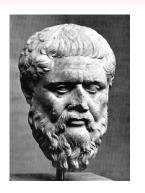
LSA Theory

#### Adam Kapelner\*

(Special Thanks to: Dean Foster\*)

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## **Problem of Knowledge Acquisition**





We know much more than we should given our limited experiences. Plato's spiritual answer: we were born with the knowledge and need "help" revealing it.

LSA Using Google 4-grams

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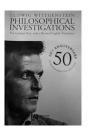
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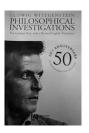


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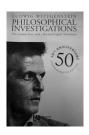
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Plato would not be happy that words are not reflective of higher truths... but Plato couldn't explain that...

# **Vocabulary Acquisition**

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As the month begins, you are likely to be experiencing a powerful sense of the **numinous** in your life, especially regarding your work in the world, including how you project yourself to others. This may have you feeling both exhilarated and also just a trifle confused over the multiplicities of possibility that are available to you.

Answer: Incidental learning from context.

LSA Theory

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Answer: Incidental learning from context. But how do we learn so well from context?

#### A theory proposed in 1997 by Landauer and Dumais



TK Landauer and ST Dumais. A solution to Platos problem: The latent semantic analysis theory of acquisition, induction and representation of knowledge. Psychological review, 1 (2):211240, 1997.

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- "latent" happening behind the scenes
- "semantic" based on written language
- "analysis" an internal computation is made

LSA Theory

#### Let's say you read the following snippet:

In this report, the computer hardware market consists of the following segments: computers, peripherals and devices, and storage devices. The computers segment comprises desktops and laptops. The peripherals and devices segment includes computer peripherals, PDAs, organizers, calculators and satellite navigation systems. Storage devices include memory sticks, CD packs, hard disks and other data storage devices. ...

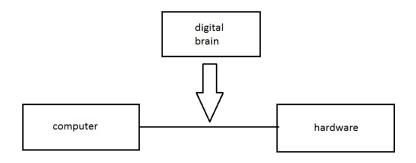
You may get the idea that "hardware" is associated with the word "computer" and this would help you learn the word. As you read more and more such snippets "hardware" becomes more and more associated with "computer"

Now let's read the following:

... With the development of actual software to go along with the futuristic hardware, circuit components called memristors have now taken the lead in the race to replace silicon. Even more promisingly, memristors behave like neurons in many ways, allowing scientists to use this software to create a kind of **digital brain**.

# **Dimension Reduction is Key**

With dimension reduction the concept of a "digital brain" can become associated with "computer" and "hardware" by "smushing" the "space of knowledge".



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They took  $p \approx 30,000$  encyclopedia articles from Grolier's Academic Encyclopedia, 1980 (truncated at 2000 characters) yielded  $n \approx 60,000$  unique words which appeared in more than one article. Call the matrix of word counts per article:  $X_{\text{pre}} \in \mathbb{N}_0^{n \times p}$ .

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1. Each count was converted to log frequencies (adjusting for zeros). Logs mimic the growth of simple learning (empirically documented):

$$x'_{ij} = \ln\left(1 + x_{ij}\right)$$

2. Division by entropy (well, the empirical estimate of entropy).

$$\begin{aligned} x_{ij}^{\prime\prime} &=& \frac{x_{ij}^{\prime}}{\mathsf{entropy}(\mathbf{x}_{i.})} = \frac{x_{ij}^{\prime\prime}}{-\sum_{l=1}^{p} \mathbb{P}\left(x_{il}\right) \log_{2}\left(\mathbb{P}\left(x_{il}\right)\right)} \\ &=& \frac{\ln\left(1 + x_{ij}\right)}{-\sum_{l=1}^{p} \frac{x_{il}}{\sum_{k=1}^{p} x_{ik}} \log_{2}\left(\frac{x_{il}}{\sum_{k=1}^{p} x_{ik}}\right)} \end{aligned}$$

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LSA Using Google 4-grams

To exploit interrelationships in this space of knowledge, they run thin SVD to elucidate the structure of the space:

$$X = F_1 W F_2^T$$
 where  $\underbrace{F_1 \in \mathbb{R}^{n \times p}}_{\text{hanger}}$ ,  $\underbrace{W \in \mathbb{R}^{p \times p} \geq 0 \text{ diag}}_{\text{stretcher}}$ , and  $\underbrace{F_2^T \in \mathbb{R}^{p \times m}}_{\text{aligner}}$ 

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where  $w_{11} \ge w_{22} \ge ... \ge w_{nn}$ . Now, shrink the dimensionality of the data by choosing an arbitrary cutoff d, zero out the weights  $w_{ii}$  where i > d, then "rehydrate" the X matrix using the SVD multiplication:

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$$X = F_1 \begin{bmatrix} w_{11} & 0 \\ 0 & w_{22} \\ & & \ddots \end{bmatrix} F_2^T, \quad \hat{X}^{(1)} = F_1 \begin{bmatrix} w_{11} & 0 \\ 0 & 0 \\ & & \ddots \end{bmatrix} F_2^T$$

We call this the "least squares estimate" and denote it  $\hat{X}^{(d)}$ . It is also the best estimate of X based on the Eckart-Young Thm (lowest Frobenius norm with shrunk rank d < p).

#### Assessment I



They then used 80 retired synonym questions which looked like the following:

- 5. zenith
  - a. completion
  - b. pinnacle\*
  - c. outset
  - d. decline

Simple target word with four alternatives.

## **Assessment II**

For each dimension reduction  $\hat{X}^{(d)}$ , we can assess the degree of vocabulary learning by having the algorithm "take the test". How?

By computing distances between the target word and each alternative. The distance metric decided upon was the angle in order to ignore raw magnitude effects:

$$\begin{split} g^* &= \underset{g \in \{a,b,c,d\}}{\text{arg min}} \left\{ \theta_{\hat{\mathbf{x}}_{\mathsf{T}.}^{(d)}, \; \hat{\mathbf{x}}_{\mathsf{g}.}^{(d)}} \right\} = \underset{g \in \{a,b,c,d\}}{\text{arg max}} \left\{ \cos \left( \theta_{\hat{\mathbf{x}}_{\mathsf{T}.}^{(d)}, \; \hat{\mathbf{x}}_{\mathsf{g}.}^{(d)}} \right) \right\} \\ &= \underset{g \in \{a,b,c,d\}}{\text{arg max}} \left\{ \frac{\left\langle \hat{\mathbf{x}}_{\mathsf{T}.}^{(d)}, \; \hat{\mathbf{x}}_{\mathsf{g}.}^{(d)} \right\rangle}{\left|\left|\hat{\mathbf{x}}_{\mathsf{T}.}^{(d)}\right|\right| \left|\left|\hat{\mathbf{x}}_{\mathsf{g}.}^{(d)}\right|\right|} \right\} \end{split}$$

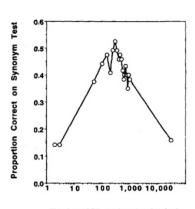
The answer choice with the smallest angle wins.

#### The 1997 Results

In short, they found that with the proper dimension optimization, they can score about half the questions correct (after controlling for chance guessing corr-chance which is good enough to get into college!

Also, there is a local maximum with too little dimensions not accurately representing the space and too many makes for a space too diffuse for interrelationships to be exploited.

Other conclusions as well...



Number of Dimensions in LSA (log)

from Google's 2006 corpus.

LSA Using Google 4-grams

# I have attempted to duplicate their analysis using Internet 4-grams

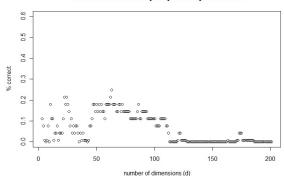
Due to computational limitations, I used the following parameters:

$$p = 2000 \Rightarrow n = 2173$$
  
 $d_{MAX} = 200$   
MAX\_TOEFL = 5

Only 39 of the 80 TOEFL questions were able to be tested, and each not completely. Hence my data is very preliminary.

# My Results





We can see optimal dimensionality between 50-60 dimensions and a precipitous drop after 110.

## **Conclusions and Future Idea**

Even with this exceedingly raw setup, we can be convinced of LSA working using merely 4-grams. That means there's a lot of information in only four words if enough of them are read.

#### **Future Idea**

Optimize over both length of context and dimensionality of context — where is cusp? At what point do we get diminiship returns

# **Acknowledgments**

Dean Foster
Thomas Landauer Lab (for providing TOEFL data)

Funding from GRFP

Thanks for letting me present today!