

JUNOS® OS EVOLVED OPENSSL CRYPTOGRAPHIC MODULE VERSION 3.0.8

FIPS 140-3 NON-PROPRIETARY SECURITY POLICY
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1 General

1.1 Overview

This document is the non-proprietary FIPS 140-3 Security Policy for version 3.0.8 of the Junos® OS Evolved OpenSSL Cryptographic Module. It contains the security rules under which the module must operate and describes how this module meets the requirements as specified in FIPS PUB 140-3 (Federal Information Processing Standards Publication 140-3) for a Security Level 1 software module. It has a one-to-one mapping to the [SP800-140B] starting with section B.2.1 named “General” that maps to section 1 in this document and ending with section B.2.12 named “Mitigation of other attacks” that maps to section 12 in this document.

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1.2 How this Security Policy was Prepared

The vendor has provided the non-proprietary Security Policy of the cryptographic module, which was further consolidated into this document by atsec information security together with other vendor-supplied documentation. In preparing the Security Policy document, the laboratory formatted the vendor-supplied documentation for consolidation without altering the technical statements therein contained. The further refining of the Security Policy document was conducted iteratively throughout the conformance testing, wherein the Security Policy was submitted to the vendor, who would then edit, modify, and add technical contents. The vendor would also supply additional documentation, which the laboratory formatted into the existing Security Policy, and resubmitted to the vendor for their final editing.

1.3 Security Levels

The following sections describe the cryptographic module and how it conforms to the FIPS 140-3 specification in each of the required areas.

Table 1 - Security Levels

| ISO/IEC 24759 Section 6. FIPS 140-3 Section Title [Number Below] | Security Level |
|---|----------------|
| 1 General | 1 |
| 2 Cryptographic Module Specification | 1 |
| 3 Cryptographic Module Interfaces | 1 |
| 4 Roles, Services, and Authentication | 1 |
| 5 Software/Firmware Security | 1 |
| 6 Operational Environment | 1 |
| 7 Physical Security | N/A |

| | | |
|-----------|---|-----|
| 8 | Non-invasive Security | N/A |
| 9 | Sensitive Security Parameter Management | 1 |
| 10 | Self-tests | 1 |
| 11 | Life-cycle Assurance | 1 |
| 12 | Mitigation of Other Attacks | 1 |

2 Cryptographic Module Specification

2.1 Module Embodiment

The Junos® OS Evolved OpenSSL Cryptographic Module (hereafter referred to as “the module”) is defined as a software module in a multi-chip standalone embodiment. It provides a C language application program interface (API) for use by other applications that require cryptographic functionality. The module consists of one software component, the “FIPS provider” (i.e. fips.so), which implements the FIPS requirements and the cryptographic functionality provided to the operator.

2.2 Tested Operational Environments

The module has been tested on the following platforms with the corresponding module variants and configuration options:

Table 2 - Tested Operational Environments

| # | Operating System | Hardware Platform | Processor | PAA/Acceleration | Module Version Tested |
|---|------------------------|---|-----------------------|------------------------------|-----------------------|
| 1 | Junos® OS Evolved 22.4 | Juniper Networks® Packet Transport Router Model PTX10001-36MR | Intel® Xeon® D-21631T | AES-NI, SHA Extensions (PAA) | 3.0.8 |

2.3 Approved Algorithms

Table 3 lists all the approved security functions of the module, including specific key strengths employed for approved services.

Table 3 - Approved Algorithms

| CAVP Cert | Algorithm and Standard | Mode / Method | Description / Key Size(s) / Key Use Strength(s) | |
|---|----------------------------|---|---|-------------------------------------|
| #A4246, #A4247, #A4248, #A4249 | SHS [FIPS180-4] | SHA-1, SHA2-224, SHA2-256, SHA2-384, SHA2-512, SHA2-512/224, SHA2-512/256 | N/A | Message Digest |
| #A4236 | SHA-3 [FIPS202] | SHA3-224, SHA3-256, SHA3-384, SHA3-512 | N/A | Message Digest |
| #A4236 | SHA-3 [FIPS202] | SHAKE-128, SHAKE-256 | N/A | XOF |
| #A4236 | KMAC [SP800-185] | KMAC-128, KMAC-256 | 128, 256 bits with 128, 256 bits of security strength | MAC Generation and Verification |
| #A4229, #A4230, #A4231 | AES [FIPS197], [SP800-38A] | CBC, CTR, CFB1, CFB8, CFB128, OFB, CBC-CTS-CS1, CBC-CTS-CS2, CBC-CTS-CS3 | 128, 192, 256 bits with 128, 192, 256 bits of security strength | Symmetric Encryption and Decryption |
| #A4229, #A4230, #A4231 | AES [FIPS197], [SP800-38B] | CMAC | 128, 192, 256 bits with 128, 192, 256 bits of security strength | MAC Generation and Verification |

| CAVP Cert | Algorithm and Standard | Mode / Method | Description / Key Size(s) / Key Use Strength(s) | |
|--|----------------------------|--|---|---|
| | AES [FIPS197], [SP800-38C] | CCM | 128, 192, 256 bits with 128, 192, 256 bits of security strength | Symmetric Encryption and Decryption |
| #A4229, #A4230, #A4231, #A4232, #A4233, #A4234, #A4235 | AES [FIPS197], [SP800-38A] | ECB | 128, 192, 256 bits with 128, 192, 256 bits of security strength | Symmetric Encryption and Decryption |
| #A4237, #A4238, #A4239, #A4240, #A4241, #A4242, #A4243 | AES [FIPS197], [SP800-38D] | GCM (external IV) | 128, 192, 256 bits with 128, 192, 256 bits of security strength | Symmetric Decryption |
| #A4244, #A4245 | AES [FIPS197], [SP800-38D] | GCM (internal IV) | 128, 192, 256 bits with 128, 192, 256 bits of security strength | Symmetric Encryption |
| #A4229, #A4230, #A4231 | AES [FIPS197], [SP800-38E] | GMAC | 128, 192, 256 bits with 128, 192, 256 bits of security strength | MAC Generation MAC Verification |
| #A4229, #A4230, #A4231 | AES [FIPS197], [SP800-38E] | XTS | 128, 256 bits with 128, 256 bits of security strength | Symmetric Encryption and Decryption for Data Storage |
| #A4246, #A4247, #A4248, #A4249 | AES [FIPS197], [SP800-38F] | KW , KWP (KTS) | 128, 192, 256 bits with 128, 192, 256 bits of security strength | Key Wrapping and Unwrapping |
| #A4246, #A4247, #A4248, #A4249 | HMAC [FIPS198-1] | SHA-1, SHA2-224, SHA2-384, SHA2-512, SHA2-512/224, SHA2-512/256 | 112-524288 bits with 112 to 256 bits of security strength | MAC Generation MAC Verification |
| #A4236 | | SHA3-224, SHA3-256, SHA3-384, SHA3-512 | | |
| #A4246, #A4247, #A4248, #A4249 | | SHA2-256 | | MAC Generation MAC Verification Integrity Check |
| #A4250 | KBKDF [SP 800-108r1] | Counter and feedback mode, using CMAC and HMAC SHA-1, SHA2-224, SHA2-256, SHA2-384, SHA2-512, SHA2-512/224, SHA2-512/256, SHA3-224, SHA3-256, SHA3-384, SHA3-512 | 112-4096 bits with 112 to 256 bits of security strength | KBKDF Key Derivation |
| #A4224 | KDA OneStep ¹ | (HMAC) SHA-1, SHA2-224, SHA2-256, SHA2-384, | 224 to 8192 bits with 112 to 256 bits of security strength | KDA OneStep Key Derivation |

¹This algorithm is referred to as "Single Step KDF" or "SSKDF" by OpenSSL.

| CAVP Cert | Algorithm and Standard | Mode / Method | Description / Key Size(s) / Key Use Strength(s) | |
|---|--------------------------------|---|--|-------------------------------|
| | [SP 800-56Cr2] | SHA2-512, SHA2-512/224, SHA2-512/256, SHA3-224, SHA3-256, SHA3-384, SHA3-512 KMAC-128, KMAC-256 | | |
| #A4228 | KDA HKDF [SP 800-56Cr2] | HMAC with SHA-1, SHA2-224, SHA2-256, SHA2-384, SHA2-512, SHA2-512/224, SHA2-512/256, SHA3-224, SHA3-256, SHA3-384 | 224 to 8192 bits with 112 to 256 bits of security strength | HKDF Key Derivation |
| CVL #A4236, #A4246, #A4247, #A4248, #A4249 | ANS 9.42 KDF [SP 800-135r1] | ANS 9.42 KDF AES KW with SHA-1, SHA2-224, SHA2-256, SHA2-384, SHA2-512, SHA2-512/224, SHA2-512/256, SHA3-224, SHA3-256, SHA3-384, SHA3-512 | 224 to 8192 bits with 112 to 256 bits of security strength | ANS X9.42 KDF Key Derivation |
| CVL #A4246, #A4247, #A4248, #A4249 | ANS 9.63 KDF [SP 800-135r1] | ANS 9.63 KDF SHA2-224, SHA2-256, SHA2-384, SHA2-512 | 224 to 8192 bits with 112 to 256 bits of security strength | ANS 9.63 KDF Key Derivation |
| CVL #A4232, #A4233, #A4234, #A4235 | SSH KDF [SP 800-135r1] | SSH KDF SHA-1, SHA2-256, SHA2-384, SHA2-512 | 224 to 8192 bits with 112 to 256 bits of security strength | SSH KDF Key Derivation |
| CVL #A4246, #A4247, #A4248, #A4249 | TLS 1.2 KDF [SP 800-135r1] | TLS v1.2 KDF with SHA2-256, SHA2-384, SHA2-512 | 224 to 8192 bits with 112 to 256 bits of security strength | TLS 1.2 KDF Key Derivation |
| CVL #A4228 | TLS 1.3 KDF [RFC 8446] | TLS v1.3 KDF with SHA2-256, SHA2-384 | 224 to 8192 bits with 112 to 256 bits of security strength | TLS 1.3 KDF Key Derivation |
| #A4236, #A4246, #A4247, #A4248, #A4249 | PBKDF2 [SP 800-132] | Option 1a with SHA-1, SHA2-224, SHA2-256, SHA2-384, SHA2-512, SHA2-512/224, SHA2-512/256, SHA3-224, SHA3-256, SHA3-384, SHA3-512 | N/A | Password-based Key Derivation |
| #A4251 | KAS-FFC-SSC [SP 800-56Ar3] | dhEphem (initiator/responder) | MODP-2048, MODP-3072, MODP-4096, MODP-6144, MODP-8192, ffdhe2048, ffdhe3072, ffdhe4096, ffdhe6144, ffdhe8192 with 112 to 200 bits of security strength | DH shared secret Computation |

| CAVP Cert | Algorithm and Standard | Mode / Method | Description / Key Size(s) / Key Use Strength(s) | |
|---|--|---|--|--------------------------------|
| #A4227, #A4246, #A4247, #A4248, #A4249 | KAS-ECC-SSC [SP 800-56Ar3] | Ephemeral Unified Model (initiator/responder) | B-233, B-283, B-409, B-571, K-233, K-283, K-409, K-571, P-224, P-256, P-384, P-521 with 112, 128, 192, 256 bits of security strength | ECDH shared secret Computation |
| #A4246, #A4247, #A4248, #A4249 | RSA [FIPS186-4] | B.3.6 Probable Primes | 2048-15360 bits with 112 to 256 bits of security strength | Key Pair Generation |
| Modulus sizes other than 1024, 2048, 3072 and 4096 bits are not CAVP tested but approved per IG C.F | | PKCS#1v1.5: SHA2-224, SHA2-256, SHA2-384, SHA2-512, SHA2-512/224, SHA2-512/256 | 2048-16384 bits with 112 to 256 bits of security strength | Digital Signature Generation |
| | | PSS: SHA2-224, SHA2-256, SHA2-384, SHA2-512, SHA2-512/224, SHA2-512/256 | 1024-16384 bits with 80 to 256 bits of security strength | Digital Signature Verification |
| #A4225, #A4246, #A4247, #A4248, #A4249 | ECDSA [FIPS186-4] | PKCS#1v1.5: SHA-1, SHA2-224, SHA2-256, SHA2-384, SHA2-512, SHA2-512/224, SHA2-512/256 | 1024-16384 bits with 80 to 256 bits of security strength | Digital Signature Verification |
| | | PSS: SHA-1, SHA2-224, SHA2-256, SHA2-384, SHA2-512, SHA2-512/224, SHA2-512/256 | 1024-16384 bits with 80 to 256 bits of security strength | Digital Signature Verification |
| #A4225, #A4226, #A4236, #A4246, #A4247, #A4248, #A4249 | FIPS 186-4 Appendix B.4.2 Testing Candidates | SHA2-224, SHA2-256, SHA2-384, SHA2-512, SHA2-512/224, SHA2-512/256, SHA3-224, SHA3-256, SHA3-384, SHA3-512 | B-233, B-283, B-409, B-571, K-233, K-283, K-409, K-571, P-224, P-256, P-384, P-521 with 112, 128, 192, 256 bits of security strength | Key Pair Generation |
| #A4225, #A4226, #A4236, #A4246, #A4247, #A4248, #A4249 | | SHA-1, SHA2-224, SHA2-256, SHA2-384, SHA2-512, SHA2-512/224, SHA2-512/256, SHA3-224, SHA3-256, SHA3-384, SHA3-512 | B-233, B-283, B-409, B-571, K-233, K-283, K-409, K-571, P-224, P-256, P-384, P-521 with 112, 128, 192, 256 bits of security strength | Key Pair Verification |
| #A4251 | Safe primes [SP 800-56Ar3] | [SP 800-56Ar3] Section 5.6.1.4 Testing Candidates | MODP-2048, MODP-3072, MODP-4096, MODP-6144, MODP-8192, ffdhe2048, ffdhe3072, ffdhe4096, ffdhe6144, ffdhe8192 with | Key Pair Generation |
| | Safe primes [SP 800-56Ar3] | [SP 800-56Ar3] Sections 5.6.2.1.2 and 5.6.2.1.4 | ffdhe8192 with | Key pair Verification |

| CAVP Cert | Algorithm and Standard | Mode / Method | Description / Key Size(s) / Key Use Strength(s) | |
|----------------------------|------------------------------------|--|--|--------------------------------------|
| | | | | 112 to 200 bits of security strength |
| Vendor Affirmed | CKG [SP 800-133r2 Section 4] | Safe primes | MODP-2048, MODP-3072, MODP-4096, MODP-6144, MODP-8192, ffdhe2048, ffdhe3072, ffdhe4096, ffdhe6144, ffdhe8192 with 112 to 200 bits of security strength | Key Pair Generation |
| | | RSA | 2048-15360 bits with 112 to 256 bits of security strength | |
| | | ECDSA | B-233, B-283, B-409, B-571, K-233, K-283, K-409, K-571, P-224, P-256, P-384, P-521 with 112, 128, 192, 256 bits of security strength | |
| | RSA [FIPS 186-4] | PKCS#1v1.5: SHA3-224, SHA3-256, SHA3-384, SHA3-512 | 2048-16384 bits with 112 to 256 bits of security strength | Signature Generation |
| | | PSS: SHA3-224, SHA3-256, SHA3-384, SHA3-512 | | |
| | | PKCS#1v1.5: SHA3-224, SHA3-256, SHA3-384, SHA3-512 | 1024-16384 bits with 80 to 256 bits of security strength | Signature Verification |
| | | PSS: SHA3-224, SHA3-256, SHA3-384, SHA3-512 | | |
| Kernel Bound Module | | | | |
| #A3599 | Hash_DRBG | SHA-1, SHA2-256, SHA2-512 | N/A | Random Number Generation |
| #A3600 | [SP 800-90Ar1] | with/without PR | | |
| #A3601 | | | | |
| #A3603 | | | | |
| #A3604 | | | | |
| #A3605 | | | | |
| #A3599 | HMAC_DRBG | SHA-1, SHA2-256, SHA2-512 | N/A | |
| #A3600 | [SP 800-90Ar1] | with/without PR | | |
| #A3601 | | | | |
| #A3603 | | | | |
| #A3604 | | | | |
| #A3605 | | | | |
| #A3599 | CTR_DRBG | AES-128, | 128, 192, 256 bits with 128, | |
| #A3600 | [SP 800-90Ar1] | AES-192, | 192 and 256 bits of security | |
| #A3601 | | AES-256 | strength | |
| #A3602 | | with DF, with/without PR | | |

2.4 Non-Approved Algorithms Allowed in the Approved Mode of Operation

The module does not implement non-approved algorithms that are allowed in the approved mode of operation.

2.5 Non-Approved Algorithms Allowed in the Approved Mode of Operation with No Security Claimed

The module does not implement non-approved algorithms allowed in the approved mode of operation with no security claimed.

2.6 Non-Approved Algorithms Not Allowed in the Approved Mode of Operation

Table 4 - Non-Approved Algorithms Not Allowed in the Approved Mode of Operation

| Algorithm / Functions | Use / Function |
|-----------------------|----------------------|
| AES GCM (external IV) | Symmetric Encryption |

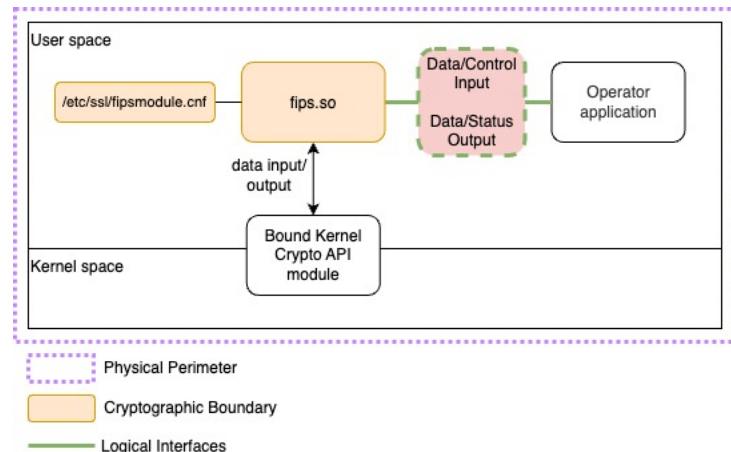
2.7 Module Design and Components

Figure 1 shows a block diagram that represents the design of the module when the module is operational and providing services to other user space applications. In this diagram, the physical perimeter of the operational environment (a general-purpose computer on which the module is installed) is indicated by a purple dashed line. The cryptographic boundary is represented by a shared library implementing the FIPS provider (fips.so) along with its HMAC value which resides within a configuration file called /etc/ssl/fipsmodule.cnf.

Green lines indicate the flow of data between the cryptographic module and its operator application, through the logical interfaces defined in Section 3.

Components in white are only included in the diagram for informational purposes. They are not included in the cryptographic boundary (and therefore not part of the module's validation). For

Figure 1 - Software Block Diagram



example, the kernel is responsible for managing system calls issued by the module itself, as well as other applications using the module for cryptographic services.

The module also uses the Junos OS Evolved Kernel Cryptographic Module Version 2.0 as a bound module (also referred to as “the bound Kernel Crypto API module”) for performing random number generation, relying on the Kernel DRBG. The Junos OS Evolved Kernel Cryptographic Module Version 2.0 is a FIPS 140-3 validated module with certificate #4776.

2.8 Rules of Operation

In the operational state, the module accepts service requests from calling applications through its logical interfaces. At any point in the operational state, a calling application can end its process, thus causing the module to end its operation.

The module supports two modes of operation:

- The approved mode of operation, in which the approved or vendor affirmed services are available as specified in Table 7.
- The non-approved mode of operation, in which the non-approved services are available.

3 Cryptographic Module Interfaces

All data output via data output interface is inhibited when the module is performing pre-operational test or zeroization or when the module enters error state.

Table 5 summarizes the logical interfaces:

Table 5 - Ports and Interfaces

| Physical Ports | Logical Interface | Data that passes over port/interface |
|--|----------------------|--------------------------------------|
| As a software-only module, the module does not have physical ports. The operator can only interact with the module through the API provided by the module. Thus, the physical ports are interpreted to be the physical ports of the hardware platform on which the module runs | Data Input | API input parameters |
| | Data Output | API output parameters |
| | Control Input | API function calls |
| | Status Output | API return codes, error messages |
| | Power Input | N/A |

The module does not implement a control output interface.

4 Roles, Services and Authentication

4.1 Roles

The module supports the Crypto Officer role only. This sole role is implicitly and always assumed by the operator of the module. No support is provided for a maintenance role.

Table 6 lists the roles supported by the module with corresponding services with input and output parameters.

Table 6 - Role, Service Commands, Input and Output

| Role | Service | Input | Output |
|----------------|--------------------------------|--|---------------------------|
| Crypto Officer | Message Digest | Message | Digest value |
| | XOF | Message, output length | Digest value |
| | Symmetric Encryption | Plaintext, AES key | Ciphertext |
| | Symmetric Decryption | Ciphertext, AES key | Plaintext |
| | MAC Generation | Message, AES key, KMAC, or HMAC key | MAC tag |
| | MAC Verification | Message, AES key, KMAC, or HMAC key, MAC tag | Pass/fail |
| | KBKDF Key Derivation | Key-derivation key | KBKDF derived key |
| | KDA OneStep Key Derivation | DH or ECDH shared secret | KDA OneStep derived key |
| | HKDF Key Derivation | DH or ECDH shared secret | HKDF derived key |
| | ANS X9.42 KDF Key Derivation | DH or ECDH shared secret | ANS X9.42 KDF derived key |
| | ANS X9.63 KDF Key Derivation | DH or ECDH shared secret | ANS X9.63 KDF derived key |
| | SSH KDF Key Derivation | DH or ECDH shared secret | SSH KDF derived key |
| | TLS 1.2 KDF Key Derivation | DH or ECDH shared secret | TLS 1.2 KDF derived key |
| | TLS 1.3 KDF Key Derivation | DH or ECDH shared secret | TLS 1.3 KDF derived key |
| | Password-based Key Derivation | Password, salt, iteration count | PBKDF2 derived key |
| | Key Unwrapping | Key wrapping key, wrapped key | Unwrapped key |
| | Key Wrapping | Key wrapping key, key to be wrapped | Wrapped key |
| | Random Number Generation | Output length | Random bytes |
| | DH Shared Secret Computation | Owner private key, peer public key | DH shared secret |
| | ECDH Shared Secret Computation | Owner private key, peer public key | ECDH shared secret |

| Role | Service | Input | Output |
|------|------------------------|--------------------------------|---------------------------------|
| | Signature Generation | Message, private key | Signature |
| | Signature Verification | Message, public key, signature | Pass/fail |
| | Key pair Generation | Key size | Key pair |
| | Key pair Verification | Key pair | Pass/fail |
| | Show Version | N/A | Name and version information |
| | Show Status | N/A | Module status |
| | Self-test | N/A | Pass/fail results of self-tests |
| | Zeroization | Any SSP | N/A |

4.2 Authentication

The module does not support authentication for roles.

4.3 Services

The module provides services to operators that assume the available role. All services are described in detail in the API documentation (manual pages). The next tables define the services that utilize approved and non-approved security functions in this module. For the respective tables, the convention below applies when specifying the access permissions (types) that the service has for each SSP.

- **G = Generate:** The module generates or derives the SSP.
- **R = Read:** The SSP is read from the module (e.g., the SSP is output).
- **W = Write:** The SSP is updated, imported, or written to the module.
- **E = Execute:** The module uses the SSP in performing a cryptographic operation.
- **Z = Zeroize:** The module zeroizes the SSP.
- **n/a:** the calling application does not access any SSP or key during its operation.

To interact with the module, a calling application must use the EVP API layer provided by OpenSSL. This layer will delegate the request to the FIPS provider, which will in turn perform the requested service.

Table 7 lists the approved services in this module, the algorithms involved, the Sensitive Security Parameters (SSPs) involved and how they are accessed, and the roles that can request the service. In this table, CO specifies the Crypto Officer role.

Table 7 – Approved Services

| Service | Description | Approved Security Functions | Keys and/or SSPs | Roles | Access rights to Keys and/or SSPs | Indicator |
|---------------------------------------|----------------------------------|---|-----------------------------|-------|-----------------------------------|--|
| Cryptographic Library Services | | | | | | |
| Message Digest | Compute a message digest | SHA-1, SHA2-224, SHA2-256, SHA2-384, SHA2-512, SHA2-512/224, SHA2-512/256, SHA3-224, SHA3-256, SHA3-384, SHA3-512 | N/A | CO | N/A | EVP_Digest* functions will return 0 |
| XOF | Compute the output of an XOF | SHAKE-128, SHAKE-256 | N/A | CO | N/A | EVP_Digest* functions will return 0 |
| Symmetric Encryption | Encrypt a plaintext | AES ECB, CBC, CBC-CTS-CS1, CBC-CTS-CS2, CBC-CTS-CS3, CFB1, CFB8, CFB128, CTR, OFB, CCM , XTS | AES key | CO | W, E | EVP_Encrypt* functions will return 0 |
| Symmetric Encryption (AES-GCM) | Encrypt a plaintext | AES GCM | AES key | CO | W, E | ERR_peek_last_error function returns something different from 0x1C80012C |
| Symmetric Decryption | Decrypt a ciphertext | AES ECB, CBC, CBC-CTS-CS1, CBC-CTS-CS2, CBC-CTS-CS3, CFB1, CFB8, CFB128, CTR, OFB, CCM, GCM, XTS | AES key | CO | W, E | EVP_Decrypt* functions will return 0 |
| Key Wrapping | Perform AES-based key wrapping | AES-KW, AES-KWP | AES key wrapping key | CO | W,E | EVP_Encrypt* functions will return 0 |
| Key Unwrapping | Perform AES-based key unwrapping | AES-KW, AES-KWP | AES key wrapping key | CO | W,E | EVP_Decrypt* functions will return 0 |
| MAC Generation | Compute a MAC tag | AES CMAC AES GMAC KMAC | AES Key, KMAC key, HMAC key | CO | W, E | EVP_MAC* functions will return 0 |

| Service | Description | Approved Security Functions | Keys and/or SSPs | Roles | Access rights to Keys and/or SSPs | Indicator |
|--------------------------------------|--|--|---|-------|-----------------------------------|----------------------------------|
| MAC Verification | Verify a MAC tag | HMAC SHA-1, SHA2-224, SHA2-256, SHA2-384, SHA2-512, SHA2-512/224, SHA2-512/256, SHA3-224, SHA3-256, SHA3-384, SHA3-512 | | | | EVP_MAC* functions will return 0 |
| KBKDF Key Derivation | Derive a key from a key-derivation key | KBKDF | Key-derivation key KBKDF derived key | CO | W, E G, R | EVP_KDF* functions will return 0 |
| KDA OneStep Key Derivation | Derive a key from a shared secret | KDA OneStep | DH shared secret ECDH shared secret KDA OneStep derived key | | W, E W, E G, R | EVP_KDF* functions will return 0 |
| HKDF Key Derivation | Derive a key from a shared secret | HKDF | DH shared secret ECDH shared secret HKDF derived key | | W, E W, E G, R | EVP_KDF* functions will return 0 |
| ANS X9.42 KDF Key Derivation | Derive a key from a shared secret | ANS X 9.42 KDF | DH shared secret ECDH shared secret ANS X9.42 KDF derived key | | W, E W, E G, R | EVP_KDF* functions will return 0 |
| ANS X9.63 KDF Key Derivation | Derive a key from a shared secret | ANS X 9.63 KDF | DH shared secret ECDH shared secret ANS X9.63 KDF derived key | | W, E W, E G, R | EVP_KDF* functions will return 0 |
| SSH KDF Key Derivation | Derive a key from a shared secret | SSH KDF | DH shared secret ECDH shared secret SSH KDF derived key | | W, E W, E G, R | EVP_KDF* functions will return 0 |
| TLS 1.2 KDF Key Derivation | Derive a key from a shared secret | TLS 1.2 KDF | DH shared secret ECDH shared secret TLS 1.2 KDF derived key | | W, E W, E G, R | EVP_KDF* functions will return 0 |
| TLS 1.3 KDF Key Derivation | | TLS 1.3 KDF | DH shared secret ECDH shared secret TLS 1.3 KDF derived key | | W, E W, E G, R | EVP_KDF* functions will return 0 |
| Password-based Key Derivation | Derive a key from a password | PBKDF2 | Password PBKDF2 derived key | CO | W, E G, R | EVP_KDF* functions will return 0 |
| | Compute a shared secret | KAS-FFC-SSC | DH private key (owner), DH public key (peer) | CO | W, E | |

| Service | Description | Approved Security Functions | Keys and/or SSPs | Roles | Access rights to Keys and/or SSPs | Indicator |
|---------------------------------------|-----------------------|---|--|------------------------|---|---|
| DH Shared Secret Computation | | | DH shared secret | | G, R | EVP_PKEY* functions will return 0 |
| ECDH Shared Secret Computation | | KAS-ECC-SSC | EC private key (owner), EC public key (peer) | | W, E | |
| | | | ECDH shared secret | | G, R | |
| Signature Generation | Generate a signature | RSA signature generation (PKCS#1 v1.5 and PSS) ECDSA signature generation | RSA private key EC private key | CO | W, E | EVP_DigestSign* functions will return 0 |
| Signature Verification | Verify a signature | RSA signature verification (PKCS#1 v1.5 and PSS) ECDSA signature verification | RSA public key EC public key | CO | W, E | EVP_DigestVerify* functions will return 0 |
| Key pair Generation | Generate a key pair | CKG Safe primes key pair generation RSA key pair generation ECDSA key pair generation | DH private key, DH public key RSA private key, RSA public key EC private key, EC public key | CO | G, R | EVP_PKEY* will return 0 |
| Key pair Verification | Verify a key pair | Safe primes key pair verification ECDSA key pair verification | DH private key, DH public key EC private key, EC public key | CO | W | EVP_PKEY* will return 0 |
| Random Number Generation | Generate random bytes | CTR_DRBG from Kernel bound module Hash_DRBG from Kernel bound module HMAC_DRBG from Kernel bound module | Entropy input DRBG seed DRBG internal state (V, Key) Entropy input DRBG seed DRBG internal state (V, C) Entropy input DRBG seed DRBG internal state (V, C) | CO CO CO | W, E E, G W, E, G W, E E, G W, E, G W, E E, G W, E, G | RAND_bytes* will return 0 |
| Show version | Return the name and | N/A | None | CO | N/A | N/A |

| Service | Description | Approved Security Functions | Keys and/or SSPs | Roles | Access rights to Keys and/or SSPs | Indicator |
|---------------------|--------------------------------------|--|------------------|-------|-----------------------------------|-----------|
| version information | | | | | | |
| Show status | Return the module status | N/A | None | CO | N/A | N/A |
| Self-test | Perform the CASTs and integrity test | SHA-1, SHA2-512, SHA3-256, SHA3-512, AES ECB, AES GCM, HMAC, KBKDF, ANS X9.42 KDF, ANS X9.63 KDF, SSH KDF, TLS 1.2 KDF, TLS 1.3 KDF, PBKDF2, KAS-FFC-SSC, KAS-ECC-SSC, Diffie-Hellman, RSA (PKCS#1 v1.5) ECDSA | None | CO | N/A | N/A |
| | | See Table 10 for specifics | | | | |
| Zeroization | Zeroize CSPs | N/A | All SSPs | CO | Z | N/A |

Table 8 - Non-Approved Services

| Service | Description | Algorithm Accessed | Roles | Indicator |
|----------------------|---------------------|-----------------------|-------|---|
| Symmetric Encryption | Encrypt a plaintext | AES GCM (external IV) | CO | ERR.Peek.Last.Error() function returns 0x1C80012C |

5 Software/Firmware security

5.1 Integrity Techniques

The integrity of the module is verified by comparing a HMAC SHA2-256 value calculated at run time with the HMAC SHA2-256 value stored in the /etc/ssl/fipsmodule.cnf file that was computed during installation of the module.

5.2 On-Demand Integrity Test

Integrity tests are performed as part of the pre-operational self-tests, which are executed when the module is initialized. The integrity test may be invoked on-demand by unloading and subsequently re-initializing the module, or by calling the OSSL_PROVIDER_self_test function. This will perform (among others) the software integrity test.

6 Operational Environment

6.1 Applicability

The module operates in a modifiable operational environment per FIPS 140-3 level 1 specifications. The module runs on a commercially available general-purpose operating system executing on the hardware specified in Table 2.

6.2 Policy and Requirements

The module shall be installed as stated in Section 11. If properly installed, the operating system provides process isolation and memory protection mechanisms that ensure appropriate separation for memory access among the processes on the system. Each process has control over its own data and uncontrolled access to the data of other processes is prevented.

The module does not support concurrent operators .

The module does not have the capability of loading software or firmware from an external source.

Instrumentation tools like the ptrace system call, gdb and strace utilities, userspace live patching, as well as other tracing mechanisms offered by the Linux environment such as ftrace or systemtap, shall not be used in the operational environment. The use of any of these tools implies that the cryptographic module is running in a non-tested operational environment.

7 Physical Security

The module is comprised of software only, and therefore this section is not applicable.

8 Non-invasive Security

This module does not implement any non-invasive security mechanism and therefore this section is not applicable.

9 Sensitive Security Parameter Management

Table 9 summarizes the Sensitive Security Parameters (SSPs) that are used by the cryptographic services implemented in the module in the approved services (Table 7). Notice that the table does not include SSPs related to the Random Number Generation service, which is implemented in the bound Kernel Crypto API module.

Table 9 - SSPs

| Key/SSP Name/Type | Strength | Security Function and Cert. Number | Generation | Import / Export | Establishment | Storage | Zeroization | Use & Related Keys |
|-------------------|--------------------|--|------------|--|---------------|---------|--------------------------------------|--|
| AES key | 128, 192, 256 bits | AES AES CMAC AES GMAC #A4229, #A4230, #A4231, #A4232, #A4233, #A4234, #A4235, #A4237, #A4238, #A4239, #A4240, #A4241, #A4242, #A4243, #A4244, #A4245 | N/A | Import: CM from TOEPP Path. Passed to the module via API parameters in plaintext (P) format. Export: N/A | N/A | RAM | EVP_CIPHER_CTX_free EVP_MAC_CTX_free | Use: Symmetric Encryption, Decryption, MAC Generation, MAC Verification, Key Wrapping, Key Unwrapping Related SSPs: N/A |
| HMAC key | 112- 256 bits | HMAC #A4236, #A4246, #A4247, #A4248, #A4249 | N/A | Import: CM from TOEPP Path. Passed to the module via API parameters in plaintext (P) format. | N/A | RAM | EVP_MAC_CTX_free | Use: MAC Generation, MAC Verification Related SSPs: N/A |
| KMAC key | 128- 256 bits | KMAC #A4236 | N/A | Import: CM from TOEPP Path. Passed to the module via API parameters in plaintext (P) format. | N/A | RAM | EVP_MAC_CTX_free | Use: MAC Generation, MAC Verification Related SSPs: N/A |

| Key/SSP Name/Type | Strength | Security Function and Cert. Number | Generation | Import / Export | Establishment | Storage | Zeroization | Use & Related Keys |
|--------------------|--------------|---|------------|---|---|---------|-------------------|--|
| Key-derivation key | 112-256 bits | KBKDF #A4250 | N/A | <p>Import: CM from TOEPP Path.</p> <p>Passed to the module via API parameters in plaintext (P) format.</p> | N/A | RAM | EVP_KDF_C TX_free | <p>Use: KBKDF Key Derivation</p> <p>Related SSPs: KBKDF derived key</p> |
| DH shared secret | 112-256 bits | KAS-FFC-SSC KDA OneStep HKDF ANS 9.42 KDF ANS 9.63 KDF SSH KDF TLS 1.2 KDF TLS 1.3 KDF #A4224, #A4227, #A4228, #A4232, #A4233, #A4234, #A4235, #A4236, #A4246, #A4247, #A4248, #A4249, #A4251 | N/A | <p>Import: CM from TOEPP Path.</p> <p>Passed to the module via API parameters in plaintext (P) format.</p> <p>Export: CM to TOEPP Path.</p> <p>Passed from the module via API parameters in plaintext (P) format.</p> | <p>Compute d during DH shared secret computation on per [SP800-56Ar3]</p> | RAM | EVP_KDF_C TX_free | <p>Use: DH Shared Secret Computation, KDA OneStep Key Derivation, HKDF Key Derivation, ANS X9.42 KDF Key Derivation, ANS X9.63 KDF Key Derivation, SSH KDF Key Derivation, TLS 1.2 KDF Key Derivation, TLS 1.3 KDF Key Derivation</p> <p>Related SSPs: DH private key, DH public key, KDA OneStep derived key, HKDF derived key, ANS X9.42 KDF derived key, ANS X9.63 KDF derived key, SSH KDF derived key, TLS 1.2 KDF derived key, TLS 1.3 KDF derived key</p> |
| Export: N/A | | | | | | | | |

| Key/SSP Name/Ty pe | Stren gth | Security Function and Cert. Number | Generation | Import / Export | Establish ment | Stora ge | Zeroization | Use & Related Keys |
|---------------------------|--------------|---|------------|--|--|----------|-------------------|---|
| ECDH shared secret | 112-256 bits | KAS-ECC-SSC KDA OneStep HKDF ANS 9.42 KDF ANS 9.63 KDF SSH KDF TLS 1.2 KDF TLS 1.3 KDF #A4224, #A4227, #A4228, #A4232, #A4233, #A4234, #A4235, #A4236, #A4246, #A4247, #A4248, #A4249, #A4251 | N/A | Import: CM from TOEPP Path. Passed to the module via API parameters in plaintext (P) format. Export: CM to TOEPP Path. Passed from the module via API parameters in plaintext (P) format. | Compute d during ECDH shared secret computation. | RAM | EVP_KDF_C TX_free | Use: ECDH Shared Secret Computation, KDA OneStep Key Derivation, HKDF Key Derivation, ANS X9.42 KDF Key Derivation, ANS X9.63 KDF Key Derivation, SSH KDF Key Derivation, TLS 1.2 KDF Key Derivation, TLS 1.3 KDF Key Derivation Related SSPs: ECDH private key, ECDH public key, KDA OneStep derived key, HKDF derived key, ANS X9.42 KDF derived key, ANS X9.63 KDF derived key, SSH KDF derived key, TLS 1.2 KDF derived key, TLS 1.3 KDF derived key |
| Password | N/A | PBKDF2 #A4236, #A4246, #A4247, #A4248, #A4249 | N/A | Import: CM from TOEPP Path. Passed to the module via API parameters in plaintext (P) format. | MD/EE | RAM | EVP_KDF_C TX_free | Use: Password-based Key Derivation Related SSPs: PBKDF2 derived key Export: N/A |

| Key/SSP Name/Type | Strength | Security Function and Cert. Number | Generation | Import / Export | Establishment | Storage | Zeroization | Use & Related Keys |
|---------------------------|--------------|--|-----------------------------|--|---------------|---------|-------------------|---|
| KBKDF derived key | 112-256 bits | KBKDF #A4250 | [SP 800-133r2], Section 6.2 | Import: N/A Export: CM to TOEPP Path. Passed from the module via API parameters in plaintext (P) format. | N/A | RAM | EVP_KDF_C TX_free | Use: KBKDF Key Derivation Related SSPs: Key-derivation key |
| KDA OneStep derived key | 112-256 bits | KDA OneStep #A4224 | [SP 800-133r2], Section 6.2 | Import: N/A Export: CM to TOEPP Path. Passed from the module via API parameters in plaintext (P) format. | N/A | RAM | EVP_KDF_C TX_free | Use: KDA OneStep Key Derivation Related SSPs: DH shared secret, ECDH shared secret |
| HKDF derived key | 112-256 bits | KBKDF #A4228 | [SP 800-133r2], Section 6.2 | Import: N/A Export: CM to TOEPP Path. Passed from the module via API parameters in plaintext (P) format. | N/A | RAM | EVP_KDF_C TX_free | Use: HKDF Key Derivation Related SSPs: DH shared secret, ECDH shared secret |
| ANS X9.42 KDF derived key | 112-256 bits | ANS X9.42 KDF #A4236 #A4246 #A4247 #A4248 #A4249 | [SP 800-133r2], Section 6.2 | Import: N/A Export: CM to TOEPP Path. Passed from the module via API parameters in plaintext (P) format. | N/A | RAM | EVP_KDF_C TX_free | Use: ANS X9.42 KDF Key Derivation Related SSPs: DH shared secret, ECDH shared secret |
| ANS X9.63 KDF derived key | 112-256 bits | ANS X9.63 KDF #A4246 #A4247 #A4248 #A4249 | [SP 800-133r2], Section 6.2 | Import: N/A Export: CM to TOEPP Path. Passed from the module via API parameters in plaintext (P) format. | N/A | RAM | EVP_KDF_C TX_free | Use: ANS X9.63 KDF Key Derivation Related SSPs: DH shared secret, ECDH shared secret |

| Key/SSP Name/Ty pe | Stren gth | Security Function and Cert. Number | Generation | Import / Export | Establish ment | Stora ge | Zeroization | Use & Related Keys |
|-------------------------|--------------|---|---|--|----------------|----------|-------------------|---|
| SSH KDF derived key | 112-256 bits | SSH KDF #A4246 #A4247 #A4248 #A4249 | [SP 800-133r2], Section 6.2 | Import: N/A Export: CM to TOEPP Path. Passed from the module via API parameters in plaintext (P) format. | N/A | RAM | EVP_KDF_C TX_free | Use: SSH KDF Key Derivation Related SSPs: DH shared secret, ECDH shared secret |
| TLS 1.2 KDF derived key | 112-256 bits | TLS 1.2 KDF #A4246 #A4247 #A4248 #A4249 | [SP 800-133r2], Section 6.2 | Import: N/A Export: CM to TOEPP Path. Passed from the module via API parameters in plaintext (P) format. | N/A | RAM | EVP_KDF_C TX_free | Use: TLS 1.2 Key Derivation Related SSPs: DH shared secret, ECDH shared secret |
| TLS 1.3 KDF derived key | 112-256 bits | TLS 1.3 KDF #A4228 | [SP 800-133r2], Section 6.2 | Import: N/A Export: CM to TOEPP Path. Passed from the module via API parameters in plaintext (P) format. | N/A | RAM | EVP_KDF_C TX_free | Use: TLS 1.3 KDF Key Derivation Related SSPs: DH shared secret, ECDH shared secret |
| PBKDF2 derived key | 112-256 bits | PBKDF2 #A4236 #A4246 #A4247 #A4248 #A4249 | [SP 800-132], Section 6.2 | Import: N/A Export: CM to TOEPP Path. Passed from the module via API parameters in plaintext (P) format. | N/A | RAM | EVP_KDF_C TX_free | Use: Password-based Key Derivation Related SSPs: Password |
| DH private key | 112-200 bits | KAS-FFC-SSC #A4251 | [SP 800-56Ar3] Section 5.6.1.4 Testing Candidates | Import: CM from TOEPP Path. Passed to the module via API parameters in plaintext (P) format. | N/A | RAM | EVP_PKEY_f ree | Use: DH Shared Secret Computation, Key pair verification Related SSPs: DH shared secret |
| DH public key | 112-200 bits | | | Export: CM to TOEPP Path. | | | | |

| Key/SSP Name/Ty pe | Stren gth | Security Function and Cert. Number | Generation | Import / Export | Establish ment | Stora ge | Zeroization | Use & Related Keys |
|------------------------|-------------------------|--|--|--|-------------------|-------------|-------------------|--|
| | | | | Passed from the module via API parameters in plaintext (P) format. | | | | |
| EC private key | 112, 128, 192, 256 bits | KAS-ECC-SSC ECDSA #A4227, #A4246, #A4247, #A4248, #A4249 | FIPS 186-4 Appendix B.4.2 Testing Candidates | Import: CM from TOEPP Path. | N/A | RAM | EVP_PKEY_f ree | Use: ECDH Shared Secret Computation Signature Generation Key pair Verification Related SSPs: ECDH shared secret |
| EC public key | 112, 128, 192, 256 bits | | | Export: CM to TOEPP Path. | | | | |
| RSA private key | 112- 256 bits | RSA #A4246, #A4247, #A4248, #A4249 | FIPS 186-4 Appendix B.3.6 Probable Primes with Conditions Based on Auxiliary Probable Primes | Import: CM from TOEPP Path. | N/A | RAM | EVP_PKEY_f ree | Use: Signature Generation Related Keys: N/A |
| RSA public key | 80- 256 bits | | | Export: CM to TOEPP Path. | | | | Use: Signature Verification Related SSPs: N/A |

9.1 Random Bit Generators

The module does not implement any random number generator. Instead, it uses the Random Number Generation (RNG) service provided by the bound Kernel Crypto API module, which implements a Deterministic Random Bit Generator (DRBG) based on [SP 800-90Ar1].

The module generates SSPs (e.g., keys) whose strengths are modified by available entropy.

9.2 SSP Generation

The module implements Cryptographic Key Generation (CKG, vendor affirmed), compliant with [SP 800-133r2]. When random values are required, they are obtained from the [SP 800-90Ar1] approved DRBG, compliant with Section 4, example 1 of [SP 800-133r2]. The following methods are implemented:

- Safe primes key pair generation: compliant with [SP 800-133r2], Section 5.2, which maps to [SP 800-56Ar3]. The method described in Section 5.6.1.4 of [SP 800-56Ar3] (“Testing Candidates”) is used.
- RSA key pair generation: compliant with [SP 800-133r2], Section 5.1, which maps to FIPS 186-4. The method described in Appendix B.3.6 of FIPS 186-4 (“Probable Primes with Conditions Based on Auxiliary Probable Primes”) is used. Keys other than 2048, 3072 and 4096 bits are not CAVP tested but approved per IG C.F.
- ECC (ECDH and ECDSA) key pair generation: compliant with [SP 800-133r2], Section 5.1, which maps to FIPS 186-4. The method described in Appendix B.4.2 of FIPS 186-4 (“Rejection Sampling”) is used.

Additionally, the module implements the following key derivation methods:

- KBKDF: compliant with [SP 800-108r1]. This implementation can be used to generate secret keys from a pre-existing key-derivation-key.
- KDA OneStep, HKDF: compliant with [SP 800-56Cr2]. These implementations shall only be used to generate secret keys in the context of an [SP 800-56Ar3] key agreement scheme.
- ANS X9.42 KDF, ANS X9.63 KDF: compliant with [SP 800-135r1]. These implementations shall only be used to generate secret keys in the context of an ANSI X9.42-2001 resp. ANSI X9.63-2001 key agreement scheme.
- SSH KDF, TLS 1.2 KDF, TLS 1.3 KDF: compliant with [SP 800-135r1]. These implementations shall only be used to generate secret keys in the context of the SSH, TLS 1.2, or TLS 1.3 protocols, respectively.
- PBKDF2: compliant with option 1a of [SP 800-132]. This implementation shall only be used to derive keys for use in storage applications.

Intermediate key generation values are not output from the module and are explicitly zeroized after processing the service.

9.3 SSP Establishment

The module provides Diffie-Hellman (DH) and Elliptic Curve Diffie-Hellman (ECDH) shared secret computation compliant with [SP 800-56Ar3], in accordance with scenario 2 (1) of FIPS 140-3 IG D.F.

For Diffie-Hellman, the module supports the use of the safe primes defined in RFC 3526 (IKE) and RFC 7919 (TLS). Note that the module only implements key pair generation, key pair verification, and shared secret computation. No other part of the IKE or TLS protocols is implemented (with the exception of the TLS 1.2 and 1.3 KDFs):

- IKE (RFC 3526):
 - MODP-2048 (ID = 14)
 - MODP-3072 (ID = 15)

- MODP-4096 (ID = 16)
- MODP-6144 (ID = 17)
- MODP-8192 (ID = 18)
- TLS (RFC 7919)
 - ffdhe2048 (ID = 256)
 - ffdhe3072 (ID = 257)
 - ffdhe4096 (ID = 258)
 - ffdhe6144 (ID = 259)
 - ffdhe8192 (ID = 260)

For Elliptic Curve Diffie-Hellman, the module supports the NIST-defined B-233, B-283, B-409, B-571, K-233, K-283, K-409, K-571, P-224, P-256, P-384, and P-521 curves.

According to FIPS 140-3 IG D.B, the key sizes of DH and ECDH shared secret computation provide 112-200 and 112-256 bits of security strength respectively in approve mode of operation.

The module also supports the AES KW and AES KWP key wrapping mechanisms. These algorithms can be used to wrap SSPs with a security strength of 128, 192, or 256 bits, depending on the wrapping key size.

9.4 SSP entry/output

The module only supports SSP entry and output to and from the calling application running on the same operational environment. This corresponds to manual distribution, electronic entry/output ("CM Software to/from App via TOEPP Path") per FIPS 140-3 IG 9.5.A Table 1. There is no entry or output of cryptographically protected SSPs.

SSPs can be entered into the module via API input parameters, when required by a service. SSPs can also be output from the module via API output parameters, immediately after generation of the SSP (see Section 9.2).

9.5 SSP storage

SSPs are provided to the module by the calling application and are destroyed when released by the appropriate API function calls. The module does not perform persistent storage of SSPs.

9.6 SSP zeroization

The memory occupied by SSPs is allocated by regular memory allocation operating system calls. The operator application is responsible for calling the appropriate destruction functions provided in the module's API. The destruction functions (listed in Table 9) overwrite the memory occupied by SSPs with zeroes and de-allocate the memory with the regular memory de-allocation operating system call. All data output is inhibited during zeroization.

10 Self-Tests

The module performs the pre-operational self-test and CASTs automatically when the module is loaded into memory. Pre-operational self-test ensure that the module is not corrupted, and the CASTs ensure that the cryptographic algorithms work as expected. While the module is executing the pre-operational test and the CASTs, the module services are not available, and input and output are inhibited. The module is not available for use by the calling application until the pre-operational self-test and the CASTs are completed successfully. After the pre-operational test and the CASTs succeed, the module becomes operational. If any of the pre-operational test or any of the CASTs fail an error message is returned, and the module transitions to the error state.

All the self-tests are listed in Table 10, with the respective condition under which those tests are performed. Note that the pre-operational integrity test is only executed after all cryptographic algorithm self-tests (CASTs) executed successfully.

Table 10 – Self-Tests

| Algorithm | Parameters | Condition | Type | Test |
|---------------|---|---------------------------------|-----------------------------------|--------------------------------------|
| HMAC | SHA2-256 | Initialization (after CASTs) | Pre-operational Integrity Test | MAC tag verification on fips.so file |
| SHA-1 | N/A | Initialization | Cryptographic Algorithm Self-Test | KAT digest generation |
| SHA2-512 | N/A | Initialization | Cryptographic Algorithm Self-Test | KAT digest generation |
| SHA3-256 | N/A | Initialization | Cryptographic Algorithm Self-Test | KAT digest generation |
| SHA3-512 | N/A | Initialization | Cryptographic Algorithm Self-Test | KAT digest generation |
| AES GCM | 256-bit key | Initialization | Cryptographic Algorithm Self-Test | KAT encryption and decryption |
| AES ECB | 128-bit key | Initialization | Cryptographic Algorithm Self-Test | KAT decryption |
| HMAC | SHA-1, SHA2-224, SHA2-256, SHA2-384, SHA2-512 | Initialization | Cryptographic Algorithm Self-Test | KAT MAC generation |
| KBKDF | HMAC SHA2-256 in counter mode | Initialization | Cryptographic Algorithm Self-Test | KAT key derivation |
| KDA OneStep | SHA2-224 | Initialization | Cryptographic Algorithm Self-Test | KAT key derivation |
| HKDF | SHA2-256 | Initialization | Cryptographic Algorithm Self-Test | KAT key derivation |
| ANS X9.42 KDF | AES-128 KW with SHA-1 | Initialization | Cryptographic Algorithm Self-Test | KAT key derivation |

| Algorithm | Parameters | Condition | Type | Test |
|----------------------------|--|-------------------------|-----------------------------------|--|
| ANS X9.63 KDF | SHA2-256 | Initialization | Cryptographic Algorithm Self-Test | KAT key derivation |
| SSH KDF | SHA-1 | Initialization | Cryptographic Algorithm Self-Test | KAT key derivation |
| TLS 1.2 KDF | SHA2-256 | Initialization | Cryptographic Algorithm Self-Test | KAT key derivation |
| TLS 1.3 KDF | SHA2-256 | Initialization | Cryptographic Algorithm Self-Test | KAT key derivation |
| PBKDF2 | SHA2-256 with 4096 iterations and 288-bit salt | Initialization | Cryptographic Algorithm Self-Test | KAT password-based key derivation |
| KAS-FFC-SSC | ffdhe2048 | Initialization | Cryptographic Algorithm Self-Test | KAT shared secret computation |
| | MODP-2048 | Initialization | Cryptographic Algorithm Self-Test | KAT shared secret computation |
| KAS-ECC-SSC | P-256 | Initialization | Cryptographic Algorithm Self-Test | KAT shared secret computation |
| RSA | PKCS#1 v1.5 with SHA2-256 and 2048-bit key | Initialization | Cryptographic Algorithm Self-Test | KAT signature generation and verification |
| ECDSA | SHA2-256 and P-224, SHA2-256 and B-233 | Initialization | Cryptographic Algorithm Self-Test | KAT signature generation and verification |
| DH | N/A | DH key pair generation | Pair-wise Consistency Test | Section 5.6.2.1.4 ² pair-wise consistency |
| RSA | PKCS#1 v1.5 with SHA2-256 | RSA key pair generation | Pair-wise Consistency Test | Sign/Verify pair-wise consistency |
| ECDSA | SHA2-256 | EC key pair generation | Pair-wise Consistency Test | Sign/Verify pair-wise consistency |
| Kernel Bound Module | | | | |
| DRBG | AES-128, AES-192 and AES-256 with and without PR | Initialization | Cryptographic Algorithm Self-Test | KAT DRBG generation and reseed |

² As mentioned in SP 800-56Ar3 section 5.6.2.1.4 - Owner Assurance of Pair-wise Consistency, the module recalculate the public key based on the private key and the domain parameters and then check if it matches the existing public key.

| Algorithm | Parameters | Condition | Type | Test |
|-----------|--|-----------|------|--|
| | HMAC-SHA1, HMAC-SHA2-256 and SHA2-512 with and without PR | | | Health test per section 11.3 of 90A |
| | SHA1, SHA2-256 and SHA2-512 with and without PR | | | |

10.1 Pre-Operational Tests

The module performs pre-operational tests automatically when the module is powered on. The pre-operational self-tests ensure that the module is not corrupted. The module transitions to the operational state only after the pre-operational self-tests are passed successfully.

The types of pre-operational self-tests are described in the next sub-sections.

10.1.1 Pre-Operational Software Integrity Test

The integrity of the shared library component of the module is verified by comparing an HMAC SHA2-256 value calculated at run time with the HMAC SHA2-256 value stored in the /etc/ssl/fipsmodule.cnf file that was computed at installation time.

If the software integrity test fails, the module transitions to the error state (Section 10.3). As mentioned previously, the HMAC and SHA2-256 algorithms go through their respective CASTs before the software integrity test is performed.

10.2 Conditional Self-Tests

10.2.1 Cryptographic Algorithm Self-Tests

The module performs self-tests on all approved cryptographic algorithms as part of the approved services supported in the approved mode of operation, using the tests shown in Table 10. Data output through the data output interface is inhibited during the self-tests. If any of these tests fails, the module transitions to the error state (Section 10.3).

10.2.2 Conditional Pair-Wise Consistency Test

Upon generation of a DH, RSA or EC key pair, the module will perform a pair-wise consistency test (PCT) as shown in Table 10, which provides some assurance that the generated key pair is well formed. For DH key pairs, this test consists of the PCT described in Section 5.6.2.1.4 of [SP 800-56Ar3]. For RSA and EC key pairs, this test consists of a signature generation and a signature verification operation. If the test fails, the module transitions to the error state (Section 10.3).

10.3 Error States

If the module fails any of the self-tests, the module enters the error state. In the error state, the module immediately stops functioning and ends the application process. Consequently, the data output interface is inhibited, and the module accepts no more inputs or requests (as the module is no longer running).

Table 11 lists the error states and the status indicator values that explain the error that has occurred.

Table 11 - Error States

| Error State | Cause of Error | Status Indicator |
|-------------|---------------------------------|--------------------------|
| Error | Software integrity test failure | Module will not load |
| | CAST failure | Module will not load |
| | PCT failure | Module stops functioning |

11 Life-cycle Assurance

11.1 Delivery and Operation

11.1.1 Module Installation

The binaries of the module are contained in the base Junos Evolved installation image. The Crypto Officer shall follow this Security Policy to configure the operational environment and install the module to be operated as a FIPS 140-3 validated module.

11.1.2 End of Life Procedures

As the module does not persistently store SSPs, secure sanitization of the module consists of unloading the module. This will zeroize all SSPs in volatile memory.

11.2 Crypto Office Guidance

In order to run in Approved mode, the module must be operated using the approved services, with their corresponding approved cryptographic algorithms provided in this Security Policy (see section 4.3). In addition, key sizes must comply with [SP 800-131Ar2].

11.2.1 Verification of the Module Installation

The operator is responsible to verify the correct installation of module which is already pre-installed on the image file (*junos-evo-install-ptx-fixed-x86-64-22.4R2.11-S1-EVO.iso*).

The following steps are required:

- run the following command:

```
openssl fipsinstall -module /usr/lib64/openssl-modules/fips.so -in /etc/ssl/openssl-fips.cnf -  
provider_name fips -verify
```

Which should output the following:

VERIFY PASSED

- run the following command to check the name and the version of the OpenSSL:

```
openssl list -provider
```

Which should output the following:

Providers:

base

name: OpenSSL Base Provider

version: 3.0.8

status: active

fips

name: Junos OS Evolved OpenSSL Cryptographic Module

version: 3.0.8

status: active

11.2.2 AES GCM IV

When no IV is explicitly provided, the AES GCM IV generation is performed in compliance with Scenario 2 of IG C.H (Random IV). The AES-GCM IV is generated randomly internal to the module using the approved DRBG provided by the bound kernel. The DRBG seeds itself from the entropy source of the kernel. The GCM IV is 96 bits in length.

11.2.3 AES XTS

The AES algorithm in XTS mode can be only used for the cryptographic protection of data on storage devices, as specified in [SP 800-38E]. The length of a single data unit encrypted with the XTS-AES shall not exceed 2^{20} AES blocks that is 16MB of data. To meet the requirement in [FIPS140-3_IG] IG C.I, the module implements a check to ensure that the two AES keys used in XTS-AES algorithm are not identical.

11.2.4 Key Derivation using SP 800-132 PBKDF2

The module provides password-based key derivation (PBKDF2), compliant with [SP 800-132]. The module supports option 1a from section 5.4 of [SP 800-132], in which the Master Key (MK) or a segment of it is used directly as the Data Protection Key (DPK). In accordance to [SP 800-132] and FIPS IG D.N, the following requirements shall be met:

- Derived keys shall only be used in storage applications. The MK shall not be used for other purposes. The module accepts a minimum length of 12 bits for the MK or DPK.
- Password and passphrases, used as an input for the PBKDF2, shall not be used as cryptographic keys.
- The minimum length of the password or passphrase accepted by the module is 8 characters. This will result in a password strength equal to 10^8 . Combined with the minimum iteration count as described below, this provides an acceptable trade-off between user experience and security against brute-force attacks.
- A portion of the salt, with a length of at least 128 bits (this is verified by the module to determine the service is approved), shall be generated randomly using the [SP 800-90Ar1] DRBG provided by the module.
- The iteration count shall be selected as large as possible, as long as the time required to generate the key using the entered password is acceptable for the users. The module only allows minimum iteration count to be 1000.

11.2.5 Compliance to SP 800-56Ar3 assurances

The module offers DH and ECDH shared secret computation services compliant to the [SP 800-56Ar3] and meeting IG D.F scenario 2 path (1). In order to meet the required assurances listed in section 5.6 of [SP 800-56Ar3], the module shall be used together with an application that implements the "TLS protocol" and the following steps shall be performed.

1. The entity using the module, must use the module's "Key pair Generation" service for generating DH/ECDH ephemeral keys. This meets the assurances required by key pair owner defined in the section 5.6.2.1 of [SP 800-56Ar3].
2. As part of the module's shared secret computation(SSC) service, the module internally performs the public key validation on the peer's public key passed in as input to the SSC function. This meets the public key validity assurance required by the sections 5.6.2.2.1/5.6.2.2.2 of [SP 800-56Ar3].

3. The module does not support static keys therefore the "assurance of peer's possession of private key" is not applicable.

12 Mitigation of Other Attacks

Certain cryptographic subroutines and algorithms are vulnerable to timing analysis. The module mitigates this vulnerability by using constant-time implementations. This includes, but is not limited to:

- Big number operations: computing GCDs, modular inversion, multiplication, division, and modular exponentiation (using Montgomery multiplication).
- Elliptic curve point arithmetic: addition and multiplication (using the Montgomery ladder).
- Vector-based AES implementations.

In addition, RSA, ECDSA, ECDH, and DH employ blinding techniques to further impede timing and power analysis. No configuration is needed to enable these countermeasures.

13 Appendix B - Glossary and Abbreviations

| | |
|---------------|--|
| AES | Advanced Encryption Standard |
| AES-NI | Advanced Encryption Standard New Instructions |
| API | Application Program Interface |
| APT | Advanced Package Tool |
| CAST | Cryptographic Algorithm Self-Test |
| CAVP | Cryptographic Algorithm Validation Program |
| CBC | Cipher Block Chaining |
| CCM | Counter with Cipher Block Chaining-Message Authentication Code |
| CFB | Cipher Feedback |
| CKG | Cryptographic Key Generation |
| CMAC | Cipher-based Message Authentication Code |
| CMVP | Cryptographic Module Validation Program |
| CSP | Critical Security Parameter |
| CTR | Counter Mode |
| CTS | Ciphertext Stealing |
| DH | Diffie-Hellman |
| DRBG | Deterministic Random Bit Generator |
| ECB | Electronic Code Book |
| ECC | Elliptic Curve Cryptography |
| ECDH | Elliptic Curve Diffie-Hellman |
| ECDSA | Elliptic Curve Digital Signature Algorithm |
| EVP | Envelope |
| FFC | Finite Field Cryptography |
| FIPS | Federal Information Processing Standards Publication |
| GCM | Galois Counter Mode |
| GMAC | Galois Counter Mode Message Authentication Code |
| HKDF | HMAC-based Key Derivation Function |
| HMAC | Hash Message Authentication Code |
| IKE | Internet Key Exchange |
| IG | Implementation Guidance |
| KAS | Key Agreement Schema |
| KAT | Known Answer Test |
| KBKDF | Key-based Key Derivation Function |
| KDF | Key Derivation Function |

| | |
|---------------|--|
| KW | Key Wrap |
| KWP | Key Wrap with Padding |
| MAC | Message Authentication Code |
| NIST | National Institute of Science and Technology |
| OAEP | Optimal Asymmetric Encryption Padding |
| OFB | Output Feedback |
| PAA | Processor Algorithm Acceleration |
| PCT | Pair-wise Consistency Test |
| PBKDF2 | Password-based Key Derivation Function v2 |
| PKCS | Public-Key Cryptography Standards |
| PSS | Probabilistic Signature Scheme |
| RSA | Rivest, Shamir, Addleman |
| SHA | Secure Hash Algorithm |
| SHS | Secure Hash Standard |
| SSC | Shared Secret Computation |
| SSH | Secure Shell |
| SSP | Sensitive Security Parameter |
| TLS | Transport Layer Security |
| XOF | Extendable Output Function |
| XTS | XEX-based Tweaked-codebook mode with ciphertext Stealing |

14 Appendix C - References

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