Poznámka

Credit for giving 'small lecture'. Oral exam.

# 1 Meromorphic functions

#### Definice 1.1

We say that a function f is holomorphic in a set  $F \subset \mathbb{C}$  if there is an open  $G \supseteq F$  such that f is holomorphic on G.

In particular, f is holomorphic at  $z_0 \in \mathbb{C}$  if f is holomorphic in some neighbour (=  $U(z_0) = U(z_0, \varepsilon)$ ) of  $z_0$ .

#### Definice 1.2

Function f has at  $\infty$  a removable singularity, if  $f\left(\frac{1}{z}\right)$  has a removable singularity at 0. Similarly pole and essential singularity.

Function f is holomorphic at  $\infty$  if  $f\left(\frac{1}{z}\right)$  is holomorphic at 0.

Let  $G \subset \mathbb{S}$  be open. Then f is holomorphic on G if f is holomorphic at any  $z_0$ . Denote  $\mathcal{H}(G) := \{f : G \to \mathbb{C} | f \text{ holomorphic} \}.$ 

Například

From Liouville theorem  $\mathbb{H}(\mathbb{S}) = \text{constant functions. So } \mathbb{H}(G)$  is interesting only for  $G \subsetneq \mathbb{S}$ , so WLOG  $G \subset \mathbb{C}$ .

# **Definice 1.3** (Meromorphic function)

Let  $G \subset \mathbb{S}$  be open. Then a function f on G is called meromorphic if at any  $z_0 \in G$  the function f is either holomorphic at  $z_0$  or has a pole at  $z_0$ .

Denote  $\mathcal{M}(G)$  the set of meromorphic functions on G.

#### Dusledek

- $\mathcal{H}(G) \subset \mathcal{M}(G)$ .
- Denote  $P_f := \{z_0 \in G | f \text{ has a pole at } z_0\}$ . Then  $P_f$  has no limit points in G.
- If  $f = \infty$  on  $P_f$ , then  $f : G \to \mathbb{S}$  is continuous. (We always assume, that  $f \in \mathcal{H}(G)$  has this property.)

 $Nap \check{r} iklad$ 

$$\frac{\pi}{\sin(\pi z)} \in \mathcal{M}(\mathbb{C}), \qquad e^{\frac{1}{z}} \notin \mathcal{M}(\mathbb{C}), \qquad \Gamma \in \mathcal{M}(\mathbb{C}), \qquad \zeta \in \mathcal{M}(\mathbb{C}).$$

 $\mathcal{M}(\mathbb{S}) = \text{rational functions.}$  (One inclusion is clear, second: Let  $f \in \mathcal{M}(\mathbb{S})$ , then because  $\mathbb{S}$  is compact it holds that  $P_f$  is finite (has no limit point),  $P_f \cap \mathbb{C} = \{z_1, \ldots, z_n\}$ , so from theorem from last semester there exists  $h \in \mathcal{H}(\mathbb{C})$  such that  $f(z) = h(z) + \sum_{j=1}^n p_j \left(\frac{1}{z-z_j}\right)$  for some polynomials  $p_j$ . f has removable singularity or pole at infinity and  $p_j$  and  $\frac{1}{z-z_j}$  have removable singularity there, so h(z) is polynomial, otherwise h(z) has infinity Taylor polynom and  $h\left(\frac{1}{z}\right)$  has essential singularity at 0.)

So  $\mathcal{M}(G)$  is interesting for  $G \subsetneq \mathbb{S}$ , WLOG  $G \subset \mathbb{C}$ .

If  $G \subset \mathbb{C}$  is domain,  $f, g \in \mathbb{H}(G)$  and  $g \equiv 0$ , then  $f/g \in \mathcal{M}(G)$ . The inverse is also true (we will prove it) (but not for  $G = \mathbb{S}$ ).

#### Lemma 1.1

Let  $\mathbb{G} \subset \mathbb{C}$  be open. Then there are compacts  $K_n$ ,  $n \in \mathbb{N}$ , in G such that  $G = \bigcup_{n=1}^{\infty} K_n$ ,  $K_n \subset \operatorname{int}(K_{n+1})$  and for any compact K in G,  $\exists n \in \mathbb{N} : K \in K_n$ .

П

 $D\mathring{u}kaz$ 

Set 
$$K_n := \{z \in G | \operatorname{dist}(z, \mathbb{C} \backslash G) \ge \frac{1}{n} \} \cap U(0, n).$$

Tvrzení 1.2

Let  $G \subset \mathbb{S}$  be open and  $M \subset G$  has no limit point in G. Then

- $G\backslash M$  is open:
- if K is a compact in G, then  $K \cap M$  is finite. In particular for  $G = \mathbb{S}$  we have M is finite;
- M is at most countable. If M is infinite, then  $\emptyset \neq M' \subset \partial G$ ;
- if  $G \subset \mathbb{C}$  is domain (connected), then  $G \setminus M$  is domain.

#### **Věta 1.3** (Uniqueness of meromorphic functions)

Let  $G \subset \mathbb{C}$  be a domain,  $f \in \mathcal{M}(G)$  and  $f \not\equiv 0$ . Then  $N_f := \{z \in G | f(z) = 0\}$  has no limit points in G.

Důkaz

We know this holds for holomorphic functions. Set  $G_0 := G \backslash P_f$ . Then  $G_0 \subset \mathbb{C}$  is also domain and  $f \in \mathcal{H}(G)$  and  $f \not\equiv 0$  on  $G_0$ . Then  $N_f \subset G_0$  has no limit points in  $G_0$ , nor in  $P_f$ .

## Věta 1.4 (Residue theorem)

Let  $G \subset \mathbb{C}$  be open,  $\varphi$  be a closed curve (or cycle) in G and

$$\operatorname{int} \varphi := \{ z_0 \in \mathbb{C} \setminus \langle \varphi \rangle \, | \operatorname{ind}_{\varphi} z_0 \neq 0 \} \subset G.$$

Let  $M \subset G \setminus \langle \varphi \rangle$  be finite and  $f \in \mathcal{H}(G \setminus M)$ . Then  $\int_{\varphi} f = 2\pi i \cdot \sum_{s \in M} \operatorname{ind}_{\varphi} s \cdot \operatorname{res}_{s} f$ .

Poznámka

This holds true even if instead of finiteness of M, we assume only that  $M \subset G \setminus \langle \varphi \rangle$  has no limit points in G. Indeed, we have  $M_0 = M \cap \operatorname{int} \varphi$  is finite, because  $\langle \varphi \rangle \cup \operatorname{int} \varphi$  is compact and  $G_0 := G \setminus (M \setminus M_0)$  is open and f is holomorphic on  $G_0 \setminus M_0$  and by R. theorem for  $G_0$  and  $M_0$  we get  $\int_{\varphi} f = 2\pi i \sum_{s \in M_0} \operatorname{res}_s f \cdot \operatorname{ind}_{\varphi} s$ .

# 1.1 Logarithmic integrals

## Definice 1.4 (Logarithmic integral)

Let  $\varphi : [a, b] \to \mathbb{C}$  be a (regular) curve and let f be a non-zero holomorphic function on  $\langle \varphi \rangle$ . Then we define logarithmic integrals integral as

$$I := \frac{1}{2\pi i} \int_{\varphi} \frac{f'}{f} = \frac{1}{2\pi i} \int_{a}^{b} \frac{f'(\varphi(t))\varphi'(t)}{f(\varphi(t))} dt = \frac{1}{2\pi i} \int_{a}^{b} \frac{(f(\varphi(t)))'}{f(\varphi(t))} dt = \frac{1}{2\pi i} \int_{f \circ \varphi} \frac{dz}{z} = \frac{1}{2\pi i} (\Phi(b) - \Phi(a)),$$

where  $\Phi$  is a branch (jednoznačná větev) of logarithm of  $f \circ \varphi$ . If  $\varphi$  is, in addition, closed, then  $I = \operatorname{ind}_{f \circ \varphi} 0 = \frac{1}{2\pi}(\Theta(b) - \Theta(a)) \in \mathbb{Z}$ , where  $\Theta$  is a branch of argument of  $f \circ \varphi$ .

 $(\frac{f'}{f})$  is called logarithmic derivative of f, because  $(\log f)' = \frac{f'}{f}$ .

# Věta 1.5 (Argument principle)

Let  $G \subseteq \mathbb{C}$  be a domain,  $\varphi$  be a closed curve in G and  $f \in \mathcal{M}(G)$ . Let  $\operatorname{int} \varphi \subset G$  and  $\langle \varphi \rangle \cap N_f = \emptyset$ ,  $\langle \varphi \rangle \cap P_f = \emptyset$ . Then

$$\frac{1}{2\pi i} \int_{\varphi} \frac{f'}{f} = \sum_{s \in \operatorname{int} \varphi, f(s) = 0} n_f(s) \cdot \operatorname{ind}_{\varphi} s - \sum_{s \in \operatorname{int} \varphi, f(s) = \infty} p_f(s) \cdot \operatorname{ind}_{\varphi} s,$$

where  $n_f(s)$  is multiplicity of the zero point s of f and  $p_f(s)$  is multiplicity of the pole s of f.

By Residua theorem, we have

$$\frac{1}{2\pi i} \int_{\varphi} \frac{f'}{f} = \sum_{s \in \operatorname{int} \varphi, s \in N_f \cup P_f} \operatorname{res}_s \left( \frac{f'}{f} \right) \cdot \operatorname{ind}_{\varphi} s.$$

If  $s \in N_f$  then on P(s):

$$\frac{f'(z)}{f(z)} = \frac{p \cdot c_p(z-s)^{p-1} + \dots}{c_p(z-s)^p + \dots} = \frac{p}{z-s} \cdot \frac{1+\dots}{1+\dots} \implies \operatorname{res}_s\left(\frac{f'}{f}\right) = p = n_f(s).$$

If  $s \in P_f$  then on P(s)

$$\frac{f'(z)}{f(z)} = \frac{p \cdot c_p(z-s)^{p-1} + \dots}{c_p(z-s)^p + \dots} = \frac{p}{z-s} \cdot \frac{1+\dots}{1+\dots} \implies \operatorname{res}_s\left(\frac{f'}{f}\right) = p = -p_f(s).$$

Definice 1.5

$$\Sigma(f,\varphi) := \sum_{s \in \operatorname{int} \varphi, f(s) = 0} n_f(s) \cdot \operatorname{ind}_\varphi s - \sum_{s \in \operatorname{int} \varphi, f(s) = \infty} p_f(s) \cdot \operatorname{ind}_\varphi s.$$

Lemma 1.6

Let  $\varphi_1, \varphi_2 : [a, b] \to \mathbb{C}$  be closed curve and  $s \in \mathbb{C} \setminus (\langle \varphi_1 \rangle \cup \langle \varphi_2 \rangle)$ . Assume, for  $t \in [a, b]$ ,  $|\varphi_1(t) - \varphi_2(t)| < |\varphi_1(t) - s|$ . Then  $\operatorname{ind}_{\varphi_1} s = \operatorname{ind}_{\varphi_2} s$ .

 $D\mathring{u}kaz$ 

For  $t \in [a, b]$ , we have  $|(\varphi_1(t) - s) - (\varphi_2(t) - s)| < |\varphi_1(t) - s|$ . Divide by  $|\varphi_1(t) - s|$ :

$$|1 - \psi(t)| < 1,$$
  $\psi(t) := \frac{\varphi_2(t) - s}{\varphi_1(t) - s}.$ 

Then  $\psi$  is a closed curve,  $\langle \psi \rangle \subset U(1,1)$ , and so

$$0 = \operatorname{ind}_{\psi} 0 = \frac{1}{2\pi i} \int_{a}^{b} \frac{\psi'}{\psi} = \frac{1}{2\pi i} \int_{a}^{b} \frac{\frac{\varphi'_{2}(\varphi_{1} - s) - \varphi'_{1}(\varphi_{2} - s)}{(\varphi_{1} - s)^{2}}}{\frac{\varphi_{2} - s}{\varphi_{1} - s}} = \frac{1}{2\pi i} \int_{a}^{b} \frac{\varphi'_{2}}{\varphi_{2} - s} - \frac{1}{2\pi i} \int_{a}^{b} \frac{\varphi'_{1}}{\varphi_{1} - s} = \operatorname{ind}_{\varphi_{2}} s - \operatorname{ind}_{\varphi_{1}} s.$$

Věta 1.7 (Rouché)

Let  $G \subset \mathbb{C}$  be a domain,  $f_1, f_2 \in \mathcal{M}(G)$  and  $\varphi$  be closed curve in G such that int  $\varphi \subset G$ . Assume  $\forall z \in \langle \varphi \rangle$ :

$$|f_1(z) - f_2(z)| < |f_1(z)| < +\infty$$

Then  $\Sigma(f_1, \varphi) = \Sigma(f_2, \varphi)$ .

Důkaz

Set  $\varphi_j = f_j \circ \varphi$ . Then

$$\operatorname{ind}_{\varphi_j} 0 = \frac{1}{2\pi i} \int_{\varphi} \frac{f'_j}{f_j} = \Sigma(f_j, \varphi).$$

By previous lemma we have for s = 0:  $\operatorname{ind}_{\varphi_1} 0 = \operatorname{ind}_{\varphi_2} 0$ .

Důsledek

Let  $f_1, f_2$  be holomorphic functions on  $\overline{U(z_0, r)}$  and  $\forall z \in \partial U(z_0, r) : |f_1(z) - f_2(z)| < |f_1(z)|$ . Then  $\Sigma_1 = \Sigma_2$ , where  $\Sigma_j := \sum_{s \in U(z_0, r), f(s) = 0} n_{f_j}(s)$ .

 $D\mathring{u}kaz$ 

Apply Rouché's theorem to  $\varphi(t) := z_0 + r \cdot e^{it}, t \in [0, 2\pi].$ 

Příklad

 $f_2 = p$ ,  $f_1(z) = a_0 z^n$  and big enough U(0, r).

## **Definice 1.6** (Notation)

Let f be a function holomorphic at  $z_0 \in \mathbb{C}$ . We say that  $f(z_0) = w_0 \in \mathbb{C}$  p times for  $p \in \mathbb{N}$  if  $z_0$  is a zero point of  $f - w_0$  of order p.

Poznámka

Following statements are equivalent to each other:

- $f(z_0) = w_0 p \text{ times};$
- $f(z_0) = w_0, f'(z_0) = 0 = \dots = f^{(p-1)}(z_0), f^{(p)}(z_0) \neq 0;$
- $f(z) = w_0 + \sum_{k=p}^{+\infty} c_k (z z_0)^k$  on some neighbourhood of  $z_0$  and  $c_p \neq 0$ .

We say that  $f(z_0) = \infty$  p times if  $z_0$  is a zero point of  $\frac{1}{f}$  of order p. (It's the same as  $z_0$  is pole of f of order p.) And we say that  $f(\infty) = w_0 \in \mathbb{S}$  p times if f(1/z) attains  $w_0$  p times at 0.

# Věta 1.8 (On a multiple value)

Let  $z_0, w_0 \in \mathbb{S}$ , f be a holomorphic function on a  $P(z_0)$  and  $f(z_0) = w_0$  p times for some  $p \in \mathbb{N}$ . Let  $\delta_0 > 0$ . Then there are  $\varepsilon > 0$  and  $\delta \in (0, \delta_0)$  such that, for any  $w \in P(w_0, \varepsilon)$  there are just p different points  $z_1, \ldots, z_p$  in  $P(z_0, \delta)$  with  $f(z_j) = w$ . In addition,  $f(z_j) = 0$  once.

WLOG, assume  $z_0 = 0 = w_0$ . Then  $z_0 = 0$  is a zero point of f of order p. Choose  $\delta \in (0, \delta_0)$  such that  $f \neq 0$  and  $f' \neq 0$  on  $P(0, 2\delta)$ . Set  $\varepsilon := \min_{|z| = \delta} |f(z)| > 0$ .

Let  $w \in P(0, \varepsilon)$ . Use Rouché's theorem for  $f_1 := f$ ,  $f_2 := f - w$  and  $\varphi := \delta e^{it}$ ,  $t \in [0, 2\pi]$ . Of course,  $|f_1 - f_2| = |w| < \varepsilon < |f_1|$  on  $\langle \varphi \rangle$ .

Since in  $U(0, \delta)$  the function  $f = f_1$  has the only zero point of order p at origin,  $f - w = f_2$  has just p simple zero points in  $P(0, \delta)$ .

#### Důsledek

Let  $G \subset \mathbb{S}$  be a domain,  $f \in \mathcal{M}(G)$  and f be not constant on G. Then  $f : G \to \mathbb{S}$  is an open map (for any open  $\Omega \subset G$ ,  $f(\Omega)$  is open).

Důkaz

Let  $\Omega \subset G$  be open and  $w_0 \in f(\Omega)$ . Then there is a  $z_0 \in \Omega$  and  $p \in \mathbb{N}$  such that  $f(z_0) = w_0$  p times. Choose  $\delta_0 > 0$  such that  $U(z_0, \delta_0) \subset \Omega$ . By the previous theorem, there is  $\varepsilon > 0$ ,  $\delta \in (0, \delta_0)$  such that  $P(w_0, \varepsilon) \subset f(P(z_0, \delta))$ , so  $U(w_0, \varepsilon) \subset f(U(z_0, \delta)) \subset f(\Omega)$ .

Poznámka

This is true for  $\mathcal{H}(G)$  too.

#### Důsledek

Let f be a function holomorphic at  $z_0 \in \mathbb{C}$ . Then  $f'(z_0) \neq 0$  if and only if there is  $U(z_0)$  such that  $f|_{U(z_0)}$  is one-to-one.

 $D\mathring{u}kaz$ 

"  $\Longrightarrow$  ": Let  $f'(z_0) \neq 0$ . Then  $f(z_0) = w_0$  once, so we choose  $\delta_0 > 0$  such that  $f \neq w_0$  on a  $P(z_0, \delta_0)$ . By the previous theorem choose  $\varepsilon > 0$ ,  $\delta \in (0, \delta_0)$ . Moreover, due to the continuity of f at  $z_0$  choose  $\delta_1 \in (0, \delta)$  such that  $f(U(z_0, \delta_1)) \subset U(w_0, \varepsilon)$ . Then  $f|_{U(z_0, \delta_1)}$  is one-to-one.

"  $\Leftarrow$  ": Let  $f'(z_0) = 0$  and let f be not constant on any neighbourhood of  $z_0$ . Then  $f(z_0) = w_0$  p times  $(p \in \mathbb{N} \setminus \{1\})$ . By the previous theorem f is not one-to-one on any neighbourhood of  $z_0$ .

# Věta 1.9 (On holomorphic inverse)

Let  $G \subset \mathbb{C}$  be open and  $f: G \to \mathbb{C}$  be a one-to-one holomorphic<sup>a</sup> function, then  $f' \neq 0$  on G,  $\Omega := f(G)$  is open and  $f_{-1}: \Omega \stackrel{onto}{\to} G$  is holomorphic.

In addition,  $(f_{-1})' = \frac{1}{f' \circ f_{-1}}$  on  $\Omega$ .

WLOG,  $G \subset \mathbb{C}$  is a domain. By first "dusledek" of previous theorem f is an open map, so  $\Omega := f(G)$  is open and  $f_{-1} : \Omega \to G$  is continuous. Let  $z_0 \in G$  and  $w_0 = f(z_0)$ . By second "dusledek" we have  $f'(z_0) \neq 0$ , and

$$\frac{1}{f'(z_0)} = \lim_{z \to z_0} \frac{z - z_0}{f(z) - f(z_0)} \stackrel{*}{=} \lim_{w \to w_0} \frac{f_{-1}(w) - f_{-1}(w_0)}{w - w_0} = f'_{-1}(w_0).$$

The equality \* follows from theorem on limits of composite functions because  $f_{-1}$  is continuous and  $f_{-1}(w) \neq f_{-1}(w_0)$  for  $w \neq w_0$ .

## Věta 1.10 (Hurwitz)

Let  $G \subset \mathbb{C}$  be a domain,  $f_n \in \mathcal{H}(G)$ ,  $f_n \stackrel{loc.}{\rightrightarrows} f$  on G and  $f \not\equiv 0$ . Let  $z_0 \in G$  be a zero point of f. Then  $\exists \{z_n\}_{n=1}^{\infty} \subset G$  and a subsequence  $\{f_{k_n}\}$  of  $\{f_n\}$  such that  $z_n \to 0$  and  $f_{k_n}(z_n) = 0$ .

Poznámka

Not true in  $\mathbb{R}$ ! The assumption  $f \not\equiv 0$  is important!  $(f_n(z) := z/n)$ 

Dusledek

Let  $G \subset \mathbb{C}$  be a domain,  $f_n$  be one-to-one holomorphic functions on G and  $f_n \stackrel{\text{loc}}{\rightrightarrows} f$  on G. Then f is either one-to-one and holomorphic, or constant.

Důkaz (Hurwitz theorem)

Choose  $\delta > 0$  such that  $U(z_0, \delta) \subset G$  and  $f \neq 0$  on  $P(z_0, \delta)$ . For  $n \in \mathbb{N}$  put  $\varrho_n := \frac{\delta}{n+1}$  and  $\varphi_n(t) := z_0 + \varrho_n e^{it}$ ,  $t \in [0, 2\pi]$ . Of course,  $\tau_n := \min_{\langle \varphi_n \rangle} |f| > 0$ . For a given n, there is (from uniformly convergence)  $k_n \in \mathbb{N}$  such that  $\forall z \in \langle \varphi_n \rangle : |f_{k_n}(z) - f(z)| < \tau_n \leq |f|$ .

By Rouché's theorem there is  $z_n \in U(z_0, \varrho_n)$  such that  $f_{k_n}(z_n) = 0$ . Of course, we can choose  $\{k_n\}$  to be increasing.

Důkaz (Corollary)

Assume that there is  $w_0 \in \mathbb{C}$  such that  $f \neq w_0$  but, for different  $z', z'' \in G$  we have  $f(z') = w_0 = f(z'')$ . WLOG  $w_0 = 0$ . Choose  $\delta > 0$  such that  $U(z', \delta) \cap U(z'', \delta) = \emptyset$ . By Hurwitz, there are  $\{z'_n\} \subset U(z', \delta)$  and  $\{f_{k'_n}\}$  of  $\{f_n\}$  such that  $z'_n \to z'$  and  $f_{k'_n}(z'_n) = 0$ . By Hurwitz, there are also  $\{z''_n\} \subset U(z'', \delta)$  and  $\{f_{k''_n}\} \subset \{f_{k'_n}\}$  such that  $z''_n \to z''$  and  $f_{k''_n}(z''_n) = 0$ .

Every  $f_{k_n''}$  has at least two different zero points which is contradiction.

<sup>&</sup>lt;sup>a</sup>One-to-one holomorphic function is sometimes called conformal.

## **Věta 1.11** (Mittag-Leffler)

Let  $\{s_j\} \subset \mathbb{C}$  be one-to-one,  $s_j \to \infty$  and  $s_0 := 0 < |s_1| \le |s_2| \le |s_3| \le \ldots \le |s_j| \le \ldots$ 

Let  $P_0, P_1, \ldots, P_j, \ldots$  be polynomials such that  $P_j(0) = 0$ . Then the function

$$f(z) := P_0\left(\frac{1}{z}\right) + \sum_{j=1}^{\infty} \left(P_j\left(\frac{1}{z - s_j}\right) - Q_j(z)\right)$$

for some polynomials  $Q_j$  satisfies:

- 1. series in definition converges locally uniformly on  $\mathbb{C}$ , i. e., on any compact  $K \subset \mathbb{C}$ , the series converges uniformly if we omit finitely many terms which have poles.
- 2.  $f \in \mathcal{M}(\mathbb{C})$  and f has poles just at  $s_0, s_1, \ldots, s_j, \ldots$ , while at  $s_j$  the function f has its principal part equal to  $P_j\left(\frac{1}{z-s_j}\right)$ .
- 3. If  $g \in \mathcal{M}(\mathbb{C})$  satisfies previous property, then there is  $h \in \mathcal{H}(\mathbb{C})$  such that g = f + h on G.

Důkaz

Let  $k \in \mathbb{N}$ . Then  $H_k(z) := P_k\left(\frac{1}{z-s_k}\right) \in \mathcal{H}(U(0,|s_k|)), H_k(z) = \sum_{n=0}^{\infty} c_n^k z^n \text{ for } |z| < |s_k|.$ There is  $n_k \in \mathbb{N}$  such that  $Q_k(z) = \sum_{n=1}^{n_k} c_n^k z^n$  satisfies  $|H_k(z) - Q_k(z)| < \frac{1}{2^k}, |z| \leqslant \frac{|s_k|}{2}$  (\*).

Let  $K \subset \mathbb{C}$  be a compact. Choose  $k_0 \in \mathbb{N}$  such that  $K \subset \overline{U(0, |s_{k_0}|/2)}$ . If  $k > k_0$ , (\*) holds on K which implies 1. obviously, 2. is valid.

3. follow from the fact that  $g - f \in \mathcal{M}(\mathbb{C})$  has all isolated singularities removable.  $\Box$ 

# 2 Zero points of holomorphic functions

#### Tvrzení 2.1

Let f be non-zero holomorphic function on a simply connected domain (G is domain, and  $\mathbb{S}\backslash G$  is connected)  $G\subset\mathbb{C}$ . Then there is  $L\in\mathcal{H}(G)$  such that  $f=e^L$  on G.

 $D\mathring{u}kaz$ 

- 1) Let  $L \in \mathcal{H}(G)$  and  $f = e^L$  on G. Then  $f' = L' \cdot e^L$  and f'/f = L'.
- 2) Since G is a simply connected domain and  $f'/f \in \mathcal{H}(G)$ , by Cauchy theorem, there is  $L_0 \in \mathcal{H}(G)$  such that  $L'_0 = f'/f$ .
- 3) On G we have  $(f \cdot e^{-L_0})' = e^{-L_0} \cdot (f' L'_0 \cdot f) = 0$  on G, hence  $f \cdot e^{-L_0} = e^c$  is constant, i. e.  $c \in \mathbb{C}$ . Put  $L := L_0 + c$ .

Poznámka

Polynomial  $f(z) = \prod_{j=1}^{n} (z - z_j)$  has zero points just at  $z_1, \ldots, z_n$  and their multiplicity corresponds to their occurrence.

Let  $g \in \mathcal{H}(\mathbb{C})$  have the same zero points including multiplicity as f. Then there is  $L \in \mathcal{H}(\mathbb{C})$  such that  $g = f \cdot e^L$  on  $\mathbb{C}$ . (Proof: use previous tyrzeni for g/f.)

Poznámka (Notation)

Let  $\{a_i\} \subset \mathbb{C}$ . Then we define

$$\prod_{j=1}^{\infty} a_j := \lim_{n \to \infty} \prod_{j=1}^{n} a_j,$$

if the limit on the right-hand side exists.

#### Tvrzení 2.2

Let  $0 \neq z_j \to \infty$  and  $k \in \mathbb{N}_0$  (multiplicity of 0 as zero point). Then consider

$$f(z) := z^k \prod_{j=1}^{\infty} \left(1 - \frac{z}{z_j}\right).$$

It sometimes converges and then f has zero points in  $z_j$  with right multiplicities.

## Věta 2.3 (On infinite product)

Let M be a set  $(in \mathbb{C})$ ,  $u_j : M \to \mathbb{C}$  be bounded and  $\sum_{j=1}^{\infty} |u_j|$  converges uniformly on M. Then  $p_n := \prod_{j=1}^n (1+u_j)$  converge uniformly to a function  $f : M \to \mathbb{C}$ , and it holds that  $f = \prod_{j=1}^{\infty} (1+u_{n(j)})$  on M, where n is bijection onto  $\mathbb{N}$ .

If  $z_0 \in M$ , then  $f(z_0) = 0$  if and only if  $u_{j_0}(z_0) = -1$  for some  $j_0 \in \mathbb{N}$ .

Důkaz

Denote  $p_n^* := \prod_{j=1}^n (1+|u_j|)$ . Then  $p_n^* \le \exp\left(\sum_{j=1}^n |u_j|\right)$  and  $|p_n-1| \le p_n^*-1$  (from  $1+x \le e^x$  and the second inequality by induction on n: n=1 yes,  $p_{n+1}-1=p_n(1+u_{n+1})-1=(p_n-1)\cdot(1+u_{n+1})+u_{n+1}$  so  $|p_{n+1}-1| \le (p_n^*-1)\cdot(1+|u_{n+1}|)+|u_{n+1}|=p_{n+1}^*-1$ ).

 $\sum_{j=1}^{\infty} |u_j|$  is bounded on M, because there is  $n_0 \in \mathbb{N}$  such that  $\sum_{j=n_0+1}^{\infty} |u_j| < 1$ . By inequalities there is  $C \in (0, +\infty)$  such that  $|p_n| \leq C \ \forall n \in \mathbb{N}$ .

Let  $0 < \varepsilon < \frac{1}{2}$ . Choose  $n_0 \in \mathbb{N}$  such that  $\sum_{n=n_0}^{\infty} |u_n| < \varepsilon$  on M. Let  $\{n_1, n_2, \ldots\}$  be a permutation of  $\mathbb{N}$  and  $q_m := \prod_{j=1}^m (1+u_{n_j}), m \in \mathbb{N}$ . Let  $n \ge n_0$  and  $m \in \mathbb{N}$  be such that  $\{n_1, \ldots, n_m\} \supseteq [n]$ . Then

$$|q_m - p_n| = |p_n \cdot \left( \prod_{n_j > n, j \in [m]} (1 + u_{n_j}) - 1 \right) \le |p_n| \left( \prod_{m} (1 + |u_{n_j}|) - 1 \right) \le$$

$$\le |p_n| \cdot \left( e^{\sum_{m} |u_{n_j}|} - 1 \right) \le |p_n| \cdot (e^{\varepsilon} - 1) \le |p_n| \cdot 2\varepsilon \le 2C\varepsilon.$$

If  $n_j = j \ \forall j \in \mathbb{N}$ , then  $q_m = p_m$  and we get  $\forall m > n : |q_m - p_n| < 2C\varepsilon$ , so  $p_n \rightrightarrows f$  on M. Moreover we have, for  $n \ge n_0$ ,  $|p_n - p_{n_0}| \le 2\varepsilon |p_{n_0}|$ , so  $|p_n| \ge |p_{n_0}| - |p_n - p_{n_0}| \ge (1 - 2\varepsilon)|p_{n_0}|$ . For  $n \to \infty$ :  $|f| \ge (1 - 2\varepsilon)|p_{n_0}|$ , hence  $f(z_0) = 0 \Leftrightarrow p_{n_0}(z_0) = 0$ .

If  $n_i$  is any, then  $q_m \rightrightarrows f$  on M.

Důsledek

Let  $G \subset \mathbb{C}$  be open,  $f_n \in \mathcal{H}(G)$  and  $f_n \not\equiv 0$  on any component of G. We assume  $\sum_{n=1}^{\infty} |1 - f_n|$  converges locally uniformly on G. Then  $f = \prod_{n=1}^{\infty} f_n$  converges locally uniformly on G,  $f \in \mathcal{H}(G)$  and the resulting infinite product f does not depend on the order of functions  $f_n$ . Moreover, we have

$$n_f(s) = \sum_{k=1}^{\infty} n_{f_k}(s), \qquad s \in G$$

where  $n_f(s)$  is multiplicity of a zero point s of f. Here we put  $n_f(s) = 0$  if  $f(s) \neq 0$ .

Poznámka

Moreover the ? in previous sum contains only finitely many non-zero terms for any  $s \in G$ .

 $D\mathring{u}kaz$ 

Sufficient to prove previous equality. Let  $s \in G$ . There is a neighbourhood V of s such that  $f_n \not\equiv 1$  on V. Choose  $n_0 \in \mathbb{N}$  such that  $f_n \neq 0$  on V for  $n > n_0$ . By previous theorem, we get  $\prod_{n=n_0+1}^{\infty} f_n \neq 0$  on V. Since  $f = (\prod_{n=1}^{n_0} f_n) \cdot (\prod_{n=n_0+1}^{\infty} f_n)$  we get  $n_f(s) = \sum_{k=1}^{n_0} n_{f_k}(s) = \sum_{k=1}^{\infty} n_{f_k}(s)$ .

Příklad (Homework)

Under the assumption of previous corollary prove that

$$\frac{f'}{f} = \sum_{n=1}^{\infty} \frac{f'_n}{f_n}$$
 on  $G \setminus N_f$ .

Například (Euler formula)

$$\sin(\pi z) = \pi z \cdot \prod_{k=1}^{\infty} \left( 1 - \frac{z^2}{k^2} \right).$$

## Lemma 2.4 (Weierstrass's factor)

Let  $E_0(z) := (1-z)$  and  $E_m(z) := (1-z) \cdot e^{z+...+\frac{z^m}{m}}, z \in \mathbb{C}, m \in \mathbb{N}$ . Then  $|1-E_m(z)| \le |z|^{m+1}, |z| \le 1$ .

Důkaz

$$E'_{m}(z) = e^{z + \dots + \frac{z^{m}}{m}} \cdot (-1 + (1 - z) \cdot (1 + \dots + z^{m})) = -z^{m} \cdot e^{z + \dots + \frac{z^{m}}{m}} = -z^{m} \cdot \sum_{k=0}^{\infty} b_{k} z^{k},$$

where  $b_0 = 1$ ,  $b_k \ge 0$ ,  $k \in \mathbb{N}$ . Hence

$$E_m(0) - E_m(z) = 1 - E_m(z) = -\int_{[0,z]} E'_m(w)dw = +\sum_{k=0}^{\infty} c_k z^{k+m+1}$$

with  $c_k = \frac{b_k}{m+k+1} \geqslant 0$ .

By this, if  $|z| \le 1$ ,  $z \ne 0$ , then  $\left| \frac{1 - E_m(z)}{z^m} \right| \le \sum_{k=0}^{\infty} c_k = 1 - E_m(1) = 1$ .

# **Věta 2.5** (Weierstrass factorization in $\mathbb{C}$ )

Let  $k \in \mathbb{N}_0$  and  $0 \neq z_i \to \infty$ . Then there is  $\{m_i\} \subset \mathbb{N}_0$  such that

$$f(z) = z^k \cdot \prod_{j=1}^{\infty} E_{m_j} \left(\frac{z}{z_j}\right)$$

converges locally uniformly on  $\mathbb{C}$ ,  $f \in \mathcal{H}(\mathbb{C})$  and f has at 0 zero point of multiplicity K and 'non-zero' zero points just at  $z_1, z_2, \ldots, z_j, \ldots$ , and their multiplicity corresponds to their occurrence in  $\{z_j\}$ . We can always take  $m_j := j-1, j \in \mathbb{N}$ .

If  $g \in \mathcal{H}(\mathbb{C})$  has the same zero points as f including multiplicities, then there is  $L \in \mathcal{H}(\mathbb{C})$  such that  $g = f \cdot e^L$  on  $\mathbb{C}$ .

By the previous corollary, we know the product converges locally uniformly in  $\mathbb C$  if

$$\sum_{j=1}^{\infty} \left| 1 - E_{m_j} \left( \frac{z}{z_j} \right) \right|$$

converges locally uniformly on  $\mathbb{C}$ . By lemma, this is true if  $\sum_{j=1}^{\infty} \left| \frac{z}{z_j} \right|^{m_j+1}$  converges locally uniformly on  $\mathbb{C}$ .

Let r > 0 and  $|z| \le r$ . Choose  $j_0 \in \mathbb{N}$  such that  $\frac{r}{|z_j|} < \frac{1}{2}$  for  $j \ge j_0$ . If  $m_j := j - 1$ , then  $\left| \frac{z}{z_j} \right|^j \le \frac{1}{2^j}, \ j \ge j_0$  and  $|z| \le r$ . So, for  $m_j := j - 1$ , sum converges uniformly on  $|z| \le r$ .

Poznámka

If  $\sum_{j=1}^{\infty} \frac{1}{|z_j|} < +\infty$ , take  $m_j = 0$ . If  $\sum_{j=1}^{\infty} \frac{1}{|z_j|^2} < +\infty$ , take  $m_j = 1$ . Etc.

#### Věta 2.6 (Weierstrass factorization in a general open set)

Let  $G \subsetneq \mathbb{S}$  be open,  $N \subset G$  have no limit points in G and  $n : N \to \mathbb{N}$ . Then there is  $f \in \mathcal{H}(G)$  such that  $N_f = N$  and  $n_f(s) = n(s)$ ,  $s \in N_f$ .

 $D\mathring{u}kaz$ 

WLOG  $\infty \in G \setminus N$ . Then  $K := \mathbb{S} \setminus G = \mathbb{C} \setminus G$  is compact in  $\mathbb{C}$ . For a finite N it is obvious. Assume that N is (infinite) countable. We put points of N into the sequence  $s_1, s_2, \ldots, s_n$  such that any  $s \in N$  occurs in  $\{s_n\}$  just n(s) times. For any n, take  $t_n \in K$  such that  $|s_n - t_n| = \operatorname{dist}(s_n, K), n \in \mathbb{N}$ .

Then  $|s_n - t_n| \to 0$ ": Let  $\varepsilon > 0$  and  $\{n_k\} \subset \mathbb{N}$  such that  $|s_{n_k} - t_{n_k}| \ge \varepsilon$ , i. e.,  $\mathrm{dist}(s_{n_k}, K) \ge \varepsilon$ . If  $s_{\infty}$  is a limit point of  $s_{n_k}$ , then  $\mathrm{dist}(s_{\infty}, K) \ge \varepsilon$ . Hence  $s_{\infty} \in G$ , a contradiction.

Put  $f(z) := \prod_{n=1}^{\infty} E_n\left(\frac{s_n - t_n}{z - t_n}\right)$ ,  $z \in G$ . The infinite product converges locally uniformly on G. In fact, let L be a compact in G. Put  $r_n := 2 \cdot |s_n - t_n|$ . Since  $\operatorname{dist}(L, K) > 0$ , there is  $n_0 \in \mathbb{N}$  such that  $|z - t_n| > r_n$ ,  $\forall z \in L$ ,  $\forall n \geq n_0$ . So

$$\left| \frac{s_n - t_n}{z - t_n} \right| < \frac{1}{2} \qquad \forall z \in L \ \forall n \geqslant n_0.$$

By lemma on Weierstrass factors, we get

$$\left|1 - E_n\left(\frac{s_n - t_n}{z - t_n}\right)\right| < \frac{1}{2^n} \quad \forall z \in L \ \forall n \geqslant n_0.$$

Now use theorem on infinite product.

#### Lemma 2.7

If  $G \subseteq \mathbb{C}$  is open and  $f \in \mathcal{M}(G)$ , then there are  $g, h \in \mathcal{H}(G)$  such that  $f = \frac{g}{h}$  on G.

 $D\mathring{u}kaz$ 

Let  $P_f$  be the set of poles of f. By Weierstrass factorization, we construct  $h \in \mathcal{H}(G)$  such that  $N_h = P_f$  and  $n_h = p_f$  on  $P_f$ . Put  $g := f \cdot h$ . Then  $g \in \mathcal{H}(G)$  because at the points of  $P_f$  g has a removable singularities.

# 3 The space H(G)

Poznámka (Arzela–Ascoli theorem)

Let  $\mathcal{F} \subset \mathcal{C}(K)$  and let the functions of  $\mathcal{F}$  be equibounded (i.e.  $\exists M \in (0, +\infty) \ \forall f \in \mathcal{F} : |f| \leq M$  on K) and equicontinuous (i.e.  $\forall \varepsilon > 0 \ \exists \delta > 0 \ \forall f \in \mathcal{F} \ \forall x, y \in K : \varrho(x, y) < \delta \Longrightarrow |f(x) - f(y)| < \varepsilon$ , where  $\varrho$  is metric on K). Then every  $\{f_n\} \subset \mathcal{F}$  has  $\{f_{n_k}\}$  which is uniformly convergent on K.

# 3.1 The space C(G)

## Definice 3.1

Let  $G \subseteq \mathbb{C}$ , then  $\mathcal{C}(G) := \{ f : G \to \mathbb{C} | f \text{ continuous} \}.$ 

#### Tvrzení 3.1

For  $f_n, f \in \mathcal{C}(G)$  and  $K_m$  compact in G such that  $\bigcup_{m=1}^{\infty} K_m = G$  and  $\forall m \in \mathbb{N} : K_m \subseteq \operatorname{int} K_{m+1}$ , TSAE:

- $f_n \stackrel{loc.}{\Rightarrow} on G$ ;
- for any compact K in G,  $||f_n f|| \to 0$ , where  $||f||_K := \sup_K |f|$  is a seminorm on  $\mathcal{C}(G)$ ;
- $\forall m \in \mathbb{N} : ||f_n f||_{K_m} \to 0 \text{ for } u \to \infty;$
- $\varrho(f_n, f) \to 0$ , where  $\varrho(f_n, f) := \sum_{m=1}^{\infty} \frac{1}{2^m} \cdot \frac{\|f_n f\|_{K_m}}{1 + \|f_n f\|_{K_m}}$ .

 $D\mathring{u}kaz$ 

 $",1\Leftrightarrow 2 \implies 3"$  is obvious.  $",2 \iff 3"$ : Let K be a compact in G. Then  $K \subset K_{m_0}$  for come  $m_0 \in \mathbb{N}$ . Then  $\|f_n - f\|_{K} \leqslant \|f_n - f\|_{K_{m_0}}$ .  $",3 \Leftrightarrow 4"$  homework.

Poznámka

 $(\mathcal{C}(G), \varrho)$ , where  $\varrho$  is defined in previous tyrzeni, is complete metric space and  $\mathcal{H}(G)$  is closed subspace.

 $\varrho$  is not canonical, it depends on the choice of  $\{K_m\}$ .

The convergence / the topology on  $\mathcal{C}(G)$  is given by the system of seminorms  $\|\cdot\|_K$  for any compact K in G.

## Věta 3.2 (Moore-Osgood, Montöl)

Let  $G \subset \mathbb{C}$  be open and let  $\{f_n\} \subset \mathcal{H}(G)$  be locally equibounded (i.e. on every compact K in  $G \{f_n\}$  is equibounded). Then there is  $\{f_{n_k}\}$  which converges locally uniformly on G.

Důkaz

First step: Let  $\overline{U(z_0,2r)} \subset G$  and  $\varphi(t) := z_0 + 2re^{it}$ ,  $t \in [0,2\pi]$ . Let  $z_1, z_2 \in \overline{U(z_0,r)}$ . Then by the Cauchy formula we get  $f_n(z_j) = \frac{1}{2\pi i} \int_{\varphi} \frac{f_n(z)}{z-z_j} dz$ . There is  $M \in (0,+\infty)$  such that  $\forall n \in \mathbb{N} \mid f_n \mid \leq M$  on  $\langle \varphi \rangle$ . Then we have

$$|f_n(z_1) - f_n(z_2)| = \frac{1}{2\pi} \left| \int_{\varphi} f_n(z) \cdot \left( \frac{1}{z - z_1} - \frac{1}{z - z_2} \right) dz \right| \le$$

$$\le \frac{2\pi \cdot 2r}{2\pi} \cdot M \cdot \frac{|z_1 - z_2|}{r^2}$$

$$\left( \left| \frac{1}{z - z_1} - \frac{1}{z - z_2} \right| = \left| \frac{z_1 - z_2}{(z - z_1) \cdot (z - z_2)} \right| \le \frac{|z_1 - z_2|}{r^2} \right).$$

By this  $\{f_n\}$  are equicontinuous on  $\overline{U(z_0,r)}$ , and by Arzela–Ascoli, there is  $\{f_{n_k}\}$  which is uniformly convergent on  $\overline{U(z_0,r)}$ .

Second step: Let us cover the set G by  $U_j = U(z_j, r_j)$ ,  $j \in \mathbb{N}$ , such that  $\overline{U(z_j, 2r_j)} \subset G$ . Then use a diagonal choice: 1. By first step choose  $\{f_{n_k^1}\}$  of  $\{f_n\}$  such that  $\{f_{n_k^1}\}$  converges uniformly on  $\overline{U_1}$ . 2. By first step choose  $\{f_{n_k^2}\}$  subsequence of  $\{f_{n_k^1}\}$  such that  $\{f_{n_k^2}\}$  converges uniformly on  $\overline{U_2}$  and so on.

Then  $\left\{f_{n_k^k}\right\}_{k=1}^{\infty}$  converges uniformly on any  $\overline{U_j}$ , i.e., locally uniformly on G.

#### Definice 3.2

Let E be a (complex) linear space and let  $\mathcal{P}$  be a system of seminorms on E. Then  $(E, \mathcal{P})$  is called locally convex space (LCS). In  $(E, \mathcal{P})$  we define:

- convergence:  $f_n \to f \Leftrightarrow \forall p \in \mathcal{P} : p(f_n f) \to 0$ ;
- topology  $\tau$  is the weakest topology on E for which all  $p \in \mathcal{P}$  are continuous;

•  $\mathcal{F} \subset E$  is bounded if  $\mathcal{F}$  is bounded with respect to any  $p \in \mathcal{P}$ , i.e.,

$$\forall p \in \mathcal{P} \ \exists C \in (0, +\infty) : p(f) \leqslant C \ \forall f \in \mathcal{F};$$

• the dual space to  $(E, \mathbb{P})$  is defined as

$$E^* := \{L : E \to \mathbb{C} | L \text{ linear and continuous} \}.$$

#### Poznámka

 $\mathcal{C}(G)$  is the so-called Fréchet space, i.e., completely metrizable LCS. So is  $\mathcal{H}(G)$  because  $\mathcal{H}(G)$  is closed subspace of  $\mathcal{C}(G)$ .

Topology  $\tau$  on  $\mathcal{C}(G)$  is generated by the system of seminorms

$$\mathcal{P} := \{ \| \cdot \|_K | K \text{ is compact in } G \}.$$

 $U \subset \mathcal{C}(G)$  is neighbourhood of  $f \in \mathcal{C}(G)$  iff there are a compact  $K \in G$  and  $\varepsilon > 0$  such that

$$U\supset U_{K,\varepsilon}(f):=\{g\in\mathcal{C}(G)|\|g-f\|_K<\varepsilon\}.$$

Důkaz

 $, \Leftarrow$ ": obvious.  $, \Longrightarrow$ ": There are  $m \in \mathbb{N}$ , compact,  $K_1, \ldots, K_m$  in G and  $\varepsilon_1, \ldots, \varepsilon_m > 0$  such that

$$U \supset \bigcap_{j=1}^{m} U_{K_j,\varepsilon_j}(f) \supset U_{K,\varepsilon}(f),$$

where  $K := K_1 \cup \ldots \cup K_m$  and  $\varepsilon := \min \{\varepsilon_1, \ldots, \varepsilon_m\} > 0$ .

Poznámka

Let  $X = \mathcal{H}(G)$ . Then in the sense of (LCS)  $\mathcal{F} \subset \mathcal{H}(G)$  is bounded iff in the functions of  $\mathcal{F}$  are locally equibounded on G. By the Montal theorem, we get  $\overline{\mathcal{F}}$  is a compact in  $\mathcal{H}(G)$ . Easily we get that  $\mathcal{F} \subset X$  is compact iff  $\mathcal{F}$  is closed and bounded in X.

# 4 The dual space $\mathcal{H}^*(G)$

Poznámka

1. Let 
$$G = \mathbb{D} := \{z \in \mathbb{C} | |z| < 1\}$$
. Let  $L \in \mathcal{H}^*(\mathbb{D})$ . Let  $f \in \mathcal{H}(\mathbb{D})$ ,  $f(z) = \sum_{n=0}^{\infty} a_n z^n$ ,  $z \in \mathbb{D}$ , and  $R := \frac{1}{\lim \sup_{n \to +\infty} \sqrt[n]{|a_n|}} \geqslant 1$ . Then

$$L(f) = L(\sum_{n=0}^{\infty} a_n z^n) = L\left(\lim_{n \to \infty} \sum_{k=0}^{n} a_k z^k\right) = \lim_{n \to \infty} \sum_{k=0}^{n} a_k L(z^k) = \sum_{n=0}^{\infty} a_n \cdot b_n,$$

where  $b_n := L(z^n) \in \mathbb{C}$ . We show  $r := \limsup_{n \to \infty} \sqrt[n]{|b_n|} < 1$ :

If r > 1, then for  $a_n := 1$ ,  $n \in \mathbb{N}_0$ , we get  $\sum_{n=0}^{\infty} a_n \cdot b_n$  is divergent. If r = 1, then there is  $\{n_k\}$  such that such that  $0 \neq \sqrt[n_k]{|b_{n_k}|} \to 1$ . Putting  $a_n = \frac{1}{b_{n_k}}$ ,  $n = n_k$ , we get  $\sum_{n=0}^{\infty} a_n b_n$  is divergent.

Conclusion:  $L \in \mathcal{H}^*(\mathbb{D})$  iff there is a unique  $\{b_n\} \subset \mathbb{C}$  such that  $\limsup_{n\to\infty} \sqrt[n]{|b_n|} < 1$  and  $L(f) = \sum_{n=0}^{\infty} a_n b_n$  for  $f(z) = \sum_{n=0}^{\infty} a_n z^n \in \mathcal{H}(\mathbb{D})$ . In addition,  $b_n = L(z^n)$ ,  $n \in \mathbb{N}_0$ . ( $\iff$  obvious, HW.)

Poznámka (Integral form of L)

Let  $\{b_n\} \subset \mathbb{C}$  and  $r := \limsup_{n \to \infty} \sqrt[n]{|b_n|} < 1$ . Define

$$\lambda(z) := \sum_{n=0}^{\infty} \frac{b_n}{z^{n+1}}, \qquad |z| > r.$$

Of course,  $\lambda \in \mathcal{H}(\mathbb{S}\backslash \overline{U(0,r)})$ ,  $\lambda(\infty) = 0$  and  $b_n = \frac{\lambda^{(n+1)}(\infty)}{(n+1)!}$ ,  $n \in \mathbb{N}_0$ . Here  $\lambda^{(k)}(\infty) := (\lambda(\frac{1}{z}))^{(k)}(0)$ .

Let  $R \in (r,1)$  and  $\varphi(t) := Re^{it}$ ,  $t \in [0,2\pi]$ . Let  $f \in \mathcal{H}(\mathbb{D})$  and  $f(z) = \sum_{n=0}^{\infty} a_n z^n$ ,  $z \in \mathbb{D}$ . Then

$$\begin{split} \frac{1}{2\pi i} \int_{\varphi} f(z) \cdot \lambda(z) dz &= \frac{1}{2\pi i} \int_{\varphi} \left( \sum_{n=0}^{\infty} a_n \cdot z^n \right) \cdot \left( \sum_{m=0}^{\infty} \frac{b_m}{z^{m+1}} \right) dz = \\ &= \frac{1}{2\pi i} \int_{\varphi} \sum_{n,m=0}^{\infty} a_n b_m z^{n-m-1} dz = \sum_{n,m=0}^{\infty} a_n \cdot b_m \cdot \frac{1}{2\pi i} \int_{\varphi} z^{n-m-1} dz = \sum_{n=0}^{\infty} a_n \cdot b_n = L(f). \end{split}$$

# Definice 4.1 (Notation)

Let  $A \subset \mathbb{S}$ . Then a function f is holomorphic on A if f is holomorphic on some open superset  $U \supset A$ . Let  $f_1, f_2$  be holomorphic function on A. We say that  $f_1 \sim f_2$  if there are open  $U_1, U_2 \subset \mathbb{S}$  such that  $A \subset U_1 \cap U_2$ ,  $f_1 \in \mathcal{H}(U_1)$ ,  $f_2 \in \mathcal{H}(U_2)$  and  $f_1 = f_2$  on  $U_1 \cap U_2$ . Denote  $\mathcal{H}(A) := \{[f] | f \text{ is holomorphic on } A\}$ , where [f] is an equivalence class for  $\sim$ . As usual, we do not often distinguish between [f] and f.

We have that  $\lambda \in \mathcal{H}_0(\mathbb{S}\backslash\mathbb{D}) := \{\mu \in \mathcal{H}(\mathbb{S}\backslash\mathbb{D}) | \mu(\infty) = 0\}$ . Moreover, we have

$$(*)L(f) = \frac{1}{2\pi i} \int_{\varphi} f(z) \cdot \lambda(z) dz, \qquad f \in \mathcal{H}(\mathbb{D});$$

$$L(z^{n}) = \frac{\lambda^{(n+1)}(\infty)}{(n+1)!}, \qquad n \in \mathbb{N}_{0};$$

$$\lambda(w) = L\left(\frac{1}{w-z}\right), \qquad |w| \geqslant 1.$$

Důkaz

In fact, we have

$$L\left(\frac{1}{w-z}\right) = L\left(\sum_{n=0}^{\infty} \frac{z^n}{w^{n+1}}\right) = \sum_{n=0}^{\infty} \frac{b_n}{w^{n+1}} = \lambda(w),$$

because  $\frac{1}{w-z} = \frac{1}{w} \cdot \frac{1}{1-\frac{1}{w}} = \sum_{n=0}^{\infty} \frac{z^n}{w^{n+1}}, z \in \mathbb{D}.$ 

Poznámka (Conclusion)

$$\mathcal{H}^*(\mathbb{D}) = \mathcal{H}_0(\mathbb{S}\backslash\mathbb{D}).$$

In particular,  $L \in \mathcal{H}^*(\mathbb{D})$  iff there is a unique  $\lambda \in \mathcal{H}_0(\mathbb{S}\backslash\mathbb{D})$  such that (\*) hold true.

Příklad (Birkhoff)

There is a universal entire function, i.e.,  $f \in \mathcal{H}(\mathbb{C})$  such that  $\overline{\{\tau_{\gamma}(f)|\gamma \in \mathbb{C}\}} = \mathcal{H}(\mathbb{C})$ , where  $\tau_{\gamma}(f) := f(z - \gamma), z, \gamma \in \mathbb{C}$ .

Řešení

HW.

Poznámka

2. Let  $G = \bigcup_{j=1}^n D_j$  with  $D_j = U(z_j, r_j)$  and  $D_j \cap D_k = \emptyset$  for j = k.

Let  $L \in \mathcal{H}^*(G)$ . For  $j \in [n]$ , put  $L_j(d) := L(\tilde{f})$  for  $f \in \mathcal{H}(D_j)$  and  $\tilde{f} := f$  on  $D_j$  and  $\tilde{f} := 0$  on  $D_k$ ,  $k \neq j$ . Then

$$L(f) = \sum_{j=1}^{n} L_j(f|_{D_j}), \qquad f \in \mathcal{H}(G).$$

By 1., for each  $j \in [n]$ , there are  $\tilde{r}_j \in (0, r_j)$  and  $\lambda_j \in \mathcal{H}_0(\mathbb{S} \setminus \overline{U(z_j, \tilde{r}_j)})$  such that

$$L_j(f) = \frac{1}{2\pi i} \int_{\varphi_j} f(z) \cdot \lambda_j(z) dz, \qquad f \in \mathcal{H}(D_j),$$

where  $\varphi_j(t) := z_j + R_j e^{it}$ ,  $t \in [0, 2\pi]$  for some  $R_j \in (\tilde{r}_j, r_j)$ .

In addition, we have

$$L_j(z^n) = \frac{\lambda^{(n+1)}(\infty)}{(n+1)!}, \qquad n \in \mathbb{N}_0.$$

If  $f \in \mathcal{H}(G)$ , then  $L(f) = \sum_{j=1}^{n} \frac{1}{2\pi i} \int_{\varphi_j} f(z) \cdot \lambda_j(z) dz$ .

$$\stackrel{?}{\Longrightarrow} L(f) = \frac{1}{2\pi i} \int_{\Gamma} f(z) \cdot \lambda(z) dz$$
, where  $\Gamma := \{\varphi_1, \dots, \varphi_n\}$  and  $\lambda := \sum_{j=1}^n \lambda_j$ .

? holds true because  $\int_{\varphi_j} f(z) \cdot \lambda_k(z) dz = 0$  for  $k \neq j$  by Cauchy  $(f(z) \cdot \lambda_k(z) \in \mathcal{H}(D_j))$ .

We have  $L(z^n) = \frac{\lambda^{(n+1)}(\infty)}{(n+1)!}, n \in \mathbb{N}_0.$ 

Poznámka (Conclusion)

 $(G = \bigcup_{j=0}^{n} D_{j})$   $\mathcal{H}^{*}(G) = \mathcal{H}_{0}(\mathbb{S}\backslash G)$ . Indeed,  $L \in \mathcal{H}^{*}(G)$  iff there is a unique  $\lambda \in \mathcal{H}_{0}(\mathbb{S}\backslash G)$  such that last 2 equation hold true.

# 5 Hahn-Banach theorem

#### Lemma 5.1

Let  $L: E \to \mathbb{C}$  be linear. Then  $L \in E^*$  iff there is a compact K in G and  $M \in [0, +\infty)$  such that  $|L(f)| \leq M \cdot ||f||_K$ ,  $f \in E$ .

 $D\mathring{u}kaz$ 

 $\mathbb{C}$  "from continuity of  $\|\cdot\|_{K}$ .  $\mathbb{C}$  ": Since  $U := L^{-1}(\mathbb{D})$  is a neighbourhood of  $\mathbf{o}$  in E, there are a compact K in G and  $\varepsilon > 0$  such that  $U \supseteq U_{K,\varepsilon}(0) := \{f \in E | \|f\|_{K} < \varepsilon\}$ . Let  $f \in E$ .

1. Let  $||f||_K \neq 0$ . Then

$$\left| L\left( \frac{f}{\|f\|_K} \cdot \frac{\varepsilon}{2} \right) \right| < 1,$$

hence  $|L(f)| < \frac{2}{\varepsilon} ||f||_K$ . Put  $M := \frac{2}{\varepsilon}$ .

2. Let  $||f||_K = 0$ . Then for any  $n \in \mathbb{N}$ , we have  $||nf||_K = 0$ , so  $|L(n \cdot f)| < 1$ ,  $|L(f)| < \frac{1}{n} \to 0$ , L(f) = 0.

# Věta 5.2 (Hahn–Banach)

Let A be a linear subspace of E. Then

- if  $L \in A^*$ , then there is  $\tilde{L} \in E^*$  such that  $\tilde{L}|_A = L$ ;
- if A is closed and  $0 \neq b \in E \setminus A$ , then there is  $L \in E^*$  such that L(b) = 1 and L = 0 on A;
- $\overline{A} = E$  iff  $(L \in E^*, L = 0 \text{ on } A \implies L = 0 \text{ on } E)$ .

"1." Use lemma and algebraic version of HB theorem.

,2. + 3." can be proved as for Banach space.

## Věta 5.3 (Runge (special))

Let  $G \subset \mathbb{C}$  be a finite union of pairwise open discs as in above "poznamka"s. Then for each  $f \in \mathcal{H}(G)$  there are polynomials  $P_n$ ,  $n \in \mathbb{N}$ , such that  $P_n \stackrel{loc.}{\Rightarrow} f$  on G.

 $D\mathring{u}kaz$ 

Let  $\mathcal{P} := \text{LO}\{1, z, \ldots\}$  be the space of polynomials. Then  $\mathcal{P} \subset \mathcal{H}(G)$ . Let  $L \in \mathcal{H}^*(G)$  and L = 0 on  $\mathcal{P}$ . We know that there is  $\lambda \in \mathcal{H}_0(\mathbb{S}\backslash G)$  such that ? is valid. So,  $\lambda^{(n)}(\infty) = 0$ ,  $n \in \mathbb{N}_0$ . By the uniqueness theorem, we get  $\lambda \equiv 0$ , so L = 0 on  $\mathcal{H}(G)$  (because L = 0 fits and is uniquely determined by  $\lambda$ ). By HB theorem,  $\overline{\mathcal{P}} = \mathcal{H}(G)$ .

## Věta 5.4 (Cauchy formula for compact)

Let  $G \subset \mathbb{C}$  be open,  $K \subset G$  compact. Then there is a cycle  $\Gamma \subset G$ ,  $K \subseteq \operatorname{int} \Gamma \subseteq G$  and  $\forall a \in \operatorname{int} \Gamma : \operatorname{ind}_{\Gamma} a = 1$ .

In addition

$$\forall f \in \mathcal{H}(G) : \int_{\Gamma} f = 0 \land \forall a \in \operatorname{int} \Gamma : f(a) = \frac{1}{2\pi i} \int_{\Gamma} \frac{f(z)}{z - a} dz.$$

Poznámka

"In addition" follows from the properties of  $\Gamma$  and residue's theorem for cycles, but we prove it directly.

Důkaz (Cauchy formula for compact)

Choose  $0 < \delta < \frac{1}{2} \operatorname{dist}(K, \mathbb{C}\backslash G)$ , if  $G \subsetneq \mathbb{C}$ , otherwise, if  $G = \mathbb{C}$ , take  $\delta := 1$ . For  $m, n \in \mathbb{Z}$  let  $Q_{m,n}$  be the closed square with edges (parallel to the axes) with length  $\delta$ , and such that  $m\delta + in\delta$  is the lower left vertex of  $Q_{m,n}$ .

Denote  $Q^* := \{Q_{n,m} | Q_{n,m} \cap K \neq \emptyset\}, \ U := \int (\bigcup Q^*). \ Q^*$  is finite because of compactness of K. Of course,  $K \subseteq U \subseteq \bigcup Q^* \subseteq G$  (by choice of  $\delta$ ).

We understand  $\partial Q_{m,n}$  as a positively oriented curve (piece-wise linear curve). Let  $\Gamma$  be the system of all edges  $\Gamma_1, \ldots, \Gamma_k$  of squares of  $Q^*$  when we omit those edges which occur twice  $(\pm)$ . Of course,  $U = \bigcup Q^* \setminus \operatorname{Im} \Gamma$ .

a) Let 
$$f \in \mathcal{H}(G)$$
. Then  $\int_{\Gamma} f := \sum_{j=1}^k \int_{\Gamma_j} f = \sum_{Q_{m,n} \subseteq Q^*} \int_{\partial Q_{m,n} f} = 0$ .

b)  $\Gamma$  can be viewed as a cycle. In fact the edges  $\Gamma_1, \ldots, \Gamma_k$  form finitely many closed simple piece-wise linear curves.

For  $j \in [k]$  put  $\Gamma_j =: [a_j, b_j]$ .

(\*) "Every point  $c \in \mathbb{C}$  is the starting point of some edge of  $\Gamma$  as many times as it is the ending point of some edge in  $\Gamma$ ":

Take a polynomial P such that p(c) = 1 and p(a) = 0, if  $a \neq c$  and  $[a, b] \in \Gamma$  for some b. p(b) = 0, if  $b \neq c$  and  $[a, b] \in \Gamma$  for some a. By a):

$$0 = \int_{\Gamma} p' = \sum_{j=1}^{k} \int_{\Gamma_j} p' = \sum_{j=1}^{k} (p(b_j) - p(a_j)) = \sum_{j=1}^{k} p(b_1) - \sum_{j=1}^{k} p(a_j) =$$

= # c is the ending point - # c is the starting point.

" $\Gamma$  can be viewed as a cycle": Let L be longest (one of the longest) simple piecewise linear curve consisting of edges of  $\Gamma$  which begins with  $\Gamma$ 1, i. e.,

- $L = [c_1, c_2, \dots, c_l] := [c_1, c_2] + [c_2, c_3] + \dots + [c_{l-1}, c_l];$
- $\Gamma 1 = [c_1, c_2];$
- $c_i \neq c_j$  for  $i \neq j$  (simple curve);
- *l* is the biggest.

Since we have (\*) there is an index  $j \in [l-2]$  such that  $[c_l, c_j] \in \Gamma$  (otherwise we would have a longer curve).

$$L' := [c_j, c_{j+1}] + \ldots + [c_{l-2}, c_l] + [c_l, c_j] \subseteq L$$

 $\Longrightarrow L'$  is simple closed piece-wise linear curve. The proper subset  $\Gamma'$ , which we get from  $\Gamma_k$  by omitting the edges of L' has again (\*). We can process in this fashion for  $\Gamma'$ , by finitely many steps we get what we want.

c) Let  $f \in \mathcal{H}(G)$  and  $a \in U = \operatorname{int}(\bigcup Q^*)$ . c1)  $a \in \operatorname{int}(\tilde{Q})$  for some  $\tilde{Q} \in Q^*$ . Then

$$\frac{1}{2\pi i} \int_{\Gamma} \frac{f(z)}{z - a} dz = \sum_{Q_{m,n} \in Q^*} \frac{1}{2\pi i} \int_{\partial Q_{m,n}} \frac{f(z)}{z - a} dz = f(a)$$

(residue's theorem for  $Q_{m,n} = \tilde{Q}$ , Cauchy for  $Q_{m,n} \neq \tilde{Q}$ ).

c2)  $a \in \partial \tilde{Q}, \ \tilde{Q} \in Q^* \ (a \notin \partial Q^* \implies a \notin \operatorname{Im}(\Gamma))$ . Take  $a_i \in \operatorname{int} \tilde{Q}, \ a_i \to a$ . Then

$$\frac{1}{2\pi i} \int_{\Gamma} \frac{f(z)}{z - a_j} dt \to f(a) = \frac{1}{2\pi i} \int_{\Gamma} \frac{f(z)}{z - a} dz.$$

d) " $U = \operatorname{int}(\Gamma)$ ": Let  $a \in \mathbb{C} \setminus (U \cup \operatorname{Im} \Gamma) \implies a \in \mathbb{C} \setminus \bigcup Q^*$ . As in a), we show easily that  $\operatorname{ind}_{\Gamma} a = 0$ . If  $a \in U$ , then by c) we have that  $\operatorname{ind}_{p}(1) = 1$ .

## **Věta 5.5** (Description of $\mathcal{H}^*(G)$ )

Let  $G \subset \mathbb{C}$  be open subset. Then  $\mathcal{H}^*(G) \simeq \mathcal{H}_0(\mathbb{S}\backslash G)$ .

In more detail, let  $L \in \mathcal{H}^*(G)$ . Then there are a compact  $K \subset G$  and  $\lambda \in \mathcal{H}_0(\mathbb{S}\backslash K)$  such that

$$L(f) = \frac{1}{2\pi i} \int_{\Gamma} f(z)\lambda(z)dz, \qquad f \in \mathcal{H}(G),$$

where  $\Gamma$  is a cycle in  $G \setminus K$  with  $K \subset \operatorname{int} \Gamma \subset G$  and  $\forall z_0 \in \operatorname{int} \Gamma : \operatorname{ind}_{\Gamma} z_0 = 1$ .

In addition, as an element of  $\mathcal{H}_0(\mathbb{S}\backslash G)$ ,  $\lambda$  is uniquely determined by

$$\frac{\lambda^{k+1}(\infty)}{(k+1)!} = L(z^k), k \in \mathbb{N}_0, \frac{\lambda^{(k)}(z_0)}{k!} = -L\left(\frac{1}{(z-z_0)^{k+1}}\right), z_0 \in \mathbb{C}\backslash G, k \in \mathbb{N}_0.$$

 $D\mathring{u}kaz$  (Step 1) Let  $L \in \mathcal{H}^*(G)$ .

Step 1: There are a compact  $K \subset G$  and  $L_1 \in (\mathcal{C}(K))^* =: \mathcal{C}^*(K)$  such that  $L(f) = L_1(f|_K), f \in \mathcal{H}(G)$ .

We know that there are a compact  $K \subseteq G$  and  $C \in (0, +\infty)$  such that  $\forall f \in \mathcal{H}(G) : |L(f)| \leq ||f||_K \cdot C$ .

By the Hahn–Banach theorem we can extend L (from  $\mathcal{H}^*(G)$  to  $\mathcal{C}^*(G)$ ) to  $\tilde{L} \Leftrightarrow \tilde{L} \in \mathcal{C}^*(G)$  such that  $\tilde{L}_2|_{\mathcal{H}}(G) = L$  and  $|L(f)| \leq ||f||_K \cdot C$ ,  $f \in \mathbb{C}(G)$ .

For each  $f \in \mathcal{C}(K)$  put  $L_1(f) := \tilde{L}_1(\tilde{f})$ , where  $\tilde{f} \in \mathcal{C}(G)$  and  $\tilde{f}|_K = f$ .

Is definition of  $L_1$  correct?

i) by Tietze theorem:  $f \in \mathcal{C}(K)$  can be extended to  $f \in \mathcal{C}(G)$ ,

$$\forall f \in \mathcal{C}(K) \ \exists \tilde{f} \in \mathcal{C}(\mathbb{C}) \ (\mathcal{C}(G)) : \tilde{f}|_K = f;$$

ii) for any extension we want to get the same result.  $\tilde{f}_1, \tilde{f}_2 \in \mathcal{C}(G), \ \tilde{f}_i|_U = f, \ i = 1, 2.$ 

$$\implies |\tilde{L}_1(\tilde{f}_1) - \tilde{L}_1(\tilde{f}_2)| = |\tilde{L}_1(\tilde{f}_1 - \tilde{f}_2)| \leqslant C \cdot ||\tilde{f}_1 - \tilde{f}_2||_K = C||f - f||_K = 0.$$

 $Poznámka (C^*(K))$ 

By the Riesz representation theorem, for each  $L_1 \in \mathcal{C}^*(K)$  there is a unique complex Borel measure  $\mu$  on K such that

$$L_1(f) = \int_K f d\mu, \quad \forall f \in \mathcal{C}(K).$$

Důkaz

Step 2: By the Cauchy formula for compact, there is a cycle  $\Gamma \subset G$  such that  $K \subset \operatorname{int} \Gamma \subset G$ ,  $\forall a \in \operatorname{int} \Gamma : \operatorname{ind}_{\Gamma} a = 1$  and we have,  $\forall f \in \mathcal{H}(G)$ :

$$f(z_1) = \frac{1}{2\pi i} \int_{\Gamma} \frac{f(z_2)dz_2}{z_2 - z_1}, \qquad z_1 \in K.$$

Denote

$$L_2(f) := \frac{1}{2\pi i} \int_{\Gamma} f(z_2) dz_2, f \in \mathcal{C}(\langle \Gamma \rangle), \qquad F(z_1, z_2) := \frac{f(z_2)}{z_2 - z_1}.$$

Of course  $L_2 \in \mathcal{C}^*(\langle \Gamma \rangle)$  and  $f(z_1) = L_2(F(z_1, z_3)), z_1 \in K$ .

Step 3: For a given  $f \in \mathcal{H}(G)$ ,

$$L(f) = L_1(f(z_1)) = L_1(L_2(F(z_1, z_2))) L_2(L_1(F(z_1, z_2))),$$

hence

$$L(f) = \frac{1}{2\pi i} \int_{\Gamma} f(z_2) \cdot \lambda(z_2) dz_2,$$

where

$$\lambda(z_2) := L_1\left(\frac{1}{z_2 - z_1}\right), \qquad z_2 \in \mathbb{C}\backslash K.$$

Step 4:  $\lambda \in \mathcal{H}_0(\mathbb{S}\backslash K)$  satisfies "in addition": Let  $U(\infty, \varepsilon) \subset \mathbb{S}\backslash K$ . For  $u \in P(0, \varepsilon)$ , we have

$$\lambda\left(\frac{1}{u}\right) = L_1(\frac{u}{1 - u \cdot z_1}) = L_1\left(\sum_{k=0}^{\infty} z_1^k u^{k+1}\right) = \sum_{k=0}^{\infty} L_1(z_1^k) u^{k+1},$$

hence  $\lambda(\infty) = 0$  and

$$\forall k \in \mathbb{N}_0: \frac{\lambda^{(k+1)}(\infty)}{(k+1)!} = L_1(z_1^k).$$

Let  $U(z_0, \varepsilon) \subset \mathbb{C} \backslash K$ . Then  $\forall z_2 \in U(z_0, \varepsilon)$ :

$$\lambda(z_2) = L_1\left(\frac{1}{z_2 - z_1}\right) = -L_1\left(\sum_{k=0}^{\infty} \frac{(z_2 - z_0)^k}{(z_1 - z_0)^{k+1}}\right) = -\sum_{k=0}^{\infty} L_1\left(\frac{1}{(z_1 - z_0)^{k+1}}\right)(z_2 - z_0)^k;$$

$$\forall z_1 \in K : \frac{1}{z_2 - z_1} = \frac{1}{(z_2 - z_0) - (z_1 - z_0)} = -\frac{1}{z_1 - z_0} \cdot \frac{1}{1 - \frac{z_2 - z_0}{z_1 - z_0}} = -\sum_{k=0}^{\infty} \frac{(z_2 - z_0)^k}{(z_1 - z_0)^{k+1}}.$$

Hence 
$$\frac{\lambda^{(k)}(z_0)}{k!} = -L_1\left(\frac{1}{(z_1-z_0)^{k+1}}\right), k \in \mathbb{N}_0.$$

Step 5: As an element of  $\mathcal{H}_0(\mathbb{S}\backslash G)$ ,  $\lambda$  is uniquely determined by "in addition". (Proof below.)

#### Lemma 5.6

Let  $G \subset \mathbb{C}$  be open and K be a compact in G. There is a compact  $K_1$  such that  $K \subset K_1 \subset G$  and each component of  $\mathbb{S}\backslash K_1$  contains some component of  $\mathbb{S}\backslash G$ .

 $D\mathring{u}kaz$ 

Take  $n \in \mathbb{N}$  such that  $K_1 := \{z \in G | \operatorname{dist}(z, \mathbb{C} \setminus G) \ge \frac{1}{n} \} \cap \overline{U(0, n)} \supset K$ . In addition, we have

$$\mathbb{S}\backslash K_1 = \bigcup_{z_0\in\mathbb{S}\backslash G} U(z_0,\frac{1}{n}).$$

Let V be a component of  $\mathbb{S}\backslash K_1$ . There is  $z_0 \in \mathbb{S}\backslash G$  such that  $U\left(z_0,\frac{1}{n}\right) \subset V$ . If W is a component of  $\mathbb{S}\backslash G$  containing  $z_0$ , then  $W \subset V$ .

Důkaz (Step 5)

Let  $\lambda_1, \lambda_2 \in \mathcal{H}_0(\mathbb{S}\backslash G)$  satisfying "in addition". Then there is a compact  $K \subset G$  such that  $\lambda_1, \lambda_2 \in \mathcal{H}_0(\mathbb{S}\backslash K)$ .

By the previous lemma, WLOG we assume that each component V of  $S\setminus K$  intersect  $S\setminus G$ . We show  $\lambda_1=\lambda_2$  on  $S\setminus K$ .

Let V be any component of  $\mathbb{S}\backslash K$  and  $z_0 \in V \cap (\mathbb{S}\backslash G) \neq 0$ . By "in addition" we have  $\lambda_1^{(k)}(z_0) = \lambda_2^{(k)}(z_0) \ \forall k \in \mathbb{N}_0$ . By the uniqueness theorem  $\lambda_1 = \lambda_2$  on the domain B, so  $\lambda_1 = \lambda_2$  on  $\mathbb{S}\backslash K$ .

## Lemma 5.7 (Fubini)

Let  $K_1, K_2 \subset \mathbb{C}$  be compact,  $L_j \in \mathcal{C}^*(K_j)$  for j = 1, 2 and  $F \in \mathcal{C}(K_1 \times K_2)$ . Then we have

$$L_1(L_2(F(z_1, z_2))) = L_2(L_1(F(z_1, z_2))).$$

 $D\mathring{u}kaz$  (Sketch)

Obviously it holds true for the functions of the following form:  $F(z_1, z_2) = f(z_1) \cdot g(z_2)$  for  $f \in \mathcal{C}(K_1)$ ,  $G \in \mathbb{C}(K_2)$ .

Now we can use the Stone–Weierstrass theorem which show that the linear span of the functions of this form is dense in  $\mathcal{C}(K_1 \times K_2)$ .

# 6 Runge's theorem

## **Definice 6.1** (Notation)

Let  $E \subset \mathbb{C}$  and  $m: E \to \mathbb{N} \cup \{\infty\}$ . We call m(e) the multiplicity of  $e \in E$ . We say that (E, m) has a limit point  $e \in \mathbb{S}$  if e is a limit point of E, or  $e \in E$  with  $m(e) = \infty$ .

Denote by  $\mathcal{F}(E,m)$  system of functions which consists of

- $\frac{1}{z-e}$  if  $e \in E \cap \mathbb{C}$ ,  $m(e) < \infty$ ;
- $\frac{1}{(z-e)^k}$ ,  $k \in \mathbb{N}$  if  $e \in E \cap \mathbb{C}$ ,  $m(e) = \infty$ ;
- $z^k$ ,  $k \in \mathbb{N}_0$  if  $\infty \in E$ ,  $m(\infty) = \infty$ .

#### Věta 6.1 (Runge)

Let  $G \subset \mathbb{C}$  be open,  $E \subset \mathbb{S}\backslash G$  and  $m: E \to N \cup \{\infty\}$ . If (E, m) has a limit point in every component of  $\mathbb{S}\backslash G$ , then the linear span of  $\mathbb{F}(E, m)$  is dense in  $\mathcal{H}(G)$ .

 $D\mathring{u}kaz$ 

We shall use Hahn–Banach theorem. Let  $L \in \mathcal{H}^*(G)$  and L = 0 on  $\mathbb{F}(E, m)$ . We need to show L = 0 on  $\mathcal{H}(G)$ . Let  $\lambda \in \mathcal{H}_0(\mathbb{S}\backslash G)$  which represents L in the sense of theorem describing  $\mathcal{H}^*(G)$ .

If  $e \in E \cap \mathbb{C}$ ,  $m(e) < \infty$ , then  $\lambda(e) = -L\left(\frac{1}{z-e}\right) = 0$ . If  $e \in E \cap \mathbb{C}$ ,  $m(e) = \infty$ , then  $\frac{\lambda^{(k)}(e)}{k!} = -L\left(\frac{1}{(z-e)^k}\right) = 0 \ \forall k \in \mathbb{N}_0$ . If  $\infty \in E$ ,  $m(\infty) = \infty$ , then  $\frac{\lambda^{k+1}(\infty)}{(k+1)!} = L(z^k) = 0$   $\forall k \in \mathbb{N}_0$ .

We show that  $\lambda = 0$  in  $\mathcal{H}_0(\mathbb{S}\backslash G)$ . There is a compact  $K \subset G$  such that  $\lambda \in \mathcal{H}_0(\mathbb{S}\backslash K)$  and every component of  $\mathbb{S}\backslash K$  contains some component of  $\mathbb{S}\backslash G$ .

Let V be any component of  $\mathbb{S}\backslash K$ . Then V is domain and V contains a limit point e of (E,m). By the uniqueness theorem, we get  $\lambda=0$  on V, so on  $\mathbb{S}\backslash K$ .

## Věta 6.2 (Runge, classical version)

Let  $G \subset \mathbb{C}$  be open and  $f \in \mathcal{H}(G)$ . Then there are rational functions  $R_n$ ,  $n \in \mathbb{N}$  with poles outside G such that  $R_n$  f on G.

If, in addition,  $\mathbb{S}\backslash G$  is connected, then there are polynomials  $P_n$ ,  $n \in \mathbb{N}$ , such that  $P_n \stackrel{Loc.}{f}$  on G.

 $D\mathring{u}kaz$ 

"Second part": Let  $E = \{\infty\}$  and put  $m(\infty) = \infty$ . Then

$$\mathbb{F}(E,m) = \left\{1, z, \dots, z^k, \dots\right\}$$

and by the previous theorem, the polynomials are dense in  $\mathcal{H}(G)$ .

"First part": Let  $E \subset \mathbb{S}\backslash G$  containing at least one point of every component of  $\mathbb{S}\backslash G$ . Put  $m = \infty$  on E. Then  $LO(\mathcal{F}(E, m))$  is dense in  $\mathcal{H}(G)$  and it is a subspace of rational functions with poles outside G. Důsledek (Cauchy's theorem for simply connected domains)

Let  $G \subset \mathbb{C}$  be open a nd  $\mathbb{S}\backslash G$  be connected. If  $f \in \mathcal{H}(G)$  and  $\varphi$  is a closed curve in G, then  $\int_{\varphi} f = 0$ .

Důkaz

By Runge, there are polynomials  $P_n$  such that  $P_n \stackrel{\text{Loc.}}{\rightrightarrows} f$  on G. Then  $(P_n \text{ has a primitive function in } \mathbb{C})$   $0 = \int_{\mathcal{C}} P_n \to \int_{\mathcal{C}} f$ .

Důsledek (Cauchy's theorem for cycles)

Let  $G \subset \mathbb{C}$  be open and  $\Gamma$  be a cycle in G (i.e.,  $\langle \Gamma \rangle \subset G$ ). Then  $(\forall f \in \mathcal{H}(G) : \int_{\Gamma} f = 0) \Leftrightarrow \inf \Gamma \subset G$ .

Důkaz

$$, \Longrightarrow$$
 ": If  $z_0 \in \mathbb{C} \setminus G$ , then  $f(z) := \frac{1}{z-z_0} \in \mathcal{H}(G)$  and  $\operatorname{ind}_{\Gamma} z_0 = \frac{1}{2\pi i} \int_{\Gamma} f = 0$ .

## Věta 6.3 (Runge, for compacts)

Let K be a compact in  $\mathbb{C}$  and let  $S \subset \mathbb{S}\backslash K$  contain at least one point of any component of  $\mathbb{S}\backslash K$ . Let f be a holomorphic function on K. Then there are rational functions  $R_n$  with poles in S such that  $R_n \rightrightarrows f$  on K.

Pozn'amka (Technique: pushing poles)

Each rational function R can be uniquely expressed in the form (rational function has  $n \in \mathbb{N}$  poles, and we will write the principal part of Laurent expansion around the pole  $z_k$ ):

$$R(z) = \sum_{k=1}^{n} \sum_{i=1}^{n_k} \frac{A_j^k}{(z - z_k)^j} + C_0 + C_1 z + \ldots + C_m z^m,$$

where  $n, m, n_k \in \mathbb{N}$ ,  $z_k \in \mathbb{C}$  and  $A_{n_k}^k \neq 0$ ,  $C_m \neq 0$ . Then  $z_k$  is a pole of R of multiplicity  $n_k$  and  $\infty$  is a pole of R of multiplicity m. A rational function R is a polynomial iff R has a pole at most at  $\infty$ .

Notation: Let K be a compact in  $\mathbb{C}$ ,  $U \subset \mathbb{S}$  and  $U \cap K = \emptyset$ . Put  $B(K,U) = \overline{\{R|_K | R \text{ is rational with poles in } U\}^{\mathcal{C}(K)}}$ .  $(B(K,U) \text{ is a closed subalgebra of } \mathcal{C}(K)$ .)

Theorem (pushing poles): Let K be a compact in  $\mathbb{C}$ ,  $U \subset \mathbb{S}$  be a domain,  $K \cap U = \emptyset$  and  $z_0 \in U$ . If R is rational function with poles in U, then  $R \in R(K, \{z_0\})$ .

Corollary: By theorem, we have  $B(K, U) = B(K, z_0)$ .

Pozn'amka (Technique: pushing poles)

Proof: Put  $V := \left\{ \xi \in U | \frac{1}{z-\xi} \in B(K,x_0), \text{ for } \xi \in \mathbb{C} \text{ and } z \in B(K,z_0) \text{ for } \xi = \infty \right\}$ . Of course  $B(K,z_0) = B(K,V)$ . Indeed, if  $\xi \in V$ , then  $\frac{1}{(z-\xi)^k} \in B(K,z_0)$ , for  $\xi \in \mathbb{C}$  and  $k \in \mathbb{N}$ , and  $z^k \in B(K,z_0)$  for  $\xi = \infty$ ,  $k \in \mathbb{N}$ .

Then each rational R with poles in V is contained in  $B(K, z_0)$ . Hence  $B(K, V) \subset B(K, z_0)$ . Since  $z_0 \in V$ , we have  $B(K, z_0) \subset B(K, V)$ .

"V is closed in U": Let  $\xi_n \in V$ ,  $\xi_n \to \xi_0$  and  $\xi_0 \in U$ . We need to show that  $\xi_0 \in V$ . WLOG  $\forall n \in \mathbb{N} : \xi_n \in \mathbb{C}$ .

 $,\xi_0 \in \mathbb{C}$ ". Then put  $\delta := \operatorname{dist}(\xi_0,K) > 0$ . Choose  $n_0 \in \mathbb{N}$  such that  $\operatorname{dist}(\xi_n,K) \geqslant \frac{\delta}{2}$  for  $n > n_0$ . Then  $\frac{1}{z-\xi_n} \rightrightarrows \frac{1}{z-\xi_0}, \ z \in K$ ,

$$\iff \left| \frac{1}{z - \xi_n} - \frac{1}{z - \xi_0} \right| = \frac{|\xi_n - \xi_0|}{|z - \xi_n| \cdot |z - \xi_0|} \leqslant \frac{2}{\delta^2} \cdot |\xi_n - \xi_0| \to 0,$$

if  $n > n_0$  and  $z \in K$ . Hence  $\frac{1}{z - \xi_n} \in B(K, z_0)$ , so  $\xi_0 \in V$ .

 $,\xi_0=\infty$ ". Then

$$\frac{\xi_n z}{\xi_n - z} = -\xi_n \left( \frac{\xi_n}{z - \xi_n} + 1 \right) \in B(K, z_0).$$

Take C>0 with  $\forall z\in K:|z|\leqslant C$ . Take  $n_0\in\mathbb{N}$  such that  $\forall n>n_0:|\xi_n|>C$ . Then  $\forall z\in K:\frac{\xi_nz}{\xi_n-z}\rightrightarrows z$ , because

$$\left| \frac{\xi_n z}{\xi_n - z} - z \right| = \frac{|z|^2}{|\xi_n - z|} \le \frac{C^2}{|\xi_n| - C} \to 0.$$

if  $n > n_0$  and  $z \in K$ . Hence  $z \in B(K, z_0)$ , so  $\infty \in V$ .

 $V_{0}$ , which is open (so V = U)": Let  $\xi_{0} \in V$ .

" $\xi \in \mathbb{C}$ ": Put  $\delta := \operatorname{dist}(\xi_0, K) > 0$ . Let  $\xi \in U(\xi \in U(\xi_0, \delta/2))$ . Then

$$\frac{1}{z-\xi} = \frac{1}{(z-\xi_0) - (\xi-\xi_0)} = \frac{1}{z-\xi_0} \cdot \frac{1}{1 - \frac{\xi-\xi_0}{z-\xi_0}} = \sum_{k=0}^{\infty} \frac{(\xi-\xi_0)^k}{(z-\xi_0)^{k+1}}$$

converges uniformly for  $z \in K$  because  $\left| \frac{(\xi - \xi_0)^k}{(z - \xi_0)^{k+1}} \right| \leq \frac{\left(\frac{\delta}{2}\right)^k}{\delta^{k+1}} = \frac{1}{\delta \cdot 2^k}$ , for  $z \in K$  and  $k \in \mathbb{N}_0$ . Hence  $\frac{1}{z - \xi} \in B(K, \xi_0) \subset B(K, V) = B(K, z_0)$ . So  $U(\xi_0, \delta/2) \subset V$ .

 $_{y}\xi_{0} = \infty^{\text{``}}: \text{ Take } C > 0 \text{ with } \forall z \in K : |z| \leqslant C. \text{ Let } \xi \in \mathbb{C} \text{ with } |\xi| > 2C. \text{ Then } \frac{1}{z-\xi} = -\frac{1}{\xi} \cdot \frac{1}{1-\frac{z}{\xi}} = -\sum_{k=0}^{\infty} \frac{z^{k}}{\xi^{k+1}} \text{ converges uniformly for } z \in K, \text{ because } |z^{k}/\xi^{k+1}| \leqslant C^{k}/(2C)^{k+1} = \frac{1}{C \cdot 2^{k+1}} \text{ for } z \in K \text{ and } k \in \mathbb{N}_{0}. \text{ Hence } \frac{1}{z-\xi} \in B(K,\infty) \subset B(K,z_{0}). \text{ So } U\left(\infty,\frac{1}{2C}\right) \subset V.$ 

Tvrzení 7.1

 $(\mathbb{C}\backslash G)\cap \operatorname{int}\varphi.$ 

Let f be a holomorphic function on an open set  $G \supset K$ . Using Runge's theorem for "open sets", there are rational functions  $\tilde{R}_n$  with poles outside G such that  $\tilde{R}_n \rightrightarrows f$  on K.

 $,\tilde{R}_n \in B(K,S)$ ": All poles of  $\tilde{R}_n$  are contained in a finitely many components  $C_1,\ldots,C_k$  of  $\mathbb{S}\backslash K$ . Express  $\tilde{R}_n = \tilde{Q}_1 + \ldots + \tilde{Q}_k$ , where  $\tilde{Q}_j$  is a rational function with poles in the domain  $C_j$ . For  $j \in [k]$  take  $s_j \in S \cap C_j$ . By pushing poles we have  $\tilde{Q}_j \in B(K,s_j)$ . For given  $\varepsilon > 0$  and  $j \in [k]$ , there is a rational function  $Q_j$  with a pole at  $s_j$  such that  $|\tilde{Q}_j - Q_j| \leq \frac{\varepsilon}{k}$  on K. Put  $R_n := Q_1 + \ldots + Q_k \in B(K,S)$ . Then  $|R_n - \tilde{R}_n| \leq \varepsilon$  on K. Hence  $\tilde{R}_n \in B(K,S)$ .

# 7 Characterization of simple connectedness

# Let $G \subset \mathbb{C}$ be open. FSAE: SC1 If $\varphi$ is closed (regular) curve in G, then int $\varphi \subset G$ ; SC2 $\mathbb{S}\backslash G$ is connected; SC3 $\forall f \in \mathcal{H}(G) \exists polynomials P_n : P_n \stackrel{loc.}{\Rightarrow} f \text{ on } G$ ; SC4 $\forall f \in \mathcal{H}(G) : \int_{\varphi} f = 0 \text{ for any closed regular curve } \varphi \text{ in } G$ ; SC5 $\forall f \in \mathcal{H}(G) \exists F \in \mathcal{H}(G) : F' = f \text{ on } G$ ; SC6 $\forall f \in \mathcal{H}(G), f \neq 0 \text{ on } G, \exists g \in \mathcal{H}(G) : f = e^g \text{ on } G$ ; SC7 $\forall f \in \mathcal{H}(G), f \neq 0 \text{ on } G, \exists h \in \mathcal{H}(G) : h^2 = f \text{ on } G$ . Dikaz (SC1 $\Longrightarrow$ SC2) Assume that $\mathbb{S}\backslash G$ is not connected. Then there are disjoint closed sets $\emptyset \neq K, L \subset \mathbb{S}$ such that $\mathbb{S}\backslash G = K \cup L$ . WLOG $\emptyset \notin K$ . Then K is compact in $\mathbb{C}, G_0 := G \cup K$ is an open set in $\mathbb{C}$ and, by theorem Cauchy formula for compact we know, there is cycle $\Gamma$ in $G_0$ such that $K \subset \text{int } \Gamma \subset G_0$ . Let $z_0 \in K$ . Since $\text{ind}_{\Gamma} z_0 \neq 0$ , there is $\varphi \in \Gamma$ with $\text{ind}_{\varphi} z_0 \neq 0$ . Of course, $z_0 \in K$ .

See Runge's theorem (classical version).

 $D\mathring{u}kaz$  (SC2  $\Longrightarrow$  SC3, SC3  $\Longrightarrow$  SC4)

See the proof of the Cauchy theorem for simply connected domains.

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D\mathring{u}kaz \text{ (SC4} \Leftrightarrow \text{SC5, SC5} \implies \text{SC6, SC6} \implies \text{SC7)}
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We know from introduction to complex analysis.

See the proof of proposition about non-zero holomorphic function.

Put 
$$h := e^{\frac{1}{2}g}$$
.

# 7.1 The right topological definition

#### Definice 7.1 (Loop)

Let  $G \subset \mathbb{C}$  be open. WLOG: We assume that all curves are defined on [0,1] (otherwise we can make linear reparametrization). A continuous closed curve  $\varphi : [0,1] \to G$  is called a loop in G.

## **Definice 7.2** (Homotopic loops)

We say that two loops  $\varphi$  and  $\psi$  are homotopic (in G) provided that there is a continuous map  $H:[0,1]\times[0,1]\to G$  such that  $\varphi_0(t)=\varphi(t)$  and  $\varphi_1(t)=\psi(t)$  and  $\varphi_s(0)=\varphi_s(1)$ , where  $\varphi_s(t):=H(s,t)$ .

## Tvrzení 7.2 (Continuation of the previous tvrzeni)

SC8: Every loop  $\varphi$  in G is homotopic in G to a constant loop.

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D\mathring{u}kaz (SC7 \Longrightarrow SC8)
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Let  $\varphi$  be a loop in G. Let  $G_0$  be a component of G containing  $\langle \varphi \rangle$ . If  $G_0 = \mathbb{C}$ , then (all star-like domain has the property SC8)  $\varphi$  is homotopic to a constant loop. So assume  $G_0 \subsetneq \mathbb{C}$ . Then  $\emptyset \neq G_0 \subsetneq \mathbb{C}$  is a domain with the property SC7. By Riemann (next) theorem,  $G_0$  is homeomorphic to  $\mathbb{D}$  and hence  $G_0$  has SC8 property (all star-like domains have SC8 and homomorphism preserve homotopic loops).

```
D\mathring{u}kaz (SC8 \implies SC1)
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Of course, every constant loop  $\psi$  has int  $\psi = \emptyset$ . Hence this implication follows from the theorem after Riemann theorem.

# Věta 7.3 (Riemann)

Let  $\emptyset \neq G_0 \subsetneq \mathbb{C}$  be a domain with SC7. Then there is a one-to-one holomorphic function  $h: G_0 \stackrel{onto}{\to} \mathbb{D} := U(0,1)$ .

```
Poznámka (Recall)
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Proposition: Let  $\varphi_1, \varphi_2 : [0,1] \to \mathbb{C}$  be closed (regular) curves and  $z_0 \in \mathbb{C} \setminus (\langle \varphi_1 \rangle \cup \langle \varphi_2 \rangle)$ . If

 $\forall t \in [0, 1]$ :

$$|\varphi_1(t) - \varphi_2(t)| < |\varphi_1(t) - z_0|,$$

then  $\operatorname{ind}_{\varphi_1} z_0 = \operatorname{ind}_{\varphi_2} z_0$ .

#### Věta 7.4

Let  $\varphi, \psi$  be two loops homotopic in an open set  $G \subset \mathbb{C}$ . Then  $\operatorname{ind}_{\varphi} z_0 = \operatorname{ind}_{\psi} z_0 \ \forall z_0 \in \mathbb{C} \backslash G$ .

# Definice 7.3 (Index of (non-regular) loop)

Let  $\varphi : [0,1] \to \mathbb{C}$  be a loop and  $z_0 \in \mathbb{C} \setminus \langle \varphi \rangle$ . There are regular closed curves  $\varphi_n : [0,1] \to \mathbb{C}$  such that  $\varphi_n \rightrightarrows \varphi$ . Indeed using the uniform continuity of  $\varphi$ ,  $\varphi$  can be uniformly approximated by piecewise linear closed curves with vertices on  $\varphi$  given by sufficiently fine partitions of [0,1].

Define  $\operatorname{ind}_{\varphi} z_0 := \lim_{n \to \infty} \operatorname{ind}_{\varphi_n} z_0$ .

By recalled proposition, the definition is correct because there is  $n_0 \in \mathbb{N}$  such that  $\operatorname{ind}_{\varphi_n} z_0$ ,  $n \ge n_0$ , are constant and  $\operatorname{ind}_{\varphi} z_0$  does not depend of the choice of  $\{\varphi_n\}$ .

Poznámka

"Proposition from recall holds true for (non-regular) loops  $\varphi_1, \varphi_2$ .": Indeed, let loops  $\varphi_1$  and  $\varphi_2$  satisfy

$$\forall t \in [0,1] : |\varphi_1(t) - \varphi_2(t)| < |\varphi_1(t) - z_0|.$$

Then, by definition, there are approximations  $\tilde{\varphi}_1, \tilde{\varphi}_2$  which are regular, satisfy the assumptions of proposition from recall and  $\inf_{\varphi_j} z_0 = \inf_{\tilde{\varphi}_j}, j = 1, 2$ .

 $D\mathring{u}kaz$ 

Let  $H: [0,1] \times [0,1] \to G$  be continuous,  $\varphi_0 = \varphi$ ,  $\varphi_1 = \psi$  and  $\varphi_s(0) = \varphi_s(1)$ ,  $\forall s \in [0,1]$ , where  $\varphi_s(t) = H(s,t)$ . Put  $\varepsilon := \operatorname{dist}(z_0, H([0,1]^2)) > 0$  ( $H([0,1]^2)$  is compact).

Since H is uniformly continuous, there is  $n \in \mathbb{N}$  such that for each  $k \in [n-1]$  and  $t \in [0,1]$  we have

$$\left|\varphi_{\frac{k}{n}}(t) - \varphi_{\frac{k+1}{n}}(t)\right| = \left|H(\frac{k}{n}, t) - H(\frac{k+1}{n}, t)\right| < \varepsilon.$$

In particular,  $\varphi_{\frac{k}{2}}$  and  $\varphi_{\frac{k+1}{2}}$  satisfy the assumptions of proposition. Hence

$$\operatorname{ind}_{\varphi_0} z_0 = \operatorname{ind}_{\varphi_{\frac{1}{n}}} z_0 = \operatorname{ind}_{\varphi_{\frac{2}{n}}} z_0 = \ldots = \operatorname{ind}_{\varphi_1} z_0.$$

# Věta 7.5 (The Schwarz lemma)

Let  $f \in \mathcal{H}(\mathbb{D})$ ,  $f(\mathbb{D}) \subset \mathbb{D}$  and f(0) = 0. Then  $|f(z)| \leq |z|$ ,  $z \in \mathbb{D}$  and  $|f'(0)| \leq 1$ . If the equality occurs in first inequality got some  $z \in \mathbb{D} \setminus \{0\}$  or in second inequality, then f is a rotation, i.e.,  $f(z) = \lambda z$ ,  $z \in \mathbb{D}$  for some  $\lambda \in \mathbb{C}$ ,  $|\lambda| = 1$ .

Put  $g(z) := \frac{f(z)}{z}$ , for  $z \in \mathbb{D} \setminus \{0\}$ , and f'(0), z = 0. Then  $g \in \mathcal{H}(\mathbb{D})$ . Let 0 < r < 1. Then  $|g(r)| \leq \frac{1}{r}$ , |z| = r. By the maximum modulus theorem, we get  $|g(z)| \leq \frac{1}{z}$ ,  $|z| \leq r$ .

Let  $z \in \mathbb{D}$ . Then, for r close enough to 1-, we have this inequality and letting  $r \to 1-$  we get  $|g(z)| \le 1$ . If |g(z)| = 1 for some  $z \in \mathbb{D}$ , then by maximum modulus theorem g is constant on  $\mathbb{D}$ .

#### Lemma 7.6

For  $\alpha \in \mathbb{D}$  put  $\varphi_{\alpha}(z) := \frac{\overline{z-\alpha}}{1-\overline{\alpha}z}$ . Then

- $\varphi_{\alpha} \in \mathcal{H}\left(\mathbb{C} \setminus \left\{\frac{1}{\alpha}\right\}\right)$ ,  $\varphi_{\alpha}$  is one-to-one,  $\varphi_{\alpha}(\mathbb{D}) = \mathbb{D}$ ,  $\varphi_{\alpha}(\mathbb{T}) = \mathbb{T} := \{z \in \mathbb{C} | |z| = 1\}$ ;
- $(\varphi_{\alpha})_{-1} = \varphi_{-\alpha};$
- $\varphi_{\alpha}(\alpha) = 0$ ,  $\varphi'_{\alpha}(\alpha) = \frac{1}{1 |\alpha|^2}$ ,  $\varphi'_{\alpha}(0) = 1 |\alpha|^2$ .

Důkaz (ii))

$$w = \frac{z - \alpha}{1 - \overline{\alpha}z} : w - \overline{\alpha}wz = z - \alpha, \qquad w + \alpha = z \cdot (1 + \overline{\alpha}w), \qquad z = \frac{w + \alpha}{1 + \overline{\alpha}w} = \varphi_{-\alpha}(w).$$

Důkaz (i))

If  $z \in \mathbb{T}$ , then

$$\left| \frac{z - \alpha}{1 - \overline{\alpha}z} \right| = \frac{|z - \alpha|}{|\overline{z - \alpha}| \cdot |z|} = 1.$$

Hence  $\varphi_{\alpha}(\mathbb{T}) \subseteq \mathbb{T}$ . The same is true for  $(\varphi_{\alpha})_{-1} = \varphi_{-\alpha}$ , so  $\varphi_{\alpha}(\mathbb{T}) = \mathbb{T}$ . By the fact  $\varphi_{\alpha}(\mathbb{T}) = \mathbb{T}$  and maximum modulus theorem, we get  $\varphi_{\alpha}(\mathbb{D}), \varphi_{-\alpha}(\mathbb{D}) \subset \mathbb{D}$ , so  $\varphi_{\alpha}(\mathbb{D}) = \mathbb{D}$ .

 $D\mathring{u}kaz$  (iii))

$$\varphi'_{\alpha}(\alpha) = \lim_{z \to \alpha} \frac{\varphi_{\alpha}(z)}{z - \alpha} = \frac{1}{1 - |\alpha|^2}.$$

$$\varphi'_{\alpha}(0) = \frac{1 - \overline{\alpha}z + (z - \alpha)\overline{\alpha}}{(1 - \overline{\alpha}z)^2} \bigg|_{z=0} = 1 - |\alpha|^2.$$

# **Věta 7.7** (Conformal transformations of $\mathbb{D}$ )

A function f is one-to-one holomorphic map of  $\mathbb D$  onto  $\mathbb D$ , iff there are  $\theta \in \mathbb R$  and  $\alpha \in \mathbb D$  such that

$$f(z) = e^{i\theta} \frac{z - \alpha}{1 - \overline{\alpha}z} (= rot_{\theta} \cdot \varphi_{\alpha}), \qquad z \in \mathbb{D}.$$

"  $\Leftarrow$  ": by the previous lemma. "  $\Longrightarrow$  ": Let  $\alpha \in \mathbb{D}$  and  $f(\alpha) = 0$ . Then  $g := f \circ \varphi_{-\alpha}$  is a one-to-one holomorphic map of  $\mathbb{D}$  onto  $\mathbb{D}$  and g(0) = 0. By the Schwarz lemma, for  $z \in \mathbb{D}$ ,  $|g(z)| \leq |z|$ ,  $|g_{-1}(z)| \leq |z|$ , so |g(z)| = |z|. By Schwarz lemma, g is a rotation.

## Lemma 7.8 (Schwarz-Pick)

Let  $F \in \mathcal{H}(\mathbb{D})$ ,  $F(\mathbb{D}) \subset \mathbb{D}$  and  $F(\alpha) = \beta$ . Then  $|F'(\alpha)| \leq \frac{1-|\beta|^2}{1-|\alpha|^2}$ . If the equality occurs, then  $F(z) = \varphi_{-b}(\lambda \varphi_{\alpha}(z)), z \in \mathbb{D}$ , for  $\lambda \in \mathbb{C}$ ,  $|\lambda| = 1$ .

In particular F'(0) < 1 unless F is a rotation.

 $D\mathring{u}kaz$ 

Use the Schwarz lemma for  $f := \varphi_{\beta} \circ F \circ \varphi_{-\alpha}$ . Then  $|f'(0)| \leq 1$  and

$$f'(0) = \varphi'_{\beta}(\beta) \circ F'(\alpha) \circ \varphi'_{-\alpha}(0) = \frac{1}{1 - |\beta|^2} \circ F'(\alpha) \circ (1 - |\alpha|^2)$$

If  $\alpha = 0 = \beta$  and F is not rotation, then |F'(0)| < 1.

#### Věta 7.9 (Riemann)

Let  $\emptyset \neq G \subsetneq \mathbb{C}$  be a simply connected domain. Then there is a one-to-one holomorphic map  $f: G \stackrel{onto}{\to} \mathbb{D}$ .

Poznámka

By this, we finish our proof that conditions (SC1)-(SC8) are equivalent with each other. (In proof we use SC7.)

Let  $\emptyset \neq G \subsetneq \mathbb{C}$  be a domain with (SC7). Take a point  $z_0 \in G$ . Denote by  $\Sigma$  the set of all one-to-one holomorphic maps  $\psi : G \to \mathbb{D}$ . Then we have (we will prove it later)

- $\Sigma \neq \emptyset$ ;
- If  $\psi \in \Sigma$  and  $\psi(G) \neq \mathbb{D}$ , then there is  $\tilde{\psi} \in \Sigma$  such that  $|\tilde{\psi}'(z_0)| > |\psi'(z_0)|$ .

Put  $\eta := \sup_{\psi \in \Sigma} |\psi'(z_0)|$ . Take  $\psi \in \Sigma \neq \emptyset$ . Since  $\psi$  is one-to-one we have  $\psi'(z_0) \neq 0$  and hence  $\eta > 0$ .

By the definition of  $\eta$ , there are  $\psi_n \in \Sigma$ ,  $n \in \mathbb{N}$ , such that  $|\psi'_n(z_0)| \to \eta$ . Since  $\varphi_n$ ,  $n \in \mathbb{N}$ , are uniformly bounded, by the Montol theorem, there is a subsequence  $\{\psi_{n_k}\}$  such that  $\psi_{n_k} \stackrel{\text{loc.}}{\Rightarrow} f$  on G. By the Weierstrass theorem,  $f \in \mathcal{H}(G)$  and  $|f'(z_0)| = \eta > 0$  thus f is not constant (but it is limit of one-to-one functions), so by Hurwitz theorem f is one-to-one.

Of course,  $f(G) \subset \overline{\mathbb{D}}$  but by openness of f we have  $f(G) \subset \mathbb{D}$ . Hence  $f \in \Sigma$  and  $f(G) = \mathbb{D}$ .

 $D\mathring{u}kaz$  (First property of  $\Sigma$ )

Let  $w_0 \in \mathbb{C} \setminus G$ . Then by (SC7), there is  $\varphi \in \mathcal{H}(G)$  such that  $z - w_0 = \varphi^2(z)$ ,  $z \in G$ . "If  $\varphi(z_1) = \pm \varphi(z_2)$ , then  $z_1 = z_2$ .": Indeed,  $z_1 - w_0 = \varphi^2(z_1) = \varphi^2(z_2) = z_2 - w_0$ .

By this,  $\varphi$  is one-to-one and  $0 \neq w \in \varphi(G) \implies -w \notin \varphi(G)$ . Since  $\emptyset \neq \varphi(G)$  is open, there is  $0 \notin U(a,r) \subset \varphi(G)$ . By previous implication, we have  $U(-a,r) \cap \varphi(G) = \emptyset$ , i.e.,  $|\varphi(z) + a| \geq r$ ,  $\forall z \in G$ .

Put  $\psi := \frac{r}{2(\varphi(z)+a)}, z \in G$ . Then  $|\psi| \leq \frac{1}{2}$  on G, so  $\psi \in \Sigma$ .

 $D\mathring{u}kaz$  (Second property of  $\Sigma$ )

Let  $\psi \in \Sigma$  and  $\alpha \in \mathbb{D} \setminus \psi(G)$ . Consider the map  $\varphi_{\alpha}(z) := \frac{z-\alpha}{1-\overline{\alpha}z}, z \in \mathbb{D}$ . Then  $\varphi_{\alpha} \circ \psi \in \Sigma$  and  $\varphi_{\alpha} \circ \psi \neq 0$  on G.

By (SC7), there is  $g \in \mathcal{H}(G)$  such that  $\varphi_{\alpha} \circ \psi = g^2$  on G. Then g is one-to-one, because

$$g(z_1) = g(z_2) \implies g^2(z_1) = g^2(z_2) \implies \varphi_\alpha \circ \psi(z_1) = \varphi_\alpha \circ \psi(z_2) \implies z_1 = z_2.$$

Hence  $g \in \Sigma$ . If  $\beta := g(z_0)$ , then put  $\tilde{\psi} := \varphi$ . Of course,  $\tilde{\psi} \in \Sigma$  and  $\tilde{\psi}(z_0) = 0$ .

Denoting  $s(w) := w^2$ ,  $w \in \mathbb{C}$ , we have that  $\Psi = (\varphi_{-\alpha} \circ s \circ \varphi_{-\beta}) \circ z\tilde{\psi} = F \circ \tilde{\psi}$ , where  $F := \varphi_{-\alpha} \circ s \circ \varphi_{-\beta}$ . We have  $F \in \mathcal{H}(\mathbb{D})$ ,  $F(\mathbb{D}) \subset \mathbb{D}$  and F is not a rotation (because F is not one-to-one). By the Schwarz–Pick lemma, we have |F'(0)| < 1. Since  $\psi'(z_0) = F'(0) \cdot \tilde{\Psi}'(z_0)$ , we have  $0 < |\psi'(z_0)| < |\tilde{\psi}'(z_0)|$ .

#### Definice 7.4

Let  $G \subset \mathbb{S}$  be open. We say that  $f: G \to \mathbb{S}$  is a conformal map if f is one-to-one meromorphic on G.

#### Definice 7.5

Let  $\Omega, G \subset \mathbb{S}$  be open. We say that G and  $\Omega$  are conformally equivalent (we write  $G \sim \Omega$ ) if there is a conformal map  $f : G \stackrel{\text{onto}}{\Omega}$ .

#### Příklad

Show that there are just 4 classes of conform equivalent simply connected domains in  $\mathbb{S}$ , namely:

$$\emptyset$$
,  $\mathbb{S}$ ,  $[\mathbb{C}] := \{ \mathbb{S} \setminus \{z_0\} \mid z_0 \in \mathbb{S} \}$ ,  $[\mathbb{D}]$ .

# 8 Preservation of angles

#### Definice 8.1

For  $z \in \mathbb{C} \setminus \{0\}$ , put  $A(z) := \frac{z}{|z|}$ .

# Definice 8.2 (Map preserving angles)

Let  $G \subseteq \mathbb{C}$  be open,  $f: G \to \mathbb{C}$ ,  $z_0 \in G$  have  $P(z_0) \subset G$  such that  $f(z) \neq f(z_0) \ \forall z \in P(z_0)$ . Then we say that f preserves angles (with orientation) at  $z_0$  if  $\forall \theta \in \mathbb{R}$ :

$$\lim_{r \to 0_+} e^{-k*\theta} \cdot A[f(z_0 + re^{i\theta}) - f(z_0)]$$

exists and is independent of  $\theta$ .

# Definice 8.3 (Notation)

Let  $f: \mathbb{R}^2 \to \mathbb{R}^2$ , where  $\mathbb{R}^2 = \mathbb{C}$ , have the total differential  $df(z_0)$  at  $z_0 \in \mathbb{R}^2 = \mathbb{C}$ , i.e.,

$$\lim_{h \to 0} \frac{f(z_0 + h) - f(z_0) - df(z_0)h}{|h|} = 0.$$

Then

$$df(z_0)h = \frac{\partial f}{\partial x}(z_0)h_1 + \frac{\partial f}{\partial y}(z_0)h_2,$$

$$h = (h_1, h_2) = h_1 + ih_2 \in \mathbb{R}^2 = \mathbb{C}.$$

We have  $h_1 = \frac{h+\overline{h}}{2}$ ,  $h_2 = \frac{h-\overline{h}}{2i}$  and

$$df(z_0)h = \partial f(z_0)h + \overline{\partial} f(z_0)\overline{h},$$

where

$$\partial f(z_0) := \frac{1}{2} \left( \frac{\partial f}{\partial x}(z_0) - i \cdot \frac{\partial f}{\partial y}(z_0) \right),$$

$$\overline{\partial} f(z_0) := \frac{1}{2} \left( \frac{\partial f}{\partial x}(z_0) + i \cdot \frac{\partial f}{\partial y}(z_0) \right).$$

Poznámka

We know  $f'(z_0)$  exists iff  $df(z_0)$  exists and  $\overline{\partial} f(z_0) = 0$ , in this case  $f'(z_0) = \partial f(z_0)$ .

#### Věta 8.1

Let  $G, \Omega \subset \mathbb{C}$  be open. Then  $f: G \to \Omega$  is conformal iff f is a diffeomorphism of G onto  $\Omega$  preserving angles at any point of G.

TODO!!!

# 9 Harmonic functions

Poznámka

We study  $f: \mathbb{C} \to \mathbb{C}$ . Since  $\mathbb{C} = \mathbb{R}^2$  we have z = x + iy,  $x = \Re z$ ,  $y = \Im z$  and f = u + iv with  $u = \Re f$ ,  $v = \Im f$ .

Poznámka (Observation)

If  $G \subseteq \mathbb{C}$  is a domain,  $f, g \in \mathcal{H}(G)$  and  $\Re f = \Re g$  on G, then there is  $c \in \mathbb{C}$  such that  $\Im f = \Im g + c$  on G.

 $D\mathring{u}kaz$ 

It follows from CR condition:

$$\frac{\partial v}{\partial y} = + \frac{\partial u}{\partial x}, \qquad \frac{\partial v}{\partial x} = - \frac{\partial u}{\partial y}.$$

What are the real parts of holomorphic functions?

#### Lemma 9.1

If  $f \in \mathbb{C}^2(G)$ ,  $G \subseteq \mathbb{C}$  open, then  $\partial \overline{\partial} f = \overline{\partial} \partial f = \frac{1}{4} \Delta f$ , where  $\Delta := \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}$  is the Laplace operator.

Důkaz

$$\partial \overline{\partial} f = \frac{1}{4} \left( \frac{\partial}{\partial x} - i \frac{\partial}{\partial y} \right) \left( \frac{\partial}{\partial x} + i \frac{\partial}{\partial y} \right) f = \frac{1}{4} \Delta f.$$

#### Definice 9.1

If  $G \subseteq \mathbb{C}$  is open, we say that  $u \in \mathbb{C}^2(G)$  is harmonic if  $\Delta u = 0$  on G.

#### TODO example?

#### Příklad

If  $G \subset \mathbb{C}$  is a simply connected domain,  $f \in \mathcal{H}(G)$  and  $f \neq 0$  on G, then  $\log |f| = \Re F$  for some  $F \in \mathcal{H}(G)$ . In particular,  $\log |f|$  is harmonic.

 $D\mathring{u}kaz$ 

We know that there is a holomorphic branch F of  $\log f$ , i.e.,  $F \in \mathcal{H}(G)$  and  $f = e^F$ . Then  $|f| = e^{\Re F}$ .

Důsledek (From examples)

If  $G \subset \mathbb{C}$  is open,  $0 \neq f \in \mathcal{H}(G)$ , then  $\log |f|$  is harmonic.

 $D\mathring{u}kaz$ 

By the previous exercise,  $\log |f|$  is harmonic on any open ball U in G.

TODO example?

#### Věta 9.2

If  $G \subset \mathbb{C}$  is a simply connected domain and  $u : G \to \mathbb{R}$  is harmonic, then there is  $f \in \mathcal{H}(G)$  such that  $\Re f = u$ .

Poznámka

Every harmonic function is locally (but not globally) the real part of a holomorphic function

If  $f \in \mathcal{H}(G)$ , then  $f' = \partial f = \partial (f + \overline{f}) = 2\partial (\Re f)$ , because  $\partial \overline{f} = \overline{(\overline{\partial} f)} = 0$ .

Důkaz

We have  $\partial u \in \mathcal{H}(G)$ , because  $\overline{\partial}(\partial u) = \frac{1}{4}\Delta u = 0$ . Then there is  $f_0 \in \mathcal{H}(G)$  such that  $f_0' = 2\partial u$ .

By remark,  $2\partial(\Re f_0)=f_0'=2\partial u, \ \partial(\Re f_0-u)=0. \implies \frac{\partial}{\partial x}(\Re f_0-u)=0, \ \frac{\partial}{\partial y}(\Re f_0-u)=0$  on the domain G.

#### Důsledek

Let  $G \subset \mathbb{C}$  be open and  $u : G \to \mathbb{R}$  be harmonic. Then  $u \in \mathcal{C}^{\infty}(G)$  and u satisfies the mean value property:

$$\frac{1}{2\pi} \int_0^{2\pi} u(z_0 + re^{it}) dt = u(z_0),$$

whenever  $\overline{U(z_0,r)} \subset G$ .

 $D\mathring{u}kaz$ 

Let  $\overline{U(z_0,r)} \subseteq G$ . Take  $R \in (r,+\infty)$  such that  $U(z_0,R) \subseteq G$ . Then  $u = \Re F$  for some  $F \in \mathcal{H}(U(z_0,R))$ . So  $u \in \mathcal{C}^{\infty}(U(z_0,R))$  and, by the Cauchy integral formula,

$$F(z_0) = \frac{1}{2\pi i} \int_{\omega} \frac{F(z)}{z - z_0} dz = \frac{1}{2\pi i} \int_{0}^{2\pi} F(z_0 + re^{it}) \cdot \frac{ire^{it}}{re^{it}} dt,$$

where  $\varphi(t) = z_0 + re^{it}$ ,  $t \in [0, 2\pi]$ . This implies mean value property.

# Věta 9.3 (The maximum principle)

Let  $G \subset \mathbb{C}$  be a domain and  $u : G \to \mathbb{R}$  be continuous and u satisfies mean value property. If u is not constant, then u does not attain an extreme in G.

 $D\mathring{u}kaz$ 

Let us assume that  $z_0 \in G$  and, say,  $u(z_0) \ge u$  on G. Put  $M := \{z \in G | u(z) = u(z_0)\}$ . Obviously,  $\emptyset \ne M$  is closed in G. If we show that M is open, then M = G. Let  $z_1 \in M$  and  $U(z_1, r) \subset G$ . We show that  $U(z_1, r) \subset M$ . Assume that there is  $z_2 \in U(z_1, r) \setminus M$ .

By mean value property,  $u(z_0) = u(z_1) = \frac{1}{2\pi} \int_0^{2\pi} u(z_1 + \varrho e^{it}) dt < u(z_0)$ , where  $\varrho := |z_1 - z_2|$ . The Part inequality is strict because  $u \leq u(z_0)$  on G and  $u < u(z_0)$  in a neighbourhood of  $z_2$ .

Důsledek

Let  $G \subset \mathbb{C}$  be bounded and open,  $u \in \mathcal{C}(\overline{G})$  and u be harmonic on G. Then

$$\min_{\partial G} u \leqslant u \leqslant \max_{\partial G} u \text{ on } G.$$

Poznámka

The assumption of harmonicity can be replaced with mean value property.

Let  $z_0 \in G$  and  $u(z_0) = \max_{\overline{G}} u$ . Then u is constant on the component  $G_0$  of G containing  $z_0$ . So u attains the maximum at the boundary.

# 10 Poisson integral

Poznámka

Let  $u: \overline{\mathbb{D}} \to \mathbb{R}$  be harmonic, i.e. u is harmonic on U(0,r) for some r > 1. Then there is  $f \in \mathcal{H}(\overline{\mathbb{D}})$  such that  $u = \Re f$  on  $\overline{\mathbb{D}}$  and  $f(z) = \sum_{n=0}^{\infty} a_n z^n$ ,  $|z| \leq 1$ .

For  $|z| \leq 1$ ,  $z = re^{i\theta}$ , we have

$$u(z) = \Re f(z) = \Re a_0 + \sum_{n=0}^{+\infty} \frac{1}{2} (a_n r^n e^{in\theta} + \overline{a_n} r^n e^{-in\theta}).$$

Hence  $u(z) = \sum_{n=-\infty}^{\infty} b_n \cdot r^{|n|} e^{in\theta}$ , where  $b_n = \Re a_0$  for n = 0,  $b_n = \frac{1}{2} a_n$  for n > 0 and  $b_n = \frac{1}{2} \overline{a_{-n}}$  for n < 0.

In addition, we have

$$\frac{1}{2\pi} \int_{-\pi}^{\pi} u(e^{it}) e^{-imt} dt = \frac{1}{2\pi} \int_{-\pi}^{\pi} \left( \sum_{n=-\infty}^{\infty} b_n e^{int} \right) e^{-imt} dt = \frac{1}{2\pi} \int_{-\pi}^{\pi} \sum_{n=-\infty}^{\infty} b_n e^{i(n-m)t} dt =$$

$$= \sum_{n=-\infty}^{\infty} b_n \cdot \frac{1}{2\pi} \int_{-\pi}^{\pi} e^{i(n-m)t} dt = b_m.$$

Putting this equations together, we get, for  $z = re^{i\theta}$  with  $r \in [0, 1)$ ,

$$u(z) = \sum_{n = -\infty}^{\infty} r^{|n|} \cdot \frac{1}{2\pi} \int_{-\pi}^{\pi} u(e^{it}) e^{in(\theta - t)} dt = \frac{1}{2\pi} \int_{-\pi}^{\pi} \left( \sum_{n = -\infty}^{\infty} r^{|n|} e^{in(\theta - t)} \right) u(e^{it}) dt.$$

# Definice 10.1 (Poisonn kernel, poisson integral)

For  $0 \le r < 1$ ,  $\theta \in \mathbb{R}$ , put

$$P_r(\theta) := \sum_{n=-\infty}^{\infty} r^{|n|} e^{in\theta}.$$

$$[Pu](re^{i\theta}) := \frac{1}{2\pi} \int_{-\pi}^{\pi} P_r(\theta - t) u(e^{it}) dt$$

for  $0 \le r < 1$ ,  $\theta \in \mathbb{R}$ .

Příklad

$$P_r(\theta) = \Re\left(\frac{1 + re^{i\theta}}{1 - re^{i\theta}}\right) = \frac{1 - r^2}{1 + r^2 - 2r\cos\theta}.$$

Důkaz TODO?

## Věta 10.1

If u is harmonic on  $\overline{\mathbb{D}}$ , then u = Pu on  $\mathbb{D}$ .

Poznámka

The Poisson formula for harmonic functions is an analogue of the Cauchy integral formula.

$$P1 = 1$$
.