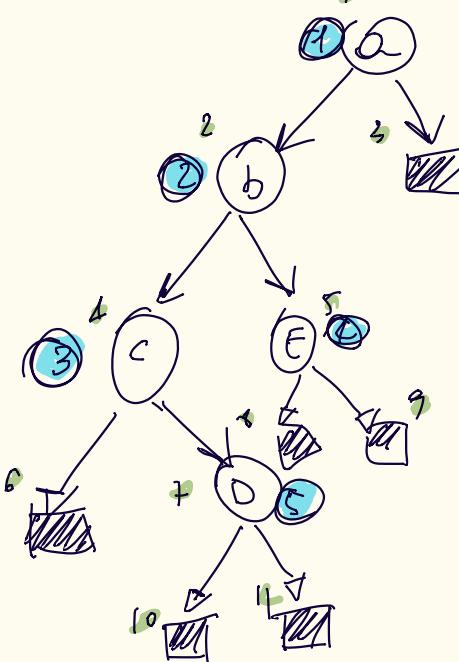


# Succinct encoding of TREES

trees provided in the following: (e,b) (b,c) (b,e) (c,d)  
 (leaves, a b c d labels  
 of nodes)



- complete binary tree with dummy leaves

=> LOUDS representation

- Start from the root

- print: 1 for real nodes, 0 for leaves

|   |   |   |   |   |   |   |   |   |    |    |
|---|---|---|---|---|---|---|---|---|----|----|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| 1 | 1 | 0 | 1 | 1 | 0 | 1 | 0 | 0 | 0  | 0  |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |

# real nodes

- given this encoding, jump from ④ to ①:  
 (find left child):

$$2 \cdot x$$

STARTING NODE on the higher level

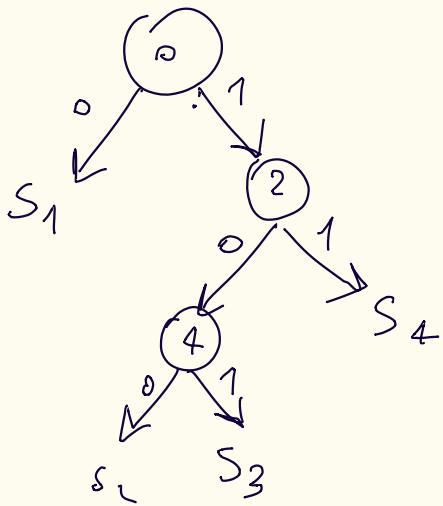
- and look if it is 1 or 0 → no child

if 1 => do a rank

es. for ④ = 6       $2 \cdot 4 = 8$  is zero => no child

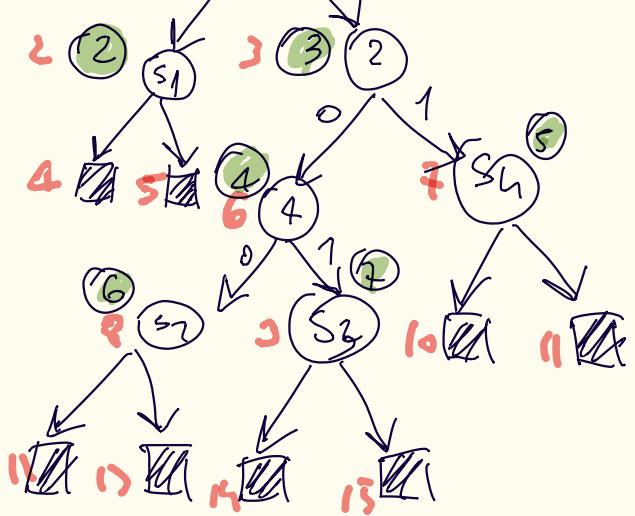
es. for ⑥ = 1       $2 \cdot 1 = 2$  has 1 => has child  
 $\Rightarrow$  do a rank

How to represent the tree in a succinct way



B

|   |   |   |   |   |   |   |   |   |    |    |    |    |    |    |
|---|---|---|---|---|---|---|---|---|----|----|----|----|----|----|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 |
| 1 | 1 | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 0  | 0  | 0  | 0  | 0  | 0  |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |   |   |    |    |    |    |    |    |



L

|   |                |   |   |                |                |                |
|---|----------------|---|---|----------------|----------------|----------------|
| 1 | 2              | 3 | 4 | 5              | 6              | 7              |
| 0 | S <sub>2</sub> | 2 | 4 | S <sub>4</sub> | S <sub>2</sub> | S <sub>3</sub> |

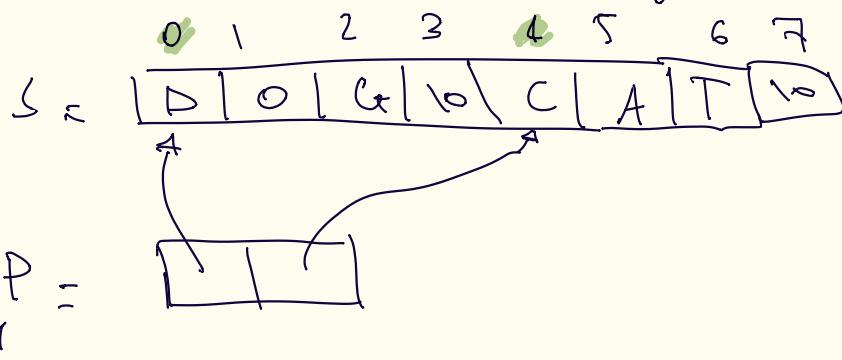
infos about nodes and their wdp

Rank<sub>1</sub>(2x)

# TREE ENCODING AND TRAVERSAL

SVC L INT  
not compressed

problem: store strings in an array and keep pointer to the strings



array of pointers :- full-size pointers :  $4 / 8 \text{ bytes} \Rightarrow P$

or

- keep the offset  $\Rightarrow P^1 = \boxed{0|4}$  offset to the beginning of  $\times$

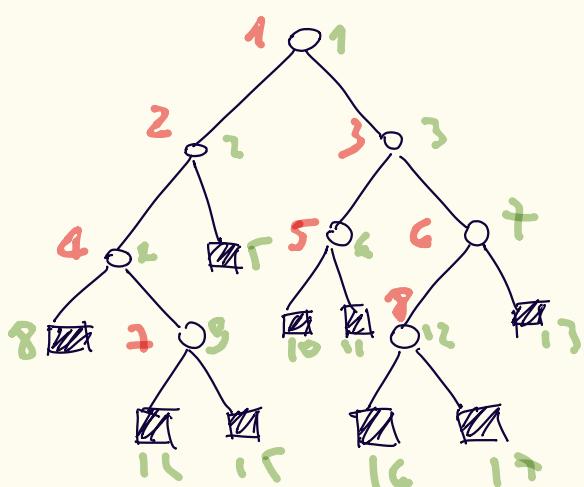
$\Rightarrow$  WE WANT STORED OFFSET not pointers -> this plus  
just increasing integers

Give me the 2<sup>nd</sup> string: access (2)

where  
 $m = |S|$   
inverse

TREES with no pointers

- Binary tree



-  $m = 8$  (nodes)

- 1) Tree completion
- 2) - enumerate real nodes  
- enumerate all
- 3) Semantification of node label

| B | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 |
|---|---|---|---|---|---|---|---|---|---|----|----|----|----|----|----|----|----|
|   | 1 | 1 | 1 | 1 | 0 | 1 | 1 | 0 | 1 | 0  | 0  | 0  | 4  | 0  | 0  | 0  | 0  |

On  $B \Rightarrow$  compress + index  $B$  using rank & select DS

$$\text{space } O(|B| + \underbrace{o(|B|)}_{\text{rank}_1} + \underbrace{o(|B|)}_{\text{select}_1}) \Rightarrow O(\underbrace{2m+1}_{\sum} + o(n))$$

$$|B| = 17 = 2m+1 = 2 \cdot 8 + 1 = 17$$

$\downarrow$   
# of original nodes

• TRAVERSE: from a node  $\otimes \Rightarrow$  get a left-child:  $\begin{cases} \text{child } \Rightarrow \text{Rank}_1(2x) \\ \text{if } B[2x] = 0 \text{ or } B[2x+1] = 0 \end{cases}$

\* dummy don't exist

I've to  
do a step  
back  
 $\Rightarrow$  transform  
dummy into  
real value

from a node  $\otimes \Rightarrow$  get a right-child

$$\begin{cases} 2x+1 \\ \text{all nodes} \end{cases} \Rightarrow \text{Rank}_1(2x+1) \text{ if } B[2x+1] = 1$$

from a node  $\otimes \Rightarrow$  get the parent:

$$\begin{cases} 2x+1 \\ \text{all nodes} \end{cases} \Rightarrow \text{Rank}_1(2x+1) \text{ if } B[2x+1] = 1$$

$\downarrow$   
 $\text{rank}_1(x)$  in  $B$  array

$$\left[ \frac{\text{Select}_1(x)}{2} \right] \text{ if } x \neq 1$$

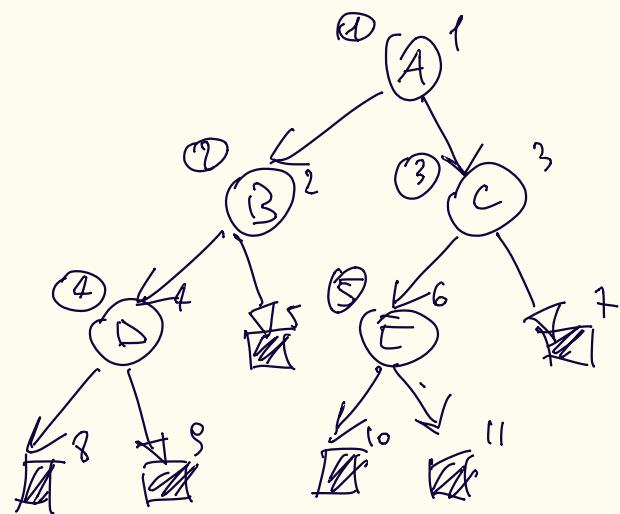
miss the  $e$

$$\text{no } \left[ \text{Select}_1\left(\frac{x}{2}\right) \right]$$

NOT in  
the root

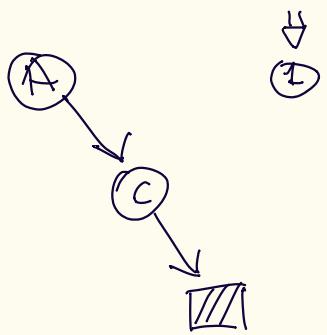
Given a tree:  $\{(a, b) \text{ } (a, c) \text{ } (b, d), \text{ } (c, e)\}$

build the tree encoded in succinct way and show a tree traversal from the root to the right child, right child



| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
|---|---|---|---|---|---|---|---|---|----|----|
| 1 | 1 | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0  | 0  |
| 1 | 2 | 3 | 4 | 5 |   |   |   |   |    |    |

Tree traversal: Root  $\rightarrow$  right child  $\rightarrow$  right child



$$\text{Rank}_1(2x+1) =$$

$$= \text{Rank}_1(3)$$

$$\text{iff: } B[3] = 1$$

$$\begin{matrix} \\ \downarrow \\ (3) \end{matrix}$$

$$\text{Rank}_1(2x+1) =$$

$$= \text{Rank}_1(7)$$

$$B[7] = ? \rightarrow \text{MJSRA}$$

$\Rightarrow$  I'm in a  
dummy node

$\Rightarrow \underline{\text{NULL}}$

Which are the level of the node traversed by the procedure?  
(we want the label of the nodes)

$\Rightarrow$  need a Dictionary that associates to every number (1, 2, 3, 4, 5)  
the corresponding letter

$$L_{(\text{labels})} = \begin{array}{|c|c|c|c|c|c|} \hline & A & B & C & D & E \\ \hline 1 & 2 & 3 & 4 & 5 & \\ \hline \end{array}$$

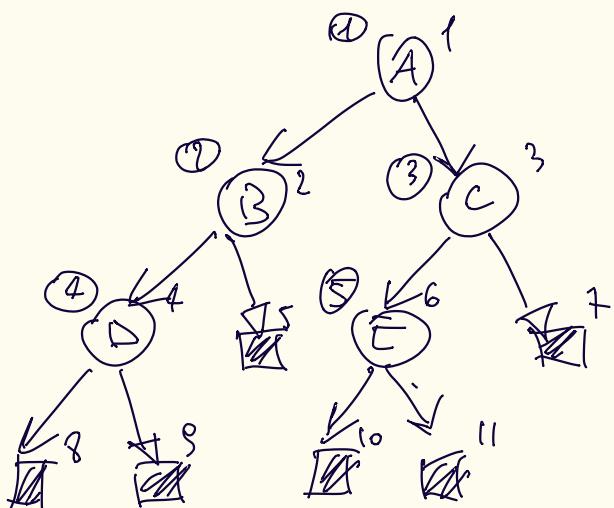
Root

$$L[1] = A$$

$$\text{right child } L[3] = C$$

$$\text{right child } L[7] = \underline{\text{NULL}}$$

- Given 2 sequence of labels, want to see if  $\pi$  a path labeled from  $\Theta$  to  $\Theta'$  in the tree and traverse the path  
WRITE THE PSEUDOCODE



|     |   |   |   |   |   |   |   |   |   |    |    |
|-----|---|---|---|---|---|---|---|---|---|----|----|
|     | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| B = | 1 | 1 | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0  | 0  |
|     | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |

The path is given by an array  $\Pi = [A|C] \Rightarrow \text{yes}$   
 $\Pi = [A|B|C] \Rightarrow \text{no}$

Match  $(\Pi, \dots)$  (parameters of the tree)

$$\Pi = \frac{1}{[all]} \frac{2}{1} \frac{3}{1} \frac{4}{1}$$

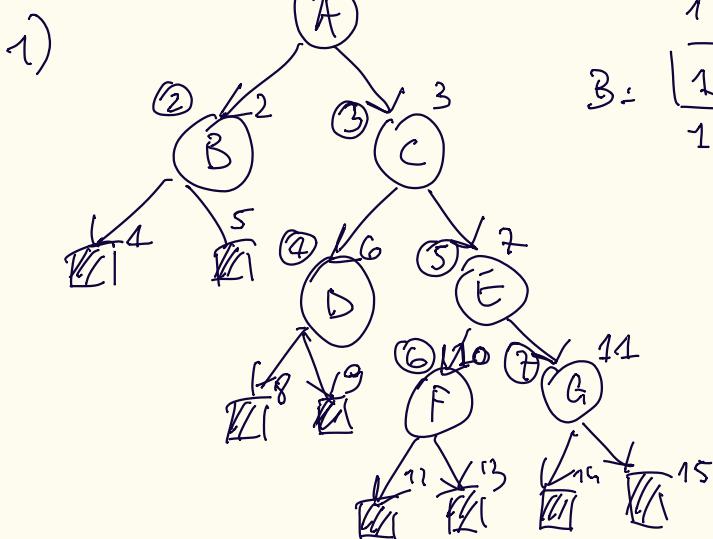
$x = 1;$  // start from the root  
 if ( $L[1] \neq \Pi[1]$ ) return false; // check if the label of the root is the same of  $\Pi[1]$   
 For ( $i = 1$  to  $|\Pi| - 1$ ) do  
 $l = \text{rank}_2(2x);$  // check right/left child  
 $r = \text{rank}_1(2x + 1);$  // check right/left child  
 if ( $B[2x] = 1 \& (L[l] = \Pi[i+1])$ ) // left child  $\exists$ , and label pos.  
 then  $x = l;$  //  $l$  is moved to next label of path  $\Pi$  in checking  
 else  
 if ( $B[2x+1] = 1 \& (L[r] = \Pi[i+1])$ ) // check right child  
 then  $x = r;$  // exist w/ if & else are false  
 ELSE RETURN FALSE

↓  
 (out of the root)  
 RETURN TRUE

Given the binary tree :  $(a, b)(a, c)(c, d)(c, e)(e, f)$   
 $(e, g)$

- 1) encode the tree using LOTS
- 2) Simulate the path traversal ACD with rank, select DS
- 3) Write pseudocode flattakes as input a binary tree  $T$  with nodes labeled with letters (possibly repeated) and represented via LOTS plus an array  $L$  of those letters, and taken in input also a letter  $X$  and a positive integer  $d$ , prints true if  $\exists$  a node in  $T$  labeled  $X$  at level or distance from the root (distance = # of edges). You have rank, select

$(a, b)(a, c)(c, d)(c, e)(e, f)$   
 $(e, g)$



3:

|   |   |   |   |   |   |   |   |   |    |    |    |    |    |    |
|---|---|---|---|---|---|---|---|---|----|----|----|----|----|----|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 |

2) Path: ACD  
 1) simulate from the root and go to a right child, which is real node if  $B[2x+1] \leq 7$

$x = 4 \Rightarrow 1$   
 $2x+1 = 3$   
 $B[3] = 4 \text{ ok}$

$\Rightarrow \text{rank}_1[3] = 3$   
 from node  $x=3 \Rightarrow$  go to a left child  
 if  $B[2x] \leq 1 \quad B[6] = 7 \text{ ok}$   
 $\text{rank}_1[6] = 4 \text{ ok}$

3) Procedure  $\alpha(T, L, X, d)$

$m = \#$  of nodes of  $T$

for  $i = 1$  to  $m$  do

if ( $L[i] = X$ ) then

$y = i$ ;  $distance = 0$ ;

while ( $y > 1$ )

$y = \lfloor \text{select}_1\left(\frac{Y}{2}\right) \rfloor$

$distance +=$ ;

if ( $distance \leq d$ )

return true

RETURN false

## ~~COMPRESSED DS~~

- given a Binary array

|       |   |   |   |   |   |   |   |     |     |
|-------|---|---|---|---|---|---|---|-----|-----|
| $B =$ | 1 | 7 | 3 | 4 | 5 | 6 | 7 | ... | $m$ |
|       | 0 | 1 | 0 | 0 | 1 | 1 | 0 |     |     |

-  $m = |B|$

-  $m = \#\{1\}$

- want store positions of 1

$\Rightarrow$  STANDARD ENCODING: Space  $O(\#\{1\} \cdot \log m)$  bits to represent position of  $1_s$  -  
 $= O(m \cdot \log m)$  bits

$\rightarrow$  Pointer-based programming

$\Rightarrow$  want pointer-less programming: idea: 2 operations

$$1) \underset{\substack{\rightarrow \text{position} \\ \text{bit } \{0,1\}}}{\text{rank}_b(i)} = \# \text{ of } b \text{ in the prefix } B[1, i]$$

$$\Rightarrow \text{rank}_1(4) = 1$$

$$\text{rank}_1(5) = 2$$

$$\text{Rank}_0(i) = i - \text{rank}_1(i)$$

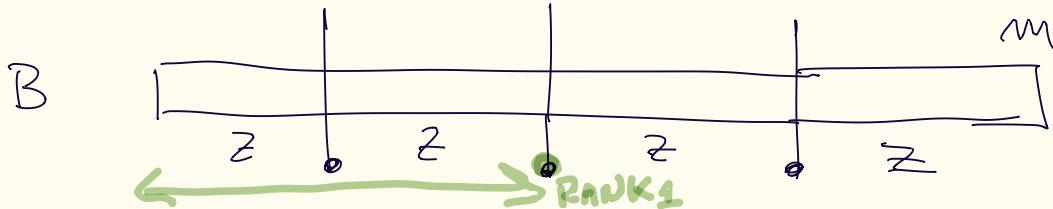
$$2) \underset{\substack{\rightarrow \text{bit } \{0,1\}}}{\text{select}_b(i)} = \text{position of } i\text{-th } b \text{ in } B$$

$$\Rightarrow \text{select}_1(3) = 1 \Rightarrow 3^{\text{rd}} 1$$

THEO:  $\exists$  a DS that takes  $\mathcal{O}(m)$  bits <sup>something that asymptotically is  $< m$</sup>  in addition to  $B$  and supports  $\text{rank}_1$  in  $O(1)$  time

$\downarrow$   
read only  
(not touched)

1) partition array  $B$  into blocks of size  $z$

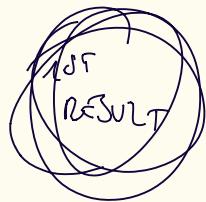


$$\# \text{ big blocks } z = O\left(\frac{m}{z}\right)$$

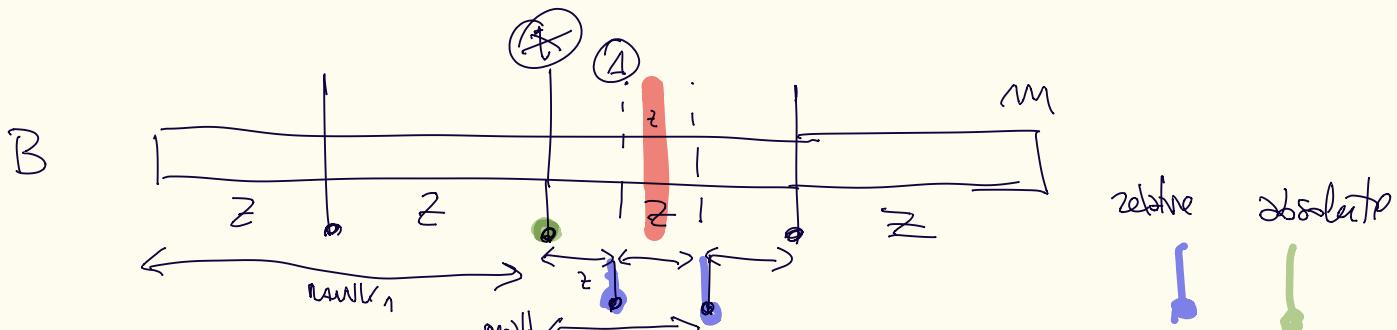
2) At block  $z$ , I store  $\text{rank}_1$  of all previous blocks  
 (ASSUME: from little start)

- Space of Big Blocks = # big blocks  $\cdot$  counter  $\rightarrow$  an integer  
 $(\text{rank}_1)$

$$\Rightarrow O\left(\frac{m}{z} \cdot \log_2 m\right) \text{ bits}$$



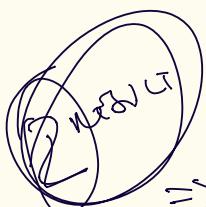
- ANOTHER PARTITION



- Every Big Block is divided into  $z$  blocks  $\Rightarrow \frac{z}{z}$

- At small block I store: RELATIVE rank<sub>1</sub>

- Space for small block  $\approx O\left(\frac{m}{z} \cdot \log_2 z\right) \text{ bits}$



$\Rightarrow$  want rank<sub>1</sub> here: rank<sub>1</sub> of  $z$  ~~O(1)~~  $O(z)$   
 + rank<sub>1</sub> of  $z$  ~~O(1)~~  $O(z)$   
 and scan the small block  $O(z)$

$\Rightarrow$  TOTAL SPACE:  $O\left(\underbrace{\frac{m}{z} \log_2 m}_{\text{Big Blocks } z} + \underbrace{\frac{m}{z} \log_2 z}_{\text{small blocks } z}\right) \text{ bits}$

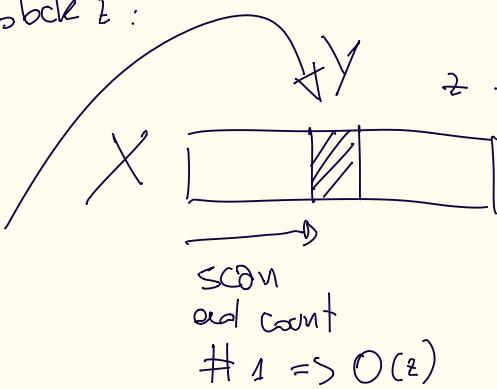
Time Complexity:  $O(z)$   $\Rightarrow$  but we want constant time

$\begin{matrix} 1 \\ (\text{SCAN}) \\ \text{small block} \end{matrix}$

3<sup>rd</sup>-step : 4-russian trick  $\Rightarrow$  we're in RAM model with word size  $O(\log m)$   
 $\Rightarrow$  every operation on  $\log m$  bits  
 is constant time ( $- ; + ; \cdot ; \div$ )

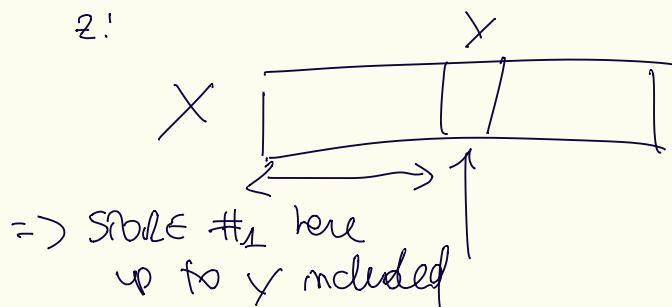
- given small block  $z$ :

- Assume:  
 I'm querying  
 the position



$\Rightarrow$  To do in constant time, I tabulate all possible answers  
 $\Rightarrow$  brute force approach

$\Rightarrow$   $\forall$  small block  $z$  configuration (call it  $x$ ) and  
 $\forall$  position  $y \in [1, z]$   
 $\Rightarrow$  we store  $\#_1$  in  $x[1, y]$

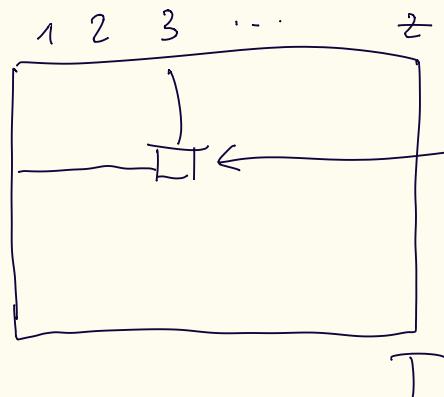


Create a table:

all possible positions

all block  $X$

|         |    |
|---------|----|
| 000 ... | 00 |
| ...     |    |
| 111 ... | 11 |



store the  $\#_1$

$\Rightarrow$  Time is  $O(1)$   
 (constant)

$\Rightarrow$  Space of the table:

I've rows  $\circ$  columns  
 $2^t$        $z$

and every entry requires  
 $\log_2 z$  bits

Total complexity of the space :

TABLE

$$O\left(\frac{m}{z} \log m + \frac{m}{z} \log z + 2^z \cdot z \cdot \log_2 z\right) \text{ bits}$$

QUERY  $\Rightarrow \text{rank}_z(i) =$

$d(i)$   $\rightarrow$  counter stored at  $Z$  including  $i$   
 $o(i)$   $\rightarrow$  counter stored at  $z$  including  $i$   
 $\alpha_1$   
 $\alpha_2$   
 let  $X$  be the small block including  $i$   
 let  $y = i \bmod z$ ,  
 get  $T[x, y]$   
 $\Rightarrow$  constant

this is the problem :

- set 
$$z = (\log_2 m)^2$$
- set 
$$z = \frac{1}{2} \log_2 m$$
  $\Rightarrow$  half of necessary word

$$O\left(\frac{m}{z} \log m + \frac{m}{z} \log z + 2^z \cdot z \cdot \log_2 z\right) =$$

$$\begin{aligned}
 &= O\left(\frac{m}{\frac{\log_2 m}{2}} \log m + \frac{m}{\frac{1}{2} \log m} \cdot \log(\log(m)) + 2^{\frac{1}{2} \log m} \cdot \frac{1}{2} \log m \cdot \log(\frac{1}{2} \log m)\right) = \\
 &= \frac{m}{\log m} + \frac{m}{\log m} \log \log m + \underbrace{2^{\frac{1}{2} \log m}}_{2^{\log(m)^{\frac{1}{2}}}} \cdot \log m \cdot \log \log m = \\
 &\quad 2^{\log m^{\frac{1}{2}}} = 2^{\log \sqrt{m}} = \sqrt{m} \\
 &= \frac{m}{\log m} + \frac{m}{\log m} \log \log m + \underbrace{\sqrt{m} \cdot \log m \cdot \log \log m}_{O(m)}
 \end{aligned}$$

$$\sigma(m) \xrightarrow{\text{cm}} \sigma(m) \Rightarrow \sigma(m) \approx \frac{M}{10} = 10\% \text{ of memory in real scenario}$$

time to compare with  
SCAN solution

$$\#4 \xrightarrow{\text{O}(m \log m)} \Rightarrow \text{all depends on } m$$

Select

Theorem:  $\exists$  DS that uses  $\sigma(m)$  bits in addition to  $B$   
that support  $\text{select}_1$  and  $\text{select}_0$  in  $O(1)$  time

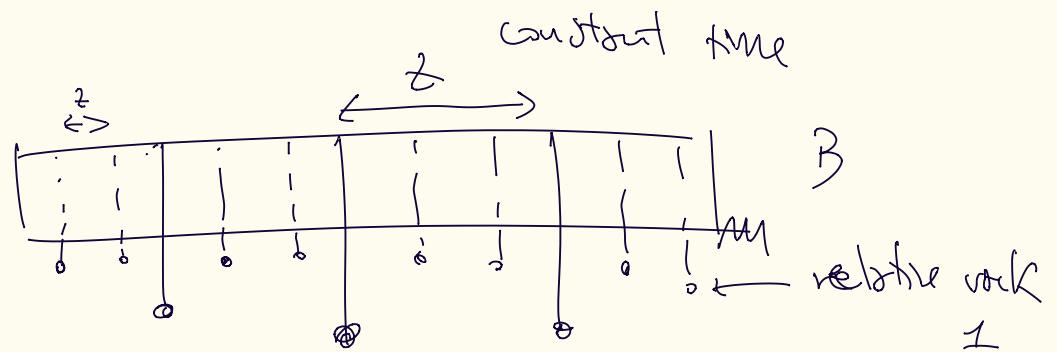
(ND)

$\Rightarrow$  This and other theorem do not depend on  $(m, \text{keys}, s)$   
but on Universe size

Conclusion  
 $\Rightarrow$  HAVE TO COMBINE THESE 2 THEOREMS WITH THIS - P.HAND

- Take this - from: combine it with  $\text{select}_1$  and  $\text{select}_0$ ,  
 $\Rightarrow$  get a DS that depends:  
 Space  $2m + m \lceil \log \frac{m}{n} \rceil + \sigma(m)$   
 AND can support  
 rank  $_1$  in  $O(\log \frac{m}{n})$  time  
 select  $_1$  in  $O(1)$  time)

Theorem: ] DS that takes  $\sigma(m)$  in addition to  $B$  that support Rank in  $O(1)$  aka



$$\text{Big Blocks } z \Rightarrow O\left(\frac{m}{2^z} \cdot \log_2 m\right)$$

$$\text{Small Block } z \Rightarrow O\left(\frac{m}{2^z} \log_2 z\right)$$

$\Rightarrow$  4-way partition trick: store a table with possible suffixes of small blocks  $z$  stores the values of 1s at positions

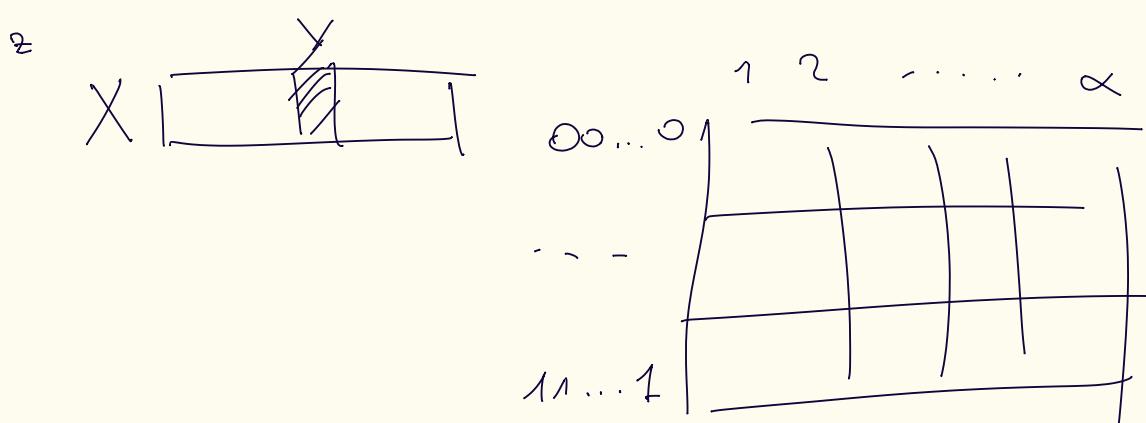


Table requires

|       |   |        |   |                |
|-------|---|--------|---|----------------|
| ROWS  | • | COLUMN | • | REPRESENTATION |
| $2^z$ | • | $z$    | • | $\log_2 z$     |

$\Rightarrow$  Complexity becomes

$$O\left(\frac{m}{2^z} \log m + \frac{m}{2^z} \log z + 2^z \cdot z \cdot \log z\right)$$

- set  $Z = (\log_2 m)^2$

- set  $z = \left(\frac{1}{2} \log m\right)$  half of a word

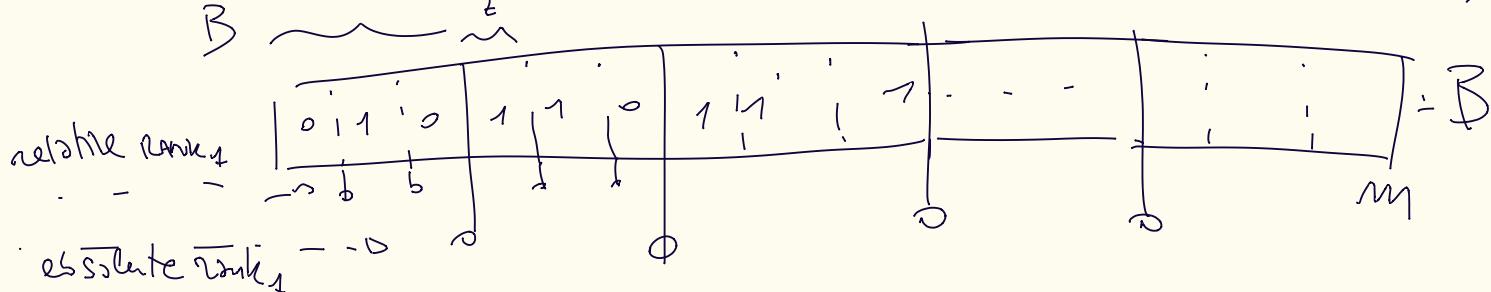
SUBSTITUTE THAT SHIT

$$\Rightarrow \frac{m}{(\log_2 m)^2} \cdot \log m + \frac{m}{\frac{1}{2} \log m} \cdot \log(\log m) + 2 \cdot \frac{\frac{1}{2} \log m}{\sqrt{m}} \cdot \log(\frac{1}{2} \log m)$$

$\underbrace{(\log_2 m)^2}_{\sigma(m)}$        $\underbrace{\log(\log m)}_{\sigma(m)}$        $\underbrace{\frac{1}{2} \log m}_{\in \sqrt{m}}$        $\underbrace{\log(\frac{1}{2} \log m)}_{\sigma(m)}$

(checkmark)

THEOREM :  $\exists$  a DS that takes  $O(m)\sqrt{m}$  addition steps to a binary tree  $B$  that supports rank in  $O(1)$



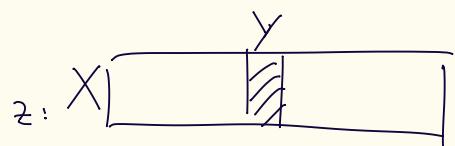
$\exists$ : Big blocks:  $\mathcal{O}\left(\frac{m}{z} \log m\right)$  bits

$\exists$ : Small blocks:  $\mathcal{O}\left(\frac{m}{z} \log z\right)$  bits

rank<sub>2</sub>(i) return

#1 up to  
i (in bold)

TABLE:  
(Lavin's trick):  
 $\Rightarrow$



• table stores every possible configuration of small block  $z$

the #<sub>1</sub>

1      2      ...

|    |    |    |  |
|----|----|----|--|
| 00 | .. | 00 |  |
| .  | .  | .  |  |
| 11 | .. | 11 |  |

rows . columns . representation

$$2^z \cdot z \cdot \log_2 z$$

$$\Rightarrow \mathcal{O}\left(\frac{m}{z} \cdot \log_2 m + \frac{m}{z} \log_2 z + 2^z \cdot z \cdot \log_2 z\right)$$

$$\Rightarrow \text{set } z = (\log_2 m)^2$$

$$z = \frac{1}{2} \log m$$

$\Rightarrow$  solution

$$\log(i) = \log_2(i)$$

$$O\left(\underbrace{\frac{m}{(\log m)^2} \cdot \log m}_{\sigma(m)} + \underbrace{\frac{m}{2} \cdot \log(\log m)^2}_{\Theta(m)} + \underbrace{2^{\frac{1}{2} \log m} \cdot \frac{1}{2} \log m \cdot \log_2(2^{\frac{1}{2} \log m})}_{\Theta(m)}\right) = \sigma(m) \quad (\checkmark)$$