

Wrapping clouds

Ulrich Bauer

TUM

June 21, 2018

Joint work with Herbert Edelsbrunner (IST Austria)

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With Morse from Čech through Alpha to Wrap

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From: Herbert Edelsbrunner edels@ist.ac.at
 Subject: talk in Vienna
 Date: 9. January 2014 at 13:25
 To: Ulrich.Bauer@ist.ac.at

Hi Ulrich,

I did not find my talk at the suspected place, but I found it in my stack to be discarded. For some reason, I crossed out the talk, and I do not remember why I did that. It is possible that this is not the final version and that I made another, better version from this talk, but if I did I cannot find it anywhere. Or, I made a mistake and thought I am crossing out something else.

In any case, this is all I have at the moment. I hope it is helpful.

I arrived ok in Vienna. I hope you arrived well in Columbus.
 Herbert

The Morse Theory of Čech and Delaunay Triangulations

- I. HISTORY
- II. ČECH TRIANGULATIONS
- III. DISCRETE MORSE THEORY
- IV. BOULB FUNCTION
- V. COMPUTATION

I.1. Alpha + Wrap Chain

- 1971: D. Borsig [17]
- 1983: on-chains in T δ [208]
- 1994: on-chains in T δ [207]
 with applications
- 1998: on-chains in T δ [21]
 (less weight)
- 2004: Relative Version [105]
- 2005: Unique Quantization

I.2. Discrete Morse Theory

- P. - Morse Theory [217]
- P. - in books [102]
- P. - discrete MR [21]
- 2001: gen. DMTC [203]

I.3. Topological Persistence

- P. - in books [20]
- P. - in books [20]
- W.M. - UG [110]
- Top. - UG [110]
- UG - Epsilon [20]

II. ČECH TRIANGULATIONS

- X- δ , Rule
- δ -X = δ (X) = δ (X)
- End of rule X- δ
- the... δ -triangles ... δ -X
- chains = c.
- δ (X) = C(X) = δ (X)

II.1. SIMPLICIAL COMPLEXES

- simplicial
- pure = comb. W δ d
 pos. n. pure
- exp. complex = collection
 of simplices s.t.
 $\Delta \subset \Delta' \Rightarrow \Delta \in \Delta'$
- com. = set of pure
 simplices
- abstract = set of vertices
 V δ
 to define X δ = V δ
 w.r.t. a system of
 relatives edges under
 taking union.

II.2. DELAUNAY TRIANGULATION

- X- δ = ? - USA
- δ (X) = (X δ) \cap (V δ) \cap
 (E δ)
- Delaunay L.
- triang. w.r.t. points
- δ (X) = (X δ) \cap (V δ) \cap
 (E δ)
- δ (X) = (X δ) \cap (V δ) \cap
 (E δ)

II.3. GENERAL PRINCIPLES

- Dec. Alpha X- δ is a sp. f.
- (V) vertex, E = edges, f = faces
 f = triangles = pos. 2 pure
- (V) V δ w.r.t. d. c. t.,
 E = comb. of vertices
 f = comb. of edges

III. COLLAPSING

- stack = tree of collapsed
 in unique manner = plan?
- graph
- To collapse we choose
 (v δ) = rank[δ (v δ)].
 K in K(v δ)
- Dimension collapse d
 d = d δ = d δ - 1

III.2. DISCRETE MORSE THEORY

- Morse diagram
 Δ
- dim V δ = δ (V δ)
 = 1 + dim E δ
- is collapse in the graph V δ .
 It was right after dimension

III.3. DISCRETE MORSE THEORY

- pairs = intervals [207]
- Euler characteristic
- gen. func. v δ is
 partition of E into intervals
- is collapse in graph [207]
- is collapse in graph [207]
- adjacent, can be divided
 by d δ , by boundary
 path - walking inside
 intervals

III.4. HOMOTOPICAL INTERPRETATION

- discrete simplicial complex
 X- δ is the pair
 L = E, M = V δ
- L = covering N, i.e. with
 a cover S, d δ L = S
- S is a covering interval

IV.1. DELAUNAY FUNCTIONS

- δ (X) = radius of unique
 circumsphere in
 rel. V δ .
- δ (Q) = unique smallest
 empty circumsphere.
- f_0 : δ (X) \rightarrow R. d δ in
 f_0 : δ (Q) \rightarrow R. d δ in
- f_0 : δ (X) = δ $_0^{-1}$ (d δ).
 Lemma: δ $_0(X) = \delta$ $_0^{-1}$ (d δ).
 Lemma: δ $_0(Q) = \delta$ $_0^{-1}$ (d δ).

IV.2. ČECH FUNCTIONS

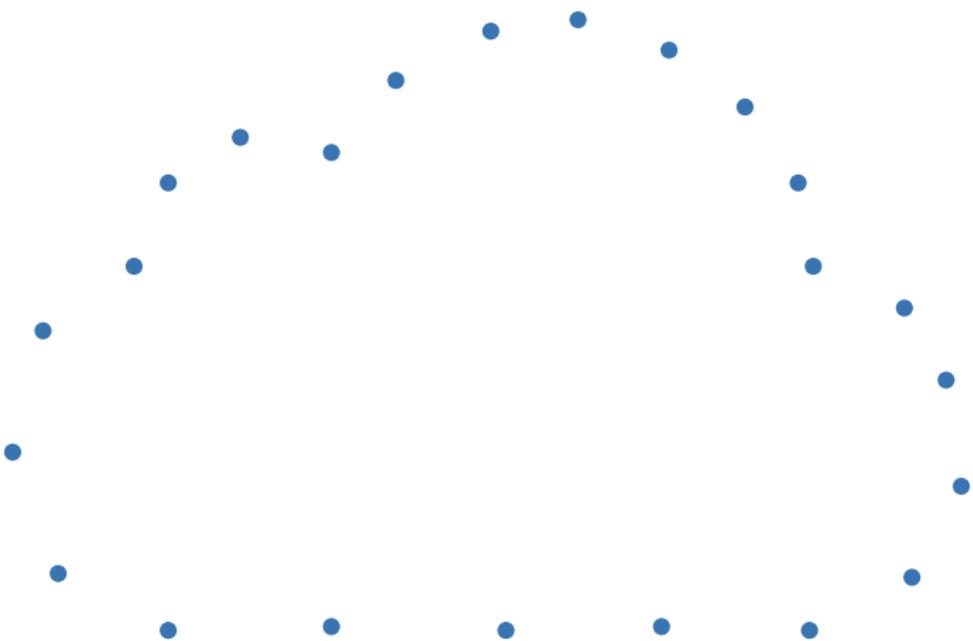
- $\delta_C(X) =$ smallest enclosing
 sphere.
- $\delta_C(X) \rightarrow$ R. d δ in
- $\delta_C(X) =$ min. d δ s.t. $\delta_C(X)$
- Lemma: $\delta_C(X) = \delta_C^{-1}(d\delta)$.
- Note: $\delta_C(X) < \delta_0(Q)$,
 $\Rightarrow \delta_0(Q) < \delta_C(X) < \delta(X)$.

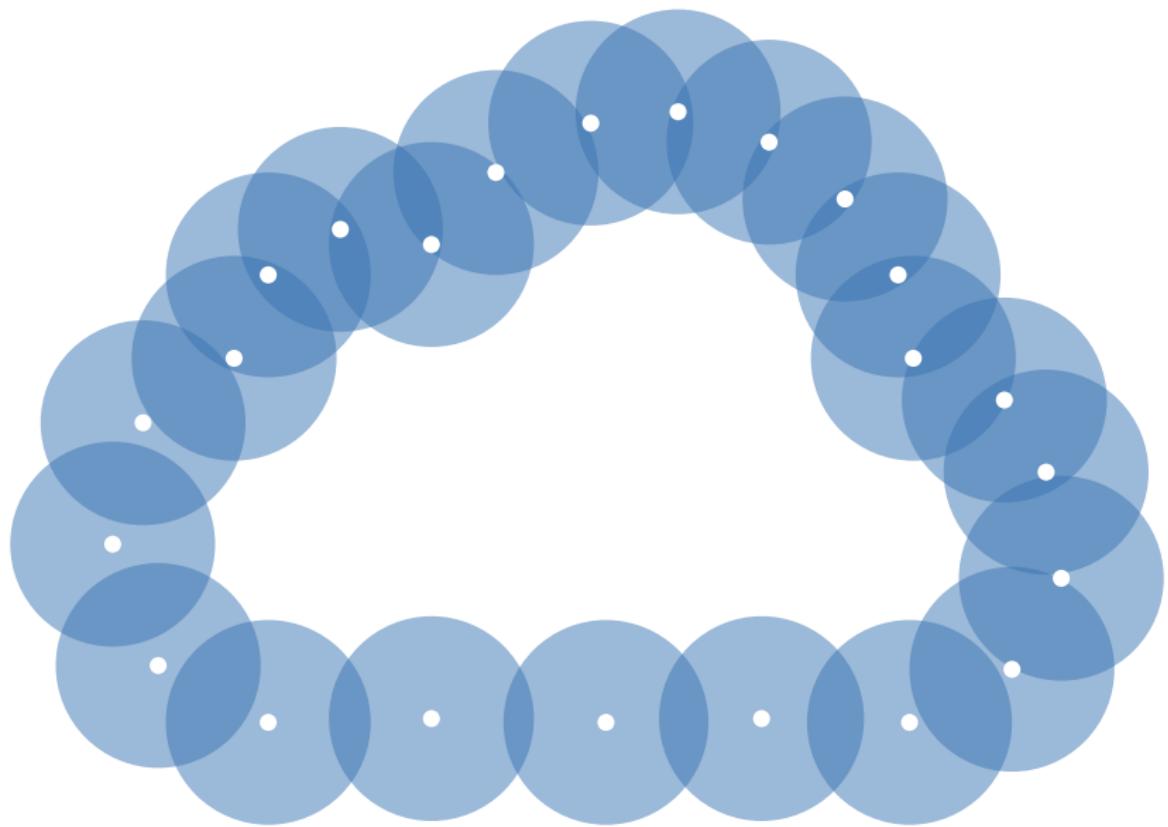
IV.3. ČECH INTERVALS

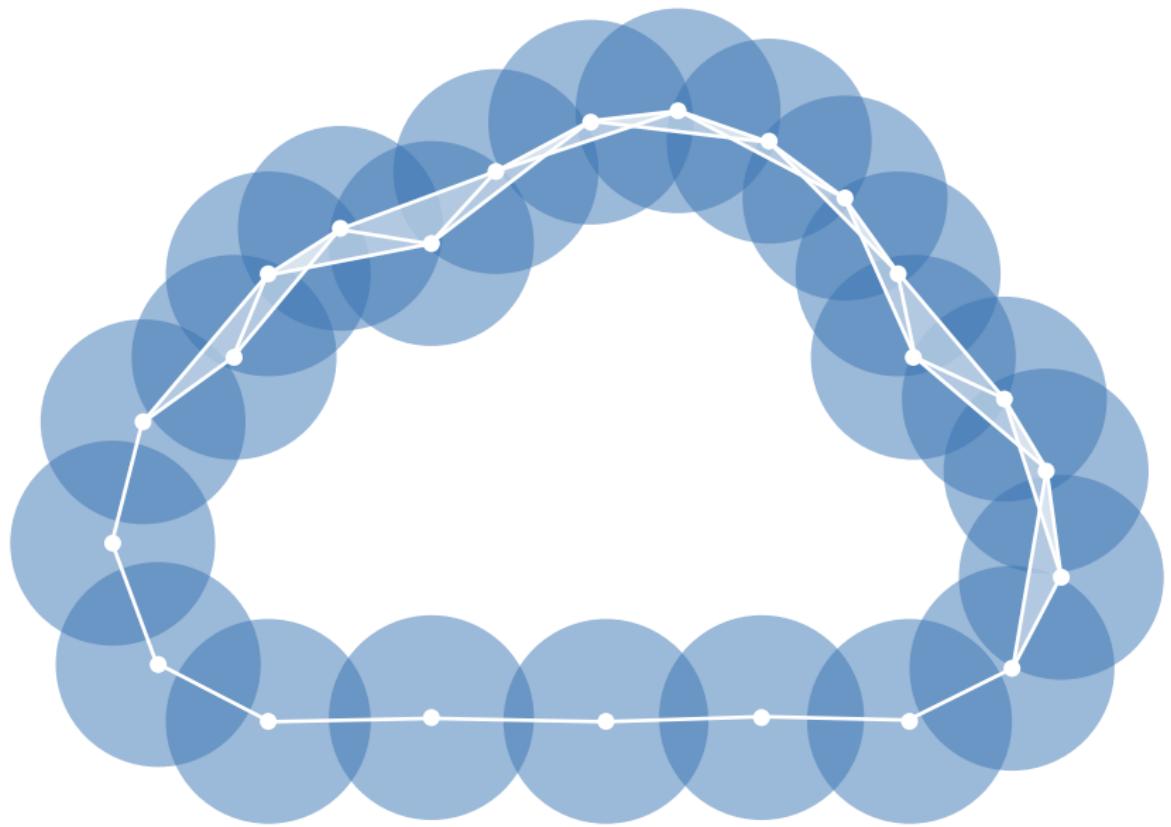
- $S = S_C(Q)$, $\bar{C} = \text{cons.}$
 $L = \{z(Q)\} = Q \times S$
 $U = U_C(Q) = V \times S$,
- $\{U\}$ consists
 of $C \times S$ and
 $L = \{z(Q)\}$.
- Lemma: $\delta_C(Q) =$ right side
 of Q in S and
 $\delta_C(Q) = \{z(Q)\}$.

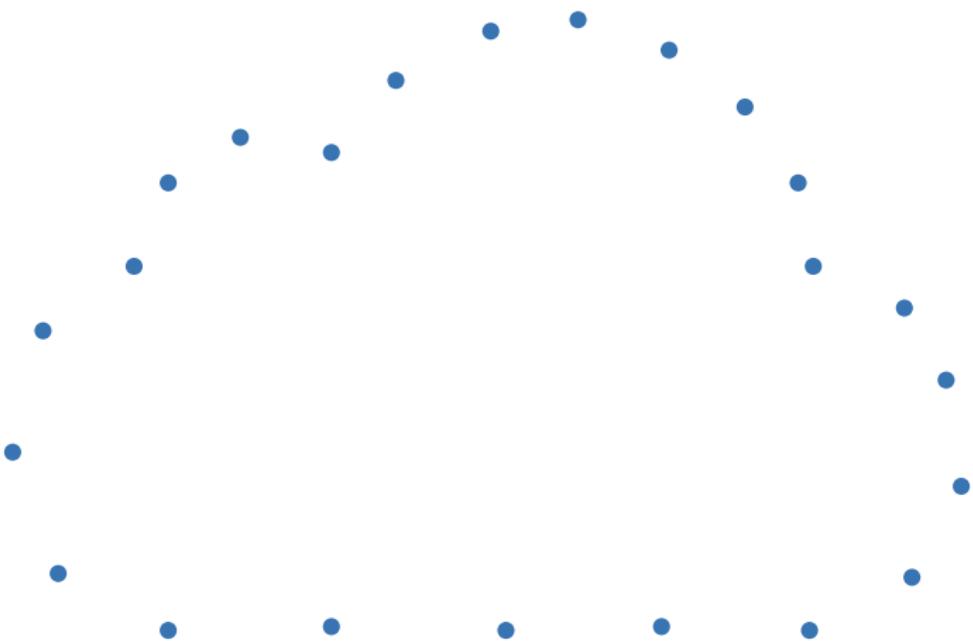
IV.4. DELAUNAY INEQUALITIES

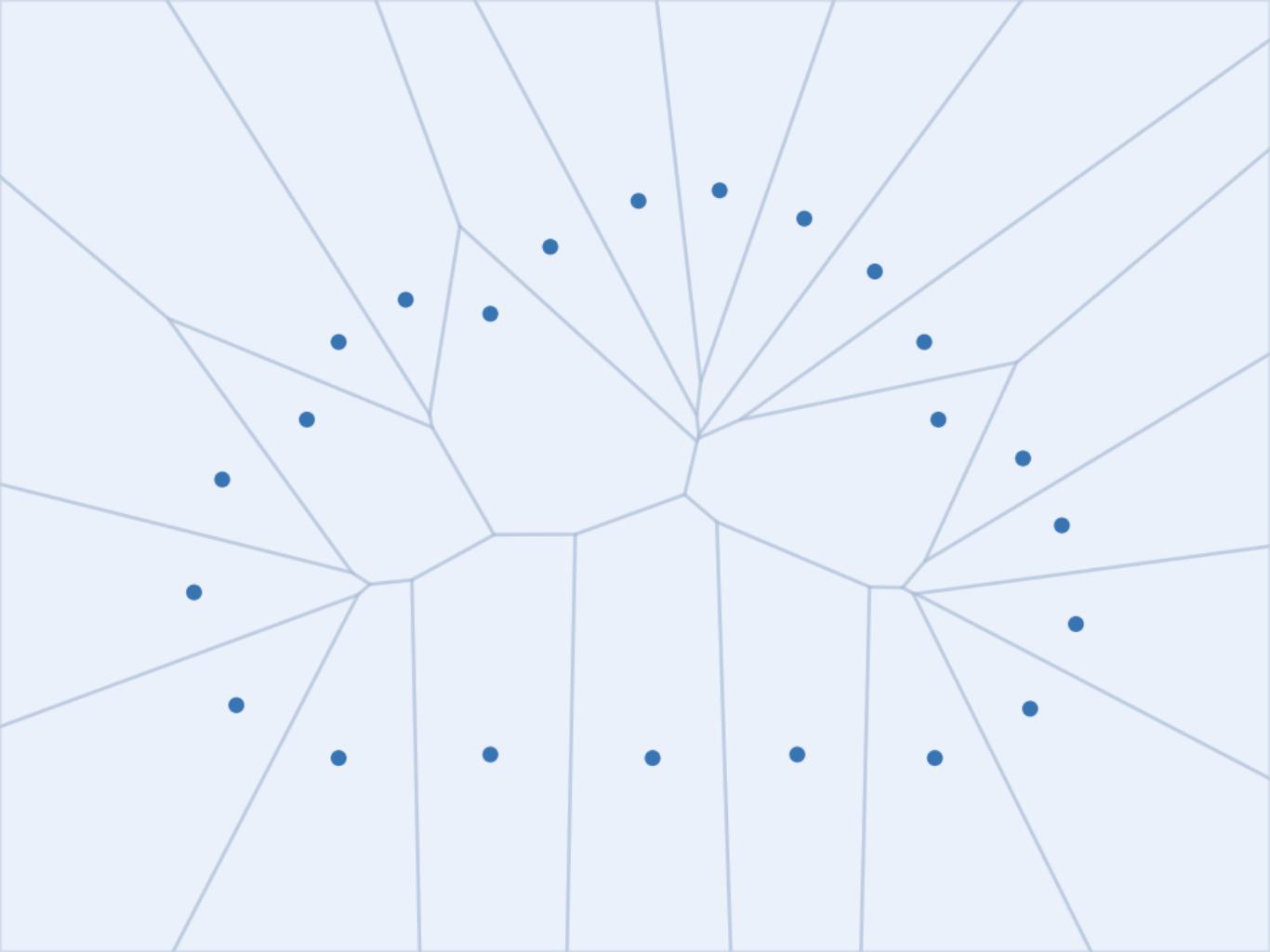
- $S = S_D(Q)$, \bar{D} = free boundary
 $P = L_D(Q) = F$
 $P \times U_D(Q) = X \times S$
- $\{P\}$ consists
 of $Q \times S$ and
 $P = \{z(Q)\}$.
- Lemma: $\delta_D(Q) =$ right side
 of Q in S and
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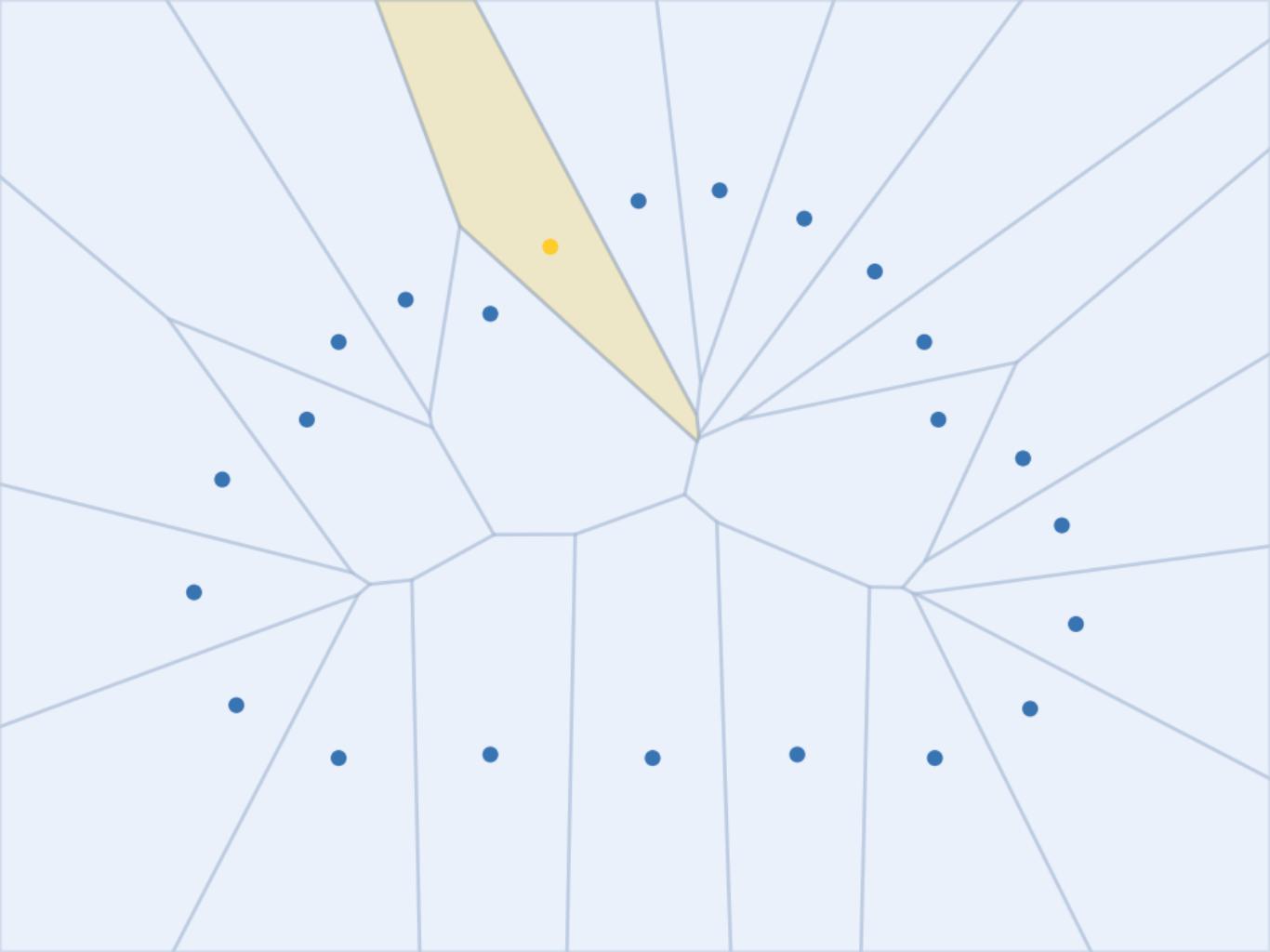


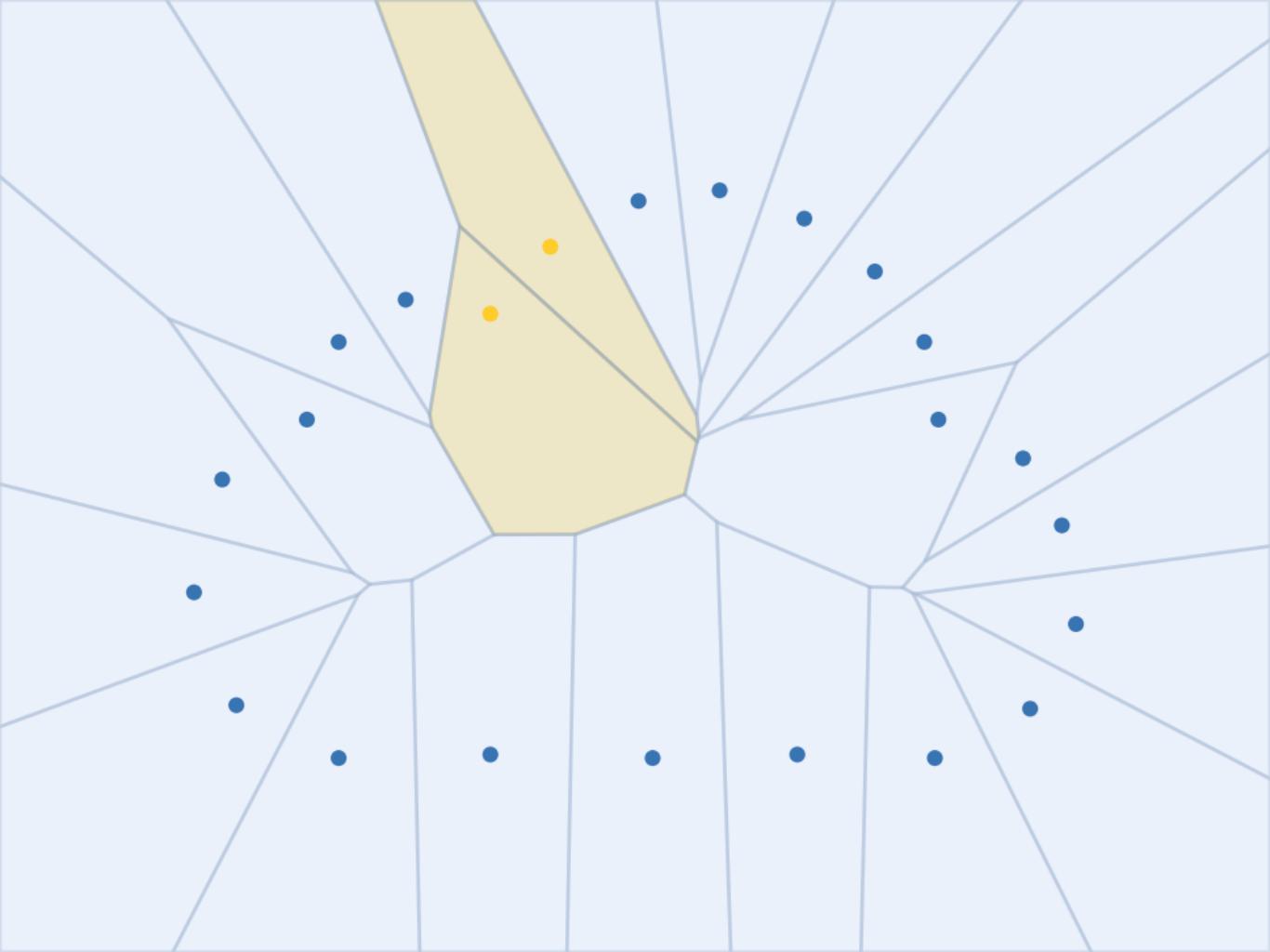


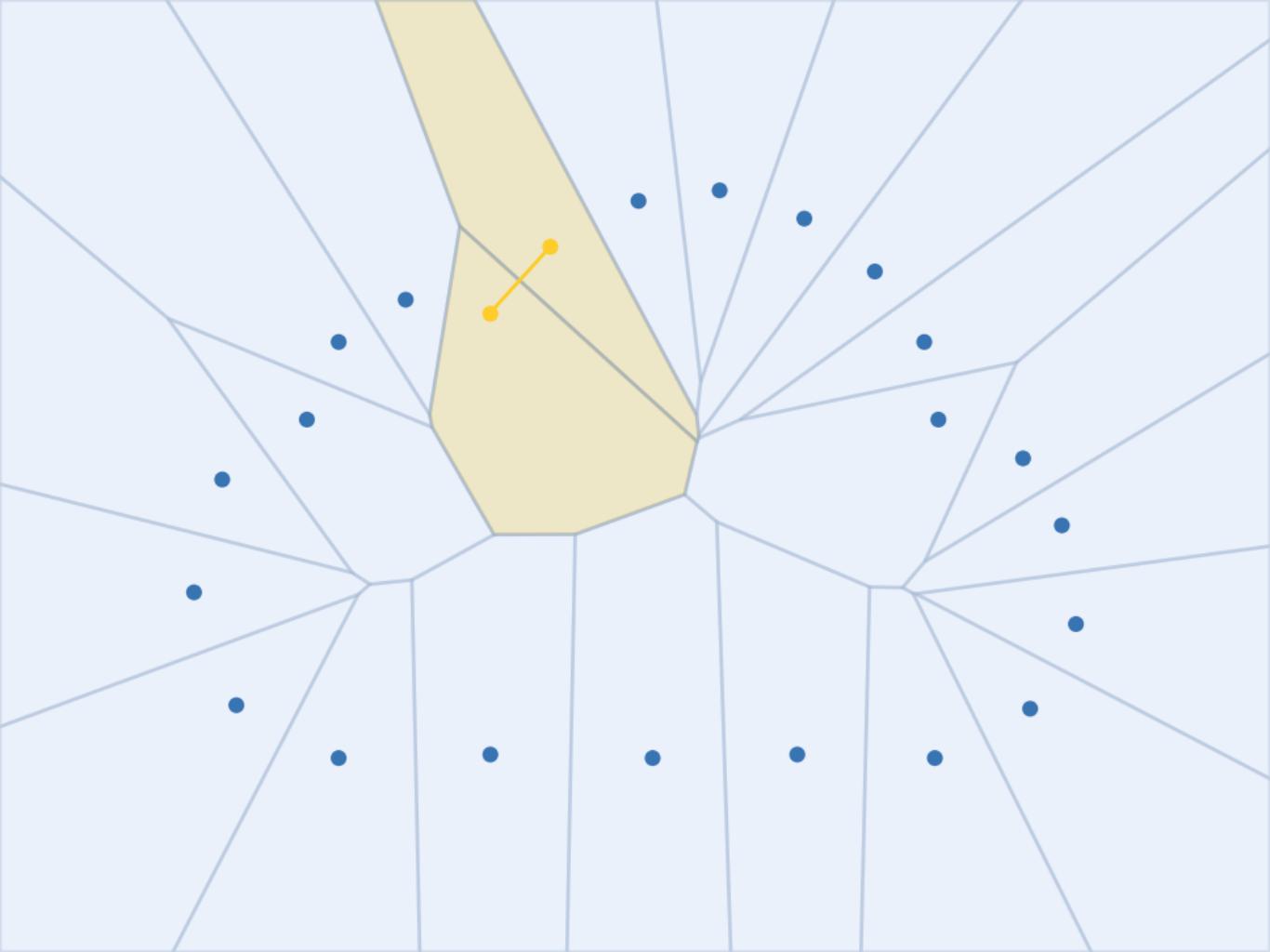


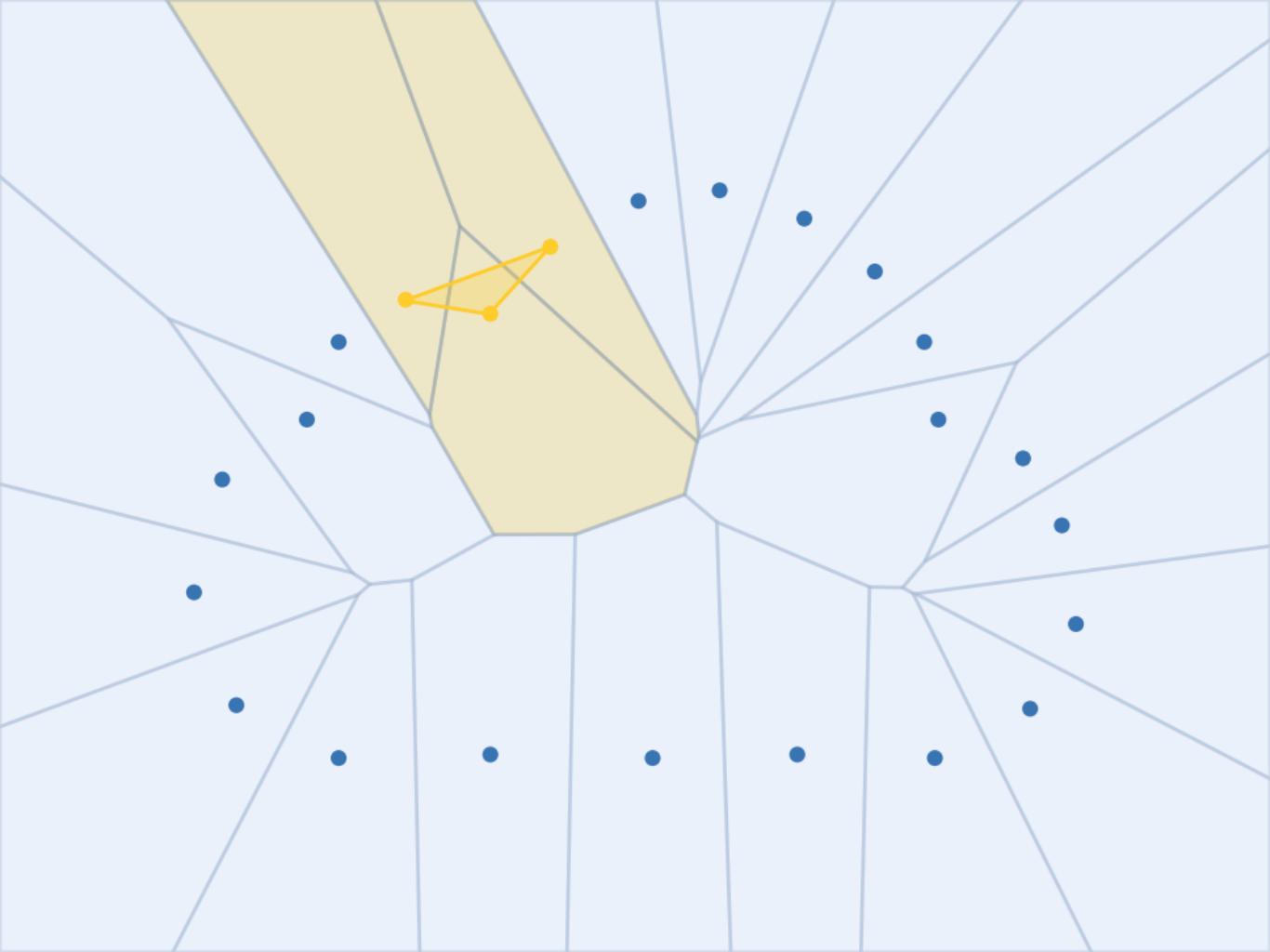


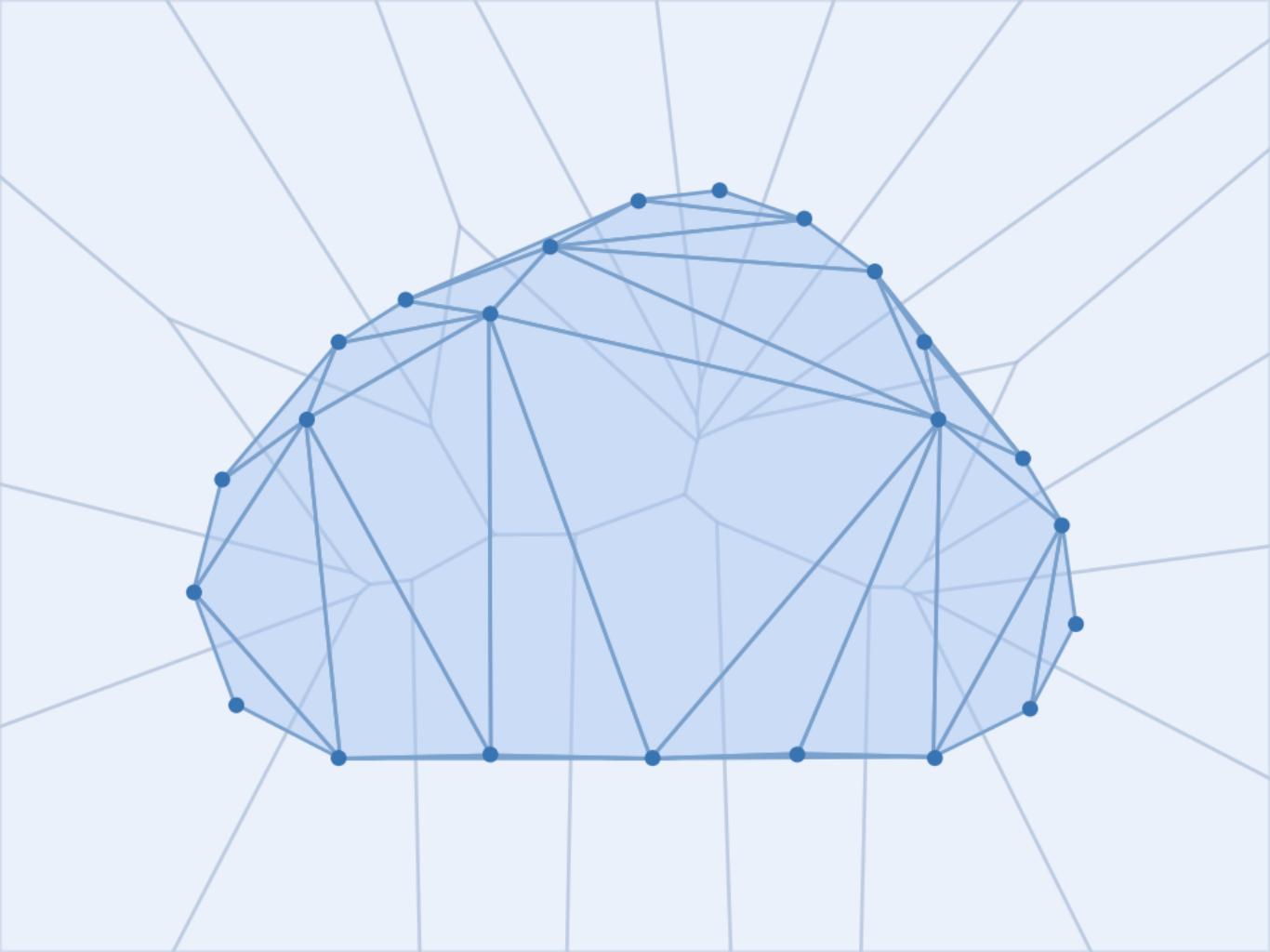


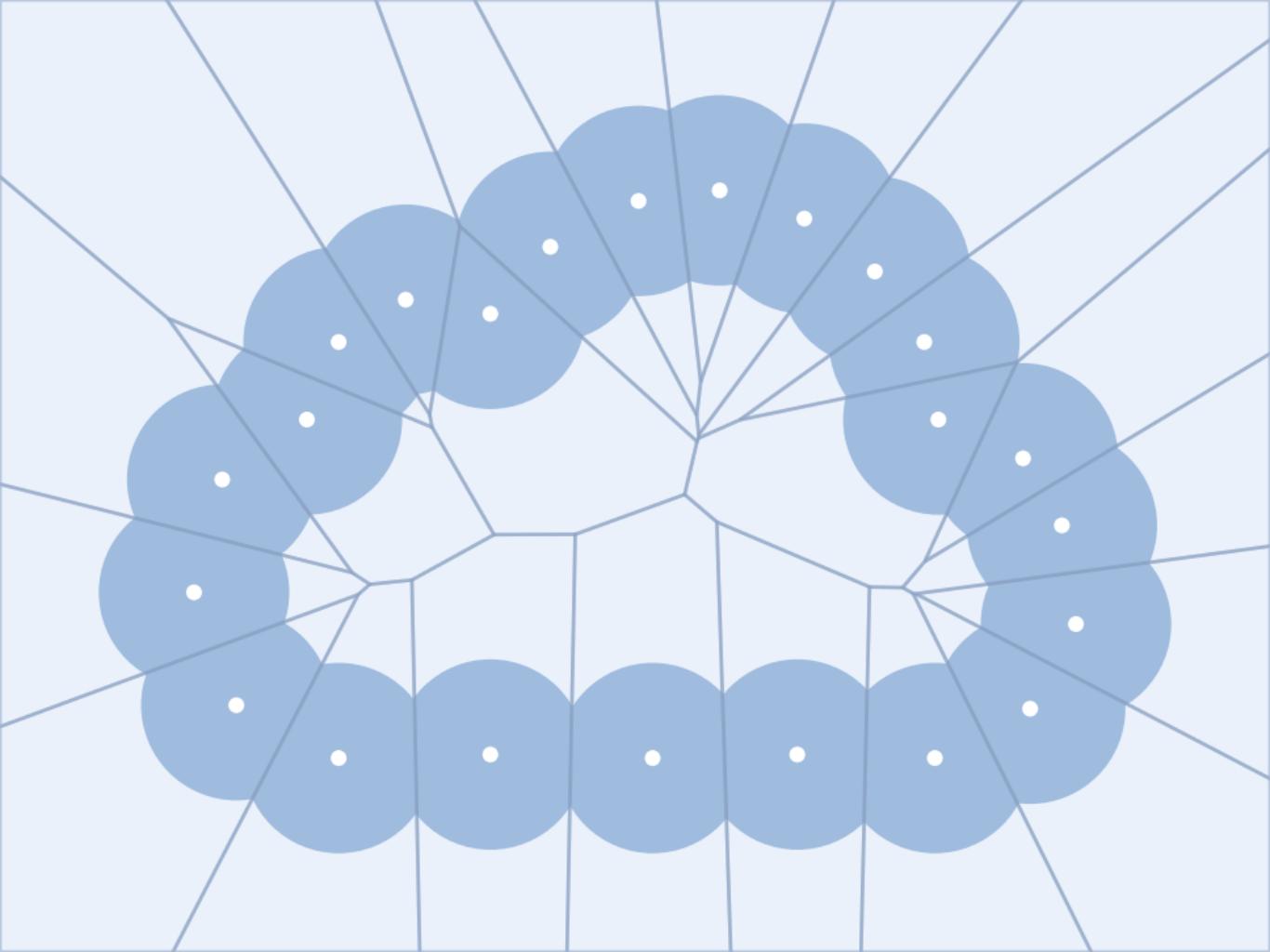


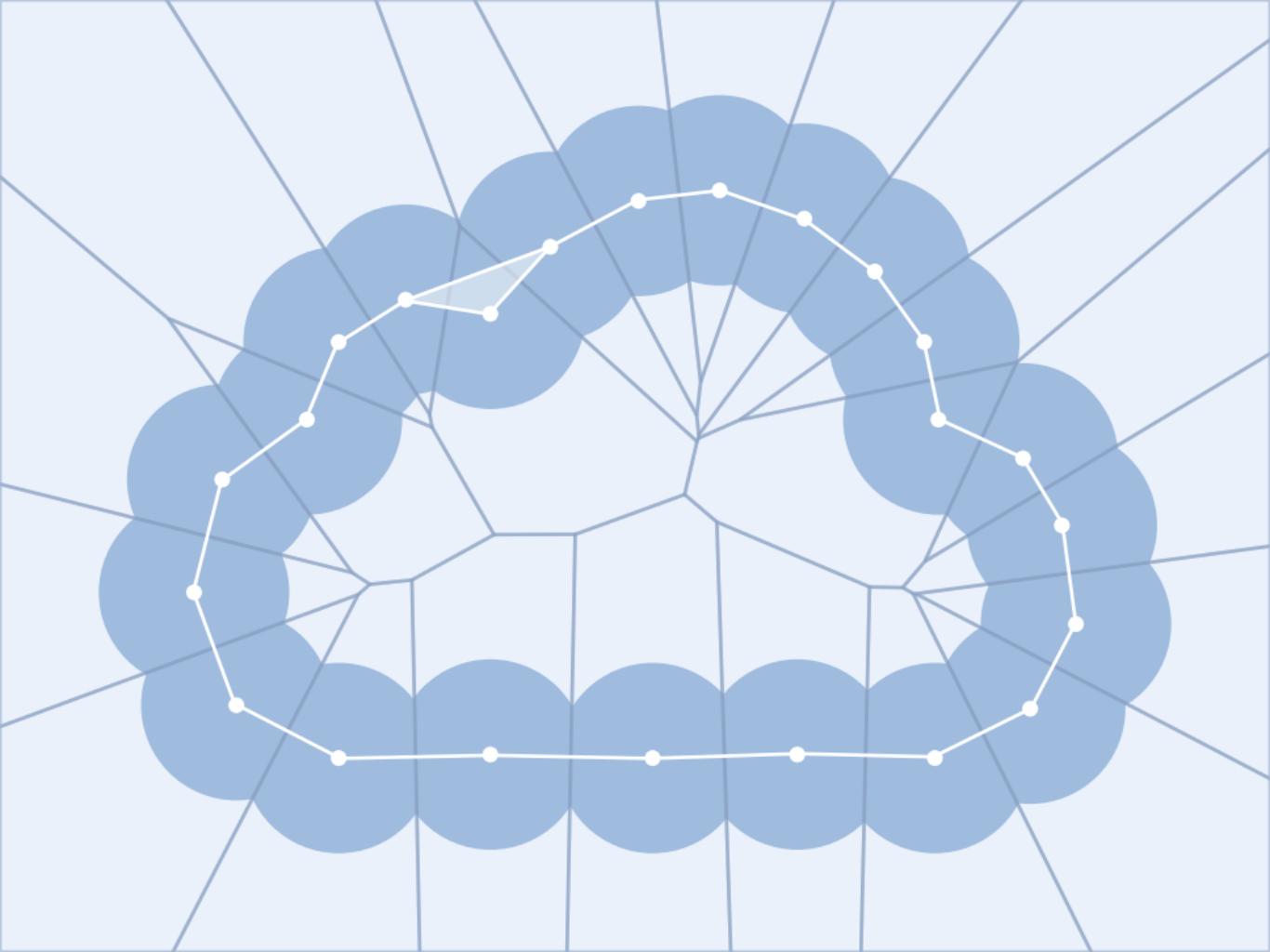


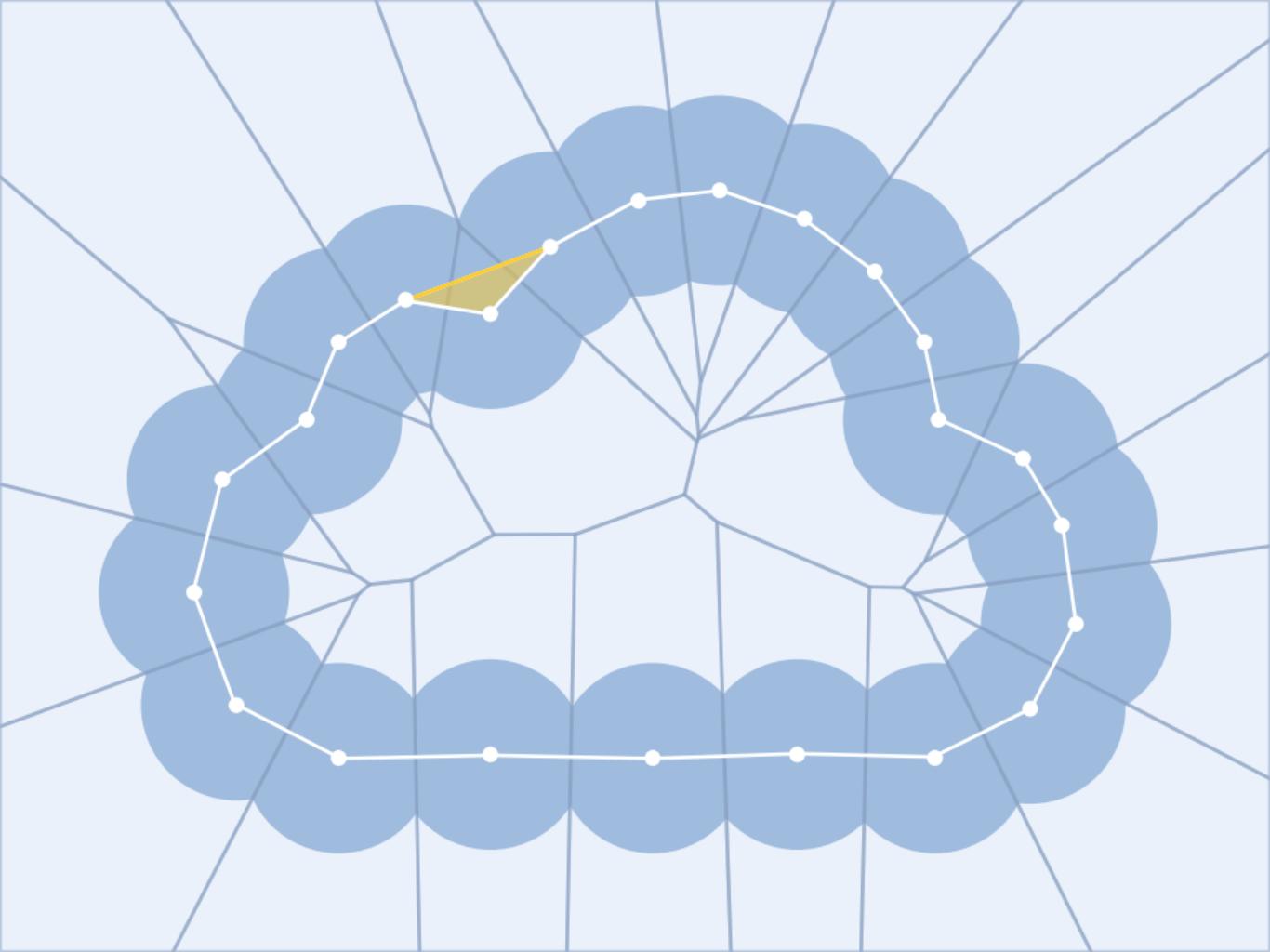


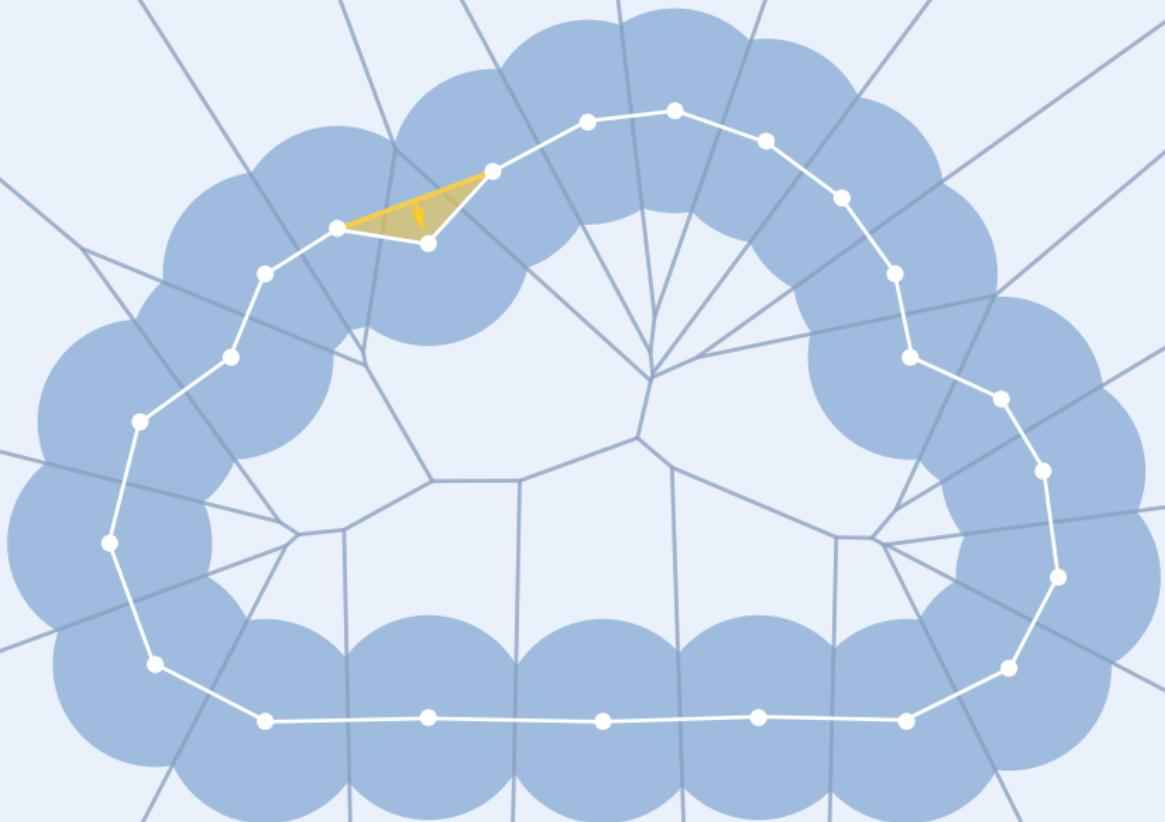


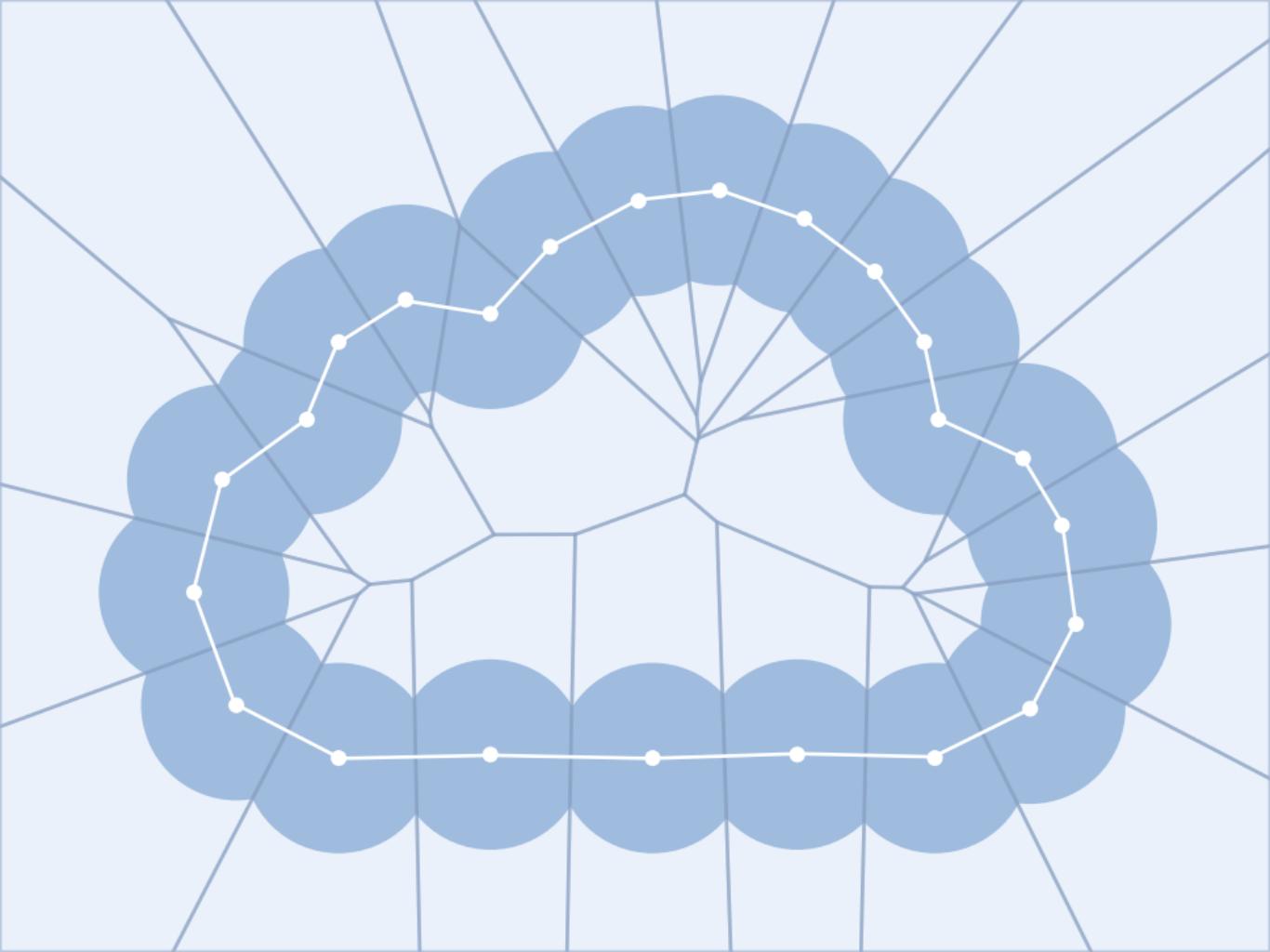


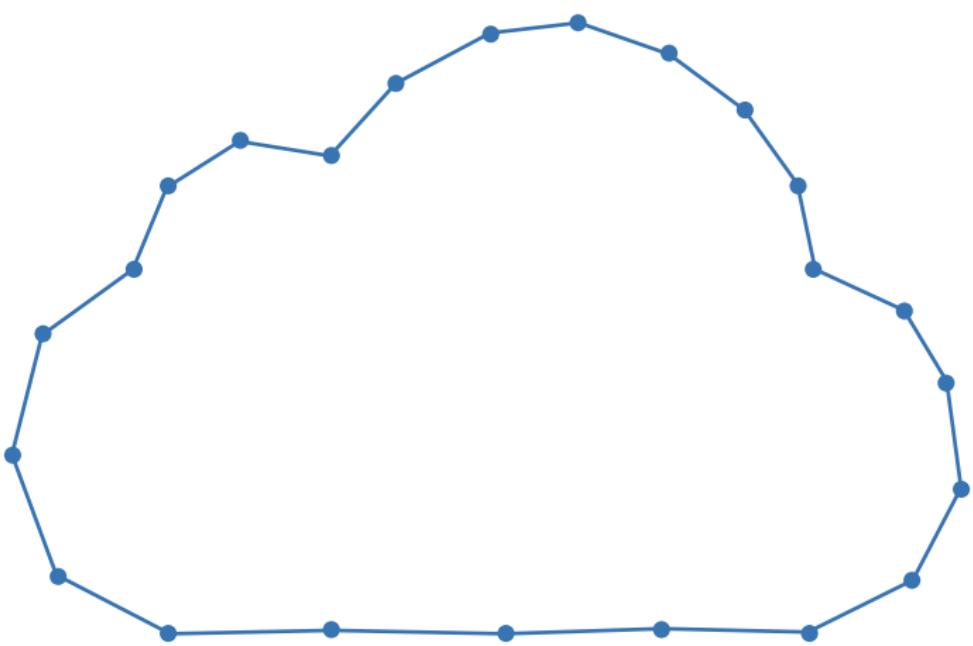












Connecting Čech and Delaunay complexes

By the *Nerve theorem* (Borsuk 1947):

$$\text{Del}_r(X) \simeq \text{Cech}_r(X) \simeq B_r(X).$$

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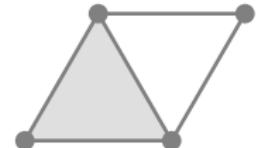
$$\text{Del}_r(X) \subseteq \text{DelCech}_r(X) \subseteq \text{Cech}_r(X).$$

- Are all three complexes homotopy equivalent?
- Are they related by a sequence of *simplicial collapses*?

Simplicial collapses

Definition (Whitehead 1938)

Let K be a simplicial complex.

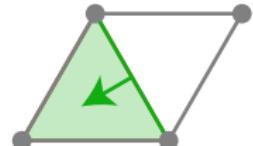


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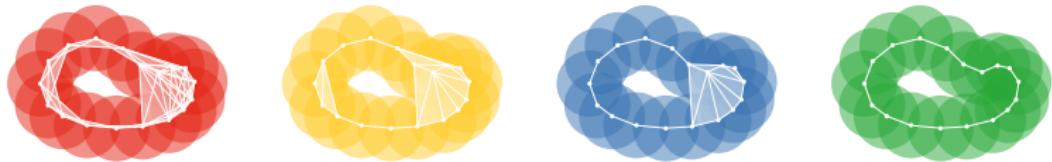
If there is a sequence of such elementary collapses from K to M , we say that K *collapses* to M (written as $K \searrow M$).

Main result: a sequence of collapses

Theorem (B, Edelsbrunner 2017)

Čech, Delaunay–Čech, Delaunay, and Wrap complexes are homotopy equivalent through a sequence of collapses

$$\text{Cech}_r X \searrow \text{Cech}_r X \cap \text{Del } X \searrow \text{Del}_r X \searrow \text{Wrap}_r X.$$

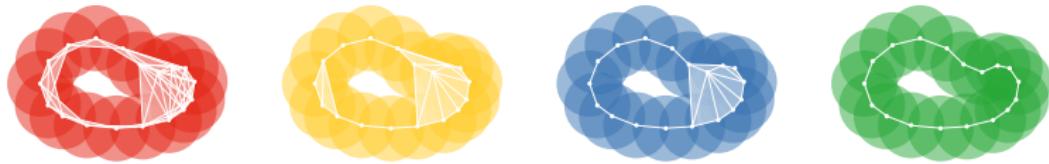


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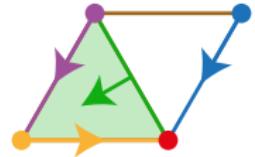
- Extends to weighted Delaunay triangulations
- All collapses are induced by a single *discrete gradient field*
- This yields explicit chain maps, inducing isomorphisms in persistent homology

Discrete Morse theory

Discrete Morse theory

Definition (Forman 1998)

A *discrete vector field* on a simplicial complex is a partition of the simplices into singletons and pairs $\{L, U\}$, where L is a face of U with codimension 1.

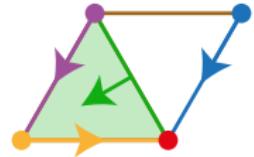


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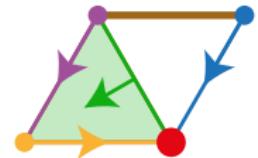


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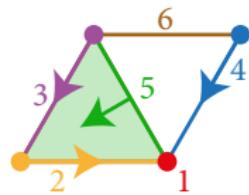
The singletons are called *critical simplices*.

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- the sublevel sets $K_t = f^{-1}(-\infty, t]$ are subcomplexes



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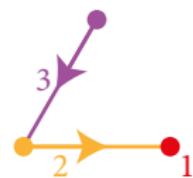


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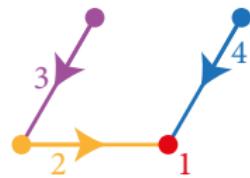


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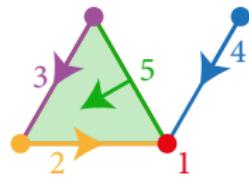


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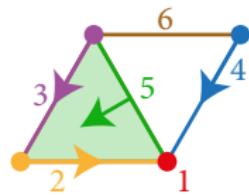


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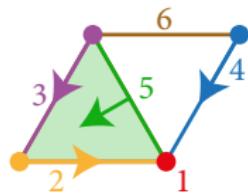


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- the level sets $f^{-1}(t)$ form a discrete vector field (the *discrete gradient* of f)

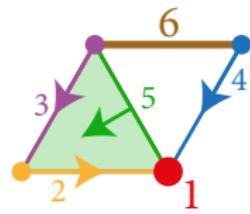


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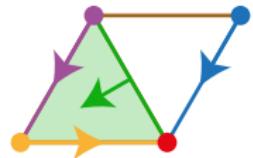


If $f^{-1}(t) = \{Q\}$ then t is a *critical value*.

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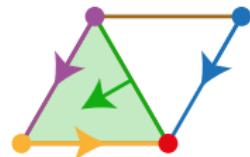


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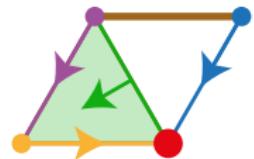


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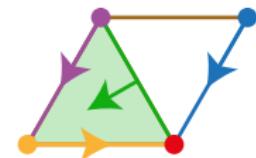


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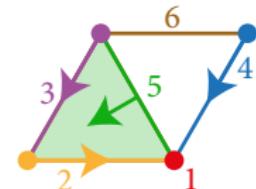
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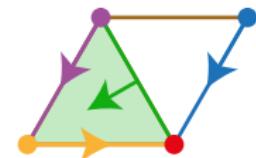


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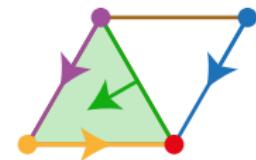
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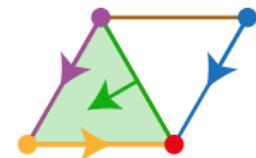


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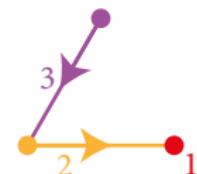
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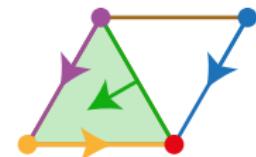


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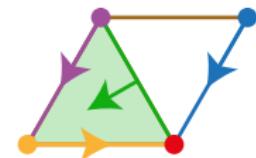


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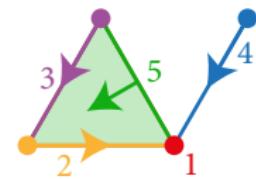
A *discrete vector field* on a simplicial complex is a partition of the simplices into singletons and pairs $\{L, U\}$, with L a facet of U .

- indicated by an arrow from L to U



A function $f : K \rightarrow \mathbb{R}$ on a simplicial complex is a *discrete Morse function* if for all $t \in \mathbb{R}$:

- sublevel sets $K_t = f^{-1}(-\infty, t]$ are subcomplexes

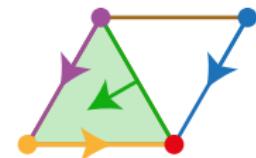


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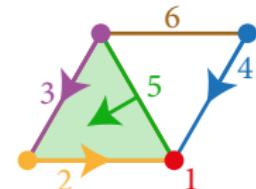
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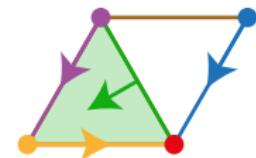


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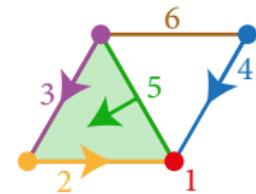
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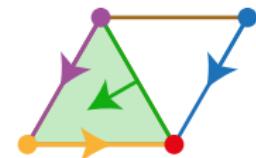


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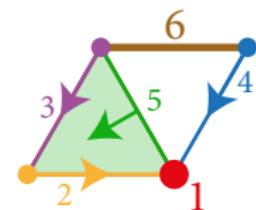
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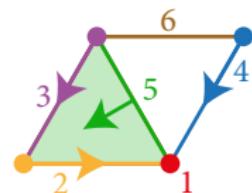
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If $f^{-1}(t) = \{Q\}$ then t is a *critical value*.

Collapses from Morse functions and gradients

Let f be a discrete Morse function on a simplicial complex K .

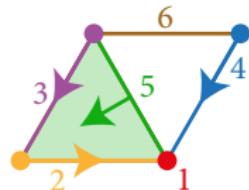


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*If $(s, t]$ contains no critical value of f ,
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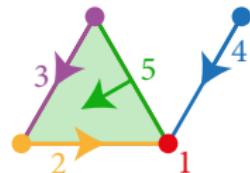


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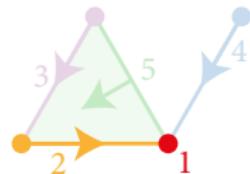


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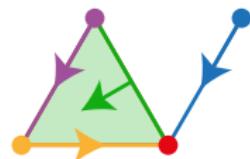
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Let V be a discrete gradient field on a simplicial complex K ,
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Corollary

*If $K \setminus L$ is the union of a set of pairs of V ,
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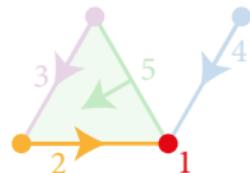


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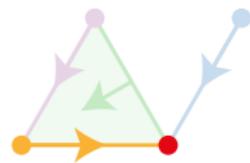
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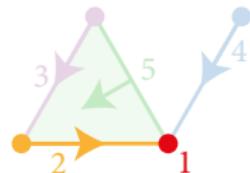


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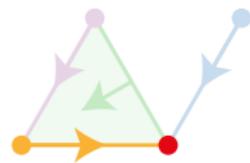
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We say that V induces the collapse $K \searrow L$.

Čech and Delaunay functions

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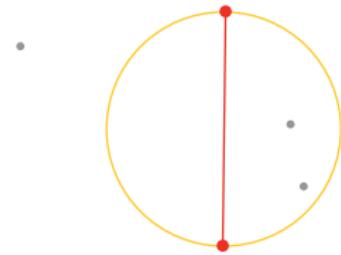
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Two radius functions:

- Čech radius function $f_{\text{Čech}}(Q)$:
radius of *smallest enclosing sphere* of Q



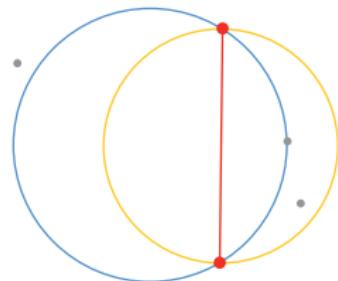
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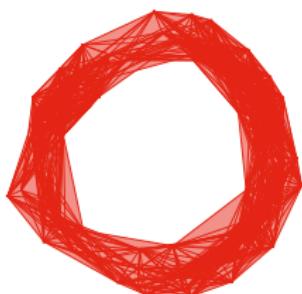
- *$\check{\text{C}}\text{ech radius function}$* $f_{\text{Cech}}(Q)$:
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- *$\text{Delaunay radius function}$* $f_{\text{Del}}(Q)$:
radius of *smallest empty circumsphere*
 - defined only on $\text{Del}(X)$



Čech and Delaunay complexes from functions

For any radius r :

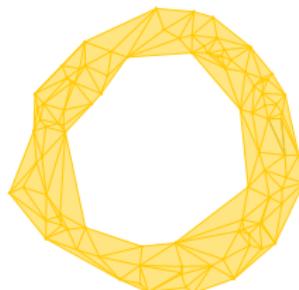
- $\text{Cech}_r(X) = f_{\text{Cech}}^{-1}(-\infty, r]$
 - simplices with smallest enclosing radius $\leq r$



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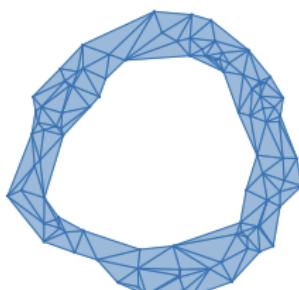
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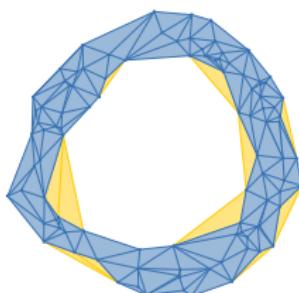
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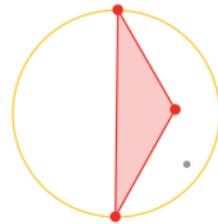
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Neither the Čech nor the Delaunay functions
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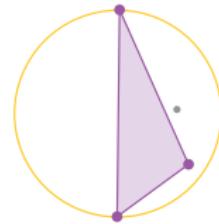
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- Example: two simplices Q, Q' with $f_C(Q) = f_C(Q')$ where neither is a face of the other:



Q



Q'

Generalized discrete Morse theory

Definition (Chari 2000, Freij 2009)

A *generalized discrete vector field* on a simplicial complex K is a partition of the simplices into intervals of the face poset:

$$[L, U] = \{Q : L \subseteq Q \subseteq U\}$$

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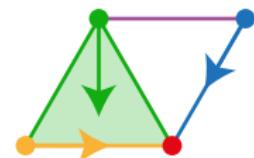
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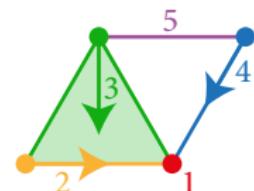
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A *generalized discrete Morse function* $f : K \rightarrow \mathbb{R}$ satisfies:

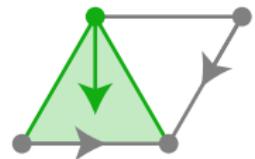
- the sublevel sets $K_t = f^{-1}(-\infty, t]$ are subcomplexes (for all $t \in \mathbb{R}$)
- the level sets $f^{-1}(t)$ form a generalized vector field (the *discrete gradient* of f)



Refining generalized vector fields

A generalized vector field V can be refined to a vector field.

For each non-critical interval $[L, U] \in V$:

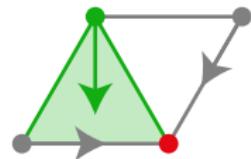


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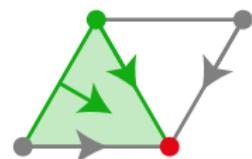


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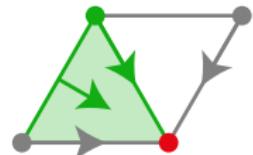


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Therefore the collapsing theorems also hold for generalized discrete Morse functions.

Morse theory of Čech and Delaunay complexes

Proposition

*The Čech function and the Delaunay function
are generalized discrete Morse functions.*

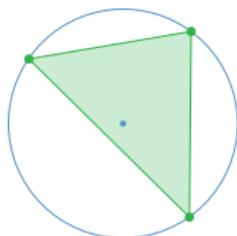
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The following are equivalent:

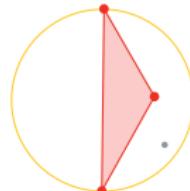
- *Q is a critical simplex of $f_{\text{Čech}}$*
- *Q is a critical simplex of f_{Del}*
- $f_{\text{Čech}}(Q) = f_{\text{Del}}(Q)$
- *Q is a centered Delaunay simplex
(containing the circumcenter in the interior)*



Čech intervals

Lemma

Let $Q \subseteq X$ be a simplex with smallest enclosing sphere S .
Then $Q' \subseteq X$ has the same smallest enclosing sphere S iff



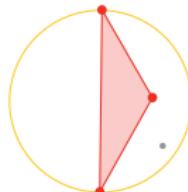
Q

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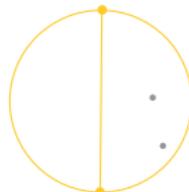
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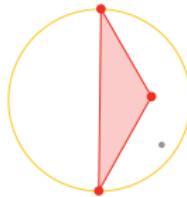
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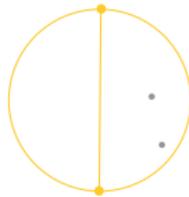
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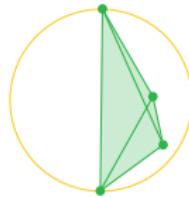
$$\text{On } S \subseteq Q' \subseteq \text{Encl } S.$$



Q



$\text{On } S$



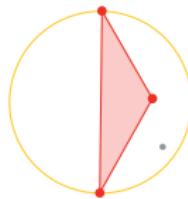
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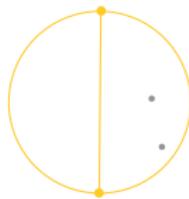
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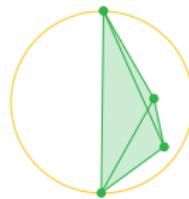
$$Q' \in [\text{On } S, \text{Encl } S].$$



Q



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The front and back faces of a simplex

Let S be the smallest circumsphere of a simplex On $S \subseteq X$.

The front and back faces of a simplex

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Then the center z of S is an affine combination of those points:

$$z = \sum_{x \in \text{On } S} \mu_x x.$$

The front and back faces of a simplex

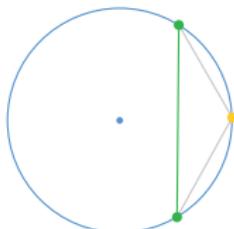
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$$\text{Front } S = \{x \in \text{On } S \mid \mu_x > 0\},$$

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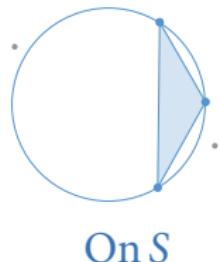
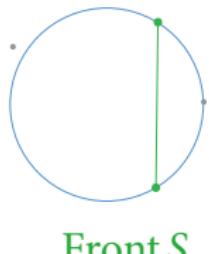
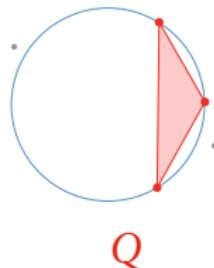


Delaunay intervals

Lemma

Let $Q \subseteq X$ be a simplex with smallest empty circumsphere S .
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$$Q' \in [\text{Front } S, \text{On } S].$$



Selective Delaunay complexes

Sphere optimization problems

Both Čech and Delaunay functions are defined using the smallest sphere S satisfying certain constraints:

minimize
 r, z

r

subject to

$$\|z - q\| \leq r, \quad q \in Q,$$

$$\|z - e\| \geq r, \quad e \in E.$$

Here r is the radius of the sphere S , and z is the center of S .

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$$\begin{array}{ll} \text{minimize}_{r,z} & r^2 \\ \text{subject to} & \|z - q\|^2 \leq r^2, \quad q \in Q, \\ & \|z - e\|^2 \geq r^2, \quad e \in E. \end{array}$$

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$$\begin{array}{lll} \text{minimize}_{a,z} & \|z\|^2 - a & (r^2 = \|z\|^2 - a) \\ \text{subject to} & \|z - q\|^2 \leq \|z\|^2 - a, & q \in Q, \\ & \|z - e\|^2 \geq \|z\|^2 - a, & e \in E. \end{array}$$

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Sphere optimization problems

Both Čech and Delaunay functions are defined using the smallest sphere S satisfying certain constraints:

$$\begin{array}{ll} \underset{a,z}{\text{minimize}} & \|z\|^2 - a \\ \text{subject to} & 2\langle z, q \rangle \geq \|q\|^2 + a, \quad \forall q \in Q, \\ & 2\langle z, e \rangle \leq \|e\|^2 + a, \quad \forall e \in E. \end{array}$$

Here r is the radius of the sphere S , and z is the center of S .

- Čech function: choose $E = \emptyset$
- Delaunay function: choose $E = X$

The Karush–Kuhn–Tucker optimality conditions

Consider an optimization problem of the form

minimize_x

$$f(x)$$

subject to

$$g_j(x) \geq 0, \quad \forall j \in J,$$

$$g_k(x) = 0, \quad \forall k \in K,$$

$$g_l(x) \leq 0, \quad \forall l \in L.$$

where the function f is convex and g_i are affine ($i \in I = J \cup K \cup L$).

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where the function f is convex and g_i are affine ($i \in I = J \cup K \cup L$).

Theorem (Karush 1939, Kuhn–Tucker 1951)

A feasible x is optimal iff there exist $(\lambda_i)_{i \in I}$ such that

$$\nabla f(x) + \sum_{i \in I} \lambda_i \nabla g_i(x) = 0, \quad (\text{stationarity})$$

$$\lambda_i g_i(x) = 0, \quad \forall i \in I, \quad (\text{complementary slackness})$$

$$\begin{aligned} \lambda_j &\leq 0, & \forall j \in J, \\ \lambda_l &\geq 0, & \forall l \in L. \end{aligned} \quad (\text{dual feasibility})$$

KKT conditions for the smallest sphere problem

The KKT conditions for our sphere optimization problem are:

Proposition

A sphere S enclosing Q and excluding E is minimal iff its center z can be written as an affine combination

$$z = \sum_{x \in Q \cup E} \lambda_x x, \quad 1 = \sum_{x \in Q \cup E} \lambda_x$$

such that

- $\lambda_x = 0$ whenever x does not lie on S ,
- $\lambda_x \leq 0$ whenever $x \in E \setminus Q$, and
- $\lambda_x \geq 0$ whenever $x \in Q \setminus E$.

Čech and Delaunay intervals from KKT

The *Karush–Kuhn–Tucker* optimality conditions yield:

Proposition (Geometric KKT conditions)

A sphere S enclosing Q and excluding E is minimal iff

- S is the smallest circumsphere of $\text{On } S$,
- $Q \in [\text{Front } S, \text{Encl } S]$, and
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The $\check{\text{C}}\text{ech}$ intervals are of the form $[\text{On } S, \text{Encl } S]$.

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The Delaunay intervals are of the form $[\text{Front } S, \text{On } S]$.

Selective Delaunay complexes

Define for finite point sets $X, E \subset \mathbb{R}^d$:

- *E-Delaunay function* $f_E(Q)$:
radius of smallest sphere enclosing Q and excluding E
 - defined only if such a sphere exists: $Q \in \text{Del}(X, E)$

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Theorem (B, Edelsbrunner 2017)

Let $E \subseteq F \subseteq X$. Then

$$\text{Del}_r(X, E) \downarrow \text{Del}_r(X, E) \cap \text{Del}(X, F) \downarrow \text{Del}_r(X, F).$$

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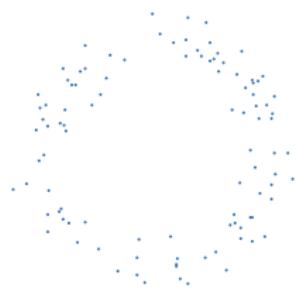
$$\text{Del}_r(X, E) \subsetneq \text{Del}_r(X, E) \cap \text{Del}(X, F) \subsetneq \text{Del}_r(X, F).$$

Note: choosing $E = \emptyset$ and $F = X$ yields

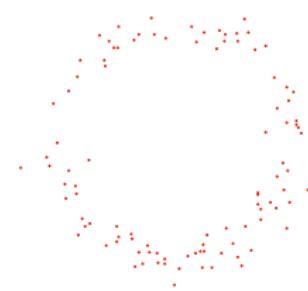
$$\text{Cech}_r(X) \subsetneq \text{DelCech}_r(X) \subsetneq \text{Del}_r(X).$$

Connecting different Delaunay complexes

X

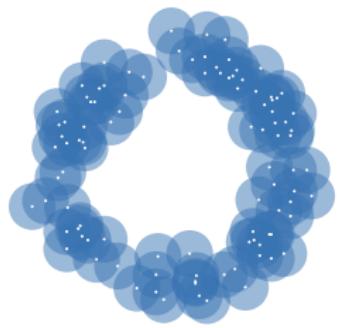


Y



Connecting different Delaunay complexes

$B_r(X)$

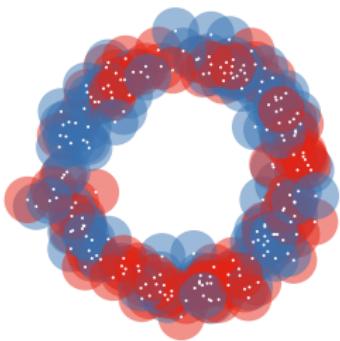
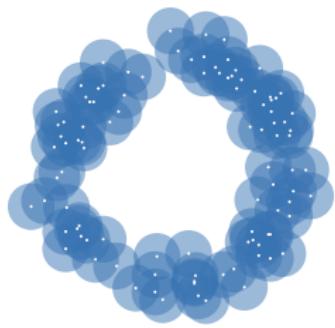


$B_r(Y)$



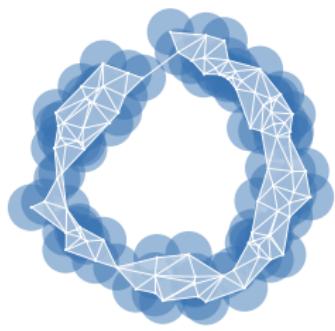
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$$B_r(X) \longleftrightarrow B_r(X \cup Y) \longleftrightarrow B_r(Y)$$

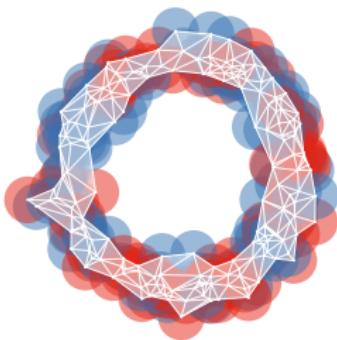


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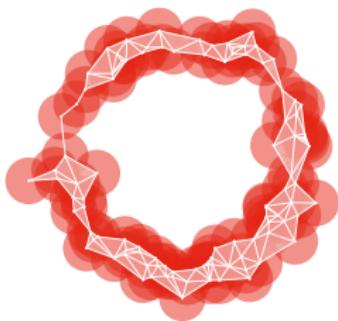
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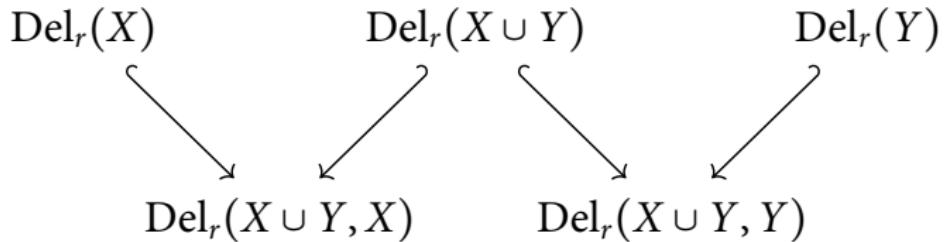
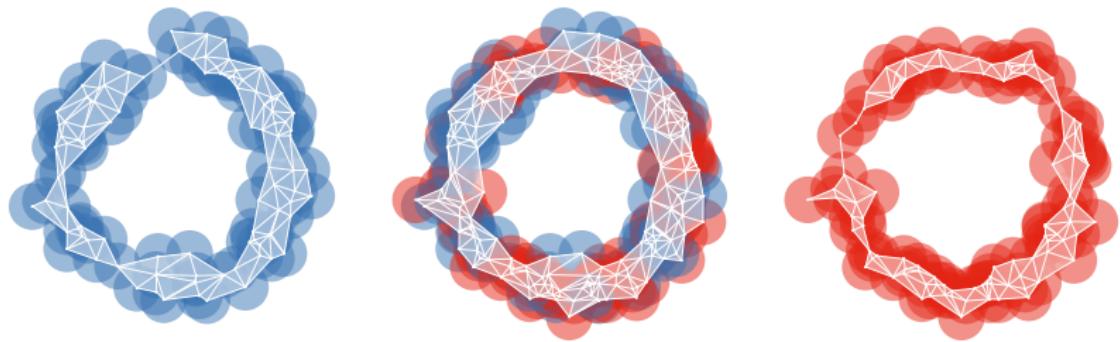
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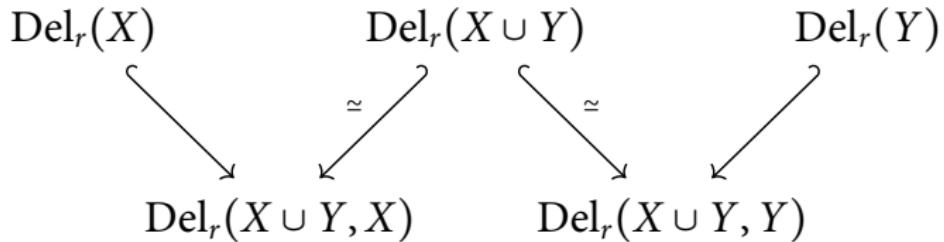
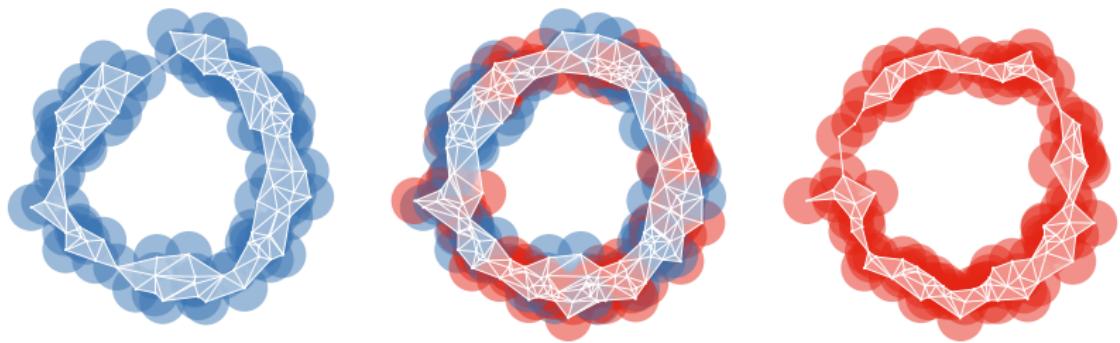
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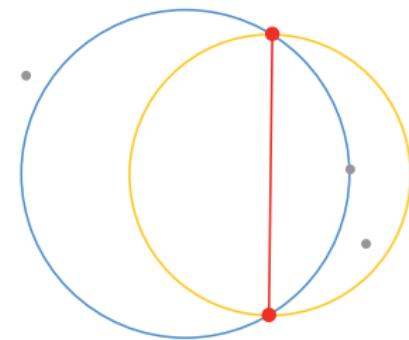
Collapsing from Čech to Delaunay

Collapsing the Delaunay–Čech complex

Construct gradient pairs inducing the collapse

$\text{DelCech}_r \searrow \text{Del}_r$:

- Consider a non-critical Delaunay simplex Q

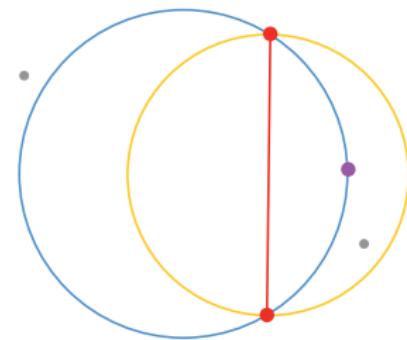


Collapsing the Delaunay–Čech complex

Construct gradient pairs inducing the collapse

$\text{DelCech}_r \searrow \text{Del}_r$:

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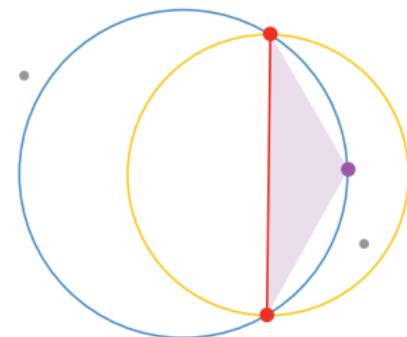


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 $Q' = Q \setminus \{p\}$ and $Q'' = Q \cup \{p\}$
have the same Čech and Delaunay sphere

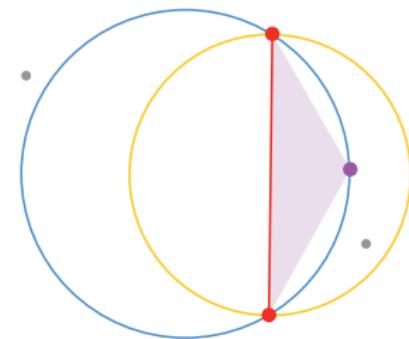


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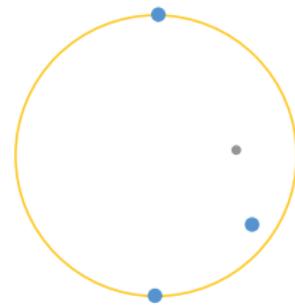
Lemma

The pairs (Q', Q'') yield a discrete gradient, inducing a collapse $\text{DelCech}_r \searrow \text{Del}_r$ (for any radius r).

Collapsing non-Delaunay simplices

Construct gradient pairs for the collapse $\text{Cech}_r \searrow \text{DelCech}_r$:

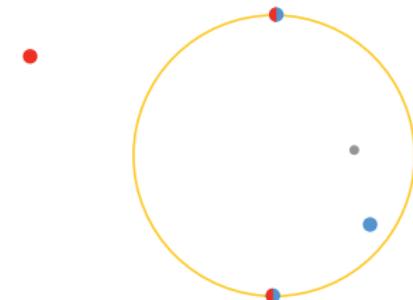
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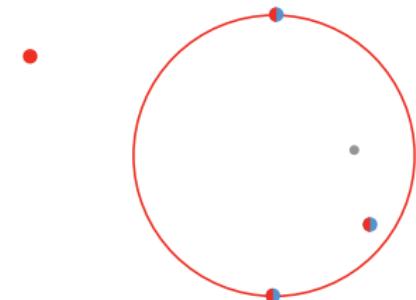
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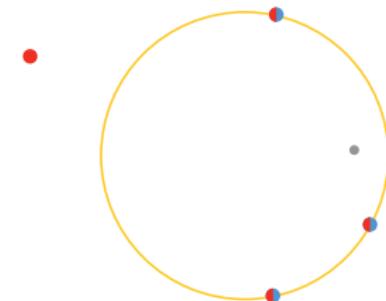
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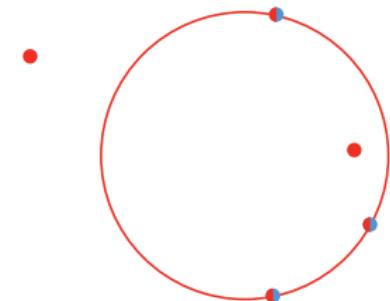
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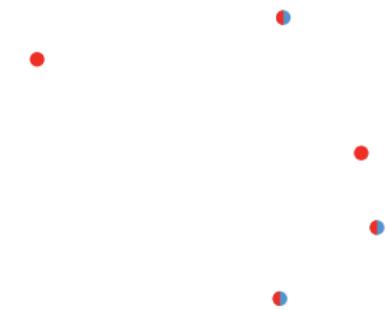
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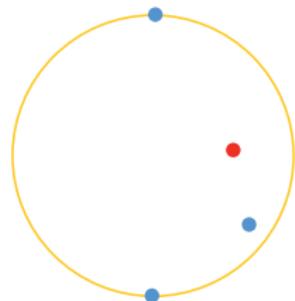
- Consider a non-Delaunay simplex Q together with its Čech sphere S
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Collapsing non-Delaunay simplices

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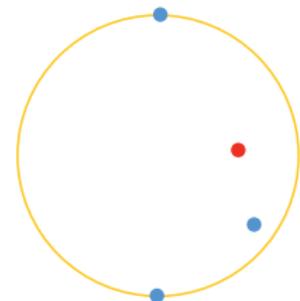
- Consider a non-Delaunay simplex Q together with its Čech sphere S
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Lemma

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Generalize and simplify the surface reconstruction method

Wrap (Edelsbrunner 1995, Geomagic)

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Generalize and simplify the surface reconstruction method

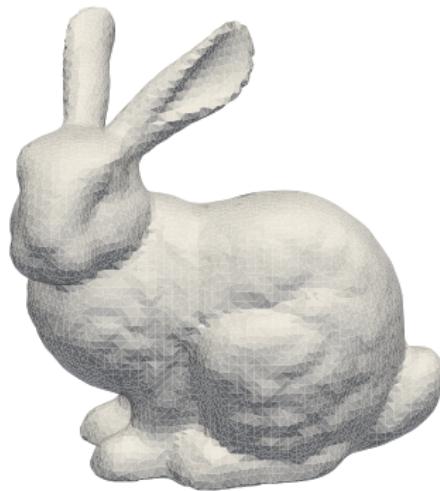
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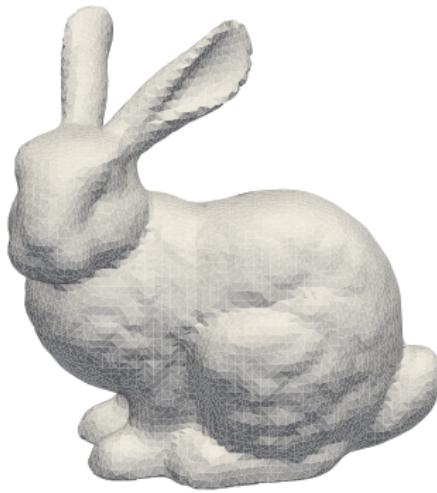
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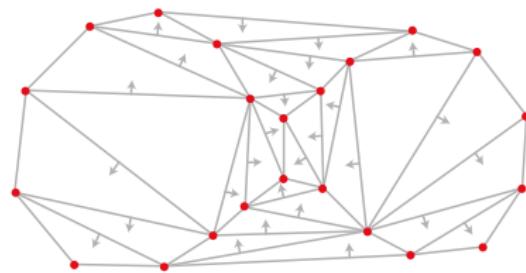


*"It would be interesting to elucidate the connection
between the two approaches to a discrete Morse
theory."*

Herbert Edelsbrunner, 2002

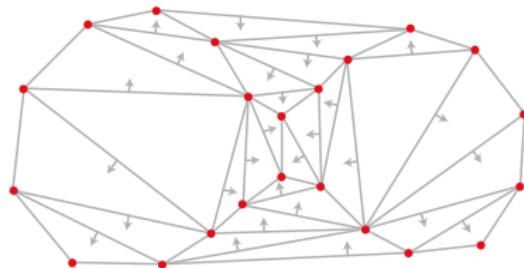
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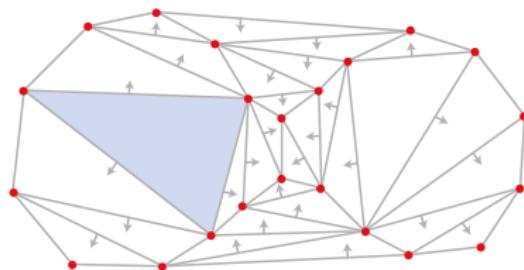


Define $\text{Wrap}_r(X)$ as the smallest complex

- consisting of intervals of V_D and
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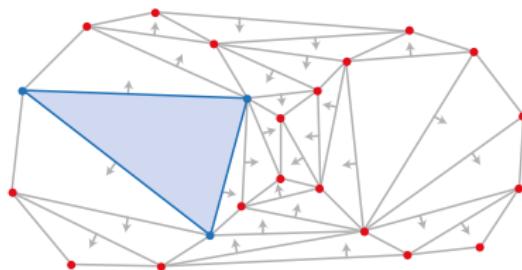


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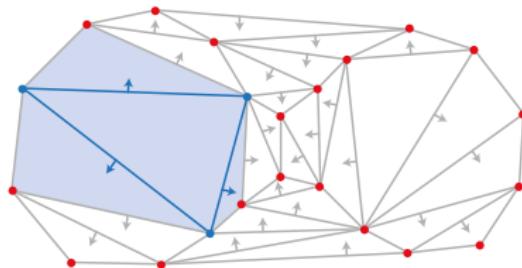


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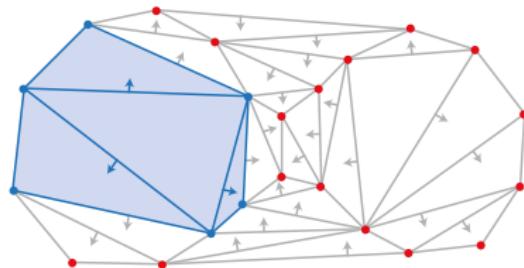


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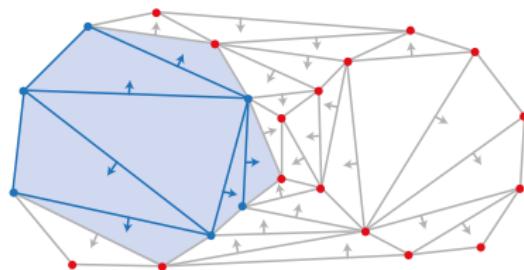


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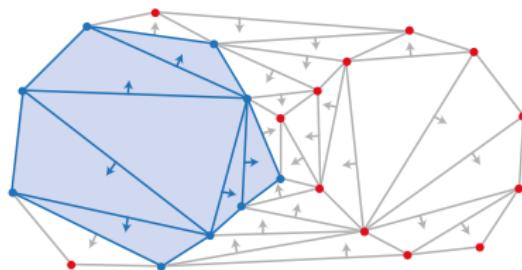


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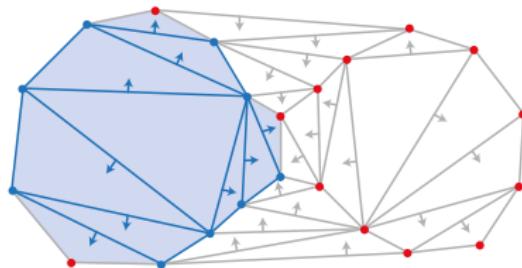


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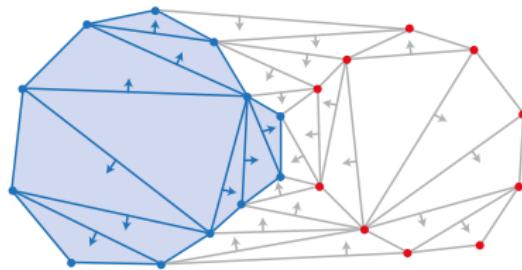


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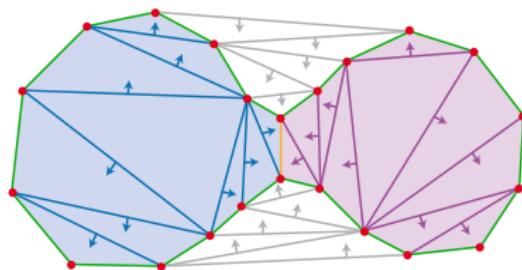


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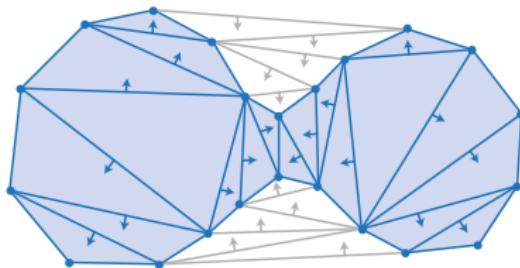


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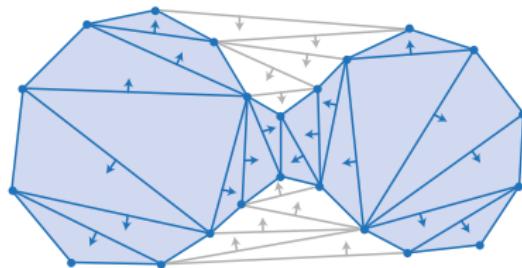


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Wrap complexes

Consider the Delaunay gradient V_D of $X \subset \mathbb{R}^D$ (intervals [Front S , On S] of simplices with same Delaunay sphere S).



Define $\text{Wrap}_r(X)$ as the smallest complex

- consisting of intervals of V_D and
- containing all critical simplices with circumradius $\leq r$.

Corollary

The Delaunay intervals induce a collapse $\text{Del}_r \searrow \text{Wrap}_r$.

Wrapping up

What we learned in this talk

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- Explicit homotopy equivalence by simplicial collapses
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Happy birthday Herbert!