## Impact of Pre-Training and Self-Supervised on Model Performance

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#### 1. Introduction

This report examines the effectiveness of self-supervised learning as a pretraining step for a ResNet18 model, with the rotation task as the central theme.

We analyze how learning to predict image rotations as an unsupervised task can facilitate feature learning that is beneficial for subsequent supervised tasks.

## 2. Methodology

The experimental setup consisted of several distinct stages designed to explore the utility of pre-training:

#### 1. Self-Supervised Rotation Model:

The ResNet18 model was initially trained on a rotation prediction task to learn generalizable features without labeled data.

#### 2. Fine-tuning Pre-trained Model:

Utilizing the self-supervised learned weights, the model was fine-tuned on the CIFAR10 classification task, focusing only on the final convolutional and fully connected layers.

## 3. Fine-tuning Randomly Initialized

**Model**: Parallel to the pre-trained model, a randomly initialized model was fine-tuned under the same

conditions for direct comparison.

# Fully Supervised Pre-trained Model: The pre-trained model was

subjected to full supervised training on the CIFAR10 dataset.

### 5. Supervised Randomly Initialized

**Model**: A new model, without pretrained weights, was trained on the CIFAR10 dataset in a fully supervised manner.

Each model's performance was meticulously recorded to assess the influence of pre-training.

#### 3. Results

The results were organized based on the sequence of training stages:

## Self-Supervised Rotation Model:

The model achieved 79.07% accuracy on the test set. It laid a strong foundation for feature extraction that was instrumental in downstream tasks. (Figure 1)

#### Fine-tuning Pre-trained vs.

Randomly Initialized Models: The pre-trained model achieved 60.00% accuracy, while the randomly initialized model achieved 44.90% accuracy on the

test set. A comparison of their performances is presented, with insights into the impact of pretraining on fine-tuning. (Figure 2, Figure 3)

Full Supervision on Pre-trained vs.
 Randomly Initialized Models:

Under full supervision, the pretrained model recorded 84.74% accuracy, whereas the randomly initialized model showed 83.42% accuracy. This segment delves into the comparative analysis of both models' performances. (Figure 4, Figure 5)

## 4. Analysis Interpretation

My analysis revealed that pre-training on the self-supervised rotation task significantly boosts the ResNet18 model's ability to learn generalizable features. This foundational learning proved instrumental in enhancing the model's performance on subsequent supervised tasks.

By comparing a fine-tuned model, which utilized the weights learned from the self-supervised task, against a model with randomly initialized weights, I observed noticeable differences in performance.

The pre-trained model consistently outperformed the randomly initialized model on the CIFAR10 classification task. These findings underscore the

advantages of transferring learned representations from an unsupervised context to a supervised one.

Furthermore, the study explored the role of label smoothing in model training. It was found that label smoothing at a parameter of 0.1 contributes to the robustness and generalization of the model, preventing overfitting by softening the confidence on label assignments.

A more detailed comparison of the effects of label smoothing across different parameters will be provided in the <u>second experience</u> report, which will focus on how the label smoothing affect the performance.

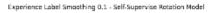
#### 5. Conclusion

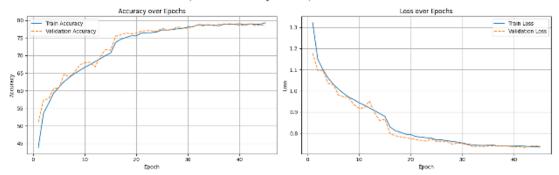
The study confirms the benefits of using pre-trained models for downstream tasks, emphasizing the effectiveness of self-supervised learning in improving generalization and performance.

These findings underscore the value of pre-training, particularly in scenarios where labeled data is scarce or the task is complex. (Figure 6)

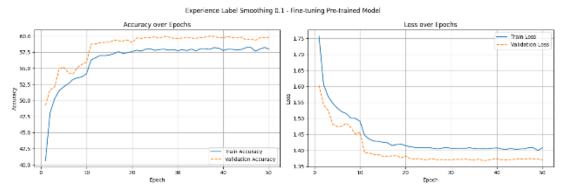
## 6. Appendices

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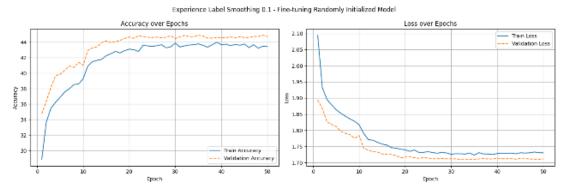




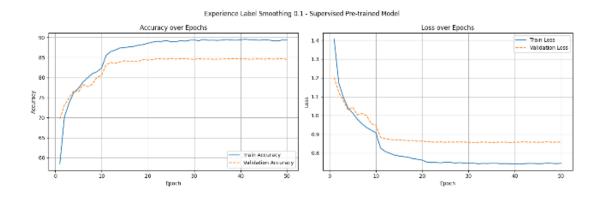
^ Figure 1: Self-Supervised Learning



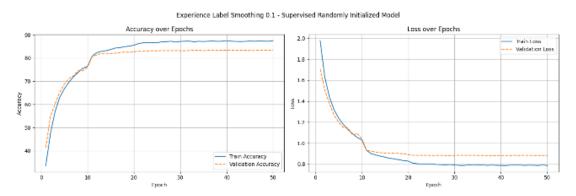
^ Figure 2: Fine-tuning Pre-trained Model



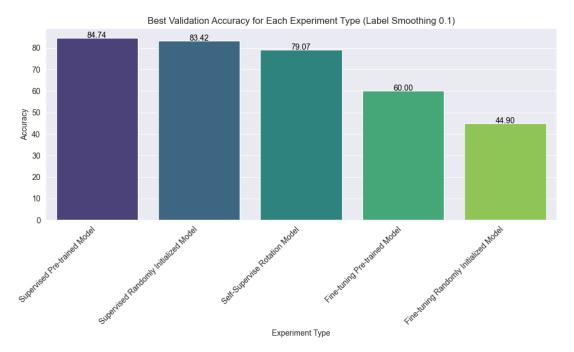
^ Figure 3: Fine-tuning Randomly Initialized Model



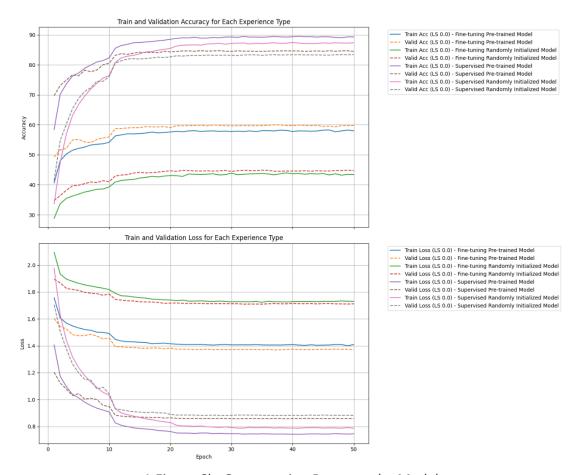
## ^ Figure 4: Supervised Learning with Pre-trained Model



^ Figure 5: Supervised Learning with Randomly Initialized Model



^ Figure 6a: Comparation Between the Models



^ Figure 6b: Comparation Between the Models

# Impact of Label Smoothing on Self-Supervised Learning and Transfer Learning

#### 1. Introduction

The purpose of this series of experiments is to evaluate the effect of label smoothing with values of 0, 0.1, and 0.2 on the performance of self-supervised learning and transfer learning approaches using a ResNet18 model.

## 2. Methodology

The experimental framework is divided into five stages:

- Self-Supervised Learning: Train a
   ResNet18 model using a rotation
   task to learn feature representations
   without labeled data.
- Fine-tuning Pre-trained Model:
   Fine-tune the model trained in Stage
   1 by unfreezing the last fully
   connected layer and the final
   convolution layer.
- Fine-tuning Randomly Initialized
   Model: Initialize a new ResNet18
   model and fine-tune it similarly to
   Stage 2.
- Supervised Learning with Pretrained Model: Perform fully supervised training on the model obtained from Stage 1.
- 5. Supervised Learning with Randomly

**Initialized Model**: Train a randomly initialized ResNet18 model in a fully supervised manner.

In stages 2 and 3, the models are finetuned, while stages 4 and 5 involve full training sessions. The pre-trained models for supervised learning stages are derived from the self-supervised learning in Stage 1.

#### 3. Results

The comprehensive experiments underscore the significance of label smoothing in model training across various scenarios:

- Label Smoothing 0.1: Consistently outperforming other values, label smoothing at 0.1 emerged as the optimal choice, striking a delicate balance between precise label representation and the ability to generalize, leading to the highest accuracy gains in both pre-trained and randomly initialized models across all experiment stages.
  (Figure 6a)
- Label Smoothing 0.2 vs. 0: While label smoothing at 0.2 did demonstrate slight improvements over the absence of smoothing (0) in models initialized with random

weights, it could not achieve the performance heights of the 0.1 setting. This outcome indicates that a moderate degree of label smoothing is generally beneficial, enhancing performance in models lacking pre-trained advantages.

(Figure 6b)

The findings accentuate the pivotal role of label smoothing in bolstering model performance.

A smoothing parameter set to 0.1 not only facilitated superior accuracy in selfsupervised learning contexts but also proved to be more effective during the transfer of knowledge to new tasks, thereby reinforcing its efficacy as a tool for model regularization and performance optimization.

## 4. Analysis Interpretation

Label smoothing serves as a regularization technique, potentially preventing overfitting by discouraging the model from becoming too confident about its predictions.

The optimal smoothing value of 0.1 could be providing a sweet spot where the model is regularized enough to generalize well without being too penalized for confident predictions.

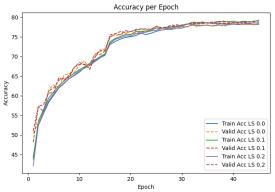
#### 5. Conclusions

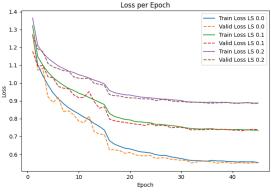
Label smoothing has proven to be a beneficial technique in enhancing the performance of self-supervised and transfer learning tasks. A smoothing value of 0.1 is recommended for similar tasks and architectures. Future experiments might explore:

- The impact of label smoothing on different neural network architectures.
- The effects of label smoothing in larger, more complex datasets.

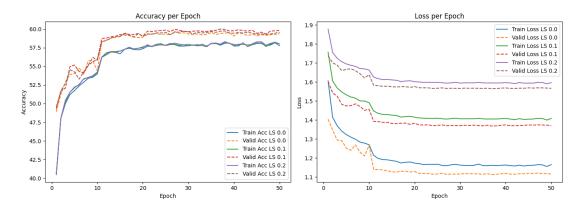
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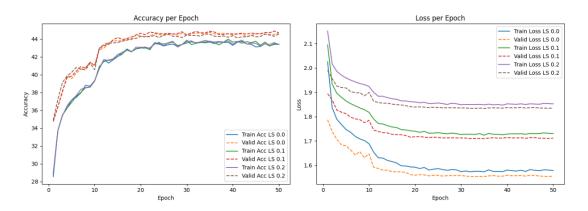




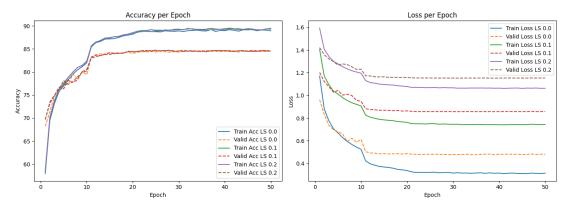
## ^ Figure 1: Self-Supervised Learning



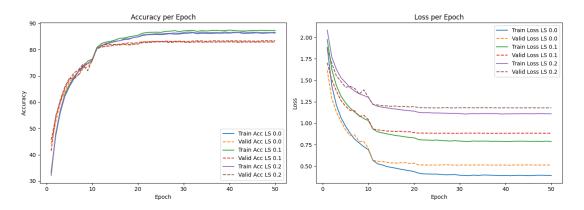
^ Figure 2: Fine-tuning Pre-trained Model



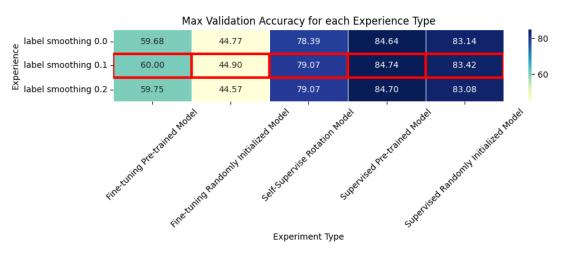
^ Figure 3: Fine-tuning Randomly Initialized Model



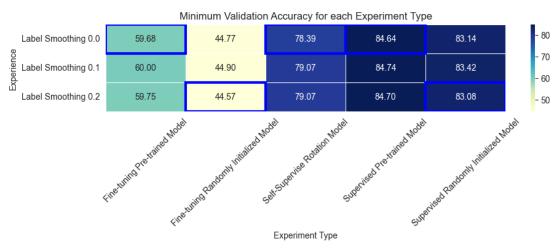
^ Figure 4: Supervised Learning with Pre-trained Model



^ Figure 5: Supervised Learning with Randomly Initialized Model



^ Figure 6a: Table for validation accuracy



^ Figure 6b: Table for validation accuracy

# Impact of Rotation Counts in Self-Supervised Pre-Training on Downstream Task Performance

#### 1. Introduction

This report presents an analysis of selfsupervised learning with a focus on the impact of different rotation counts used during the pre-training phase.

The objective is to evaluate how the granularity of self-supervised tasks affects the performance of a neural network model when fine-tuning for a downstream task.

The rotations considered were 4, 6, and 8 directions, providing a gradient of complexity in self-supervised learning.

## 2. Methodology

The methodology involved pre-training a ResNet18 model on a self-supervised rotation prediction task with three different rotation granularities: 4, 6, and 8 directions.

Following the self-supervised pretraining, two downstream tasks were performed:

- Fine-tuning the pre-trained model on the CIFAR10 classification task.
- Training the same architecture from scratch (random initialization) on CIFAR10 for comparison.

Each model's performance was

evaluated based on test accuracy, providing insights into the efficacy of self-supervised learning with varying rotation counts.

#### 3. Results

The test accuracy results for each experiment type and rotation count were as follows:

# Self-Supervised Rotation Model:

The highest accuracy was achieved with 6 rotations (85.17%), followed by 4 rotations (79.18%) and 8 rotations (79.87%).

## Fine-tuning Pre-trained Model:

The 4 rotations scenario led to the highest accuracy (63.38%), with 8 rotations trailing closely behind (60.46%), and 6 rotations showing a noticeable drop (55.77%).

- Fine-tuning Randomly Initialized
   Model: All three rotation counts
   resulted in similar accuracies,
   hovering around 46%.
- Supervised Pre-trained Model: The models pre-trained with 4 and 8 rotations achieved similar accuracies (84.64% and 84.58%, respectively), while 6 rotations resulted in a slightly lower accuracy (84.05%).

Supervised Randomly Initialized Model: The accuracies were relatively high across all rotation counts, with 4 rotations at 83.50%, 6 rotations at 82.83%, and 8 rotations at 83.10%.

## 4. Analysis Interpretation

The varying rotation counts in the selfsupervised pre-training phase exhibited distinct impacts on the model's performance during fine-tuning.

A higher rotation count did not necessarily translate to better performance, as seen with the 6 rotations count, which, despite having the highest accuracy in the self-supervised task, did not perform as well in the fine-tuning stage.

The results suggest that the complexity of the self-supervised task needs to be carefully balanced to ensure that the learned features are beneficial and generalizable to the downstream task.

#### 5. Conclusions

The investigation into the effects of rotation count in self-supervised learning revealed that more complex self-supervised tasks do not always yield better downstream performance.

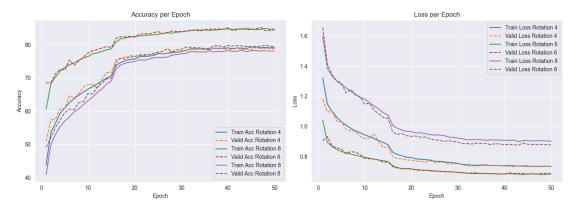
Specifically, the 4 rotations count provided the most effective transfer learning for fine-tuning, indicating that an optimal level of task difficulty might exist for self-supervised pre-training.

These findings underscore the importance of task design in self-supervised learning and its subsequent influence on supervised tasks.

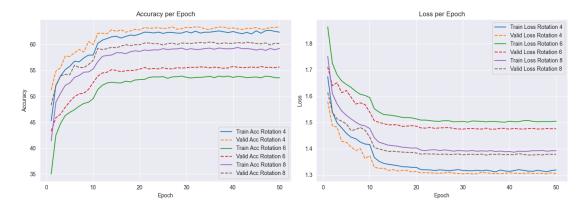
## 6. Appendices

The appendices would include detailed figures and tables depicting the accuracy results for each experiment type and rotation count.

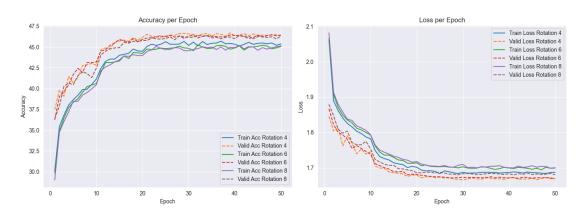
Additional analyses, such as the perclass accuracies, would provide deeper insights into the model's performance.



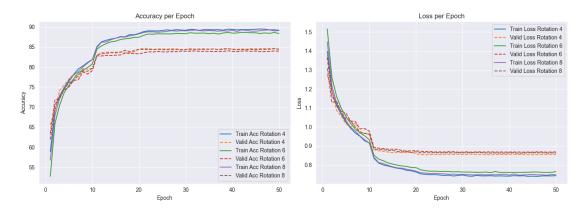
^ Figure 1: Self-Supervised Learning



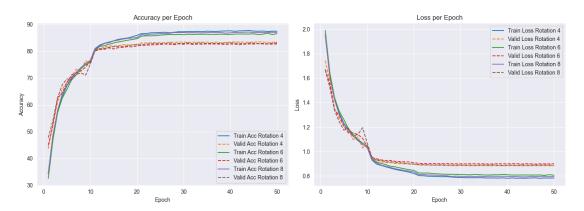
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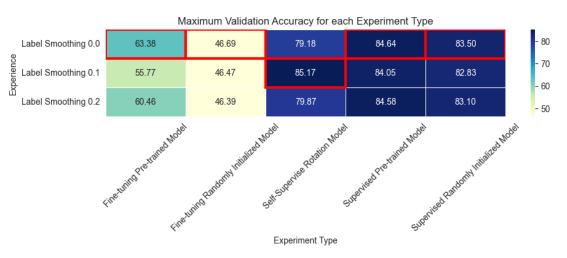
^ Figure 3: Fine-tuning Randomly Initialized Model



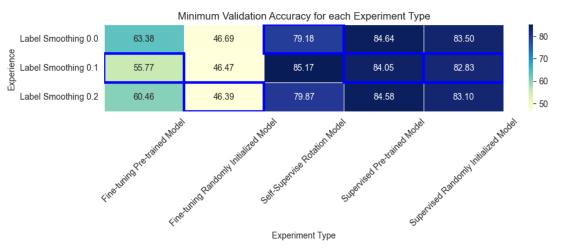
^ Figure 4: Supervised Learning with Pre-trained Model



^ Figure 5: Supervised Learning with Randomly Initialized Model



^ Figure 6a: Table for validation accuracy



^ Figure 6b: Table for validation accuracy

## References

[1] OpenAI. (2023). ChatGPT [Large language model]. <a href="https://chat.openai.com">https://chat.openai.com</a>

[2] Feng, Z., Xu, C., & Tao, D. (2019). Self-Supervised Representation Learning by Rotation Feature Decoupling. In Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition (CVPR) (pp. 10364-10373)