

# 1 Keywords

**Theorem 1.1.** Any two ~~unique~~ <sup>distinct</sup> keywords  $w$  and  $x$  s.t.  $w = w_1 w_2 \dots w_n$ ,  $x = x_1 x_2 \dots x_n$  in a binary word list must be of the form  $w_i = x_i$ ;  $i \in \{2, 3, \dots, n\}$ .

*Proof.* Let  $A$  be a word list with unique keywords  $w, x$ , s.t.  $w = w_1 w_2 \dots w_n$ , and  $x = x_1 x_2 \dots x_n$  where  $w_i, x_i, y_i \in \{0, 1\}$ .

By definition of keyword,  $\forall a \in A, \forall a' \subset a, \forall w' \subset w$  s.t.  $e \in \{0, 1\}$ , if  $a'$  and  $w'$  are the same length, then  $||a'| - |w'|| \leq 1$ . This property is the same for  $x$ . Therefore, the following words are all contained in  $A$ .

$$w_2 w_3 \dots w_n 0$$

$$w_2 w_3 \dots w_n 1$$

$$x_2 x_3 \dots x_n 0$$

$$x_2 x_3 \dots x_n 1$$

Note that if  $w_n \neq x_n$  then we get either case

$$w_2 w_3 \dots w_{n-1} 00$$

$$w_2 w_3 \dots w_{n-1} 10$$

$$w_2 w_3 \dots w_{n-1} 01$$

$$w_2 w_3 \dots w_{n-1} 11$$

$$x_2 x_3 \dots x_{n-1} 10$$

or

$$x_2 x_3 \dots x_{n-1} 00$$

$$x_2 x_3 \dots x_{n-1} 11$$

$$x_2 x_3 \dots x_{n-1} 01$$

In either case,  $w$  and  $x$  would violate the definition of a keyword because  $|11| - |00| = 2 > 1$ . Therefore  $w_n = x_n$ .

Assume that

$$w_n = x_n$$

$$w_{n-1} = x_{n-1}$$

$$\vdots$$

$$w_{n-k} = x_{n-k}$$

For convenience, let  $e_i = w_{n-i}$ ;  $i \in \{2, \dots, k\}$ . Note that if  $w_{n-k-1} \neq x_{n-k-1}$  then we get either case

$$w_2 w_3 \dots w_{n-k-2} 0 e_i 0$$

$$w_2 w_3 \dots w_{n-k-2} 1 e_i 0$$

$$w_2 w_3 \dots w_{n-k-2} 0 e_i 1$$

$$w_2 w_3 \dots w_{n-k-2} 1 e_i 1$$

$$x_2 x_3 \dots x_{n-k-2} 1 e_i 0$$

or

$$x_2 x_3 \dots x_{n-k-2} 0 e_i 0$$

$$x_2 x_3 \dots x_{n-k-2} 1 e_i 1$$

$$x_2 x_3 \dots x_{n-k-2} 0 e_i 1$$

In either case,  $w$  and  $x$  would violate the definition of a keyword because  $|1e_i 1| - |0e_i 0| = 2 > 1$ . Therefore  $w_{n-k} = x_{n-k}$ , and by induction

$$w_i = x_i; i \in \{2, 3, \dots, n\}$$

□

**Lemma 1.2.** Any word list has at most two keywords.

*Proof.* Let  $A$  be a word list of keywords  $w$ ,  $x$  and  $y$  s.t.  $w = w_1w_2...w_n$ ,  $x = x_1x_2...x_n$  and  $y = y_1y_2...y_n$ . Then by theorem 1.1

$$x_i = w_i = y_i; i \in \{2, 3, \dots, n\}$$

Since this is a binary language, there are only two possible values for the first letter. Hence, it is impossible for  $w_1$ ,  $x_1$ , and  $y_1$  to all be unique. At least two of the letters must be the same.

Therefore  $w = x$  or  $x = y$  or  $y = w$ . Therefore  $A$  must have at most two keywords.  $\square$

**Lemma 1.3.** If a word list has two unique keywords  $w$ , and  $x$  s.t.  $w = w_1w_2...w_n$ ,  $x = x_1x_2...x_n$ , then the keywords are of the form  $0w_2w_3...w_n$  and  $1w_2w_3...w_n$ . Hence the largest balanced subsets of  $\{w0, w1, x0, x1\}$  are  $\{w0, w1, x0\}$  and  $\{w1, x0, x1\}$  where  $w = 0w_2w_3...w_n$  and  $x = 1w_2w_3...w_n$ .

Given 2 distinct keywords  $x, w$

*Proof.* Let  $A$  be a word list with two unique keywords  $w$ , and  $x$  s.t.  $w = w_1w_2...w_n$ ,  $x = x_1x_2...x_n$ . By theorem 1.1

$$x_i = w_i = y_i; i \in \{2, 3, \dots, n\}$$

Therefore, to maintain uniqueness,  $w_1 \neq x_1$ . Because this is a binary language, either  $w_1 = 0, x_1 = 1$  or  $w_1 = 1, x_1 = 0$ .  $\square$

→ This leads splitting

**Theorem 1.4.** If a word list has two keywords  $0w$  and  $1w$  then  $w$  is a palindrome.

*Proof.* Let  $0w$  and  $1w$  be two keywords of a word list,  $A$ . Then by theorem 1.1, the following words are in  $A$ .

by def'n of keyword

$$\left\{ \begin{array}{l} 0w \\ 1w \\ w0 \\ w1 \end{array} \right\}$$

mistake

Let's represent the characters of  $w$  as  $w = w_1...w_n$ . For sake of contradiction, assume that  $w_1 \neq w_n$ . Then either case of words would be in  $A$

In either case the set of words in  $A$  would be ...  
OR  
...

Where did the cases come from?

$$\left\{ \begin{array}{l} 00w_2...w_{n-1}1 \\ 10w_2...w_{n-1}1 \\ 0w_1...w_{n-2}10 \\ 0w_1...w_{n-2}11 \end{array} \right\}$$

$$\left\{ \begin{array}{l} 01w_2...w_{n-1}0 \\ 11w_2...w_{n-1}1 \\ 1w_1...w_{n-2}00 \\ 1w_1...w_{n-2}01 \end{array} \right\}$$

Cases are  $w_1=0, w_n=1$

$w_1=1, w_n=0$

spell this all out, this — and this —  
are not balanced but in A.  
→ contradiction

In either case, there exist subwords 00 and 11 which would result in an unbalanced word list. Therefore  $w_1 = w_n$ . Assume for some  $k$  that  $w_i = w_{n-i}$ ;  $0 \leq i \leq k$ . For sake of contradiction, also assume that  $w_{i+1} \neq w_{n-i}$ . Then either case of words would be in A.

what are the cases

adjust subscripts

$0w_0 \dots w_i 0 \dots 1w_{n-i} \dots w_n$   
 $1w_0 \dots w_i 0 \dots 1w_{n-i} \dots w_n$   
 $w_0 \dots w_i 0 \dots 1w_{n-i} \dots w_n 0$   
 $w_0 \dots w_i 0 \dots 1w_{n-i} \dots w_n 1$

$0w_0 \dots w_i 1 \dots 0w_{n-i} \dots w_n$   
 $1w_0 \dots w_i 1 \dots 0w_{n-i} \dots w_n$   
 $w_0 \dots w_i 1 \dots 0w_{n-i} \dots w_n 0$   
 $w_0 \dots w_i 1 \dots 0w_{n-i} \dots w_n 1$

$w_i = w_{n-i+1}$

name the sets

In the left case  $0w_0 \dots w_i 0$  is out of balance with  $1w_{n-i} \dots w_n 1$ . In the right case  $1w_0 \dots w_i 1$  is out of balance with  $0w_{n-i} \dots w_n 0$ . Hence,  $w_{n-k-1} = w_{k+1}$ . Therefore, through induction,  $w = \bar{w}$ .  $\square$

the # of gen. words is  $n+2$ .

**Lemma 1.5.** Any word list can have at most two children, implicating the tree of word lists is binary.

*Proof.* Let  $A$  be a word list of  $n$  words, two of which are keywords, and  $\forall a \in A$  the length of  $a$  is  $n-1$ . Then  $|\bar{C}(A)| = n+2$ , with  $\forall a \in \bar{C}(A)$  the length of  $a$  is  $n$ . Hence  $\bar{C}(A)$  can only produce two ~~unique~~ <sup>size</sup> lists with ~~complexity~~ <sup>size</sup>  $n+1$ .

If  $A$  instead has only one keyword, Then  $|\bar{C}(A)| = n+1$ . Hence  $\bar{C}(A)$  can only produce one unique list of ~~complexity~~ <sup>size</sup>  $n+1$ .  $\square$

\* distinct balanced lists