# Compilation and Program Analysis (#2): Lexing, Parsing

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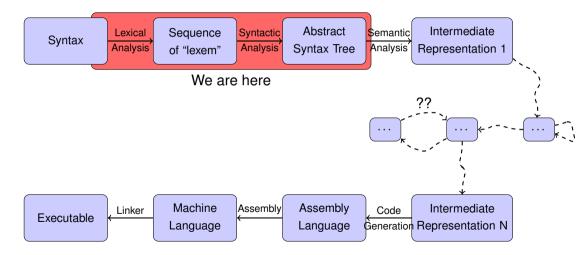
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# A Standard™ Compiler Pipeline



# Goal of this chapter

- Understand the syntactic structure of a language;
- Separate the different steps of syntax analysis;
- Be able to write a syntax analysis tool for a simple language;
- Remember: syntax≠semantics.

- Text=a sequence of symbols (letters, spaces, punctuation);
- Group symbols into tokens:
  - Words: groups of letters;
  - Punctuation; Spaces.

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Lexical analysis

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  - Definition of each word.
    - ex: a dog is a hairy mammal, that barks and...
  - Role in the phrase: verb, subject, ...

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Syntax analysis=Lexical analysis+Parsing

- Lexical Analysis
  - Principles
  - Tools
- Syntactic Analysis

## What for ?

int 
$$y = 12 + 4*x$$
;

⇒ [TINT, ID("y"), EQ, INT(12), PLUS, INT(4), TIMES, ID("x"), SCOL]

- Group characters into a list of tokens, e.g.:
  - The word "int" stands for <u>type integer</u> (predefined identifier in most languages, keyword here);
  - A sequence of letters stands for a identifier (typically, a variable);
  - A sequence of digits stands for an integer literal;
  - ...

- Lexical Analysis
  - Principles
  - Tools

# **Principle**

- Take a lexical description:  $E = (\underbrace{E_1} | \dots | E_n)^*$ Tokens class
- Construct an automaton.

Example - lexical description ("lex file")

$$E = ((0|1)^{+}|(0|1)^{+}.(0|1)^{+}|'+')^{*}$$

### What's behind

#### Regular languages, regular automata:

- Thompson construction ➤ non-det automaton
- Determinization, completion
- Minimisation
- ▶ And non trivial algorithmic issues (remove ambiguity, compact the transition table).

Tools

- Lexical Analysis
  - Principles
  - Tools

# Tools: lexical analyzer constructors

- Lexical analyzer constructor: builds an automaton from a regular language definition;
- Ex: Lex (C), JFlex (Java), OCamllex, ANTLR (multi), ...
- input of, e.g. ANTLR: a set of regular expressions with actions (Toto.g4);
- output of ANTLR: the lexer, a file (Toto.java) that contains the corresponding automaton (input of the lexer = program to compile, output = sequence of tokens)

# Analyzing text with the compiled lexer

- The input of the lexer is a text file;
- Execution:
  - Checks that the input is accepted by the compiled automaton;
  - Executes some actions during the "automaton traversal".

# Lexing tool for Java: ANTLR

- The official webpage : www.antlr.org (BSD license);
- ANTLR is both a lexer and a parser generator;
- ANTLR is multi-language (not only Java).

Tools

# ANTLR lexer format and compilation

```
.q4
lexer grammar XX;
@header { // Some init code...
@members { // Some global variables
// More optional blocks are available
--->> lex rules
```

### Compilation (using the java backend)

```
// produces several Java files
antlr4 Toto.q4
iavac *.iava
                    // compiles into xx.class files
java org.antlr.v4.gui.TestRig Toto tokens
```

# Lexing with ANTLR: example

#### Lexing rules:

- Must start with an upper-case letter;
- Follow extended regular-expressions syntax (same as egrep, sed, ...).

## A simple example

```
lexer grammar Tokens;

HELLO : 'hello' ; // beware the single quotes
ID : [a-z]+ ; // match lower-case identifiers
INT : [0-9]+ ;
KEYWORD : 'begin' | 'end' | 'for' ; // perhaps this should be elsewhere
WS : [ \t\r\n]+ -> skip ; // skip spaces, tabs, newlines
```

## Lexer rules: quick reference

```
NAME: ...; : rule definition (upper case for lexer)
          (...) : grouping
                 : alternative, e.g. (a|b)
            's' : char or string literal. '\n' for newline.
               . : any character
        a .. b : range, e.g. ('0'..'9')
          \{\ldots\}: action
              + : 1 or more, e.g. ('0' .. '9')+
               * : 0 or more
               ? : optional (or semantic predicate)
```

Tools

# Lexing - We can count!

## Counting in ANTLR - CountLines2.g4

```
lexer grammar CountLines2;
// Members can be accessed in any rule
@members {int nbLines=0;}
NEWLINE : [\r\n] {
 nbLines++:
 System.out.println("Current lines:"+nbLines);};
WS : [ \t]+ -> skip :
```

- Lexical Analysis
- Syntactic Analysis
  - Principles
  - Tools

- Syntactic Analysis
  - Principles
  - Tools

# What's Parsing?

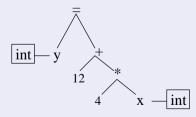
Relate tokens by structuring them.

#### Flat tokens

[TINT, ID("y"), EQ, INT(12), PLUS, INT(4), TIMES, ID("x"), SCOL]

 $\Rightarrow$  Parsing  $\Rightarrow$ 

### Accept → Structured tokens



## For now

Only write acceptors: yield "OK" or "Syntax Error".

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## What's behind?

From a Context-free Grammar, produce a Pushdown Automaton<sup>1</sup> (already seen in L3 course?)

<sup>&</sup>lt;sup>1</sup>Automate à Pile

# Recalling grammar definitions

#### Grammar

A grammar is composed of:

- A finite set N of non terminal symbols
- A finite set  $\Sigma$  of terminal symbols (disjoint from N)
- A finite set of production rules, each rule of the form  $w \to w'$  where w is a word on  $\Sigma \cup N$  with at least one letter of N, w' is a word on  $\Sigma \cup N$ .
- A start symbol  $S \in N$ .

# Example

#### **Example:**

$$S \to aSb$$

Principles

$$S \to \varepsilon$$

is a grammar with  $N = \dots$  and  $\dots$ 

# Associated Language

#### Derivation

G a grammar defines the relation:

$$x \Rightarrow_G y$$
 iff  $\exists u, v, p, qx = upv$  and  $y = uqv$  and  $(p \rightarrow q) \in P$ 

 $\triangleright$  A grammar describes a **language** (the set of words on  $\Sigma$  that can be derived from the start symbol).

# Example - associated language

$$S \to aSb$$

$$S \to \varepsilon$$

The grammar defines the language  $\{a^nb^n, n \in \mathbb{N}\}$ 

$$S \rightarrow aBSc$$

$$S \to abc$$

$$Ba \to aB$$

$$Bb \rightarrow bb$$

The grammar defines the language  $\{a^nb^nc^n, n \in \mathbb{N}\}$ 

# Context-free grammars

#### Context-free grammar

A CF-grammar is a grammar where all production rules are of the form

$$N \to (\Sigma \cup N)^*$$
.

#### Example:

$$S \to S + S|S * S|a$$

The grammar defines a language of arithmetical expressions.

Notion of derivation tree.

Exercise: draw a derivation tree of a\*a+a (with the previous grammar).

### Parser construction

There exists algorithms to recognize class of grammars:

- Predictive (descending) analysis (LL)
- Ascending analysis (LR)
- ► See the Dragon book.



- Principles
- Tools

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# Tools: parser generators

- Parser generator: builds a Pushdown Automaton from a grammar definition;
- Ex: yacc (C), javacup (Java), OCamlyacc, ANTLR, ...
- input of ANTLR: a set of grammar rules with actions (Toto.g4);
- output of ANTLR: a file (Toto.java) that contains the corresponding Pushdown Automaton.

# Lexing then Parsing

Concretely, we need a way:

- To declare terminal symbols (tokens);
- To write grammars.
- ▶ Use both Lexing rules and Parsing rules.

# Parsing with ANTLR: example

$$S \rightarrow aSb$$

$$S \to \varepsilon$$

The grammar defines the language  $\{a^nb^n, n \in \mathbf{N}\}$ 

Tools

# Parsing with ANTLR: example (cont')

```
AnBnLexer.q4
lexer grammar AnBnLexer;
// Lexing rules: recognize tokens
A: 'a':
B: 'b';
WS: [\t\r\n]+ -> skip; // skip spaces, tabs, newlines
```

# Parsing with ANTLR: example (cont')

```
AnBnParser.g4

parser grammar AnBnParser;
options {tokenVocab=AnBnLexer;} // extern tokens definition

// Parsing rules: structure tokens together
prog: s EOF; // EOF: predefined end-of-file token
s: A s B {System.out.println("rule S applied");}
| // nothing for empty alternative
;
```

# Parser rules: quick reference

```
name: ...; : rule definition (lower-case for parsing)

same as lexer: same meaning, in particular

(...): grouping

| : alternative, e.g. (a|b)

new in parser: rules can call each other recursively (A: a A | ;)
```

Compile/execute with:

```
antlr4 explLexer.g4 explParser.g4
javac *.java
echo 'aabb' | grun expl prog -gui
```

## Parser rules: recommended format

```
// Do
rule: alternative A
     alternative B
     empty alternative
    : // aligned with the |
// Don't
rule:
   I alternative A
     alterantive B
```

# **ANTLR4** expressivity

ALL(\*) = Adaptive LL(\*)
At parse-time, decisions gracefully throttle up from conventional fixed  $k \ge 1$  lookahead to arbitrary lookahead.

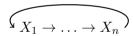
Further reading (SIGPLAN Notices'14 paper, T. Parr, K. Fisher)

https://www.antlr.org/papers/allstar-techreport.pdf

### Left recursion

ANTLR allows left recursion (but right recursion usually more efficient):

a: a b;



But not indirect left recursion.

There exist algorithms to eliminate indirect recursions.

### Lists

ANTLR allows lists:

prog: statement+ ;

Read the documentation!

https://github.com/antlr/antlr4/blob/master/doc/index.md

### So Far ...

#### ANTLR has been used to:

- Produce acceptors for context-free languages;
- Do a bit of computation on-the-fly.
- $\Rightarrow$  In a classic compiler, parsing produces an Abstract Syntax Tree.
- Next course!