

The rocky road from research to operations for satellite ocean color data – what's fisheries got to do with it?

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ABSTRACT

7 The aim of the SAFARI project is to “accelerate the assimilation of Earth observation
8 data into fisheries research and management by facilitating the application of rapidly
9 evolving satellite technology.” This aim assumes that these data will be available in the
10 future. However, for ocean color data, that assumption may not be true due to a possible
11 gap in data continuity. Of the many types of satellite data, ocean color is the most
12 important to fisheries, as it is the only biological measurement. The current ocean color
13 sensors are all operating beyond their planned design life. While the US has thus far led
14 the world in development of satellite ocean color science and technology, the
15 continuation of this leadership role is in jeopardy. The next US ocean color sensor to be
16 launched, VIIRS in 2012, may not perform well enough to continue the science-quality
17 data provided by current satellites. While the research community is aware of the value
18 of satellite ocean color data, advocacy from the operational community, fisheries
19 management in particular, has been lacking. The absence of an easily identifiable
20 operational need for ocean color data is largely responsible for the likely gap in the
21 continuity of US ocean color.

22

23 Keywords: chlorophyll, fisheries, satellite ocean color, research-to-operations

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28

28 **Introduction**

29 Environmental satellite data measurements such as sea-surface temperature, sea-
30 surface height, ocean color, and surface vector winds, are valuable resources needed to
31 understand, monitor, and predict changes in the earth's ecosystems, climate and weather.
32 In the US the two agencies responsible for flying environmental satellites are NASA
33 (National Aeronautics and Space Administration) and NOAA (National Oceanic and
34 Atmospheric Administration). NASA is responsible for research and development of
35 new satellite missions, while NOAA is responsible for launching and maintaining
36 operational satellites to acquire data on the earth's atmosphere and oceans and for
37 providing continuity for these data streams (National Research Council, 2003, U.S.
38 Commission on Ocean Policy, 2004). However, little of the sensor technology developed
39 by NASA has been utilized by NOAA (National Research Council, 2003, U.S.
40 Commission on Ocean Policy, 2004). The difficulty of the transition from research to
41 operations (R2O) has earned the process the nickname of the "valley of death", a
42 metaphor for the barriers separating research results from operational applications
43 (National Research Council, 2000a). This "valley of death" has been bridged fairly
44 successfully for weather forecasting (Serafin *et al.*, 2002), and discussion has started
45 about the R2O process for climate issues (National Research Council, 2000b, National
46 Research Council, 2000c). The altimetry community has successfully lobbied for
47 continued implementation, using the simple message that sea surface height
48 measurements are imperative for monitoring global sea level rise (Cazenave and Llovel,
49 2010, S. Wilson, pers. comm.). However, there has been little discussion of R2O for
50 ocean color data or for applications related to ecosystems and fisheries management. The

51 absence of clearly-defined observational needs for ocean color data is a problem, since
52 the justification for launching operational satellite missions requires defined operational
53 usages, not meeting research desires.

54 Here a brief summary of past, current and scheduled ocean color sensors, both US
55 and non-US, is given, as well an overview of the research that has developed out of the
56 availability of ocean color data. After a discussion of what is meant by an “operational
57 use”, a range of current and potential operational usages of ocean color data are
58 discussed. Some reasons for why ocean color data have been underutilized in fisheries
59 management, and what can be done to mitigate them, are outlined. The US is not the
60 only country flying ocean color satellites: Europe, Japan and India all have satellite ocean
61 color programs, but this paper will focus on the R2O process of ocean color in the US.
62 Hence this paper will discuss operational usages of ocean color data from a US
63 perspective, which is an important caveat as there are international differences in what
64 constitutes an “operational usage”.

65

66 **The history of satellite ocean color**

67 The first ocean color satellite, the Coastal Zone Color Scanner (CZCS), was
68 launched in 1978 by NASA and was operational until late 1986 (Figure 1). CZCS was a
69 "proof-of-concept" mission, intended to only last one year, which showed that satellite
70 ocean color measurements could be reliably used to derive products such as chlorophyll *a*
71 and sediment concentrations (Mitchell, 1994, Barale and Schlittenhardt, 1993). Most
72 importantly, it provided justification for subsequent ocean color missions such as
73 SeaWiFS (Sea-viewing Wide Field-of-view Sensor) and MODIS (Moderate Resolution

74 Imaging Spectroradiometer) in the US, although there was a ten-year gap between the
75 CZCS and the launch of SeaWiFS in 1997 (Figure 1). SeaWiFS and MODIS were both
76 launched by NASA as research missions. Two MODIS sensors were launched, one on
77 the Terra satellite in 1999 and one on the Aqua satellite in 2002. However, as a result of
78 uncertainties and instabilities in the pre-launch and on-orbit characterization of MODIS-
79 Terra this data has been largely unusable (Franz *et al.*, 2008). In the rest of this paper
80 “MODIS” will be referring to the sensor on the Aqua satellite. While SeaWiFS was still
81 operating in 2010, there have been problems with its telemetry since January 2008,
82 resulting in intermittent data gaps.

83 Other countries have also developed ocean color programs. Japan has launched
84 two ocean color sensors, the OCTS (Ocean Color Temperature Scanner) in 1996 and the
85 GLI (Global Imager) in 2002. Neither of them remained operational for more than a year
86 (Figure 1).

87 India launched the OCM (Ocean Color Monitor) in 1999. However the data from
88 this sensor has generally not been available to the international community, and there are
89 also serious issues with its calibration (Lyon, 2009). The inaccessibility of this data is
90 evident from the small number of papers citing OCM (65, see Table 1) relative to
91 SeaWiFS (1175), despite their time in orbit being similar (Figure 1). The overwhelming
92 majority of the papers using OCM data have come out of the Indian community
93 (Figure 2), which again underscores the inaccessibility of this data on an international
94 level. The numbers in Figure 2 actually over-estimate the international dissemination
95 associated with a particular sensor. For example, of the 65 papers (Table 1) citing OCM
96 data, only two of them have an author list without any Indian affiliations. The follow-on

97 to OCM, OCM-2, was launched in September 2009. A major impetus for India to launch
98 OCM-2 was the need for ocean color data for forecasts of potential fishing zones (PFZs).
99 It is anticipated that data from OCM-2 will be more accessible to the international
100 community, but that still remains to be seen.

101 Europe launched the Medium Resolution Imaging Spectrometer (MERIS) in
102 2002. MERIS has a narrower swath width than SeaWiFS and MODIS, and subsequently
103 less frequent coverage. While MERIS data accessibility has improved since its launch,
104 there are still issues. For example, level 1 data, which is needed to apply regional
105 atmospheric corrections, is not openly distributed. The more restricted data availability,
106 relative to the US sensors, is reflected in the statistics of the MERIS publications, which
107 are predominately European (Figure 2), and relatively low in number, given the time in
108 orbit (Table 1).

109

110 **Future ocean color sensors**

111 The first US ocean color sensor to be launched as an operational mission will be
112 VIIRS (Visible/Infrared Imager Radiometer Suite) in 2012. VIIRS was developed as part
113 of the National Polar-orbiting Operational Environmental Satellite (NPOESS) program, a
114 program designed to meet the requirements of NASA, NOAA and the US Department of
115 Defense. However in February 2010, due to huge cost overruns, NPOESS was
116 restructured into the Joint Polar Satellite System (JPSS), a program to be run jointly by
117 just NOAA and NASA. The first satellite scheduled to be launched under NPOESS was
118 a precursor satellite called the NPOESS Preparatory Project (NPP), whose name has not
119 changed despite the program restructuring. Hence, the first VIIRS sensor will be

120 launched on the NPP satellite, scheduled for launch in 2012. The first two JPSS
121 satellites, currently scheduled for launch in 2016 and 2019, will also have a VIIRS sensor
122 on them (Figure 1).

123 If either MODIS or MERIS, both are past their design life, fail before the launch
124 of NPP in 2012 there will be a gap in the continuity of the climate data record (CDR) for
125 global ocean color data (a CDR has been defined as a time series of measurements of
126 sufficient length, consistency, and continuity to determine climate variability and change
127 (National Research Council, 2004)). A bigger problem than the lack of data inherent in a
128 gap in continuity will be the inability to calibrate between different sensors, thereby
129 prohibiting future ocean color data streams from being part of the current continuous
130 stream of ocean color data that extends back to 1997.

131 Additionally there are technical issues with VIIRS such that it is not expected to
132 deliver a CDR from NPP, though these issues should be resolved for the VIIRS sensors
133 on JPSS-1 and JPSS-2. If the currently operating ocean color sensors fail before the
134 launch of VIIRS, the availability of data from the OCM-2 will be critical (Figure 1).
135 Europe is planning on launching the Ocean Land Colour Instrument (OLCI) in 2013, and
136 Japan is planning on launching the Second-Generation Global Imager (SGLI) in 2014
137 (Figure 1). Both these sensors are planned for after the launch of VIIRS on NPP, so they
138 will not mitigate any gap prior to VIIRS/NPP, but they could provide critical information
139 to fill the gap if data from VIIRS/NPP is not of sufficient quality.

140 These ocean color sensors all have different number of spectral bands, different
141 spatial resolutions, different repeat times and varying degrees of calibration, which makes
142 comparisons and calibrations between them complicated (Maritorena and Siegel, 2005,

143 Morel *et al.*, 2007, D'Alimonte *et al.*, 2008, Gregg and Casey, 2010). For example,
144 SeaWiFS and MODIS, which are processed with consistent methods, have significant
145 differences that need to be resolved before merging their data-streams into one record
146 (Gregg and Casey, 2007, Gregg and Casey, 2010, Franz *et al.*, 2005). An in-depth
147 comparison of the different sensors is beyond the scope of this paper; these details can be
148 found elsewhere (McClain, 2009, Djavidnia *et al.*, 2010, Gower *et al.*, 2008, Lee *et al.*,
149 2007b, Gregg *et al.*, 1998, Franz *et al.*, 2005).

150

151 **Importance of ocean color to research**

152 The advent of satellite ocean color data transformed the field of biological
153 oceanography. There have been over two thousand papers published using ocean color
154 data (Table 1). In addition to a number of review articles summarizing the research
155 impact of satellite ocean color data (Yoder and Kennelly, 2006, McClain, 2009, McClain
156 *et al.*, 2006), there have been a number of special volumes devoted to ocean color results
157 (Mitchell, 1994, Siegel *et al.*, 2004a, Siegel *et al.*, 2004b, Barale and Schlittenhardt,
158 1993). Some specific contributions resulting from analysis of ocean color data include
159 (in no particular order):

- 160 • refining our understanding of the seasonal cycles of surface ocean chlorophyll
161 (Yoder *et al.*, 1993, Longhurst, 2007, Longhurst, 1995),
162 • defining ecological provinces in the ocean (Longhurst, 2007, Spalding *et al.*, 2007,
163 Longhurst, 1995, Devred *et al.*, 2007, Platt and Sathyendranath, 1999),
164 • determining interannual variations in the seasonal cycle of chlorophyll (Vargas *et*
165 *al.*, 2009),

- 166 • quantifying the phenological match between commercially-important species and
167 their planktonic food (Platt *et al.*, 2003, Koeller *et al.*, 2009b),
168 • identifying specific types of phytoplankton, such as coccolithophorids (Brown and
169 Yoder, 1994, Gordon *et al.*, 2001), *Trichodesmium* (Borstad *et al.*, 1992,
170 Subramaniam *et al.*, 2002, Westberry and Siegel, 2006) or different phytoplankton
171 functional types (Sathyendranath *et al.*, 2004, Alvain *et al.*, 2008),
172 • detecting and monitoring Harmful Algal Blooms (HABs) (Allen *et al.*, 2008,
173 Stumpf, 2001, Hu *et al.*, 2008)
174 • mapping river plumes (Hochman *et al.*, 1994, Hu *et al.*, 2004),
175 • examining upwelling dynamics (Thomas *et al.*, 2001, Campillo-Campbell and
176 Gordoa, 2004, Garcia *et al.*, 2008),
177 • quantifying the basin-scale impact of El Niño events (Sackmann *et al.*, 2004, Wilson
178 and Adamec, 2001, Chavez *et al.*, 1999, McClain *et al.*, 2002),
179 • depicting long-terms trends in global patterns of chlorophyll (Polovina *et al.*, 2008,
180 Vantrepotte and Melin, 2009, Martinez *et al.*, 2009, Gregg and Conkright, 2002,
181 Gregg *et al.*, 2005, Dandonneau *et al.*, 2004),
182 • analyzing how variability in the penetration of solar radiation into the surface ocean
183 impacts ocean circulation and mixing and climate (Anderson *et al.*, 2007,
184 Gnanadesikan and Anderson, 2009, Sweeney *et al.*, 2005, Lewis *et al.*, 1990, Wetzel
185 *et al.*, 2006),
186 • improving biological and ecological models, through either data assimilation or
187 validation (Fan and Lv, 2009, Fontana *et al.*, 2009, Jolliff *et al.*, 2009, Ourmieres *et*
188 *al.*, 2009)

189 • determining ocean primary productivity (Carr *et al.*, 2006, Behrenfeld and
190 Falkowski, 1997, Campbell *et al.*, 2002),
191 • mapping colored dissolved organic matter (CDOM) distributions (Siegel *et al.*,
192 2005), and
193 • identifying anomalous chlorophyll blooms (Wilson and Qiu, 2008, Uz, 2007).
194 These research applications provide fundamental information about marine
195 ecosystems. However, most of them do not have a direct operational component. Usages
196 of ocean color data in the management of fisheries and aquaculture, and other societal
197 benefits of ocean color data, were recently reviewed in two reports from the International
198 Ocean Colour Coordination Group (IOCCG, 2009, IOCCG, 2008), and are summarized
199 below.

200 **Operational uses of ocean color**

201 What it means to make something “operational” varies widely depending on the
202 application or the community in question. One consistent aspect of the term
203 “operational”, however, is that it is seldom defined. The primary use of operational
204 satellite data products has been weather forecasting, an application that requires access to
205 near-real-time (NRT) data streams, 24 hours a day, 7 days a week. Hence, there is often
206 a misconception that operational uses of satellite data must always depend on NRT, 24/7
207 data. However, for fisheries management applications, an interannual datastream, which
208 requires climate quality datasets, is often more relevant than a NRT one. Another
209 definition, used within the R2O context, interprets “operational” as encompassing
210 “anything not research”, which is extremely ambiguous. NOAA’s policy on R2O

211 (NOAA, 2008) defined operations as "sustained, systematic, reliable, and robust mission
212 activities with an institutional commitment to deliver appropriate, cost-effective products
213 and services". NOAA's mission includes mitigating coastal hazards, sustaining marine
214 ecosystems and monitoring climate variations. Hence the integration of ocean color data
215 into activities supporting those mission objectives should constitute an operational use by
216 NOAA's definiton. However, it is not clear how widely accepted this viewpoint is.
217 While this issue of "what is operational?" might seem semantic, it is important because
218 justification for luching new operational satellite missions requires the identification of
219 an operational need, particularly within the agency.

220

221 **Operational Uses of Ocean Color: HABs**

222 The monitoring of Harmful Algal Blooms (HABs) is one example of a clear R2O
223 transition of ocean color data. HABs are blooms of toxin-producing algae that have
224 negative impacts on humans, marine organisms, and/or coastal economies. HAB events
225 can result in the closure of shellfish beds and beaches, massive fish kills, illness and
226 death to marine mammals and seabirds, and alteration of marine habitats. As a
227 consequence, HAB events adversely affect commercial and recreational fishing, tourism,
228 and valued habitats, creating a significant impact on local economies and the livelihood
229 of coastal residents. Having an advanced warning of a HAB event, and an estimation of
230 their spatial distribution, increases the options for managing these events and minimizing
231 their harmful impact on society.

232 Because of the large spatial scale and high frequency of observations needed to
233 assess bloom location and movements, ocean color satellite data is a key component in

234 HAB research and forecasting. “New” blooms can be identified by a chlorophyll
235 anomaly method that accounts for the complex optical properties in coastal waters that
236 can confound the satellite chlorophyll algorithm (Stumpf *et al.*, 2003b, Tomlinson *et al.*,
237 2009). For some coastal waters with high amounts of organic matter, fluorescence data
238 from the MODIS and MERIS sensors have the potential for providing better estimates of
239 the bloom extent (Hu *et al.*, 2005, Zhao *et al.*, 2010). However, there are limitations to
240 the applicability of satellite ocean color data to HAB detection. Since not all high
241 chlorophyll features are HABs, definitive identification of a HAB from satellite data also
242 requires in situ water sampling. There are many different types of HABs, and algorithms
243 developed for one species in one region often are not transferable to other species or
244 other regions. Additionally, not all HABs can be detected by satellite ocean color data
245 (Anderson, 2009), and the spatial resolution of the current ocean color sensors can be too
246 coarse to detect features in many of the coastal regions where HABs develop. Despite
247 these limitations, satellite ocean color can be an effective tool to monitor HABs, which
248 NOAA has done operationally in the US since 2006, producing HAB bulletins twice a
249 week for the Gulf of Mexico (Stumpf *et al.*, 2009). There are also efforts developing
250 ocean-color based operational HAB forecasts in Europe (Johannessen *et al.*, 2006) and in
251 Australia (Roelfsema *et al.*, 2006).

252

253 **Operational Use of Ocean Color: Fisheries**

254 In the broadest sense fisheries encompass not just commercial fish stocks, but all
255 living marine resources (LMRs), including threatened and endangered species of fish as
256 well as marine mammals and invertebrates. There are three distinct aspects of fisheries:

257 harvesting, assessment, and management, all of which have different goals. Satellite
258 ocean color data has been used extensively to help harvest fish more efficiently in India
259 and Japan (Wilson *et al.*, 2008, Saitoh *et al.*, 2009). While this is a clear “operational”
260 use of the data by some definitions (Kendall and Duker, 1998), it is not applicable for
261 NOAA, as improving harvesting efforts is not part of its mandate to manage and conserve
262 LMRs. Consequently, the agency cannot provide services, such as the PFZ maps
263 distributed by the Indian government (Nayak *et al.*, 2003, Choudhury *et al.*, 2007, Nayak,
264 this volume) or compete with those available commercially (Saitoh *et al.*, 2009, Saitoh,
265 this volume). Hence for NOAA, operational usages of ocean color in a fisheries context
266 refer to those that involve the assessment or management of LMRs.

267

268 *Assessment and Management of exploited species*

269 The primary operation within NOAA Fisheries is stock assessment. NOAA is
270 responsible for managing over 900 commercial stocks and ~210 protected or endangered
271 species (National Marine Fisheries Service, 2001). Assessments provide the technical
272 basis for setting annual fishery quotas and other fishery management measures to achieve
273 optimum yield from the fishery while avoiding overfishing and ecosystem harm. At a
274 minimum, a quantitative stock assessment requires monitoring of catch, abundance, and
275 biological characteristics of the stock. While achieving a balance between exploitation
276 and conservation requires substantial information about not just the fish stock, but also its
277 fishery, its ecosystem and its habitat, traditionally environmental data have not been
278 incorporated into stock assessments. The environmental factors influencing populations
279 are complex and poorly understood, consequently they have largely been excluded from

280 traditional assessment models, which greatly limits their accuracy and effectiveness
281 (Koeller *et al.*, 2009a). However, bringing environmental and ecological data into the
282 process requires radical changes to the overall management approach, including the
283 adoption of conceptual and analytical frameworks which accommodate them – a daunting
284 prospect counter to the conservative nature of fisheries assessment and management.

285 Recently there has been a move towards an ecosystem-based management of
286 fisheries (Brownman and Stergiou, 2005, Sherman *et al.*, 2005, Frid *et al.*, 2006), which
287 has given new impetus to better understand the environmental factors influencing fish
288 stock dynamics, and to include environmental variability as an integral part of the
289 assessment process. Most of the spatial features that characterize ecosystems and
290 ecosystem variability, i.e. ocean fronts, eddies, convergence zones and river plumes
291 cannot be adequately resolved without satellite data. The measurements of primary
292 productivity and chlorophyll obtained from satellite ocean color data greatly facilitate
293 monitoring the base of the oceanic food chain, and these parameters are part of the
294 assessment strategy for large marine ecosystems (LMEs) (Sherman and Hempel, 2008,
295 Sherman *et al.*, this volume).

296

297 *Management of protected species*

298 Satellite ocean color data provide key information about oceanographic
299 conditions needed to understand the distribution and migration patterns of protected
300 species in order to manage them better. For example satellite ocean color data are
301 necessary to track the seasonal and interannual migrations of the ‘transition zone
302 chlorophyll front’ (TZCF), a boundary in the North Pacific separating cool, high-

303 chlorophyll, vertically mixed water in the north and warm, low-chlorophyll, vertically
304 stratified subtropical water in the south (Polovina *et al.*, 2001). The TZCF is an
305 important migration pathway for endangered loggerhead turtles that forage in the high
306 chlorophyll eddies associated with meandering of the front (Polovina *et al.*, 2004,
307 Kobayashi *et al.*, this volume). Other apex predators such as albacore tuna also use the
308 front as a migratory corridor (Laurs and Lynn, 1977, Polovina *et al.*, 2001). The degree
309 of meandering of the TZCF seems to impact trophic transfers and the level of
310 productivity associated with the front. Periods with more meandering of the front have
311 had significantly higher catch per unit effort (CPUE) of albacore, suggesting that the
312 enhanced convergence creates more productive foraging grounds (Polovina *et al.*, 2001).
313 Interannual variability in the southern extent of the TZCF also impacts the survival of
314 juvenile monk seals in the Hawaiian islands (Baker *et al.*, 2007).

315 This information on turtle migration patterns and monk seal habitat quality
316 provides the framework upon which to build management strategies for these
317 populations. For example, a NOAA program called TurtleWatch uses satellite ocean
318 color data in part to predict areas with a high probability of loggerheads and longline
319 interactions so that fishers can minimize turtle bycatch (Howell *et al.*, 2008). For monk
320 seals, satellite ocean color data can be used to predict years when low survival is likely
321 and hence when management intervention, such as a head start program, should be
322 implemented (Wilson *et al.*, 2009). Ocean color data are also being used to predict the
323 movement and congregation of highly endangered right whales in the Atlantic, with the
324 management aim of mitigating their ship-strike mortality (Pershing *et al.*, 2009).

325

326 **Why are satellite ocean color data underutilized in fisheries?**

327 Despite these uses, the full potential of satellite ocean color data has not been
328 realized within either NOAA, or within fisheries science more generally. For example,
329 less than 2% of the publications using ocean color data have involved any aspect of
330 fisheries (Table 1). While it's possible that the low number of fisheries related ocean
331 color publications is caused by stock assessment papers being published in the "gray"
332 literature and thus not being represented in the citation database, it seems unlikely given
333 the reluctance of the stock assessment community to incorporate environmental data
334 (Koeller *et al.*, 2009a). The low number of papers could also be an artifact of insufficient
335 classification within the database. The numbers are based on the number of citations
336 with "fisheries" listed as a subject category, and papers dealing with protected species are
337 probably not included in this category. Additionally only 35% of the ocean color papers
338 are classified under "oceanography" (Table 1), yet one would expect the majority of
339 papers using ocean color data to have an oceanographic basis. Using "fish" in the search
340 string found a few more papers but did not change the overall result. However an
341 interesting result of the last search is the relatively high percentage (12%) of OCM papers
342 dealing with fish, which reflects the usage of this data in constructing PFZs which are
343 used by the Indian fishing community (Nayak *et al.*, 2003, Choudhury *et al.*, 2007).
344 Nonetheless, the numbers in Table 1 support the assertion that ocean color data is largely
345 underutilized in fisheries science. There are several reasons for this:
346 1. Dissemination. The knowledge of what satellite data are available, and what they
347 can be used for, is not always effectively communicated outside of the satellite
348 community.

- 349 2. Unfamiliarity. Satellite data can be difficult to access, manipulate and process,
350 particularly for people who do not have the skills and computational resources
351 needed for the quantitative manipulation of terabytes of data. It can be also
352 difficult to find time to undertake new and different types of analyses.
- 353 3. Desired products not available. For many fisheries applications the relevant
354 parameter of interest is a product derived from ocean color data, which might not
355 be readily available, nor is simple enough to be calculated by the users. Examples
356 of this include calculating primary productivity from chlorophyll, front locations
357 from chlorophyll, and building climatologies in order to examine anomalies.
- 358 4. Data inadequacy. The time series of ocean color data, while currently 12 years
359 long, is relatively short compared to fisheries datasets that often span many
360 decades. Additionally, most fisheries operate in coastal (case II) waters, where
361 interpretation of satellite ocean color is complicated, as the algorithms are defined
362 for open-ocean (case I) waters (Gordon and Morel, 1983).
- 363 5. Resistance to change. Traditional stock assessment/management shies away from
364 involvement of environmental data due to the complexities involved, especially
365 when simpler population models often suffice for the required short term (~1 yr)
366 predictions.

367

368 **What can be done?**

369 Some of the issues listed above are easier to address than others. The first three,
370 involving data distribution and usage, are relatively straightforward compared to ensuring
371 a continuous record of ocean color data, having a better characterization of coastal

372 waters, or changing the way stock assessments are performed.

373

374 *Training*

375 One way to improve satellite data “literacy” is to conduct training courses
376 specifically designed for marine resource managers or other target audiences. The
377 IOCCG has a strong interest in capacity building, and since 1997 has been conducting
378 and sponsoring courses on the usages and applications of ocean-color data in various
379 developing countries. As part of the 2010 SAFARI Symposium in Kochi a training
380 session was held, which was attended by 55 trainees from 16 countries. NOAA has also
381 held several satellite courses for its scientists since 2006. These types of courses are
382 particularly helpful when both data providers and data users are involved, as then, in
383 addition to the primary goal of educating participants about the availability of satellite
384 data, and showing them how to access and use the data, the data providers obtain a better
385 understanding of users’ needs and requirements, which allows them to address those
386 needs better. Some examples of this are given below.

387

388 *Better data accessibility*

389 The courses conducted by NOAA have been designed to help participants work
390 with satellite data using the software that they are accustomed to, consequentially these
391 course have focused on ArcGIS use, as it is used by many fisheries scientists. However
392 importing satellite data into ArcGIS can be a cumbersome process, particularly for
393 lengthy timeseries. To alleviate this difficulty, NOAA contracted ASA (Applied Science
394 Associates) to build a new extension for ArcGIS that allows users to connect to online

395 data servers and browse and subset large amounts of data in space and time and convert
396 them into raster or feature classes in ArcGIS. This extension, called the Environmental
397 Data Connector (EDC), allows users to easily animate and manipulate data for temporal
398 analysis including provisions for non-uniform time-steps. A new version under
399 development will also allow access to sensor data served by protocols compliant with
400 IOOS (Integrated Ocean Observing System). The EDC is available, at no cost, at
401 <http://www.pfeg.noaa.gov/products/EDC/> or <http://www.asascience.com/>

402 Another need that became apparent through the NOAA satellite courses was an
403 easy method for matching up satellite data with a datapoint moving in x-y-t space, such
404 as a tagged animal or a ship. Mining global satellite datasets for the few synoptic values
405 associated with a telemetry track is a daunting task for most marine biologists
406 unaccustomed to working with large satellite datasets. To alleviate this problem open-
407 access routines have been developed to allow client-side access of satellite data using
408 either Matlab or R (freeware). These “xtractomatic” routines take advantage of the
409 effective data delivery mechanisms provided by THREDDS (Thematic Real-time
410 Environmental Distributed Data System) catalogs and perform extractions based on the
411 input of longitude, latitude, time, and a chosen variable (SSH, SST, chlorophyll, etc).
412 These routines are freely available at <http://coastwatch.pfel.noaa.gov/xtracto/>

413 In the last five years web-based methods of accessing and visualizing different
414 satellite data products have increased dramatically. It is not sufficient anymore to simply
415 post large data files on the web and expect the data to be used. For example, NASA’s
416 Giovanni system allows online visualization and analysis of ocean color data, and the
417 data can also be viewed as climatologies or as anomalies

418 (<http://reason.gsfc.nasa.gov/Giovanni/>). Primary productivity products are now available
419 online (at <http://www.science.oregonstate.edu/ocean.productivity/> and
420 <http://coastwatch.pfel.noaa.gov/coastwatch/CWBrowserWW360.jsp>). However, ocean
421 color data is often just one of many satellite data parameters of interest, and for these
422 applications sites that offer “one-stop shopping” are most useful. For example a
423 comprehensive collection of environmental satellite data products (including primary
424 productivity and front locations) is available at NOAA’s west coast Coastwatch site
425 (<http://coastwatch.pfel.noaa.gov/coastwatch/CWBrowserWW360.jsp>).

426

427 *Improving data coverage, temporally & spatially*

428 Coastal waters, where the majority of the world’s fisheries are, contain CDOM
429 and sediments which confound the chlorophyll algorithms designed for waters without
430 these constituents (IOCCG, 2000). Additionally different atmospheric corrections are
431 required for accurate characterization of case II waters (Land and Haigh, 1996, Schroeder
432 *et al.*, 2007, Stumpf *et al.*, 2003a). Improvements have been made in the past few years
433 in the chlorophyll algorithms for coastal waters from the current sensors (Moses *et al.*,
434 2009, Gitelson *et al.*, 2009, Komick *et al.*, 2009, Kuchinke *et al.*, 2009). However, these
435 studies are all region-specific, as are the algorithms. To address this issue on a global
436 scale, Europe recently established the CoastColour project, which will develop and
437 validate different case II algorithms for the MERIS instrument over a global range of
438 coastal water types.

439 Coastal zones are extremely dynamic, and higher spatial and temporal resolutions
440 are needed to resolve their dynamics, relative to open-ocean features, on the order of 30-

441 300 m spatial resolution, and with multiple looks a day (IOCCG, 2000). Achieving these
442 spatial and temporal scales simultaneously is not feasible with sensors on polar orbiting
443 satellites. Airborne sensors deliver high spatial resolution datasets, with less atmospheric
444 correction issues, but provide only a single snapshot in time (Davis *et al.*, 2002, Filippi *et*
445 *al.*, 2006, Carder *et al.*, 1993). Geostationary satellites are the best option for obtaining
446 data with high temporal resolution, which has been demonstrated with sea surface
447 temperature data (Maturi *et al.*, 2008). The first ocean color sensor on a geostationary
448 satellite will be launched by Korea in November 2010 (Lee *et al.*, 2010, Neukermans *et*
449 *al.*, 2009). Additionally, there is increasing interest in flying hyperspectral sensors, either
450 on satellites or airborne, in order to better characterize coastal waters (Brasseur *et al.*,
451 2009, Malthus and Mumby, 2003). For example, data from Hyperion, a NASA
452 hyperspectral sensor originally designed for land applications, has been useful for making
453 observations in complex coastal regions (Lee *et al.*, 2007a, Brando and Dekker, 2003).

454 It is crucial that CDRs of ocean color data be archived and maintained so that
455 existing satellite records will be able to serve as a benchmark against which to gauge
456 secular changes in our marine ecosystems and to track historical variations. It has
457 become clear that maintaining the global coverage of ocean color observations will
458 require an international effort. The international community has taken strides to increase
459 data accessibility and ensure continuity of ocean color data. For example, the Committee
460 on Earth Observation Satellites (CEOS) developed the concept of virtual, space-based
461 Constellations operating together in a coordinated manner to provide global coverage.
462 The implementation plan for this Ocean Color Radiometry Virtual Constellation (OCR-
463 VC) is being developed by a working group of the IOCCG (Yoder *et al.*, 2009). The

464 European GlobColour project generates a consistently calibrated global product with the
465 best possible spatial coverage by merging ocean color data from SeaWiFS, MODIS, and
466 MERIS (Pinnock *et al.*, 2007).

467

468 *Shifting paradigms*

469 While traditional stock assessments have not yet embraced incorporating
470 environmental variability, there is growing interest in ecosystem-based management
471 strategies for marine ecosystems (Frid *et al.*, 2006, Sherman *et al.*, 2005) and satellite
472 chlorophyll measurements are being used as fundamental component in the assessment
473 strategy for LMEs (Sherman *et al.*, this volume). The biggest challenges associated with
474 ecosystem-based management are implementation, and developing specific management
475 measures (Levin *et al.*, 2009). Integrated Ecosystem Assessments (IEAs) have been
476 proposed as a framework from which to implement ecosystem-based management. A
477 key component of IEAs is the identification of appropriate indicators to monitor and
478 assess ecosystems (Levin *et al.*, 2009). While there is still much uncertainty about what
479 parameters are appropriate ecosystem indicators, the criteria that as been put forth to
480 describe indicators, that they be directly observable, supported by historical time series,
481 and easily understandable to the general public (Levin *et al.*, 2009, Rice and Rochet,
482 2005), all are applicable to satellite ocean color data.

483

484 **Concluding Remarks**

485

486 • The research value of satellite ocean color data is substantial and it has become

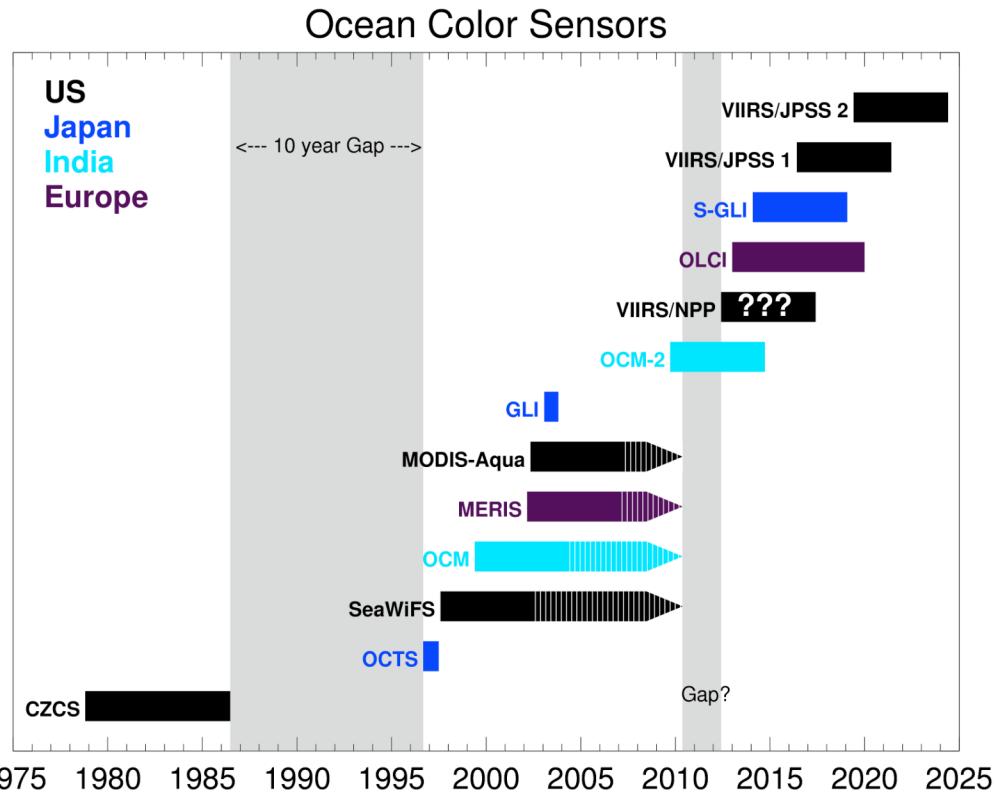
487 indispensable for many marine research applications. The value of satellite ocean
488 color data for better understanding the oceans, which cover 71% of our planet, and
489 monitoring interannual changes in marine ecosystems, is compelling enough to
490 require a sustained data stream of global ocean color data.

- 491 • Ocean color data are used operationally in the monitoring of HABs and in fish
492 finding-type programs (India, Japan).
- 493 • The relevant timescales for operational usages of ocean color data in fisheries
494 assessment and management are seasonal and interannual and thus require a
495 continuous time series of science-quality ocean color data.
- 496 • Ocean color data are needed in the assessments of marine habitat and ecosystems and
497 to monitor climate variability. Currently many of the capabilities of ocean color data
498 are still being actively researched and have not yet transitioned into management
499 strategies.
- 500 • It would be unfortunate if another gap in ocean color data developed, thereby
501 prohibiting the merger of the current 12 year record with future ocean color data.

502

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510

511 Figure 1. Time line showing past, current and future global ocean color satellite missions.

512 Satellites still generating data are shown as arrows, hashed areas indicate the period when

513 the current sensors have passed their 5-year design life. Gray shaded areas indicate the

514 past and potential future gap in ocean color data. CZCS: Coastal Zone Color Scanner;

515 OCTS: Ocean Color Temperature Scanner; SeaWiFS: Sea-viewing Wide Field-of-view

516 Sensor; OCM: Ocean Colour Monitor; MERIS: Medium Resolution Imaging

517 Spectrometer; MODIS: Moderate Resolution Imaging Spectroradiometer; GLI: Global

518 Imager; VIIRS: Visible Infrared Imager Radiometer Suite; NPP: NPOESS Preparatory

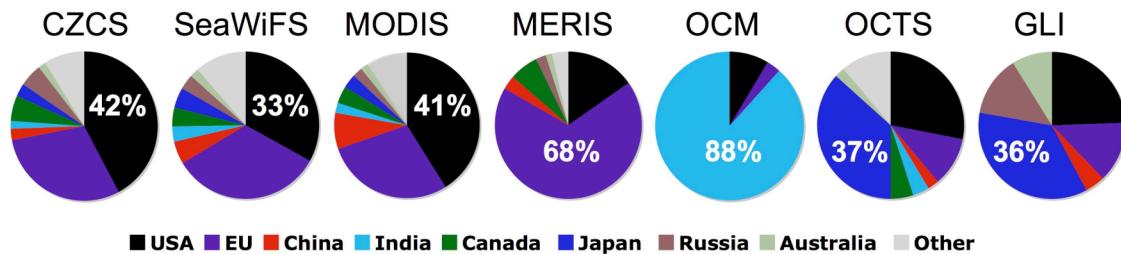
519 Project (NPOESS: National Polar-orbiting Operational Environmental Satellite System,

520 now restructured into JPSS); OLCI: Ocean Land Colour Instrument, S-GLI: Second-

521 Generation Global Imager; JPSS: Joint Polar Satellite System.

522

Country affiliations associated with publications citing various ocean color sensors



523
524 Figure 2. Distribution of the country affiliations associated with publications citing the
525 CZCS, SeaWiFS, MODIS, MERIS, OCM, OCTS and GLI ocean color sensors. The
526 percentage of publications from the country that launched the satellite is shown. As of
527 May 19, 2010. Source: ISI Web of Science
528

528

529

Sensor	Number of Papers	Papers per orbit year	Oceanography		Fisheries		“Fish” in search	
			#	Percent	#	Percent	#	Percent
CZCS	428	56	208	49%	7	2%	8	2%
GLI	34	49	11	32%	0	0%	0	0%
SeaWiFS	1175	92	478	41%	24	2%	32	3%
MERIS*	140	17	14	10%	0	0%	2	1%
MODIS*	361	45	74	20%	4	1%	3	1%
OCM*	65	6	19	29%	0	0%	8	12%
OCTS*	64	80	17	27%	0	0%	1	2%
Total:	2267	46	821	36%	35	2%	54	2%

530 *search string included the term “color” or “chlorophyll”

531 Table 1. Number of publications citing the different ocean color sensors, the number of
532 publications per years the satellite was in orbit, and the number of papers with a subject
533 classification of “oceanography” or “fisheries”, or with “fish” included in the search
534 string. As of May 19, 2010. Source: ISI Web of Science

535

536

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