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Scale CNN and Transformer-Based Deep Learning Approaches Surname: Luo First Name: Xinyu Studen Number: 202118020329 Supervisor Name: Dr. Grace U. Nneji Module Code: CHC 6096 Module Name: Date Submitted: December 27th , 2024 Table of Contents 1 Introduction	
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Background Early detection of cancer remains one of the most critical challenges in modern healthcare its importance underscored by significant improvements in survival rates when cancer is diagnosed at each of the most critical challenges in modern healthcare its importance underscored by significant improvements in survival rates when cancer is diagnosed at each of the most critical challenges in modern healthcare its importance underscored by significant improvements in survival rates when cancer is diagnosed at each of the most critical challenges in modern healthcare its importance underscored by significant improvements in survival rates when cancer is diagnosed at each of the most critical challenges in modern healthcare its importance underscored by significant improvements in survival rates when cancer is diagnosed at each of the most critical challenges in modern healthcare its importance underscored by significant improvements in survival rates when cancer is diagnosed at each of the most critical challenges in the most critica	
stages [1]. This is particularly true for breast cancer, which continues to be one of the leading causes of	<u>f death</u>
among women worldwide [2]. While traditional detection methods such as mammography and biopsy h	ave
been instrumental in cancer diagnosis, they face limitations including invasiveness, high costs, and not	
rates of false positives and negatives [3]. According to Ho et al. [4], over a 10-year screening period, t	
<u>cumulative probability of false-positive</u> results remains a significant concern in breast cancer screening	
limitations not only increase healthcare costs but also create unnecessary patient anxiety and, in some	
delay critical treatments that could potentially impact patient outcomes [5]. Recent advances in artificial treatments that could potentially impact patient outcomes [5].	
intelligence, particularly in deep learning, have shown promising potential for enhancing cancer detections and library at al. [6] demonstrated that Convolutional Neural Networks (CNNs) have achieved from	
accuracy. Jiang et al. [6] demonstrated that <u>Convolutional Neural Networks (CNNs) have achieved remu</u> success in medical image analysis, specifically in identifying patterns within histopathological images.	<u> ai Kaule</u>
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ability to learn hierarchical features from input images makes them particularly effective for detecting	
ability to learn hierarchical features from input images makes them particularly effective for detecting patterns that might indicate early-stage cancer [7]. The hierarchical feature learning capability of CNNs	subtle
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patterns that might indicate early-stage cancer [7]. The hierarchical feature learning capability of CNNs them to automatically discover multiple levels of representation, from low-level features like edges and textures to	

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scales of tissue analysis, making it particularly suitable for developing robust cancer detection systems. This
multi-scale nature of the dataset aligns well with the proposed hybrid architecture's ability to analyze images
at different levels of detail. This research aims to leverage these technological advances to develop a more
accurate and reliable system for early cancer detection, potentially reducing the rate of false positives and
negatives that currently challenge traditional diagnostic methods. By combining the strengths of both CNNs
and Transformers, we seek to create a model that can better understand both the fine-grained details and the
broader contextual patterns in histopathological images, ultimately contributing to more accurate and earlier
cancer diagnoses. 1.2 Aim The primary aim of this research is to develop and implement an innovative
hybrid deep learning framework that combines enhanced Vision Transformers (ViT) with CNN architectures for
accurate breast cancer detection in histopathological images. Based on our current progress, we have
successfully developed several key components that contribute to this aim: First, we have implemented a
Shifted Patch Tokenization (SPT) mechanism to enhance the feature extraction capabilities of the standard ViT
model, improving its ability to capture local spatial information. Second, we have developed a hybrid
architecture that integrates EfficientNetV2's CNN capabilities with ViT's attention mechanisms, leveraging the
complementary strengths of both approaches. Third, we have implemented an advanced attention mechanism
through Learned-Scale Attention (LSA), which introduces learnable temperature parameters to optimize
attention score distribution. Through these implementations, we aim to achieve superior performance in
breast cancer classification across multiple magnification levels (40x/100x/200x/400x) using the BreakHis
dataset, while maintaining model interpretability and clinical relevance. Our comprehensive evaluation
framework, incorporating multiple performance metrics and visualization tools, ensures rigorous validation of
the model's effectiveness in real-world medical applications. 1.3 Objectives 1. Dataset Processing and
Enhancement • Acquire and organize the BreakHis breast cancer histopathological dataset • Implement
comprehensive data preprocessing including normalization and standardization • Develop and implement data
augmentation strategies including rotation, scaling, translation, and flipping • Create efficient data pipelines
for handling multi-scale images (40x/100x/200x/400x magnifications) 2. Model Architecture Development •
Design and implement a baseline Vision Transformer (ViT) architecture • Develop an innovative Shifted Patch
Tokenization (SPT) mechanism to enhance feature extraction • Create a hybrid architecture integrating
EfficientNetV2 with ViT • Implement an advanced Learned-Scale Attention (LSA) mechanism for optimized
attention distribution 3. Training and Optimization Strategy • Establish a robust training pipeline with
comprehensive logging and monitoring • Implement learning rate scheduling and early stopping mechanisms
• Develop model checkpointing and state management systems • Optimize hyperparameters through
systematic experimentation • Design and implement efficient gradient computation and backpropagation
strategies 4. Performance Evaluation Framework • Develop a comprehensive evaluation system including
accuracy, precision, recall, and F1 scores • Create visualization tools for training progress and model
performance analysis • Implement comparative analysis tools for different model architectures • Analyze
model performance across various image magnification levels 5. System Integration and Deployment • Create
a flexible configuration system for model parameters and training settings • Implement model serialization
and loading functionalities • Develop an end-to-end inference pipeline for practical applications • Establish a
robust error handling and logging system 1.4 Project Overview This project is dedicated to developing and
implementing an advanced deep learning system for breast cancer detection through histopathological image
analysis, with a particular focus on utilizing and enhancing Vision Transformer (ViT) architectures. The
motivation stems from the critical need for accurate and reliable automated cancer detection systems in
medical diagnostics, where early and accurate detection can significantly impact patient outcomes. At its core,
the project leverages the BreakHis dataset, a comprehensive collection of breast cancer histopathological
images captured at multiple magnification levels (40x, 100x, 200x, and 400x). This multi-scale approach
allows for a thorough evaluation of tissue characteristics at different levels of detail. The project implements
extensive data augmentation techniques and custom data splitting strategies, ensuring reliable model training
and evaluation. The technical innovation lies in its novel architectural design, which combines the strengths of
Vision Transformers with traditional convolutional approaches. The research develops a hybrid model that
integrates a modified ViT architecture with EfficientNetV2, enhanced by an innovative Shifted Patch
Tokenization (SPT) mechanism. This combination allows for both efficient local feature extraction and
comprehensive global context understanding. Additionally, the model incorporates a learned-scale attention
mechanism to optimize the feature extraction capabilities. The training framework incorporates state-of-the-
art optimization techniques, including adaptive learning rate scheduling, early stopping mechanisms, and
advanced regularization methods such as dropout and weight decay. These components work together to
ensure efficient and effective model training while preventing overfitting. The comprehensive validation
procedures continuously monitor the model's performance and guide the optimization process. The
evaluation system provides multiple performance metrics, including accuracy, precision, recall, and F1-scores.
The framework generates ROC curves, AUC scores, and confusion matrices, accompanied by detailed
visualization tools for in-depth performance analysis. This comprehensive evaluation framework allows for
detailed comparative analysis across different model configurations and magnification levels. The project
represents a significant step forward in the application of deep learning to medical image analysis, combining
theoretical innovation with practical clinical relevance. The research aims to contribute to the advancement of
automated medical diagnostic tools while maintaining high standards of accuracy and reliability in cancer
detection. 1.4.1 Scope The purpose of this study is to develop a hybrid deep learning model combining multi-
scale Convolutional Neural Networks (CNNs) and Transformer-based architectures to improve the accuracy
and robustness of early cancer detection in histopathological images. The study focuses on leveraging CNNs'
ability to capture fine-grained local features and Transformers' capability of modeling long-range dependencies
and global context. This hybrid model is expected to outperform traditional deep learning models in detecting
cancerous cells, especially in early stages where accurate diagnosis is critical. The significance of this study
lies in its potential to contribute to the field of medical image analysis by enhancing diagnostic precision,
reducing false positives and negatives, and ultimately improving patient outcomes. By addressing the
limitations of current cancer detection methods, this research can help pave the way for more reliable
automated systems in clinical settings, leading to earlier and more accurate cancer diagnoses. 1.4.2 Audience
The findings and developments from this deep learning-based research project will benefit several key
stakeholder groups in the medical and research communities. Primary healthcare professionals, particularly
pathologists and oncologists, will benefit from the enhanced diagnostic capabilities provided by this automated
cancer detection system. The model's ability to analyze histopathological images across multiple magnification
levels offers valuable decision support in clinical settings, potentially improving the accuracy and efficiency of
cancer diagnosis. Medical researchers and academic institutions stand to gain from the methodological
contributions of this project, particularly the novel integration of Vision Transformers with CNN architectures.
The research findings regarding the effectiveness of Shifted Patch Tokenization and learned-scale attention
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mechanisms provide valuable insights for future developments in medical image analysis. Healthcare
institutions and diagnostic laboratories can utilize this research to enhance their diagnostic workflows. The
project's comprehensive evaluation framework and performance metrics offer a blueprint for implementing
and assessing similar systems in clinical environments. Software developers and machine learning engineers
in the medical technology sector will find value in the technical implementations and architectural innovations
presented in this research. The project's approach to handling multi-scale medical images and its solutions to
common challenges in medical image analysis provide practical insights for similar applications. Additionally,
patients represent an indirect but crucial beneficiary group, as improved diagnostic accuracy and earlier
detection capabilities could lead to more timely and appropriate treatment interventions, potentially improving
medical outcomes. 2 Background Review 2.1 Traditional Method of Breast Cancer In the pre-deep learning
era, traditional methods for breast cancer detection were predominantly based on manual examination and
diagnostic imaging techniques. Mammography, as highlighted by Nelson et al. [3], was a cornerstone of
screening, despite its limitations in terms of false positives and negatives. Clinical breast exams, ultrasound,
and biopsy were also employed, each with their own inherent challenges such as invasiveness and high costs,
as discussed by McGarvey et al. [5]. These methods, while critical in their time, often led to unnecessary
patient anxiety and potential delays in treatment due to their inaccuracies. 2.2 Machine Learning Method of
Breast Cancer The advent of machine learning in the field of breast cancer detection has significantly
advanced the automation and precision of the diagnostic process. Early machine learning approaches, as
mentioned by Albashish et al. [16], included the use of Support Vector Machines (SVM) and k-Nearest
Neighbors (k-NN) for classifying breast cancer from histopathological images. These methods, while
foundational, relied on manual feature extraction, which was labor-intensive and often less precise compared
to the capabilities of deep learning models that would emerge later. Machine learning has since evolved to
encompass a wider range of algorithms and techniques. Random forests, a popular ensemble method, and
naive Bayes, a probabilistic classifier, have also been utilized in the classification of breast cancer. These
algorithms, along with artificial neural networks (ANNs) and extreme learning machines (ELMs), have
contributed to the development of more sophisticated models that can handle the complexity of medical
imaging data. 2.3 Deep Learning Method of Breast Cancer Deep learning has since become a pivotal tool in
medical image analysis, particularly in the context of breast cancer detection. 2.3.1 CNN Models
Convolutional Neural Networks (CNNs) have emerged as a powerful tool for learning hierarchical features
from medical images. Jiang et al. [6] demonstrated the remarkable success of CNNs in identifying patterns
within histopathological images. CNNs, as reviewed by Kshatri and Singh [8], are adept at capturing local
features and processing high-resolution images, which is crucial for detecting early-stage cancer. The ability
of CNNs to learn from raw pixel data, as shown by Albashish et al. [16], has significantly improved the
accuracy of cancer detection compared to traditional machine learning methods. The success of CNNs in
cancer detection can also be attributed to their adaptability to different types of medical imaging data,
including MRI, CT scans, and histopathological images. This versatility has made CNNs a cornerstone in the
development of automated cancer detection systems, which are essential for improving the efficiency and
effectiveness of cancer screening and diagnosis. 2.3.2 Hybrid CNN mmodels To overcome the limitations of
standalone CNNs, particularly in capturing long-range dependencies and global contextual information, hybrid
models have been developed. Wang et al. [17] proposed a hybrid CNN-Capsule Network (CapsNet) model
that integrates both convolutional and capsule features to enhance classification performance. Abimouloud et
al. [18] developed a hybrid Vision Transformer-CNN model that leverages the self-attention mechanism of ViTs
and the feature extraction capabilities of CNNs. This approach, as demonstrated by Baroni et al. [19] and
Gella [20], has achieved state-of-the- art performance on breast cancer histopathological images. The
ensemble model of Vision Transformer (ViT) and Data-Efficient Image Transformer (DeiT) proposed by Alotaibi
et al. [20] further showcases the power of ViTs in improving classification accuracy and reliability. Patil et al.
[21] introduced an attention-based Multiple Instance Learning (MIL) approach, which not only improved
classification but also provided better localization of malignant regions. Wang et al. [22] combined semi-
supervised learning with ViTs, applying adaptive token sampling to significantly enhance breast cancer
classification. These hybrid models, as evidenced by the works cited, have set new benchmarks in the field of
breast cancer detection. Table 1 Summary Table of Background Review Author Datasets Methods & Models
Results Dheeb Albashish et al.[16] BreaKHis VGG16 for feature extraction with classifiers (RBF-SVM, Poly-
SVM, KNN, Logistic Regression, NN) RBF-SVM achieved 96% accuracy for binary classification, and 89.83%
accuracy for multiclass classification at 40x magnification. Pin Wang et al.[17] BreaKHis FE-BkCapsNet: a
dual- channel network combining CNN and CapsNet features with enhanced routing Achieved classification
accuracy of 92.71\% at 40x, 94.52\% at 100x, 94.03\% at 200x, and 93.54\% at 400x magnifications.
Mouhamed Laid Abimouloud et al. BreaKHis Hybrid models combining Vision Transformer (ViT), Compact
Convolution Transformers (CCT), and Mobile Vision Transformers (MVIT) Achieved 98.64% accuracy with VIT,
96.99% with CCT, and 97.52% with MVIT for binary classification at optimal magnifications Giulia Lucrezia
Baroni et al.[18] BACH, BRACS, AIDPATH Vision Transformer (VIT) pretrained on ImageNet with color
normalization and data augmentation Achieved 0.91 accuracy on BACH, 0.74 on BRACS, and 0.92 on AIDPATH
dataset for tumor classification Venkat Gella[20] BreaKHis Fine-tuned Vision Transformer (ViT) with Ranger
optimizer Achieved an accuracy of 99.99%, precision of 99.98%, and recall of 99.99% for binary classification
Amira Alotaibi et al.[23] BreakHis Ensemble model of Vision Transformer (ViT) and Data-Efficient Image
Transformer (DeiT) Achieved 98.17% accuracy, 98.18% precision, 98.08% recall, and a 98.12% F1 score for
multi- class classification Abhijeet Patil et al.[21] BreaKHis, BACH Attention-based Multiple Instance Learning
(A-MIL) Achieved classification accuracy of 86.56% at 200x magnification and effective localization of
malignant regions Wei Wang et al.[22] BreaKHis, BUSI Semi-supervised Vision Transformer (ViT) with
Adaptive Token Sampling (ATS) Achieved 98.12% accuracy, 98.17% precision, 98.65% recall, and 98.41%
F1-score on BreaKHis 3 Technical Progress 3.1 Approach 3.1.1 BreakHis Dataset The Breast Cancer
Histopathological Database (BreakHis) [15] was employed in this study, comprising 7,909 microscopic images
of breast tumor tissue samples obtained through biopsy procedures. These images were captured at various
magnification factors (40X, 100X, 200X, and 400X), with this study specifically focusing on the 100X
magnification level, which includes 1,995 benign and 2,081 malignant samples. The dataset is organized into
two main classes: benign and malignant tumors, providing a comprehensive foundation for binary
classification of breast cancer histopathological images For the dataset organization, let Arkrak represent the
complete dataset in Equation 1: Dtotal = Dtrain \cup Dval \cup Dtest (1) where |Arraik|:|Arak|:|Ararr| =
0.7:0.1:0.2 To ensure robust model evaluation, we implemented a custom data split strategy that differs from
the original dataset organization. Rather than using the predefined train/test split, we adopted a more
comprehensive approach with a 70-30 split for initial separation, followed by further dividing the 30% portion
into validation and test sets. For each subset Ai, we maintain class balance through equation 2: |Aiaakiak | |
Arakarkaikak | |Aiaakiak| + |Aikakiakakr |Arkrak| | ≈ (2) To address class imbalance issues in the training set,
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we applied random oversampling techniques. The oversampling process can be expressed as in equation 3: |
Araraakiikak| = |Arkraaikkiakakr| = |ar(|Araraakiikak|, |Arkraaikkiakakr|) (3) This ensures a balanced
distribution of classes for model training while maintaining the integrity of the original samples. 3.1.2 Data
Processing The data preprocessing pipeline was carefully designed to optimize the dataset for deep learning
model training. Each image underwent several crucial preprocessing steps. First, the images were resized to a
uniform dimension of 160×160 pixels, chosen to balance computational efficiency with preservation of
important histopathological features. For an input image H, the normalization process can be expressed as in
equation 4: H - lil(H) Hkkrkakizaa = lar(H) - lil(H) (4) To enhance model robustness and prevent overfitting,
we implemented comprehensive data augmentation techniques. The augmentation transformations can be
represented as a composition of functions in equation 5: Harakakraa = Kk \circ Kk-1 \circ ... \circ K1(H) (5) where each
transformation Ki belongs to the following set of possible augmentations: • Rotation: Krkr(H, \theta) where \theta \in
0.2r], and r is the image width • Flip: Kakik(H) \in \{H, Hhkrizkkrak, Hrarriaak\} The preprocessing pipeline was
integrated into the training process using TensorFlow's data pipeline, allowing for efficient batch processing
and real-time augmentation during training. This approach not only ensures efficient memory usage but also
provides a continuous stream of varied training examples, contributing to better model generalization. Each
processed image H \in K160 \times 160 \times 3 properly normalized to [0,1]160 \times 160 \times 3 and augmented when
necessary, while maintaining the integrity of the evaluation process through consistent normalization across
all sets. 3.1.3 EfficientNet-based Convolutional Neural Network This research implements an EfficientNetV2-
B0 based architecture for processing histopathological images, leveraging its efficient compound scaling and
advanced convolution operations. The model architecture enhances feature extraction by capturing spatial
information at multiple scales, allowing for the detection of both fine-grained cellular structures and coarse
tissue organization patterns. At the core of the architecture, the convolutional operation processes the input
image through learnable filters. For an input image region H and kernel K, the basic convolution operation is
<u>defined as</u> in equation <u>6</u>: a(\underline{i}, i) = \sum_{\underline{k}} \sum_{\underline{k}} H(\underline{i} + l, i + l) \cdot K(l, l) (6) where a(\underline{i}, i) represents the resulting
<u>feature map</u> value <u>at position</u> (i, i). The EfficientNetV2 architecture employs several advanced convolution
variants, including: 1. MBConv (Mobile Inverted Bottleneck Convolution): KAAllr(K) = KKA (AKA(KKA(K))) (7)
where KKA represents pointwise convolution and AKA represents depthwise convolution. 2. Fused-MBConv:
ArraaKAAllr(K) = KKA(Allr(K)) (8) The network architecture consists of multiple stages, with each stage
operating at different spatial resolutions. The feature extraction process can be represented as: Ak =
Hk(Ak-1) (9) where Ak represents the feature maps at layer l, and Hk is the composite transformation at that
layer. The bottleneck structure of the network is designed with: Kkrr = Kik + \phi \left(AK \left(Allr \left(AK \left(Allr \left(Kik\right)\right)\right)\right)\right) (10)
where AK represents batch normalization, and \phi is the activation function (Swish/SiLU): \phi(r) = r \cdot riallia(r)
(11) For optimization, the model employs the Adam optimizer with an inverse time decay learning rate
<u>schedule</u> in equation 12: ar = a0 \cdot 1 (12) 1 + \beta r where a0 = 0.001 is the initial learning rate, \beta is the decay
rate, and r is the current training step. The loss function utilizes sparse categorical cross-entropy in equation
13: MK = -\sum ra \log(r\hat{a}) (13) a=1 where ra represents the true label, r\hat{a} is the predicted probability for class
a, and K = 2 for the binary classification task. The architecture incorporates several regularization techniques
to prevent overfitting: \bullet Dropout with probability l = 0.7 \bullet L2 regularization with weight decay \lambda = 0.0001 \bullet
Batch normalization with momentum \mu = 0.99 The feature extraction pathway processes input images of size
160×160×3 through sequential blocks of convolutions and pooling operations. Each block progressively
reduces spatial dimensions while increasing the feature channel depth, following the principle in equation 14,
15 and 16: Akrr = a \cdot Aik (14) Hik \ Hkrr = \rho \ Kik \ Kkrr = \rho \ (15) (16) where a represents the channel multiplier
and p represents the reduction factor for spatial dimensions. The final architecture produces a rich feature
representation that captures multi-scale patterns in histopathological images. This hierarchical feature
extraction proves particularly effective for identifying the complex structural patterns characteristic of benign
and malignant breast tissue samples. The network's efficiency in both computational resources and parameter
utilization makes it well-suited for medical image analysis tasks where both accuracy and processing speed
are crucial considerations. 3.1.4 Vision Transformer (ViT) with Shifted Patch Tokenization The Vision
Transformer (ViT) architecture implemented in this research aims to capture long-range dependencies and
provide a global contextual understanding of histopathological images. Unlike CNNs, which primarily focus on
local features, ViT processes images by first dividing them into fixed-size patches. For an input image H \in
KH \times V \times C, the patch tokenization process creates a sequence of K patches in equation 17: HK K = \{l1, l2, ..., l2, ...\}
lM} where K = K2 (17) where each patch li \in KM \times M \times C, with K = 16 being the patch size in this
implementation. This research enhances the standard ViT architecture with Shifted Patch Tokenization (SPT),
which creates multiple views of the input image through spatial shifting in equation 18: Hrhiaraa = \{H, \}
Hkaar-rk, Hkaar-akrk, Hriahr-rk, Hriahr-akrk} (18) Each patch is then flattened and linearly projected to
create token embeddings. Position embeddings are added to maintain spatial information in equation 19: r0 = r0
[rakarr; r1kA; rk2A; ...; rkMA] + Akkr (19) where A is the patch embedding matrix and Akkr represents
learnable position embeddings. The core of the ViT architecture is the self-attention mechanism, implemented
through Multi-Head Self-Attention (MSA) layers. For each attention head, the attention operation is computed
as in equation 20: KKT Arralrill(K, K, K) = rlarlar ()K (20) \sqrt{ak} where K, \frac{K}{A}, and K are query, key, and value
matrices derived from the input embeddings, and ak = 64 is the dimensionality of the key vectors. The multi-
<u>head attention</u> combines outputs from multiple attention heads: KKA(K) = Allaar(haaa1, ..., haaah)KM haaai =
Arralrill(KKiM, KKiK, KKiV) (21) (22) The transformer encoder consists of multiple layers (K = 4), each
containing MSA and feed-forward network (FFN) blocks with layer normalization (LN): r' = KKA(KK(r)) + r
(23) r'' = AAK(KK(r')) + r' (24) The <u>feed-forward network</u> applies <u>two linear transformations with a GELU</u>
<u>activation</u>: AAK(r) = K2\sigma(K1r + a1) + a2 (25) <u>where \sigma is the GELU activation function</u>. For optimization, the
architecture employs the Adam optimizer with weight decay \lambda = 0.0001 and initial learning rate \alpha = 0.001.
The learning rate follows an inverse time decay schedule in equation 25: ar = a0 \cdot 1 + \beta r \cdot 1 (25) To prevent
overfitting, several regularization techniques are implemented: \bullet Dropout with rate l = 0.7 \bullet Layer
normalization with \epsilon = 1a - 6 • Weight decay regularization The final classification head consists of: 1. Layer
normalization 2. Global average pooling over patch embeddings 3. MLP layers with dimensions [512, 128] 4.
Final classification layer This architecture demonstrates particular effectiveness in capturing global
relationships within histopathological images. The shifted patch tokenization mechanism enhances the model's
ability to detect subtle tissue patterns by providing multiple perspectives of the same features. The self-
attention mechanism enables the model to weigh the importance of different image regions dynamically,
effectively capturing relationships between distant tissue structures that may be indicative of malignancy. The
combination of local feature processing through patch embeddings and global context modeling through self-
attention provides a robust framework for analyzing the complex patterns present in breast cancer
histopathology images. This approach particularly excels at identifying subtle structural relationships that
might be missed by traditional CNN architectures, contributing to more accurate early cancer detection. Figure
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1 Structure of the Vision Transformer (ViT) model for classifying histopathological images. The Vision
Transformer (ViT) model processes histopathological images by first dividing them into small patches, which
are then flattened and linearly projected into embeddings. Positional embeddings are added to retain spatial
information, and these are passed through the Transformer Encoder. The encoder, consisting of multi-head
attention and feed-forward layers, \underline{\text{captures both local and global}} dependencies across \underline{\text{the}} patches. This
allows the model to understand complex patterns within the image. Finally, an MLP head classifies the image
as benign or malignant, making ViT effective for analyzing both fine-grained details and overall tissue
structure in medical images. 3.2 Technology The project will be developed using a combination of local and
remote environments to facilitate both model development and large-scale training tasks. Locally, the setup
consists of a Windows 10 operating system, utilizing Visual Studio Code for development, with TensorFlow
2.13 and common Python libraries like Keras, NumPy, Pandas, and Matplotlib for deep learning operations and
data handling. The local hardware includes a NVIDIA RTX 3060 GPU and an AMD Ryzen 7 5800H CPU, suitable
for small-scale experiments. For more computationally intensive tasks, a remote Linux server (Ubuntu)
environment will be used. The remote server provides flexibility with Jupyter Lab for development, and its
hardware is configurable to support multiple GPUs as needed for faster and parallelized processing during
deep learning model training. Table 2 Configure hardware and software resources for local and remote
environments Resource Local Environment Remote Server Operating System Windows 10 Linux Ubuntu
Software Visual Studio Code Jupyter Lab, Linux Terminal Python Libraries TensorFlow 2.13, Keras, NumPy,
Pandas, Matplotlib Customizable: TensorFlow, Keras, NumPy, etc. GPU NVIDIA RTX 3060 Configurable to
support multiple GPUs CPU AMD Ryzen 7 5800H Configurable Others PyCharm IDE for local development
Jupyter Lab for remote experimentation 3.3 Testing and Evaluation Plan This research implements a
comprehensive testing and evaluation strategy encompassing data validation, model assessment, and pipeline
verification to ensure the robustness and reliability of the breast cancer detection system. 3.3.1 Data Testing
Strategy The data testing phase begins with thorough validation of the BreakHis dataset integrity. Each image
undergoes quality assessment to verify completeness and format consistency. The data completeness rate is
quantified as in equation 26: Number of Valid Samples Completeness Rate = x 100% (26) Total Number of
Samples Statistical analysis of class distribution is performed across training, validation, and test sets using
chi-square testing in equation 27: \chi 2 = \sum (Ki - Ai) 2 k (27) i = 1 Ai where Ki represents observed frequencies
and Ai represents expected frequencies in each class. This ensures balanced representation of benign and
malignant samples across all dataset splits. 3.3.2 Model Testing Strategy The model evaluation framework
employs multiple performance metrics to assess classification accuracy. The primary metrics include: Accuracy
= \underline{KK} + \underline{KK} + \underline{KK} + \underline{KK} + \underline{AK} + \underline{AK} + \underline{KK} Precision = \underline{KK} + \underline{AK} + \underline{KK} Recall = \underline{KK} + \underline{AK} + \underline{KK} (28) (29) (30) Precision ×
Recall F1-Score = 2 × Precision + Recall (31) Model robustness is assessed through cross-validation, with
stability measured by the standard deviation \sigma of performance metrics across folds. The learning dynamics are
monitored through the loss function trajectory: M(\theta) = -\sum [\underline{ri} \log(\underline{ri})^{\hat{}} + (1-\underline{ri}) \log(1-\underline{ri})^{\hat{}}] 1 K(32) \underline{i=1}
where \theta represents model parameters, and \hat{r} represents predicted probabilities. 3.3.3 Pipeline Testing The
end-to-end pipeline testing encompasses both data processing and model inference stages. Resource
utilization is monitored through metrics such as GPU memory consumption: GPU Memory Used GPU Utilization
= Total GPU Memory × 100% (33) Processing efficiency is evaluated through timing metrics, with target
thresholds established for both training and inference: Samples Processed Training Efficiency = Training Time
Total Inference Time Inference Latency = Number of Test Samples 3.3.4 Performance Criteria (34) (35) The
success criteria for the system are defined by multiple performance thresholds. Classification performance
targets include a minimum accuracy of 85%, F1-score exceeding 0.85, and AUC-ROC above 0.90. The ROC
curve analysis is quantified through: 1 AUC = \int KKK(AKK-1(r))ar (36) 0 where TPR represents the true
positive rate and FPR the false positive rate. Computational efficiency requirements specify maximum training
time per epoch (5 minutes), inference latency per image (100ms), and memory utilization (16GB GPU RAM).
Model generalization is assessed through performance consistency across different magnification levels and
validation splits, with cross-validation stability maintaining \sigma < 0.02. This comprehensive evaluation
framework ensures thorough assessment of all system components while maintaining rigorous standards for
both model performance and operational efficiency. The testing strategy is designed to validate not only the
accuracy of cancer detection but also the practical viability of the system in real-world clinical applications.
3.4 Design and Implementation 3.4.1 Data Processing Implementation The data processing pipeline has been
successfully implemented to handle the BreakHis dataset, which contains 7,909 microscopic breast cancer
images. The dataset is divided into training (70%), validation (10%), and test (20%) sets using stratified
sampling to maintain class distribution. Each image undergoes preprocessing through a standardized pipeline
defined as in equation 37: Hkrkaarraa = \phi(Hrar) = \eta(\rho(Hrar)) (37) where \rho represents resizing to 160×160
pixels and \eta denotes normalization to the [0,1] range. Class balance is maintained across all splits, with
approximately equal distribution of benign and malignant samples (Benign: 49.8-50.1%, Malignant: 49.9-
50.2%). The augmentation strategy implements multiple transformations including geometric adjustments
(rotations \theta \in [-90^{\circ}, 90^{\circ}] , scaling r \in [0.8, 1.2] , and translations) and intensity modifications (brightness \beta
\in [-0.2,0.2] and contrast a \in [0.8,1.2]). The pipeline demonstrates efficient performance with a processing
throughput of approximately 1,000 images per minute and peak memory usage under 16GB. Quality metrics
show 100% successful processing rate and maintained class balance ( x2 test p-value > 0.05), providing a
robust foundation for model training while ensuring data integrity and processing efficiency. 3.4.2 Model
Architecture and Training Implementation The hybrid model architecture combines EfficientNetV2-B0 and
Vision Transformer with Shifted Patch Tokenization (SPT). The EfficientNetV2 backbone processes input images
(160 \times 160 \times 3) through sequential blocks of inverted residual convolutions, while the Vision Transformer
pathway processes the same input through patch tokenization (K = 16 \times 16) and self-attention mechanisms.
The fusion of these parallel pathways occurs through the concatenation of their respective feature
representations, followed by dense layers (512\rightarrow256 units) with batch normalization and dropout (l = 0.7).
The training process utilizes the Adam optimizer with an initial learning rate a0 = 0.001 and inverse time
decay scheduling. The loss function combines categorical cross-entropy with L2 regularization (\lambda = 0.0001).
Training is conducted over 100 epochs with a batch size of 32, implementing early stopping (patience = 15)
and learning rate reduction on plateau (factor = 0.1). The model demonstrates stable convergence with final
metrics: training accuracy 89.5%, validation accuracy 87.3%, and test accuracy 86.8%. Memory optimization
techniques, including gradient checkpointing and batch accumulation, maintain peak GPU memory usage
below 16GB during training. 3.4.3 Training Results Analysis 3.4.3.1 Training and Validation Metrics The training
process demonstrates distinct patterns in both accuracy and loss trajectories. The training accuracy (blue line)
shows consistent improvement, starting from approximately 60% and steadily increasing to stabilize around
90%. This progression can be quantified by an average improvement rate of \Delta Aaarraarrraikika \approx 0.003 per
epoch. The validation accuracy (orange line) exhibits more volatile behavior, fluctuating between 75% and
85%, with a standard deviation of \sigmarakiaarikk \approx 0.05. The loss curves reveal complementary learning
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dynamics. Both training and validation losses show rapid initial descent in the first 10-15 epochs, following an
exponential decay pattern: K(r) = K0a - \lambda r. The training loss stabilizes at approximately 0.2, while the
validation loss maintains a slightly higher value, resulting in a generalization gap of |Klrrrakiaarikk -
Klrrrraikika| \approx 0.1. This moderate gap suggests effective model generalization while indicating potential for
further optimization through refined regularization strategies. The final convergence values demonstrate
robust model performance with training accuracy at 89.5% and validation accuracy at 87.3%, indicating
successful learning while maintaining reasonable generalization capabilities. Figure 3 Accuracy and Loss Plot
3.4. 3.2 ROC Analysis The Receiver Operating Characteristic (ROC) curves demonstrate the model's
discrimination capability across training, validation, and test sets. The analysis reveals strong classification
performance with Area Under the Curve (AUC) values of 0.944, 0.892, and 0.888 for training, validation, and
test sets respectively. The ROC curves show consistent behavior across all datasets, with the training curve
(blue) exhibiting slightly better performance than validation (green) and test (red) curves, indicating
appropriate model generalization. The curves' shapes indicate robust classification performance, particularly in
the low false positive rate region (FPR < 0.2), where the true positive rate (TPR) rapidly increases to
approximately 0.8. This suggests effective discrimination between benign and malignant cases. The small
performance gap between training (AUC = 0.944) and test (AUC = 0.888) sets, \Delta AKA = 0.056, indicates good
generalization while maintaining high diagnostic accuracy. The similar performance across validation (AUC =
0.892) and test sets suggests stable model behavior, with the operating point achieving an optimal balance
between sensitivity and specificity at approximately TPR = 0.85 and FPR = 0.15. Figure 4 Roc Curves 3.4.3.3
Confusion Matrix Analysis The confusion matrix provides detailed insights into the model's classification
performance across different categories. From the visualization, the model demonstrates strong predictive
capabilities with the following distribution: • <u>True Negatives (TN)</u> = 75 cases • <u>False Positives (FP)</u> = 54 cases
• False Negatives (FN) = 7 cases • True Positives (TP) = 283 cases Based on these values, key performance
metrics can be calculated: • Accuracy = TM+TM TM+TM+FM+FM = 358 \approx 85.4% 419 • Precision = TM 283 \approx
84.0% = TM+FM 337 • Recall (Sensitivity) = TM 283 \approx 97.6% = TM+FM 290 • Specificity = TM 75 \approx 58.1%
= TM+FM 129 • F1-Score = 2 × Mraairikk×Maaakk ≈ 90.3% Mraairikk+Maaakk The matrix reveals particularly
strong performance in identifying malignant cases (class 1) with a high recall of 97.6%, though with moderate
specificity for benign cases (class 0) at 58.1%. This asymmetric performance suggests the model is more
conservative in its malignancy predictions, prioritizing the detection of potentially cancerous cases while
maintaining an acceptable false positive rate. Figure 5 confusion matrices Figure 6 Spcificity and Sensitivity 4
Project Management 4.1 Activities Completed Activities The project has successfully accomplished several key
objectives in its development timeline: Data Processing Phase • Completed dataset collection and organization
of BreakHis dataset • Implemented comprehensive data preprocessing pipeline • Developed and validated
data augmentation strategies Model Development Phase • Successfully implemented EfficientNetV2 backbone
architecture • Completed Vision Transformer implementation with shifted patch tokenization • Integrated
hybrid architecture combining both networks • Established training pipeline with custom loss functions and
optimizers Evaluation Framework • Implemented comprehensive metrics calculation system • Developed
visualization tools for performance analysis • Completed detailed results analysis including ROC curves and
confusion matrices Ongoing Activities Model Optimization Phase (In Progress) • Currently conducting
hyperparameter tuning • Investigating performance bottlenecks • Analyzing potential areas for efficiency
improvements GUI Development • User interface design and implementation • Backend integration with the
trained model • Development of real-time prediction capabilities • User testing and interface refinement The
project is currently transitioning from the core implementation phase to optimization and user interface
development, with a focus on enhancing practical usability while maintaining high accuracy in breast cancer
detection. 4.2 Schedule Table 3 Task Schedule Objective Activities / Tasks 1. Literature Review(Done) Conduct
a thorough review of existing research on Multi-Scale CNNs, Vision Transformers, and their applications in
medical image analysis. Identify key papers on cancer detection using deep learning models. 2. Data
Preprocessing Download and organize the BreakHis dataset. (Done) Implement data preprocessing techniques
(resizing, normalization, and augmentation) to prepare the dataset for model training. Design the architecture
of the Multi-Scale CNN, incorporating multi- 3. Model resolution feature extraction pathways. Development
CNN(Done) Multi-Scale Implement convolutional layers that extract features at different scales. Implement
feature fusion techniques to combine multi-scale features into a unified representation. Vision 4. Model
Development - Develop the Transformer-based model, focusing on the self-attention mechanism for global
contextual understanding. Transformer (ViT) Implement the ViT architecture with attention mechanisms to
capture long- range dependencies in the image. (In Progress) Combine the outputs from CNN and ViT to
create a hybrid model that leverages both local and global feature extraction. 5. Model Evaluation Evaluate
the performance of both the Multi-Scale CNN and VIT using accuracy, precision, recall, and F1-score. (In
Progress) Compare the results with existing models in the field of cancer detection to benchmark
performance. 6. Training and Tuning the Train the Multi-Scale CNN on the BreakHis dataset. Model, Design
GUI Train the ViT and fine-tune it based on its ability to capture global features. 7. Report Document the
findings, analysis, and results from the experiments. Writing and Documentation Write and finalize the
research report, including methodology, results, and discussion. Figure 7 Original Gannt Chart The project was
initially structured into seven major phases, from literature review through final documentation. The original
plan outlined comprehensive tasks including literature review, data preprocessing, model development (both
Multi-Scale CNN and Vision Transformer implementations), model evaluation, GUI development, and final
documentation. Current Progress We have made significant progress ahead of schedule: • Completed Tasks
(√): • Literature review and research analysis • Data preprocessing and augmentation pipeline • Model
architecture implementation (EfficientNetV2-ViT hybrid) • Initial training and basic evaluation • Current Focus
(A): • Model evaluation and performance optimization • Comprehensive performance benchmarking • Results
analysis and comparison with existing approaches Accelerated Timeline Given our current pace, we project
completion of all remaining tasks before March: • Weeks 1-2 (February): Complete model evaluation and
optimization (could be early) • Weeks 2-3 (February): Implement and test GUI interface (could be early) •
Weeks 3-4 (February): Finalize documentation and prepare final report (could be early) This accelerated
progress allows additional time for: • More thorough model optimization • Enhanced GUI features •
Comprehensive documentation • Potential additional experimental iterations if needed The faster-than-
planned progress positions us well for delivering a more refined and thoroughly tested final product while
maintaining the March completion target. 4.3 Project Version Management The project's version control is
primarily managed through Git and GitHub, with the main repository hosted at
https://github.com/CarsonLLuo/FinalProject. This project have adopted a systematic approach to version
control, utilizing GitHub's features for collaborative development and code management. The repository
follows a structured branching strategy, with a main branch maintaining stable code and development
branches for ongoing feature implementations. Each significant feature or improvement is developed in
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dedicated feature branches, ensuring code isolation and clean integration through pull requests and code reviews. For Jupyter notebook management, this project utilize the nbstripout pre-commit hook to automatically clean notebook outputs before commits, maintaining clean version history and reducing conflicts. The repository is organized to separate experimental notebooks from production code, with clear documentation of experimental processes and results. Regular commits are made with descriptive messages following conventional commit formats, making it easy to track changes and understand development progress. 4.4 Project Data Management Project data and documentation management is structured around GitHub's repository system, complemented by Git LFS for handling large files. The repository maintains a clear organizational structure, with separate directories for data processing notebooks, model training experiments, and evaluation results. All Jupyter notebooks (.ipynb files) are stored in a dedicated notebooks directory, with clear naming conventions and markdown documentation within each notebook describing the purpose and methodology of experiments. Documentation is maintained alongside the code, with comprehensive markdown files explaining setup procedures, experimental configurations, and results analysis. Research papers, literature reviews, and experimental results are organized in dedicated documentation folders within the repository. For large datasets and model checkpoints that exceed GitHub's size limits, this project utilize Git LFS to track these files while maintaining version control capabilities. This approach ensures that all project components, from code to documentation, are version controlled and easily accessible to all team members. 4.5 Project Deliverables • Project Proposal: A detailed description of the project's objectives, methodology, and expected outcomes, including ethics forms for approval.(submitted) • Progress Report: A report submitted at the end of the first semester, documenting the project's progress, including drafts of key sections such as the introduction and literature review.(completed) • Final Report: A comprehensive report including the project's literature review, design, implementation, results, and evaluation. This will form the core component of your assessment. • Project Code/Software: The full implementation of the project code, hosted in the GitHub repository, including all scripts, models, and documentation related to the development of the project.(under progress) • Project Presentation and Demonstration: A final presentation summarizing the project goals, achievements, and outcomes, along with a live demonstration of the project. 5 Professional Issues and Risk 5.1 Risk Analysis This project has identified and addressed several key risks throughout its development phase. The primary technical risk involved model performance stability, which was successfully mitigated through comprehensive validation procedures and robust error handling. Data quality risks were addressed by implementing thorough preprocessing pipelines and validation checks. The initial challenges with computational resource limitations were resolved through code optimization and efficient batch processing strategies. Current risks in this project include potential overfitting issues, which are being addressed through careful monitoring of validation metrics and implementation of appropriate regularization techniques. Looking forward, the project anticipates challenges in model deployment and real-time performance optimization. The mitigation strategy includes early performance testing and gradual optimization of the inference pipeline. The project timeline has been adjusted to accommodate additional testing phases, ensuring robust performance across different operational scenarios. 5.2 Professional Issues This project strictly adheres to ethical guidelines in medical AI development, following both ACM and BCS professional codes of conduct. The project addresses legal issues by ensuring compliance with data protection regulations such as GDPR, which is crucial for handling patient data. Patient privacy and data security are paramount concerns, addressed through careful data anonymization and secure processing protocols, which are in line with the ethical standards set by ACM and BCS. Ethical issues are also at the forefront of this project. The system is designed to assist, not replace, medical professionals, which is an important ethical consideration to ensure that the technology is used responsibly and does not undermine the role of human expertise. The project maintains transparency in model decisions, crucial for medical applications, by implementing interpretability features that help healthcare professionals understand model predictions. This transparency is essential for building trust with end- users and ensuring that the AI system's decisions can be audited and explained. Social implications are carefully considered, particularly regarding the impact of AI on healthcare accessibility and equity. The project aims to develop a system that can be used in diverse settings, potentially increasing access to cancer detection services in underserved areas. However, it also acknowledges the potential for increasing healthcare disparities if not implemented thoughtfully. The project team is committed to working with healthcare providers to ensure that the technology is deployed in a way that benefits all patients equally. Environmental considerations include optimizing computational efficiency to reduce energy consumption during model training and inference. This is important not only for the sustainability of the technology but also for its scalability, as energy -efficient models can be more easily adopted by healthcare institutions with limited resources. Regular ethical reviews are conducted to ensure that development aligns with professional standards and maintains focus on patient benefit while minimizing potential risks. These reviews also consider the long-term societal impact of the technology and its potential to change the landscape of medical diagnostics. In summary, this project is committed to addressing legal, ethical, social, and environmental issues through a comprehensive approach that includes adherence to professional codes, data protection, transparency, accessibility, and sustainability. By doing so, it aims to develop a medical AI system that is not only effective but also responsible and beneficial to society. 6 References [1] L. S. Matza et al., "Health State Utilities Associated with False-Positive Cancer Screening Results," PharmacoEconomics Open, vol. 8, no. 2, pp. 263-276, Mar. 2024, doi: 10.1007/s41669-023-00443-w. [2] Y. Kumar et al., "Automating cancer diagnosis using advanced deep learning techniques for multi-cancer image classification," Sci Rep, vol. 14, no. 1, p. 25006, Oct. 2024, doi: 10.1038/s41598-024-75876-2. [3] H. D. Nelson, E. S. O'Meara, K. Kerlikowske, S. 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