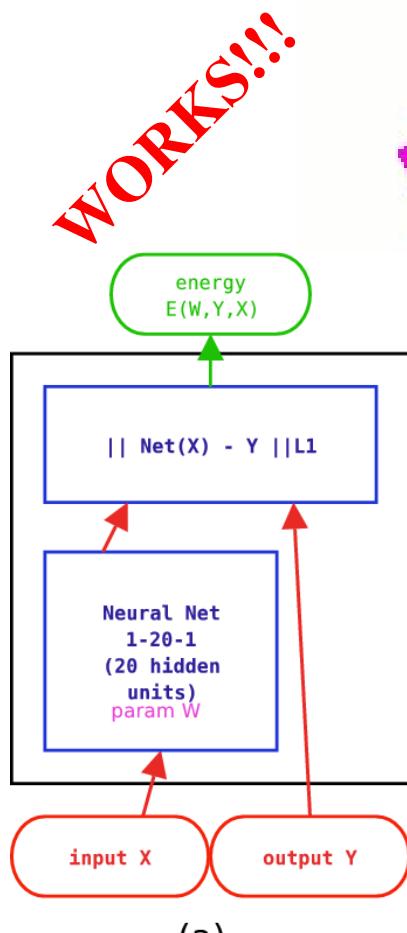
- ➊ **1. Design an architecture:** a particular form for $E(W, Y, X)$.
- ➋ **2. Pick an inference algorithm for Y :** MAP or conditional distribution, belief prop, min cut, variational methods, gradient descent, MCMC, HMC.....
- ➌ **3. Pick a loss function:** in such a way that minimizing it with respect to W over a training set will make the inference algorithm find the correct Y for a given X .
- ➍ **4. Pick an optimization method.**

- ➎ **PROBLEM: What loss functions will make the machine approach the desired behavior?**

Examples of Loss Functions: Energy Loss

■ **Energy Loss** $L_{energy}(Y^i, E(W, \mathcal{Y}, X^i)) = E(W, Y^i, X^i)$.

► Simply pushes down on the energy of the correct answer



Negative Log-Likelihood Loss

Conditional probability of the samples (assuming independence)

$$P(Y^1, \dots, Y^P | X^1, \dots, X^P, W) = \prod_{i=1}^P P(Y^i | X^i, W).$$
$$-\log \prod_{i=1}^P P(Y^i | X^i, W) = \sum_{i=1}^P -\log P(Y^i | X^i, W).$$

Gibbs distribution:

$$P(Y|X^i, W) = \frac{e^{-\beta E(W, Y, X^i)}}{\int_{y \in \mathcal{Y}} e^{-\beta E(W, y, X^i)}}.$$

$$-\log \prod_{i=1}^P P(Y^i | X^i, W) = \sum_{i=1}^P \beta E(W, Y^i, X^i) + \log \int_{y \in \mathcal{Y}} e^{-\beta E(W, y, X^i)}.$$

We get the NLL loss by dividing by P and Beta:

$$\mathcal{L}_{\text{nll}}(W, \mathcal{S}) = \frac{1}{P} \sum_{i=1}^P \left(E(W, Y^i, X^i) + \frac{1}{\beta} \log \int_{y \in \mathcal{Y}} e^{-\beta E(W, y, X^i)} \right).$$

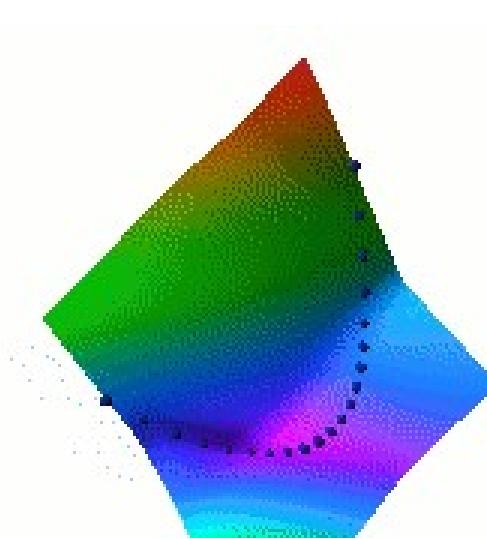
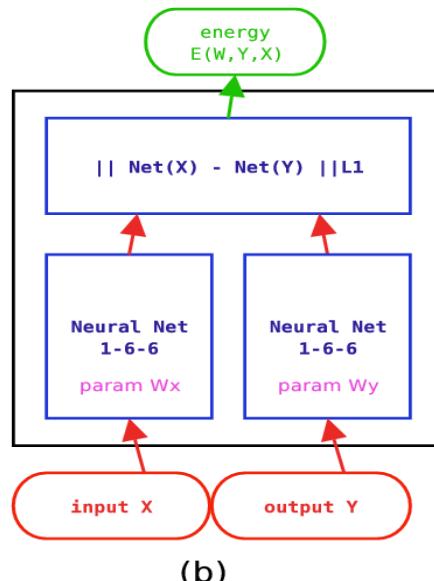
Reduces to the perceptron loss when Beta->infinity

Negative Log-Likelihood Loss

- Pushes down on the energy of the correct answer
- Pulls up on the energies of all answers in proportion to their probability

$$\mathcal{L}_{\text{nll}}(W, \mathcal{S}) = \frac{1}{P} \sum_{i=1}^P \left(E(W, Y^i, X^i) + \frac{1}{\beta} \log \int_{y \in \mathcal{Y}} e^{-\beta E(W, y, X^i)} \right).$$

$$\frac{\partial L_{\text{nll}}(W, Y^i, X^i)}{\partial W} = \frac{\partial E(W, Y^i, X^i)}{\partial W} - \int_{Y \in \mathcal{Y}} \frac{\partial E(W, Y, X^i)}{\partial W} P(Y|X^i, W),$$



Negative Log-Likelihood Loss

- A probabilistic model is an EBM in which:
 - ▶ The energy can be integrated over Y (the variable to be predicted)
 - ▶ The loss function is the negative log-likelihood
- Negative Log Likelihood Loss has been used for a long time in many communities for discriminative learning with structured outputs
 - ▶ Speech recognition: many papers going back to the early 90's [Bengio 92], [Bourlard 94]. They call "Maximum Mutual Information"
 - ▶ Handwriting recognition [Bengio LeCun 94], [LeCun et al. 98]
 - ▶ Bio-informatics [Haussler]
 - ▶ Conditional Random Fields [Lafferty et al. 2001]
 - ▶ Lots more.....
 - ▶ In all the above cases, it was used with non-linearly parameterized energies.

A Simpler Loss Functions:Perceptron Loss

$$L_{perceptron}(Y^i, E(W, \mathcal{Y}, X^i)) = E(W, Y^i, X^i) - \min_{Y \in \mathcal{Y}} E(W, Y, X^i).$$

➊ Perceptron Loss [LeCun et al. 1998], [Collins 2002]

- ▶ Pushes down on the energy of the correct answer
- ▶ Pulls up on the energy of the machine's answer
- ▶ Always positive. Zero when answer is correct
- ▶ No “margin”: technically does not prevent the energy surface from being almost flat.
- ▶ Works pretty well in practice, particularly if the energy parameterization does not allow flat surfaces.
- ▶ This is often called “**discriminative Viterbi training**” in the speech and handwriting literature

Perceptron Loss for Binary Classification

$$L_{\text{perceptron}}(Y^i, E(W, \mathcal{Y}, X^i)) = E(W, Y^i, X^i) - \min_{Y \in \mathcal{Y}} E(W, Y, X^i).$$

• **Energy:** $E(W, Y, X) = -Y G_W(X),$

• **Inference:** $Y^* = \operatorname{argmin}_{Y \in \{-1, 1\}} -Y G_W(X) = \operatorname{sign}(G_W(X)).$

• **Loss:** $\mathcal{L}_{\text{perceptron}}(W, \mathcal{S}) = \frac{1}{P} \sum_{i=1}^P (\operatorname{sign}(G_W(X^i)) - Y^i) G_W(X^i).$

• **Learning Rule:** $W \leftarrow W + \eta (Y^i - \operatorname{sign}(G_W(X^i))) \frac{\partial G_W(X^i)}{\partial W},$

• **If $G_W(X)$ is linear in W :** $E(W, Y, X) = -Y W^T \Phi(X)$

$W \leftarrow W + \eta (Y^i - \operatorname{sign}(W^T \Phi(X^i))) \Phi(X^i)$

A Better Loss Function: Generalized Margin Losses

First, we need to define the **Most Offending Incorrect Answer**

Most Offending Incorrect Answer: discrete case

Definition 1 Let Y be a discrete variable. Then for a training sample (X^i, Y^i) , the **most offending incorrect answer** \bar{Y}^i is the answer that has the lowest energy among all answers that are incorrect:

$$\bar{Y}^i = \operatorname{argmin}_{Y \in \mathcal{Y} \text{ and } Y \neq Y^i} E(W, Y, X^i). \quad (8)$$

Most Offending Incorrect Answer: continuous case

Definition 2 Let Y be a continuous variable. Then for a training sample (X^i, Y^i) , the **most offending incorrect answer** \bar{Y}^i is the answer that has the lowest energy among all answers that are at least ϵ away from the correct answer:

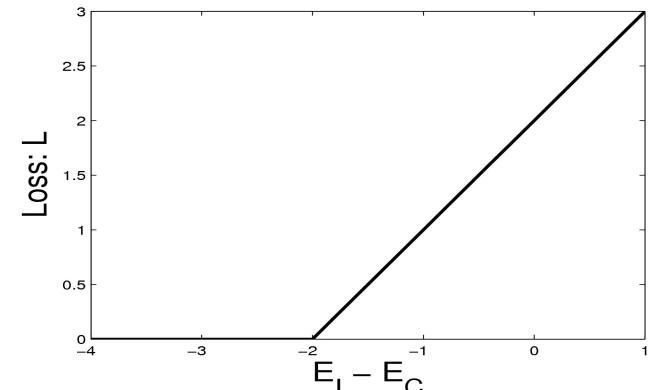
$$\bar{Y}^i = \operatorname{argmin}_{Y \in \mathcal{Y}, \|Y - Y^i\| > \epsilon} E(W, Y, X^i). \quad (9)$$

Examples of Generalized Margin Losses

$$L_{\text{hinge}}(W, Y^i, X^i) = \max (0, m + E(W, Y^i, X^i) - E(W, \bar{Y}^i, X^i)) ,$$

➊ Hinge Loss

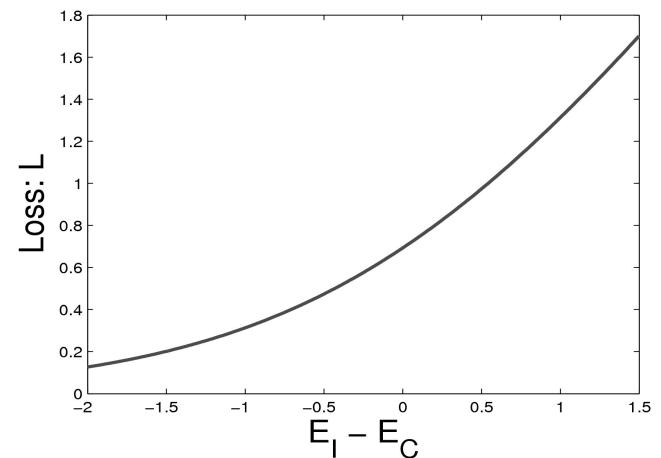
- ▶ [Altun et al. 2003], [Taskar et al. 2003]
- ▶ With the linearly-parameterized binary classifier architecture, we get linear SVMs



$$L_{\log}(W, Y^i, X^i) = \log \left(1 + e^{E(W, Y^i, X^i) - E(W, \bar{Y}^i, X^i)} \right) .$$

➋ Log Loss

- ▶ “soft hinge” loss
- ▶ With the linearly-parameterized binary classifier architecture, we get linear Logistic Regression

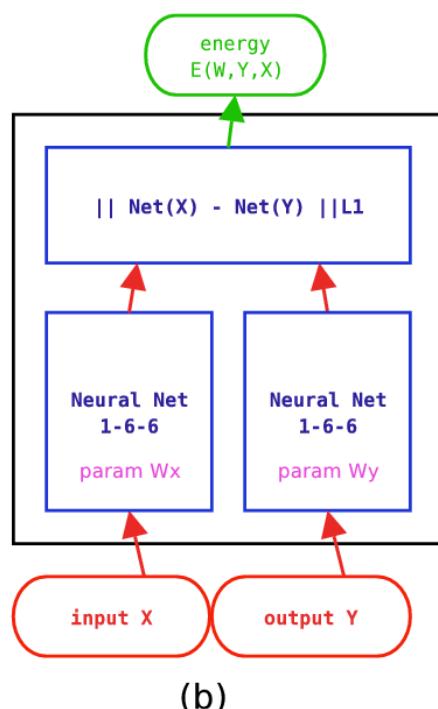


Examples of Margin Losses: Square-Square Loss

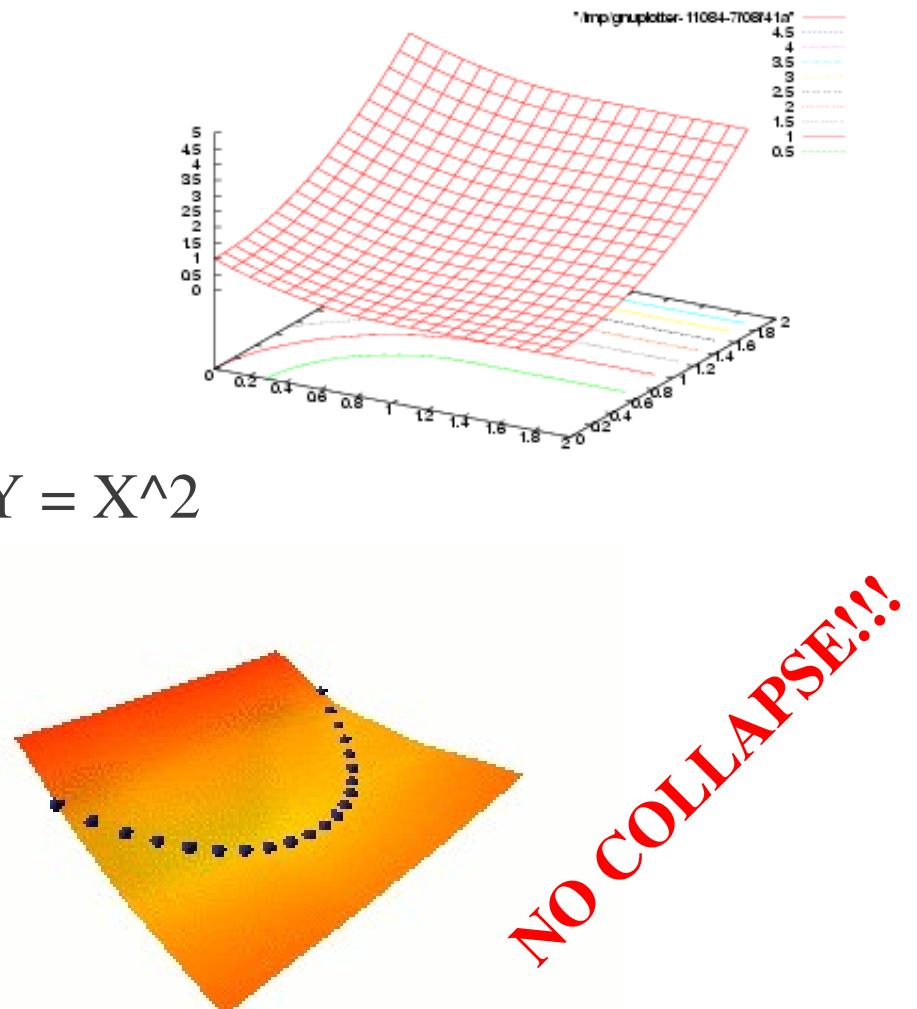
$$L_{\text{sq-sq}}(W, Y^i, X^i) = E(W, Y^i, X^i)^2 + (\max(0, m - E(W, \bar{Y}^i, X^i)))^2.$$

■ Square-Square Loss

- ▶ [LeCun-Huang 2005]
- ▶ Appropriate for positive energy functions



Learning $Y = X^2$



Other Margin-Like Losses

➊ LVQ2 Loss [Kohonen, Oja], Driancourt-Bottou 1991]

$$L_{\text{lvq2}}(W, Y^i, X^i) = \min \left(1, \max \left(0, \frac{E(W, Y^i, X^i) - E(W, \bar{Y}^i, X^i)}{\delta E(W, \bar{Y}^i, X^i)} \right) \right),$$

➋ Minimum Classification Error Loss [Juang, Chou, Lee 1997]

$$L_{\text{mce}}(W, Y^i, X^i) = \sigma \left(E(W, Y^i, X^i) - E(W, \bar{Y}^i, X^i) \right),$$

$$\sigma(x) = (1 + e^{-x})^{-1}$$

➌ Square-Exponential Loss [Osadchy, Miller, LeCun 2004]

$$L_{\text{sq-exp}}(W, Y^i, X^i) = E(W, Y^i, X^i)^2 + \gamma e^{-E(W, \bar{Y}^i, X^i)},$$

What Make a “Good” Loss Function

Good and bad loss functions

Loss (equation #)	Formula	Margin
energy loss	$E(W, Y^i, X^i)$	none
perceptron	$E(W, Y^i, X^i) - \min_{Y \in \mathcal{Y}} E(W, Y, X^i)$	0
hinge	$\max(0, m + E(W, Y^i, X^i) - E(W, \bar{Y}^i, X^i))$	m
log	$\log(1 + e^{E(W, Y^i, X^i) - E(W, \bar{Y}^i, X^i)})$	> 0
LVQ2	$\min(M, \max(0, E(W, Y^i, X^i) - E(W, \bar{Y}^i, X^i)))$	0
MCE	$(1 + e^{-(E(W, Y^i, X^i) - E(W, \bar{Y}^i, X^i))})^{-1}$	> 0
square-square	$E(W, Y^i, X^i)^2 - (\max(0, m - E(W, \bar{Y}^i, X^i)))^2$	m
square-exp	$E(W, Y^i, X^i)^2 + \beta e^{-E(W, \bar{Y}^i, X^i)}$	> 0
NLL/MMI	$E(W, Y^i, X^i) + \frac{1}{\beta} \log \int_{y \in \mathcal{Y}} e^{-\beta E(W, y, X^i)}$	> 0
MEE	$1 - e^{-\beta E(W, Y^i, X^i)} / \int_{y \in \mathcal{Y}} e^{-\beta E(W, y, X^i)}$	> 0

Slightly more general form:

$$L(W, X^i, Y^i) = \sum_y H(E(W, Y^i, X^i) - E(W, y, X^i) + C(Y^i, y))$$

Advantages/Disadvantages of various losses

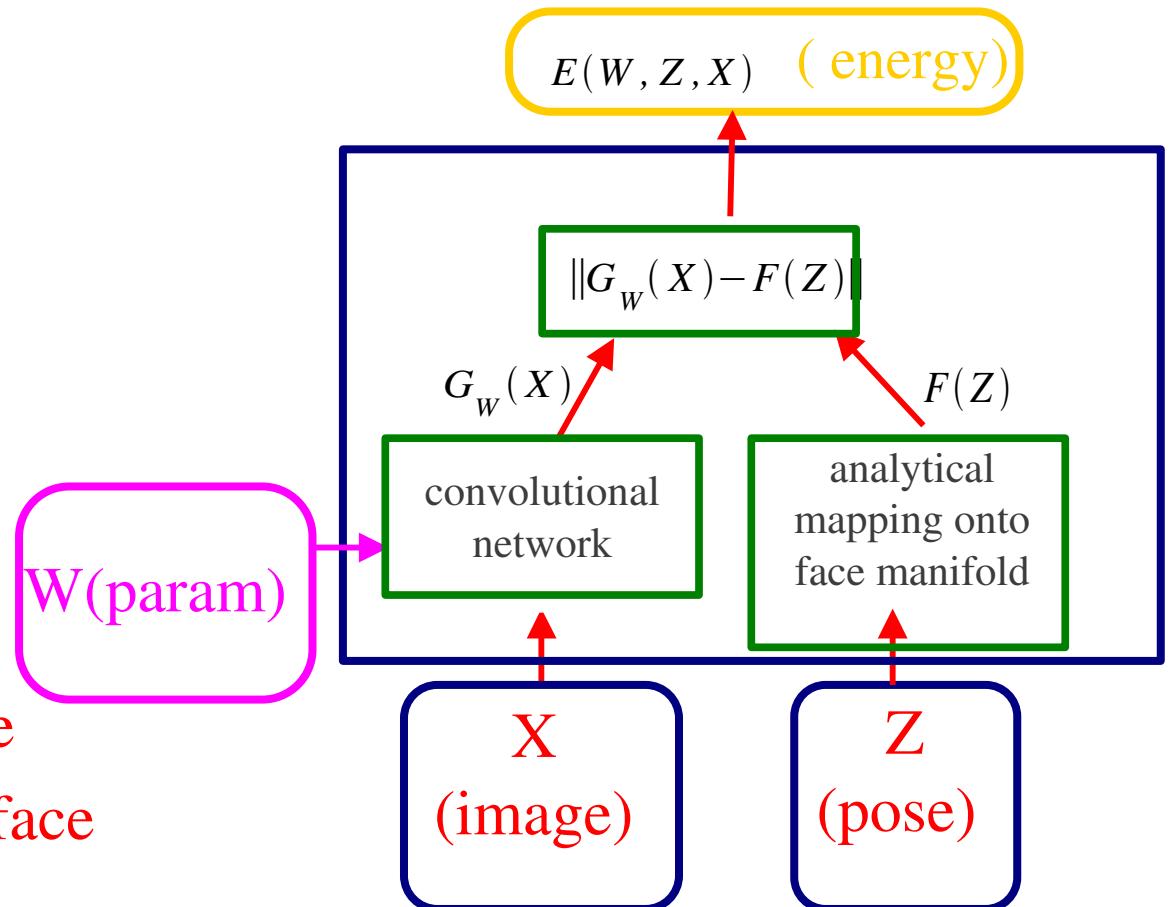
- ➊ Loss functions differ in how they pick the point(s) whose energy is pulled up, and how much they pull them up
- ➋ Losses with a log partition function in the contrastive term pull up all the bad answers simultaneously.
 - ▶ This may be good if the gradient of the contrastive term can be computed efficiently
 - ▶ This may be bad if it cannot, in which case we might as well use a loss with a single point in the contrastive term
- ➌ Variational methods pull up many points, but not as many as with the full log partition function.
- ➍ Efficiency of a loss/architecture: how many energies are pulled up for a given amount of computation?
 - ▶ The theory for this is to be developed

Face Detection and Pose Estimation with a Convolutional EBM

- Training: 52,850, 32x32 grey-level images of faces, 52,850 selected non-faces.
- Each training image was used 5 times with random variation in scale, in-plane rotation, brightness and contrast.
- 2nd phase: half of the initial negative set was replaced by false positives of the initial version of the detector .

$$E^*(W, X) = \min_Z \|G_W(X) - F(Z)\|$$

$$Z^* = \operatorname{argmin}_Z \|G_W(X) - F(Z)\|$$

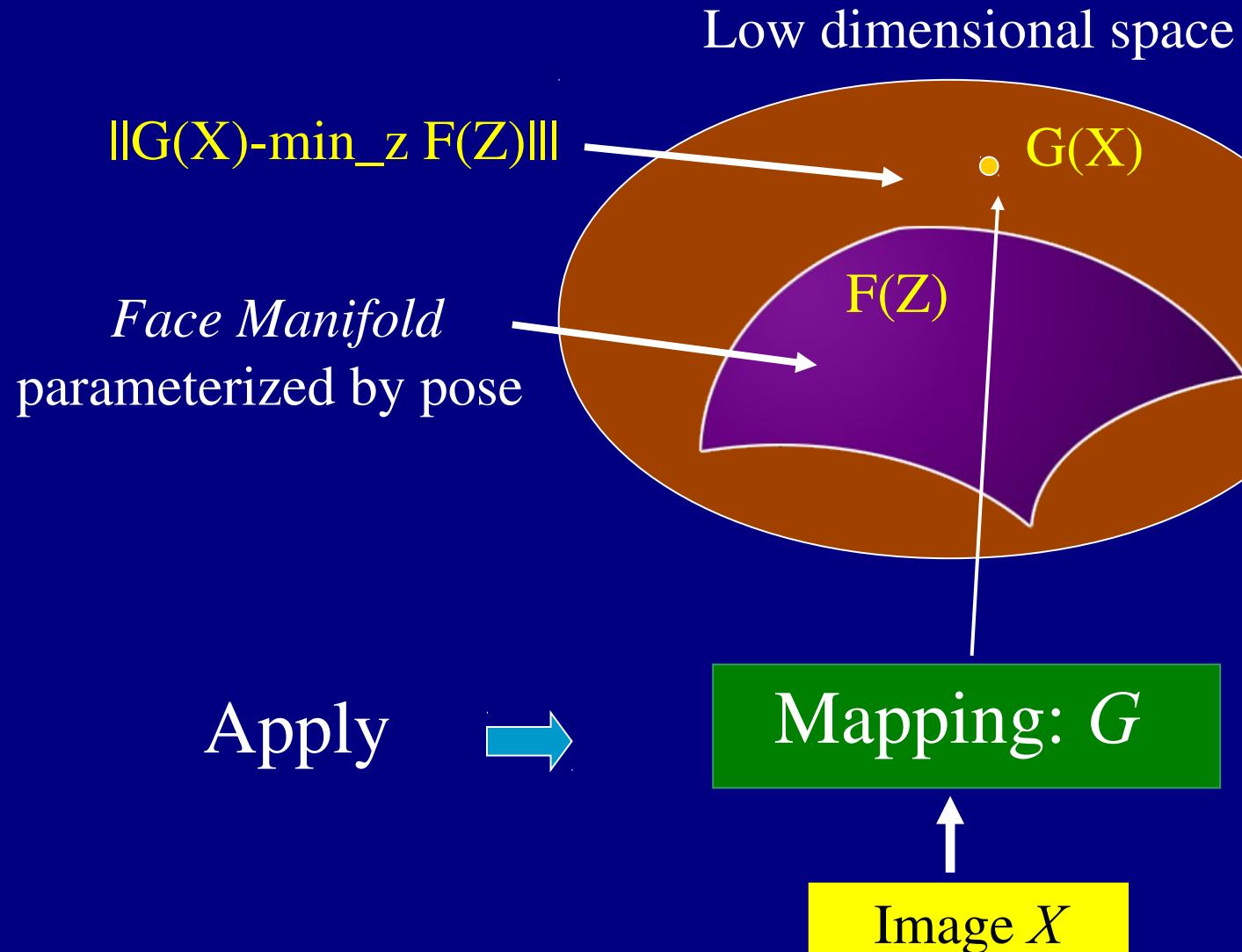


Small $E^*(W, X)$: face

Large $E^*(W, X)$: no face

[Osadchy, Miller, LeCun, NIPS 2004]

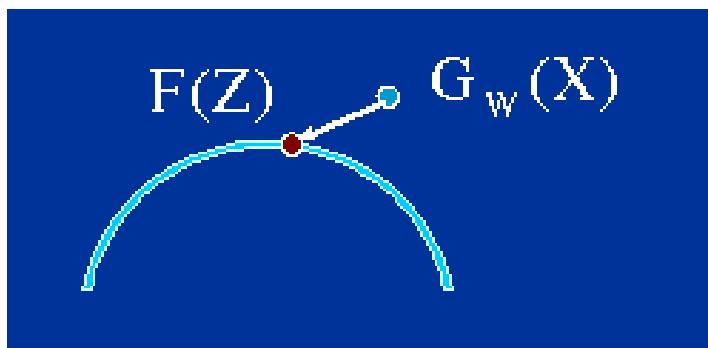
Face Manifold



Energy-Based Contrastive Loss Function

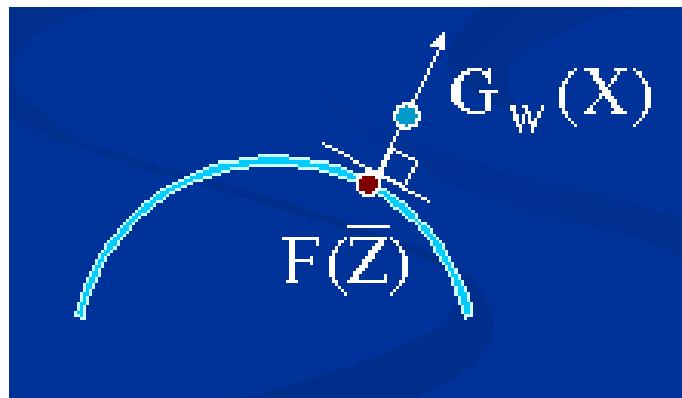
$$\mathcal{L}(W) = \frac{1}{|\text{f} + \text{p}|} \sum_{X, Z \in \text{faces+pose}} [L^+(E(W, Z, X))] + L^- \left(\min_{X, Z \in \text{bckgnd, poses}} E(W, Z, X) \right)$$

$$L^+(E(W, Z, X)) = E(W, Z, X)^2 = \|G_W(X) - F(Z)\|^2$$



Attract the network output $G_w(X)$ to the location of the desired pose $F(Z)$ on the manifold

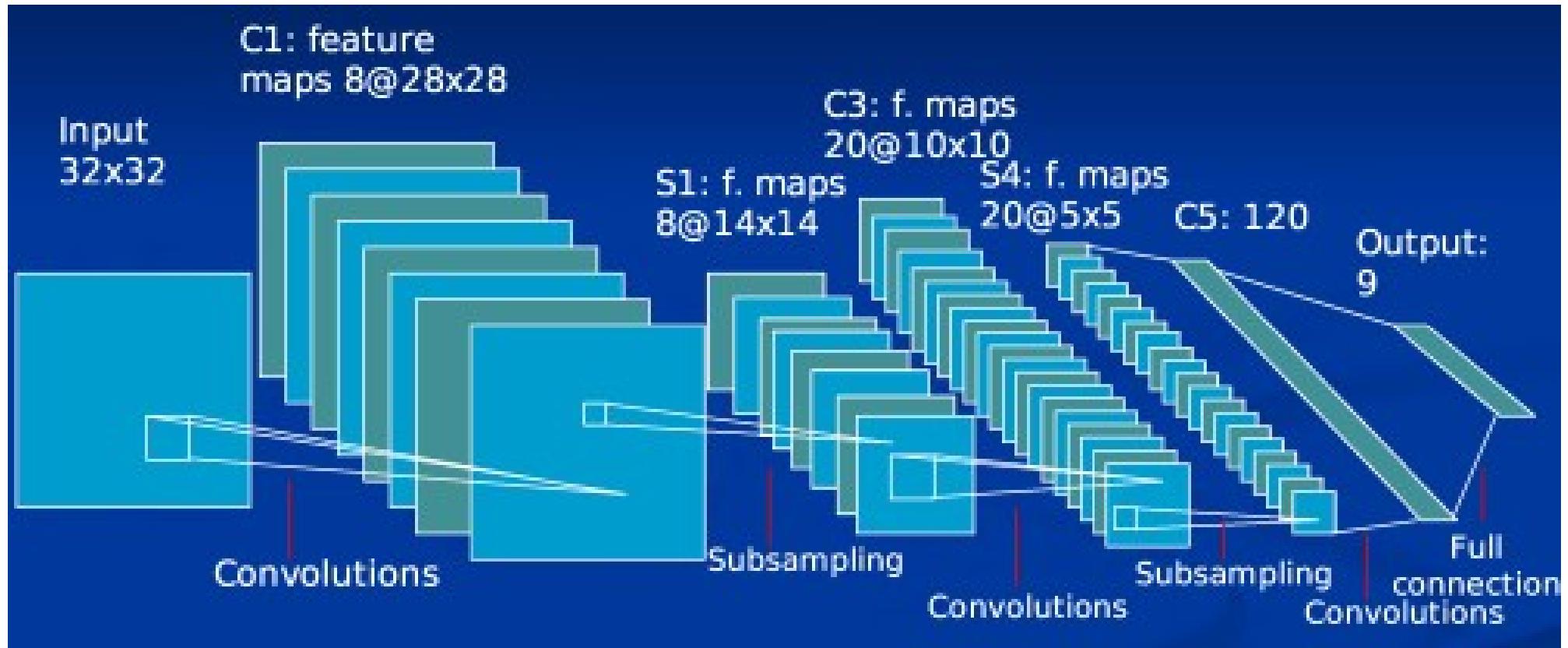
$$L^- \left(\min_{X, Z \in \text{bckgnd, poses}} E(W, Z, X) \right) = K \exp(-\min_{X, Z \in \text{bckgnd, poses}} \|G_W(X) - F(Z)\|)$$



Repel the network output $G_w(X)$ away from the face/pose manifold

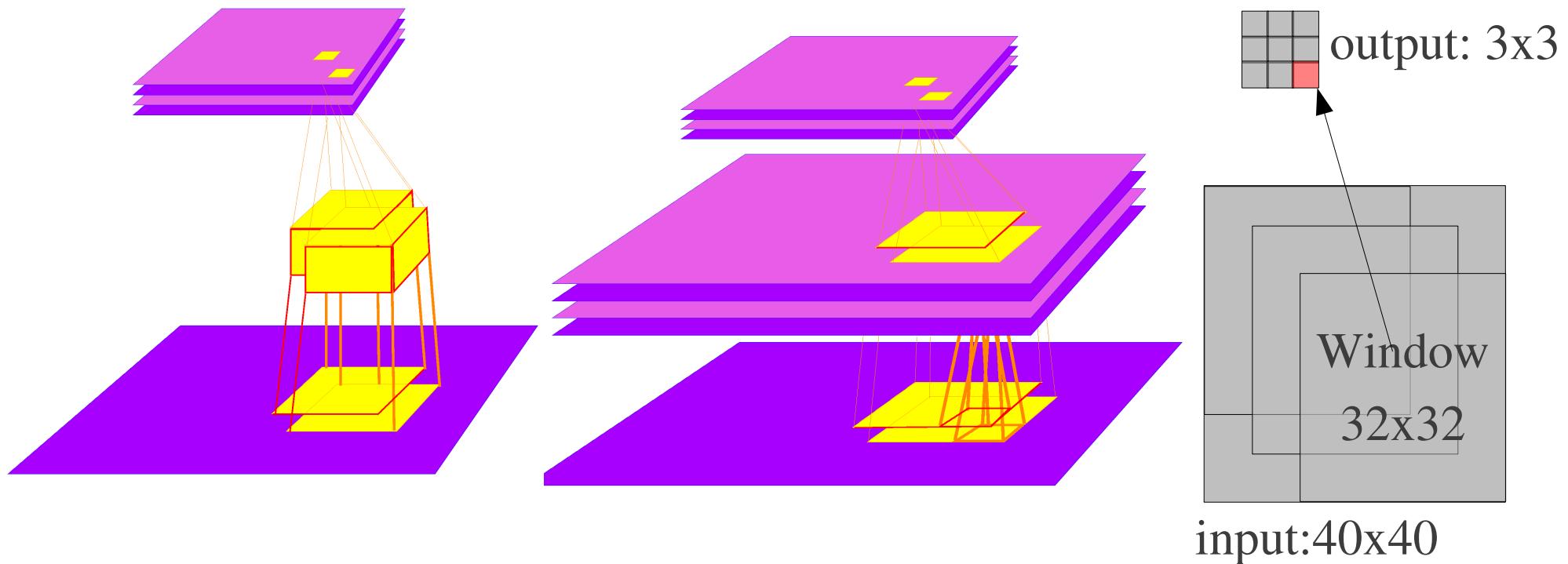
Convolutional Network Architecture

[LeCun et al. 1988, 1989, 1998, 2005]



Hierarchy of local filters (convolution kernels),
sigmoid pointwise non-linearities, and spatial subsampling
All the filter coefficients are learned with gradient descent (back-prop)

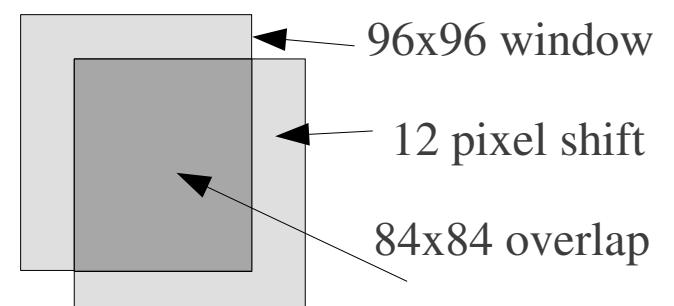
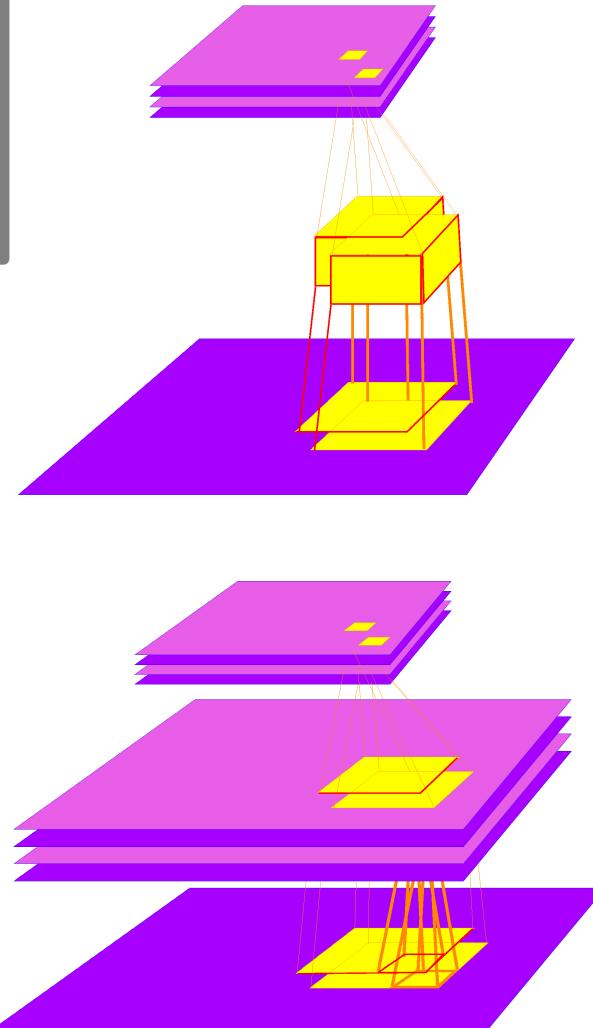
Building a Detector/Recognizer: Replicated Conv. Nets



- Traditional Detectors/Classifiers must be applied to every location on a large input image, at multiple scales.
- Convolutional nets can be replicated over large images very cheaply.
- The network is applied to multiple scales spaced by $\sqrt{2}$
- Non-maximum suppression with exclusion window

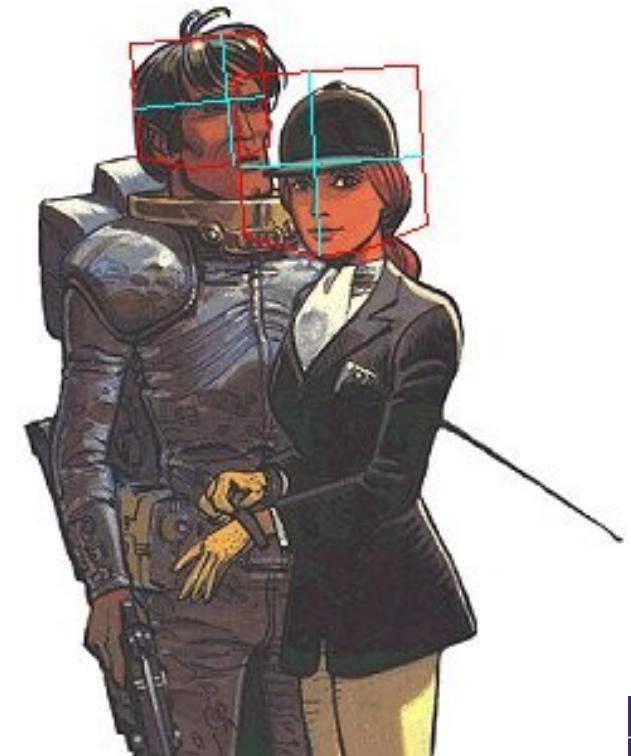
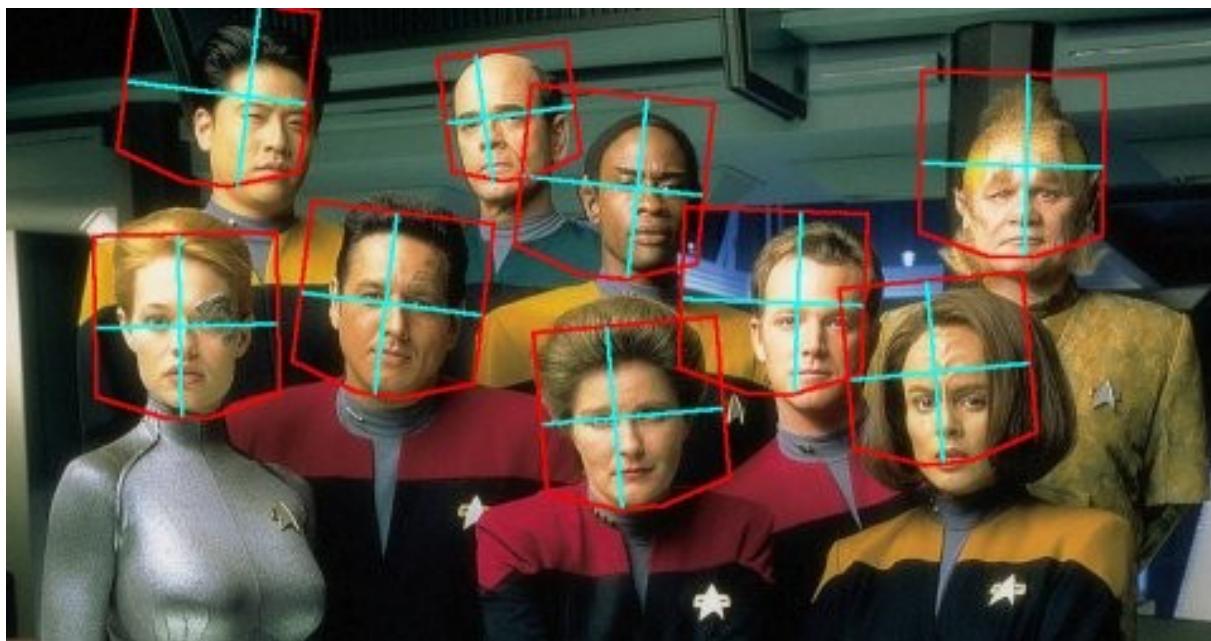
Building a Detector/Recognizer: Replicated Convolutional Nets

- Computational cost for replicated convolutional net:
 - 96x96 -> 4.6 million multiply-accumulate operations
 - 120x120 -> 8.3 million multiply-accumulate operations
 - 240x240 -> 47.5 million multiply-accumulate operations
 - 480x480 -> 232 million multiply-accumulate operations
- Computational cost for a non-convolutional detector of the same size, applied every 12 pixels:
 - 96x96 -> 4.6 million multiply-accumulate operations
 - 120x120 -> 42.0 million multiply-accumulate operations
 - 240x240 -> 788.0 million multiply-accumulate operations
 - 480x480 -> 5,083 million multiply-accumulate operations

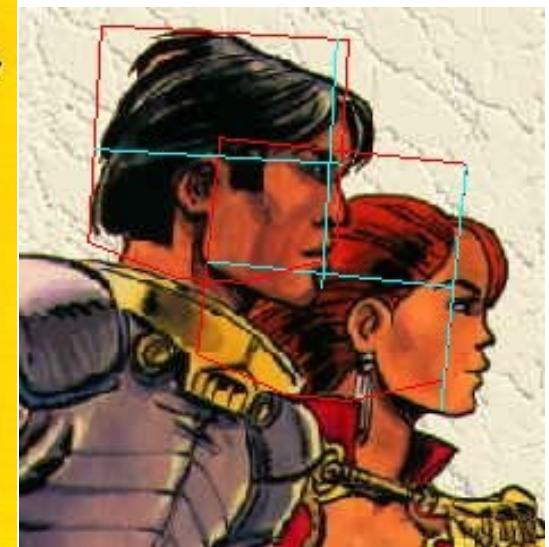
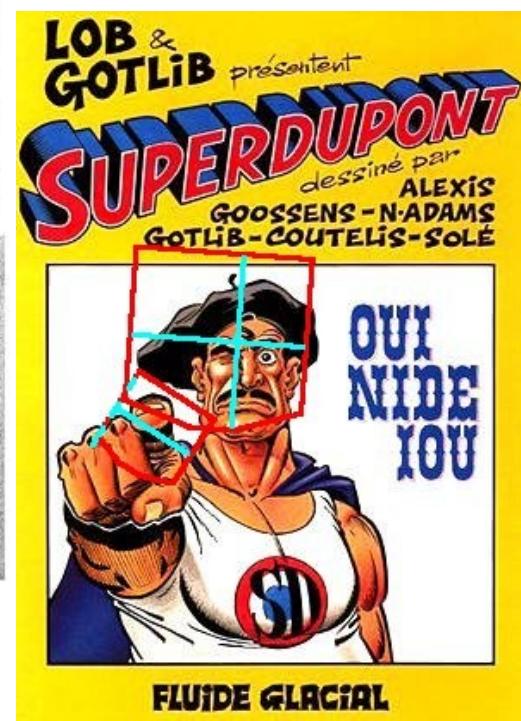
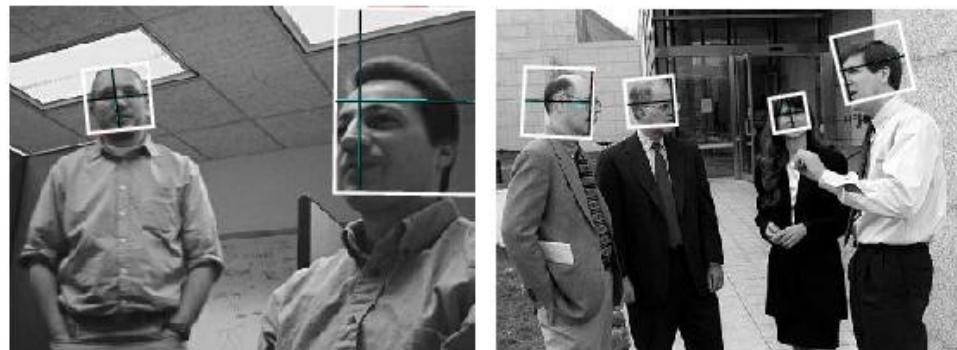
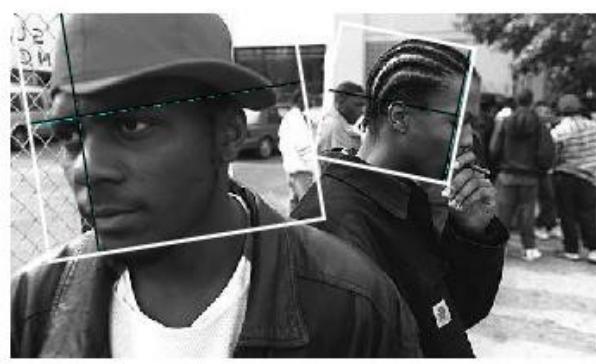
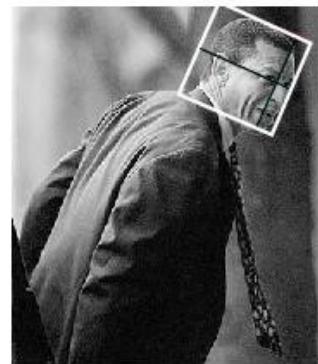
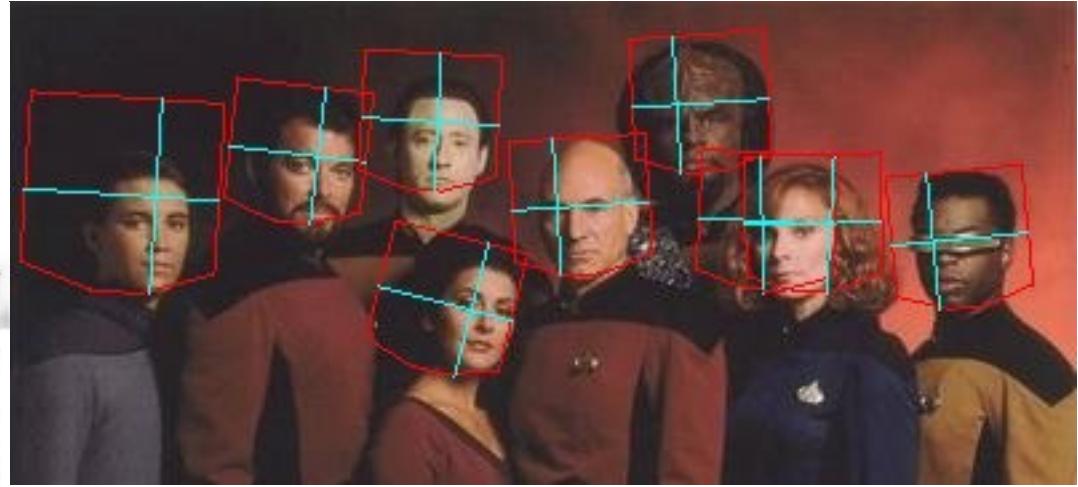


Face Detection: Results

<i>Data Set-></i>	TILTED		PROFILE		MIT+CMU	
<i>False positives per image-></i>	4.42	26.9	0.47	3.36	0.5	1.28
Our Detector	90%	97%	67%	83%	83%	88%
Jones & Viola (tilted)	90%	95%	x		x	
Jones & Viola (profile)	x		70%	83%	x	

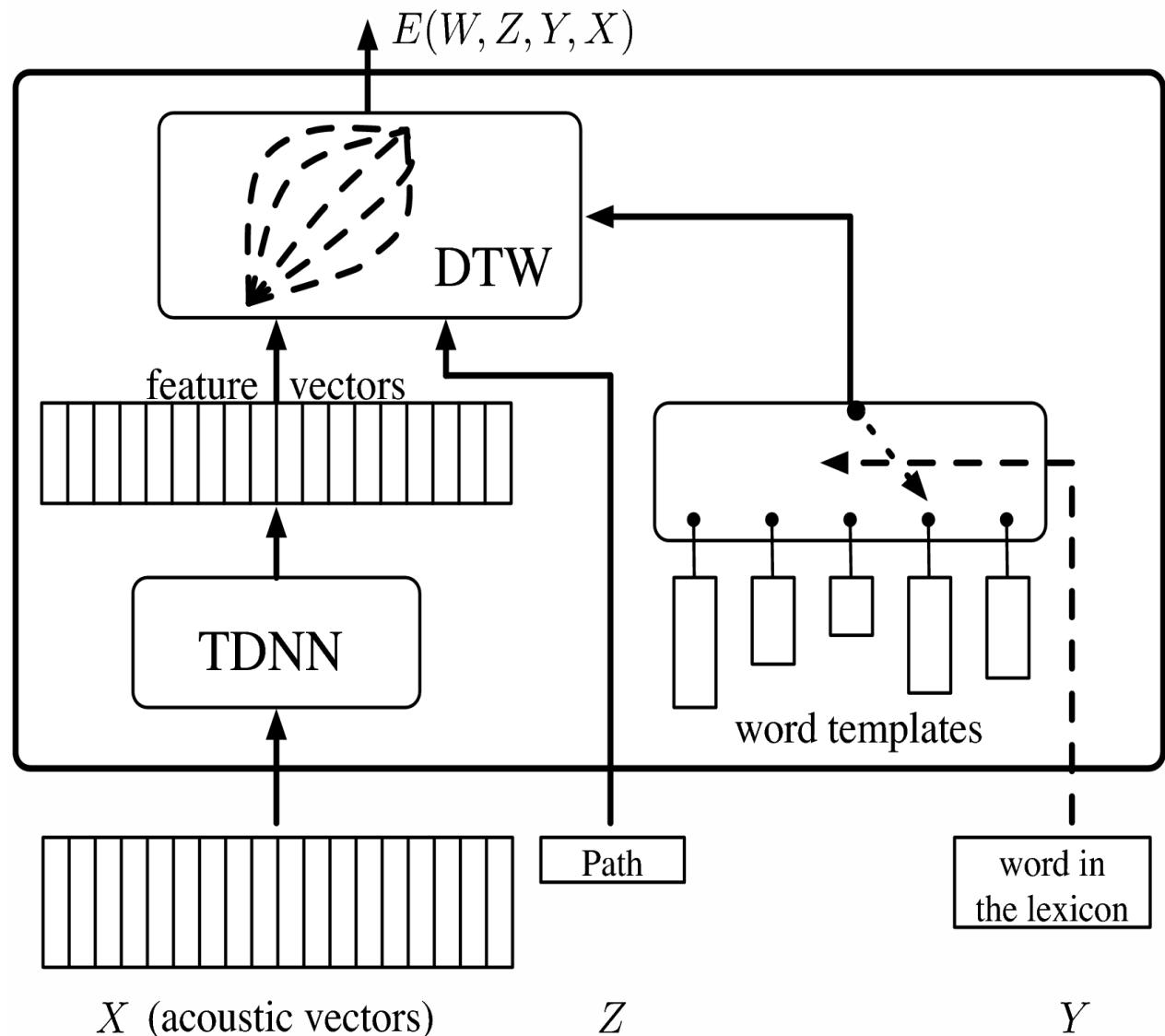


Face Detection and Pose Estimation: Results



The Oldest Example of Structured Prediction

- Trainable Automatic Speech Recognition system with a convolutional net (TDNN) and dynamic time warping (DTW)
- The feature extractor and the structured classifier are trained simultaneously in an integrated fashion.
- with the LVQ2 Loss :
 - Driancourt and Bottou's speech recognizer (1991)
- with NLL:
 - Bengio's speech recognizer (1992)
 - Haffner's speech recognizer (1993)

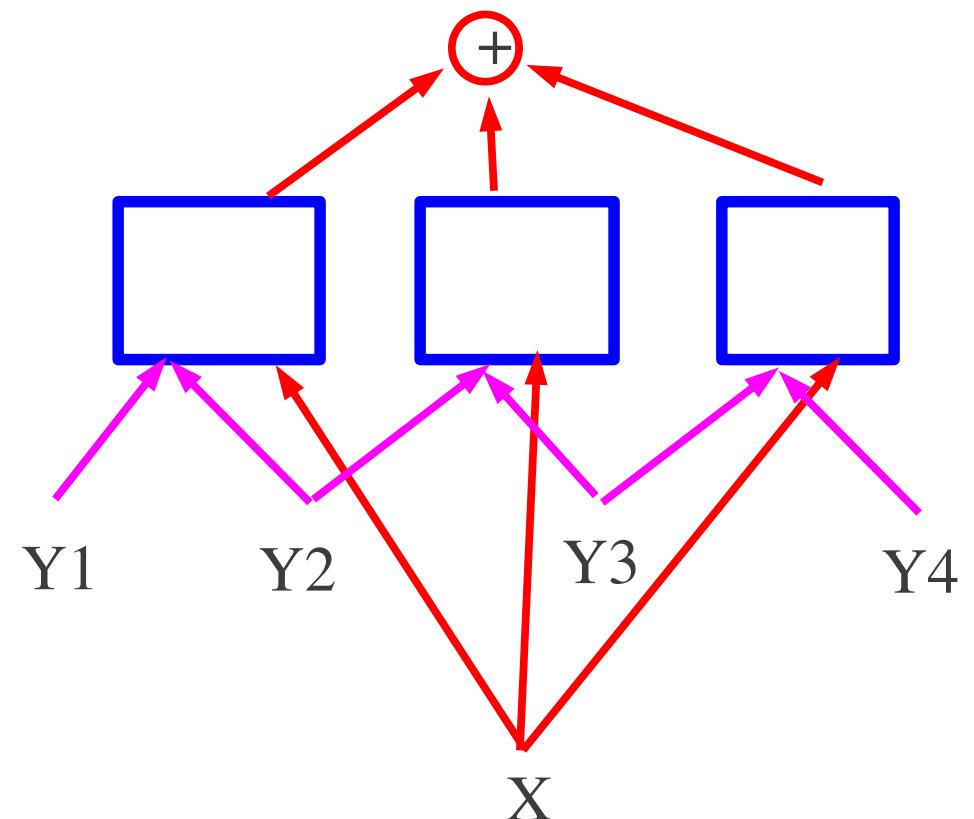


Energy-Based Factor Graphs: Energy = Sum of “factors”

Sequence Labeling

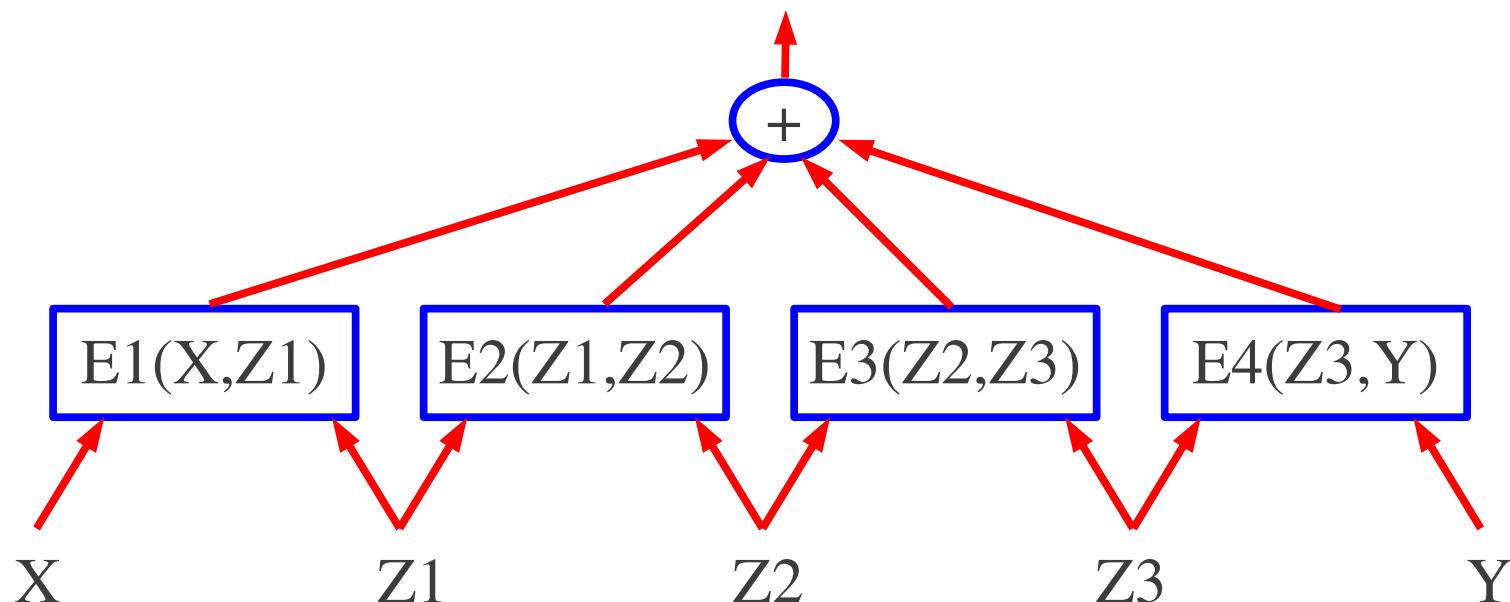
- ▶ Output is a sequence $Y_1, Y_2, Y_3, Y_4, \dots$
- ▶ NLP parsing, MT, speech/handwriting recognition, biological sequence analysis
- ▶ The factors ensure grammatical consistency
- ▶ They give low energy to consistent sub-sequences of output symbols
- ▶ The graph is generally simple (chain or tree)
- ▶ Inference is easy (dynamic programming, min-sum)

$$Y^* = \operatorname{argmin}_{Y \in \mathcal{Y}, Z \in \mathcal{Z}} E(Z, Y, X).$$



Energy-Based Factor Graphs

- When the energy is a sum of partial energy functions (or when the probability is a product of factors):
 - Efficient inference algorithms can be used for inference (without the normalization step).



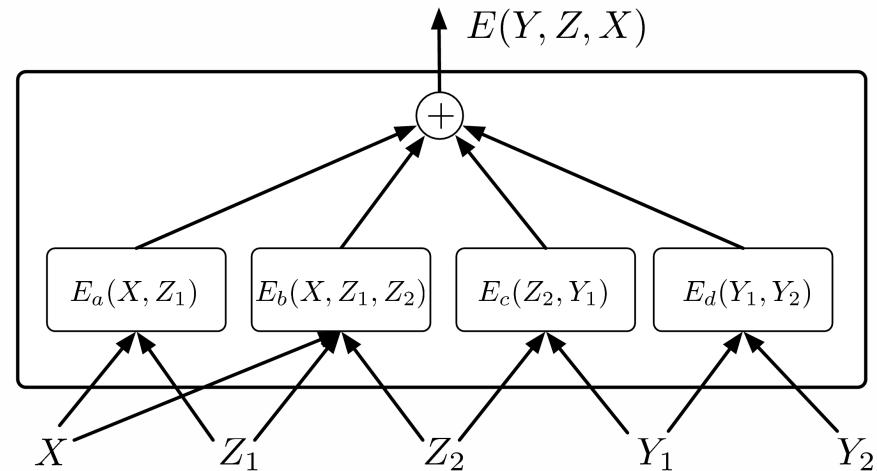
Efficient Inference: Energy-Based Factor Graphs

Example:

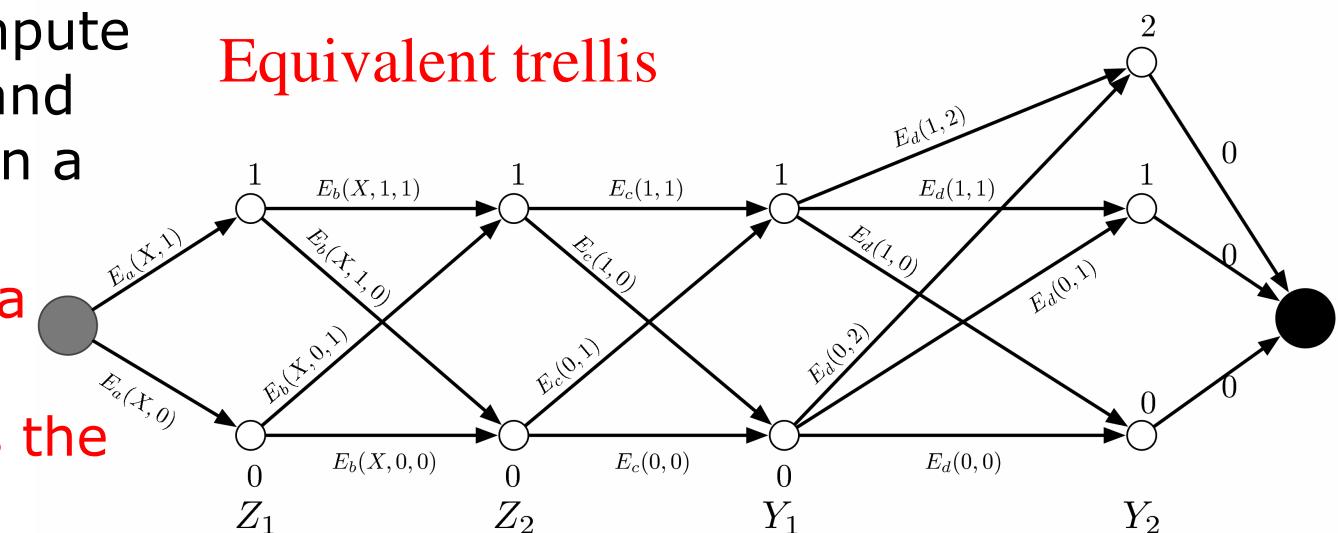
- ▶ Z_1, Z_2, Y_1 are binary
- ▶ Z_2 is ternary
- ▶ A naïve exhaustive inference would require $2 \times 2 \times 2 \times 3 = 24$ energy evaluations (= 96 factor evaluations)
- ▶ BUT: E_a only has 2 possible input configurations, E_b and E_c have 4, and E_d 6.
- ▶ Hence, we can precompute the 16 factor values, and put them on the arcs in a trellis.
- ▶ A path in the trellis is a config of variable
- ▶ The cost of the path is the energy of the config

The energy is a sum of “factor” functions

Factor graph



Equivalent trellis



Energy-Based Belief Prop

- ➊ The previous picture shows a chain graph of factors with 2 inputs.
- ➋ The extension of this procedure to trees, with factors that can have more than 2 inputs the “min-sum” algorithm (a non-probabilistic form of belief propagation)
- ➌ Basically, it is the sum-product algorithm with a different semi-ring algebra (min instead of sum, sum instead of product), and no normalization step.
 - ▶ [Kschischang, Frey, Loeliger, 2001][McKay's book]

Simple Energy-Based Factor Graphs with “Shallow” Factors

• Linearly Parameterized Factors

• with the NLL Loss :

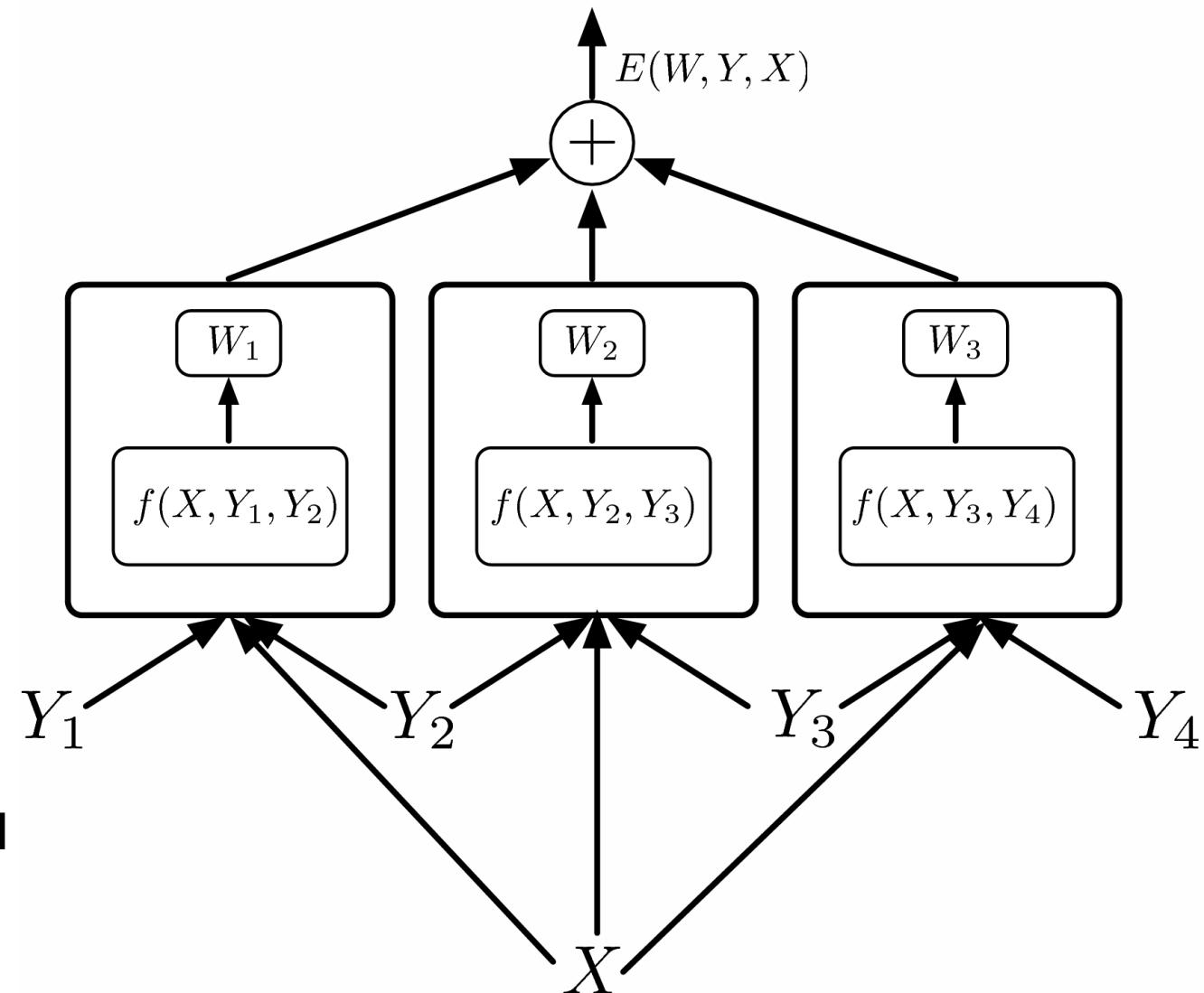
- ▶ Lafferty's **Conditional Random Field**

• with Hinge Loss:

- ▶ Taskar and Altun/Hofmann's **Max Margin Markov Nets** and **Latent SVM**

• with Perceptron Loss

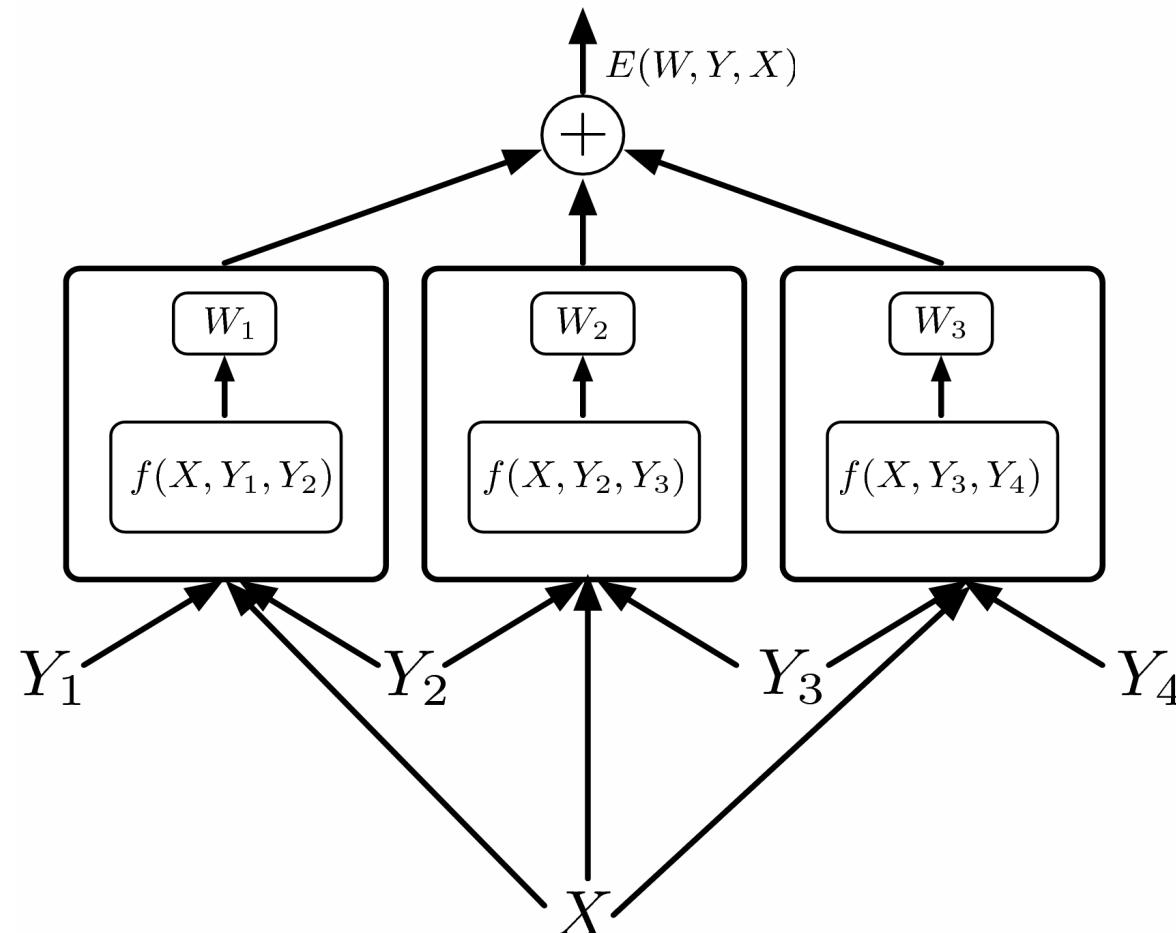
- ▶ Collins's **Structured Perceptron** model



Example : The Conditional Random Field Architecture

■ A CRF is an energy-based factor graph in which:

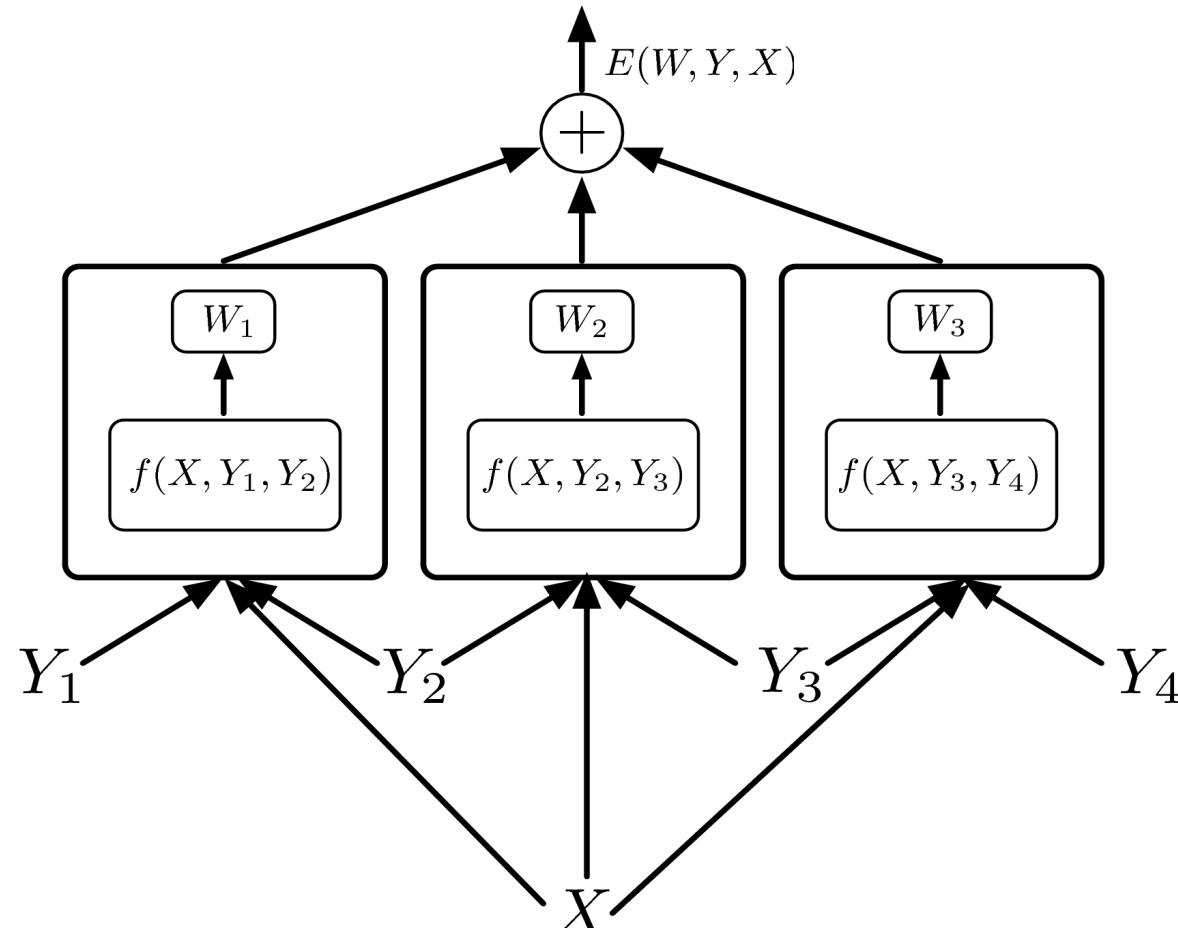
- ▶ the factors are **linear in the parameters (shallow factors)**
- ▶ The factors take neighboring output variables as inputs
- ▶ The factors are often all identical



Example : The Conditional Random Field Architecture

Applications:

- ▶ X is a sentence, Y is a sequence of Parts of Speech Tags (there is one Y_i for each possible group of words).
- ▶ X is an image, Y is a set of labels for each window in the image (vegetation, building, sky....).



Deep/non-linear Factors for Speech and Handwriting

- ➊ Trainable Speech/Handwriting Recognition systems that integrate Neural Nets (or other “deep” classifiers) with dynamic time warping, Hidden Markov Models, or other graph-based hypothesis representations
- ➋ Training the feature extractor as part of the whole process.
- ➌ with the LVQ2 Loss :
 - ▶ Driancourt and Bottou's speech recognizer (1991)
- ➍ with NLL:
 - ▶ Bengio's speech recognizer (1992)
 - ▶ Haffner's speech recognizer (1993)
- ➎ With Minimum Empirical Error loss
 - ▶ Ljolje and Rabiner (1990)
- ➏ with NLL:
 - ▶ Bengio (1992), Haffner (1993), Bourlard (1994)
- ➐ With MCE
 - ▶ Juang et al. (1997)
- ➑ Late normalization scheme (un-normalized HMM)
 - ▶ Bottou pointed out the **label bias problem** (1991)
 - ▶ Denker and Burges proposed a solution (1995)

Deep Factors & implicit graphs: GTN

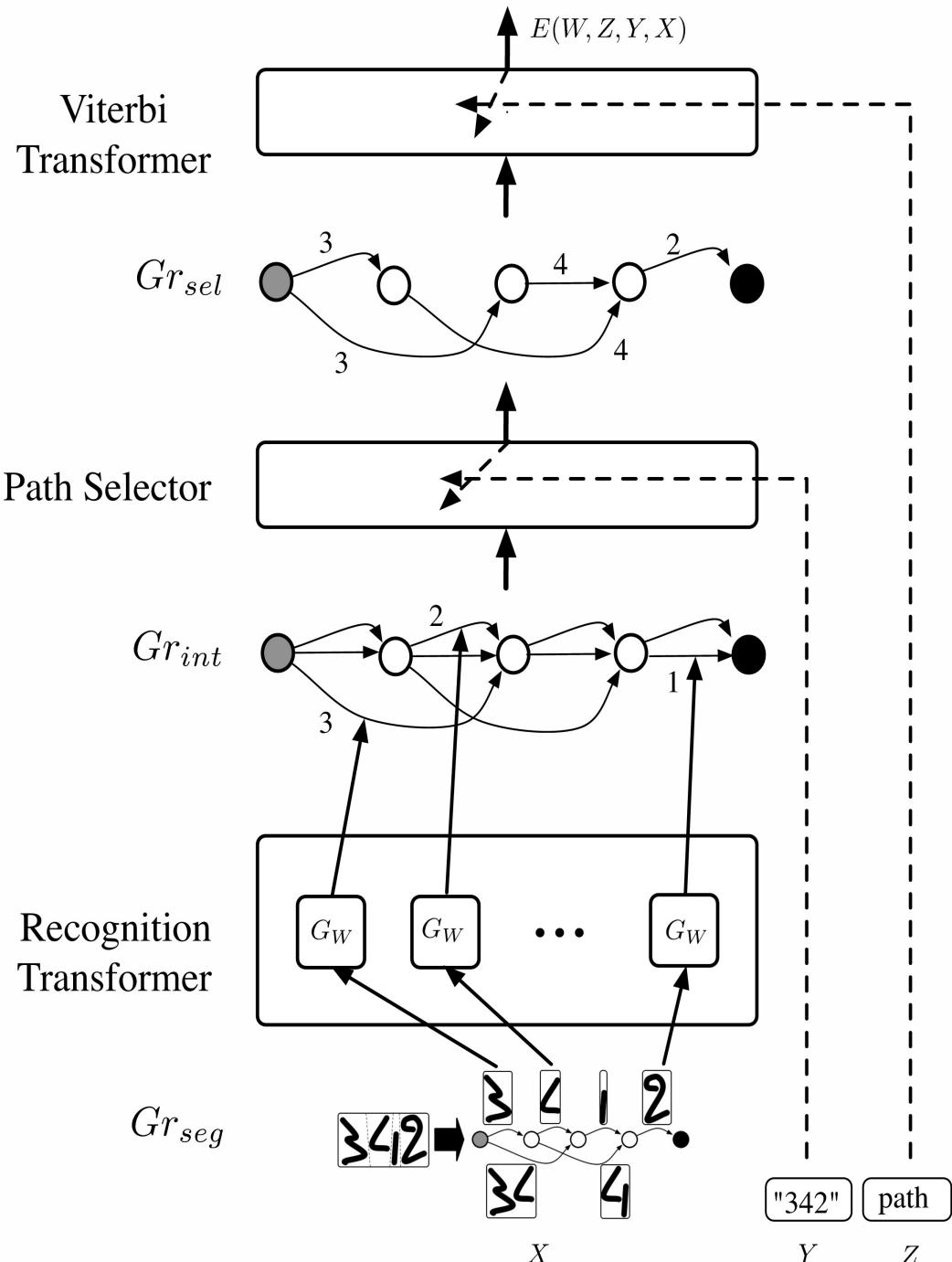
- Handwriting Recognition with Graph Transformer Networks

- Un-normalized hierarchical HMMs

- ▶ Trained with Perceptron loss [LeCun, Bottou, Bengio, Haffner 1998]
- ▶ Trained with NLL loss [Bengio, LeCun 1994], [LeCun, Bottou, Bengio, Haffner 1998]

- Answer = sequence of symbols

- Latent variable = segmentation



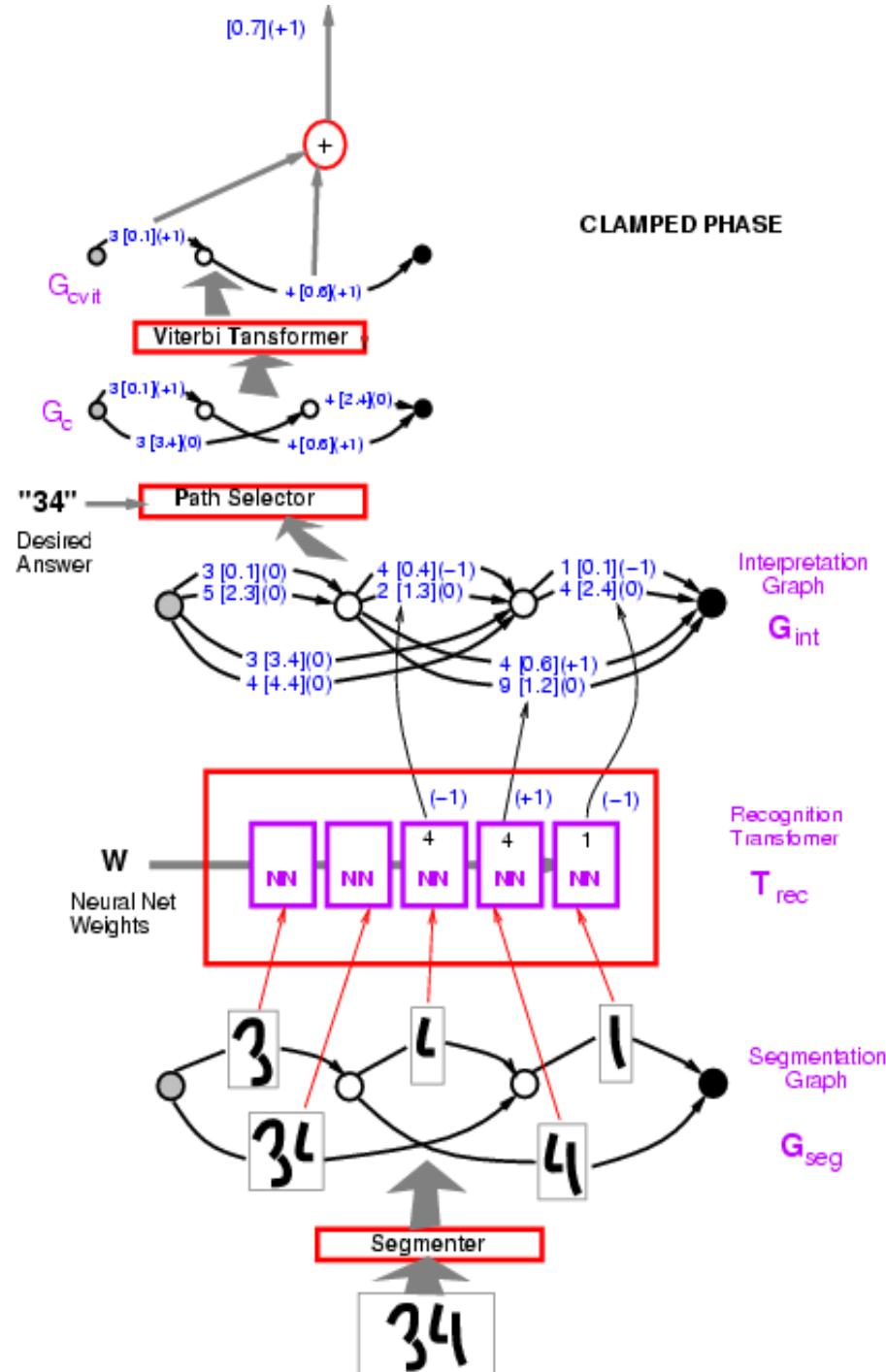
Graph Transformer Networks

Variables:

- ▶ X: input image
- ▶ Z: path in the interpretation graph/segmentation
- ▶ Y: sequence of labels on a path

Loss function: computing the energy of the desired answer:

$$E(W, Y, X)$$



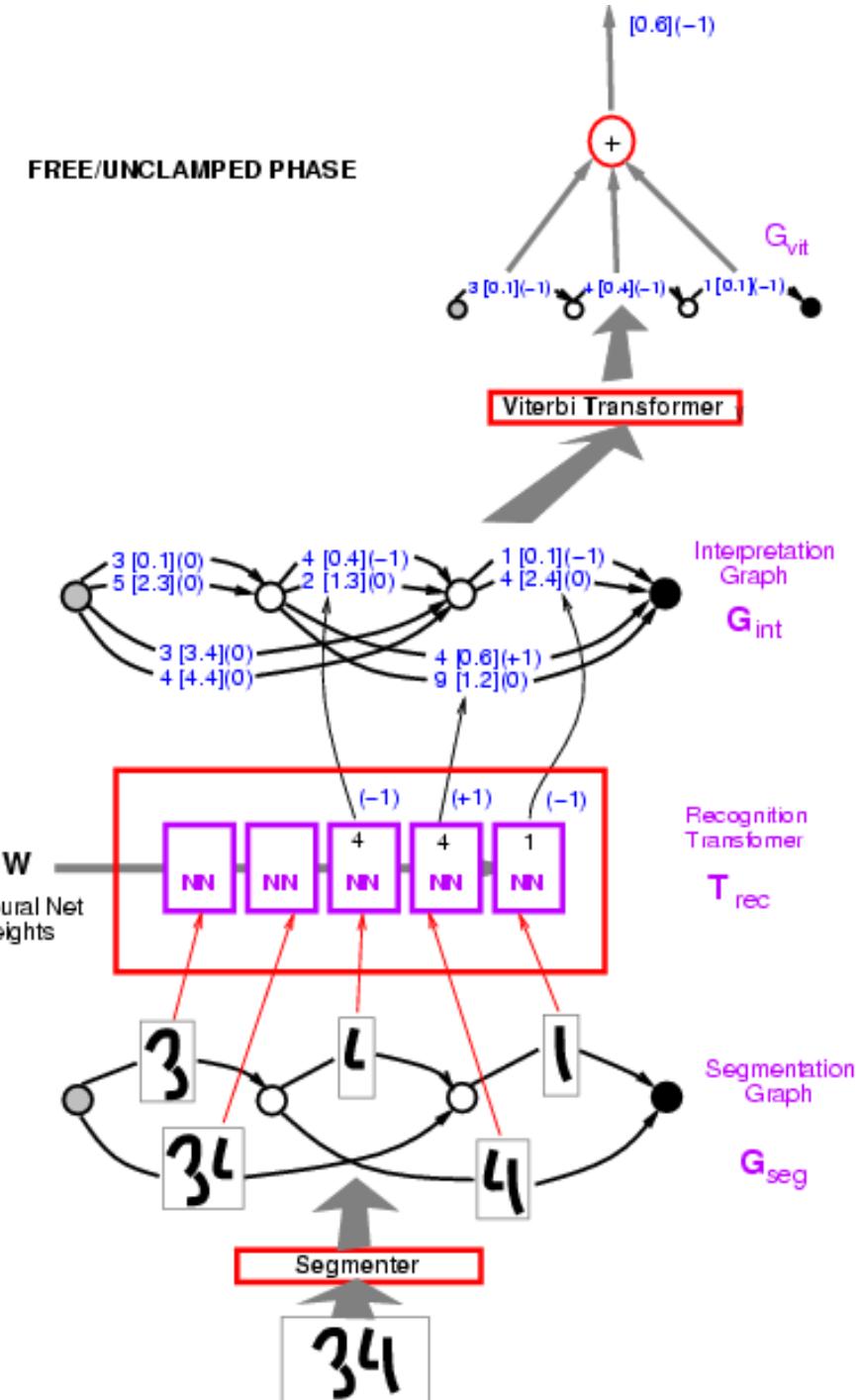
Graph Transformer Networks

Variables:

- ▶ X : input image
- ▶ Z : path in the interpretation graph/segmentation
- ▶ Y : sequence of labels on a path

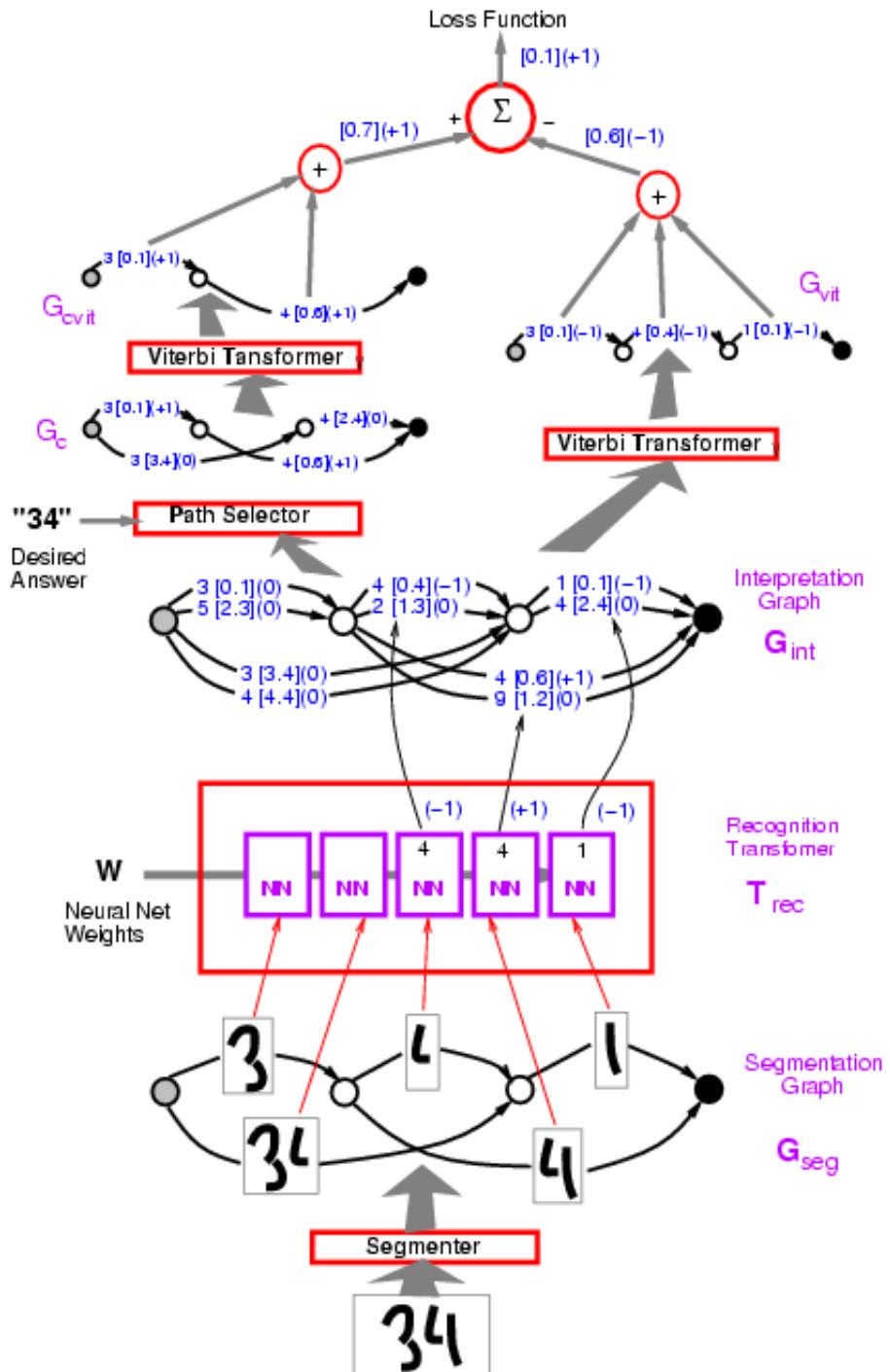
Loss function: computing the contrastive term:

$$E(W, \check{Y}, X)$$



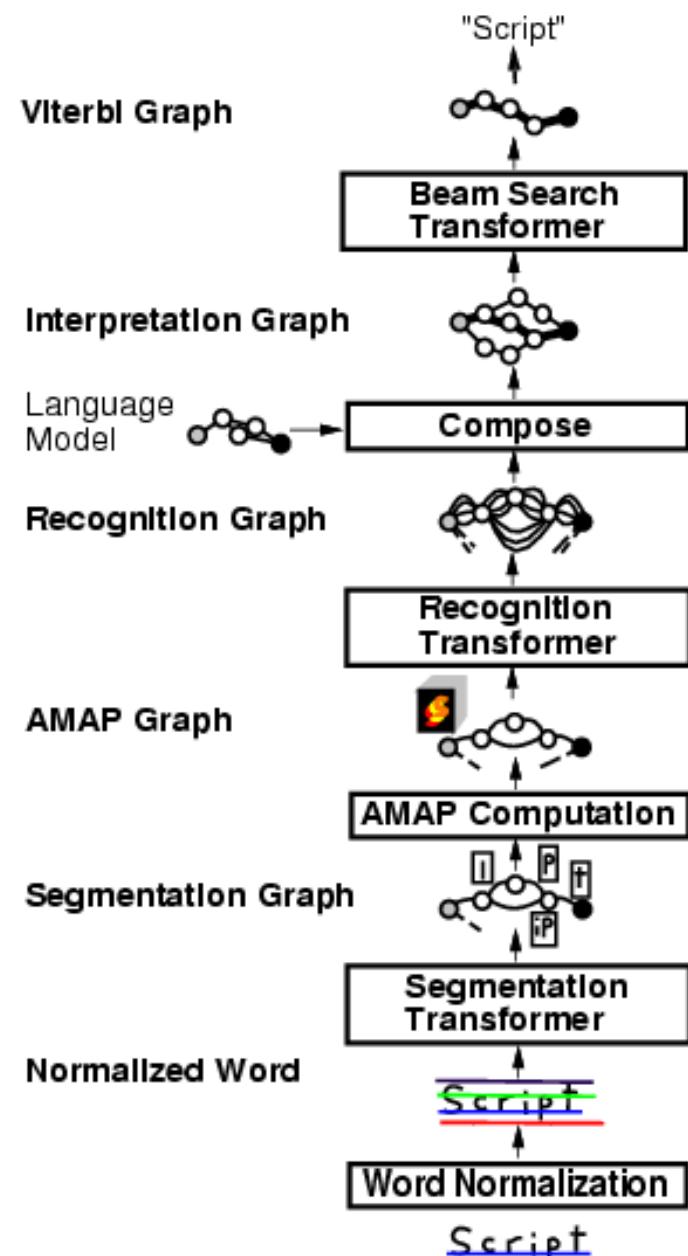
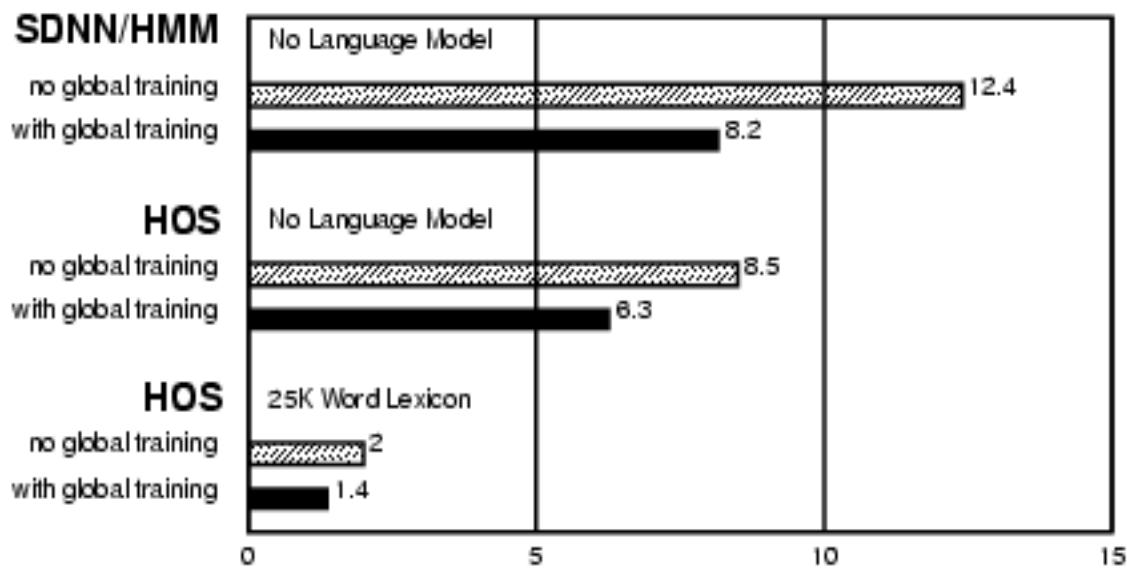
Graph Transformer Networks

- Example: Perceptron loss
- Loss = Energy of desired answer – Energy of best answer.
- (no margin)



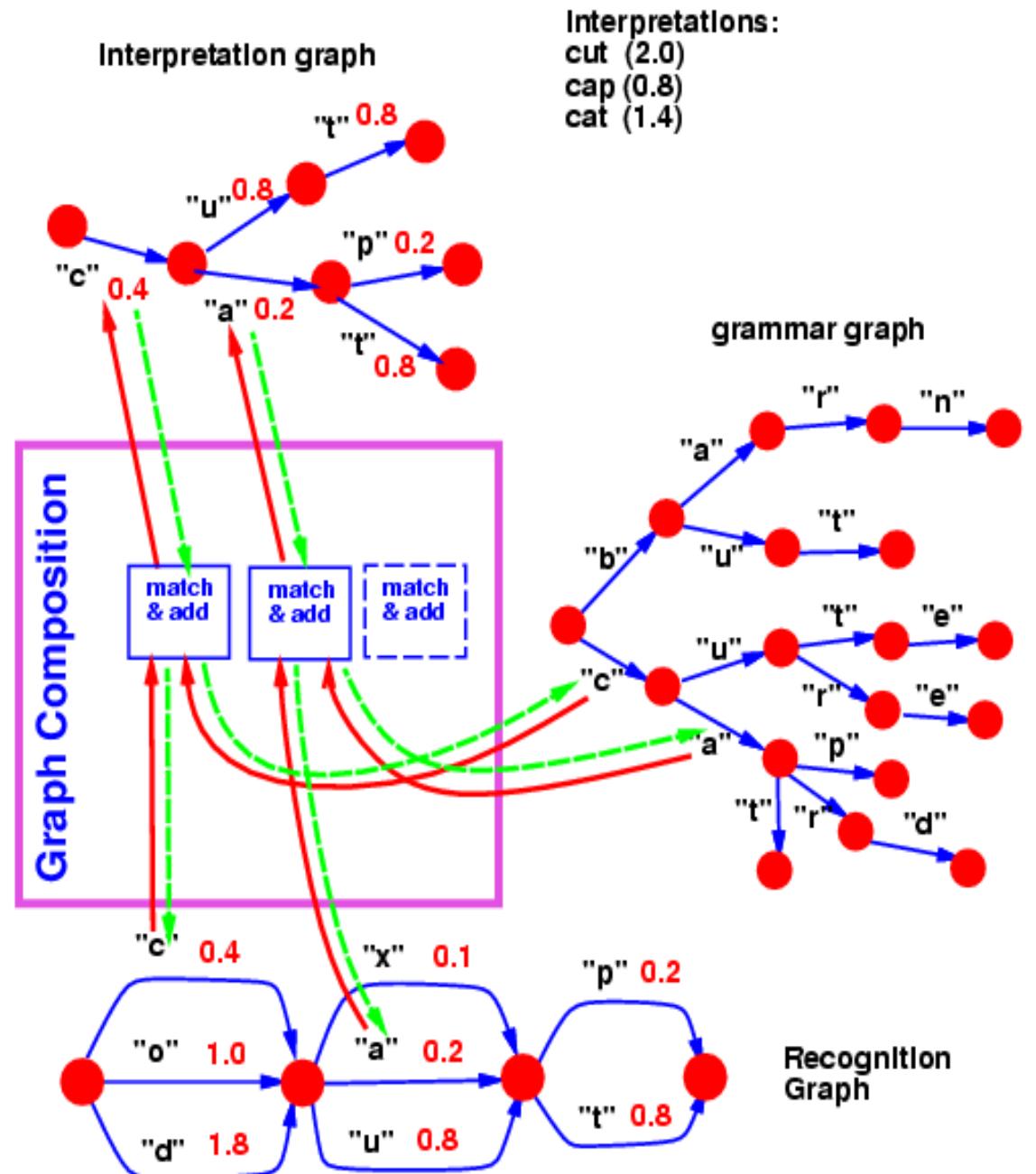
Global Training Helps

- Pen-based handwriting recognition
(for tablet computer)
- [Bengio&LeCun 1995]



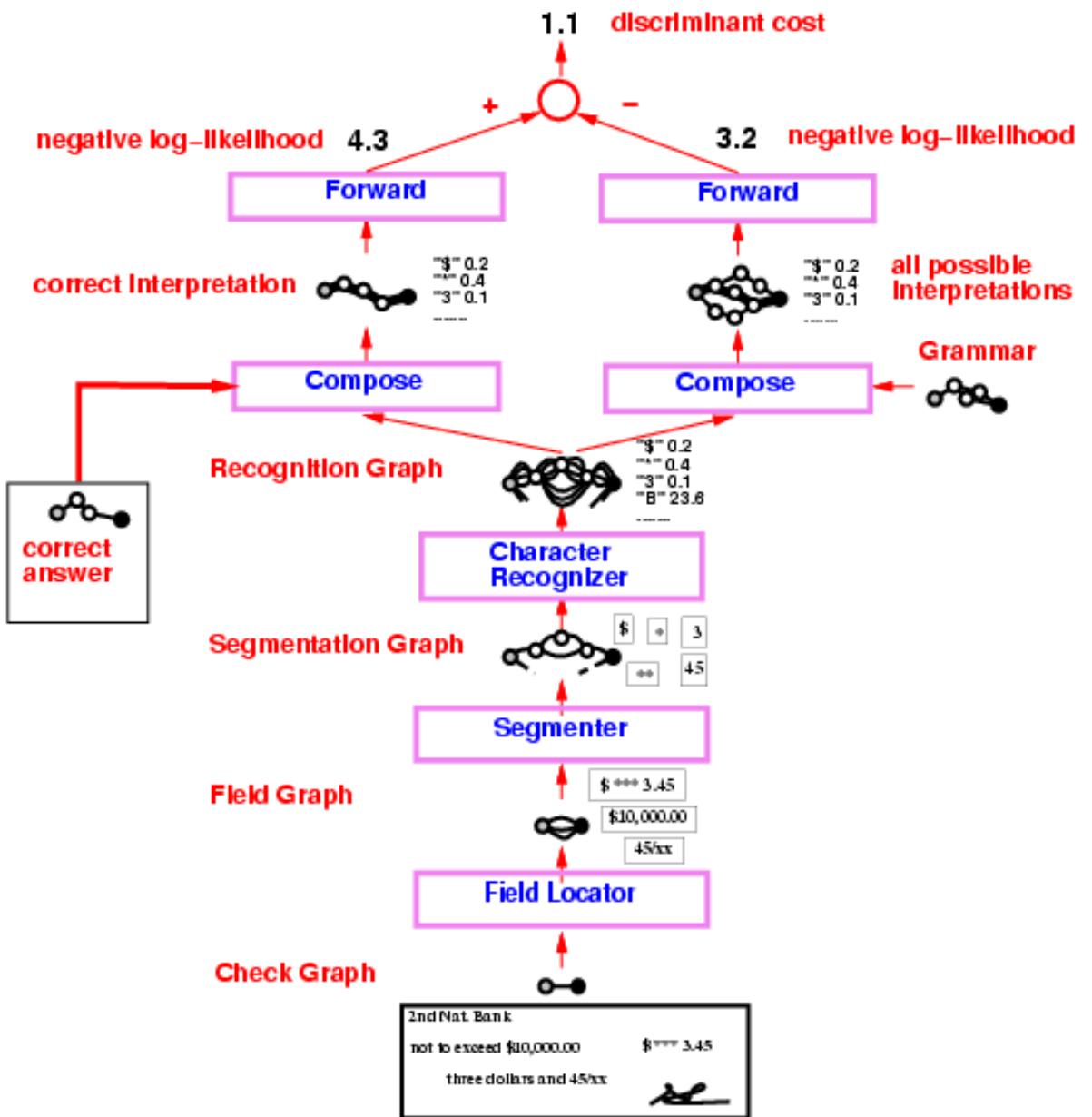
Graph Composition, Transducers.

- The composition of two graphs can be computed, the same way the dot product between two vectors can be computed.
- General theory: semi-ring algebra on weighted finite-state transducers and acceptors.



Check Reader

- Graph transformer network trained to read check amounts.
- Trained globally with Negative-Log-Likelihood loss.
- 50% percent correct, 49% reject, 1% error (detectable later in the process).
- Fielded in 1996, used in many banks in the US and Europe.
- Processes an estimated 10% of all the checks written in the US.



Deep Factors / Deep Graph: ASR with TDNN/HMM

• Discriminative Automatic Speech Recognition system with HMM and various acoustic models

- ▶ Training the acoustic model (feature extractor) and a (normalized) HMM in an integrated fashion.

• With Minimum Empirical Error loss

- ▶ Ljolje and Rabiner (1990)

• with NLL:

- ▶ Bengio (1992)
- ▶ Haffner (1993)
- ▶ Bourlard (1994)

• With MCE

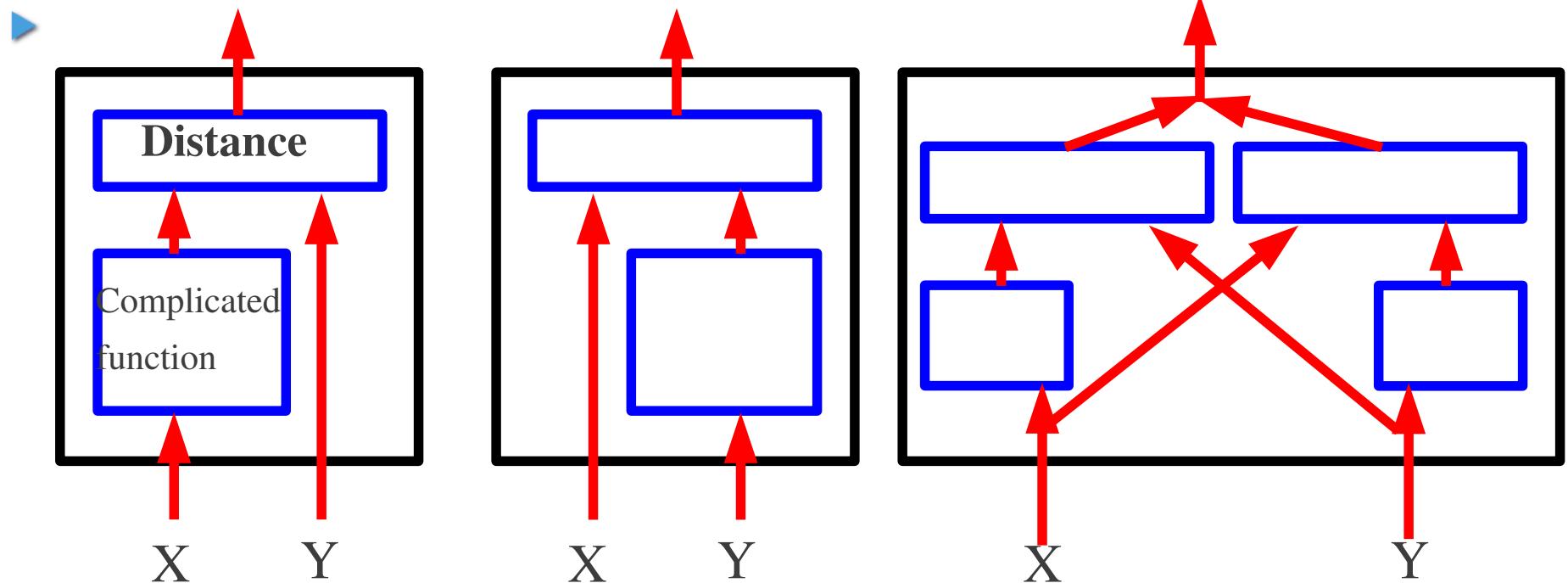
- ▶ Juang et al. (1997)

• Late normalization scheme (un-normalized HMM)

- ▶ Bottou pointed out the **label bias problem** (1991)
- ▶ Denker and Burges proposed a solution (1995)

Feed-Forward, Causal, and Bi-directional Models

- EBFG are all “undirected”, but the architecture determines the complexity of the inference in certain directions



Feed-Forward

- Predicting Y from X is easy
- Predicting X from Y is hard

“Causal”

- Predicting Y from X is hard
- Predicting X from Y is easy

Bi-directional

- X->Y and Y->X are both hard if the two factors don't agree.
- They are both easy if the factors agree