BINARY SEARCH TREES

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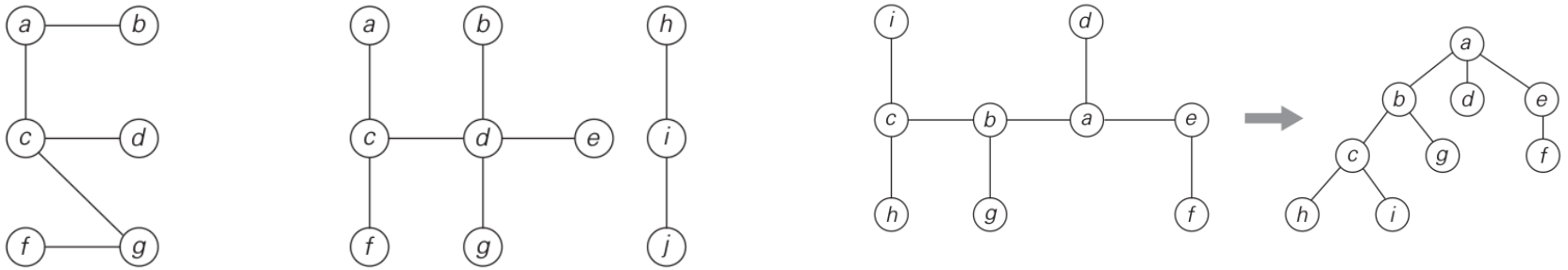
Agenda

Trees[1]

A free tree: a connected acyclic graph

- Forest: acyclic graph not necessarily connected
- Rooted tree (root typically on the top)



Applications: implement dictionaries, fault analysis, etc.

[1] Source: A. Levitin. Introduction to the Design and Analysis of Algorithms. 2011.

Trees: terminology for rooted trees

- Parent
- Siblings
- Subtree
- Internal vertices
- Ancestors / descendants (proper)

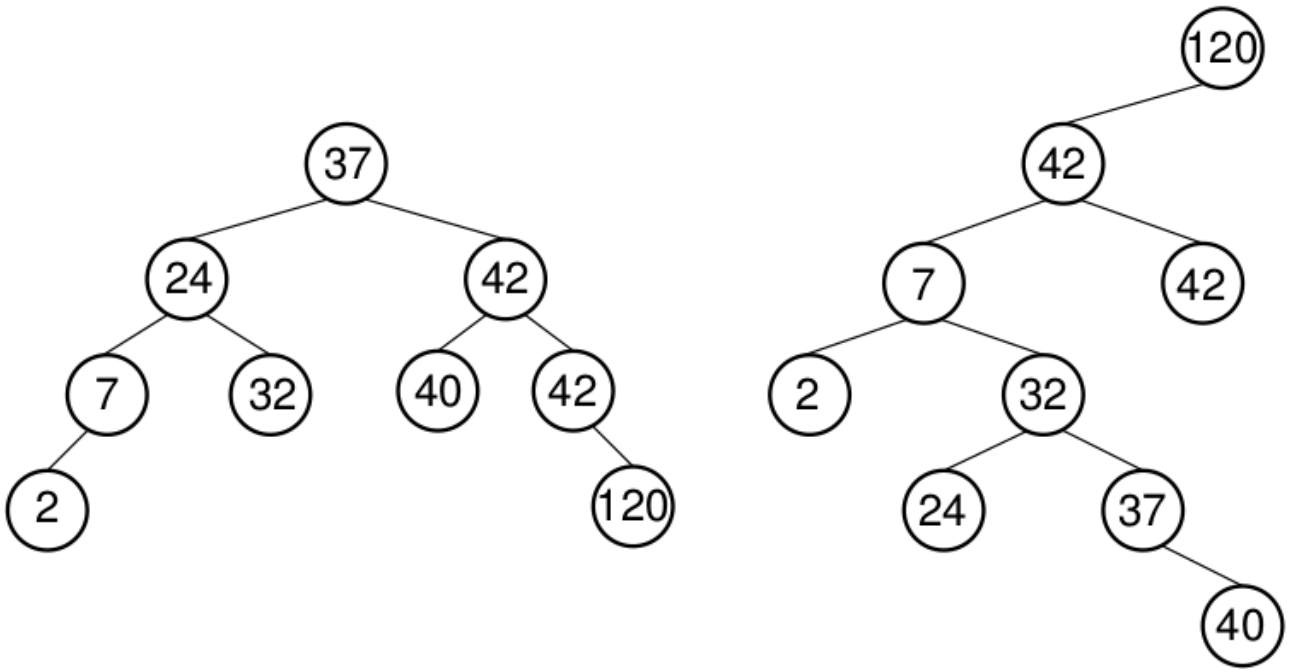
Trees: terminology for rooted trees

- Leaf: no children
- Depth/level of v: length of the unique path from the root to v
- Height: length of the longest unique path from the node to a leaf
- m-ary tree: every internal vertex has no more than m children
  - Complete m-ary tree: levels filled from top to bottom, left to right
  - Full m-ary tree: exactly m children
  - m-ary tree, where $m = 2$: binary tree
- Ordered tree
  - Ordered binary tree: binary search tree (BST)
- Balanced tree

Binary search trees[2]

Left tree: insertion order = 37, 24, 42, 7, 2, 40, 42, 32, 120

Right tree: insertion order = 120, 42, 42, 7, 2, 32, 37, 24, 40

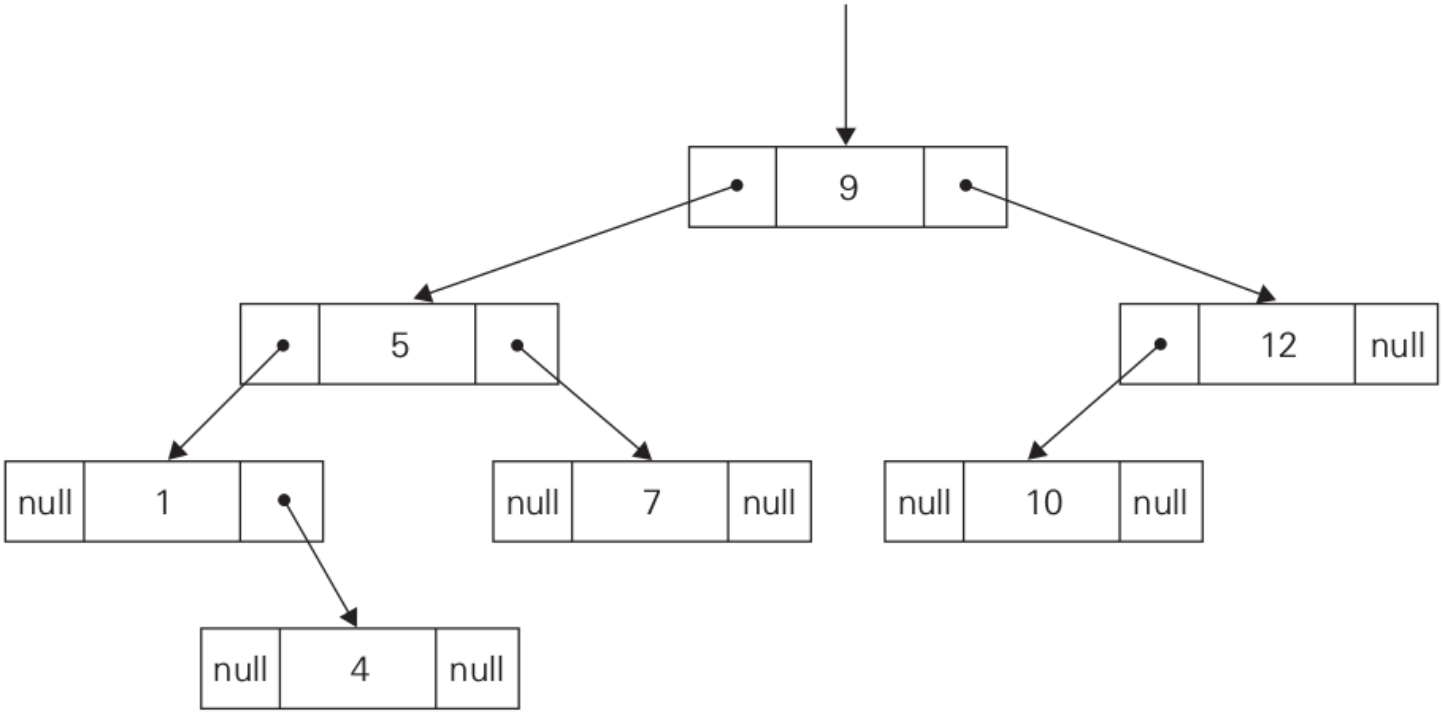


[2] Source: C. Shaffer. Data Structures and Algorithm Analysis. 2013.

Agenda

Dictionaries implemented as BSTs[3]

Typical implementation: based on references (pointers)



[3] Source: A. Levitin. Introduction to the Design and Analysis of Algorithms. 2011.

Dictionaries implemented as BSTs

Composite type (BSTNode):

```
1  Key key;
2  E element;
3  BSTNode left;                    // left child
4  BSTNode right ;                  // right child
```

Algorithm: BSTNode create_bstnode(Key k, E e)

```
1  n.key ← k;
2  n.element ← e;
3  n.left ← n.right ← NULL;
4  return n;
```

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Dictionaries implemented as BSTs

Composite type (BST):

```
1  BSTNode root;
2  int nodecount;                    // number of elements
```

Algorithm: BST create_bst()

```
1  bst.root ← NULL;
2  bst.nodecount ← 0;
3  return bst;
```

Dictionaries implemented as BSTs

Algorithm: E find(BST bst, Key k)

```
1  return findhelp(bst.root, k);
```

Algorithm: E findhelp(BSTNode rt, Key k)

```
1  if rt = NULL then return NULL ;
2  if rt.key > k then
3      return findhelp(rt.left, k);
4  else if rt.key = k then
5      return rt.element;
6  else
7      return findhelp(rt.right, k);
```

Dictionaries implemented as BSTs

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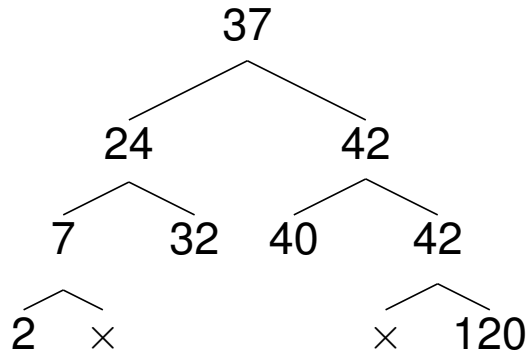
```

`find(_,32)`



Dictionaries implemented as BSTs

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Algorithm: E findhelp(BSTNode rt, Key k)

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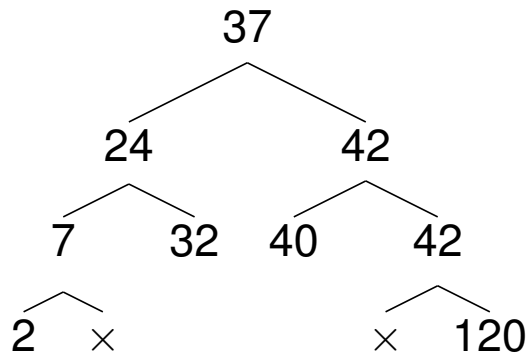

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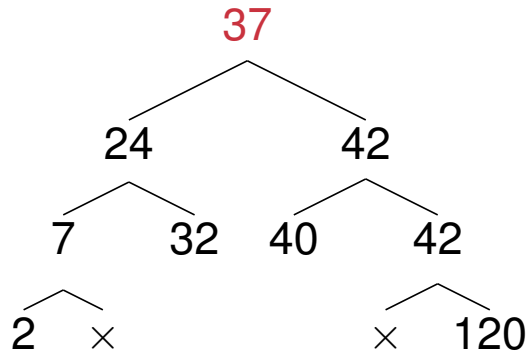

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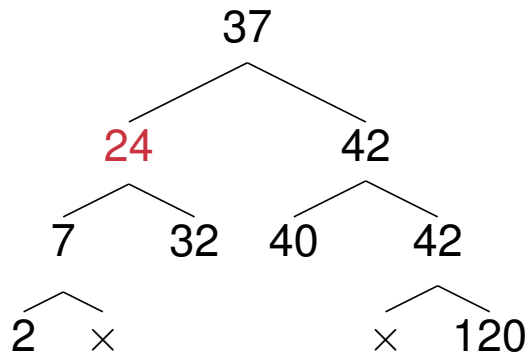

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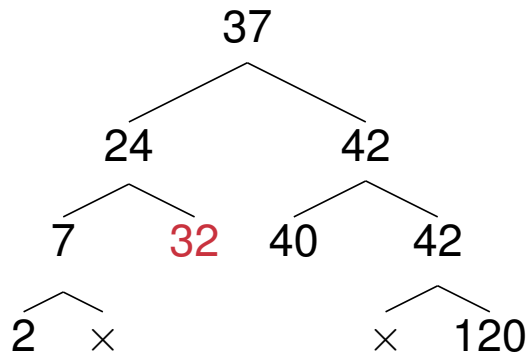

Returning element associated with $k = 32$

Dictionaries implemented as BSTs

Algorithm: E find(BST bst, Key k)

```
1  return findhelp(bst.root, k);
```

Algorithm: E findhelp(BSTNode rt, Key k)

```
1  if rt = NULL then return NULL ;
2  if rt.key > k then
3      return findhelp(rt.left, k);
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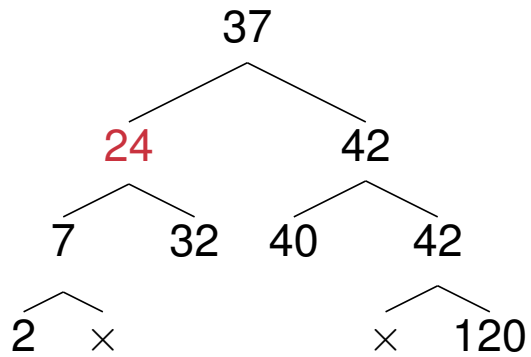
`find(_,32)`



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```
1  if rt = NULL then return NULL ;
2  if rt.key > k then
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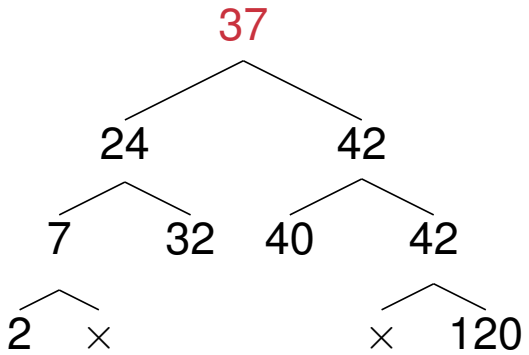

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1  if rt = NULL then return NULL ;
2  if rt.key > k then
3      return findhelp(rt.left, k);
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```
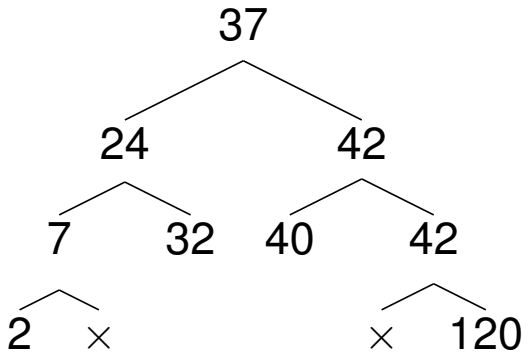

`find(_,32)`



Returning element associated with $k = 32$

Dictionaries implemented as BSTs

Algorithm: void insert(BST bst, Key k, E e)

```
1  bst.root ← inserthelp(bst.root, k, e);
2  bst.nodecount++;
```

Algorithm: BSTNode inserthelp(BSTNode rt, Key k, E e)

```
1  if rt = NULL then return create_bstnode(k, e) ;
2  if rt.key > k then
3  |    rt.left ← inserthelp(rt.left, k, e);
4  else
5  |    rt.right ← inserthelp(rt.right, k, e);
6  return rt;
```

Important: repeated keys go to the right subtree

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Dictionaries implemented as BSTs

Algorithm: void
insert(BST bst, Key k, E e)

```
1  bst.root ←
     inserthelp(bst.root, k, e);
2  bst.nodecount++;
```

Algorithm: BSTNode
inserthelp(BSTNode rt, Key k, E e)

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1  if rt = NULL then return
     create_bstnode(k, e) ;
2  if rt.key > k then
3  |    rt.left ← inserthelp(rt.left, k, e);
4  else
5  |    rt.right ←
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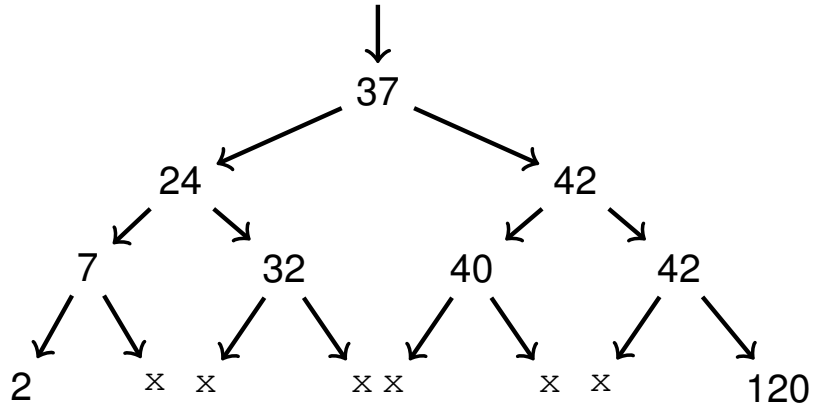
6  return rt;
```

`insert(_,25,_)`



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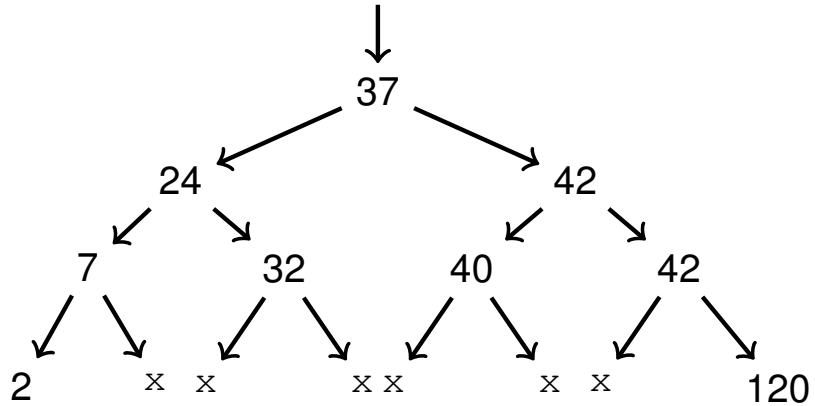

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Algorithm: BSTNode
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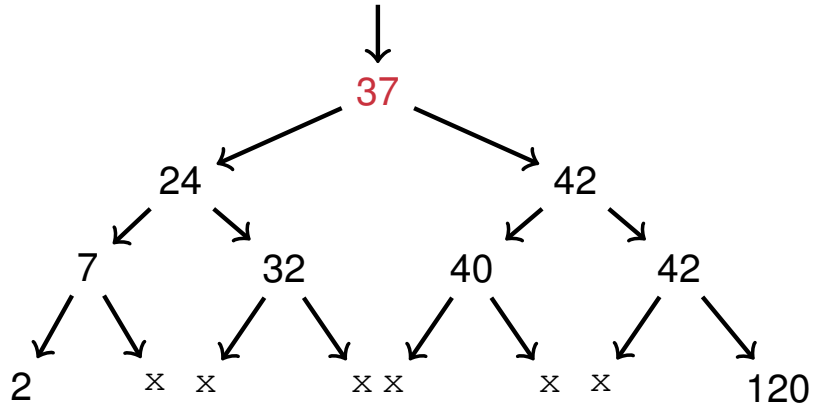

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Dictionaries implemented as BSTs

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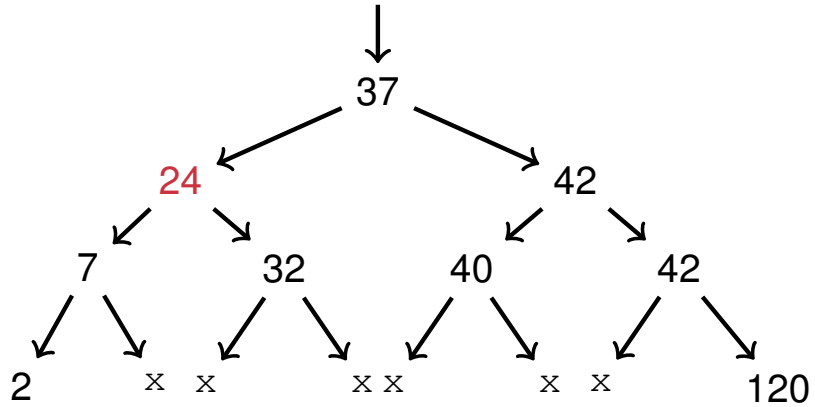

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Algorithm: BSTNode
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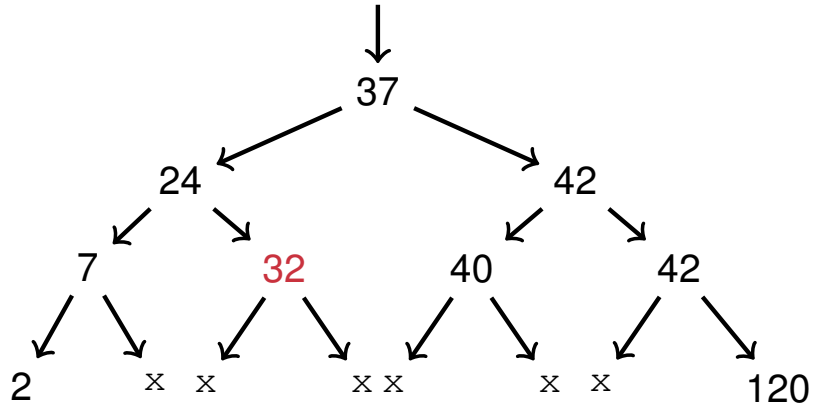

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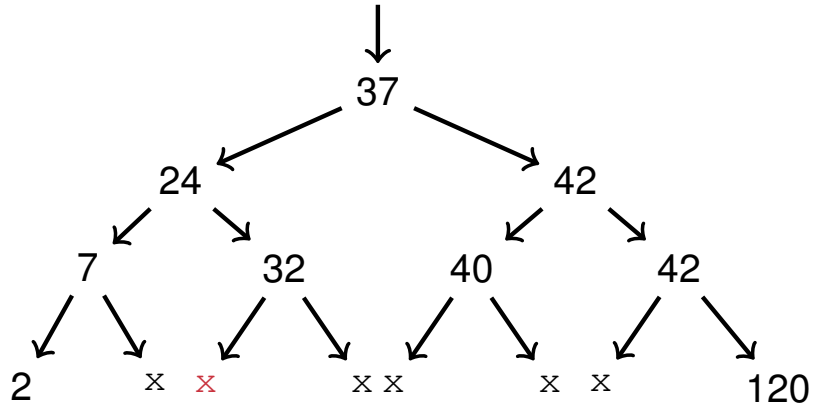

25

Returning the reference to the new node

Dictionaries implemented as BSTs

Algorithm: void
insert(BST bst, Key k, E e)

```
1  bst.root ←
     inserthelp(bst.root, k, e);
2  bst.nodecount++;
```

Algorithm: BSTNode
inserthelp(BSTNode rt, Key k, E e)

```
1  if rt = NULL then return
     create_bstnode(k, e) ;
2  if rt.key > k then
3  |    rt.left ← inserthelp(rt.left, k, e);
4  else
5  |    rt.right ←
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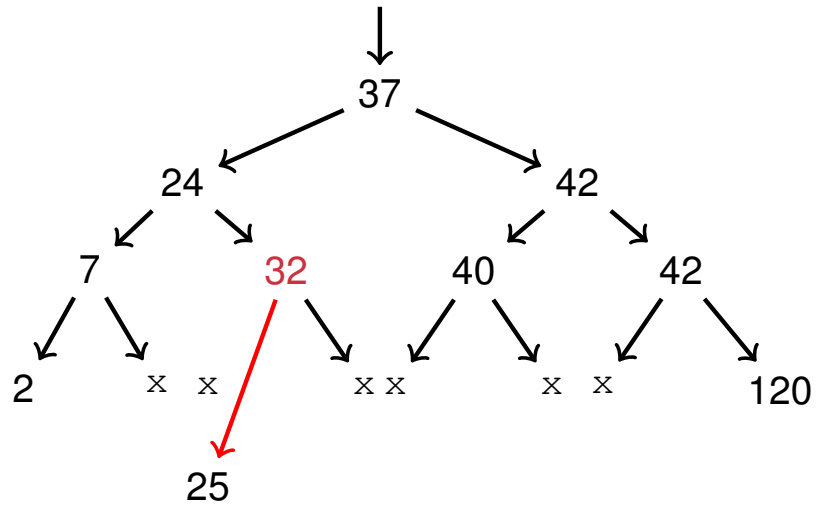
6  return rt;
```

`insert(_,25,_)`



Returning the reference to the current node

Dictionaries implemented as BSTs

Algorithm: void
insert(BST bst, Key k, E e)

```
1  bst.root ←
     inserthelp(bst.root, k, e);
2  bst.nodecount++;
```

Algorithm: BSTNode
inserthelp(BSTNode rt, Key k, E e)

```
1  if rt = NULL then return
     create_bstnode(k, e) ;
2  if rt.key > k then
3  |    rt.left ← inserthelp(rt.left, k, e);
4  else
5  |    rt.right ←
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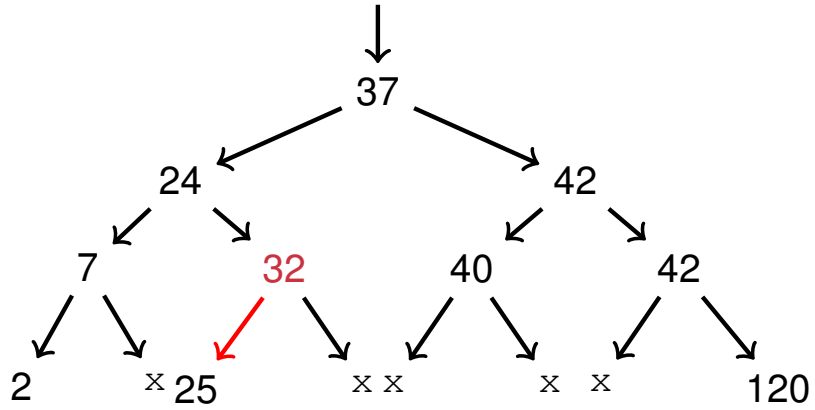
6  return rt;
```

`insert(_,25,_)`



Better presented this way

Returning the reference
to the current node

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Dictionaries implemented as BSTs

Algorithm: void
insert(BST bst, Key k, E e)

```
1  bst.root ←
     inserthelp(bst.root, k, e);
2  bst.nodecount++;
```

Algorithm: BSTNode
inserthelp(BSTNode rt, Key k, E e)

```
1  if rt = NULL then return
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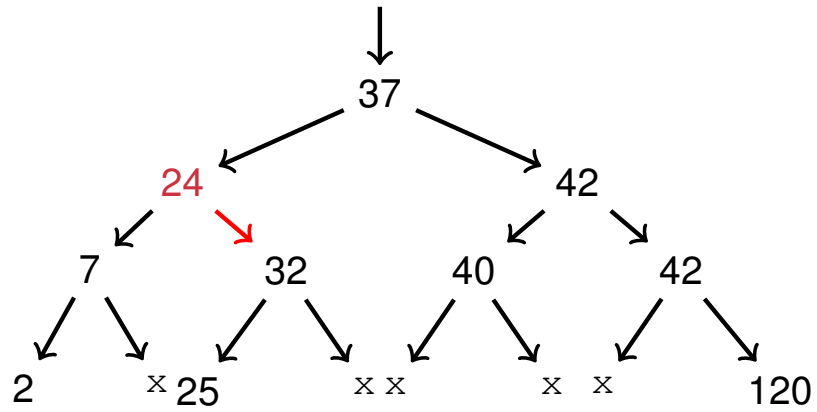
2  if rt.key > k then
3  |    rt.left ← inserthelp(rt.left, k, e);
4  else
5  |    rt.right ←
   |      inserthelp(rt.right, k, e);
6  return rt;
```

`insert(_,25,_)`



Returning the reference
to the current node

Dictionaries implemented as BSTs

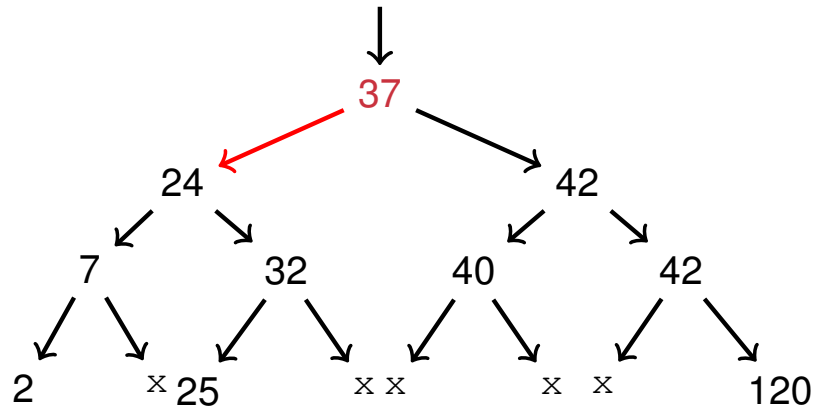
Algorithm: void
insert(BST bst, Key k, E e)

```
1  bst.root ←
     inserthelp(bst.root, k, e);
2  bst.nodecount++;
```

Algorithm: BSTNode
inserthelp(BSTNode rt, Key k, E e)

```
1  if rt = NULL then return
     create_bstnode(k, e) ;
2  if rt.key > k then
3  |    rt.left ← inserthelp(rt.left, k, e);
4  else
5  |    rt.right ←
   |      inserthelp(rt.right, k, e);
6  return rt;
```

`insert(_,25,_)`



Returning the reference
to the current node (root)

Dictionaries implemented as BSTs

Algorithm: void
insert(BST bst, Key k, E e)

```
1  bst.root ←
     inserthelp(bst.root, k, e);
2  bst.nodecount++;
```

Algorithm: BSTNode
inserthelp(BSTNode rt, Key k, E e)

```
1  if rt = NULL then return
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3  |    rt.left ← inserthelp(rt.left, k, e);
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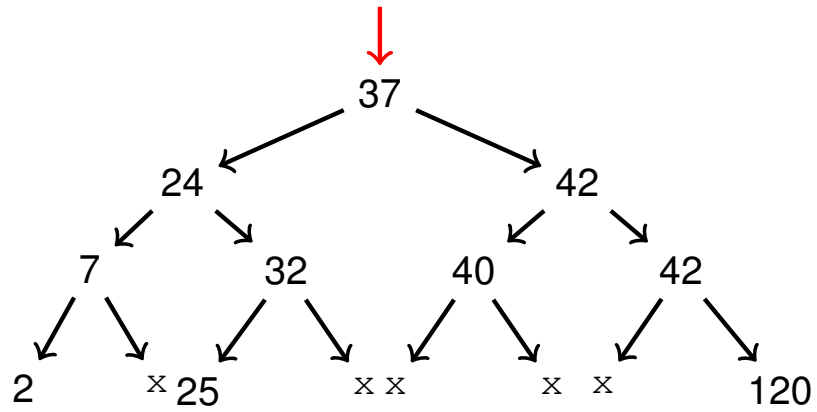
6  return rt;
```

`insert(_,25,_)`



Updating the reference to the root node

Dictionaries implemented as BSTs

Algorithm: E remove(BST bst, Key k)

```
1  E temp ← findhelp(bst.root, k);
2  if temp ≠ NULL then
3      bst.root ← removehelp(bst.root, k);
4      bst.nodecount--;
5  return temp;
```

Dictionaries implemented as BSTs

Algorithm: BSTNode removehelp(BSTNode rt, Key k)

```
1  if rt = NULL then return NULL;
2  if rt.key > k then
3      rt.left ← removehelp(rt.left, k);
4  else if rt.key < k then
5      rt.right ← removehelp(rt.right, k);
6  else
7      if rt.left = NULL then  return rt.right ;
8      else if rt.right = NULL then  return rt.left ;
9      else
10         BSTNode temp ← getmin(rt.right);
11         rt.element ← temp.element;
12         rt.key ← temp.key;
13         rt.right ← deletemin(rt.right);
14 return rt;
```

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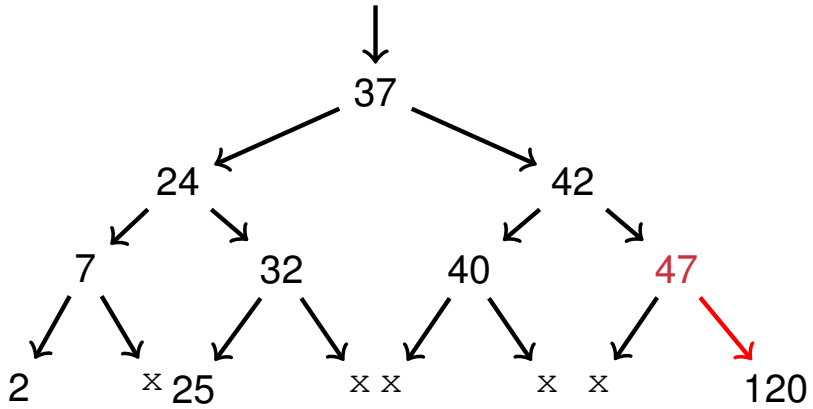
Dictionaries implemented as BSTs

Algorithm: BSTNode removehelp(BSTNode rt, Key k)

```
1  if rt = NULL then return NULL;
2  if rt.key > k then
3      rt.left ← removehelp(rt.left, k);
4  else if rt.key < k then
5      rt.right ←
         removehelp(rt.right, k);
6  else
7      if rt.left = NULL then  return
         rt.right;
8      else if rt.right = NULL then
         return rt.left ;
9      else
10         BSTNode
             temp ← getmin(rt.right);
11         rt.element ← temp.element;
12         rt.key ← temp.key;
13         rt.right ←
             deletemin(rt.right);
14 return rt;
```

Let *rt* be the node to be removed, three cases:
(i) *rt.left* = *NULL*,
(ii) *rt.right* = *NULL*, and
(iii) otherwise.

`remove(_,47)`



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Dictionaries implemented as BSTs

Algorithm: BSTNode removehelp(BSTNode rt, Key k)

```
1  if rt = NULL then return NULL;
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         removehelp(rt.right, k);
6  else
7      if rt.left = NULL then return
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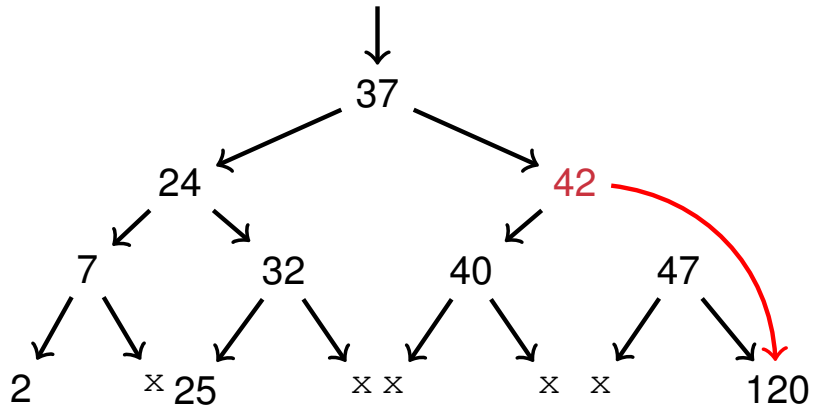

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11         rt.element ← temp.element;
12         rt.key ← temp.key;
13         rt.right ←
             deletemin(rt.right);
14 return rt;
```

Let *rt* be the node to be removed, three cases:
(i) *rt.left* = *NULL*,
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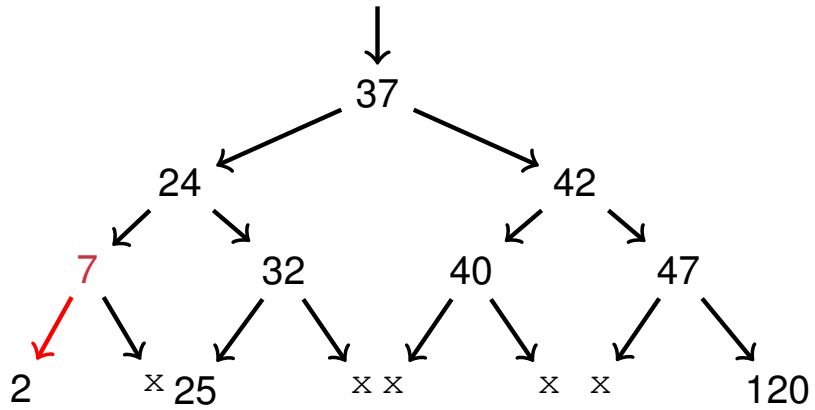
(iii) otherwise.

`remove(_,7)`



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Dictionaries implemented as BSTs

Algorithm: BSTNode removehelp(BSTNode rt, Key k)

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13         rt.right ← deletemin(rt.right);
14 return rt;
```

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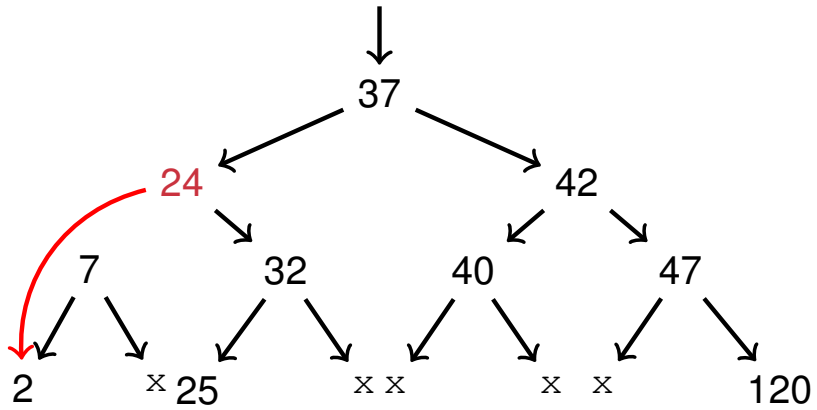

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6  else
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8      else if rt.right = NULL then return rt.left ;
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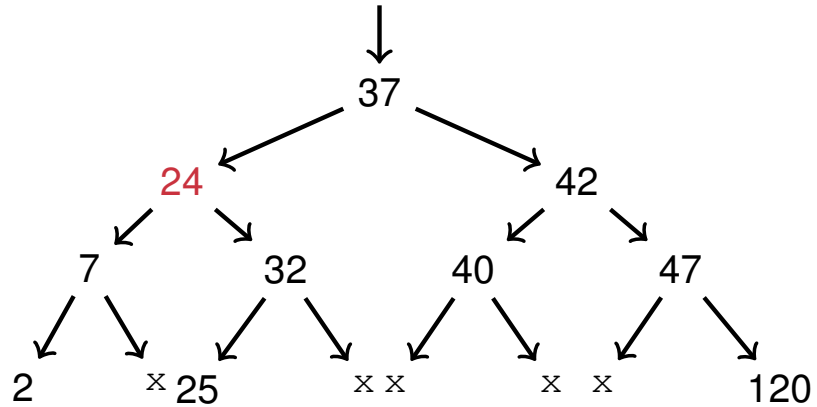
10         BSTNode temp ← getmin(rt.right);
11         rt.element ← temp.element;
12         rt.key ← temp.key;
13         rt.right ← deletemin(rt.right);
14 return rt;
```

Let *rt* be the node to be removed, three cases:
(i) $rt.left = NULL$,
(ii) $rt.right = NULL$, and
(iii) otherwise.

`remove(_,24)`



temp = reference to node 25

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Dictionaries implemented as BSTs

Algorithm: BSTNode removehelp(BSTNode rt, Key k)

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1  if rt = NULL then return NULL;
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14 return rt;
```

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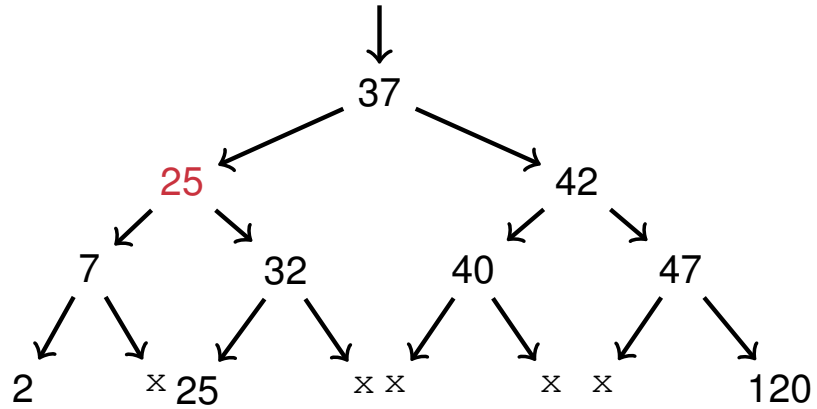
`remove(_,24)`



temp = reference to node 25

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Dictionaries implemented as BSTs

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7      if rt.left = NULL then return rt.right ;
8      else if rt.right = NULL then return rt.left ;
9      else
10         BSTNode temp ← getmin(rt.right);
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12         rt.key ← temp.key;
13         rt.right ← deletemin(rt.right);
14 return rt;
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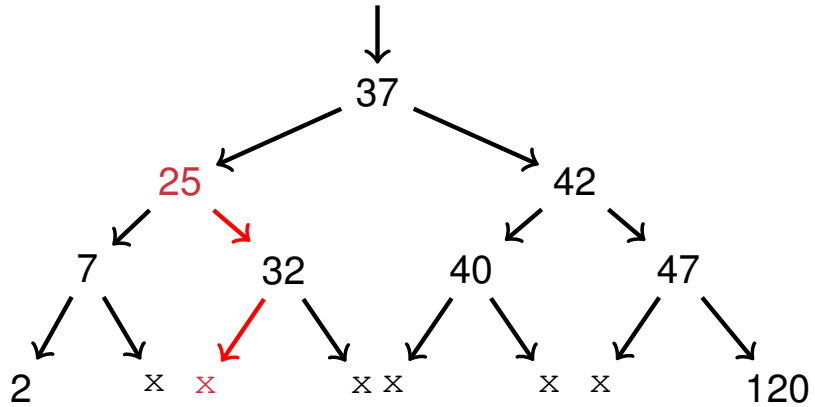

temp = reference to node 25

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Dictionaries implemented as BSTs

Algorithm: BSTNode getmin(BSTNode rt)

```
1  if rt.left = NULL then return rt;
2  return getmin(rt.left);
```

Algorithm: BSTNode deletemin(BSTNode rt)

```
1  if rt.left = NULL then return rt.right;
2  rt.left ← deletemin(rt.left);
3  return rt;
```

Asymptotic efficiency of BSTs[4]

Average case: considering a balanced BST

Data Structure	Time Complexity								Space Complexity
	Average				Worst				Worst
	Access	Search	Insertion	Deletion	Access	Search	Insertion	Deletion	
Array	O(1)	O(n)	O(n)	O(n)	O(1)	O(n)	O(n)	O(n)	O(n)
Stack	O(n)	O(n)	O(1)	O(1)	O(n)	O(n)	O(1)	O(1)	O(n)
Queue	O(n)	O(n)	O(1)	O(1)	O(n)	O(n)	O(1)	O(1)	O(n)
Singly-Linked List	O(n)	O(n)	O(1)	O(1)	O(n)	O(n)	O(1)	O(1)	O(n)
Doubly-Linked List	O(n)	O(n)	O(1)	O(1)	O(n)	O(n)	O(1)	O(1)	O(n)
Skip List	O(log(n))	O(log(n))	O(log(n))	O(log(n))	O(n)	O(n)	O(n)	O(n)	O(n log(n))
Hash Table	N/A	O(1)	O(1)	O(1)	N/A	O(n)	O(n)	O(n)	O(n)
Binary Search Tree	O(log(n))	O(log(n))	O(log(n))	O(log(n))	O(n)	O(n)	O(n)	O(n)	O(n)
Cartesian Tree	N/A	O(log(n))	O(log(n))	O(log(n))	N/A	O(n)	O(n)	O(n)	O(n)
B-Tree	O(log(n))	O(log(n))	O(log(n))	O(log(n))	O(log(n))	O(log(n))	O(log(n))	O(log(n))	O(n)
Red-Black Tree	O(log(n))	O(log(n))	O(log(n))	O(log(n))	O(log(n))	O(log(n))	O(log(n))	O(log(n))	O(n)
Splay Tree	N/A	O(log(n))	O(log(n))	O(log(n))	N/A	O(log(n))	O(log(n))	O(log(n))	O(n)
AVL Tree	O(log(n))	O(log(n))	O(log(n))	O(log(n))	O(log(n))	O(log(n))	O(log(n))	O(log(n))	O(n)
KD Tree	O(log(n))	O(log(n))	O(log(n))	O(log(n))	O(n)	O(n)	O(n)	O(n)	O(n)

[4] Source: http://bigocheatsheet.com/

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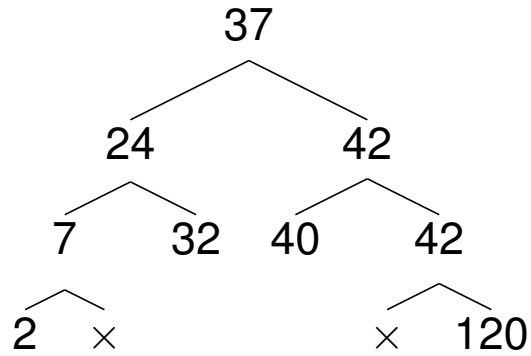
Agenda

Binary tree traversals: preorder

The root is processed before the left and the right subtrees

Algorithm: void preorder(BSTNode rt)

```
1  if rt ≠ NULL then
       // do something with rt
2      preorder(rt.left);
3      preorder(rt.right);
```



Let do something be printing the root's key

37, 24, 7, 2, 32, 42, 40, 42, 120

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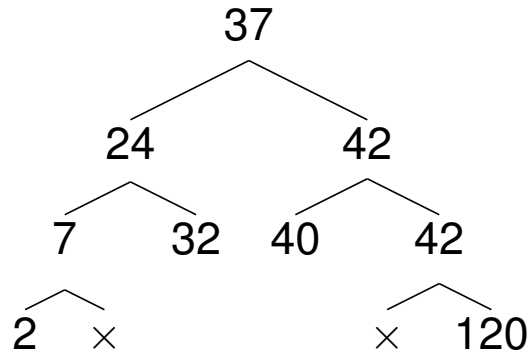
Binary tree traversals: inorder

The root is processed after the left subtree, but before the right subtree

Algorithm: void inorder(BSTNode rt)

```
1  if rt ≠ NULL then
2      inorder(rt.left);
       // do something with rt
3      inorder(rt.right);
```



Let do something be printing the root's key

2, 7, 24, 32, 37, 40, 42, 42, 120

An inorder traversal visits the keys in a non-decreasing order

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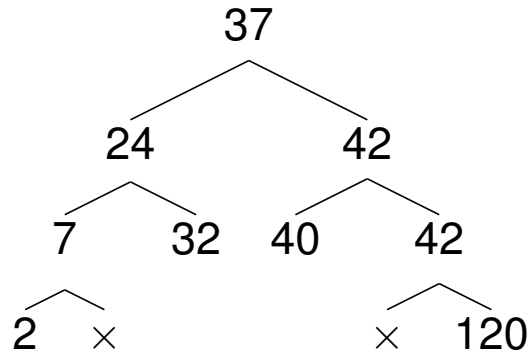
Binary tree traversals: posorder

The root is processed after the left and the right subtrees

Algorithm: void posorder(BSTNode rt)

```
1  if rt ≠ NULL then
2      posorder(rt.left);
3      posorder(rt.right);
       // do something with rt
```

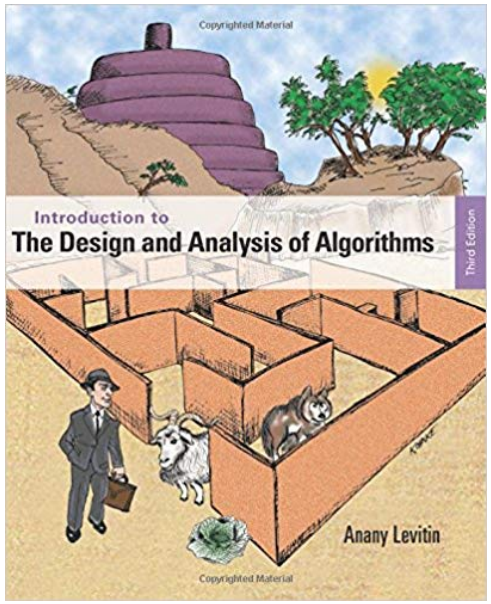


Let do something be printing the root's key

2, 7, 32, 24, 40, 120, 42, 42, 37

Agenda

Bibliography



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BINARY SEARCH TREES

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