

Design and Analysis of Algorithm (DAA)

Dynamic Programming (Optimal Binary Search Tree)

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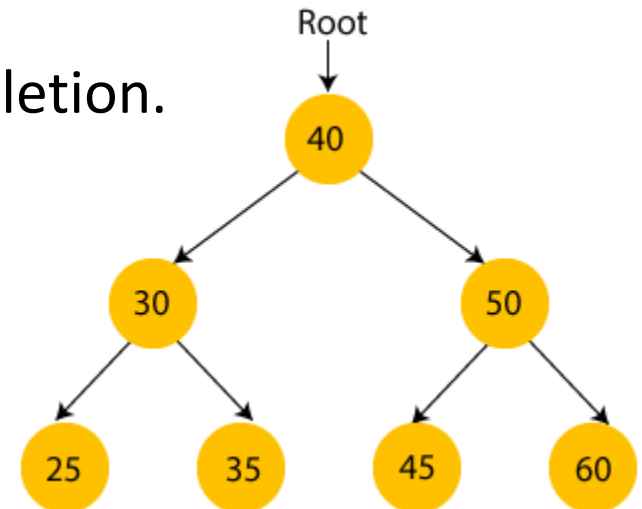
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Binary Search Tree (BST)

A tree where each node has a value, and all nodes in the left subtree of a node have smaller values, while nodes in the right subtree have larger values.

$\text{left_subtree}(\text{value}) < \text{node}(\text{value}) < \text{right_subtree}(\text{value})$

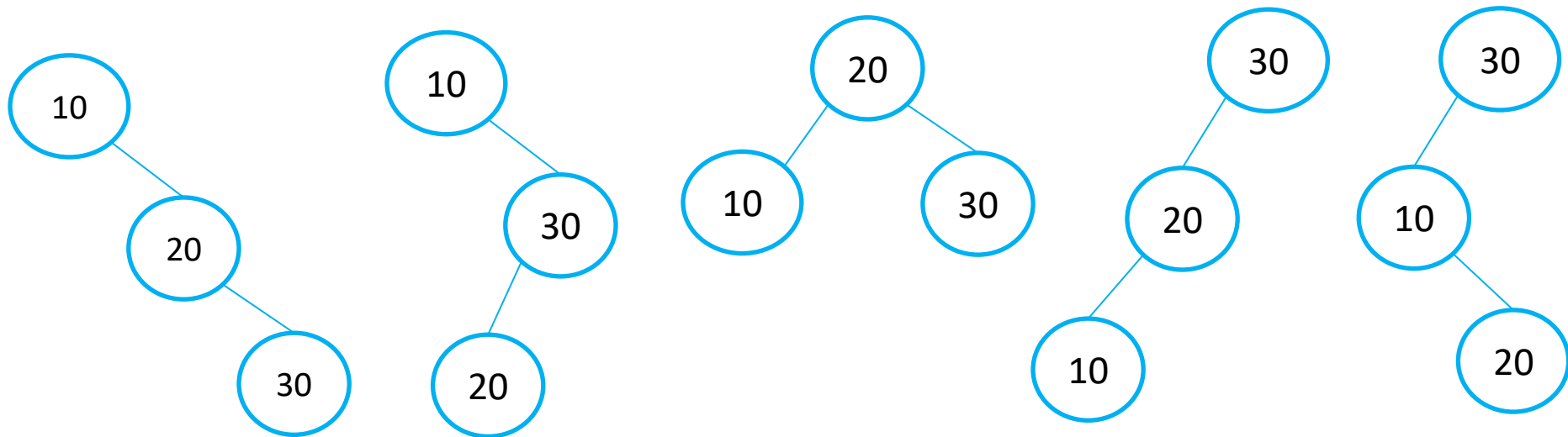
Data structure for fast search, insertion, deletion.



Binary Search Tree (BST)

For “n” nodes, No. of Binary Search Tree: $\frac{2n C_n}{n+1}$

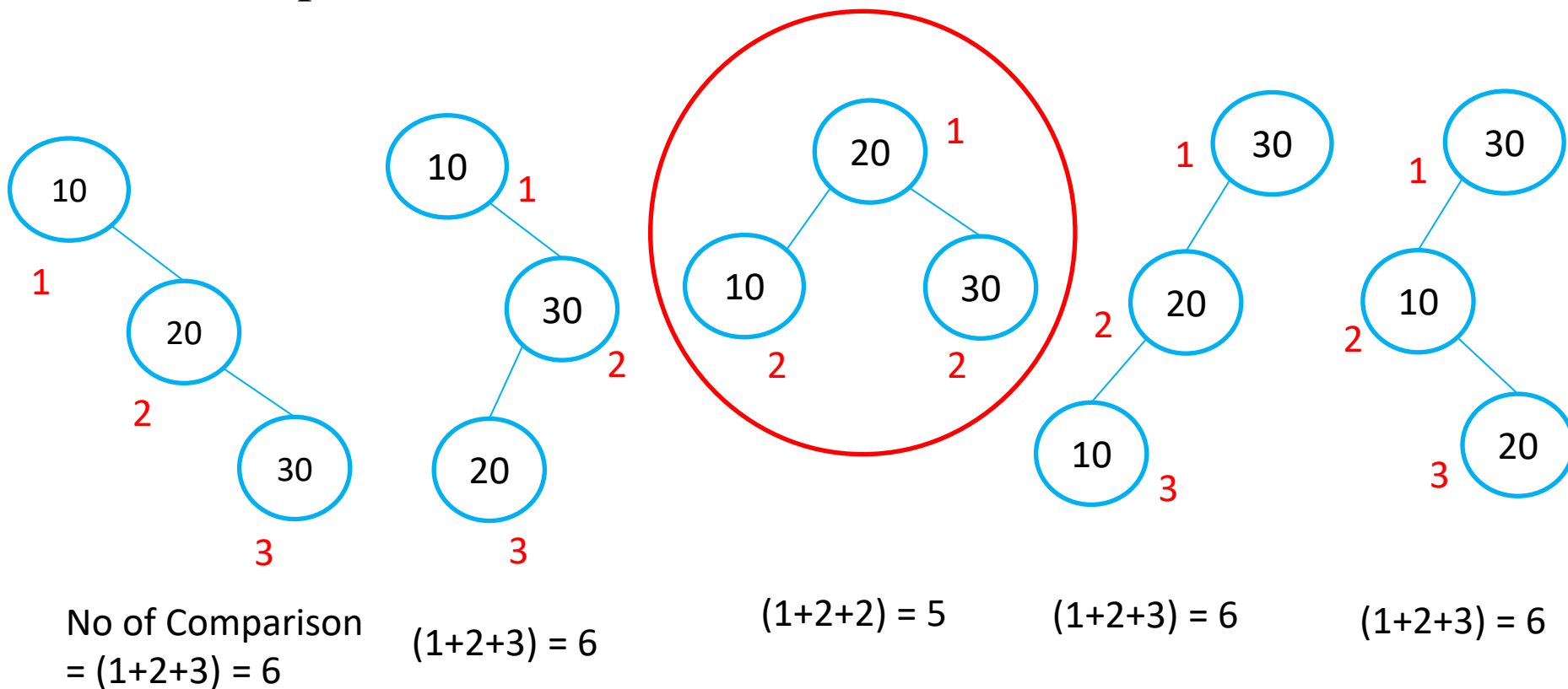
Let n =3, Keys = [10, 20, 30]



No. of Binary Search Tree: $\frac{6 C_3}{3+1} = 5$

Binary Search Tree (BST)

No of Comparison:

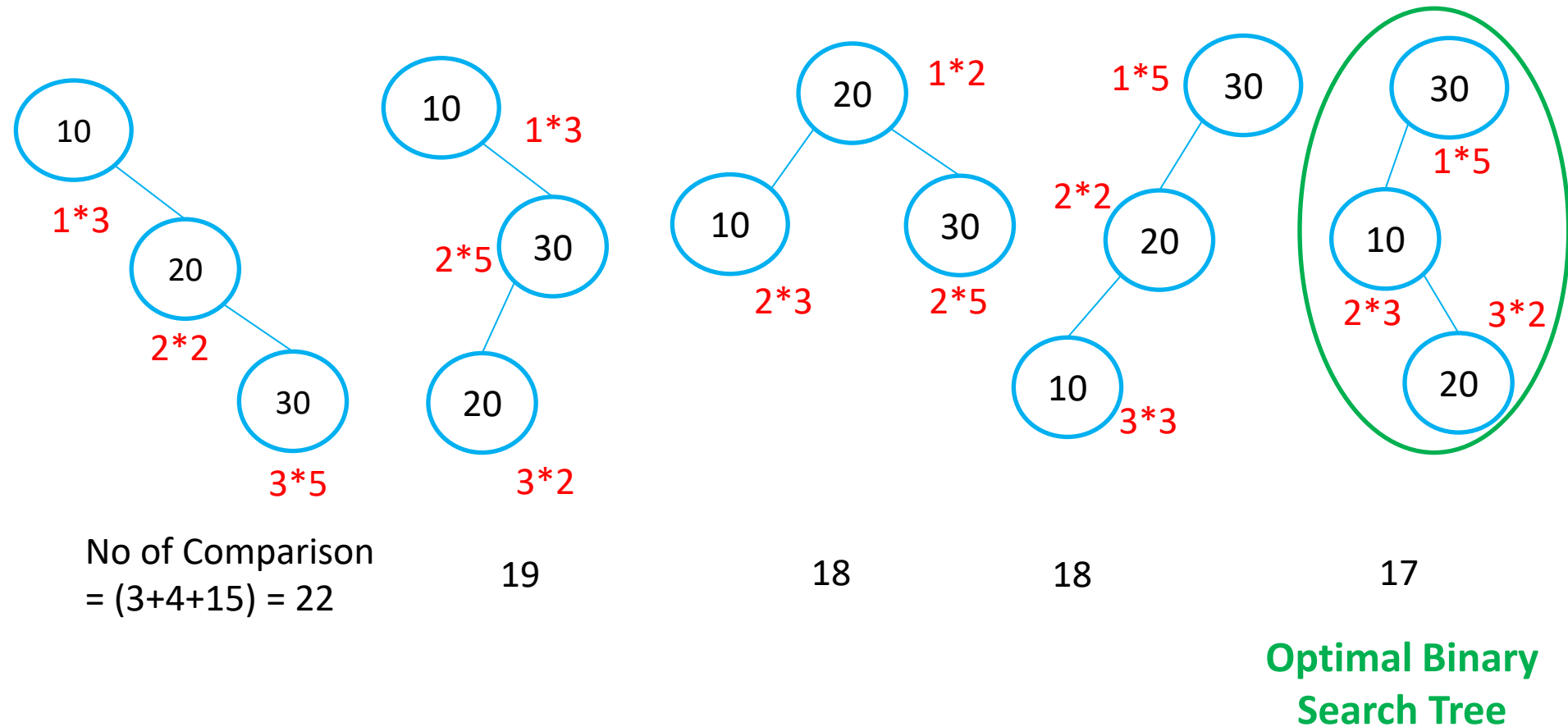


The search cost of a node in a BST is proportional to its level (depth).

Whether height balanced tree is Optimal Binary Search Tree?

Binary Search Tree (BST)

Consider Keys = [10, 20, 30] with search frequency = [3, 2, 5], resp.



OBST Problem

Given:

Keys: k_1, k_2, \dots, k_n sorted in increasing order.

Probabilities: Each key k_i has a probability p_i of being accessed (search frequency).

Goal: Build a BST where the total search cost (expected search time) is minimized.

Understanding Cost in OBST



Search Cost: The search cost of a node in a BST is proportional to its level(depth).

Expected Search Cost: Assuming only successful search

$$\text{Expected Cost} = \sum_{i=1}^n p_i * \text{level}(k_i)$$

DP Approach to OBST

Dynamic Programming can be used to find the OBST by breaking down the problem into smaller subproblems, which are combined to obtain the final solution.

Steps:

1. Define Subproblems:

Let $C[i][j]$ represent the minimum search cost for the subtree containing keys k_i to k_j .

$W[i][j]$ is the sum of probabilities from 'i' to 'j'. $W[i][j] = \sum_{k=i}^j p_k$

$R[i][j]$ stores the root of the optimal subtree containing keys k_i to k_j .

DP Approach to OBST

2. Base Case Initialization:

For single nodes (When $i=j$): $C[i][j] = p_i$ and $R[i][i] = i$

For nodes beyond the range (i.e., empty subtree): $C[i][i - 1] = 0$

DP Approach to OBST

3. Recursive Formula to Compute Optimal Cost:

For each range of keys k_i to k_j (for all $j \geq i$):

$$C[i][j] = \min_{r=i \text{ to } j} \{ C[i][r-1] + C[r+1][j] + W[i][j] \}$$

Explanation: Choose each key k_r as the root, then recursively find the cost of left and right subtrees.

Add $W[i][j]$ to account for the cumulative search cost when all nodes from i to j are in subtree.

DP Approach to OBST

4. Constructing the DP Table:

Fill $C[i][j]$ in increasing order of length $L = j - i + 1$

For each length L compute $C[i][j]$ for all valid i and j .

Keep track of the root in $R[i][j]$ for each subtree to construct the Optimal BST.

5. Extract the Result:

The minimum cost of the OBST for all keys is stored in $C[1][n]$

Example



Keys (k_i)	10	20	30
Frequency or Probabilities (p_i)	4	2	6

Algorithm OBST(keys, p)

$n = \text{length}(\text{keys})$

// Initialize DP tables C, W, and R

for $i = 1$ to n do

$C[i][i] = p[i]$ // Cost of a single key as its own subtree

$W[i][i] = p[i]$ // Weight is the probability itself

$R[i][i] = i$ // Root of a single key is the key itself

$C[i][i-1] = 0$ // Base case for empty subtree

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// Fill DP tables for increasing lengths of subtrees
for L = 2 to n do           // L is the length of the subtree
    for i = 1 to n - L + 1 do // Start of the subtree
        j = i + L - 1       // End of the subtree

        // Compute W[i][j] as the sum of probabilities from i to j
        W[i][j] = W[i][j-1] + p[j]

        // Initialize C[i][j] with a large value for comparison
        C[i][j] = INF

        // Calculate the minimum cost for each possible root in the range i to j
        for r = i to j do    // Try each key as the root
            cost = C[i][r-1] + C[r+1][j] + W[i][j]
            if cost < C[i][j] then
                C[i][j] = cost    // Update minimum cost for this range
                R[i][j] = r       // Store the root of the optimal subtree

// The minimum cost of the entire OBST is stored in C[1][n]
return C[1][n], R
```

Complexity Analysis

Time Complexity: $O(n^3)$

Nested loops for length and root choice.

Space Complexity: $O(n^2)$

for $C[i][j]$, $W[i][j]$, $R[i][j]$

“
*Each of your
actions will
have an
impact on your
future.*

A rectangular image with a dark, textured background. It contains a quote in a white, handwritten-style font.

Once you know
who is walking
with you on your path.
you will never
be afraid.

Thank you