

*Poznámka*

Credit for giving 'small lecture'. Oral exam.

# 1 Meromorphic functions

## Definice 1.1

We say that a function  $f$  is holomorphic in a set  $F \subset \mathbb{C}$  if there is an open  $G \supseteq F$  such that  $f$  is holomorphic on  $G$ .

In particular,  $f$  is holomorphic at  $z_0 \in \mathbb{C}$  if  $f$  is holomorphic in some neighbour ( $= U(z_0) = U(z_0, \varepsilon)$ ) of  $z_0$ .

## Definice 1.2

Function  $f$  has at  $\infty$  a removable singularity, if  $f\left(\frac{1}{z}\right)$  has a removable singularity at 0. Similarly pole and essential singularity.

Function  $f$  is holomorphic at  $\infty$  if  $f\left(\frac{1}{z}\right)$  is holomorphic at 0.

Let  $G \subset \mathbb{S}$  be open. Then  $f$  is holomorphic on  $G$  if  $f$  is holomorphic at any  $z_0$ . Denote  $\mathcal{H}(G) := \{f : G \rightarrow \mathbb{C} \mid f \text{ holomorphic}\}$ .

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*Například*

From Liouville theorem  $\mathcal{H}(\mathbb{S}) = \text{constant functions}$ . So  $\mathcal{H}(G)$  is interesting only for  $G \subsetneq \mathbb{S}$ , so WLOG  $G \subset \mathbb{C}$ .

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## Definice 1.3 (Meromorphic function)

Let  $G \subset \mathbb{S}$  be open. Then a function  $f$  on  $G$  is called meromorphic if at any  $z_0 \in G$  the function  $f$  is either holomorphic at  $z_0$  or has a pole at  $z_0$ .

Denote  $\mathcal{M}(G)$  the set of meromorphic functions on  $G$ .

*Důsledek*

- $\mathcal{H}(G) \subset \mathcal{M}(G)$ .
- Denote  $P_f := \{z_0 \in G \mid f \text{ has a pole at } z_0\}$ . Then  $P_f$  has no limit points in  $G$ .
- If  $f = \infty$  on  $P_f$ , then  $f : G \rightarrow \mathbb{S}$  is continuous. (We always assume, that  $f \in \mathcal{H}(G)$  has this property.)

*Například*

$$\frac{\pi}{\sin(\pi z)} \in \mathcal{M}(\mathbb{C}), \quad e^{\frac{1}{z}} \notin \mathcal{M}(\mathbb{C}), \quad \Gamma \in \mathcal{M}(\mathbb{C}), \quad \zeta \in \mathcal{M}(\mathbb{C}).$$

$\mathcal{M}(\mathbb{S}) = \text{rational functions}$ . (One inclusion is clear, second: Let  $f \in \mathcal{M}(\mathbb{S})$ , then because  $\mathbb{S}$  is compact it holds that  $P_f$  is finite (has no limit point),  $P_f \cap \mathbb{C} = \{z_1, \dots, z_n\}$ , so from theorem from last semester there exists  $h \in \mathcal{H}(\mathbb{C})$  such that  $f(z) = h(z) + \sum_{j=1}^n p_j \left( \frac{1}{z-z_j} \right)$  for some polynomials  $p_j$ .  $f$  has removable singularity or pole at infinity and  $p_j$  and  $\frac{1}{z-z_j}$  have removable singularity there, so  $h(z)$  is polynomial, otherwise  $h(z)$  has infinity Taylor polynom and  $h\left(\frac{1}{z}\right)$  has essential singularity at 0.)

So  $\mathcal{M}(G)$  is interesting for  $G \subsetneq \mathbb{S}$ , WLOG  $G \subset \mathbb{C}$ .

If  $G \subset \mathbb{C}$  is domain,  $f, g \in \mathcal{H}(G)$  and  $g \equiv 0$ , then  $f/g \in \mathcal{M}(G)$ . The inverse is also true (we will prove it) (but not for  $G = \mathbb{S}$ ).

### Lemma 1.1

Let  $G \subset \mathbb{C}$  be open. Then there are compacts  $K_n$ ,  $n \in \mathbb{N}$ , in  $G$  such that  $G = \bigcup_{n=1}^{\infty} K_n$ ,  $K_n \subset \text{int}(K_{n+1})$  and for any compact  $K$  in  $G$ ,  $\exists n \in \mathbb{N} : K \subset K_n$ .

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*Důkaz*

Set  $K_n := \{z \in G \mid \text{dist}(z, \mathbb{C} \setminus G) \geq \frac{1}{n}\} \cap U(0, n)$ .

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□

### Tvrzení 1.2

Let  $G \subset \mathbb{S}$  be open and  $M \subset G$  has no limit point in  $G$ . Then

- $G \setminus M$  is open;
- if  $K$  is a compact in  $G$ , then  $K \cap M$  is finite. In particular for  $G = \mathbb{S}$  we have  $M$  is finite;
- $M$  is at most countable. If  $M$  is infinite, then  $\emptyset \neq M' \subset \partial G$ ;
- if  $G \subset \mathbb{C}$  is domain (connected), then  $G \setminus M$  is domain.

### Věta 1.3 (Uniqueness of meromorphic functions)

Let  $G \subset \mathbb{C}$  be a domain,  $f \in \mathcal{M}(G)$  and  $f \not\equiv 0$ . Then  $N_f := \{z \in G \mid f(z) = 0\}$  has no limit points in  $G$ .

*Důkaz*

We know this holds for holomorphic functions. Set  $G_0 := G \setminus P_f$ . Then  $G_0 \subset \mathbb{C}$  is also domain and  $f \in \mathcal{H}(G)$  and  $f \not\equiv 0$  on  $G_0$ . Then  $N_f \subset G_0$  has no limit points in  $G_0$ , nor in  $P_f$ .  $\square$

### Věta 1.4 (Residue theorem)

Let  $G \subset \mathbb{C}$  be open,  $\varphi$  be a closed curve (or cycle) in  $G$  and  $\text{int } \varphi := \{z_0 \in \mathbb{C} \setminus \langle \varphi \rangle \mid \text{ind}_\varphi z_0 \neq 0\} \subset G$ . Let  $M \subset G \setminus \langle \varphi \rangle$  be finite and  $f \in \mathcal{H}(G \setminus M)$ . Then  $\int_\varphi f = 2\pi i \cdot \sum_{s \in M} \text{ind}_\varphi s \cdot \text{res}_s f$ .

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This holds true even if instead of finiteness of  $M$ , we assume only that  $M \subset G \setminus \langle \varphi \rangle$  has no limit points in  $G$ . Indeed, we have  $M_0 = M \cap \text{int } \varphi$  is finite, because  $\langle \varphi \rangle \cup \text{int } \varphi$  is compact and  $G_0 := G \setminus (M \setminus M_0)$  is open and  $f$  is holomorphic on  $G_0 \setminus M_0$  and by R. theorem for  $G_0$  and  $M_0$  we get  $\int_\varphi f = 2\pi i \sum_{s \in M_0} \text{res}_s f \cdot \text{ind}_\varphi s$ .

## 1.1 Logarithmic integrals

### Definice 1.4 (Logarithmic integral)

Let  $\varphi : [a, b] \rightarrow \mathbb{C}$  be a (regular) curve and let  $f$  be a non-zero holomorphic function on  $\langle \varphi \rangle$ . Then we define logarithmic integrals integral as

$$I := \frac{1}{2\pi i} \int_\varphi \frac{f'}{f} = \frac{1}{2\pi i} \int_a^b \frac{f'(\varphi(t))\varphi'(t)}{f(\varphi(t))} dt = \frac{1}{2\pi i} \int_a^b \frac{(f(\varphi(t)))'}{f(\varphi(t))} dt = \frac{1}{2\pi i} \int_{f \circ \varphi} \frac{dz}{z} = \frac{1}{2\pi i} (\Phi(b) - \Phi(a)),$$

where  $\Phi$  is a branch (jednoznačná větev) of logarithm of  $f \circ \varphi$ . If  $\varphi$  is, in addition, closed, then  $I = \text{ind}_{f \circ \varphi} 0 = \frac{1}{2\pi} (\Theta(b) - \Theta(a)) \in \mathbb{Z}$ , where  $\Theta$  is a branch of argument of  $f \circ \varphi$ .

( $\frac{f'}{f}$  is called logarithmic derivative of  $f$ , because  $(\log f)' = \frac{f'}{f}$ .)

### Věta 1.5 (Argument principle)

Let  $G \subseteq \mathbb{C}$  be a domain,  $\varphi$  be a closed curve in  $G$  and  $f \in \mathcal{M}(G)$ . Let  $\text{int } \varphi \subset G$  and  $\langle \varphi \rangle \cap N_f = \emptyset$ ,  $\langle \varphi \rangle \cap P_f = \emptyset$ . Then

$$\frac{1}{2\pi i} \int_\varphi \frac{f'}{f} = \sum_{s \in \text{int } \varphi, f(s)=0} n_f(s) \cdot \text{ind}_\varphi s - \sum_{s \in \text{int } \varphi, f(s)=\infty} p_f(s) \cdot \text{ind}_\varphi s,$$

where  $n_f(s)$  is multiplicity of the zero point  $s$  of  $f$  and  $p_f(s)$  is multiplicity of the pole  $s$  of  $f$ .

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Důkaz

By Residua theorem, we have

$$\frac{1}{2\pi i} \int_{\varphi} \frac{f'}{f} = \sum_{s \in \text{int } \varphi, s \in N_f \cup P_f} \text{res}_s \left( \frac{f'}{f} \right) \cdot \text{ind}_{\varphi} s.$$

If  $s \in N_f$  then on  $P(s)$ :

$$\frac{f'(z)}{f(z)} = \frac{p \cdot c_p(z-s)^{p-1} + \dots}{c_p(z-s)^p + \dots} = \frac{p}{z-s} \cdot \frac{1 + \dots}{1 + \dots} \implies \text{res}_s \left( \frac{f'}{f} \right) = p = n_f(s).$$

If  $s \in P_f$  then on  $P(s)$

$$\frac{f'(z)}{f(z)} = \frac{p \cdot c_p(z-s)^{p-1} + \dots}{c_p(z-s)^p + \dots} = \frac{p}{z-s} \cdot \frac{1 + \dots}{1 + \dots} \implies \text{res}_s \left( \frac{f'}{f} \right) = p = -p_f(s).$$

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□

## Definice 1.5

$$\Sigma(f, \varphi) := \sum_{s \in \text{int } \varphi, f(s)=0} n_f(s) \cdot \text{ind}_{\varphi} s - \sum_{s \in \text{int } \varphi, f(s)=\infty} p_f(s) \cdot \text{ind}_{\varphi} s.$$

## Lemma 1.6

Let  $\varphi_1, \varphi_2 : [a, b] \rightarrow \mathbb{C}$  be closed curve and  $s \in \mathbb{C} \setminus (\langle \varphi_1 \rangle \cup \langle \varphi_2 \rangle)$ . Assume, for  $t \in [a, b]$ ,  $|\varphi_1(t) - \varphi_2(t)| < |\varphi_1(t) - s|$ . Then  $\text{ind}_{\varphi_1} s = \text{ind}_{\varphi_2} s$ .

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Důkaz

For  $t \in [a, b]$ , we have  $|(\varphi_1(t) - s) - (\varphi_2(t) - s)| < |\varphi_1(t) - s|$ . Divide by  $|\varphi_1(t) - s|$ :

$$|1 - \psi(t)| < 1, \quad \psi(t) := \frac{\varphi_2(t) - s}{\varphi_1(t) - s}.$$

Then  $\psi$  is a closed curve,  $\psi \subset U(1, 1)$ , and so

$$0 = \text{ind}_{\psi} 0 = \frac{1}{2\pi i} \int_a^b \frac{\psi'}{\psi} = \frac{1}{2\pi i} \int_a^b \frac{\frac{\varphi_2'(\varphi_1-s) - \varphi_1'(\varphi_2-s)}{(\varphi_1-s)^2}}{\frac{\varphi_2-s}{\varphi_1-s}} = \frac{1}{2\pi i} \int_a^b \frac{\varphi_2'}{\varphi_2-s} - \frac{1}{2\pi i} \int_a^b \frac{\varphi_1'}{\varphi_1-s} = \text{ind}_{\varphi_2} s - \text{ind}_{\varphi_1} s.$$

└

□

## Věta 1.7 (Rouché)

Let  $G \subset \mathbb{C}$  be a domain,  $f_1, f_2 \in \mathcal{M}(G)$  and  $\varphi$  be closed curve in  $G$  such that  $\text{int } \varphi \subset G$ . Assume  $\forall z \in \langle \varphi \rangle$ :

$$|f_1(z) - f_2(z)| < |f_1(z)| < +\infty$$

Then  $\Sigma(f_1, \varphi) = \Sigma(f_2, \varphi)$ .

┌ *Důkaz*

Set  $\varphi_j = f_j \circ \varphi$ . Then

$$\text{ind}_{\varphi_j} 0 = \frac{1}{2\pi i} \int_{\varphi} \frac{f'_j}{f_j} = \Sigma(f_j, \varphi).$$

└ By previous lemma we have for  $s = 0$ :  $\text{ind}_{\varphi_1} 0 = \text{ind}_{\varphi_2} 0$ . □

*Důsledek*

Let  $f_1, f_2$  be holomorphic functions on  $\overline{U(z_0, r)}$  and  $\forall z \in \partial U(z_0, r) : |f_1(z) - f_2(z)| < |f_1(z)|$ . Then  $\Sigma_1 = \Sigma_2$ , where  $\Sigma_j := \sum_{s \in U(z_0, r), f(s)=0} n_{f_j}(s)$ .

┌ *Důkaz*

└ Apply Rouché's theorem to  $\varphi(t) := z_0 + r \cdot e^{it}$ ,  $t \in [0, 2\pi]$ . □

*Příklad*

$f_2 = p$ ,  $f_1(z) = a_0 z^n$  and big enough  $U(0, r)$ .

### Definition 1.6 (Notation)

Let  $f$  be a function holomorphic at  $z_0 \in \mathbb{C}$ . We say that  $f(z_0) = w_0 \in \mathbb{C}$   $p$  times for  $p \in \mathbb{N}$  if  $z_0$  is a zero point of  $f - w_0$  of order  $p$ .

┌ *Poznámka*

Following statements are equivalent to each other:

- $f(z_0) = w_0$   $p$  times;
- $f(z_0) = w_0$ ,  $f'(z_0) = 0 = \dots = f^{(p-1)}(z_0)$ ,  $f^{(p)}(z_0) \neq 0$ ;
- $f(z) = w_0 + \sum_{k=p}^{+\infty} c_k (z - z_0)^k$  on some neighbourhood of  $z_0$  and  $c_p \neq 0$ .

└ We say that  $f(z_0) = \infty$   $p$  times if  $z_0$  is a zero point of  $\frac{1}{f}$  of order  $p$ . (It's the same as  $z_0$  is pole of  $f$  of order  $p$ .) And we say that  $f(\infty) = w_0 \in \mathbb{S}$   $p$  times if  $f(1/z)$  attains  $w_0$   $p$  times at 0.

### Věta 1.8 (On a multiple value)

Let  $z_0, w_0 \in \mathbb{S}$ ,  $f$  be a holomorphic function on a  $P(z_0)$  and  $f(z_0) = w_0$   $p$  times for some  $p \in \mathbb{N}$ . Let  $\delta_0 > 0$ . Then there are  $\varepsilon > 0$  and  $\delta \in (0, \delta_0)$  such that, for any  $w \in P(w_0, \varepsilon)$  there are just  $p$  different points  $z_1, \dots, z_p$  in  $P(z_0, \delta)$  with  $f(z_j) = w$ . In addition,  $f(z_j) = 0$  once.

┌ *Důkaz*

WLOG, assume  $z_0 = 0 = w_0$ . Then  $z_0 = 0$  is a zero point of  $f$  of order  $p$ . Choose  $\delta \in (0, \delta_0)$  such that  $f \neq 0$  and  $f' \neq 0$  on  $P(0, 2\delta)$ . Set  $\varepsilon := \min_{|z|=\delta} |f(z)| > 0$ .

Let  $w \in P(0, \varepsilon)$ . Use Rouché's theorem for  $f_1 := f$ ,  $f_2 := f - w$  and  $\varphi := \delta e^{it}$ ,  $t \in [0, 2\pi]$ . Of course,  $|f_1 - f_2| = |w| < \varepsilon < |f_1|$  on  $\langle \varphi \rangle$ .

Since in  $U(0, \delta)$  the function  $f = f_1$  has the only zero point of order  $p$  at origin,  $f - w = f_2$  has just  $p$  simple zero points in  $P(0, \delta)$ . □

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*Důsledek*

Let  $G \subset \mathbb{S}$  be a domain,  $f \in \mathcal{M}(G)$  and  $f$  be not constant on  $G$ . Then  $f : G \rightarrow \mathbb{S}$  is an open map (for any open  $\Omega \subset G$ ,  $f(\Omega)$  is open).

┌ *Důkaz*

Let  $\Omega \subset G$  be open and  $w_0 \in f(\Omega)$ . Then there is a  $z_0 \in \Omega$  and  $p \in \mathbb{N}$  such that  $f(z_0) = w_0$   $p$  times. Choose  $\delta_0 > 0$  such that  $U(z_0, \delta_0) \subset \Omega$ . By the previous theorem, there is  $\varepsilon > 0$ ,  $\delta \in (0, \delta_0)$  such that  $P(w_0, \varepsilon) \subset f(P(z_0, \delta))$ , so  $U(w_0, \varepsilon) \subset f(U(z_0, \delta)) \subset f(\Omega)$ . □

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┌ *Poznámka*

This is true for  $\mathcal{H}(G)$  too.

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*Důsledek*

Let  $f$  be a function holomorphic at  $z_0 \in \mathbb{C}$ . Then  $f'(z_0) \neq 0$  if and only if there is  $U(z_0)$  such that  $f|_{U(z_0)}$  is one-to-one.

┌ *Důkaz*

„  $\implies$  “: Let  $f'(z_0) \neq 0$ . Then  $f(z_0) = w_0$  once, so we choose  $\delta_0 > 0$  such that  $f \neq w_0$  on a  $P(z_0, \delta_0)$ . By the previous theorem choose  $\varepsilon > 0$ ,  $\delta \in (0, \delta_0)$ . Moreover, due to the continuity of  $f$  at  $z_0$  choose  $\delta_1 \in (0, \delta)$  such that  $f(U(z_0, \delta_1)) \subset U(w_0, \varepsilon)$ . Then  $f|_{U(z_0, \delta_1)}$  is one-to-one.

„  $\impliedby$  “: Let  $f'(z_0) = 0$  and let  $f$  be not constant on any neighbourhood of  $z_0$ . Then  $f(z_0) = w_0$   $p$  times ( $p \in \mathbb{N} \setminus \{1\}$ ). By the previous theorem  $f$  is not one-to-one on any neighbourhood of  $z_0$ . □

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## Věta 1.9 (On holomorphic inverse)

Let  $G \subset \mathbb{C}$  be open and  $f : G \rightarrow \mathbb{C}$  be a one-to-one holomorphic<sup>a</sup> function, then  $f' \neq 0$  on  $G$ ,  $\Omega := f(G)$  is open and  $f_{-1} : \Omega \xrightarrow{\text{onto}} G$  is holomorphic.

In addition,  $(f_{-1})' = \frac{1}{f' \circ f_{-1}}$  on  $\Omega$ .

*Důkaz*

WLOG,  $G \subset \mathbb{C}$  is a domain. By first „důsledek“ of previous theorem  $f$  is an open map, so  $\Omega := f(G)$  is open and  $f_{-1} : \Omega \rightarrow G$  is continuous. Let  $z_0 \in G$  and  $w_0 = f(z_0)$ . By second „důsledek“ we have  $f'(z_0) \neq 0$ , and

$$\frac{1}{f'(z_0)} = \lim_{z \rightarrow z_0} \frac{z - z_0}{f(z) - f(z_0)} \stackrel{*}{=} \lim_{w \rightarrow w_0} \frac{f_{-1}(w) - f_{-1}(w_0)}{w - w_0} = f'_{-1}(w_0).$$

The equality  $*$  follows from theorem on limits of composite functions because  $f_{-1}$  is continuous and  $f_{-1}(w) \neq f_{-1}(w_0)$  for  $w \neq w_0$ .  $\square$

<sup>a</sup>One-to-one holomorphic function is sometimes called conformal.

### Věta 1.10 (Hurwitz)

Let  $G \subset \mathbb{C}$  be a domain,  $f_n \in \mathcal{H}(G)$ ,  $f_n \xrightarrow{\text{loc.}} f$  on  $G$  and  $f \not\equiv 0$ . Let  $z_0 \in G$  be a zero point of  $f$ . Then  $\exists \{z_n\}_{n=1}^{\infty} \subset G$  and a subsequence  $\{f_{k_n}\}$  of  $\{f_n\}$  such that  $z_n \rightarrow z_0$  and  $f_{k_n}(z_n) = 0$ .

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Not true in  $\mathbb{R}$ ! The assumption  $f \not\equiv 0$  is important! ( $f_n(z) := z/n$ )

*Důsledek*

Let  $G \subset \mathbb{C}$  be a domain,  $f_n$  be one-to-one holomorphic functions on  $G$  and  $f_n \xrightarrow{\text{loc.}} f$  on  $G$ . Then  $f$  is either one-to-one and holomorphic, or constant.

*Důkaz (Hurwitz theorem)*

Choose  $\delta > 0$  such that  $U(z_0, \delta) \subset G$  and  $f \neq 0$  on  $P(z_0, \delta)$ . For  $n \in \mathbb{N}$  put  $\varrho_n := \frac{\delta}{n+1}$  and  $\varphi_n(t) := z_0 + \varrho_n e^{it}$ ,  $t \in [0, 2\pi]$ . Of course,  $\tau_n := \min_{\langle \varphi_n \rangle} |f| > 0$ . For a given  $n$ , there is (from uniformly convergence)  $k_n \in \mathbb{N}$  such that  $\forall z \in \langle \varphi_n \rangle : |f_{k_n}(z) - f(z)| < \tau_n \leq |f|$ .

By Rouché's theorem there is  $z_n \in U(z_0, \varrho_n)$  such that  $f_{k_n}(z_n) = 0$ . Of course, we can choose  $\{k_n\}$  to be increasing.  $\square$

*Důkaz (Corollary)*

Assume that there is  $w_0 \in \mathbb{C}$  such that  $f \neq w_0$  but, for different  $z', z'' \in G$  we have  $f(z') = w_0 = f(z'')$ . WLOG  $w_0 = 0$ . Choose  $\delta > 0$  such that  $U(z', \delta) \cap U(z'', \delta) = \emptyset$ . By Hurwitz, there are  $\{z'_n\} \subset U(z', \delta)$  and  $\{f_{k'_n}\}$  of  $\{f_n\}$  such that  $z'_n \rightarrow z'$  and  $f_{k'_n}(z'_n) = 0$ . By Hurwitz, there are also  $\{z''_n\} \subset U(z'', \delta)$  and  $\{f_{k''_n}\} \subset \{f_{k'_n}\}$  such that  $z''_n \rightarrow z''$  and  $f_{k''_n}(z''_n) = 0$ .

Every  $f_{k''_n}$  has at least two different zero points which is contradiction.  $\square$

**Věta 1.11** (Mittag-Leffler)

Let  $\{s_j\} \subset \mathbb{C}$  be one-to-one,  $s_j \rightarrow \infty$  and

$$s_0 := 0 < |s_1| \leq |s_2| \leq |s_3| \leq \dots \leq |s_j| \leq \dots$$

Let  $P_0, P_1, \dots, P_j, \dots$  be polynomials such that  $P_j(0) = 0$ . Then the function

$$f(z) := P_0\left(\frac{1}{z}\right) + \sum_{j=1}^{\infty} \left( P_j\left(\frac{1}{z-s_j}\right) - Q_j(z) \right)$$

for some polynomials  $Q_j$  satisfies:

1. series in definition converges locally uniformly on  $\mathbb{C}$ , i. e., on any compact  $K \subset \mathbb{C}$ , the series converges uniformly if we omit finitely many terms which have poles.
2.  $f \in \mathcal{M}(\mathbb{C})$  and  $f$  has poles just at  $s_0, s_1, \dots, s_j, \dots$ , while at  $s_j$  the function  $f$  has its principal part equal to  $P_j\left(\frac{1}{z-s_j}\right)$ .
3. If  $g \in \mathcal{M}(\mathbb{C})$  satisfies previous property, then there is  $h \in \mathcal{H}(\mathbb{C})$  such that  $g = f + h$  on  $G$ .

┌ *Důkaz*

Let  $k \in \mathbb{N}$ . Then  $H_k(z) := P_k\left(\frac{1}{z-s_k}\right) \in \mathcal{H}(U(0, |s_k|))$ ,  $H_k(z) = \sum_{n=0}^{\infty} c_n^k z^n$  for  $|z| < |s_k|$ . There is  $n_k \in \mathbb{N}$  such that  $Q_k(z) = \sum_{n=1}^{n_k} c_n^k z^n$  satisfies  $|H_k(z) - Q_k(z)| < \frac{1}{2^k}$ ,  $|z| \leq \frac{|s_k|}{2}$  (\*).

Let  $K \subset \mathbb{C}$  be a compact. Choose  $k_0 \in \mathbb{N}$  such that  $K \subset \overline{U(0, |s_{k_0}|/2)}$ . If  $k > k_0$ , (\*) holds on  $K$  which implies 1. obviously, 2. is valid.

3. follow from the fact that  $g - f \in \mathcal{M}(\mathbb{C})$  has all isolated singularities removable.  $\square$

## 2 Zero points of holomorphic functions

**Tvrzení 2.1**

Let  $f$  be non-zero holomorphic function on a simply connected domain ( $G$  is domain, and  $\mathbb{S} \setminus G$  is connected)  $G \subset \mathbb{C}$ . Then there is  $L \in \mathcal{H}(G)$  such that  $f = e^L$  on  $G$ .



*Důkaz*

1) Let  $L \in \mathcal{H}(G)$  and  $f = e^L$  on  $G$ . Then  $f' = L' \cdot e^L$  and  $f'/f = L'$ .

2) Since  $G$  is a simply connected domain and  $f'/f \in \mathcal{H}(G)$ , by Cauchy theorem, there is  $L_0 \in \mathcal{H}(G)$  such that  $L'_0 = f'/f$ .

3) On  $G$  we have  $(f \cdot e^{-L_0})' = e^{-L_0} \cdot (f' - L'_0 \cdot f) = 0$  on  $G$ , hence  $f \cdot e^{-L_0} = e^c$  is constant, i. e.  $c \in \mathbb{C}$ . Put  $L := L_0 + c$ .  $\square$

*Poznámka*

Polynomial  $f(z) = \prod_{j=1}^n (z - z_j)$  has zero points just at  $z_1, \dots, z_n$  and their multiplicity corresponds to their occurrence.

Let  $g \in \mathcal{H}(\mathbb{C})$  have the same zero points including multiplicity as  $f$ . Then there is  $L \in \mathcal{H}(\mathbb{C})$  such that  $g = f \cdot e^L$  on  $\mathbb{C}$ . (Proof: use previous tvrzení for  $g/f$ .)

*Poznámka* (Notation)

Let  $\{a_j\} \subset \mathbb{C}$ . Then we define

$$\prod_{j=1}^{\infty} a_j := \lim_{n \rightarrow \infty} \prod_{j=1}^n a_j,$$

if the limit on the right-hand side exists.

## Tvrzení 2.2

Let  $0 \neq z_j \rightarrow \infty$  and  $k \in \mathbb{N}_0$  (multiplicity of 0 as zero point). Then consider

$$f(z) := z^k \prod_{j=1}^{\infty} \left(1 - \frac{z}{z_j}\right).$$

It sometimes converges and then  $f$  has zero points in  $z_j$  with right multiplicities.

## Věta 2.3 (On infinite product)

Let  $M$  be a set (in  $\mathbb{C}$ ),  $u_j : M \rightarrow \mathbb{C}$  be bounded and  $\sum_{j=1}^{\infty} |u_j|$  converges uniformly on  $M$ . Then  $p_n := \prod_{j=1}^n (1 + u_j)$  converge uniformly to a function  $f : M \rightarrow \mathbb{C}$ , and it holds that  $f = \prod_{j=1}^{\infty} (1 + u_{n(j)})$  on  $M$ , where  $n$  is bijection onto  $\mathbb{N}$ .

If  $z_0 \in M$ , then  $f(z_0) = 0$  if and only if  $u_{j_0}(z_0) = -1$  for some  $j_0 \in \mathbb{N}$ .

*Důkaz*

Denote  $p_n^* := \prod_{j=1}^n (1 + |u_j|)$ . Then  $p_n^* \leq \exp\left(\sum_{j=1}^n |u_j|\right)$  and  $|p_n - 1| \leq p_n^* - 1$  (from  $1 + x \leq e^x$  and the second inequality by induction on  $n$ :  $n = 1$  yes,  $p_{n+1} - 1 = p_n(1 + u_{n+1}) - 1 = (p_n - 1) \cdot (1 + u_{n+1}) + u_{n+1}$  so  $|p_{n+1} - 1| \leq (p_n^* - 1) \cdot (1 + |u_{n+1}|) + |u_{n+1}| = p_{n+1}^* - 1$ ).

$\sum_{j=1}^{\infty} |u_j|$  is bounded on  $M$ , because there is  $n_0 \in \mathbb{N}$  such that  $\sum_{j=n_0+1}^{\infty} |u_j| < 1$ . By inequalities there is  $C \in (0, +\infty)$  such that  $|p_n| \leq C \forall n \in \mathbb{N}$ .

Let  $0 < \varepsilon < \frac{1}{2}$ . Choose  $n_0 \in \mathbb{N}$  such that  $\sum_{n=n_0}^{\infty} |u_n| < \varepsilon$  on  $M$ . Let  $\{n_1, n_2, \dots\}$  be a permutation of  $\mathbb{N}$  and  $q_m := \prod_{j=1}^m (1 + u_{n_j})$ ,  $m \in \mathbb{N}$ . Let  $n \geq n_0$  and  $m \in \mathbb{N}$  be such that  $\{n_1, \dots, n_m\} \supseteq [n]$ . Then

$$|q_m - p_n| = |p_n \cdot \left( \prod_{n_j > n, j \in [m]} (1 + u_{n_j}) - 1 \right)| \leq |p_n| \left( \prod_{\dots} (1 + |u_{n_j}|) - 1 \right) \leq |p_n| \cdot (e^{\sum \dots |u_{n_j}|} - 1) \leq |p_n| \cdot (e^{\varepsilon} - 1)$$

If  $n_j = j \forall j \in \mathbb{N}$ , then  $q_m = p_m$  and we get  $\forall m > n : |q_m - p_n| < 2C\varepsilon$ , so  $p_n \rightrightarrows f$  on  $M$ . Moreover we have, for  $n \geq n_0$ ,  $|p_n - p_{n_0}| \leq 2\varepsilon|p_{n_0}|$ , so  $|p_n| \geq |p_{n_0}| - |p_n - p_{n_0}| \geq (1 - 2\varepsilon)|p_{n_0}|$ . For  $n \rightarrow \infty$ :  $|f| \geq (1 - 2\varepsilon)|p_{n_0}|$ , hence  $f(z_0) = 0 \Leftrightarrow p_{n_0}(z_0) = 0$ .

If  $n_j$  is any, then  $q_m \rightrightarrows f$  on  $M$ . □

*Důsledek*

Let  $G \subset \mathbb{C}$  be open,  $f_n \in \mathcal{H}(G)$  and  $f_n \not\equiv 0$  on any component of  $G$ . We assume  $\sum_{n=1}^{\infty} |1 - f_n|$  converges locally uniformly on  $G$ . Then  $f = \prod_{n=1}^{\infty} f_n$  converges locally uniformly on  $G$ ,  $f \in \mathcal{H}(G)$  and the resulting infinite product  $f$  does not depend on the order of functions  $f_n$ . Moreover, we have

$$n_f(s) = \sum_{k=1}^{\infty} n_{f_k}(s), \quad s \in G$$

where  $n_f(s)$  is multiplicity of a zero point  $s$  of  $f$ . Here we put  $n_f(s) = 0$  if  $f(s) \neq 0$ .

*Poznámka*

Moreover the ? in previous sum contains only finitely many non-zero terms for any  $s \in G$ .

*Důkaz*

Sufficient to prove previous equality. Let  $s \in G$ . There is a neighbourhood  $V$  of  $s$  such that  $f_n \rightrightarrows 1$  on  $V$ . Choose  $n_0 \in \mathbb{N}$  such that  $f_n \neq 0$  on  $V$  for  $n > n_0$ . By previous theorem, we get  $\prod_{n=n_0+1}^{\infty} f_n \neq 0$  on  $V$ . Since  $f = (\prod_{n=1}^{n_0} f_n) \cdot (\prod_{n=n_0+1}^{\infty} f_n)$  we get  $n_f(s) = \sum_{k=1}^{n_0} n_{f_k}(s) = \sum_{k=1}^{\infty} n_{f_k}(s)$ . □

*Příklad (Homework)*

Under the assumption of previous corollary prove that

$$\frac{f'}{f} = \sum_{n=1}^{\infty} \frac{f'_n}{f_n} \text{ on } G \setminus N_f.$$

*Například* (Euler formula)

$$\sin(\pi z) = \pi z \cdot \prod_{k=1}^{\infty} \left(1 - \frac{z^2}{k^2}\right).$$

### Lemma 2.4 (Weierstrass's factor)

Let  $E_0(z) := (1-z)$  and  $E_m(z) := (1-z) \cdot e^{z+\dots+\frac{z^m}{m}}$ ,  $z \in \mathbb{C}$ ,  $m \in \mathbb{N}$ . Then  $|1-E_m(z)| \leq |z|^{m+1}$ ,  $|z| \leq 1$ .

┌

*Důkaz*

$$E'_m(z) = e^{z+\dots+\frac{z^m}{m}} \cdot (-1 + (1-z) \cdot (1+\dots+z^m)) = -z^m \cdot e^{z+\dots+\frac{z^m}{m}} = -z^m \cdot \sum_{k=0}^{\infty} b_k z^k,$$

where  $b_0 = 1$ ,  $b_k \geq 0$ ,  $k \in \mathbb{N}$ . Hence

$$E_m(0) - E_m(z) = 1 - E_m(z) = - \int_{[0,z]} E'_m(w) dw = + \sum_{k=0}^{\infty} c_k z^{k+m+1}$$

with  $c_k = \frac{b_k}{m+k+1} \geq 0$ .

By this, if  $|z| \leq 1$ ,  $z \neq 0$ , then  $\left| \frac{1-E_m(z)}{z^m} \right| \leq \sum_{k=0}^{\infty} c_k = 1 - E_m(1) = 1$ . □

└

### Věta 2.5 (Weierstrass factorization in $\mathbb{C}$ )

Let  $k \in \mathbb{N}_0$  and  $0 \neq z_i \rightarrow \infty$ . Then there is  $\{m_j\} \subset \mathbb{N}_0$  such that

$$f(z) = z^k \cdot \prod_{j=1}^{\infty} E_{m_j} \left( \frac{z}{z_j} \right)$$

converges locally uniformly on  $\mathbb{C}$ ,  $f \in \mathcal{H}(\mathbb{C})$  and  $f$  has at 0 zero point of multiplicity  $K$  and 'non-zero' zero points just at  $z_1, z_2, \dots, z_j, \dots$ , and their multiplicity corresponds to their occurrence in  $\{z_j\}$ . We can always take  $m_j := j - 1$ ,  $j \in \mathbb{N}$ .

If  $g \in \mathcal{H}(\mathbb{C})$  has the same zero points as  $f$  including multiplicities, then there is  $L \in \mathcal{H}(\mathbb{C})$  such that  $g = f \cdot e^L$  on  $\mathbb{C}$ .

┌  
Důkaz

By the previous corollary, we know the product converges locally uniformly in  $\mathbb{C}$  if  $\sum_{j=1}^{\infty} |1 - E_{m_j}\left(\frac{z}{z_j}\right)|$  converges locally uniformly on  $\mathbb{C}$ . By lemma, this is true if  $\sum_{j=1}^{\infty} \left|\frac{z}{z_j}\right|^{m_j+1}$  converges locally uniformly on  $\mathbb{C}$ .

Let  $r > 0$  and  $|z| \leq r$ . Choose  $j_0 \in \mathbb{N}$  such that  $\frac{r}{|z_j|} < \frac{1}{2}$  for  $j \geq j_0$ . If  $m_j := j - 1$ , then  $\left|\frac{z}{z_j}\right|^j \leq \frac{1}{2^j}$ ,  $j \geq j_0$  and  $|z| \leq r$ . So, for  $m_j := j - 1$ , sum converges uniformly on  $|z| \leq r$ .  $\square$

Poznámka

If  $\sum_{j=1}^{\infty} \frac{1}{|z_j|} < +\infty$ , take  $m_j = 0$ . If  $\sum_{j=1}^{\infty} \frac{1}{|z_j|^2} < +\infty$ , take  $m_j = 1$ . Etc.

## Věta 2.6 (Weierstrass factorization in a general open set)

Let  $G \subsetneq \mathbb{S}$  be open,  $N \subset G$  have no limit points in  $G$  and  $n : N \rightarrow \mathbb{N}$ . Then there is  $f \in \mathcal{H}(G)$  such that  $N_f = N$  and  $n_f(s) = n(s)$ ,  $s \in N_f$ .

┌  
Důkaz

WLOG  $\infty \in G \setminus N$ . Then  $K := \mathbb{S} \setminus G = \mathbb{C} \setminus G$  is compact in  $\mathbb{C}$ . For a finite  $N$  it is obvious. Assume that  $N$  is (infinite) countable. We put points of  $N$  into the sequence  $s_1, s_2, \dots, s_n$  such that any  $s \in N$  occurs in  $\{s_n\}$  just  $n(s)$  times. For any  $n$ , take  $t_n \in K$  such that  $|s_n - t_n| = \text{dist}(s_n, K)$ ,  $n \in \mathbb{N}$ .

Then „ $|s_n - t_n| \rightarrow 0$ “: Let  $\varepsilon > 0$  and  $\{n_k\} \subset \mathbb{N}$  such that  $|s_{n_k} - t_{n_k}| \geq \varepsilon$ , i. e.,  $\text{dist}(s_{n_k}, K) \geq \varepsilon$ . If  $s_{\infty}$  is a limit point of  $s_{n_k}$ , then  $\text{dist}(s_{\infty}, K) \geq \varepsilon$ . Hence  $s_{\infty} \in G$ , a contradiction.

Put  $f(z) := \prod_{n=1}^{\infty} E_n\left(\frac{s_n - t_n}{z - t_n}\right)$ ,  $z \in G$ . The infinite product converges locally uniformly on  $G$ . In fact, let  $L$  be a compact in  $G$ . Put  $r_n := 2 \cdot |s_n - t_n|$ . Since  $\text{dist}(L, K) > 0$ , there is  $n_0 \in \mathbb{N}$  such that  $|z - t_n| > r_n$ ,  $\forall z \in L$ ,  $\forall n \geq n_0$ . So

$$\left|\frac{s_n - t_n}{z - t_n}\right| < \frac{1}{2} \quad \forall z \in L \quad \forall n \geq n_0.$$

By lemma on Weierstrass factors, we get

$$\left|1 - E_n\left(\frac{s_n - t_n}{z - t_n}\right)\right| < \frac{1}{2^n} \quad \forall z \in L \quad \forall n \geq n_0.$$

Now use theorem on infinite product.  $\square$

## Lemma 2.7

If  $G \subseteq \mathbb{C}$  is open and  $f \in \mathcal{M}(G)$ , then there are  $g, h \in \mathcal{H}(G)$  such that  $f = \frac{g}{h}$  on  $G$ .

┌ *Důkaz*

Let  $P_f$  be the set of poles of  $f$ . By Weierstrass factorization, we construct  $h \in \mathcal{H}(G)$  such that  $N_h = P_f$  and  $n_h = p_f$  on  $P_f$ . Put  $g := f \cdot h$ . Then  $g \in \mathcal{H}(G)$  because at the points of  $P_f$   $g$  has a removable singularities.  $\square$

└

## 3 The space $\mathcal{H}(G)$

*Poznámka* (Arzela–Ascoli theorem)

Let  $\mathcal{F} \subset \mathcal{C}(K)$  and let the functions of  $\mathcal{F}$  be equibounded (i.e.  $\exists M \in (0, +\infty) \forall f \in \mathcal{F} : |f| \leq M$  on  $K$ ) and equicontinuous (i.e.  $\forall \varepsilon > 0 \exists \delta > 0 \forall f \in \mathcal{F} \forall x, y \in K : \varrho(x, y) < \delta \implies |f(x) - f(y)| < \varepsilon$ , where  $\varrho$  is metric on  $K$ ). Then every  $\{f_n\} \subset \mathcal{F}$  has  $\{f_{n_k}\}$  which is uniformly convergent on  $K$ .

### 3.1 The space $\mathcal{C}(G)$

#### Definice 3.1

Let  $G \subseteq \mathbb{C}$ , then  $\mathcal{C}(G) := \{f : G \rightarrow \mathbb{C} | f \text{ continuous}\}$ .

#### Tvrzení 3.1

For  $f_n, f \in \mathcal{C}(G)$  and  $K_m$  compact in  $G$  such that  $\bigcup_{m=1}^{\infty} K_m = G$  and  $\forall m \in \mathbb{N} : K_m \subseteq \text{int } K_{m+1}$ , TSAE:

- $f_n \xrightarrow{\text{loc.}} f$  on  $G$ ;
- for any compact  $K$  in  $G$ ,  $\|f_n - f\| \rightarrow 0$ , where  $\|f\|_K := \sup_K |f|$  is a seminorm on  $\mathcal{C}(G)$ ;
- $\forall m \in \mathbb{N} : \|f_n - f\|_{K_m} \rightarrow 0$  for  $n \rightarrow \infty$ ;
- $\varrho(f_n, f) \rightarrow 0$ , where  $\varrho(f_n, f) := \sum_{m=1}^{\infty} \frac{1}{2^m} \cdot \frac{\|f_n - f\|_{K_m}}{1 + \|f_n - f\|_{K_m}}$ .

┌ *Důkaz*

„1  $\Leftrightarrow$  2  $\implies$  3“ is obvious. „2  $\Leftarrow$  3“: Let  $K$  be a compact in  $G$ . Then  $K \subset K_{m_0}$  for some  $m_0 \in \mathbb{N}$ . Then  $\|f_n - f\|_K \leq \|f_n - f\|_{K_{m_0}}$ . „3  $\Leftrightarrow$  4“ homework.  $\square$

└

*Poznámka*

$(\mathcal{C}(G), \varrho)$ , where  $\varrho$  is defined in previous tvrzení, is complete metric space and  $\mathcal{H}(G)$  is closed subspace.

$\varrho$  is not canonical, it depends on the choice of  $\{K_m\}$ .

The convergence / the topology on  $\mathcal{C}(G)$  is given by the system of seminorms  $\|\cdot\|_K$  for any compact  $K$  in  $G$ .

### Věta 3.2 (Moore–Osgood, Montöl)

Let  $G \subset \mathbb{C}$  be open and let  $\{f_n\} \subset \mathcal{H}(G)$  be locally equibounded (i.e. on every compact  $K$  in  $G$   $\{f_n\}$  is equibounded). Then there is  $\{f_{n_k}\}$  which converges locally uniformly on  $G$ .

*Důkaz*

First step: Let  $\overline{U(z_0, 2r)} \subset G$  and  $\varphi(t) := z_0 + 2re^{it}$ ,  $t \in [0, 2\pi]$ . Let  $z_1, z_2 \in \overline{U(z_0, r)}$ . Then by the Cauchy formula we get  $f_n(z_j) = \frac{1}{2\pi i} \int_{\varphi} \frac{f_n(z)}{z - z_j} dz$ . There is  $M \in (0, +\infty)$  such that  $\forall n \in \mathbb{N} |f_n| \leq M$  on  $\langle \varphi \rangle$ . Then we have

$$\begin{aligned} |f_n(z_1) - f_n(z_2)| &= \frac{1}{2\pi} \left| \int_{\varphi} f_n(z) \cdot \left( \frac{1}{z - z_1} - \frac{1}{z - z_2} \right) dz \right| \leq \\ &\leq \frac{2\pi \cdot 2r}{2\pi} \cdot M \cdot \frac{|z_1 - z_2|}{r^2} \end{aligned}$$

$$\left( \left| \frac{1}{z - z_1} - \frac{1}{z - z_2} \right| = \left| \frac{z_1 - z_2}{(z - z_1)(z - z_2)} \right| \leq \frac{|z_1 - z_2|}{r^2} \right).$$

By this  $\{f_n\}$  are equicontinuous on  $\overline{U(z_0, r)}$ , and by Arzela–Ascoli, there is  $\{f_{n_k}\}$  which is uniformly convergent on  $\overline{U(z_0, r)}$ .

Second step: Let us cover the set  $G$  by  $U_j = U(z_j, r_j)$ ,  $j \in \mathbb{N}$ , such that  $\overline{U(z_j, 2r_j)} \subset G$ . Then use a diagonal choice: 1. By first step choose  $\{f_{n_k^1}\}$  of  $\{f_n\}$  such that  $\{f_{n_k^1}\}$  converges uniformly on  $\overline{U_1}$ . 2. By first step choose  $\{f_{n_k^2}\}$  subsequence of  $\{f_{n_k^1}\}$  such that  $\{f_{n_k^2}\}$  converges uniformly on  $\overline{U_2}$  and so on.

Then  $\{f_{n_k^k}\}_{k=1}^{\infty}$  converges uniformly on any  $\overline{U_j}$ , i.e., locally uniformly on  $G$ .  $\square$

### Definice 3.2

Let  $E$  be a (complex) linear space and let  $\mathcal{P}$  be a system of seminorms on  $E$ . Then  $(E, \mathcal{P})$  is called locally convex space (LCS). In  $(E, \mathcal{P})$  we define:

- convergence:  $f_n \rightarrow f \Leftrightarrow \forall p \in \mathcal{P} : p(f_n - f) \rightarrow 0$ ;
- topology  $\tau$  is the weakest topology on  $E$  for which all  $p \in \mathcal{P}$  are continuous;
- $\mathcal{F} \subset E$  is bounded if  $\mathcal{F}$  is bounded with respect to any  $p \in \mathcal{P}$ , i.e.,

$$\forall p \in \mathcal{P} \exists C \in (0, +\infty) : p(f) \leq C \quad \forall f \in \mathcal{F};$$

- the dual space to  $(E, \mathbb{P})$  is defined as

$$E^* := \{L : E \rightarrow \mathbb{C} \mid L \text{ linear and continuous}\}.$$

*Poznámka*

$\mathcal{C}(G)$  is the so-called Fréchet space, i.e., completely metrizable LCS. So is  $\mathcal{H}(G)$  because  $\mathcal{H}(G)$  is closed subspace of  $\mathcal{C}(G)$ .

Topology  $\tau$  on  $\mathcal{C}(G)$  is generated by the system of seminorms

$$\mathcal{P} := \{\|\cdot\|_K \mid K \text{ is compact in } G\}.$$

$U \subset \mathcal{C}(G)$  is neighbourhood of  $f \in \mathcal{C}(G)$  iff there are a compact  $K \in G$  and  $\varepsilon > 0$  such that

$$U \supset U_{K,\varepsilon}(f) := \{g \in \mathcal{C}(G) \mid \|g - f\|_K < \varepsilon\}.$$

┌

*Důkaz*

„ $\Leftarrow$ “: obvious. „ $\Rightarrow$ “: There are  $m \in \mathbb{N}$ , compact,  $K_1, \dots, K_m$  in  $G$  and  $\varepsilon_1, \dots, \varepsilon_m > 0$  such that

$$U \supset \bigcap_{j=1}^m U_{K_j, \varepsilon_j}(f) \supset U_{K, \varepsilon}(f),$$

where  $K := K_1 \cup \dots \cup K_m$  and  $\varepsilon := \min \{\varepsilon_1, \dots, \varepsilon_m\} > 0$ . □

└

*Poznámka*

Let  $X = \mathcal{H}(G)$ . Then in the sense of (LCS)  $\mathcal{F} \subset \mathcal{H}(G)$  is bounded iff in the functions of  $\mathcal{F}$  are locally equibounded on  $G$ . By the Montal theorem, we get  $\overline{\mathcal{F}}$  is a compact in  $\mathcal{H}(G)$ . Easily we get that  $\mathcal{F} \subset X$  is compact iff  $\mathcal{F}$  is closed and bounded in  $X$ .

## 4 The dual space $\mathcal{H}^*(G)$

*Poznámka*

1. Let  $G = \mathbb{D} := \{z \in \mathbb{C} \mid |z| < 1\}$ . Let  $L \in \mathcal{H}^*(\mathbb{D})$ . Let  $f \in \mathcal{H}(\mathbb{D})$ ,  $f(z) = \sum_{n=0}^{\infty} a_n z^n$ ,  $z \in \mathbb{D}$ , and  $R := \frac{1}{\limsup_{n \rightarrow +\infty} \sqrt[n]{|a_n|}} \geq 1$ . Then

$$L(f) = L\left(\sum_{n=0}^{\infty} a_n z^n\right) = L\left(\lim_{n \rightarrow \infty} \sum_{k=0}^n a_k z^k\right) = \lim_{n \rightarrow \infty} \sum_{k=0}^n a_k L(z^k) = \sum_{n=0}^{\infty} a_n \cdot b_n,$$

where  $b_n := L(z^n) \in \mathbb{C}$ . We show  $r := \limsup_{n \rightarrow \infty} \sqrt[n]{|b_n|} < 1$ :

If  $r > 1$ , then for  $a_n := 1$ ,  $n \in \mathbb{N}_0$ , we get  $\sum_{n=0}^{\infty} a_n \cdot b_n$  is divergent. If  $r = 1$ , then there is  $\{n_k\}$  such that  $0 \neq \sqrt[n_k]{|b_{n_k}|} \rightarrow 1$ . Putting  $a_n = \frac{1}{b_{n_k}}$ ,  $n = n_k$ , we get  $\sum_{n=0}^{\infty} a_n b_n$  is divergent.

Conclusion:  $L \in \mathcal{H}^*(\mathbb{D})$  iff there is a unique  $\{b_n\} \subset \mathbb{C}$  such that  $\limsup_{n \rightarrow \infty} \sqrt[n]{|b_n|} < 1$  and  $L(f) = \sum_{n=0}^{\infty} a_n b_n$  for  $f(z) = \sum_{n=0}^{\infty} a_n z^n \in \mathcal{H}(\mathbb{D})$ . In addition,  $b_n = L(z^n)$ ,  $n \in \mathbb{N}_0$ . ( $\Leftarrow$  obvious, HW.)

*Poznámka* (Integral form of  $L$ )

Let  $\{b_n\} \subset \mathbb{C}$  and  $r := \limsup_{n \rightarrow \infty} \sqrt[n]{|b_n|} < 1$ . Define

$$\lambda(z) := \sum_{n=0}^{\infty} \frac{b_n}{z^{n+1}}, \quad |z| > r.$$

Of course,  $\lambda \in \mathcal{H}(\mathbb{S} \setminus \overline{U(0, r)})$ ,  $\lambda(\infty) = 0$  and  $b_n = \frac{\lambda^{(n+1)}(\infty)}{(n+1)!}$ ,  $n \in \mathbb{N}_0$ . Here  $\lambda^{(k)}(\infty) := \left(\lambda\left(\frac{1}{z}\right)\right)^{(k)}(0)$ .

Let  $R \in (r, 1)$  and  $\varphi(t) := Re^{it}$ ,  $t \in [0, 2\pi]$ . Let  $f \in \mathcal{H}(\mathbb{D})$  and  $f(z) = \sum_{n=0}^{\infty} a_n z^n$ ,  $z \in \mathbb{D}$ . Then

$$\begin{aligned} \frac{1}{2\pi i} \int_{\varphi} f(z) \cdot \lambda(z) dz &= \frac{1}{2\pi i} \int_{\varphi} \left( \sum_{n=0}^{\infty} a_n \cdot z^n \right) \cdot \left( \sum_{m=0}^{\infty} \frac{b_m}{z^{m+1}} \right) dz = \\ &= \frac{1}{2\pi i} \int_{\varphi} \sum_{n,m=0}^{\infty} a_n b_m z^{n-m-1} dz = \sum_{n,m=0}^{\infty} a_n \cdot b_m \cdot \frac{1}{2\pi i} \int_{\varphi} z^{n-m-1} dz = \sum_{n=0}^{\infty} a_n \cdot b_n = L(f). \end{aligned}$$

### Definition 4.1 (Notation)

Let  $A \subset \mathbb{S}$ . Then a function  $f$  is holomorphic on  $A$  if  $f$  is holomorphic on some open superset  $U \supset A$ . Let  $f_1, f_2$  be holomorphic function on  $A$ . We say that  $f_1 \sim f_2$  if there are open  $U_1, U_2 \subset \mathbb{S}$  such that  $A \subset U_1 \cap U_2$ ,  $f_1 \in \mathcal{H}(U_1)$ ,  $f_2 \in \mathcal{H}(U_2)$  and  $f_1 = f_2$  on  $U_1 \cap U_2$ . Denote  $\mathcal{H}(A) := \{[f] \mid f \text{ is holomorphic on } A\}$ , where  $[f]$  is an equivalence class for  $\sim$ . As usual, we do not often distinguish between  $[f]$  and  $f$ .

We have that  $\lambda \in \mathcal{H}_0(\mathbb{S} \setminus \mathbb{D}) := \{\mu \in \mathcal{H}(\mathbb{S} \setminus \mathbb{D}) \mid \mu(\infty) = 0\}$ . Moreover, we have

$$(*) L(f) = \frac{1}{2\pi i} \int_{\varphi} f(z) \cdot \lambda(z) dz, \quad f \in \mathcal{H}(\mathbb{D});$$

$$L(z^n) = \frac{\lambda^{(n+1)}(\infty)}{(n+1)!}, \quad n \in \mathbb{N}_0;$$

$$\lambda(w) = L\left(\frac{1}{w-z}\right), \quad |w| \geq 1.$$



┌ *Důkaz*

In fact, we have

$$L\left(\frac{1}{w-z}\right) = L\left(\sum_{n=0}^{\infty} \frac{z^n}{w^{n+1}}\right) = \sum_{n=0}^{\infty} \frac{b_n}{w^{n+1}} = \lambda(w),$$

because  $\frac{1}{w-z} = \frac{1}{w} \cdot \frac{1}{1-\frac{z}{w}} = \sum_{n=0}^{\infty} \frac{z^n}{w^{n+1}}$ ,  $z \in \mathbb{D}$ . □

*Poznámka* (Conclusion)

$$\mathcal{H}^*(\mathbb{D}) = \mathcal{H}_0(\mathbb{S} \setminus \mathbb{D}).$$

In particular,  $L \in \mathcal{H}^*(\mathbb{D})$  iff there is a unique  $\lambda \in \mathcal{H}_0(\mathbb{S} \setminus \mathbb{D})$  such that  $(*)$  hold true.

*Příklad* (Birkhoff)

There is a universal entire function, i.e.,  $f \in \mathcal{H}(\mathbb{C})$  such that  $\overline{\{\tau_\gamma(f) | \gamma \in \mathbb{C}\}} = \mathcal{H}(\mathbb{C})$ , where  $\tau_\gamma(f) := f(z - \gamma)$ ,  $z, \gamma \in \mathbb{C}$ .

┌ *Řešení*

HW.

*Poznámka*

2. Let  $G = \bigcup_{j=1}^n D_j$  with  $D_j = U(z_j, r_j)$  and  $D_j \cap D_k = \emptyset$  for  $j \neq k$ .

Let  $L \in \mathcal{H}^*(G)$ . For  $j \in [n]$ , put  $L_j(d) := L(\tilde{f})$  for  $f \in \mathcal{H}(D_j)$  and  $\tilde{f} := f$  on  $D_j$  and  $\tilde{f} := 0$  on  $D_k$ ,  $k \neq j$ . Then

$$L(f) = \sum_{j=1}^n L_j(f|_{D_j}), \quad f \in \mathcal{H}(G).$$

By 1., for each  $j \in [n]$ , there are  $\tilde{r}_j \in (0, r_j)$  and  $\lambda_j \in \mathcal{H}_0(\mathbb{S} \setminus \overline{U(z_j, \tilde{r}_j)})$  such that

$$L_j(f) = \frac{1}{2\pi i} \int_{\varphi_j} f(z) \cdot \lambda_j(z) dz, \quad f \in \mathcal{H}(D_j),$$

where  $\varphi_j(t) := z_j + R_j e^{it}$ ,  $t \in [0, 2\pi]$  for some  $R_j \in (\tilde{r}_j, r_j)$ .

In addition, we have

$$L_j(z^n) = \frac{\lambda_j^{(n+1)}(\infty)}{(n+1)!}, \quad n \in \mathbb{N}_0.$$

If  $f \in \mathcal{H}(G)$ , then  $L(f) = \sum_{j=1}^n \frac{1}{2\pi i} \int_{\varphi_j} f(z) \cdot \lambda_j(z) dz$ .

$\stackrel{?}{\implies} L(f) = \frac{1}{2\pi i} \int_{\Gamma} f(z) \cdot \lambda(z) dz$ , where  $\Gamma := \{\varphi_1, \dots, \varphi_n\}$  and  $\lambda := \sum_{j=1}^n \lambda_j$ .

? holds true because  $\int_{\varphi_j} f(z) \cdot \lambda_k(z) dz = 0$  for  $k \neq j$  by Cauchy ( $f(z) \cdot \lambda_k(z) \in \mathcal{H}(D_j)$ ).

We have  $L(z^n) = \frac{\lambda^{(n+1)}(\infty)}{(n+1)!}$ ,  $n \in \mathbb{N}_0$ .

*Poznámka (Conclusion)*

$(G = \bigcup_{j=0}^n D_j) \mathcal{H}^*(G) = \mathcal{H}_0(\mathbb{S} \setminus G)$ . Indeed,  $L \in \mathcal{H}^*(G)$  iff there is a unique  $\lambda \in \mathcal{H}_0(\mathbb{S} \setminus G)$  such that last 2 equation hold true.

## 5 Hahn–Banach theorem

### Lemma 5.1

Let  $L : E \rightarrow \mathbb{C}$  be linear. Then  $L \in E^*$  iff there is a compact  $K$  in  $G$  and  $M \in [0, +\infty)$  such that  $|L(f)| \leq M \cdot \|f\|_K$ ,  $f \in E$ .

┌

*Důkaz*

„ $\Leftarrow$ “ from continuity of  $\|\cdot\|_K$ . „ $\implies$ “: Since  $U := L^{-1}(\mathbb{D})$  is a neighbourhood of  $\mathbf{o}$  in  $E$ , there are a compact  $K$  in  $G$  and  $\varepsilon > 0$  such that  $U \supseteq U_{K,\varepsilon}(\mathbf{o}) := \{f \in E \mid \|f\|_K < \varepsilon\}$ . Let  $f \in E$ .

1. Let  $\|f\|_K \neq 0$ . Then

$$\left| L\left(\frac{f}{\|f\|_K} \cdot \frac{\varepsilon}{2}\right) \right| < 1,$$

hence  $|L(f)| < \frac{2}{\varepsilon} \|f\|_K$ . Put  $M := \frac{2}{\varepsilon}$ .

2. Let  $\|f\|_K = 0$ . Then for any  $n \in \mathbb{N}$ , we have  $\|nf\|_K = 0$ , so  $|L(n \cdot f)| < 1$ ,  $|L(f)| < \frac{1}{n} \rightarrow 0$ ,  $L(f) = 0$ . □

### Věta 5.2 (Hahn–Banach)

Let  $A$  be a linear subspace of  $E$ . Then

- if  $L \in A^*$ , then there is  $\tilde{L} \in E^*$  such that  $\tilde{L}|_A = L$ ;
- if  $A$  is closed and  $0 \neq b \in E \setminus A$ , then there is  $L \in E^*$  such that  $L(b) = 1$  and  $L = 0$  on  $A$ ;
- $\overline{A} = E$  iff  $(L \in E^*, L = 0 \text{ on } A \implies L = 0 \text{ on } E)$ .

┌ *Důkaz*

„1.“ Use lemma and algebraic version of HB theorem.

└ „2. + 3.“ can be proved as for Banach space. □

### Věta 5.3 (Runge (special))

*Let  $G \subset \mathbb{C}$  be a finite union of pairwise open discs as in above "poznámka"s. Then for each  $f \in \mathcal{H}(G)$  there are polynomials  $P_n$ ,  $n \in \mathbb{N}$ , such that  $P_n \xrightarrow{loc.} f$  on  $G$ .*

┌ *Důkaz*

Let  $\mathcal{P} := \text{LO}\{1, z, \dots\}$  be the space of polynomials. Then  $\mathcal{P} \subset \mathcal{H}(G)$ . Let  $L \in \mathcal{H}^*(G)$  and  $L = 0$  on  $\mathcal{P}$ . We know that there is  $\lambda \in \mathcal{H}_0(\mathbb{S} \setminus G)$  such that ? is valid. So,  $\lambda^{(n)}(\infty) = 0$ ,  $n \in \mathbb{N}_0$ . By the uniqueness theorem, we get  $\lambda \equiv 0$ , so  $L = 0$  on  $\mathcal{H}(G)$  (because  $L = 0$  fits and is uniquely determined by  $\lambda$ ). By HB theorem,  $\overline{\mathcal{P}} = \mathcal{H}(G)$ . □

### Věta 5.4 (Cauchy formula for compact)

*Let  $G \subset \mathbb{C}$  be open,  $K \subset G$  compact. Then there is a cycle  $\Gamma \subset G$ ,  $K \subseteq \text{int } \Gamma \subseteq G$  and  $\forall a \in \text{int } \Gamma : \text{ind}_\Gamma a = 1$ .*

*In addition*

$$\forall f \in \mathcal{H}(G) : \int_\Gamma f = 0 \wedge \forall a \in \text{int } \Gamma : f(a) = \frac{1}{2\pi i} \int_\Gamma \frac{f(z)}{z - a} dz.$$

┌ *Poznámka*

„In addition“ follows from the properties of  $\Gamma$  and residue's theorem for cycles, but we prove it directly.

Důkaz

Choose  $0 < \delta < \frac{1}{2} \text{dist}(K, \mathbb{C} \setminus G)$ , if  $G \subsetneq \mathbb{C}$ , otherwise, if  $G = \mathbb{C}$ , take  $\delta := 1$ . For  $m, n \in \mathbb{Z}$  let  $Q_{m,n}$  be the closed square with edges (parallel to the axes) with length  $\delta$ , and such that  $m\delta + in\delta$  is the lower left vertex of  $Q_{m,n}$ .

Denote  $Q^* := \{Q_{n,m} | Q_{n,m} \cap K \neq \emptyset\}$ ,  $U := \bigcup Q^*$ .  $Q^*$  is finite because of compactness of  $K$ . Of course,  $K \subseteq U \subseteq \bigcup Q^* \subseteq G$  (by choice of  $\delta$ ).

We understand  $\partial Q_{m,n}$  as a positively oriented curve (piece-wise linear curve). Let  $\Gamma$  be the system of all edges  $\Gamma_1, \dots, \Gamma_k$  of squares of  $Q^*$  when we omit those edges which occur twice ( $\pm$ ). Of course,  $U = \bigcup Q^* \setminus \text{Im } \Gamma$ .

a) Let  $f \in \mathcal{H}(G)$ . Then  $\int_{\Gamma} f := \sum_{j=1}^k \int_{\Gamma_j} f = \sum_{Q_{m,n} \subset Q^*} \int_{\partial Q_{m,n}} f = 0$ .

b)  $\Gamma$  can be viewed as a cycle. In fact the edges  $\Gamma_1, \dots, \Gamma_k$  form finitely many closed simple piece-wise linear curves.

For  $j \in [k]$  put  $\Gamma_j =: [a_j, b_j]$ .

(\*) „Every point  $c \in \mathbb{C}$  is the starting point of some edge of  $\Gamma$  as many times as it is the ending point of some edge in  $\Gamma$ “:

Take a polynomial  $P$  such that  $p(c) = 1$  and  $p(a) = 0$ , if  $a \neq c$  and  $[a, b] \in \Gamma$  for some  $b$ .  $p(b) = 0$ , if  $b \neq c$  and  $[a, b] \in \Gamma$  for some  $a$ . By a):

$$0 = \int_{\Gamma} p' = \sum_{j=1}^k \int_{\Gamma_j} p' = \sum_{j=1}^k (p(b_j) - p(a_j)) = \sum_{j=1}^k p(b_j) - \sum_{j=1}^k p(a_j) = \# \text{ } c \text{ is the ending point} - \# \text{ } c \text{ is the starting point}$$

„ $\Gamma$  can be viewed as a cycle“: Let  $L$  be longest (one of the longest) simple piecewise linear curve consisting of edges of  $\Gamma$  which begins with  $\Gamma_1$ , i. e.,

- $L = [c_1, c_2, \dots, c_l] := [c_1, c_2] + [c_2, c_3] + \dots + [c_{l-1}, c_l]$ ;
- $\Gamma_1 = [c_1, c_2]$ ;
- $c_i \neq c_j$  for  $i \neq j$  (simple curve);
- $l$  is the biggest.

Since we have (\*) there is an index  $j \in [l-2]$  such that  $[c_l, c_j] \in \Gamma$  (otherwise we would have a longer curve).

$$L' := [c_j, c_{j+1}] + \dots + [c_{l-2}, c_l] + [c_l, c_j] \subseteq L$$

$\implies L'$  is simple closed piece-wise linear curve. The proper subset  $\Gamma'$ , which we get from  $\Gamma_k$  by omitting the edges of  $L'$  has again (\*). We can process in this fashion for  $\Gamma'$ , by finitely many steps we get what we want.

c) Let  $f \in \mathcal{H}(G)$  and  $a \in U = \text{int}(\bigcup Q^*)$ . c1)  $a \in \text{int}(\tilde{Q})$  for some  $\tilde{Q} \in Q^*$ . Then

$$\frac{1}{2\pi i} \int_{\Gamma} \frac{f(z)}{z-a} dz = \sum_{Q_{m,n} \in Q^*} \frac{1}{2\pi i} \int_{\partial Q_{m,n}} \frac{f(z)}{z-a} dz = f(a)$$

### Věta 5.5 (Description of $\mathcal{H}^*(G)$ )

Let  $G \subset \mathbb{C}$  be open subset. Then  $\mathcal{H}^*(G) \simeq \mathcal{H}_0(\mathbb{S} \setminus G)$ .

In more detail, let  $L \in \mathcal{H}^*(G)$ . Then there are a compact  $K \subset G$  and  $\lambda \in \mathcal{H}_0(\mathbb{S} \setminus K)$  such that

$$L(f) = \frac{1}{2\pi i} \int_{\Gamma} f(z) \lambda(z) dz, \quad f \in \mathcal{H}(G),$$

where  $\Gamma$  is a cycle in  $G \setminus K$  with  $K \subset \text{int } \Gamma \subset G$  and  $\forall z_0 \in \text{int } \Gamma : \text{ind}_{\Gamma} z_0 = 1$ .

In addition, as an element of  $\mathcal{H}_0(\mathbb{S} \setminus G)$ ,  $\lambda$  is uniquely determined by

$$\frac{\lambda^{k+1}(\infty)}{(k+1)!} = L(z^k), k \in \mathbb{N}_0, \quad \frac{\lambda^{(k)}(z_0)}{k!} = -L\left(\frac{1}{(z - z_0)^{k+1}}\right), z_0 \in \mathbb{C} \setminus G, k \in \mathbb{N}_0.$$

┌

*Důkaz (Step 1)*

Let  $L \in \mathcal{H}^*(G)$ .

Step 1: There are a compact  $K \subset G$  and  $L_1 \in (\mathcal{C}(K))^* =: \mathcal{C}^*(K)$  such that  $L(f) = L_1(f|_K)$ ,  $f \in \mathcal{H}(G)$ .

We know that there are a compact  $K \subseteq G$  and  $C \in (0, +\infty)$  such that  $\forall f \in \mathcal{H}(G) : |L(f)| \leq \|f\|_K \cdot C$ .

By the Hahn–Banach theorem we can extend  $L$  (from  $\mathcal{H}^*(G)$  to  $\mathcal{C}^*(G)$ ) to  $\tilde{L} \Leftrightarrow \tilde{L} \in \mathcal{C}^*(G)$  such that  $\tilde{L}|_{\mathcal{H}(G)} = L$  and  $|L(f)| \leq \|f\|_K \cdot C$ ,  $f \in \mathcal{C}(G)$ .

For each  $f \in \mathcal{C}(K)$  put  $L_1(f) := \tilde{L}_1(\tilde{f})$ , where  $\tilde{f} \in \mathcal{C}(G)$  and  $\tilde{f}|_K = f$ .

Is definition of  $L_1$  correct?

i) by Tietze theorem:  $f \in \mathcal{C}(K)$  can be extended to  $f \in \mathcal{C}(G)$ ,

$$\forall f \in \mathcal{C}(K) \exists \tilde{f} \in \mathcal{C}(G) : \tilde{f}|_K = f;$$

ii) for any extension we want to get the same result.  $\tilde{f}_1, \tilde{f}_2 \in \mathcal{C}(G)$ ,  $\tilde{f}_i|_K = f$ ,  $i = 1, 2$ .

$$\implies |\tilde{L}_1(\tilde{f}_1) - \tilde{L}_1(\tilde{f}_2)| = |\tilde{L}_1(\tilde{f}_1 - \tilde{f}_2)| \leq C \cdot \|\tilde{f}_1 - \tilde{f}_2\|_K = C \|f - f\|_K = 0.$$

└

□

┌

*Poznámka ( $\mathcal{C}^*(K)$ )*

By the Riesz representation theorem, for each  $L_1 \in \mathcal{C}^*(K)$  there is a unique complex Borel measure  $\mu$  on  $K$  such that

$$L_1(f) = \int_K f d\mu, \quad \forall f \in \mathcal{C}(K).$$

└

┌ *Důkaz*

Step 2: By the Cauchy formula for compact, there is a cycle  $\Gamma \subset G$  such that  $K \subset \text{int } \Gamma \subset G$ ,  $\forall a \in \text{int } \Gamma : \text{ind}_\Gamma a = 1$  and we have,  $\forall f \in \mathcal{H}(G)$ :

$$f(z_1) = \frac{1}{2\pi i} \int_\Gamma \frac{f(z_2) dz_2}{z_2 - z_1}, \quad z_1 \in K.$$

Denote

$$L_2(f) := \frac{1}{2\pi i} \int_\Gamma f(z_2) dz_2, f \in \mathcal{C}(\langle \Gamma \rangle), \quad F(z_1, z_2) := \frac{f(z_2)}{z_2 - z_1}.$$

Of course  $L_2 \in \mathcal{C}^*(\langle \Gamma \rangle)$  and  $f(z_1) = L_2(F(z_1, z_3))$ ,  $z_1 \in K$ .

Step 3: For a given  $f \in \mathcal{H}(G)$ ,

$$L(f) = L_1(f(z_1)) = L_1(L_2(F(z_1, z_2))) \stackrel{\text{Fubini}}{=} L_2(L_1(F(z_1, z_2))),$$

hence

$$L(f) = \frac{1}{2\pi i} \int_\Gamma f(z_2) \cdot \lambda(z_2) dz_2,$$

where

$$\lambda(z_2) := L_1\left(\frac{1}{z_2 - z_1}\right), \quad z_2 \in \mathbb{C} \setminus K.$$

Step 4:  $\lambda \in \mathcal{H}_0(\mathbb{S} \setminus K)$  satisfies „in addition“: Let  $U(\infty, \varepsilon) \subset \mathbb{S} \setminus K$ . For  $u \in P(0, \varepsilon)$ , we have

$$\lambda\left(\frac{1}{u}\right) = L_1\left(\frac{u}{1 - u \cdot z_1}\right) = L_1\left(\sum_{k=0}^{\infty} z_1^k u^{k+1}\right) = \sum_{k=0}^{\infty} L_1(z_1^k) u^{k+1},$$

hence  $\lambda(\infty) = 0$  and

$$\forall k \in \mathbb{N}_0 : \frac{\lambda^{(k+1)}(\infty)}{(k+1)!} = L_1(z_1^k).$$

Let  $U(z_0, \varepsilon) \subset \mathbb{C} \setminus K$ . Then  $\forall z_2 \in U(z_0, \varepsilon)$ :

$$\lambda(z_2) = L_1\left(\frac{1}{z_2 - z_1}\right) = -L_1\left(\sum_{k=0}^{\infty} \frac{(z_2 - z_0)^k}{(z_1 - z_0)^{k+1}}\right) = -\sum_{k=0}^{\infty} L_1\left(\frac{1}{(z_1 - z_0)^{k+1}}\right) (z_2 - z_0)^k;$$

$$\forall z_1 \in K : \frac{1}{z_2 - z_1} = \frac{1}{(z_2 - z_0) - (z_1 - z_0)} = -\frac{1}{z_1 - z_0} \cdot \frac{1}{1 - \frac{z_2 - z_0}{z_1 - z_0}} = -\sum_{k=0}^{\infty} \frac{(z_2 - z_0)^k}{(z_1 - z_0)^{k+1}}.$$

Hence  $\frac{\lambda^{(k)}(z_0)}{k!} = -L_1\left(\frac{1}{(z_1 - z_0)^{k+1}}\right)$ ,  $k \in \mathbb{N}_0$ .

Step 5: As an element of  $\mathcal{H}_0(\mathbb{S} \setminus G)$ ,  $\lambda$  is uniquely determined by „in addition“. (Proof below.) □

└

**Lemma 5.6**

Let  $G \subset \mathbb{C}$  be open and  $K$  be a compact in  $G$ . There is a compact  $K_1$  such that  $K \subset K_1 \subset G$  and each component of  $\mathbb{S} \setminus K_1$  contains some component of  $\mathbb{S} \setminus G$ .

┌

*Důkaz*

Take  $n \in \mathbb{N}$  such that  $K_1 := \{z \in G \mid \text{dist}(z, \mathbb{C} \setminus G) \geq \frac{1}{n}\} \cap \overline{U(0, n)} \supset K$ . In addition, we have

$$\mathbb{S} \setminus K_1 = \bigcup_{z_0 \in \mathbb{S} \setminus G} U(z_0, \frac{1}{n}).$$

Let  $V$  be a component of  $\mathbb{S} \setminus K_1$ . There is  $z_0 \in \mathbb{S} \setminus G$  such that  $U(z_0, \frac{1}{n}) \subset V$ . If  $W$  is a component of  $\mathbb{S} \setminus G$  containing  $z_0$ , then  $W \subset V$ . □

└

*Důkaz* (Step 5)

Let  $\lambda_1, \lambda_2 \in \mathcal{H}_0(\mathbb{S} \setminus G)$  satisfying „in addition“. Then there is a compact  $K \subset G$  such that  $\lambda_1, \lambda_2 \in \mathcal{H}_0(\mathbb{S} \setminus K)$ .

By the previous lemma, WLOG we assume that each component  $V$  of  $\mathbb{S} \setminus K$  intersect  $\mathbb{S} \setminus G$ . We show  $\lambda_1 = \lambda_2$  on  $\mathbb{S} \setminus K$ .

Let  $V$  be any component of  $\mathbb{S} \setminus K$  and  $z_0 \in V \cap (\mathbb{S} \setminus G) \neq \emptyset$ . By „in addition“ we have  $\lambda_1^{(k)}(z_0) = \lambda_2^{(k)}(z_0) \forall k \in \mathbb{N}_0$ . By the uniqueness theorem  $\lambda_1 = \lambda_2$  on the domain  $B$ , so  $\lambda_1 = \lambda_2$  on  $\mathbb{S} \setminus K$ . □

**Lemma 5.7** (Fubini)

Let  $K_1, K_2 \subset \mathbb{C}$  be compact,  $L_j \in \mathcal{C}^*(K_j)$  for  $j = 1, 2$  and  $F \in \mathcal{C}(K_1 \times K_2)$ . Then we have

$$L_1(L_2(F(z_1, z_2))) = L_2(L_1(F(z_1, z_2))).$$

┌

*Důkaz* (Sketch)

Obviously it holds true for the functions of the following form:  $F(z_1, z_2) = f(z_1) \cdot g(z_2)$  for  $f \in \mathcal{C}(K_1)$ ,  $G \in \mathcal{C}(K_2)$ .

Now we can use the Stone–Weierstrass theorem which show that the linear span of the functions of this form is dense in  $\mathcal{C}(K_1 \times K_2)$ . □

└

## 6 Runge's theorem

**Definice 6.1** (Notation)

Let  $E \subset \mathbb{C}$  and  $m : E \rightarrow \mathbb{N} \cup \{\infty\}$ . We call  $m(e)$  the multiplicity of  $e \in E$ . We say that  $(E, m)$  has a limit point  $e \in \mathbb{S}$  if  $e$  is a limit point of  $E$ , or  $e \in E$  with  $m(e) = \infty$ .

Denote by  $\mathcal{F}(E, m)$  system of functions which consists of

- $\frac{1}{z-e}$  if  $e \in E \cap \mathbb{C}$ ,  $m(e) < \infty$ ;
- $\frac{1}{(z-e)^k}$ ,  $k \in \mathbb{N}$  if  $e \in E \cap \mathbb{C}$ ,  $m(e) = \infty$ ;
- $z^k$ ,  $k \in \mathbb{N}_0$  if  $\infty \in E$ ,  $m(\infty) = \infty$ .

### Věta 6.1 (Runge)

Let  $G \subset \mathbb{C}$  be open,  $E \subset \mathbb{S} \setminus G$  and  $m : E \rightarrow \mathbb{N} \cup \{\infty\}$ . If  $(E, m)$  has a limit point in every component of  $\mathbb{S} \setminus G$ , then the linear span of  $\mathbb{F}(E, m)$  is dense in  $\mathcal{H}(G)$ .

┌

*Důkaz*

We shall use Hahn–Banach theorem. Let  $L \in \mathcal{H}^*(G)$  and  $L = 0$  on  $\mathbb{F}(E, m)$ . We need to show  $L = 0$  on  $\mathcal{H}(G)$ . Let  $\lambda \in \mathcal{H}_0(\mathbb{S} \setminus G)$  which represents  $L$  in the sense of theorem describing  $\mathcal{H}^*(G)$ .

If  $e \in E \cap \mathbb{C}$ ,  $m(e) < \infty$ , then  $\lambda(e) = -L\left(\frac{1}{z-e}\right) = 0$ . If  $e \in E \cap \mathbb{C}$ ,  $m(e) = \infty$ , then  $\frac{\lambda^{(k)}(e)}{k!} = -L\left(\frac{1}{(z-e)^k}\right) = 0 \ \forall k \in \mathbb{N}_0$ . If  $\infty \in E$ ,  $m(\infty) = \infty$ , then  $\frac{\lambda^{k+1}(\infty)}{(k+1)!} = L(z^k) = 0 \ \forall k \in \mathbb{N}_0$ .

We show that  $\lambda = 0$  in  $\mathcal{H}_0(\mathbb{S} \setminus G)$ . There is a compact  $K \subset G$  such that  $\lambda \in \mathcal{H}_0(\mathbb{S} \setminus K)$  and every component of  $\mathbb{S} \setminus K$  contains some component of  $\mathbb{S} \setminus G$ .

Let  $V$  be any component of  $\mathbb{S} \setminus K$ . Then  $V$  is domain and  $V$  contains a limit point  $e$  of  $(E, m)$ . By the uniqueness theorem, we get  $\lambda = 0$  on  $V$ , so on  $\mathbb{S} \setminus K$ . □

### Věta 6.2 (Runge, classical version)

Let  $G \subset \mathbb{C}$  be open and  $f \in \mathcal{H}(G)$ . Then there are rational functions  $R_n$ ,  $n \in \mathbb{N}$  with poles outside  $G$  such that  $R_n \xrightarrow{Loc.} f$  on  $G$ .

If, in addition,  $\mathbb{S} \setminus G$  is connected, then there are polynomials  $P_n$ ,  $n \in \mathbb{N}$ , such that  $P_n \xrightarrow{Loc.} f$  on  $G$ .

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*Důkaz*

„Second part“: Let  $E = \{\infty\}$  and put  $m(\infty) = \infty$ . Then

$$\mathbb{F}(E, m) = \{1, z, \dots, z^k, \dots\}$$

and by the previous theorem, the polynomials are dense in  $\mathcal{H}(G)$ .

„First part“: Let  $E \subset \mathbb{S} \setminus G$  containing at least one point of every component of  $\mathbb{S} \setminus G$ . Put  $m = \infty$  on  $E$ . Then  $\text{LO}(\mathcal{F}(E, m))$  is dense in  $\mathcal{H}(G)$  and it is a subspace of rational functions with poles outside  $G$ . □

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*Důsledek* (Cauchy's theorem for simply connected domains)

Let  $G \subset \mathbb{C}$  be open and  $\mathbb{S} \setminus G$  be connected. If  $f \in \mathcal{H}(G)$  and  $\varphi$  is a closed curve in  $G$ , then  $\int_{\varphi} f = 0$ .

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*Důkaz*

By Runge, there are polynomials  $P_n$  such that  $P_n \xrightarrow{\text{Loc.}} f$  on  $G$ . Then  $(P_n)$  has a primitive function in  $\mathbb{C}$ )  $0 = \int_{\varphi} P_n \rightarrow \int_{\varphi} f$ .  $\square$

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*Důsledek* (Cauchy's theorem for cycles)

Let  $G \subset \mathbb{C}$  be open and  $\Gamma$  be a cycle in  $G$  (i.e.,  $\langle \Gamma \rangle \subset G$ ). Then

$$\left( \forall f \in \mathcal{H}(G) : \int_{\Gamma} f = 0 \right) \Leftrightarrow \text{int } \Gamma \subset G.$$

┌

*Důkaz*

„ $\Rightarrow$ “: If  $z_0 \in \mathbb{C} \setminus G$ , then  $f(z) := \frac{1}{z - z_0} \in \mathcal{H}(G)$  and  $\text{ind}_{\Gamma} z_0 = \frac{1}{2\pi i} \int_{\Gamma} f = 0$ .

„ $\Leftarrow$ “: Let  $f \in \mathcal{H}(G)$ . By Runge, there are rational  $R_n$  with poles outside  $G$  such that  $R_n \xrightarrow{\text{Loc.}} f$ . Then  $0 = \int_{\Gamma} R_n \rightarrow \int_{\Gamma} f$ . (First equality is from: Let  $\Gamma = \{\varphi_1, \dots, \varphi_m\}$ , where  $\varphi_j$  are closed curves in  $G$ . Then  $\int_{\Gamma} R_n = \sum_{j=1}^m \int_{\varphi_j} R_n = \sum_{j=1}^m 2\pi i \sum_{R_n(s)=\infty} \text{res}_s R_n \text{ind}_{\varphi_j} s = 2\pi i \cdot \sum_{R_n(s)=\infty} \text{res}_s R_n \cdot \text{ind}_{\Gamma} s$ , but  $s$  lies outside of  $G$ , so it is equal to 0.)  $\square$

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### **Věta 6.3** (Runge, for compacts)

Let  $K$  be a compact in  $\mathbb{C}$  and let  $S \subset \mathbb{S} \setminus K$  contain at least one point of any component of  $\mathbb{S} \setminus K$ . Let  $f$  be a holomorphic function on  $K$ . Then there are rational functions  $R_n$  with poles in  $S$  such that  $R_n \Rightarrow f$  on  $K$ .

*Poznámka* (Technique: pushing poles)

Each rational function  $R$  can be uniquely expressed in the form (rational function has  $n \in \mathbb{N}$  poles, and we will write the principal part of Laurent expansion around the pole  $z_k$ ):

$$R(z) = \sum_{k=1}^n \sum_{j=1}^{n_k} \frac{A_j^k}{(z - z_k)^j} + C_0 + C_1 z + \dots + C_m z^m,$$

where  $n, m, n_k \in \mathbb{N}$ ,  $z_k \in \mathbb{C}$  and  $A_{n_k}^k \neq 0$ ,  $C_m \neq 0$ . Then  $z_k$  is a pole of  $R$  of multiplicity  $n_k$  and  $\infty$  is a pole of  $R$  of multiplicity  $m$ . A rational function  $R$  is a polynomial iff  $R$  has a pole at most at  $\infty$ .

Notation: Let  $K$  be a compact in  $\mathbb{C}$ ,  $U \subset \mathbb{S}$  and  $U \cap K = \emptyset$ . Put  $B(K, U) = \overline{\{R|_K | R \text{ is rational with poles in } U\}}^{\mathcal{C}(K)}$ . (Remark:  $B(K, U)$  is a closed subalgebra of  $\mathcal{C}(K)$ .)

Theorem (pushing poles): Let  $K$  be a compact in  $\mathbb{C}$ ,  $U \subset \mathbb{S}$  be a domain,  $K \cap U = \emptyset$  and  $z_0 \in U$ . If  $R$  is rational function with poles in  $U$ , then  $R \in B(K, \{z_0\})$ .

Corollary: By theorem, we have  $B(K, U) = B(K, z_0)$ .

Proof: Put  $V := \left\{ \xi \in U \mid \frac{1}{z - \xi} \in B(K, z_0), \text{ for } \xi \in \mathbb{C} \text{ and } z \in B(K, z_0) \text{ for } \xi = \infty \right\}$ . Of course  $B(K, z_0) = B(K, V)$ . Indeed, if  $\xi \in V$ , then  $\frac{1}{(z - \xi)^k} \in B(K, z_0)$ , for  $\xi \in \mathbb{C}$  and  $k \in \mathbb{N}$ , and  $z^k \in B(K, z_0)$  for  $\xi = \infty$ ,  $k \in \mathbb{N}$ .

Then each rational  $R$  with poles in  $V$  is contained in  $B(K, z_0)$ . Hence  $B(K, V) \subset B(K, z_0)$ . Since  $z_0 \in V$ , we have  $B(K, z_0) \subset B(K, V)$ .

„ $V$  is closed in  $U$ “: Let  $\xi_n \in V$ ,  $\xi_n \rightarrow \xi_0$  and  $\xi_0 \in U$ . We need to show that  $\xi_0 \in V$ . WLOG  $\forall n \in \mathbb{N} : \xi_n \in \mathbb{C}$ .

„ $\xi_0 \in \mathbb{C}$ “. Then put  $\delta := \text{dist}(\xi_0, K) > 0$ . Choose  $n_0 \in \mathbb{N}$  such that  $\text{dist}(\xi_n, K) \geq \frac{\delta}{2}$  for  $n > n_0$ . Then

$$\begin{aligned} \frac{1}{z - \xi_n} &\rightrightarrows \frac{1}{z - \xi_0}, \quad z \in K, \\ \iff \left| \frac{1}{z - \xi_n} - \frac{1}{z - \xi_0} \right| &= \frac{|\xi_n - \xi_0|}{|z - \xi_n| \cdot |z - \xi_0|} \leq \frac{2}{\delta^2} \cdot |\xi_n - \xi_0| \rightarrow 0, \end{aligned}$$

if  $n > n_0$  and  $z \in K$ . Hence  $\frac{1}{z - \xi_n} \in B(K, z_0)$ , so  $\xi_0 \in V$ .

„ $\xi_0 = \infty$ “. Then

$$\frac{\xi_n z}{\xi_n - z} = -\xi_n \left( \frac{\xi_n}{z - \xi_n} + 1 \right) \in B(K, z_0).$$

Take  $C > 0$  with  $\forall z \in K : |z| \leq C$ . Take  $n_0 \in \mathbb{N}$  such that  $\forall n > n_0 : |\xi_n| > C$ . Then  $\forall z \in K : \frac{\xi_n z}{\xi_n - z} \rightrightarrows z$ , because

$$\left| \frac{\xi_n z}{\xi_n - z} - z \right| = \frac{|z|^2}{|\xi_n - z|} \leq \frac{C^2}{|\xi_n| - C} \rightarrow 0.$$

if  $n > n_0$  and  $z \in K$ . Hence  $z \in B(K, z_0)$ , so  $\infty \in V$ .

„ $V$  is open (so  $V = U$ )“: Let  $\xi_0 \in V$ .

„ $\xi \in \mathbb{C}$ “: Put  $\delta := \text{dist}(\xi_0, K) > 0$ . Let  $\xi \in U(\xi_0, \delta/2)$ . Then

$$1 \qquad 1 \qquad 1 \qquad 1 \qquad \sum_{k=0}^{\infty} (\xi - \xi_0)^k$$

┌ *Důkaz*

Let  $f$  be a holomorphic function on an open set  $G \supset K$ . Using Runge's theorem for "open sets", there are rational functions  $\tilde{R}_n$  with poles outside  $G$  such that  $\tilde{R}_n \rightrightarrows f$  on  $K$ .

„ $\tilde{R}_n \in B(K, S)$ “: All poles of  $\tilde{R}_n$  are contained in a finitely many components  $C_1, \dots, C_k$  of  $\mathbb{S} \setminus K$ . Express  $\tilde{R}_n = \tilde{Q}_1 + \dots + \tilde{Q}_k$ , where  $\tilde{Q}_j$  is a rational function with poles in the domain  $C_j$ . For  $j \in [k]$  take  $s_j \in S \cap C_j$ . By pushing poles we have  $\tilde{Q}_j \in B(K, s_j)$ . For given  $\varepsilon > 0$  and  $j \in [k]$ , there is a rational function  $Q_j$  with a pole at  $s_j$  such that  $|\tilde{Q}_j - Q_j| \leq \frac{\varepsilon}{k}$  on  $K$ . Put  $R_n := Q_1 + \dots + Q_k \in B(K, S)$ . Then  $|R_n - \tilde{R}_n| \leq \varepsilon$  on  $K$ . Hence  $\tilde{R}_n \in B(K, S)$ . □

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