

Apple Inc.



**Apple corecrypto Module v11.1 [Intel, User,
Software]**

FIPS 140-3 Non-Proprietary Security Policy

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1. General

This document is the non-proprietary FIPS 140-3 Security Policy for Apple corecrypto Module v11.1 [Intel, User, Software] cryptographic module. It contains the security rules under which the module must operate and describes how this module meets the requirements as specified in FIPS PUB 140-3 (Federal Information Processing Standards Publication 140-3) for a Security Level 1 module.

This document provides all tables and diagrams (when applicable) required by NIST SP 800-140B. The column names of the tables follow the template tables provided in NIST SP 800-140B.

Table 1 describes the individual security areas of FIPS 140-3, as well as the Security Levels of those individual areas.

| ISO/IEC 24759 Section 6. [Number Below] | FIPS 140-3 Section Title | Security Level |
|--|---|-----------------------|
| 1 | General | 1 |
| 2 | Cryptographic Module Specification | 1 |
| 3 | Cryptographic Module Interfaces | 1 |
| 4 | Roles, Services, and Authentication | 1 |
| 5 | Software/Firmware Security | 1 |
| 6 | Operational Environment | 1 |
| 7 | Physical Security | Not Applicable |
| 8 | Non-invasive Security | Not Applicable |
| 9 | Sensitive Security Parameter Management | 1 |
| 10 | Self-tests | 1 |
| 11 | Life-cycle Assurance | 1 |
| 12 | Mitigation of Other Attacks | Not Applicable |

Table 1 - Security Levels

2. Cryptographic Module Specification

The Apple corecrypto Module v11.1 [Intel, User, Software] cryptographic module (hereafter referred to as "the module") is a Software module running on a multi-chip standalone general-purpose computing platform. The version of module is 11.1, written as v11.1. The module provides implementations of low-level cryptographic primitives to the Host OS's (macOS Big Sur 11.0.1) Security Framework and Common Crypto. The module has been tested by atsec CST lab on the following platforms with and without AES-NI:

| # | Operating System | Hardware Platform | Processor | PAA/Acceleration |
|---|----------------------|-------------------|------------------------------|------------------|
| 1 | macOS Big Sur 11.0.1 | MacBook Air | Intel i5-8210Y (Amber Lake) | AES-NI |
| 2 | macOS Big Sur 11.0.1 | MacBook Air | Intel i7-1060NG7 (Ice Lake) | AES-NI |
| 3 | macOS Big Sur 11.0.1 | MacBook Pro | Intel i7-8850H (Coffee Lake) | AES-NI |
| 4 | macOS Big Sur 11.0.1 | MacBook Pro | Intel i9-9880H (Coffee Lake) | AES-NI |
| 5 | macOS Big Sur 11.0.1 | iMac Pro | Xeon W-2140B (Sky Lake) | AES-NI |
| 6 | macOS Big Sur 11.0.1 | Mac Pro | Xeon W-3223 (Cascade Lake) | AES-NI |

Table 2 – Tested Operational Environments

In addition to the platforms listed in Table 2, Apple Inc. has also tested the module on the following platforms and claims vendor affirmation on them:

| # | Operating System | Hardware Platform | Processor | Release Year |
|----|----------------------|-------------------|------------------|------------------|
| 1 | macOS Big Sur 11.0.1 | MacBook Pro | i5 (Ice Lake) | 2020 |
| 2 | macOS Big Sur 11.0.1 | MacBook Pro | i5 (Coffee Lake) | 2020, 2019, 2018 |
| 3 | macOS Big Sur 11.0.1 | MacBook Pro | i7 (Amber Lake) | 2019, 2018 |
| 4 | macOS Big Sur 11.0.1 | MacBook Pro | i7 (Coffee Lake) | 2020, 2019, 2018 |
| 5 | macOS Big Sur 11.0.1 | MacBook Pro | i7 (Ice Lake) | 2020 |
| 6 | macOS Big Sur 11.0.1 | MacBook Pro | i9 (Coffee Lake) | 2019, 2018 |
| 7 | macOS Big Sur 11.0.1 | MacBook Air | i5 (Ice Lake) | 2020 |
| 8 | macOS Big Sur 11.0.1 | MacBook Air | i7 (Ice Lake) | 2020 |
| 9 | macOS Big Sur 11.0.1 | MacBook Air | i5 (Amber Lake) | 2019, 2018 |
| 10 | macOS Big Sur 11.0.1 | MacBook Air | i7 (Amber Lake) | 2018 |
| 11 | macOS Big Sur 11.0.1 | Mac mini | i5 (Coffee Lake) | 2018 |
| 12 | macOS Big Sur 11.0.1 | Mac mini | i7 (Coffee Lake) | 2018 |
| 13 | macOS Big Sur 11.0.1 | iMac | i5 (Comet Lake) | 2020 |
| 14 | macOS Big Sur 11.0.1 | iMac | i7 (Comet Lake) | 2020 |
| 15 | macOS Big Sur 11.0.1 | iMac | i9 (Comet Lake) | 2020 |
| 16 | macOS Big Sur 11.0.1 | iMac | i5 (Coffee Lake) | 2019 |
| 17 | macOS Big Sur 11.0.1 | iMac | i7 (Coffee Lake) | 2019 |
| 18 | macOS Big Sur 11.0.1 | iMac | i9 (Coffee Lake) | 2019 |

Table 3 – Vendor Affirmed Operational Environments

The CMVP makes no statement as to the correct operation of the module or the security strengths of the generated keys when so ported if the specific operational environment is not listed on the validation certificate.

The table below lists all Approved or Vendor-affirmed security functions of the module, including specific key size(s) employed for approved services, and implemented modes of operation. The module is in the Approved mode of operation when the module utilizes the services that use the security functions listed in the table below. Not all algorithms tested with CAVP are used by the module. The Approved mode of operation is configured in the system by default and can only be transitioned into the non-Approved mode by calling one of the non-Approved services listed in Table 9 – Non-Approved Services. If the device starts up successfully, then the module has passed all self-tests and is operating in the Approved mode.

| CAVP Cert. | Algorithm and Standard | Mode / Method | Description / Key Size(s) | Use / Function |
|--|---|--|--|-------------------------------------|
| A918 (vng_asm) A919 (c_ltc) A921 (c_asm) A925 (c_aesni) A929 (vng_aesni) | CTR_DRBG [SP800-90A] | AES-128, AES-256 Derivation Function Enabled No Prediction Resistance | 128, 256 bits | Random Number Generation |
| A919 (c_ltc) A923 (c_avx2) A924 (c_avx) A930 (c_sse3) | HMAC_DRBG [SP800-90A] | SHA-1, SHA-224, SHA-256, SHA-384, SHA-512 No Prediction Resistance | 112 bits or greater | Random Number Generation |
| A919 (c_ltc) A921 (c_asm) A925 (c_aesni) | AES [FIPS 197] [SP 800-38A] [SP 800-38B] [SP 800-38C] [SP 800-38D] [SP 800-38E] | CBC, ECB, CCM, GCM, CFB128, CFB8, OFB, CTR, XTS CMAC (only in A919, with MAC Length: 128 bits) | Key Length: 128, 192, 256 bits XTS (128 and 256-bits key size only) | Symmetric Encryption and Decryption |
| A920 (c_glad) | AES [FIPS 197] [SP 800-38A] | CBC | Key Length: 128, 192, 256 | Symmetric Encryption and Decryption |
| A927 (asm_aesni) A926 (asm_x86) | AES [FIPS 197] [SP 800-38A] [SP 800-38E] | CBC, ECB, XTS | Key Length: 128, 192, 256 XTS (128 and 256-bits key size only) | Symmetric Encryption and Decryption |
| A918 (vng_asm) A929 (vng_aesni) | AES [FIPS 197] [SP 800-38A] [SP 800-38C] [SP 800-38D] | ECB, CCM, CTR, GCM | Key Length: 128, 192, 256 | Symmetric Encryption and Decryption |

| CAVP Cert. | Algorithm and Standard | Mode / Method | Description / Key Size(s) | Use / Function |
|--|---|--|-----------------------------------|------------------------------------|
| A919 (c_ltc) A921 (c_asm) A925 (c_aesni) | KTS (AES) [FIPS 197] [SP 800-38F] | AES-KW | Key Length: 128, 192, 256 | Key Wrapping and Unwrapping |
| A919 (c_ltc) A923 (c_avx2) A924 (c_avx) A930 (c_sse3) | RSA [FIPS 186-4] | Key Generation (ANSI X9.31), (CKG using method in Sections 4 and 5.1 [SP 800-133]) | Modulus: 2048, 3072, 4096 | Asymmetric Key Generation |
| A919 (c_ltc) A923 (c_avx2) A924 (c_avx) A930 (c_sse3) | RSA [FIPS 186-4] | Signature Generation (PKCS#1 v1.5) and (PKCS PSS) | Modulus: 2048, 3072, 4096 | Digital Signature Generation |
| A919 (c_ltc) A923 (c_avx2) A924 (c_avx) A930 (c_sse3) | RSA [FIPS 186-4] | Signature Verification (PKCS#1 v1.5) and (PKCS PSS) | Modulus: 1024, 2048, 3072, 4096 | Digital Signature Verification |
| A919 (c_ltc) A923 (c_avx2) A924 (c_avx) A930 (c_sse3) | ECDSA [FIPS 186-4] | Key Pair Generation (PKG) CKG using method in Sections 4 and 5.1 [SP 800-133]) | Curve: P-224, P-256, P-384, P-521 | Asymmetric Key Generation |
| A919 (c_ltc) A923 (c_avx2) A924 (c_avx) A930 (c_sse3) | ECDSA [FIPS 186-4] | Public Key Validation (PKV) | Curve: P-224, P-256, P-384, P-521 | Asymmetric Public Key Verification |
| A919 (c_ltc) A923 (c_avx2) A924 (c_avx) A930 (c_sse3) | ECDSA [FIPS 186-4] | Signature Generation | Curve: P-224, P-256, P-384, P-521 | Digital Signature Generation |
| A919 (c_ltc) A923 (c_avx2) A924 (c_avx) A930 (c_sse3) | ECDSA [FIPS 186-4] | Signature Verification | Curve: P-224, P-256, P-384, P-521 | Digital Signature Verification |
| A919 (c_ltc) A923 (c_avx2) A924 (c_avx) A928 (vng_Intel) A930 (c_sse3) | SHS [FIPS 180-4] | SHA-1, SHA-224, SHA-256, SHA-384, SHA-512, SHA-512/256 (except for A928) | N/A | Message Digest |

| CAVP Cert. | Algorithm and Standard | Mode / Method | Description / Key Size(s) | Use / Function |
|--|---|---|--|---------------------------|
| A919 (c_ltc) A923 (c_avx2) A924 (c_avx) A928 (vng_Intel) A930 (c_sse3) | HMAC [FIPS 198-1] | SHA-1, SHA-224, SHA-256, SHA-384, SHA-512 SHA-512/256 (except for A928) | Key Length: 112 bits or greater | Keyed Hash |
| A919 (c_ltc) | KAS-FFC-SSC [SP800-56A Rev 3] | Scheme: dhEphem: KAS Role: initiator, responder | Domain Parameter Generation Methods: MODP-2048, MODP-3072, MODP-4096, MODP-6144, MODP-8192 | Shared Secret Computation |
| A919 (c_ltc) | KAS-ECC-SSC [SP800-56A Rev 3] | Scheme: ephemeralUnified: KAS Role: initiator, responder | Domain Parameter Generation Methods: P-224, P-256, P-384, P-521 | Shared Secret Computation |
| A919 (c_ltc) A923 (c_avx2) A924 (c_avx) A930 (c_sse3) | KBKDF [SP800-108] | KDF Mode: Counter and Feedback MAC Mode: HMAC-SHA-1, HMAC-SHA2-224, HMAC- SHA2-256, HMAC- SHA2-384, HMAC- SHA2-512 | Supported Lengths: 8-4096 Increment 8 Fixed Data Order: Before Fixed Data Counter Length: 32 | Key Derivation |
| A919 (c_ltc) | KBKDF [SP800-108] | KDF Mode: Counter MAC Mode: CMAC-AES128, CMAC-AES192, CMAC- AES256 | Supported Lengths: 8-4096 Increment 8 Fixed Data Order: Before Fixed Data Counter Length: 8, 16, 24, 32 | Key Derivation |
| A919 (c_ltc) A923 (c_avx2) A924 (c_avx) A930 (c_sse3) | PBKDF [SP800-132] | HMAC with: SHA-1, SHA-224, SHA-256, SHA-384, SHA-512 | Password length: 8- 128 bytes Increment 1 Salt Length: 128-4096 Increment 8 Iteration Count: 10-1000 Increment 1 | Key Derivation |
| A919 (c_ltc) | Safe Primes Key Generation | KeyGen for DH (CKG using method in Sections 4 and 5.1 [SP 800-133]) | Safe Prime Groups: MODP-2048, MODP-3072, MODP-4096, MODP-6144, MODP-8192 | Key Generation |
| N/A | ENT (P) [SP800-90B] ENT (NP) [SP800-90B] | N/A | Seeding for the DRBG. (is provided by the underlying operational environment) | Random Number Generation |

Table 4 – Approved Algorithms

The table below list non-Approved but Allowed in Approved mode of operation when used as part of an approved key transport scheme where no security is provided by the algorithm.

| Algorithm | Caveat | Use/Function |
|-----------|--|---|
| MD5 | Allowed in Approved mode with no security claimed per IG 2.4.A Digest Size: 128-bit | Message Digest (used as part of the TLS v1.0, v1.1 key establishment scheme only) |

Table 5 – Non-Approved Algorithms Allowed in the Approved Mode of Operation with No Security Claimed

The table below lists Non-Approved security functions that are not Allowed in the Approved Mode of Operation:

| Algorithm/Functions | Use/Function | Notes |
|---------------------------------------|--|--|
| RSA Asymmetric Key Pair Generation | ANSI X9.31 Key Pair Generation Key Size < 2048 | Non-Approved |
| RSA Signature Generation | PKCS#1 v1.5 and PSS Signature Generation Key Size < 2048 | Non-Approved |
| RSA Signature Verification | PKCS#1 v1.5 and PSS Signature Verification Key Size < 1024 | Non-Approved |
| RSA Key Wrapping | OAEP, PKCS#1 v1.5 and -PSS schemes | Non-Approved |
| Diffie-Hellman | Shared Secret Computation using key size < 2048 | Non-Approved |
| EC Diffie-Hellman | Shared Secret Computation using curves < P-224 | Non-Approved |
| Ed25519 | Key Agreement Key Generation Signature Generation Signature Verification | Non-Approved |
| ANSI X9.63 KDF | Hash based Key Derivation Function | Non-Approved |
| RFC6637 | Key Derivation Function | Non-Approved |
| HKDF [SP800-56C] | Key Derivation Function | Non-Approved |
| DES | Encryption / Decryption Key Size 56-bits | Non-Approved |
| CAST5 | Encryption / Decryption Key Sizes 40 to 128-bits in 8-bit increments | Non-Approved |
| RC4 | Encryption / Decryption Key Sizes 8 to 4096-bits | Non-Approved |
| RC2 | Encryption / Decryption Key Sizes 8 to 1024-bits | Non-Approved |
| MD2 | Message Digest Digest size 128-bit | Non-Approved |
| MD4 | Message Digest Digest size 128-bit | Non-Approved |
| RIPEMD | Message Digest Digest size 160-bits | Non-Approved |
| ECDSA | PKG: Curve P-192 PKV: Curve P-192 Signature Generation: Curve P-192 Signature Verification: Curve P-192 | Non-Approved due to the small curve size |
| ECDSA | Key Pair Generation for compact point representation of points | Non-Approved |

| Algorithm/Functions | Use/Function | Notes |
|---|---------------------------------|---|
| Integrated Encryption Scheme on elliptic curves (ECIES) | Encryption / Decryption | Non-Approved |
| Blowfish | Encryption / Decryption | Non-Approved |
| OMAC (One-Key CBC MAC) | MAC generation | Non-Approved |
| Triple-DES [SP 800-67] | CBC, CTR, CFB64, ECB, CFB8, OFB | Encryption/Decryption Note: The module itself does not enforce the limit of 2^{16} encryptions with the same Triple-DES key, as required by FIPS 140-3 IG C.G. |

Table 6 – Non-Approved Not Allowed in the Approved Mode of Operation

The Apple corecrypto Module v11.1 [Intel, User, Software] executes within the user space of the computing platforms and operating systems listed in Table 2. Figure 1 below shows the logical block diagram representing the following information:

- o The location of the logical object of the module with respect to the operating system, other supporting applications, and the cryptographic boundary so that all the logical and physical layers between the logical object and the cryptographic boundary are clearly defined.
- o The interactions of the logical object of the module with the operating system and other supporting applications resident within the cryptographic boundary.

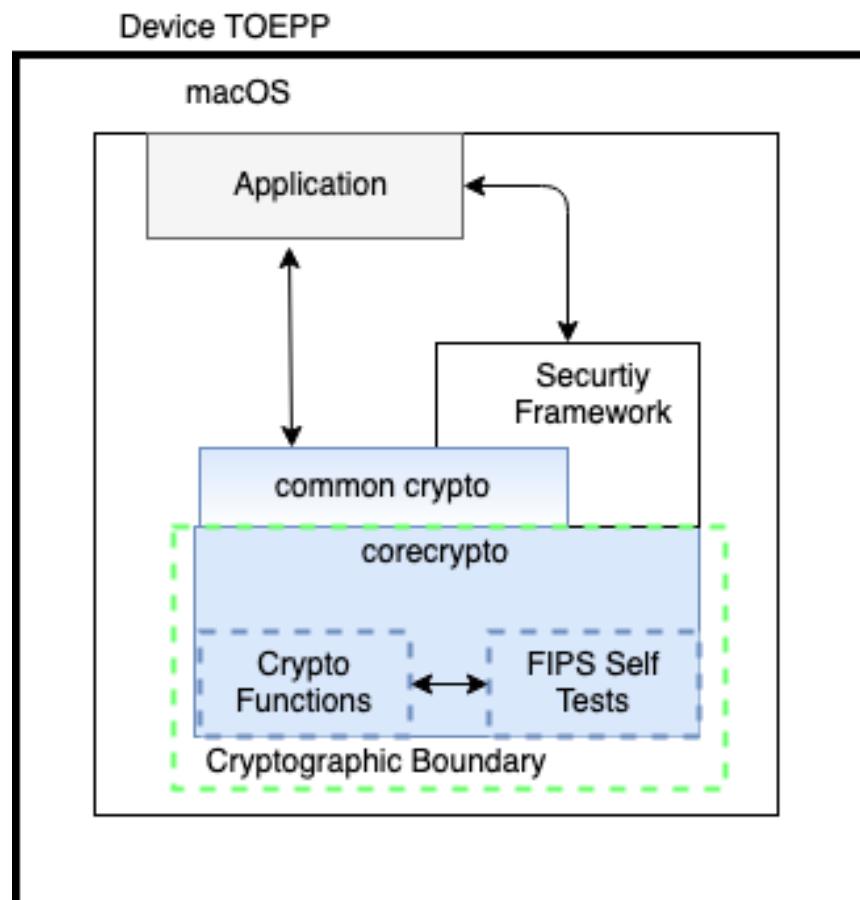


Figure 1 – Logical block diagram

3. Cryptographic Module Interfaces

As a software-only module, the module does not have physical ports. For the purpose of the FIPS 140-3 validation, the physical ports are interpreted to be the physical ports of the hardware platform on which it runs.

The underlying logical interfaces of the module are the C language Application Programming Interfaces (APIs). In detail these interfaces are described in (Table 7):

| Logical Interface | Data that passes over port/interface |
|-------------------|---|
| Data Input | Data inputs are provided in the variables passed in the API and callable service invocations, generally through caller-supplied buffers |
| Data Output | Data outputs are provided in the variables passed in the API and callable service invocations, generally through caller-supplied buffers |
| Control Input | Control inputs which control the mode of the module are provided through dedicated parameters. |
| Control Output | Not Applicable |
| Status Output | Status output is provided in return codes and through messages. Documentation for each API lists possible return codes. A complete list of all return codes returned by the C language APIs within the module is provided in the header files and the API documentation. Messages are also documented in the API documentation. |

Table 7 – Interfaces

The module is optimized for library use within the macOS user space and does not contain any terminating assertions or exceptions. It is implemented as a macOS dynamically loadable library. After the dynamically loadable library is loaded, its cryptographic functions are made available to the macOS application. Any internal error detected by the module is reflected back to the caller with an appropriate return code. The calling macOS application must examine the return code and act accordingly.

The module communicates any error status synchronously using its documented return codes, thus indicating the module's status. It is the responsibility of the caller to handle exceptional conditions in a FIPS 140-3 appropriate manner.

Caller-induced or internal errors do not reveal any sensitive material to callers. Cryptographic bypass capability is not supported by the module.

4. Roles, services, and authentication

The module supports a single instance of one authorized role: The Crypto Officer (CO). No support is provided for multiple concurrent operators or a Maintenance Operator.

FIPS 140-3 does not require an authentication mechanism for level 1 modules. Therefore, the module does not implement an authentication mechanism for Crypto Officer. The Crypto Officer role is authorized to access all services provided by the module (see Table 8 – Approved Services and Table 9 – Non-Approved Services below).

The module implements a dedicated API function to indicate if a requested service utilizes an approved security function. For services listed in Table 8 – Approved Services, the indicator function returns 1. For services listed in Table 9 – Non-Approved Services, the indicator function returns 0.

The table below lists all approved services that can be used in the approved mode of operation. The abbreviations of the access rights to keys and SSPs have the following interpretation:

G = Generate: The module generates or derives the SSP.

R = Read: The SSP is read from the module (e.g., the SSP is output).

W = Write: The SSP is updated, imported, or written to the module.

E = Execute: The module uses the SSP in performing a cryptographic operation.

Z = Zeroise: The module zeroises the SSP.

N/A= The service does not access any SSP during its operation

| Service | Description and Input/Output | Approved Security Functions | Keys and/or SSPs | Roles | Access rights to Keys and/or SSPs | Indicator |
|--|--|---|------------------------|-------|-----------------------------------|-----------|
| AES Encryption / Decryption | Input for Encryption: key and plain text Output for Encryption: cipher text Input for Decryption: key and cipher text Output for Decryption: plain text | AES-CBC, AES-ECB, AES-CFB128, AES-CFB8, AES-OFB, AES-CTR, AES-XTS, AES-GCM, AES-CCM, AES-CMAC | AES key | CO | W, E | 1 |
| AES Key Wrapping | Input: key encryption key and key to be wrapped Output: wrapped key | AES-KW | AES key encryption key | CO | W, E | 1 |
| Secure Hash Generation | Input: message Output: Hash value | Message Digest: SHA-1, SHA-224, SHA-256, SHA-384, SHA-512, SHA-512/256 | none | CO | N/A | 1 |
| MD5 Hash Generation (non-approved but allowed for TLS 1.0/1.1) | Input: message Output: Hash value | Message Digest MD5 | none | CO | N/A | 1 |

| Service | Description and Input/Output | Approved Security Functions | Keys and/or SSPs | Roles | Access rights to Keys and/or SSPs | Indicator |
|---|---|--|---|-------|-----------------------------------|-----------|
| HMAC generation and verification | Generation: Input: HMAC key and message Output: keyed Hash value Verification: Input: HMAC key, message, and keyed hash value Output: True or False | HMAC Keyed Hash | HMAC key | CO | W, E | 1 |
| RSA signature generation and verification | Input for signature generation: RSA private key and message Output: signature Input for signature verification: RSA public key and signature Output: True or False | RSA signature generation, RSA signature verification | RSA key pair | CO | W, E | 1 |
| ECDSA signature generation and verification | Input for signature generation: ECDSA private key and message Output: signature Input for signature verification: ECDSA public key and signature Output: True or False | ECDSA signature generation, ECDSA signature verification | ECDSA key pair | CO | W, E | 1 |
| Random number generation | Input: Entropy input string, nonce Output: Random numbers | HMAC_DRBG, CTR_DRBG | Entropy input string, DRBG seed, values V and Key | CO | G, R, E, Z | 1 |
| PBKDF | Input: password Output: derived key | Key Derivation | PBKDF derived key, PBKDF password | CO | G, R, E | 1 |
| KBKDF | Input: key derivation key Output: derived key | Key Derivation | KBKDF key derivation key, KBKDF derived key | CO | G, R, E | 1 |

| Service | Description and Input/Output | Approved Security Functions | Keys and/or SSPs | Roles | Access rights to Keys and/or SSPs | Indicator |
|---|---|-----------------------------------|--|-------|-----------------------------------|-----------|
| ECDSA Key-pair Generation | Input: curve size Output: generated private and public key pair | Key Pair Generation EC KeyGen | EC key pair | CO | G, R, E | 1 |
| RSA Key-pair Generation | Input: key size Output: generated private and public key pair | Key Pair Generation RSA KeyGen | RSA key pair | CO | G, R, E | 1 |
| Safe primes key generation | Input: key size Output: generated private and public key pair | Key Pair Generation | Diffie Hellman key pair | CO | G, R, E | 1 |
| Diffie-Hellman Shared Secret Computation | Input: domain parameter, received public key and possessed private key Output: shared secret | KAS-FFC-SSC | Asymmetric keys (DH key) and shared secret | CO | G, R, W, E | 1 |
| EC Diffie-Hellman Shared Secret Computation | Input: domain parameter, received public key and possessed private key Output: shared secret | KAS-ECC-SSC | Asymmetric keys (EC key) and shared secret | CO | G, R, W, E | 1 |
| Release all resources of symmetric crypto function context | Input: handler of symmetric crypto function context Output: zeroised and released memory space | N/A | AES key | CO | Z | 1 |
| Release all resources of hash context | Input: handler of hash context Output: released memory space | N/A | HMAC key | CO | Z | 1 |
| Release of all resources of Diffie-Hellman context for Diffie-Hellman and EC Diffie-Hellman | Input: handler of (EC) Diffie-Hellman context Output: zeroised and released memory space | N/A | (EC)Diffie-Hellman key pairs and shared secret | CO | Z | 1 |

| Service | Description and Input/Output | Approved Security Functions | Keys and/or SSPs | Roles | Access rights to Keys and/or SSPs | Indicator |
|--|--|---|---|-------|-----------------------------------|-----------|
| Release of all resources of asymmetric crypto function context | Input: handler of asymmetric crypto function context Output: zeroised and released memory space | N/A | RSA/ECDSA key pairs | CO | Z | 1 |
| Release of all resources of key derivation function context | Input: handler of key derivation function context Output: zeroised and released memory space | N/A | KBKDF key derivation key, PBKDF password, KBKDF and PBKDF derived key | CO | Z | 1 |
| Self-test | Input: power Output: Pass/Fail status | AES-CCM, AES-GCM, AES-XTS, AES-CBC, AES-ECB, HMAC_DRBG, CTR_DRBG, HMAC, AES-CMAC, RSA Signature Generation, RSA Signature Verification, ECDSA Signature Generation, ECDSA Signature Verification, DH and ECDH Z computation, PBKDF, KBKDF | Software integrity key | CO | E | 1 |
| Show Status | Input: API invocation Output: Operational/Error status | N/A | None | CO | N/A | none |
| Show Module Info | Input: API invocation Output: Module Base Name and Module Version Number | N/A | None | CO | N/A | none |

Table 8 – Approved Services

The table below lists all non-Approved services that can only be used in the non-Approved mode of operation.

| Service | Description and Input/Output | Algorithms Accessed | Roles | Indicator |
|------------------------------------|--|----------------------|-------|-----------|
| Triple-DES encryption / decryption | <p>Module does not meet FIPS 140-3 IG C.G because it does not have a control over the number of blocks to be encrypted under the same Triple-DES key.</p> <p>Input for Encryption: key and plaintext</p> <p>Output for Encryption: cipher text</p> <p>Input for Decryption: key and cipher text</p> <p>Output for Decryption: plain text</p> | Triple-DES | CO | 0 |
| RSA Key Encapsulation | <p>The CAST does not perform the full KTS, only the raw RSA encrypt/decrypt.</p> <p>Input (RSA encrypt): RSA public key and key to be wrapped</p> <p>Output(RSA encrypt): wrapped key</p> <p>Input (RSA decrypt): RSA private key and key to be unwrapped</p> <p>Output(RSA decrypt): plaintext key</p> | RSA encrypt/decrypt | CO | 0 |
| RSA Key-pair Generation | <p>ANSI X9.31 Key Pair Generation</p> <p>Key Size < 2048</p> <p>Input: key size</p> <p>Output: generated key pair</p> | RSA Key Generation | CO | 0 |
| RSA Signature Generation | <p>PKCS#1 v1.5 and PSS Signature Generation</p> <p>Key Size < 2048</p> <p>Input: RSA private key and message</p> <p>Output: signature</p> | RSA Sig Generation | CO | 0 |
| RSA Signature Verification | <p>PKCS#1 v1.5 and PSS Signature Verification</p> <p>Key Size < 1024</p> <p>Input: RSA public key and signature</p> <p>Output: True or False</p> | RSA Sig Verification | CO | 0 |

| Service | Description and Input/Output | Algorithms Accessed | Roles | Indicator |
|--|---|---|-------|-----------|
| Diffie Hellman Shared Secret Computation | Diffie-Hellman SSC using key sizes < 2048 Input: peer public key and own private key Output: shared secret | KAS-FFC-SSC | CO | 0 |
| EC Diffie Hellman Shared Secret Computation | EC Diffie-Hellman SSC using curve sizes < P-224 Input: peer public key and own private key Output: shared secret | KAS-ECC-SSC | CO | 0 |
| ECDSA Key-pair Generation (PKG) and ECDSA Key Validation (PKV) | ECDSA PKG and PKV using curve P-192 Input for PKG: curve size (P-192) Output: generated (P-192) private and public key pair Input for PKV: public key Output: True or False | ECDSA Key Generation, ECDSA Key Validation | CO | 0 |
| ECDSA Signature Generation | ECDSA Signature Generation using curve P-192 Input: (P-192) private key and message Output: signature | ECDSA Signature Generation | CO | 0 |
| ECDSA Signature Verification | ECDSA Signature Verification using curve P-192 Input: (P-192) public key and signature Output: True or False | ECDSA Signature Verification | CO | 0 |
| ECDSA Key Pair Generation for compact point representation of points | Key Pair Generation for compact point representation of points Input: key size Output: generated private and public key pair | ECDSA Key Generation | CO | 0 |
| Ed25519 Key Generation | Ed25519 Key Generation Input: none Output: generated Curve25519 private and public key pair | Ed25519 Key Generation | CO | 0 |

| Service | Description and Input/Output | Algorithms Accessed | Roles | Indicator |
|---------------------------------|--|------------------------------------|-------|-----------|
| Ed25519 Signature Generation | EdDSA Signature Generation over Curve25519 Input: (Curve25519) private key and message Output: signature | Ed25519 Signature Generation | CO | 0 |
| Ed25519 Signature Verification | EdDSA Signature Verification over Curve25519 Input: (Curve25519) public key and signature Output: True or False | Ed25519 Signature Verification | CO | 0 |
| Ed25519 Key Agreement | Ed25519 Key Agreement Input: peer public key and own private key Output: shared secret | Ed25519 Key Agreement | CO | 0 |
| ANSI X9.63 Key Derivation | SHA-1 hash-based key derivation function Input: key derivation key Output: derived key | SHA-1 | CO | 0 |
| ECIES | Integrated Encryption Scheme on elliptic curves Input for encryption: peer public key, plaintext Output for encryption: public key, ciphertext (with authentication tag) Input for decryption: authentication tag, ciphertext, own private key Output for decryption: plaintext message or error | ECIES Encrypt/Decrypt | CO | 0 |
| SP800-56C Key Derivation (HKDF) | SHA-256 hash-based key derivation function Input: key derivation key Output: derived key | SHA-256 | CO | 0 |
| RFC6637 Key Derivation | SHA hash based key derivation function Input: key derivation key Output: derived key | SHA-256, SHA-512, AES-128, AES-256 | CO | 0 |

| Service | Description and Input/Output | Algorithms Accessed | Roles | Indicator |
|--|---|--------------------------------|-------|-----------|
| OMAC Message Authentication Code Generation and Verification | <p>One-Key CBC MAC using 128-bit key</p> <p>For Message Authentication Code Generation</p> <p>Input:</p> <ul style="list-style-type: none"> message and key <p>Output:</p> <ul style="list-style-type: none"> message authentication code <p>For Message Authentication Code Verification</p> <p>Input:</p> <ul style="list-style-type: none"> message, key and message authentication code <p>Output:</p> <ul style="list-style-type: none"> True or False | OMAC | CO | 0 |
| Message digest generation | <p>Message digest generation using non-approved algorithms</p> <p>Input:</p> <ul style="list-style-type: none"> message <p>Output:</p> <ul style="list-style-type: none"> message digest | MD2, MD4, RIPEMD | CO | 0 |
| (other) symmetric encryption / decryption | <p>symmetric encryption / decryption using non-approved algorithms</p> <p>Input for Encryption:</p> <ul style="list-style-type: none"> key and plain text <p>Output for Encryption:</p> <ul style="list-style-type: none"> cipher text <p>Input for Decryption:</p> <ul style="list-style-type: none"> key and cipher text <p>Output for Decryption:</p> <ul style="list-style-type: none"> plain text | Blowfish, CAST5, DES, RC2, RC4 | CO | 0 |

Table 9 – Non-Approved Services

5. Software/Firmware security

5.1. Integrity Techniques

The Apple corecrypto Module v11.1 [Intel, User, Software] which is made up of a single component, is in the form of binary executable code. A software integrity test is performed on the runtime image of the module. The HMAC-SHA256 implemented in the module is used as an approved algorithm for the integrity test. If the test fails, the module enters an error state where no cryptographic services are provided, and data output is prohibited i.e., the module is not operational.

5.2. On-Demand Integrity Test

Integrity tests are performed as part of the Pre-Operational Self-Tests. It is automatically executed at power-on. It can also be invoked by self-test service or powering-off and reloading the module.

6. Operational Environment

The Apple corecrypto Module v11.1 [Intel, User, Software] operates in a modifiable operational environment per FIPS 140-3 level 1 specifications. The module is supplied as part of macOS Big Sur 11.0.1, a commercially available general-purpose operating system executing on the hardware specified in section 2.

7. Physical Security

The FIPS 140-3 physical security requirements do not apply to the Apple corecrypto Module v11.1 [Intel, User, Software], since it is a software module.

8. Non-invasive Security

Currently, the non-invasive security is not required by FIPS 140-3 (see NIST SP 800-140F). The requirements of this area are not applicable to the module.

9. Sensitive Security Parameter Management

The following table summarizes the keys and Sensitive Security Parameters (SSPs) that are used by the cryptographic services implemented in the module:

| Key/SSP Name/ Type | Strength | Security Function and Cert. number | Generation | Import /Export | Establishment | Storage | Zeroisation | Use and related keys |
|---|-----------------|--|--|--|---------------|--|--|-------------------------------------|
| AES Keys | 128 to 256 bits | AES Encryption/Decryption A918 (vng_asm) A919 (c_ltc) A920 (c_glad) A921 (c_asm) A925 (c_aesni) A926 (asm_x86) A927 (asm_aesni) A929 (vng_aesni) | N/A | Import from calling application No Export | N/A | N/A: The module does not provide persistent keys/SSPs storage. | Automatic zeroisation when structure is deallocated or when the system is powered down | Symmetric Encryption and Decryption |
| AES Key-wrapping Key | 128 to 256 bits | AES Key Wrapping A919 (c_ltc) A921 (c_asm) A925 (c_aesni) | N/A | Import from calling application No Export | N/A | N/A: The module does not provide persistent keys/SSPs storage. | Automatic zeroisation when structure is deallocated or when the system is powered down | Key Transport |
| HMAC Keys | Min: 112 bits | HMAC generation A919 (c_ltc) A923 (c_avx2) A924 (c_avx) A928 (vng_Intel) A930 (c_sse3) | N/A | Import from calling application No Export | N/A | N/A: module does not provide persistent keys/SSPs storage. | Automatic zeroisation when structure is deallocated or when the system is powered down | Keyed Hash |
| ECDSA Key Pair (including intermediate keygen values) | 112 to 256 bits | ECDSA signature generation and verification A919 (c_ltc) A923 (c_avx2) A924 (c_avx) A930 (c_sse3) | The key pairs are generated conformant to SP800-133r2 (CKG) using FIPS186-4 Key Generation method, and the random value used in the key generation is generated using SP800-90A DRBG | Import and Export to calling application for key pair only. Intermediate keygen values are not output. Intermediate keygen values are not output. | N/A | N/A: module does not provide persistent keys/SSPs storage. | Automatic zeroisation when structure is deallocated or when the system is powered down. Intermediate keygen values are zeroized before the module returns from the key generation function. | Digital Signature |

| Key/SSP Name/ Type | Strength | Security Function and Cert. number | Generation | Import /Export | Establishment | Storage | Zeroisation | Use and related keys |
|---|-----------------|--|--|--|---------------|---|--|--------------------------|
| RSA Key Pair (including intermediate keygen values) | 112 to 150 bits | RSA signature generation and verification A919 (c_ltc) A923 (c_avx2) A924 (c_avx) A930 (c_sse3) | The key pairs are generated conformant to SP800-133r2 (CKG) using FIPS186-4 Key Generation method, and the random value used in the key generation is generated using SP800-90A DRBG | Import and Export to calling application for key pair only. Intermediate keygen values are not output. Intermediate keygen values are not output. | N/A | N/A: module does not provide persistent keys/SSPs storage | Automatic zeroisation when structure is deallocated or when the system is powered down. Intermediate keygen values are zeroized before the module returns from the key generation function. | Digital Signature |
| Entropy Input String | 256 bits | Random Number Generation ENT (P) and ENT (NP) | Obtained from two entropy sources | Import from OS No Export | N/A | N/A: The module does not provide persistent keys/SSPs storage | Automatic zeroisation when structure is deallocated or when the system is powered down | Random Number Generation |
| DRBG seed, internal state secret values: V and Key | 256 bits | Random Number Generation A918 (vng_asm) A919 (c_ltc) A921 (c_asm) A923 (c_avx2) A924 (c_avx) A929 (vng_aesni) A930 (c_sse3) | Derived from entropy input string as defined by SP800-90A | N/A | N/A | N/A: The module does not provide persistent keys/SSPs storage | Automatic zeroisation when structure is deallocated or when the system is powered down | Random Number Generation |
| PBKDF Derived Keys (including password hash) | Min: 112 bits | PBKDF A919 (c_ltc) A923 (c_avx2) A924 (c_avx) A930 (c_sse3) | Internally generated via SP800-132 PBKDF key derivation algorithm | No Import Export to calling application | N/A | N/A: The module does not provide persistent keys/SSPs storage | Automatic zeroisation when structure is deallocated or when the system is powered down | Key Derivation |

| Key/SSP Name/ Type | Strength | Security Function and Cert. number | Generation | Import /Export | Establish | Storage | Zeroisation | Use and related keys |
|--|------------------|--|---|--|-----------|---|--|----------------------|
| PBKDF Password | N/A | PBKDF A919 (c_ltc) A923 (c_avx2) A924 (c_avx) A930 (c_sse3) | N/A | imported from calling application No Export | N/A | N/A: The module does not provide persistent keys/SSPs storage | Automatic zeroisation when structure is deallocated or when the system is powered down | Key Derivation |
| KBKDF Key Derivation Key | Min: 112 bits | KBKDF A919 (c_ltc) A923 (c_avx2) A924 (c_avx) A930 (c_sse3) | N/A | imported from calling application No Export | N/A | N/A: The module does not provide persistent keys/SSPs storage | Automatic zeroisation when structure is deallocated or when the system is powered down | Key Derivation |
| KBKDF Derived Key | Min: 112 bits | KBKDF A919 (c_ltc) A923 (c_avx2) A924 (c_avx) A930 (c_sse3) | Internally generated via SP800-108 KBKDF key derivation algorithm | No Import Export to calling application | N/A | N/A: The module does not provide persistent keys/SSPs storage | Automatic zeroisation when structure is deallocated or when the system is powered down | Key Derivation |
| DH Key Pair (including intermediate keygen values) | 112 and 200 bits | DH Shared Secret Computation A919 (c_ltc) | The key pairs are generated conformant to SP800-133r2 (CKG) using Safe-prime groups MODP groups belonging to (RFC 3526) | Import from calling application No Export | N/A | N/A: The module does not provide persistent keys/SSPs storage | Automatic zeroisation when structure is deallocated or when the system is powered down. Intermediate keygen values are zeroized before the module returns from the key generation function. | KAS-SSC FFC |
| DH Shared Secret | 112 and 200 bits | DH Shared Secret Computation A919 (c_ltc) | Internally generated using SP800-56Ar3 DH shared secret computation | No Import Export to calling application | N/A | N/A: The module does not provide persistent keys/SSPs storage | Automatic zeroisation when structure is deallocated or when the system is powered down | KAS-SSC FFC |

| Key/SSP Name/ Type | Strength | Security Function and Cert. number | Generation | Import /Export | Establish | Storage | Zeroisation | Use and related keys |
|--|-----------------|---|---|---|-----------|---|---|----------------------|
| ECC DH Key Pair (including intermediate keygen values) | 112 to 256 bits | EC Diffie Hellman Shared Secret Computation A919 (c_ltc) | The key pairs are generated conformant to SP800-133r2 (CKG) using FIPS 186-4 Key Generation method, and the random value used in key generation is generated using SP800-90A DRBG | Import from and Export to calling application for key pair only. Intermediate keygen values are not output. | N/A | N/A: The module does not provide persistent keys/SSPs storage | Automatic zeroisation when structure is deallocated or when the system is powered down. Intermediate keygen values are zeroized before the module returns from the key generation function. | KAS-SSC ECC |
| ECC CDH Shared Secret | 112 to 256 bits | EC Diffie Hellman Shared Secret Computation A919 (c_ltc) | Internally generated via SP800-56A ECC CDH shared secret computation | No Import Export to calling application | N/A | N/A: The module does not provide persistent keys/SSPs storage | Automatic zeroisation when structure is deallocated or when the system is powered down | KAS-SSC ECC |
| Software integrity key | N/A | HMAC SHA-256 A919 (c_ltc) A923 (c_avx2) A924 (c_avx) A928 (vng_Intel) A930 (c_sse3) | N/A | N/A | N/A | Stored in the module binary computed during build. | N/A | Self-Test |

Table 10 - SSPs

9.1. Random Number Generation

A NIST approved deterministic random bit generator based on a block cipher as specified in NIST [SP 800-90A] is used. The default Approved DRBG used for random number generation is a CTR_DRBG using AES-256 with derivation function and without prediction resistance. The random numbers used for key generation are all generated by CTR_DRBG in this module. Per section 10.2.1.1 of [SP 800-90A], the internal state of CTR_DRBG is the values of V, and Key.

The module also employs a HMAC_DRBG for random number generation. The HMAC_DRBG is only used at the early boot time of macOS kernel for memory randomization. The output of HMAC_DRBG is not used for key generation. Per section 10.1.2.1 of [SP 800-90A], the internal state of HMAC_DRBG is the values of V, and Key.

The deterministic random bit generators are seeded by /dev/random. The /dev/random is the User Space interface. Two entropy sources (one non-physical entropy source and one physical entropy source) residing within the TOEPP provide the random bits. The output of entropy pool provides 256-bits of entropy to seed and reseed SP800-90B DRBG during initialization (seed) and reseeding (reseed).

| Entropy Source | Minimum number of bits of entropy | Details |
|--|-----------------------------------|--|
| NIST SP800-90B compliant ENT (P) and NIST SP800-90B compliant ENT (NP) | 256 | The seed is provided by post-processed entropy data from two entropy sources |

Table 11 - Non-Deterministic Random Number Generation Specification

9.2. Key / SSP Generation

The module generates Keys and SSPs in accordance with FIPS 140-3 IG D.H. The cryptographic module performs Cryptographic Key Generation (CKG) for asymmetric keys as per sections 4 and 5.1 [SP800-133r2] (vendor affirmed), compliant with [FIPS186-4], and using DRBG compliant with [SP800-90A]. A seed (i.e., the random value) used in asymmetric key pair generation is a direct output from [SP800-90A] CTR_DRBG. The key generation service for RSA, Diffie-Hellman, and EC key pairs as well as the [SP 800-90A] DRBG have been ACVT tested with algorithm certificates found in Table 4.

9.3. Keys/SSPs Establishment

The module provides the following key/SSP establishment services in the Approved mode:

- AES-Key Wrapping
 - The module implements a Key Transport Scheme (KTS) using AES-KW compliant to [SP800-38F]. The SSP establishment methodology provides between 128 and 256 bits of encryption strength.
- Diffie-Hellman Shared Secret Computation
 - The module provides SP800-56Arev3 compliant key establishment according to FIPS 140-3 IG D.F scenario 2 path (1) with DH shared secret computation. The shared secret computation provides between 112 and 200 bits of encryption strength.
- EC Diffie-Hellman Shared Secret Computation
 - The module provides SP800-56Arev3 compliant key establishment according to FIPS 140-3 IG D.F scenario 2 path (1) with ECDH shared secret computation. The shared secret computation provides between 112 and 256 bits of encryption strength.
- PBKDF Key Derivation
 - The module implements a CAVP compliance tested key derivation function compliant to [SP800-132]. The service returns the key derived from the provided password to the caller. The length of the password used as input to PBKDFv2 shall be at least 8 characters and the worst-case probability of guessing the value is 10^8 assuming all characters are digits only. The user shall choose the password length and the iteration count in such a way that the combination will make the key derivation computationally intensive. PBKDFv2 is implemented to support the option 1a specified in section 5.4 of [SP800-132]. The keys derived from [SP800-132] map to section 4.1 of [SP800-133] as indirect generation from DRBG. The derived keys may only be used in storage applications..
- KBKDF Key Derivation
 - The KBKDF is compliant to [SP800-108]. The module implements both Counter and Feedback modes with HMAC-SHA-1 and HMAC-SHA2- as the PRF. The module implements Counter mode with CMAC-AES (128/192/256) as the PRF.

9.4. Keys/SSPs Import/Export

All keys and SSPs that are entered from, or output to module, are entered from or output to the invoking application running on the same device. Keys/SSPs entered into the module are electronically entered in plain text form. Keys/SSPs are output from the module in plain text form if required by the calling application.

The module allows the output of plaintext CSPs (i.e., EC/DH/RSA Key Pairs). To prevent inadvertent output of sensitive information, the module performs the following two independent internal actions:

1. The module will internally request the random number generation service to obtain the random numbers and verify that the service completed without errors.
2. Once the keys are generated the module will perform the pairwise consistency test and verify that the test is completed without errors.

Only after successful completion of both actions, are the generated CSPs output via the KPI output parameter in plaintext.

9.5. Keys/SSPs Storage

The Apple corecrypto Module v11.1 [Intel, User, Software] stores ephemeral keys/SSPs in memory only. They are received for use or generated by the module only at the command of the calling application. The module does not provide persistent keys/SSPs storage.

The module protects all keys/SSPs through the memory separation and protection mechanisms provided by the operating system. No process other than the module itself can access the keys/SSPs in its process' memory.

9.6. Keys/SSPs Zeroization

Keys and SSPs are zeroised when the appropriate context object is destroyed or when the system is powered down. Input and output interfaces are inhibited while zeroisation is performed.

10. Self-tests

This section specifies the pre-operational and conditional self-tests performed by the module. The pre-operational and conditional self-tests ensure that the module is not corrupted and that the cryptographic algorithms work as expected. The module does not implement a bypass mode nor security functions critical to the secure operation of the cryptographic module and thus, does not implement neither a pre-operational bypass test nor pre-operational critical functions test. While the module is executing the self-tests, services are not available, and input and output are inhibited. If the test fails either pre-operational and conditional self-tests, the module reports an error message indicating the cause of the failure and enters the Error State (See section 10.3). The module permits operators to initiate the pre-operational or conditional self-tests on demand for periodic testing of the module by rebooting the system (i.e., power-cycling).

10.1. Pre-operational Software Integrity Test

The module performs a pre-operational software integrity automatically when the module is loaded into memory (i.e., at power on) before the module transitions to the operational state. A software integrity test is performed on the runtime image of the Apple corecrypto Module v11.1 [Intel, User, Software] with HMAC-SHA256 used to perform the approved integrity technique. Prior to using HMAC-SHA-256, a Conditional Cryptographic Algorithm Self-Tests (CAST) is performed. If the CAST on the HMAC-SHA-256 is successful, the HMAC value of the runtime image is recalculated and compared with the stored HMAC value pre-computed at compilation time.

10.2. Conditional Self-Tests

Conditional self-tests are be performed by a cryptographic module when the conditions specified for the following tests occur: Cryptographic Algorithm Self-Test, Pair-Wise Consistency Test.

The module does not implement any functions requiring a Software/Firmware Load Test, Manual Entry Test, Conditional Bypass Test nor Conditional Critical Functions Test; therefore, these tests are not performed by the module.

The following sub-sections describe the conditional tests supported by the Apple corecrypto Module v11.1 [Intel, User, Software].

10.2.1. Conditional Cryptographic Algorithm Self-Tests

In addition to the pre-operational software integrity test described in Section 10.1, the Apple corecrypto Module v11.1 [Intel, User, Software] also runs the Conditional Cryptographic Algorithm Self-Tests (CAST) for all cryptographic functions of each approved cryptographic algorithm implemented by the module during power-up as well. All CASTs are performed prior to the first operational use of the cryptographic algorithm. These tests are detailed in Table 12 below.

| Cryptographic Algorithm | Notes |
|---|---|
| HMAC-SHA256 | Used for module integrity test |
| AES implementations selected by the module for the corresponding environment AES-CCM, AES-GCM, AES-XTS, AES-CBC, AES-ECB using 128-bit key | Separate encryption / decryption operations are performed |
| CTR_DRBG and HMAC_DRBG | Each DRBG mode tested separately |
| HMAC-SHA-1, HMAC-SHA-256, HMAC-SHA-512, AES-CMAC | KAT |
| SHA-1, SHA-256, SHA-512 | Covered by high level HMAC self-test |
| RSA, 2048-bit modulus with SHA-256 | Separate Signature generation/ verification KAT are performed |
| ECDSA, P-256 curve with SHA-256 | Separate Signature generation/verification KAT are performed |

| Cryptographic Algorithm | Notes |
|-----------------------------------|-------|
| Diffie-Hellman "Z" computation | KAT |
| EC Diffie-Hellman "Z" computation | KAT |
| PBKDF | KAT |
| KBKDF (counter mode, SHA-1 PRF) | KAT |

Table 12 - Pre-Operational Cryptographic Algorithms Self-Tests

10.2.2. Conditional Pairwise Consistency Test

The Apple corecrypto Module v11.1 [Intel, User, Software] does generate RSA, DH, and EC asymmetric keys and performs all required pair-wise consistency tests on the newly generated key pairs.

10.3. Error Handling

If any of the above-mentioned self-tests described in Sections 10.1, 10.2.1 or 10.2.2 fail, the module reports the cause of the error and enters an error state. In the Error State, no cryptographic services are provided, and data output is prohibited. The only method to recover from the error state is to power cycle the device which results in the module being reloaded into memory and reperforming the pre-operational software integrity test and the Conditional CASTs. The module will only enter into the operational state after successfully passing the pre-operational software integrity test and the Conditional CASTs. The table below shows the different causes that lead to the Error State and the status indicators reported.

| Cause of Error | Error Indicator |
|--|--|
| Failed Pre-operational Software Integrity Test | print statement "FAILED: fipspost_post_integrity" to stdout |
| Failed Conditional CAST | print statement "FAILED:<event>" to stdout (<event> refers to any of the cryptographic functions listed in Table 12) |
| Failed Conditional PCT | Error code "CCEC_GENERATE_KEY_CONSISTENCY" returned for EC Error code "CCRSA_GENERATE_KEY_CONSISTENCY" returned for RSA Error code "CCDH_GENERATE_KEY_CONSISTENCY" returned for DH |

Table 13 – Error Indicators

11. Life-cycle assurance

11.1. Delivery and Operation

The module is built into macOS Big Sur 11.0.1 and delivered with macOS. There is no standalone delivery of the module as a software library.

The vendor's internal development process guarantees that the correct version of module goes with its intended macOS version. For additional assurance, the module is digitally signed by vendor, and it is verified during the integration into macOS.

This digital signature-based integrity protection during the delivery/integration process is not to be confused with the HMAC-256 based integrity check performed by the module itself as part of its pre-operational self-tests.

11.2. Crypto Officer Guidance

The Approved mode of operation is configured in the system by default and can only be transitioned into the non-Approved mode by calling one of the non-Approved services listed in Table 9 – Non-Approved Services. If the device starts up successfully, then the module has passed all self-tests and is operating in the Approved mode.

A Crypto Officer Role Guide is provided by Apple which offers IT System Administrators with the necessary technical information to ensure FIPS 140-3 Compliance of macOS Big Sur 11.0.1 systems. This guide walks the reader through the system's assertion of cryptographic module integrity and the steps necessary if module integrity requires remediation. A link to the Guide can be found on the Product security, validations, and guidance page found in [macOS].

The Crypto Officer shall consider the following requirements and restrictions when using the module:

- AES-GCM IV is constructed in compliance with IG C.H scenario 1a (TLS 1.2) and scenario 1b (IPsec-v3). The TLS and IPsec/IKE protocols have not been reviewed or tested by the CAVP and CMVP.

The GCM IV generation follows RFC 5288 and shall only be used for the TLS protocol version 1.2. The counter portion of the IV is set by the module within its cryptographic boundary. The module does not implement the TLS protocol. The module's implementation of AES-GCM is used together with an application that runs outside the module's cryptographic boundary. The design of the TLS protocol implicitly ensures that the nonce_explicit, or counter portion of the IV will not exhaust all of its possible values.

The GCM IV generation follows RFC 4106 and shall only be used for the IPsec-v3 protocol version 3. The counter portion of the IV is set by the module within its cryptographic boundary. The module does not implement the IPsec protocol. The module's implementation of AES-GCM is used together with an application that runs outside the module's cryptographic boundary. The design of the IPsec protocol implicitly ensures that the nonce_explicit, or counter portion of the IV will not exhaust all of its possible values.

In both protocols in case the module's power is lost and then restored, the key used for the AES GCM encryption/decryption shall be re-distributed. This condition is not enforced by the module; however, it is met implicitly. The module does not retain any state when power is lost. As indicated in Table 10, column Storage, the module exclusively uses volatile storage. This means that AES-GCM key/IVs are not persistently stored during power off: therefore, there is no re-connection possible when the power is back on with re-generation of the key used for GCM. After restoration of the power, the user of the module (e.g., TLS, IKE) along with User application that implements the protocol, must perform a complete new key establishment operation using new random numbers (Entropy input string, DRBG seed, DRBG internal state V and Key, shared secret values that are not retained during power cycle, see table 10) with subsequent KDF operations to establish a new GCM key/IV pair on either side of the network communication channel.

- AES-XTS mode is only approved for hardware storage applications. The length of the AES-XTS data unit does not exceed 2^{20} blocks. The module checks explicitly that Key_1 ≠ Key_2 before using the keys in the XTS-Algorithm to process data with them compliant with IG C.I.

12. Mitigation of other attacks

The module does not claim mitigation of other attacks.

A. Glossary and Abbreviations

| | |
|---------------|--|
| AES | Advanced Encryption Standard |
| AES-NI | Advanced Encryption Standard New Instructions |
| CAVP | Cryptographic Algorithm Validation Program |
| CAST | Cryptographic Algorithm Self-Test |
| CBC | Cipher Block Chaining |
| CCM | Counter with Cipher Block Chaining-Message Authentication Code |
| CFB | Cipher Feedback |
| CMAC | Cipher-based Message Authentication Code |
| CMVP | Cryptographic Module Validation Program |
| CSP | Critical Security Parameter |
| CTR | Counter Mode |
| DRBG | Deterministic Random Bit Generator |
| ECB | Electronic Code Book |
| ENT | NIST SP 800-90B Compliant Entropy Source |
| FFC | Finite Field Cryptography |
| FIPS | Federal Information Processing Standards Publication |
| GCM | Galois Counter Mode |
| HMAC | Hash Message Authentication Code |
| KAS | Key Agreement Schema |
| KAT | Known Answer Test |
| KBKDF | Key Based Key Derivation Function |
| KDF | Key Derivation Function |
| KW | AES Key Wrap |
| MAC | Message Authentication Code |
| NIST | National Institute of Science and Technology |
| OAEP | Optimal Asymmetric Encryption Padding |
| OFB | Output Feedback |
| PAA | Processor Algorithm Acceleration |
| PBKDF | Password Based Key Derivation Function |
| PKG | Key-Pair Generation |
| PKV | Public Key Validation |
| PRF | Pseudo-Random Function |
| PSS | Probabilistic Signature Scheme |

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| RSA | Rivest, Shamir, Addleman |
| SHA | Secure Hash Algorithm |
| SHS | Secure Hash Standard |
| SSC | Shared Secret Computation |
| TOEPP | Tested Operational Environment Physical Perimeter |
| XTS | XEX-based Tweaked-codebook mode with cipher text Stealing |

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