



Masarubra US LLC

## Trellix FIPS Provider

### FIPS 140-3 Non-Proprietary Security Policy

*Document Version 1.1*

June 20, 2025

Prepared for:



Prepared by:



**Masarubra US LLC**  
102#, 6000 Headquarters Dr.  
STE 300  
Plano, TX 75024  
[trellix.com](http://trellix.com)

**KeyPair Consulting Inc.**  
987 Osos St.  
San Luis Obispo, CA 93401  
+1 805.316.5024  
[keypair.us](http://keypair.us)

## Table of Contents

1	General .....	5
1.1	Overview .....	5
1.2	Security Levels.....	5
2	Cryptographic Module Specification .....	5
2.1	Description .....	5
2.2	Tested and Vendor Affirmed Module Version and Identification .....	7
2.3	Excluded Components.....	10
2.4	Modes of Operation .....	11
2.5	Algorithms .....	11
2.6	Security Function Implementations .....	18
2.7	Algorithm Specific Information .....	21
2.8	RBG and Entropy .....	23
2.9	Key Generation.....	24
2.10	Key Establishment.....	24
2.11	Industry Protocols .....	24
3	Cryptographic Module Interfaces.....	24
3.1	Ports and Interfaces .....	24
4	Roles, Services, and Authentication .....	25
4.1	Authentication Methods .....	25
4.2	Roles.....	25
4.3	Approved Services.....	25
4.4	Non-Approved Services.....	29
4.5	External Software/Firmware Loaded .....	29
5	Software/Firmware Security .....	29
5.1	Integrity Techniques.....	29
5.2	Initiate on Demand.....	29
5.3	Open-Source Parameters .....	29
6	Operational Environment.....	30
6.1	Operational Environment Type and Requirements .....	30
7	Physical Security .....	30
8	Non-Invasive Security .....	30
9	Sensitive Security Parameters Management .....	31
9.1	Storage Areas .....	31

9.2	SSP Input-Output Methods .....	31
9.3	SSP Zeroization Methods.....	31
9.4	SSPs .....	31
9.5	Additional Information.....	38
10	Self-Tests .....	39
10.1	Pre-Operational Self-Tests .....	39
10.2	Conditional Self-Tests.....	39
10.3	Periodic Self-Test Information.....	41
10.4	Error States.....	43
10.5	Operator Initiation of Self-Tests.....	43
11	Life-Cycle Assurance .....	43
11.1	Installation, Initialization, and Startup Procedures.....	43
11.2	Administrator Guidance .....	43
11.3	Non-Administrator Guidance .....	43
11.4	Design and Rules .....	43
12	Mitigation of Other Attacks.....	44
12.1	Attack List .....	44
12.2	Mitigation Effectiveness.....	44
12.3	Guidance and Constraints .....	44

## List of Tables

Table 1: Security Levels.....	5
Table 2: Tested Module Identification – Software, Firmware, Hybrid (Executable Code Sets).....	7
Table 3: Tested Operational Environments - Software, Firmware, Hybrid .....	7
Table 4: Vendor-Affirmed Operational Environments - Software, Firmware, Hybrid .....	10
Table 5: Modes List and Description .....	11
Table 6: Approved Algorithms - Cipher .....	12
Table 7: Approved Algorithms - Key agreement.....	13
Table 8: Approved Algorithms - Key derivation.....	14
Table 9: Approved Algorithms - Key management.....	14
Table 10: Approved Algorithms - Key transport.....	15
Table 11: Approved Algorithms - Message authentication.....	15
Table 12: Approved Algorithms - Message digest .....	16
Table 13: Approved Algorithms - Random .....	16
Table 14: Approved Algorithms - Signature.....	17
Table 15: Vendor-Affirmed Algorithms .....	17
Table 16: Security Function Implementations.....	21
Table 17: Ports and Interfaces.....	24
Table 18: Roles.....	25
Table 19: Approved Services .....	28
Table 20: Storage Areas .....	31
Table 21: SSP Input-Output Methods.....	31
Table 22: SSP Zeroization Methods .....	31
Table 23: SSP Table 1 .....	35
Table 24: SSP Table 2 .....	37
Table 25: Pre-Operational Self-Tests .....	39
Table 26: Conditional Self-Tests .....	41
Table 27: Pre-Operational Periodic Information .....	41
Table 28: Conditional Periodic Information.....	42
Table 29: Error States .....	43

## List of Figures

Figure 1: Block Diagram .....	6
-------------------------------	---

## 1 General

### 1.1 Overview

This document defines the Non-Proprietary Security Policy for the *Trellix FIPS Provider* cryptographic module by Musarubra US LLC, hereafter denoted the Module. The Module meets FIPS 140-3 overall Level 1 requirements, with security levels as shown in Section 1.2. In accordance with AS02.05, ISO/IEC 19790:2012 §7.7 Physical Security is optional and does not apply to the Module.

### 1.2 Security Levels

Section	Title	Security Level
1	General	1
2	Cryptographic module specification	1
3	Cryptographic module interfaces	1
4	Roles, services, and authentication	1
5	Software/Firmware security	1
6	Operational environment	1
7	Physical security	N/A
8	Non-invasive security	N/A
9	Sensitive security parameter management	1
10	Self-tests	1
11	Life-cycle assurance	3
12	Mitigation of other attacks	1
	Overall Level	1

Table 1: Security Levels

## 2 Cryptographic Module Specification

### 2.1 Description

#### Purpose and Use:

The Module is a cryptographic software library, intended for use by US and Canadian Federal agencies and other markets that require FIPS 140-3 validated cryptographic functionality.

The Module design corresponds to the Module security rules. Security rules enforced by the Module are described in the appropriate context of this document.

**Module Type:** Software

**Module Embodiment:** MultiChipStand

**Cryptographic Boundary:**

Figure 1 depicts the Module operational environment, with the cryptographic boundary highlighted in red inclusive of all Module entry points (API calls). The Module is defined as a *Software module* per AS02.03.

The pre-operational approved integrity test is performed over all components within the cryptographic boundary.

**Tested Operational Environment's Physical Perimeter (TOEPP):**

The General Purpose Computer is the TOEPP.

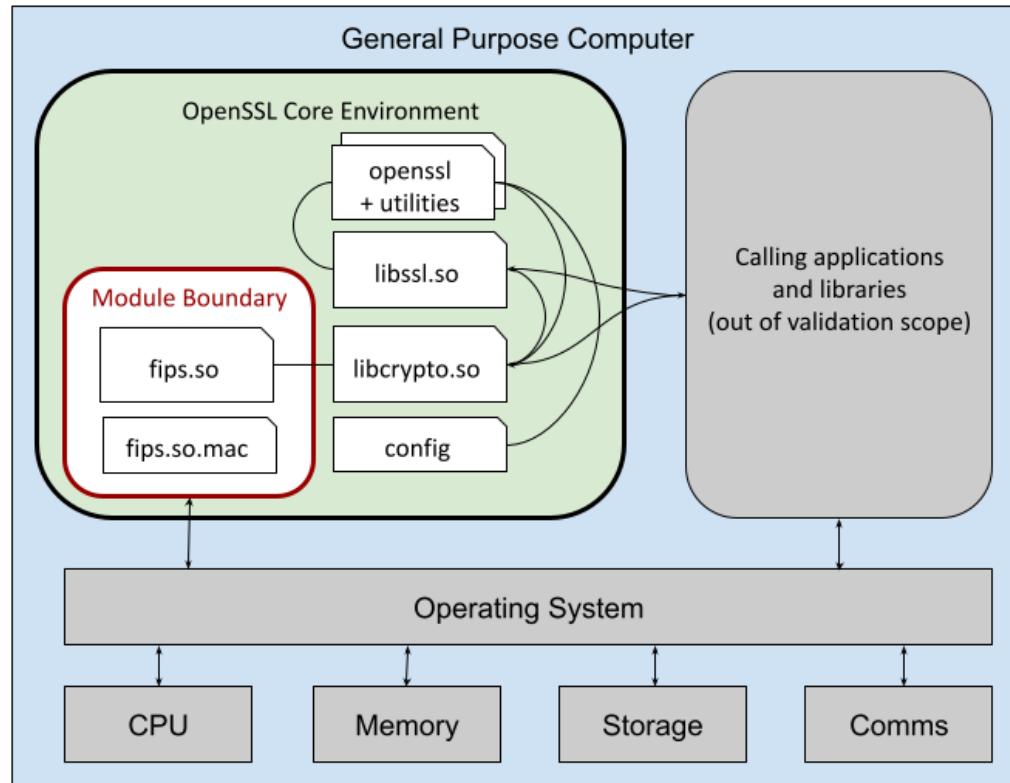


Figure 1: Block Diagram

## 2.2 Tested and Vendor Affirmed Module Version and Identification

### Tested Module Identification – Software, Firmware, Hybrid (Executable Code Sets):

Package or File Name	Software/ Firmware Version	Features	Integrity Test
fips.so	3.0.10 with KP_1.2	N/A	HMAC-SHA2-256 #A4481 over the complete module file image

Table 2: Tested Module Identification – Software, Firmware, Hybrid (Executable Code Sets)

### Tested Operational Environments - Software, Firmware, Hybrid:

Operating System	Hardware Platform	Processors	PAA/PAI	Hypervisor or Host OS	Version(s)
Ubuntu 20.04 LTS	Dell Inspiron 7591	Intel Core i7-10510U	Yes		3.0.10 with KP_1.2
Ubuntu 20.04 LTS	Dell Inspiron 7591	Intel Core i7-10510U	No		3.0.10 with KP_1.2

Table 3: Tested Operational Environments - Software, Firmware, Hybrid

### Vendor-Affirmed Operational Environments - Software, Firmware, Hybrid:

Operating System	Hardware Platform
Trellix OS (TRFEOS) 11.0	AX5600 Processor: Intel® Xeon® E-2334 (Rocket Lake)
Trellix OS (TRFEOS) 11.0	CM4600 Processor: Intel® Xeon® E-2334 (Rocket Lake)
Trellix OS (TRFEOS) 11.0	CM7600 Processor: Intel® Xeon® Silver 4314 (Ice Lake)
Trellix OS (TRFEOS) 11.0	CM9600 Processor: Intel® Xeon® Silver 4316 (Ice Lake)
Trellix OS (TRFEOS) 11.0	EX3600 Processor: Intel® Xeon® E-2334 (Rocket Lake)
Trellix OS (TRFEOS) 11.0	EX5600 Processor: Intel® Xeon® Silver 4314 (Ice Lake)
Trellix OS (TRFEOS) 11.0	EX8600 Processor: Intel® Xeon® Silver 4316 (Ice Lake)
Trellix OS (TRFEOS) 11.0	FX6600 Processor: Intel® Xeon® Silver 4316 (Ice Lake)
Trellix OS (TRFEOS) 11.0	HX4600 Processor: Intel® Xeon® E-2378 (Rocket Lake)
Trellix OS (TRFEOS) 11.0	IPSM4210 Processor: Intel® Xeon® Silver 4210 (Cascade Lake)

Operating System	Hardware Platform
Trellix OS (TRFEOS) 11.0	IPSM4210 Processor: Intel® Xeon® Silver 4114 (Skylake)
Trellix OS (TRFEOS) 11.0	IPSM4510 Processor: Intel® Xeon® Silver 4510 (Sapphire Rapids)
Trellix OS (TRFEOS) 11.0	NX2600 Processor: Intel® Xeon® E-2334 (Rocket Lake)
Trellix OS (TRFEOS) 11.0	NX3600 Processor: Intel® Xeon® E-2378 (Rocket Lake)
Trellix OS (TRFEOS) 11.0	NX4600 Processor: Intel® Xeon® Silver 4314 (Ice Lake)
Trellix OS (TRFEOS) 11.0	NX5600 Processor: Intel® Xeon® Silver 4314 (Ice Lake)
Trellix OS (TRFEOS) 11.0	NX6600 Processor: Intel® Xeon® Gold 6330 (Ice Lake)
Trellix OS (TRFEOS) 11.0	NX8600 Processor: Intel® Xeon® Platinum 8380 (Ice Lake)
Trellix OS (TRFEOS) 11.0	VX3200 Processor: Intel® Xeon® Silver 4210 (Cascade Lake)
Trellix OS (TRFEOS) 11.0	VX3210 Processor: Intel® Xeon® Silver 4210 (Cascade Lake)
Trellix OS (TRFEOS) 11.0	VX5600 Processor: Intel® Xeon® E-2334 (Rocket Lake)
Trellix OS (TRFEOS) 11.0	VX6200 Processor: Intel® Xeon® Gold 6230 (Cascade Lake)
Trellix OS (TRFEOS) 11.0	VX6210 Processor: Intel® Xeon® Gold 6230 (Cascade Lake)
Trellix OS (TRFEOS) 11.0	VX12600 Processor: Intel® Xeon® Gold 6330 (Ice Lake)
Trellix OS (TRFEOS) 11.0	CM1500V Processor: Intel® Xeon® Gold 5418Y (Sapphire Rapids) Hypervisor: VMware vSphere ESXi 8.0
Trellix OS (TRFEOS) 11.0	CM2500V Processor: Intel® Xeon® Gold 5418Y (Sapphire Rapids) Hypervisor: VMware vSphere ESXi 8.0

Operating System	Hardware Platform
Trellix OS (TRFEOS) 11.0	CM4500V Processor: Intel® Xeon® Gold 5418Y (Sapphire Rapids) Hypervisor: VMware vSphere ESXi 8.0
Trellix OS (TRFEOS) 11.0	CM7500V Processor: Intel® Xeon® Gold 5418Y (Sapphire Rapids) Hypervisor: VMware vSphere ESXi 8.0
Trellix OS (TRFEOS) 11.0	CM9500V Processor: Intel® Xeon® Gold 5418Y (Sapphire Rapids) Hypervisor: VMware vSphere ESXi 8.0
Trellix OS (TRFEOS) 11.0	EX5500V Processor: Intel® Xeon® Gold 5418Y (Sapphire Rapids) Hypervisor: VMware vSphere ESXi 8.0
Trellix OS (TRFEOS) 11.0	EXIntgV Processor: Intel® Xeon® Gold 5418Y (Sapphire Rapids) Hypervisor: VMware vSphere ESXi 8.0
Trellix OS (TRFEOS) 11.0	EXIvx2500V Processor: Intel® Xeon® Gold 5418Y (Sapphire Rapids) Hypervisor: VMware vSphere ESXi 8.0
Trellix OS (TRFEOS) 11.0	FX2500V Processor: Intel® Xeon® Gold 5418Y (Sapphire Rapids) Hypervisor: VMware vSphere ESXi 8.0
Trellix OS (TRFEOS) 11.0	HX2502V Processor: Intel® Xeon® Gold 5418Y (Sapphire Rapids) Hypervisor: VMware vSphere ESXi 8.0
Trellix OS (TRFEOS) 11.0	HX4502V Processor: Intel® Xeon® Gold 5418Y (Sapphire Rapids) Hypervisor: VMware vSphere ESXi 8.0
Trellix OS (TRFEOS) 11.0	HX4600V Processor: Intel® Xeon® Gold 5418Y (Sapphire Rapids) Hypervisor: VMware vSphere ESXi 8.0
Trellix OS (TRFEOS) 11.0	NX1500V Processor: Intel® Xeon® Gold 5418Y (Sapphire Rapids) Hypervisor: VMware vSphere ESXi 8.0
Trellix OS (TRFEOS) 11.0	NX2500V Processor: Intel® Xeon® Gold 5418Y (Sapphire Rapids) Hypervisor: VMware vSphere ESXi 8.0

Operating System	Hardware Platform
Trellix OS (TRFEOS) 11.0	NX2550V Processor: Intel® Xeon® Gold 5418Y (Sapphire Rapids) Hypervisor: VMware vSphere ESXi 8.0
Trellix OS (TRFEOS) 11.0	NX4500V Processor: Intel® Xeon® Gold 5418Y (Sapphire Rapids) Hypervisor: VMware vSphere ESXi 8.0
Trellix OS (TRFEOS) 11.0	NX6500V Processor: Intel® Xeon® Gold 5418Y (Sapphire Rapids) Hypervisor: VMware vSphere ESXi 8.0
Trellix OS (TRFEOS) 11.0	NX7500V Processor: Intel® Xeon® Gold 5418Y (Sapphire Rapids) Hypervisor: VMware vSphere ESXi 8.0
Trellix OS (TRFEOS) 11.0	NX8500V Processor: Intel® Xeon® Gold 5418Y (Sapphire Rapids) Hypervisor: VMware vSphere ESXi 8.0
Trellix OS (TRFEOS) 11.0	NX10500V Processor: Intel® Xeon® Gold 5418Y (Sapphire Rapids) Hypervisor: VMware vSphere ESXi 8.0
Trellix OS (TRFEOS) 11.0	VXV Processor: Intel® Xeon® Gold 5418Y (Sapphire Rapids) Hypervisor: VMware vSphere ESXi 8.0
Skyhigh Linux OS (SLOS)	c5.large/c5.xlarge/c5.2xlarge/c5.4xlarge/c5.9xlarge/c5.18xlarge Processor: Intel® Xeon® Platinum 8000 series processor (Skylake-SP)
Skyhigh Linux OS (SLOS)	c5.12xlarge/c5.24xlarge Processor: Intel® Xeon® Platinum 8000 series processor (Cascade Lake)
Skyhigh Linux OS (SLOS)	c5d.large/c5d.xlarge/c5d.2xlarge/c5d.4xlarge/c5d.9xlarge/c5d.18xlarge Processor: Intel® Xeon® Platinum 8000 series processor (Skylake-SP)
Skyhigh Linux OS (SLOS)	c5d.12xlarge/c5d.24xlarge Processor: Intel® Xeon® Platinum 8000 series processor (Cascade Lake)

Table 4: Vendor-Affirmed Operational Environments - Software, Firmware, Hybrid

CMVP makes no statement as to the correct operation of the Module or the security strengths of the generated keys when so ported if the specific operational environment is not listed on the validation certificate.

## 2.3 Excluded Components

N/A for this Module.

## 2.4 Modes of Operation

### Modes List and Description:

Mode Name	Description	Type	Status Indicator
Nominal	Approved mode of operation	Approved	

Table 5: Modes List and Description

The Module only supports an Approved mode of operation. The conditions for using the Module in the Approved mode of operation are:

1. Installation of the Module as described in Section 11.1 results in the settings described below, which are required for operation in the Approved mode:
  - a. security-checks = 1  
Enforce minimum key strengths and approved curve names.
  - b. allow-plaintext-csp-output = 1  
Enforce the AS09.16 and AS09.17 requirement for a second independent action to output CSPs as a result of calls that produce CSPs, such as key generation, key unwrap (or decapsulate) and shared secret calculation.
  - c. conditional-errors = 1  
Enforce the Module entering the error state on conditional test errors such as PCT failure.
2. The Module is a cryptographic library used by a calling application. The calling application is responsible for:
  - a. Use of the primitives in the correct sequence.
  - b. Use of keys in accordance with SP 800-140D Rev. 2 (as the keys used by the Module for cryptographic purposes are provided over the call stack by the calling application).
  - c. Use of a SP 800-90B compliant entropy source outside the Module boundary with at least 256 bits of security strength. Entropy is supplied to the Module via callback functions. The callback functions shall return an error if the minimum entropy strength cannot be met.

## 2.5 Algorithms

### Approved Algorithms:

#### Cipher

Algorithm	CAVP Cert	Properties	Reference
AES-CBC	A4481	Direction - Decrypt, Encrypt Key Length - 128, 192, 256	SP 800-38A
AES-CBC-CS1	A4481	Direction - decrypt, encrypt Key Length - 128, 192, 256	SP 800-38A
AES-CBC-CS2	A4481	Direction - decrypt, encrypt Key Length - 128, 192, 256	SP 800-38A
AES-CBC-CS3	A4481	Direction - decrypt, encrypt Key Length - 128, 192, 256	SP 800-38A

Algorithm	CAVP Cert	Properties	Reference
AES-CCM	A4481	Key Length - 128, 192, 256	SP 800-38C
AES-CFB1	A4481	Direction - Decrypt, Encrypt Key Length - 128, 192, 256	SP 800-38A
AES-CFB128	A4481	Direction - Decrypt, Encrypt Key Length - 128, 192, 256	SP 800-38A
AES-CFB8	A4481	Direction - Decrypt, Encrypt Key Length - 128, 192, 256	SP 800-38A
AES-CTR	A4481	Direction - Decrypt, Encrypt Key Length - 128, 192, 256	SP 800-38A
AES-ECB	A4481	Direction - Decrypt, Encrypt Key Length - 128, 192, 256	SP 800-38A
AES-GCM	A4481	Direction - Decrypt, Encrypt IV Generation - External, Internal IV Generation Mode - 8.2.1 Key Length - 128, 192, 256	SP 800-38D
AES-KW	A4481	Direction - Decrypt, Encrypt Key Length - 128, 192, 256	SP 800-38F
AES-KWP	A4481	Direction - Decrypt, Encrypt Key Length - 128, 192, 256	SP 800-38F
AES-OFB	A4481	Direction - Decrypt, Encrypt Key Length - 128, 192, 256	SP 800-38A
AES-XTS Testing Revision 2.0	A4481	Direction - Decrypt, Encrypt Key Length - 128, 256	SP 800-38E

Table 6: Approved Algorithms - Cipher

## Key agreement

Algorithm	CAVP Cert	Properties	Reference
KAS-ECC CDH-Component SP800-56Ar3 (CVL)	A4481	Curve - B-233, B-283, B-409, B-571, K-233, K-283, K-409, K-571, P-224, P-256, P-384, P-521	SP 800-56A Rev. 3
KAS-ECC-SSC Sp800-56Ar3	A4481	Domain Parameter Generation Methods - B-233, B-283, B-409, B-571, K-233, K-283, K-409, K-571, P-224, P-256, P-384, P-521 Scheme - ephemeralUnified - KAS Role - initiator, responder	SP 800-56A Rev. 3

Algorithm	CAVP Cert	Properties	Reference
KAS-FFC-SSC Sp800-56Ar3	A4481	Domain Parameter Generation Methods - FB, FC, ffdhe2048, ffdhe3072, ffdhe4096, ffdhe6144, ffdhe8192, modp-2048, modp-3072, modp-4096, modp-6144, modp-8192 Scheme - dhEphem - KAS Role - initiator, responder	SP 800-56A Rev. 3
KAS-IFC-SSC	A4481	Modulo - 2048, 3072, 4096, 6144, 8192 Key Generation Methods - rsakpg1-basic, rsakpg1-crt, rsakpg1-prime-factor, rsakpg2-basic, rsakpg2-crt, rsakpg2-prime-factor Scheme - KAS1 - KAS Role - initiator, responder Scheme - KAS2 - KAS Role - initiator, responder	SP 800-56A Rev. 3

Table 7: Approved Algorithms - Key agreement

## Key derivation

Algorithm	CAVP Cert	Properties	Reference
KDA HKDF SP800-56Cr2	A4481	Derived Key Length - 2048 Shared Secret Length - Shared Secret Length: 224-8192 Increment 8 HMAC Algorithm - SHA-1, SHA2-224, SHA2-256, SHA2-384, SHA2-512, SHA2-512/224, SHA2-512/256, SHA3-224, SHA3-256, SHA3-384, SHA3-512	SP 800-56C Rev. 2
KDA OneStep SP800-56Cr2	A4481	Derived Key Length - 2048 Shared Secret Length - Shared Secret Length: 224-8192 Increment 8	SP 800-56C Rev. 2
KDA TwoStep SP800-56Cr2	A4481	MAC Salting Methods - default, random KDF Mode - feedback Derived Key Length - 2048 Shared Secret Length - Shared Secret Length: 224-8192 Increment 8	SP 800-56C Rev. 2
KDF ANS 9.42 (CVL)	A4481	KDF Type - DER Hash Algorithm - SHA-1, SHA2-224, SHA2-256, SHA2-384, SHA2-512, SHA2-512/224, SHA2-512/256, SHA3-224, SHA3-256, SHA3-384, SHA3-512 Key Data Length - Key Data Length: 8-4096 Increment 8	SP 800-135 Rev. 1
KDF ANS 9.63 (CVL)	A4481	Hash Algorithm - SHA2-224, SHA2-256, SHA2-384, SHA2-512 Key Data Length - Key Data Length: 128, 4096	SP 800-135 Rev. 1
KDF SP800-108	A4481	KDF Mode - Counter, Feedback Supported Lengths - Supported Lengths: 8, 72, 128, 776, 3456, 4096	SP 800-108 Rev. 1
KDF SSH (CVL)	A4481	Cipher - AES-128, AES-192, AES-256 Hash Algorithm - SHA-1, SHA2-224, SHA2-256, SHA2-384, SHA2-512	SP 800-135 Rev. 1
PBKDF	A4481	Iteration Count - Iteration Count: 1-10000 Increment 1 Password Length - Password Length: 8-128 Increment 8	SP 800-132

Algorithm	CAVP Cert	Properties	Reference
TLS v1.2 KDF RFC7627 (CVL)	A4481	Hash Algorithm - SHA2-256, SHA2-384, SHA2-512	SP 800-135 Rev. 1
TLS v1.3 KDF (CVL)	A4481	HMAC Algorithm - SHA2-256, SHA2-384 KDF Running Modes - DHE, PSK, PSK-DHE	SP 800-135 Rev. 1

Table 8: Approved Algorithms - Key derivation

## Key management

Algorithm	CAVP Cert	Properties	Reference
DSA KeyGen (FIPS186-4)	A4481	L - 2048, 3072 N - 224, 256	FIPS 186-4
DSA PQGGen (FIPS186-4)	A4481	L - 2048, 3072 N - 224, 256 Hash Algorithm - SHA2-224, SHA2-256, SHA2-384, SHA2-512, SHA2-512/224, SHA2-512/256	FIPS 186-4
DSA PQGVer (FIPS186-4)	A4481	L - 1024, 2048, 3072 N - 160, 224, 256 Hash Algorithm - SHA-1, SHA2-224, SHA2-256, SHA2-384, SHA2-512, SHA2-512/224, SHA2-512/256	FIPS 186-4
ECDSA KeyGen (FIPS186-4)	A4481	Curve - B-233, B-283, B-409, B-571, K-233, K-283, K-409, K-571, P-224, P-256, P-384, P-521 Secret Generation Mode - Testing Candidates	FIPS 186-4
ECDSA KeyVer (FIPS186-4)	A4481	Curve - B-163, B-233, B-283, B-409, B-571, K-163, K-233, K-283, K-409, K-571, P-192, P-224, P-256, P-384, P-521	FIPS 186-4
EDDSA KeyGen	A4481	Curve - ED-25519, ED-448	FIPS 186-5
EDDSA KeyVer	A4481	Curve - ED-25519, ED-448	FIPS 186-5
Safe Primes Key Generation	A4481	Safe Prime Groups - ffdhe2048, ffdhe3072, ffdhe4096, ffdhe6144, ffdhe8192, modp-2048, modp-3072, modp-4096, modp-6144, modp-8192	SP 800-56A Rev. 3
Safe Primes Key Verification	A4481	Safe Prime Groups - ffdhe2048, ffdhe3072, ffdhe4096, ffdhe6144, ffdhe8192, modp-2048, modp-3072, modp-4096, modp-6144, modp-8192	SP 800-56A Rev. 3
RSA KeyGen (FIPS186-4)	A4481	Key Generation Mode - B.3.3 Modulo - 2048, 3072, 4096 Primality Tests - Table C.2 Private Key Format - Standard	FIPS 186-4

Table 9: Approved Algorithms - Key management

## Key transport

Algorithm	CAVP Cert	Properties	Reference
KTS-IFC	A4481	Modulo - 2048, 3072, 4096, 6144 Key Generation Methods - rsakpg1-basic, rsakpg1-crt, rsakpg1-prime-factor, rsakpg2-basic, rsakpg2-crt, rsakpg2-prime-factor Scheme - KTS-OAEP-basic - KAS Role - initiator, responder Key Transport Method - Key Length - 1024	SP 800-56B Rev. 2

Table 10: Approved Algorithms - Key transport

## Message authentication

Algorithm	CAVP Cert	Properties	Reference
AES-CMAC	A4481	Direction - Generation, Verification Key Length - 128, 192, 256	SP 800-38B
AES-GMAC	A4481	Direction - Decrypt, Encrypt IV Generation - External, Internal IV Generation Mode - 8.2.1 Key Length - 128, 192, 256	SP 800-38D
HMAC-SHA-1	A4481	Key Length - Key Length: 112-2048 Increment 8	FIPS 198-1
HMAC-SHA2-224	A4481	Key Length - Key Length: 112-2048 Increment 8	FIPS 198-1
HMAC-SHA2-256	A4481	Key Length - Key Length: 112-2048 Increment 8	FIPS 198-1
HMAC-SHA2-384	A4481	Key Length - Key Length: 112-2048 Increment 8	FIPS 198-1
HMAC-SHA2-512	A4481	Key Length - Key Length: 112-2048 Increment 8	FIPS 198-1
HMAC-SHA2-512/224	A4481	Key Length - Key Length: 112-2048 Increment 8	FIPS 198-1
HMAC-SHA2-512/256	A4481	Key Length - Key Length: 112-2048 Increment 8	FIPS 198-1
HMAC-SHA3-224	A4481	Key Length - Key Length: 112-2048 Increment 8	FIPS 198-1
HMAC-SHA3-256	A4481	Key Length - Key Length: 112-2048 Increment 8	FIPS 198-1
HMAC-SHA3-384	A4481	Key Length - Key Length: 112-2048 Increment 8	FIPS 198-1
HMAC-SHA3-512	A4481	Key Length - Key Length: 112-2048 Increment 8	FIPS 198-1
KMAC-128	A4481	Message Length - Message Length: 0-65536 Increment 8 Key Data Length - Key Data Length: 128-1024 Increment 8	SP 800-185
KMAC-256	A4481	Message Length - Message Length: 0-65536 Increment 8 Key Data Length - Key Data Length: 128-1024 Increment 8	SP 800-185

Table 11: Approved Algorithms - Message authentication

## Message digest

Algorithm	CAVP Cert	Properties	Reference
SHA-1	A4481	Message Length - Message Length: 0-65528 Increment 8 Large Message Sizes - 1, 2, 4, 8	FIPS 180-4
SHA2-224	A4481	Message Length - Message Length: 0-65528 Increment 8 Large Message Sizes - 1, 2, 4, 8	FIPS 180-4
SHA2-256	A4481	Message Length - Message Length: 0-65528 Increment 8 Large Message Sizes - 1, 2, 4, 8	FIPS 180-4
SHA2-384	A4481	Message Length - Message Length: 0-65528 Increment 8 Large Message Sizes - 1, 2, 4, 8	FIPS 180-4
SHA2-512	A4481	Message Length - Message Length: 0-65528 Increment 8 Large Message Sizes - 1, 2, 4, 8	FIPS 180-4
SHA2-512/224	A4481	Message Length - Message Length: 0-65528 Increment 8 Large Message Sizes - 1, 2, 4, 8	FIPS 180-4
SHA2-512/256	A4481	Message Length - Message Length: 0-65528 Increment 8 Large Message Sizes - 1, 2, 4, 8	FIPS 180-4
SHA3-224	A4481	Message Length - Message Length: 0-65536 Increment 8 Large Message Sizes - 1, 2, 4, 8	FIPS 202
SHA3-256	A4481	Message Length - Message Length: 0-65536 Increment 8 Large Message Sizes - 1, 2, 4, 8	FIPS 202
SHA3-384	A4481	Message Length - Message Length: 0-65536 Increment 8 Large Message Sizes - 1, 2, 4, 8	FIPS 202
SHA3-512	A4481	Message Length - Message Length: 0-65536 Increment 8 Large Message Sizes - 1, 2, 4, 8	FIPS 202
SHAKE-128	A4481	Output Length - Output Length: 16-65536 Increment 8	FIPS 202
SHAKE-256	A4481	Output Length - Output Length: 16-65536 Increment 8	FIPS 202

Table 12: Approved Algorithms - Message digest

## Random

Algorithm	CAVP Cert	Properties	Reference
Counter DRBG	A4481	Prediction Resistance - Yes Mode - AES-128, AES-192, AES-256 Derivation Function Enabled - No, Yes	SP 800-90A Rev. 1
Hash DRBG	A4481	Prediction Resistance - Yes Mode - SHA-1, SHA2-224, SHA2-256, SHA2-384, SHA2-512, SHA2-512/224, SHA2-512/256	SP 800-90A Rev. 1
HMAC DRBG	A4481	Prediction Resistance - Yes Mode - SHA-1, SHA2-224, SHA2-256, SHA2-384, SHA2-512, SHA2-512/224, SHA2-512/256	SP 800-90A Rev. 1

Table 13: Approved Algorithms - Random

## Signature

Algorithm	CAVP Cert	Properties	Reference
ECDSA SigGen (FIPS186-4)	A4481	Component - No, Yes Curve - B-233, B-283, B-409, B-571, K-233, K-283, K-409, K-571, P-224, P-256, P-384, P-521 Hash Algorithm - SHA2-224, SHA2-256, SHA2-384, SHA2-512, SHA2-512/224, SHA2-512/256	FIPS 186-4
ECDSA SigVer (FIPS186-4)	A4481	Component - No Curve - B-163, B-233, B-283, B-409, B-571, K-163, K-233, K-283, K-409, K-571, P-192, P-224, P-256, P-384, P-521 Hash Algorithm - SHA-1, SHA2-224, SHA2-256, SHA2-384, SHA2-512, SHA2-512/224, SHA2-512/256	FIPS 186-4
DSA SigGen (FIPS186-4)	A4481	L - 2048, 3072 N - 224, 256 Hash Algorithm - SHA2-224, SHA2-256, SHA2-384, SHA2-512, SHA2-512/224, SHA2-512/256	FIPS 186-4
DSA SigVer (FIPS186-4)	A4481	L - 1024, 2048, 3072 N - 160, 224, 256 Hash Algorithm - SHA-1, SHA2-224, SHA2-256, SHA2-384, SHA2-512, SHA2-512/224, SHA2-512/256	FIPS 186-4
EDDSA SigGen	A4481	Curve - ED-25519, ED-448	FIPS 186-5
EDDSA SigVer	A4481	Curve - ED-25519, ED-448	FIPS 186-5
RSA SigGen (FIPS186-4)	A4481	Signature Type - ANSI X9.31, PKCS 1.5, PKCSPSS Modulo - 2048, 3072, 4096	FIPS 186-4
RSA SigGen (FIPS186-5)	A4481	Modulo - 2048, 3072, 4096 Signature Type - pkcs1v1.5, pss	FIPS 186-5
RSA Signature Primitive (CVL)	A4481	Private Key Format - crt	FIPS 186-4
RSA SigVer (FIPS186-4)	A4481	Signature Type - ANSI X9.31, PKCS 1.5, PKCSPSS Modulo - 1024, 2048, 3072, 4096	FIPS 186-4
RSA SigVer (FIPS186-5)	A4481	Modulo - 2048, 3072, 4096 Signature Type - pkcs1v1.5, pss	FIPS 186-5

Table 14: Approved Algorithms - Signature

**Vendor-Affirmed Algorithms:**

Name	Properties	Implementation	Reference
CKG Section 4		KeyPair FIPS Provider for OpenSSL 3	NIST, SP 800-133 Rev. 2
CKG Section 5		KeyPair FIPS Provider for OpenSSL 3	NIST, SP 800-133 Rev. 2
CKG Section 6.2		KeyPair FIPS Provider for OpenSSL 3	NIST, SP 800-133 Rev. 2
Hash DRBG with SHA3-256, SHA3-512		KeyPair FIPS Provider for OpenSSL 3	NIST, SP 800-90A Rev. 1
HMAC DRBG with SHA3-256, SHA3-512		KeyPair FIPS Provider for OpenSSL 3	NIST, SP 800-90A Rev. 1

Table 15: Vendor-Affirmed Algorithms

**Non-Approved, Allowed Algorithms:**

N/A for this module.

**Non-Approved, Allowed Algorithms with No Security Claimed:**

N/A for this Module.

**Non-Approved, Not Allowed Algorithms:**

N/A for this Module.

## 2.6 Security Function Implementations

Name	Type	Description	Properties	Algorithms
Cipher (Unauth)	BC-UnAuth	AES ciphers		AES-CBC: (A4481) AES-CBC-CS1: (A4481) AES-CBC-CS2: (A4481) AES-CBC-CS3: (A4481) AES-CFB1: (A4481) AES-CFB128: (A4481) AES-CFB8: (A4481) AES-CTR: (A4481) AES-ECB: (A4481) AES-OFB: (A4481) AES-XTS Testing Revision 2.0: (A4481)
Cipher (Auth)	BC-Auth	Authenticated ciphers		AES-CCM: (A4481) AES-GCM: (A4481) AES-KW: (A4481) AES-KWP: (A4481)
CKG Section 4	CKG	Using the Output of a Random Bit Generator		CKG Section 4: ()
CKG Section 5	CKG	Generation of Key Pairs for Asymmetric-Key Algorithms		CKG Section 5: ()
CKG Section 6.2	CKG	Derivation of Symmetric Keys		CKG Section 6.2: ()
Key agreement	KAS-SSC	Key agreement	KAS:KAS-ECC-SSC provides between 112 and 256 bits of encryption strength; KAS-FFC-SSC provides between 112 and 200 bits of encryption strength; KAS-IFC-SSC provides between 112 and 200 bits of encryption strength	KAS-ECC CDH-Component SP800-56Ar3: (A4481) KAS-ECC-SSC Sp800-56Ar3: (A4481) KAS-FFC-SSC Sp800-56Ar3: (A4481) KAS-IFC-SSC: (A4481)

Name	Type	Description	Properties	Algorithms
Key derivation	KAS-135KDF KAS-56CKDF KBKDF PBKDF			KAS-KDF HKDF SP800-56Cr2: (A4481) KAS-KDF OneStep SP800-56Cr2: (A4481) KAS-KDF TwoStep SP800-56Cr2: (A4481) KDF ANS 9.42: (A4481) KDF ANS 9.63: (A4481) KDF SP800-108: (A4481) KDF SSH: (A4481) PBKDF: (A4481) TLS v1.2 KDF RFC7627: (A4481) TLS v1.3 KDF: (A4481)
Key management ECC	AsymKeyPair-KeyGen AsymKeyPair-KeyVer			ECDSA KeyGen (FIPS186-4): (A4481) ECDSA KeyVer (FIPS186-4): (A4481)
Key management Edwards	AsymKeyPair-KeyGen AsymKeyPair-KeyVer			EDDSA KeyGen: (A4481) EDDSA KeyVer: (A4481)
Key management FFC	AsymKeyPair-KeyGen			DSA KeyGen (FIPS186-4): (A4481) DSA PQGGen (FIPS186-4): (A4481) DSA PQGVer (FIPS186-4): (A4481) Safe Primes Key Generation: (A4481) Safe Primes Key Verification: (A4481)
Key management IFC	AsymKeyPair-KeyGen			RSA KeyGen (FIPS186-4): (A4481)
Key transport	KTS-Encap		KTS:2048, 3072, 4096 or 6144-bit keys provide between 112 and 176 bits of encryption strength	KTS-IFC: (A4481)
KTS (Cipher w/ CMAC, GMAC, HMAC, KMAC)	BC-Auth BC-UnAuth MAC	SP 800-38F Section 3.1 Provisions	KTS:128, 192 or 256-bit keys provide between 128 and 256 bits of encryption strength	AES-CBC: (A4481) AES-CBC-CS1: (A4481) AES-CBC-CS2: (A4481) AES-CBC-CS3: (A4481) AES-CFB1: (A4481) AES-CFB128: (A4481) AES-CFB8: (A4481) AES-CTR: (A4481) AES-ECB: (A4481) AES-OFB: (A4481) AES-CCM: (A4481) AES-GCM: (A4481) AES-GMAC: (A4481) AES-CMAC: (A4481) HMAC-SHA-1: (A4481)

Name	Type	Description	Properties	Algorithms
				HMAC-SHA2-224: (A4481) HMAC-SHA2-256: (A4481) HMAC-SHA2-384: (A4481) HMAC-SHA2-512: (A4481) HMAC-SHA2-512/224: (A4481) HMAC-SHA2-512/256: (A4481) HMAC-SHA3-224: (A4481) HMAC-SHA3-256: (A4481) HMAC-SHA3-384: (A4481) HMAC-SHA3-512: (A4481) KMAC-128: (A4481) KMAC-256: (A4481)
KTS (AES KW, KWP)	BC-Auth		KTS:128, 192 or 256-bit keys provide between 128 and 256 bits of encryption strength	AES-KW: (A4481) AES-KWP: (A4481)
MAC AES (CMAC, GMAC)	MAC			AES-GMAC: (A4481) AES-CMAC: (A4481)
MAC HMAC	MAC			HMAC-SHA-1: (A4481) HMAC-SHA2-224: (A4481) HMAC-SHA2-256: (A4481) HMAC-SHA2-384: (A4481) HMAC-SHA2-512: (A4481) HMAC-SHA2-512/224: (A4481) HMAC-SHA2-512/256: (A4481) HMAC-SHA3-224: (A4481) HMAC-SHA3-256: (A4481) HMAC-SHA3-384: (A4481) HMAC-SHA3-512: (A4481)
MAC KMAC (XOF)	XOF			KMAC-128: (A4481) KMAC-256: (A4481)
Message Digest	SHA			SHA-1: (A4481) SHA2-224: (A4481) SHA2-256: (A4481) SHA2-384: (A4481) SHA2-512: (A4481) SHA2-512/224: (A4481) SHA2-512/256: (A4481) SHA3-224: (A4481) SHA3-256: (A4481)

Name	Type	Description	Properties	Algorithms
				SHA3-384: (A4481) SHA3-512: (A4481)
Message Digest (XOF SHAKE)	XOF			SHAKE-128: (A4481) SHAKE-256: (A4481)
Random	DRBG			Counter DRBG: (A4481) Hash DRBG: (A4481) HMAC DRBG: (A4481)
Signature DSA	DigSig-SigGen DigSig-SigVer			DSA SigGen (FIPS186-4): (A4481) DSA SigVer (FIPS186-4): (A4481)
Signature ECDSA	DigSig-SigGen DigSig-SigVer			ECDSA SigGen (FIPS186-4): (A4481) ECDSA SigVer (FIPS186-4): (A4481)
Signature EDDSA	DigSig-SigGen DigSig-SigVer			EDDSA SigGen: (A4481) EDDSA SigVer: (A4481)
Signature RSA	DigSig-SigGen DigSig-SigVer			RSA SigGen (FIPS186-4): (A4481) RSA SigGen (FIPS186-5): (A4481) RSA Signature Primitive: (A4481) RSA SigVer (FIPS186-4): (A4481) RSA SigVer (FIPS186-5): (A4481)

Table 16: Security Function Implementations

## 2.7 Algorithm Specific Information

### AES-GCM:

The Module supports internal IV generation using the Approved DRBG. The IV is at least 96 bits in length per SP 800-38D Section 8.2.2, and the Approved DRBG generates outputs such that the (key, IV) pair collision probability is less than  $2^{-32}$  per SP 800-38D Section 8.

AES-GCM IVs shall be used in compliance with FIPS 140-3 IG C.H scenario 1a (TLS/DTLS 1.2, per RFC 5288), 1d (SSHv2, per RFC 5647) and 5 (TLS 1.3, per RFC 8446). The Module is compatible with TLS/DTLS 1.2 protocol and provides the primitives to support the AES GCM ciphersuites from SP 800-52 Rev. 1 Section 3.3.1. The Module's implementation of AES-GCM is used together with one or more applications outside the Module's cryptographic boundary that implement the specified protocols; these protocols have not been reviewed or tested by the CAVP and CMVP. In each of the protocols, if the Module's power is lost and then restored, the key used for the AES GCM encryption/decryption shall be re-distributed. This condition is not enforced by the Module but is met implicitly. The Module does not retain any state across reset or power-cycles: AES-GCM key/IVs are not stored in non-volatile persistent memory (i.e., disk), hence no re-connection can occur without a fresh key establishment operation and the associated SSPs.

The Module explicitly ensures that the counter (the nonce\_explicit part of the IV) does not exhaust the maximum number of possible values of  $2^{64}-1$  for a given session key. If this exhaustion condition is observed, the Module returns an error indication to the calling application, which will then need to either abort the connection, or trigger a handshake to establish a new encryption key.

**XTS-AES:**

In accordance with SP 800-38E, the XTS-AES algorithm is to be used for confidentiality on storage devices. The Module complies with FIPS 140-3 IG C.I by:

- Generating Key\_1 and Key\_2 independently according to the rules for component symmetric keys from SP 800-133 Rev. 2, Section 6.3.
- Explicitly checking that Key\_1 ≠ Key\_2 before using the keys in the XTS-AES algorithm to process data with them.

**Key Agreement:**

The Module implements the following Approved key agreement methods which have been CAVP tested and validated:

- KAS-ECC-SSC per SP 800-56A Rev. 3 (FIPS 140-3 IG D.F Scenario 2, path 1).
- KAS-FFC-SSC per SP 800-56A Rev. 3 (FIPS 140-3 IG D.F Scenario 2, path 1).
- KAS-IFC-SSC per SP 800-56B Rev. 2 (FIPS 140-3 IG D.F Scenario 1, path 1).

The Module obtains the FIPS 140-3 IG D.F required key agreement assurances:

- SP 800-56A Rev. 3 in accordance with Section 5.6.2.
- SP 800-56B Rev. 2 in accordance with Section 6.4.

**PBKDF:**

The implemented PBKDF uses Option 1a specified in SP 800-132 Section 5.4.

FIPS 140-3 IG D.N SP 800-132 *Password-Based Key Derivation for Storage Applications* notes that:

*The strength of the Data Protection Key is based on the strength of the Password and/or Passphrase used in key derivation. SP 800-132 does not impose any strictly defined requirements on the strength of a password. It says that “passwords **should** be strong enough so that it is infeasible for attackers to get access by guessing a password.”*

The choice to use the PBKDF with a password or passphrase is entirely outside the scope of the Module, managed by the calling application – and potentially would need to accommodate not only application-level considerations, but end use environment considerations and policies as well. As examples, the end use environment may impose policies to reject words found in a dictionary, to use specific types of characters (upper case, lower case, punctuation) and so on. The Module does not enforce a reduced character space (referring to the set of allowed characters), and as such, any policy to restrict the character space weakens the potential strength of the derived Data Protection Key (KD\_PW\_PBKDF).

In the summary of password strength guidance below, the term *useful* refers to characters which are not simply padding the string, for example with some combination of repetitive characters – such means of skirting organizational policies are not recommended. The phrase *character space* refers to the set of characters that a password or passphrase is constrained to. The printable character space is assumed to be 95 printable characters.

Integrators making use of PBKDF with this Module shall determine password policy and input length based on the intended output key size and strength, taking into consideration the probability of guessing KD\_PW\_PBKDF. The following examples are provided to guide parameter selection:

- $1/(2^{256}) = 8.6E-78$  for a 32-byte KD\_PW\_PBKDF field with no character space restriction (equivalent to a 256-bit symmetric key).
- $1/(95^{18}) = 2.5E-36$  for KD\_PW\_PBKDF with 18 useful printable characters (better than a 112-bit symmetric key, i.e.  $1/(2^{112}) = 1.9E-34$ ).
- $1/(95^{20}) = 3.4E-48$  for KD\_PW\_PBKDF with 20 useful printable characters (better than a 128-bit symmetric key, i.e.  $1/(2^{128}) = 2.9E-39$ ).
- $1/(95^{40}) = 7.8E-80$  for KD\_PW\_PBKDF with 40 useful printable characters (better than a 256-bit symmetric key, i.e.  $1/(2^{256}) = 8.6E-78$ ).

In accordance with SP 800-132 and FIPS 140-3 IG D.N, keys derived from passwords are only to be used in storage applications.

The iteration count shall be selected as large as possible, as long as the time required to generate the key using the entered password is acceptable for the users. The Module enforces the following SP 800-132 compliance checks:

- The iteration count is at least 1000.
- The salt length is at least 128 bits.
- The derived key length is at least 112 bits.

#### RSA:

The Module complies with FIPS 140-3 IG C.F as follows:

- RSA Key Generation, Signature Generation and Signature Verification have been tested and validated with all implemented modulus lengths for which CAVP testing is available: k = 1024 (legacy Signature Verification only), k = 2048, k = 3072, and k = 4096.
- The Module also supports RSA Key Generation, Signature Generation and Signature Verification with modulus lengths for which CAVP testing is not available: k > 4096.

#### SHA-3 and SHAKE:

The Module complies with FIPS 140-3 IG C.C as follows:

- All implemented SHA-3 and SHAKE functions have been tested and validated on all of the Module's operating environments.
- Vendor affirmation is claimed for use of the SHA3-256 and SHA3-512 hash functions as part of the Hash DRBG and HMAC DRBG, for which CAVP testing with SHA-3 is not available.

## 2.8 RBG and Entropy

N/A for this Module. The calling application is responsible for use of a SP 800-90B compliant entropy source outside the Module boundary providing at least 256 bits of security strength. Entropy is supplied to the Module via callback functions. The following caveat applies per FIPS 140-3 IG 9.3.A:

*No assurance of the minimum strength of generated SSPs (e.g., keys).*

## 2.9 Key Generation

The Module:

- Produces random values in accordance with SP 800-133 Rev. 2 Section 4, in that the DRBG output is provided directly as the random output.
- Does not provide any service beyond random value generation for symmetric key generation. SSPs used with symmetric key algorithms are provided by the calling application.
- Produces asymmetric keys in accordance with SP 800-133 Rev. 2 Section 5, in that all asymmetric keys generated by the Module (the Key management service) provide the output of the approved key generation algorithm with no post-processing or manipulation of the generated key pairs. As noted in the previous item, random values used in the asymmetric key generation algorithms are direct outputs of the DRBG. Keys produced by the Module use an internal Counter DRBG for which the minimum key size and equivalent security strength is 128 bits.
- Supports symmetric key derivation in accordance with SP 800-133 Rev. 2 Section 6.2, using the approved and CAVP listed KDF algorithms.

## 2.10 Key Establishment

The Module implements key agreement methods compliant with FIPS 140-3 IG D.F and key transport methods compliant with FIPS 140-3 IG D.G. Strengths are provided in Section 2.6.

## 2.11 Industry Protocols

The Module conforms to FIPS 140-3 IG D.C *References to the Support of Industry Protocols*: while it provides SP 800-56A Rev. 3 conformant schemes and API entry points oriented to TLS usage, the Module does not contain the full implementation of TLS. The following caveat is required:

*No parts of the TLS protocol, other than the approved cryptographic algorithms and the KDFs, have been tested by the CAVP and CMVP.*

# 3 Cryptographic Module Interfaces

## 3.1 Ports and Interfaces

Physical Port	Logical Interface(s)	Data That Passes
N/A (API - input)	Control Input Data Input	API input: stack frame including non-sensitive parameters.
N/A (API - output)	Data Output Status Output	API output: output parameters and return value resulting from call execution.

Table 17: Ports and Interfaces

The Module does not interact with physical ports. The Control Output interface is not applicable, as the Module does not control other components.

## 4 Roles, Services, and Authentication

### 4.1 Authentication Methods

N/A for this Module.

### 4.2 Roles

Name	Type	Operator Type	Authentication Methods
CO	Role	CO	

Table 18: Roles

The Module supports the mandatory Cryptographic Officer (CO) operational role only (implicitly identified), and does not support a maintenance role or a bypass capability. The Module does not provide an authentication or identification method of its own. The CO role is assumed by meeting the conditions of Section 11 of this document and in associated Guidance Documentation.

### 4.3 Approved Services

Name	Description	Indicator	Inputs	Outputs	Security Functions	SSP Access
Cipher	Encrypt or decrypt data, including AEAD modes (CCM, GCM).	FIPS_OK	Encryption or decryption key; plaintext or ciphertext data; flags.	Status return. Plaintext or ciphertext data.	Cipher (Unauth) Cipher (Auth)	CO - SC_EDK_AES: W,E - SC_EDK_XTS: W,E
Get capabilities	Reports information on the requested capabilities.	FIPS_OK	Provider context, capability, callback pointer and arguments.	Description of capabilities.		
Initialize	Module initialization, including instantiation of the opaque (managed within the module) Counter DRBG instance.	FIPS_OK	Core handle, dispatch in and out, provider context.	Initialization status (1 = pass, 0 = fail).	Random MAC HMAC	CO - DRBG_EI: W,E,Z - DRBG_Seed: G,E,Z - DRBG_Key: G,W,E - DRBG_V: G,W,E
Key agreement	Perform key agreement primitives on behalf of the calling process (does not establish keys into the module).	FIPS_OK	Key structs (key agreement keys); flags.	Status return; key agreement shared secret.	CKG Section 5 Key agreement	CO - KAS_Private_ECC: W,E - KAS_Public_ECC: W,E - KAS_Private_FFC: W,E - KAS_Public_FFC: W,E - KAS_Private_IFC: W,E - KAS_Public_IFC: W,E - KAS_SS_ECC: G,R - KAS_SS_FFC: G,R - KAS_SS_IFC: G,R

Name	Description	Indicator	Inputs	Outputs	Security Functions	SSP Access
Key derivation	Derive keying material from a shared secret.	FIPS_OK	Key agreement shared secret; flags.	Status return; derived keying material.	Key derivation CKG Section 6.2	CO - KD_DKM_KDF: G,R - KD_PW_PBKDF: W,E - KD_DKM_PBKDF: G,R - KD_SK: W,E
Key management	Generate asymmetric key pairs.	FIPS_OK	ECDSA, EdDSA: curve identifier. DSA, RSA: domain parameter targets.	Status return; general digital signature private and public keys.	Key management ECC Key management Edwards Key management FFC Key management IFC CKG Section 4	CO - DRBG_C: G,W,E - DRBG_Key: W,G,E - DRBG_V: W,G,E - GKP_Private_ECC: G,R - GKP_Public_ECC: G,R - GKP_Private_Edwards: G,R - GKP_Public_Edwards: G,R - GKP_Private_FFC: G,R - GKP_Public_FFC: G,R - GKP_Private_IFC: G,R - GKP_Public_IFC: G,R
Key transport	Encapsulate or decapsulate key material on behalf of the calling process.	FIPS_OK	Key encapsulation/decapsulation key or Key wrap/unwrap key.	Status return; key transport shared secret.	CKG Section 5 Key transport KTS (Cipher w/ CMAC, GMAC, HMAC, KMAC) KTS (AES KW, KWP)	CO - KTS_KDK_IFC: W,E - KTS_KEK_IFC: W,E - KTS_SS_IFC: G,R
Message authentication	Generate or verify data integrity.	FIPS_OK	Keyed hash key.	Status return; MAC output value.	MAC AES (CMAC, GMAC) MAC HMAC MAC KMAC (XOF)	CO - KH_Key_AES-CMAC: W,E - KH_Key_AES-GMAC: W,E - KH_Key_HMAC: W,E - KH_Key_KMAC: W,E
Message digest	Generate a message digest.	FIPS_OK	Message; flags.	Status return; Hash output value.	Message Digest Message Digest (XOF SHAKE)	
Query	Report available crypto operations.	FIPS_OK	Provider context, operation ID.	Array of available operations.		
Random	Generate random bits using the DRBG.	FIPS_OK	DRBG struct (RBG State); DRBG_Seed.	Status return; Random value.	Random CKG Section 4	CO - DRBG_C: W,E - DRBG_EI: W,E,Z - DRBG_Seed: G,E,Z - DRBG_Key: W,E - DRBG_V: W,E

Name	Description	Indicator	Inputs	Outputs	Security Functions	SSP Access
Self-test	Perform the self-test sequence.	FIPS_OK	Provider context.	Status (1 = pass, 0 = fail).		
Show module name and versioning information	Return module name and versioning information.	FIPS_OK	Provider context, parameter types (array).	Parameter types (array) with: Name, Version.		
Show status	OpenSSL core metadata (Gettable parameters; Get parameters).	FIPS_OK	Provider context, parameter types (array).	Parameter types with: BuildInfo, Status, SecurityChecks; Status return.		
Signature	Generate or verify digital signatures. (SSPs are passed in by the calling process.)	FIPS_OK	Sign: signing key; message. Verify: signature value; flags; sizes.	Status return; Signature value.	CKG Section 5 Signature DSA Signature ECDSA Signature EDDSA Signature RSA	CO - DS_SGK_ECC: W,E - DS_SVK_ECC: W,E - DS_SGK_Edwards: W,E - DS_SVK_Edwards: W,E - DS_SGK_FFC: W,E - DS_SVK_FFC: W,E - DS_SGK_IFC: W,E - DS_SVK_IFC: W,E
Teardown	Unstantiate the module; zeroizes internal CTR DRBG state (DRBG_Key, DRBG_V).	FIPS_OK	Provider context.	None.		CO - DRBG_Key: Z - DRBG_V: Z
Zeroize	Zeroization of allocated key structures using openssl_cleanse.	FIPS_OK	Memory pointer.	Void.		CO - DRBG_C: Z - DRBG_EI: Z - DRBG_Key: Z - DRBG_Seed: Z - DRBG_V: Z - DS_SGK_ECC: Z - DS_SGK_Edwards: Z - DS_SGK_FFC: Z - DS_SGK_IFC: Z - DS_SVK_ECC: Z - DS_SVK_Edwards: Z - DS_SVK_FFC: Z - DS_SVK_IFC: Z - GKP_Private_ECC: Z - GKP_Private_Edwards: Z

Name	Description	Indicator	Inputs	Outputs	Security Functions	SSP Access
						<ul style="list-style-type: none"> <li>- GKP_Private_FFC: Z</li> <li>- GKP_Private_IFC: Z</li> <li>- GKP_Public_ECC: Z</li> <li>- GKP_Public_Edwards: Z</li> <li>- GKP_Public_FFC: Z</li> <li>- GKP_Public_IFC: Z</li> <li>- KAS_Private_ECC: Z</li> <li>- KAS_Private_FFC: Z</li> <li>- GKP_Private_ECC: Z</li> <li>- KAS_Private_IFC: Z</li> <li>- KAS_Public_ECC: Z</li> <li>- KAS_Public_FFC: Z</li> <li>- KAS_Public_IFC: Z</li> <li>- KAS_SS_ECC: Z</li> <li>- KD_DKM_KDF: Z</li> <li>- KD_DKM_PBKDF: Z</li> <li>- KD_SK: Z</li> <li>- KH_Key_AES-CMAC: Z</li> <li>- KH_Key_AES-GMAC: Z</li> <li>- KH_Key_HMAC: Z</li> <li>- KH_Key_KMAC: Z</li> <li>- KTS_KDK_IFC: Z</li> <li>- KTS_KEK_IFC: Z</li> <li>- KTS_SS_IFC: Z</li> <li>- KAS_SS_ECC: Z</li> <li>- SC_EDK_AES: Z</li> <li>- SC_EDK_XTS: Z</li> </ul>

Table 19: Approved Services

All services implemented by the Module correspond to the functionality described by the *fips\_query* function, which returns available services based on an *operation\_id* input.

The *fips\_get\_params* function provides access to the current status of the Module as well as the name and version; this information correlates to the validation listing. A 1 value returned in status indicates the Module is running without error (FIPS\_OK); a 0 return indicates an error (with additional error details indicated as described in the release specific API documentation). Services are only operational in the running state. Any attempts to access services in any other state will result in an error being returned. If the integrity test or any CAST fails then any attempt to access any service will result in an error being returned.

The OpenSSL toolkit *OSSL\_PROVIDER\_get\_params* function is used to invoke *fips\_get\_params*, when called with the Module's global handle and a pointer to a parameter structure (initialized using *provider\_gettable\_params* or the equivalent).

Regarding the Indicator of approved security services, the Module conforms to FIPS 140-3 IG 2.4.C *Approved Security Service Indicator*, similar to example 2. Each service provides context sensitive status responses as described in the OpenSSL 3 API manual pages; generally, functions of return type int return the value 1 for success with other error codes as appropriate for the call (described in API documentation).

The Module's name and version parameters (as cited in Section 2) along with the Module's internal indicators of the security-check and conditional-errors settings are used to confirm the Module is the validated Module operating in the approved mode with only approved security services.

Note that the caller provides the KAS\_Private and KAS\_Public keys for shared secret computation; the caller's exchange and assurance of PSPs with the remote participant is outside the scope of the Module.

#### 4.4 Non-Approved Services

N/A for this Module.

#### 4.5 External Software/Firmware Loaded

N/A for this Module.

### 5 Software/Firmware Security

#### 5.1 Integrity Techniques

The Module uses HMAC-SHA2-256 as the approved integrity technique; the file fips.so.mac contains the integrity reference value. The Module is provided in an executable form (as fips.so shared object for use in Linux environments).

#### 5.2 Initiate on Demand

The operator can initiate the integrity test on demand by calling *fips\_self\_test* (invoked using *OSSL\_PROVIDER\_self\_test* called with the Module's global handle) or reloading the Module.

#### 5.3 Open-Source Parameters

In accordance with ISO/IEC 19790:2012 Annex B, as the Module is open source, the tools used to build the Module as tested are:

- gcc version 9.3.0
- perl v5.30.0
- gnu make v4.2.1

## 6 Operational Environment

### 6.1 Operational Environment Type and Requirements

**Type of Operational Environment:** Modifiable

No operational environment restrictions are required for operation in the approved mode. All conditions for operation of the Module in the approved mode are given in Section 2.4.

The Module conforms to FIPS 140-3 IG 2.3.C Processor Algorithm Accelerators (PAA) and Processor Algorithm Implementation (PAI). The AES-NI functions are identified by FIPS 140-3 IG 2.3.C as a known PAA.

## 7 Physical Security

N/A for this Module.

## 8 Non-Invasive Security

N/A for this Module.

## 9 Sensitive Security Parameters Management

### 9.1 Storage Areas

Storage Area Name	Description	Persistence Type
RAM	R: Random access memory	Dynamic

Table 20: Storage Areas

### 9.2 SSP Input-Output Methods

Name	From	To	Format Type	Distribution Type	Entry Type	SFI or Algorithm
I	Calling process	Call stack (API) input parameters	Plaintext	Manual	Electronic	
O	Call stack (API) output parameters	Calling process	Plaintext	Manual	Electronic	

Table 21: SSP Input-Output Methods

### 9.3 SSP Zeroization Methods

Zeroization Method	Description	Rationale	Operator Initiation
C	C (Cleanse): Caller invocation of <code>openssl_cleanse</code> .	Overwrites with zeros	Caller invocation of <code>openssl_cleanse</code>
T	T (Teardown): Module unload - invokes cleanse internally.	Overwrites with zeros	Occurs when module is unloaded

Table 22: SSP Zeroization Methods

All SSPs are zeroized (overwritten with 0s) when they are no longer needed:

- CSPs and PSPs with a lifetime associated with an OpenSSL object (e.g., `EVP_PKEY`) are zeroized when freed or reinitialized. The `OPENSSL_cleanse` function is used to zeroize CSPs and PSPs owned by the caller.
- CSPs with a lifetime associated with the Module are zeroized on Module uninstantiation (the Teardown operation).

### 9.4 SSPs

Name	Description	Size - Strength	Type - Category	Generated By	Established By	Used By
DRBG_C	Element of Hash DRBG state.	Size: 440-888 - Strength: $160 \leq s \leq 256$	Hash_DRBG_C - CSP	Random		Random
DRBG_EI	Entropy input from an external source used for DRBG seeding.	Size: 128-2^35 - Strength: $128 \leq s \leq 256$	Other - CSP			Random
DRBG_Key	Element of CTR DRBG or HMAC DRBG state.	Size: 128-256, 128-256 - Strength: $128 \leq s \leq 256$ , $160 \leq s \leq 256$	CTR_DRBG_Key, HMAC_DRBG_Key - CSP	Random		Random

Name	Description	Size - Strength	Type - Category	Generated By	Established By	Used By
DRBG_Seed	Seed used for DRBG Instantiation and Reseed.	Size: 128-256 - Strength: $128 \leq s \leq 256$	Other - CSP	Random		Random
DRBG_V	Element of CTR, Hash or HMAC DRBG state.	Size: 128-256, 128-256, 128-256 - Strength: $128 \leq s \leq 256$ , $128 \leq s \leq 256$ , $128 \leq s \leq 256$	CTR_DRBG_Key, Hash_DRBG_Key, HMAC_DRBG_Key - CSP	Random		Random
DS_SGK_ECC	SigGen (private) key.	Size: 233, 283, 409, 571, 233, 283, 409, 571, 224, 256, 384, 521 - Strength: $s = 112$ , $s = 128$ , $s = 192$ , $s = 256$ , $s = 112$ , $s = 128$ , $s = 192$ , $s = 256$ , $s = 112$ , $s = 128$ , $s = 192$ , $s = 256$	B-233, B-283, B-409, B-571, K-233, K-283, K-409, K-571, P-224, P-256, P-384, P-521 - CSP			Signature ECDSA
DS_SGK_Edwards	SigGen (private) key.	Size: 255, 448 - Strength: $s = 128$ , $s = 224$	Edwards25519, Edwards448 - CSP			Signature EDDSA
DS_SGK_FFC	SigGen (private) key.	Size: 2048, 2048, 3072 - Strength: $s = 112$ , $s = 112$ , $s = 128$	L=2048/N=224, L=2048/N=256, L=3072/N=256 - CSP			Signature DSA
DS_SGK_IFC	SigGen (private) key.	Size: 2048, 3072, 4096, 6144, 8192 - Strength: $s = 112$ , $s = 128$ , $s = 152$ , $s = 176$ , $s = 200$	k=2048, k=3072, k=4096, k=6144, k=8192 - CSP			Signature RSA
DS_SVK_ECC	SigVer (public) key.	Size: 163, 233, 283, 409, 571, 163, 233, 283, 409, 571, 192, 224, 256, 384, 521 - Strength: $s < 112$ , $s = 112$ , $s = 128$ , $s = 192$ , $s = 256$ , $s < 112$ , $s = 112$ , $s = 128$ , $s = 192$ , $s = 256$ , $s < 112$ , $s = 112$ , $s = 128$ , $s = 192$ , $s = 256$	B-163, B-233, B-283, B-409, B-571, K-163, K-233, K-283, K-409, K-571, P-192, P-224, P-256, P-384, P-521 - PSP			Signature ECDSA
DS_SVK_Edwards	SigVer (public) key.	Size: 255, 448 - Strength: $s = 128$ , $s = 224$	Edwards25519, Edwards448 - PSP			Signature EDDSA
DS_SVK_FFC	SigVer (public) key.	Size: 1024, 2048, 2048, 3072 - Strength: $s < 112$ , $s = 112$ , $s = 112$ , $s = 128$	L=1024/N=160, L=2048/N=224, L=2048/N=256, L=3072/N=256 - PSP			Signature DSA

Name	Description	Size - Strength	Type - Category	Generated By	Established By	Used By	
DS_SVK_IFC	SigVer (public) key.	Size: 1024, 2048, 3072, 4096, 6144, 8192 - Strength: s ≤ 112, s = 112, s = 128, s = 152, s = 176, s = 200	k=1024, k=2048, k=3072, k=4096, k=6144, k=8192 - PSP				Signature RSA
GKP_Private_ECC	General ECDSA (private) key.	Size: 233, 283, 409, 571, 233, 283, 409, 571, 224, 256, 384, 521 - Strength: s = 112, s = 128, s = 192, s = 256, s = 112, s = 128, s = 192, s = 256, s = 112, s = 128, s = 192, s = 256	B-233, B-283, B-409, B-571, K-233, K-283, K-409, K-571, P-224, P-256, P-384, P-521 - CSP	Key management ECC		Key management ECC	
GKP_Private_Edwards	General EdDSA (private) key.	Size: 255, 448 - Strength: s = 128, s = 224	Edwards25519, Edwards448 - CSP	Key management Edwards		Key management Edwards	
GKP_Private_FFC	General FFC (private) key.	Size: 2048, 2048, 3072 - Strength: s = 112, s = 112, s = 128	L=2048/N=224, L=2048/N=256, L=3072/N=256 - CSP	Key management FFC		Key management FFC	
GKP_Private_IFC	General RSA (private) key.	Size: 2048, 3072, 4096, 6144, 8192 - Strength: s = 112, s = 128, s = 152, s = 176, s = 200	k=2048, k=3072, k=4096, k=6144, k=8192 - CSP	Key management IFC		Key management IFC	
GKP_Public_ECC	General ECDSA (public) key.	Size: 233, 283, 409, 571, 233, 283, 409, 571, 224, 256, 384, 521 - Strength: s = 112, s = 128, s = 192, s = 256, s = 112, s = 128, s = 192, s = 256, s = 112, s = 128, s = 192, s = 256	B-233, B-283, B-409, B-571, K-233, K-283, K-409, K-571, P-224, P-256, P-384, P-521 - PSP	Key management ECC		Key management ECC	
GKP_Public_Edwards	General EdDSA (public) key.	Size: 255, 448 - Strength: s = 128, s = 224	Edwards25519, Edwards448 - PSP	Key management Edwards		Key management Edwards	
GKP_Public_FFC	General FFC (public) key.	Size: 2048, 2048, 3072 - Strength: s = 112, s = 112, s = 128	L=2048/N=224, L=2048/N=256, L=3072/N=256 - PSP	Key management FFC		Key management FFC	
GKP_Public_IFC	General RSA (public) key.	Size: 2048, 3072, 4096, 6144, 8192 - Strength: s = 112, s = 128, s = 152, s = 176, s = 200	k=2048, k=3072, k=4096, k=6144, k=8192 - PSP	Key management IFC		Key management IFC	

Name	Description	Size - Strength	Type - Category	Generated By	Established By	Used By
KAS_Private_ECC	Key pair component used for shared secret generation.	Size: 233, 283, 409, 571, 233, 283, 409, 571, 224, 256, 384, 521 - Strength: s = 112, s = 128, s = 192, s = 256, s = 112, s = 128, s = 192, s = 256, s = 112, s = 128, s = 192, s = 256	B-233, B-283, B-409, B-571, K-233, K-283, K-409, K-571, P-224, P-256, P-384, P-521 - CSP			Key agreement
KAS_Private_FFC	Key pair component used for shared secret generation.	Size: 2048, 3072, 4096, 6144, 8192 - Strength: s = 112, 112 ≤ s ≤ 128, 112 ≤ s ≤ 152, 112 ≤ s ≤ 176, 112 ≤ s ≤ 200	ffdhe2048, ffdhe3072, ffdhe4096, ffdhe6144, ffdhe8192 - CSP			Key agreement
KAS_Private_IFC	Key pair component used for shared secret generation.	Size: 2048, 3072, 4096, 6144, 8192 - Strength: s = 112, s = 128, s = 152, s = 176, s = 200	k=2048, k=3072, k=4096, k=6144, k=8192 - CSP			Key agreement
KAS_Public_ECC	Peer key pair component used for shared secret generation.	Size: 233, 283, 409, 571, 233, 283, 409, 571, 224, 256, 384, 521 - Strength: s = 112, s = 128, s = 192, s = 256, s = 112, s = 128, s = 192, s = 256, s = 112, s = 128, s = 192, s = 256	B-233, B-283, B-409, B-571, K-233, K-283, K-409, K-571, P-224, P-256, P-384, P-521 - PSP			Key agreement
KAS_Public_FFC	Peer key pair component used for shared secret generation.	Size: 2048, 3072, 4096, 6144, 8192 - Strength: s = 112, 112 ≤ s ≤ 128, 112 ≤ s ≤ 152, 112 ≤ s ≤ 176, 112 ≤ s ≤ 200	ffdhe2048, ffdhe3072, ffdhe4096, ffdhe6144, ffdhe8192 - PSP			Key agreement
KAS_Public_IFC	Peer key pair component used for shared secret generation.	Size: 2048, 3072, 4096, 6144, 8192 - Strength: s = 112, s = 128, s = 152, s = 176, s = 200	k=2048, k=3072, k=4096, k=6144, k=8192 - PSP			Key agreement
KAS_SS_ECC	Shared secret calculation z output value (for KDF).	Size: 112 - 256 - Strength: 112 - 256	Other - CSP		Key agreement	Key agreement
KAS_SS_FFC	Shared secret calculation z output value (for KDF).	Size: 112 - 256 - Strength: 112 - 200	Other - CSP		Key agreement	Key agreement

Name	Description	Size - Strength	Type - Category	Generated By	Established By	Used By
KAS_SS_IFC	Shared secret calculation z output value (for KDF).	Size: 112 - 256 - Strength: 112 - 200	Other - CSP		Key agreement	Key agreement
KD_DKM_KDF	Key derivation derived keying material.	Size: 128 - 256 - Strength: 128 - 256	Other - CSP	Key derivation		Key derivation
KD_DKM_PBKDF	PBKDF derived key material	Size: 128 - Strength: 128	Other - CSP	Key derivation		Key derivation
KD_PW_PBKDF	PBKDF password input.	Size: 128 - Strength: 128	Other - CSP	Key derivation		Key derivation
KD_SK	Key derivation source key material.	Size: 128 - 256 - Strength: 128 - 256	Other - CSP			Key derivation
KH_Key_AES-CMAC	Keyed Hash key.	Size: 128, 192, 256 - Strength: s = 128, s = 192, s = 256	AES-128, AES-192, AES-256 - CSP			MAC AES (CMAC, GMAC)
KH_Key_AES-GMAC	Keyed Hash key.	Size: 128, 192, 256 - Strength: s = 128, s = 192, s = 256	AES-128, AES-192, AES-256 - CSP			MAC AES (CMAC, GMAC)
KH_Key_HMAC	Keyed Hash key.	Size: 112 - 2048 - Strength: 112 - 256	Other - CSP			MAC HMAC
KH_Key_KMAC	Keyed Hash key.	Size: 128, 256 - Strength: 112 ≤ s ≤ 128, 112 ≤ s ≤ 256	KMAC128, KMAC256 - CSP			MAC KMAC (XOF)
KTS_KDK_IFC	RSA key de-encapsulation Key (key transport).	Size: 2048, 3072, 4096, 6144 - Strength: s = 112, s = 128, s = 152, s = 176	Other - CSP			Key transport
KTS_KEK_IFC	RSA key encapsulation Key (key transport).	Size: 2048, 3072, 4096, 6144 - Strength: s = 112, s = 128, s = 152, s = 176	Other - PSP			Key transport
KTS_SS_IFC	RSA key transport shared secret.	Size: 112 - 256 - Strength: s = 112 - s = 176	Other - CSP		Key transport	Key transport
SC_EDK_AES	Symmetric encryption and decryption.	Size: 128, 192, 256 - Strength: s = 128, s = 192, s = 256	AES-128, AES-192, AES-256 - CSP			Cipher (Unauth) Cipher (Auth)
SC_EDK_XTS	Symmetric encryption and decryption.	Size: 256, 512 - Strength: s = 128, s = 256	XTS-128, XTS-256 - CSP			Cipher (Unauth)

Table 23: SSP Table 1

Name	Input - Output	Storage	Storage Duration	Zeroization	Related SSPs
DRBG_C	I O	RAM:Plaintext	Call lifetime	C	DRBG_Seed:Derived From DRBG_V:Used with
DRBG_EI	I	RAM:Plaintext	Call lifetime	C	DRBG_Seed:Constituent
DRBG_Key	I O	RAM:Plaintext	Call lifetime (module up time for internal DRBG)	C T	DRBG_Seed:Derived From DRBG_V:Used with
DRBG_Seed		RAM:Plaintext	Call lifetime	C	DRBG_C:Derives DRBG_Key:Derives DRBG_V:Derives DRBG_EI:Incorporates
DRBG_V	I O	RAM:Plaintext	Call lifetime (module up time for internal DRBG)	C T	DRBG_Seed:Derived From DRBG_Key:Used with
DS_SGK_ECC	I	RAM:Plaintext	Call lifetime	C	DS_SVK_ECC:Paired With
DS_SGK_Edwards	I	RAM:Plaintext	Call lifetime	C	DS_SVK_Edwards:Paired With
DS_SGK_FFC	I	RAM:Plaintext	Call lifetime	C	DS_SVK_FFC:Paired With
DS_SGK_IFC	I	RAM:Plaintext	Call lifetime	C	DS_SVK_IFC:Paired With
DS_SVK_ECC	I	RAM:Plaintext	Call lifetime	C	DS_SGK_ECC:Paired With
DS_SVK_Edwards	I	RAM:Plaintext	Call lifetime	C	DS_SGK_Edwards:Paired With
DS_SVK_FFC	I	RAM:Plaintext	Call lifetime	C	DS_SGK_FFC:Paired With
DS_SVK_IFC	I	RAM:Plaintext	Call lifetime	C	DS_SGK_IFC:Paired With
GKP_Private_ECC	O	RAM:Plaintext	Call lifetime	C	GKP_Public_ECC:Paired With
GKP_Private_Edwards	O	RAM:Plaintext	Call lifetime	C	GKP_Public_Edwards:Paired With
GKP_Private_FFC	O	RAM:Plaintext	Call lifetime	C	GKP_Public_FFC:Paired With
GKP_Private_IFC	O	RAM:Plaintext	Call lifetime	C	GKP_Public_IFC:Paired With
GKP_Public_ECC	O	RAM:Plaintext	Call lifetime	C	GKP_Private_ECC:Paired With
GKP_Public_Edwards	O	RAM:Plaintext	Call lifetime	C	GKP_Private_Edwards:Paired With
GKP_Public_FFC	O	RAM:Plaintext	Call lifetime	C	GKP_Private_FFC:Paired With
GKP_Public_IFC	O	RAM:Plaintext	Call lifetime	C	GKP_Private_IFC:Paired With
KAS_Private_ECC	I	RAM:Plaintext	Call lifetime	C	KAS_Public_ECC:Paired With
KAS_Private_FFC	I	RAM:Plaintext	Call lifetime	C	KAS_Public_FFC:Paired With
KAS_Private_IFC	I	RAM:Plaintext	Call lifetime	C	KAS_Public_IFC:Paired With
KAS_Public_ECC	I	RAM:Plaintext	Call lifetime	C	KAS_Private_ECC:Paired With
KAS_Public_FFC	I	RAM:Plaintext	Call lifetime	C	KAS_Private_FFC:Paired With
KAS_Public_IFC	I	RAM:Plaintext	Call lifetime	C	KAS_Private_IFC:Paired With

Name	Input - Output	Storage	Storage Duration	Zeroization	Related SSPs
KAS_SS_ECC	O	RAM:Plaintext	Call lifetime	C	KAS_Private_ECC:Calculated From KAS_Public_ECC:Calculated From
KAS_SS_FFC	O	RAM:Plaintext	Call lifetime	C	KAS_Private_FFC:Calculated From KAS_Public_FFC:Calculated From
KAS_SS_IFC	O	RAM:Plaintext	Call lifetime	C	KAS_Private_IFC:Calculated From KAS_Public_IFC:Calculated From
KD_DKM_KDF	O	RAM:Plaintext	Call lifetime	C	KD_SK:Derived From
KD_DKM_PBKDF	O	RAM:Plaintext	Call lifetime	C	KD_PW_PBKDF:Derived From
KD_PW_PBKDF	I	RAM:Plaintext	Call lifetime	C	KD_DKM_PBKDF:Derives
KD_SK	I	RAM:Plaintext	Call lifetime	C	KD_DKM_KDF:Derives
KH_Key_AES-CMAC	I	RAM:Plaintext	Call lifetime	C	
KH_Key_AES-GMAC	I	RAM:Plaintext	Call lifetime	C	
KH_Key_HMAC	I	RAM:Plaintext	Call lifetime	C	
KH_Key_KMAC	I	RAM:Plaintext	Call lifetime	C	
KTS_KDK_IFC	I	RAM:Plaintext	Call lifetime	C	KTS_SS_IFC:Unwraps
KTS_KEK_IFC	I	RAM:Plaintext	Call lifetime	C	KTS_SS_IFC:Wraps
KTS_SS_IFC	O	RAM:Plaintext	Call lifetime	C	KTS_KDK_IFC:Unwrapped By KTS_KEK_IFC:Wrapped By
SC_EDK_AES	I	RAM:Plaintext	Call lifetime	C	
SC_EDK_XTS	I	RAM:Plaintext	Call lifetime	C	

Table 24: SSP Table 2

Keys used for CASTs and the temporary value used in the integrity test are not SSPs; however, the latter is deleted after use as required by AS05.10.

The Module maintains only the Counter DRBG state used for key generation as a persistent CSP; this DRBG instance is used exclusively for approved services.

## 9.5 Additional Information

**Key/Algorithm Type Equivalent Strengths:** Reference sources for the strengths provided in SSP Table 1 are specified below. Equivalent strength is given for each key or algorithm type (as some algorithms do not use or produce keys).

Block Cipher (and related functions):

- AES (AES-128, AES-192, AES-256): SP 800-57 Part 1 Rev. 5 Table 2.

Digital Signature:

- ECC (B-163, B-233, B-283, B-409, B-571, K-163, K-233, K-283, K-409, K-571, P-192, P-224, P-256, P-384, P-521): SP 800-186 Table 1 (provides approximate elliptic curve security strengths). SP 800-186 and FIPS 140-3 IG C.K indicate that the Binary (B-) and Koblitz (K-) curves are deprecated.
- EdDSA (ED-25519, ED-448): SP 800-186 Table 1.
- FFC (DSA: L=1024/N=160, L=2048/N=224, L=2048/N=256, L=3072/N=256): SP 800-57 Part 1 Rev. 5 Table 2. Security strength for L=2048/N=256 is determined in accordance with FIPS 140-3 IG D.B Strength of SSP Establishment Methods as  $y = \min(x, N/2)$ , where x is 112 and therefore  $y = \min(112, 128) = 112$ .
- IFC (RSA: k=1024, k=2048, k=3072, k=4096): SP 800-57 Part 1 Rev. 5 Table 2.

In Digital Signature applications, security strength is primarily associated with the asymmetric key pair specification. The hash function used must have equivalent strength equal to or greater than the security strength of the associated key pair.

Secure Hash (and related functions):

- SHA-1, SHA2 (SHA2-224, SHA2-256, SHA2-384, SHA2-512, SHA2-512/224, SHA2-512/256): SP 800-107 Rev. 1 Table 1.
- SHA3 (SHA3-224, SHA3-256, SHA3-384, SHA3-512): SP 800-57 Part 1 Rev. 5 Table 3.
- SHAK (SHAKE128, SHAKE256): SP 800-185 Section 8.1.

Preimage resistance strength applies to hash algorithms used in DRBG, KDFs. Described also in SP 800-57 Part 1 Rev. 5 Table 3.

Message Authentication:

- KMAC (KMAC128, KMAC256): SP 800-56C Rev. 2 Table 3.

Key Agreement:

- KAS-ECC-SSC (B-233, B-283, B-409, B-571, K-233, K-283, K-409, K-571, P-224, P-256, P-384, P-521): SP 800-56A Rev. 3 Table 24.
- KAS-FFC-SSC (FB, FC, ffdhe2048, ffdhe3072, ffdhe4096, ffdhe6144, ffdhe8192, modp-2048, modp-3072, modp-4096, modp-6144, modp-8192): SP 800-56A Rev. 3 Tables 25 and 26.
- KAS-IFC-SSC (k=2048, k=3072, k=4096, k=6144, k=8192): SP 800-56B Rev. 2 Table 4 (provides approximate security strengths).

Key Agreement Key Derivation:

- KDA OneStep: SP 800-56C Rev. 2 Table 1 (hash), Table 2 (HMAC) and Table 3 (KMAC).

## 10 Self-Tests

### 10.1 Pre-Operational Self-Tests

Algorithm or Test	Test Properties	Test Method	Test Type	Indicator	Details
SW Integrity	HMAC-SHA2-256 #A4481	HMAC over the complete module file image	SW/FW Integrity	FIPS_OK or PROV_R_FIPS_MODULE_IN_ERROR_STATE	

Table 25: Pre-Operational Self-Tests

### 10.2 Conditional Self-Tests

Algorithm or Test	Test Properties	Test Method	Test Type	Indicator	Details	Conditions
AES-ECB	128-bit	KAT	CAST	FIPS_OK	Encrypt	Performed on module load.
AES-ECB	128-bit	KAT	CAST	FIPS_OK	Decrypt	Performed on module load.
AES-GCM	256-bit	KAT	CAST	FIPS_OK	Encrypt	Performed on module load.
AES-GCM	256-bit	KAT	CAST	FIPS_OK	Decrypt	Performed on module load.
Counter DRBG	AES-128 with derivation function	KAT	CAST	FIPS_OK	Instantiate, Generate, Reseed	Performed on module load.
DSA SigGen (FIPS186-4)	2048-bit with SHA2-384	KAT	CAST	FIPS_OK	Sign	Performed on module load.
DSA SigVer (FIPS186-4)	2048-bit with SHA2-384	KAT	CAST	FIPS_OK	Verify	Performed on module load.
ECDSA SigGen (FIPS186-4)	P-224 with SHA2-512	KAT	CAST	FIPS_OK	Sign	Performed on module load.
ECDSA SigVer (FIPS186-4)	P-224 with SHA2-512	KAT	CAST	FIPS_OK	Verify	Performed on module load.
EDDSA ED448 SigGen	Edwards448 SigGen with SHA2-256	KAT	CAST	FIPS_OK	Sign	Performed on module load.
EDDSA ED448 SigVer	Edwards448 SigVer with SHA2-256	KAT	CAST	FIPS_OK	Verify	Performed on module load.
EDDSA ED25519 SigGen	Edwards25519 SigGen with SHA2-512	KAT	CAST	FIPS_OK	Sign	Performed on module load.
EDDSA ED25519 SigVer	Edwards25519 SigVer with SHA2-512	KAT	CAST	FIPS_OK	Verify	Performed on module load.
Hash DRBG	SHA2-256	KAT	CAST	FIPS_OK	Instantiate, Generate, Reseed	Performed on module load.
HMAC DRBG	SHA-1	KAT	CAST	FIPS_OK	Instantiate, Generate, Reseed	Performed on module load.
HMAC-SHA2-256	SHA2-256 with a 256-bit key	KAT	CAST	FIPS_OK	Generate	Performed on module load.

Algorithm or Test	Test Properties	Test Method	Test Type	Indicator	Details	Conditions
KAS-ECC-SSC Sp800-56Ar3	P-256	KAT	CAST	FIPS_OK	Ephemeral Unified Shared Secret (Z) Computation	Performed on module load.
KAS-FFC-SSC Sp800-56Ar3	L=2048/N=256	KAT	CAST	FIPS_OK	dhEphem Shared Secret (Z) Computation	Performed on module load.
KAS-IFC-SSC	k=2048	KAT	CAST	FIPS_OK	SP 800-56B Rev. 2 Section 8.2.2 RSA Primitive Computation	Performed on module load.
KAS-KDF OneStep SP800-56Cr2	SHA2-224	KAT	CAST	FIPS_OK	SP 800-56C Rev. 2 Section 4 OneStep KDF (AKA OpenSSL single-step or SS-KDF)	Performed on module load.
KAS-KDF TwoStep SP800-56Cr2	SHA2-256	KAT	CAST	FIPS_OK	SP 800-56C Rev. 2 Section 5 TwoStep KDF (HKDF variant)	Performed on module load.
KDF ANS 9.42	Fixed input KAT	KAT	CAST	FIPS_OK	SP 800-135 Rev. 1 Section 5.1 ANSI X9.42-2001 KDF KAT	Performed on module load.
KDF ANS 9.63	Fixed input KAT	KAT	CAST	FIPS_OK	SP 800-135 Rev. 1 Section 5.1 X9.63-2001 KDF KAT	Performed on module load.
KDF SP800-108	HMAC-SHA2-256	KAT	CAST	FIPS_OK	SP 800-108 Rev. 1 Section 4.1 KAT for a Counter Mode KDF	Performed on module load.
KDF SSH	Fixed input KAT	KAT	CAST	FIPS_OK	SP 800-135 Rev. 1 Section 5.2 SSHv2 KDF KAT	Performed on module load.
KTS-IFC	k=2048	KAT	CAST	FIPS_OK	SP 800-56B Rev. 2 Decrypt for CRT	Performed on module load.
KTS-IFC	k=2048	KAT	CAST	FIPS_OK	SP 800-56B Rev. 2 Encrypt for Basic	Performed on module load.
KTS-IFC	k=2048	KAT	CAST	FIPS_OK	SP 800-56B Rev. 2 Decrypt for Basic	Performed on module load.
PBKDF	SHA2-256, 24-byte password, 36-byte salt, iteration count of 4096	KAT	CAST	FIPS_OK	SP 800-132 Section 5.3 KAT of Master Key derivation	Performed on module load.
RSA SigGen (FIPS186-4)	k=2048 with SHA2-256	KAT	CAST	FIPS_OK	Sign	Performed on module load.
RSA SigVer (FIPS186-4)	k=2048 with SHA2-256	KAT	CAST	FIPS_OK	Verify	Performed on module load.
SHA-1	SHA-1	KAT	CAST	FIPS_OK	Simple SHA KAT	Performed on module load.
SHA2-512	SHA2-512	KAT	CAST	FIPS_OK	Simple SHA KAT	Performed on module load.
SHA3-256	SHA3-256	KAT	CAST	FIPS_OK	Simple SHA KAT	Performed on module load.
TLS v1.2 KDF RFC7627	Fixed input KAT	KAT	CAST	FIPS_OK	SP 800-135 Rev. 1 Section 4.2.2 TLS 1.2 KAT	Performed on module load.

Algorithm or Test	Test Properties	Test Method	Test Type	Indicator	Details	Conditions
TLS v1.3 KDF	Fixed input KAT	KAT	CAST	FIPS_OK	RFC8446 Section 7.1 TLS v1.3 KDF KAT	Performed on module load.
DSA KeyGen (FIPS186-4)	PCT performed using the generated key pair	PCT	PCT	FIPS_OK	Sign, Verify	Performed on FFC (DSA, KAS-FFC-SSC) key pair generation, prior to returning the key pair on conclusion of the call.
ECDSA KeyGen (FIPS186-4)	PCT performed using the generated key pair	PCT	PCT	FIPS_OK	Sign, Verify	Performed on ECC (ECDSA) key pair generation, prior to returning the key pair on conclusion of the call.
EDDSA KeyGen	PCT performed using the generated key pair	PCT	PCT	FIPS_OK	Sign, Verify	Performed on Edwards (EdDSA) key pair generation, prior to returning the key pair on conclusion of the call.
RSA KeyGen (FIPS186-4)	PCT performed using the generated key pair	PCT	PCT	FIPS_OK	Sign, Verify	Performed on IFC (RSA, KAS-IFC-SSC, KTS-IFC) key pair generation, prior to returning the key pair on conclusion of the call.

Table 26: Conditional Self-Tests

The intended usage of asymmetric key pairs generated by the Module is not known at the time when the key pair is generated and the pairwise consistency test (PCT) is performed. In all cases, a sign and verify PCT is performed.

### 10.3 Periodic Self-Test Information

Algorithm or Test	Test Method	Test Type	Period	Periodic Method
SW Integrity	HMAC over the complete module file image	SW/FW Integrity	On demand	Module load

Table 27: Pre-Operational Periodic Information

Algorithm or Test	Test Method	Test Type	Period	Periodic Method
AES-ECB	KAT	CAST	On demand	On power on or reset
AES-ECB	KAT	CAST	On demand	On power on or reset
AES-GCM	KAT	CAST	On demand	On power on or reset
AES-GCM	KAT	CAST	On demand	On power on or reset
Counter DRBG	KAT	CAST	On demand	On power on or reset
DSA SigGen (FIPS186-4)	KAT	CAST	On demand	On power on or reset
DSA SigVer (FIPS186-4)	KAT	CAST	On demand	On power on or reset
ECDSA SigGen (FIPS186-4)	KAT	CAST	On demand	On power on or reset
ECDSA SigVer (FIPS186-4)	KAT	CAST	On demand	On power on or reset

Algorithm or Test	Test Method	Test Type	Period	Periodic Method
EDDSA ED448 SigGen	KAT	CAST	On demand	On power on or reset
EDDSA ED448 SigVer	KAT	CAST	On demand	On power on or reset
EDDSA ED25519 SigGen	KAT	CAST	On demand	On power on or reset
EDDSA ED25519 SigVer	KAT	CAST	On demand	On power on or reset
Hash DRBG	KAT	CAST	On demand	On power on or reset
HMAC DRBG	KAT	CAST	On demand	On power on or reset
HMAC-SHA2-256	KAT	CAST	On demand	On power on or reset
KAS-ECC-SSC Sp800-56Ar3	KAT	CAST	On demand	On power on or reset
KAS-FFC-SSC Sp800-56Ar3	KAT	CAST	On demand	On power on or reset
KAS-IFC-SSC	KAT	CAST	On demand	On power on or reset
KAS-KDF OneStep SP800-56Cr2	KAT	CAST	On demand	On power on or reset
KAS-KDF TwoStep SP800-56Cr2	KAT	CAST	On demand	On power on or reset
KDF ANS 9.42	KAT	CAST	On demand	On power on or reset
KDF ANS 9.63	KAT	CAST	On demand	On power on or reset
KDF SP800-108	KAT	CAST	On demand	On power on or reset
KDF SSH	KAT	CAST	On demand	On power on or reset
KTS-IFC	KAT	CAST	On demand	On power on or reset
KTS-IFC	KAT	CAST	On demand	On power on or reset
KTS-IFC	KAT	CAST	On demand	On power on or reset
PBKDF	KAT	CAST	On demand	On power on or reset
RSA SigGen (FIPS186-4)	KAT	CAST	On demand	On power on or reset
RSA SigVer (FIPS186-4)	KAT	CAST	On demand	On power on or reset
SHA-1	KAT	CAST	On demand	On power on or reset
SHA2-512	KAT	CAST	On demand	On power on or reset
SHA3-256	KAT	CAST	On demand	On power on or reset
TLS v1.2 KDF RFC7627	KAT	CAST	On demand	On power on or reset
TLS v1.3 KDF	KAT	CAST	On demand	On power on or reset
DSA KeyGen (FIPS186-4)	PCT	PCT	On demand	On power on or reset
ECDSA KeyGen (FIPS186-4)	PCT	PCT	On demand	On power on or reset
EDDSA KeyGen	PCT	PCT	On demand	On power on or reset
RSA KeyGen (FIPS186-4)	PCT	PCT	On demand	On power on or reset

Table 28: Conditional Periodic Information

## 10.4 Error States

Name	Description	Conditions	Recovery Method	Indicator
Self-test failure	The self-test failure error state	If one of the KATs fails or integrity test fails	Reload the Module into memory	PROV_R_FIPS_MODULE_IN_ERROR_STATE

Table 29: Error States

## 10.5 Operator Initiation of Self-Tests

Each time the Module is powered up it tests that the cryptographic algorithms still operate correctly and that sensitive data has not been damaged. The pre-operational self-tests are available on demand by reloading the Module.

On instantiation, the Module performs the pre-operational self-test and all CASTs. All KATs must complete successfully prior to any other use of cryptography by the Module.

The *fips\_self\_test* function (inclusive of software integrity verification) can also be called on demand, fulfilling AS05.11.

# 11 Life-Cycle Assurance

## 11.1 Installation, Initialization, and Startup Procedures

During the manufacturing process, Musarubra US LLC executes the build and installation instructions for the Module. The Module is pre-installed and configured in supported Musarubra US LLC solutions. The approved mode is enabled by default. There are no additional installation, configuration, or usage instructions for operators intending to use the Module.

## 11.2 Administrator Guidance

Guidance Documentation is inclusive of all information required per ISO/IEC 19790:2012 Section 7.11.9.

## 11.3 Non-Administrator Guidance

N/A for this Module.

## 11.4 Design and Rules

The inherent properties of the Module are:

1. Manual key entry is not supported.
2. Data output is inhibited during self-tests, zeroization, SSP generation, and error states.
3. The Module does not perform any cryptographic function if any self-test has failed.

## 12 Mitigation of Other Attacks

### 12.1 Attack List

The Module implements mitigations for constant-time implementations and blinding attacks.

### 12.2 Mitigation Effectiveness

Constant-time implementations protect cryptographic implementations in the Module against timing analysis since such attacks exploit differences in execution time depending on the cryptographic operation, and constant-time implementations ensure that the variations in execution time cannot be traced back to the key, CSP or secret data.

Numeric blinding protects the RSA, DSA and ECDSA algorithms from timing attacks. These algorithms are vulnerable to such attacks since attackers can measure the time of signature operations or RSA decryption. To mitigate this, the Module generates a random blinding factor which is provided as an input to the decryption/signature operation and is discarded once the operation has completed and resulted in an output. This makes it difficult for attackers to attempt timing attacks on such operations without the knowledge of the blinding factor, and therefore the execution time cannot be correlated to the RSA/DSA/ECDSA key.

### 12.3 Guidance and Constraints

The mitigation mechanisms described in Section 12.2 are inherent within the validated algorithms. No other guidance or constraints are specified.